

# *Understanding pending features of the KPZ class in discrete growth models*

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**Financial support:** FAPEMIG and CNPq

Interface fluctuations and KPZ universality class, Kyoto, August 2014.

# Brazil - Minas Gerais



## *Viçosa - Minas Gerais*



## *Typical distances*

- Belo Horizonte  $\rightarrow$  230 Km
- Rio de Janeiro  $\rightarrow$  350 Km
- Brasília  $\rightarrow$  950 Km
- Amazon forest  $\rightarrow \approx$  2000 - 3000 Km
- Kyoto  $\rightarrow$  19000 Km

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# *Outline*

- Part I: Introduction and numerical recipes
- Part II: RSOS model in substrate dimensions  $d \geq 3$
- Part III: Corrections to the scaling in ballistic growth models
- Part IV: KPZ models on enlarging flat substrates



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**EXPERIMENT**



**SIMULATION**

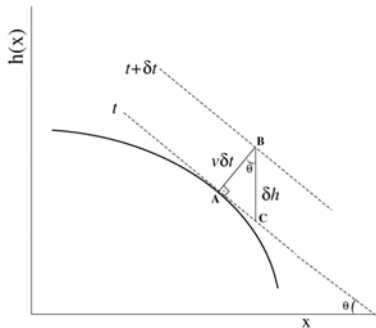
**THEORY**

$$h \simeq v_{\infty} t + (\Gamma t)^{1/3} \chi$$

## Kardar-Parisi-Zhang (KPZ) equation

$$\frac{\partial h}{\partial t} = F + \nu \nabla^2 h + \frac{\lambda}{2} (\nabla h)^2 + \xi \quad [\text{PRL } \mathbf{56}, 889 (1986)]$$

$$\langle \xi(x, t) \rangle = 0 \quad \langle \xi(x, t) \xi(x', t') \rangle = D \delta(x - x') \delta(t - t')$$



- Lateral growth
- Excess velocity

$$\partial_t \langle h \rangle = F + \frac{\lambda}{2} \langle (\nabla h)^2 \rangle$$

## Selected KPZ events

- Family-Viseck Ansatz [1985]

$$\langle h^2 \rangle_c = t^{2\beta} f\left(\frac{L}{t^{1/z}}\right) \sim \begin{cases} t^{2\beta} & t \ll L^z \\ L^{2\alpha} & t \gg L^z \end{cases} \quad z = \frac{\alpha}{\beta}$$

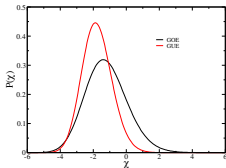
- KPZ equation [1986]

$$\partial_t h = F + \nu \nabla^2 h + \frac{\lambda}{2} (\nabla h)^2 + \xi$$

- KPZ ansatz [Krug, Meakin, Halpin-Healy, late 80's/early 90's]

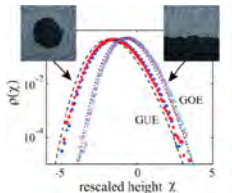
$$h = v_\infty t + s_\lambda (\Gamma t)^\beta \chi$$

- Subclasses split [Prähofer, Spohn, Johansson early 2000's]



## *Selected KPZ historic events*

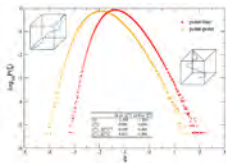
- Experimental realization [Takeuchi and Sano in early 2010's]



- KPZ equation solutions [Spohn, Sasamoto, Corwin, Calabrese, etc... in 2010's]

$$Z(x, t) = \exp \left[ \frac{\lambda}{2\nu} h(x, t) \right]$$

- KPZ ansatz in  $d = 2 + 1$  dimensions [Halpin-Healy, 2012]



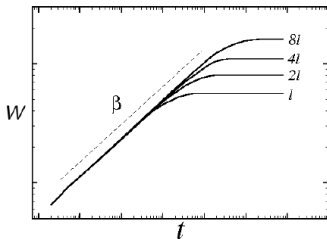
## Family-Vicsek ansatz

Interface fluctuations in a scale  $L$ :

$$w^2(L, t) = \langle h^2 \rangle_c = \langle h^2 \rangle - \langle h \rangle^2$$

$$w = t^\beta f\left(\frac{L}{t^{1/z}}\right) \sim \begin{cases} t^\beta & t \ll L^z \\ L^\alpha & t \gg L^z \end{cases}$$

$$z = \frac{\alpha}{\beta}$$



Family and Vicsek, JPA **18**, L75 (1985)

KPZ exponents ( $d = 1 + 1$ ):  $\alpha = 1/2$ ,  $\beta = 1/3$ ,  $z = 3/2$

$$\alpha + z = 2$$

[KPZ, PRL **56**, 889 (1986)]

## *Non-universal correction in the KPZ ansatz*

$$h = v_{\infty}t + (\Gamma t)^{\beta} \chi_{TW} + \eta + \dots \implies q = \frac{h - v_{\infty}t}{(\Gamma t)^{\beta}} = \chi_{TW} + ct^{-\beta} + \dots$$

- Experiments in turbulent crystal liquids

Takeuchi, Sano PRL **104**, 230601 (2010); JSP **147** 853 (2012)

- Explicit solutions of 1+1 KPZ Eq.

Sasamoto and Spohn PRL 104 (23), 230602 (2010)

- Solvable models in  $d = 1 + 1$  (PNG, PASEP, etc..)

Ferrari, Frings JSP **144** (6), 1123 (2011).

- Simulations in  $d = 1 + 1$  (Ballistic deposition, Eden, RSOS, etc...)

Alves, Oliveira, Ferreira JSTAT P05007 (2013);  
EPL **96** 48003 (2011); PRE **85** 010601(R) (2012);

- Simulations in  $d = 2 + 1$  dimensions

Alves, Oliveira, Ferreira PRE **87** 040102(R) (2013)

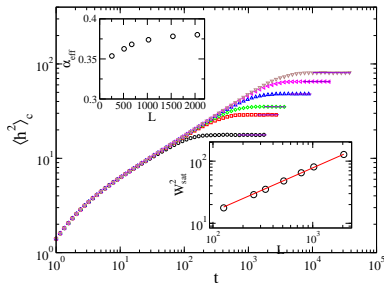
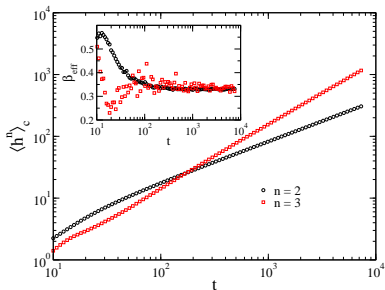
# Determination of scaling exponents

$$L \rightarrow \infty \quad \langle h^n \rangle_c \simeq t^{n\beta}$$

$$t \rightarrow \infty \quad \langle h^n \rangle_c \simeq L^{n\alpha}$$

$$\beta_{\text{eff}} = \frac{1}{n} \frac{d \ln \langle h^n \rangle_c}{d \ln t} \quad n \geq 2$$

$$\alpha_{\text{eff}} = \frac{1}{n} \frac{d \ln \langle h^n \rangle_c}{d \ln L} \quad n \geq 2$$

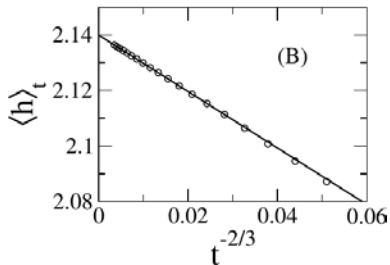




# Determination of non-universal parameters

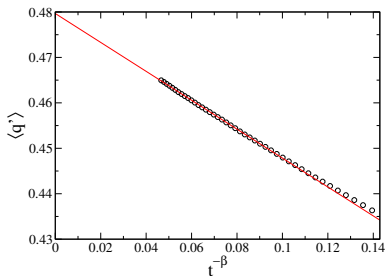
## Asymptotic velocity

$$\partial_t \langle h \rangle \simeq v_\infty + \Gamma^\beta \langle \chi_{TW} \rangle t^{\beta-1}$$



## Mean shift

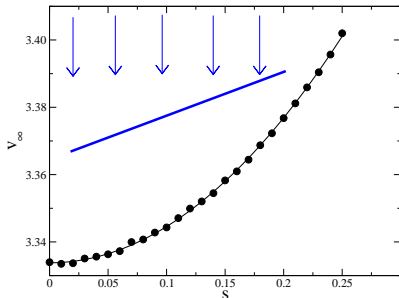
$$q' = \frac{h - v_\infty t}{t^\beta} \simeq \Gamma^\beta \chi_{TW} + \eta t^{-\beta}$$



# Amplitude of fluctuations

## Coefficient $\lambda$

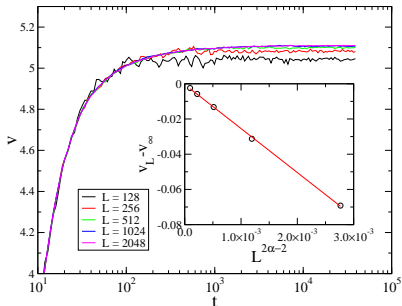
$$v_{\infty}(s) = v_{\infty}(0) + \frac{\lambda}{2}s^2$$



Krug-Spohn, PRL **64** 2332 (1989)

## Parameter $\Gamma$

$$v(L) - v_{\infty} \simeq -\frac{A\lambda}{2}L^{2\alpha-2} \quad \Gamma = \lambda A^{1/\alpha}$$



Krug-Meakin, JPA **23**, L987 (1990)

# Part II

## RSOS model in high dimensional substrates

with Sidney G. Alves and Tiago J. Oliveira (Univ. Fed. Viçosa)

ArXiv:1405.0974 to appear in PRE Rapid Communication

## *KPZ class in higher dimensions*

### Simulations

$d$	$\alpha$	$\beta$	$z$
2	0.395(5)	0.245(5)	1.58(10)
3	0.29(1)	0.184(5)	1.60(10)
4	0.245(5)	0.15(1)	1.91(10)
5	0.22(1)	0.115(5)	1.95(15)

Ódor *et al.* PRE **81**, 031112 (2011)

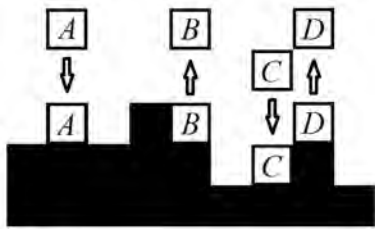
### Central theoretical issue:

Upper critical dimensions  $d_U$  ( $\alpha = \beta = 0$  and  $z = 2$ ):

- Mode-coupling theory and field theoretical approaches  
 $2.8 < d_U \leq 4$
- Renormalization group and simulations  $d_U > 4$
- Some works suggest  $d_U = \infty$

Concise review: Pagnani and Parisi PRE **87** 010102(R) (2013)

## *The restricted solid-on-solid (RSOS) model*



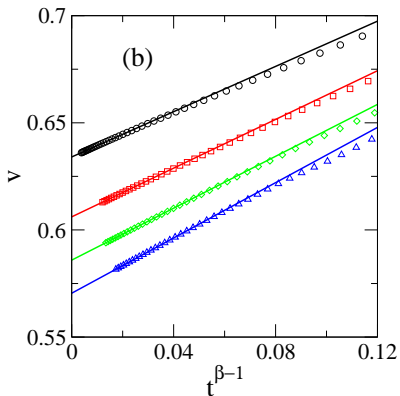
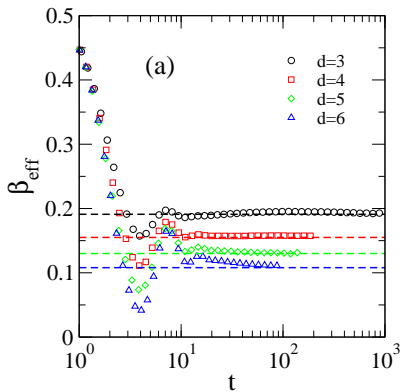
Depositions producing NN height differences  $|\Delta h| > m$  are rejected.

Kim and Kosterlitz PRL **72** 2289 (1989).

### Some recentest advances:

- Very precise simulations “prove” that  $d_U > 4$  [Pagnani and Parisi, PRE **87** 010102(R) (2013)]
- Restriction parameter  $m > 1$  improves scaling in  $d = 4 + 1$  [Kim and Kim PRE **88**, 034102 (2013)]
- Scaling exponents using  $m > 1$  support  $d_U > 11$  [Kim and Kim JSTAT (2014) P07005]

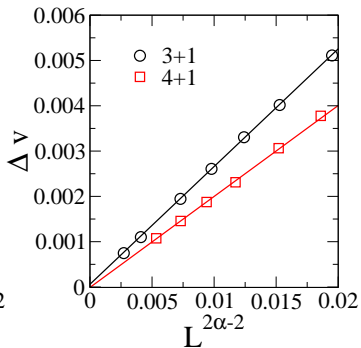
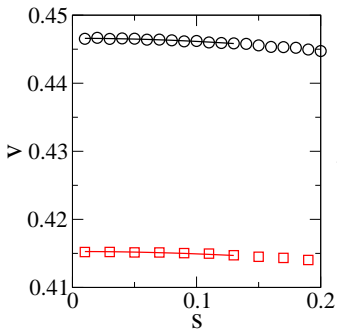
# Universal and non-universal quantities in $d = 3 - 6$



Alves, Oliveira, Ferreira ArXiv:1405.0974



# KPZ “machinery” in $d = 3 + 1$ and $d = 4 + 1$



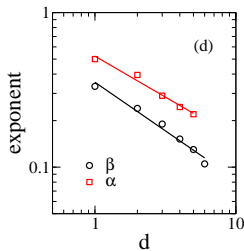
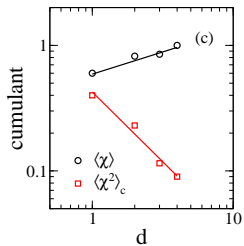
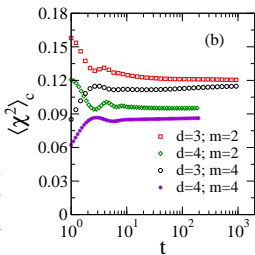
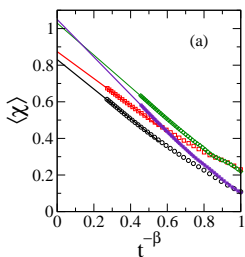


## Universal and non-universal quantities

TABLE II. Estimates of nonuniversal parameters ( $A$ ,  $\lambda$ ,  $\Gamma$ ) for the RSOS model in  $d = 1-4$  dimensions. Height restriction parameters are shown in brackets. The estimates of the first and second cumulants of  $\chi$  are shown in the last columns. Results for  $d = 1$  were extracted from Ref. [8], where a factor of a different convention  $\Gamma = |\lambda|A/2$  was used. Our results in  $d = 1$  and 2 with  $m = 1$  are in agreement with former reports [11,16].

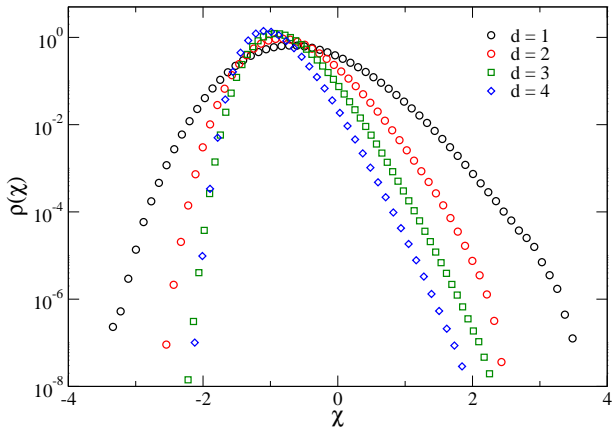
$d$ [ $m$ ]	$A$	$\lambda$	$\Gamma$	$\langle\chi\rangle$	$\langle\chi^2\rangle_c$
1 [1]	0.81	-0.77	0.51	-0.60	0.40
2 [1]	1.22(4)	-0.41(1)	0.68(6)	-0.83(2)	0.23(1)
2 [2]	4.5(1)	-0.121(3)	5.5(2)	-0.82(2)	0.23(1)
3 [2]	5.8(2)	-0.090(2)	38(3)	-0.86(2)	0.12(1)
3 [4]	19(2)	-0.024(2)	600(50)	-0.82(3)	0.11(1)
4 [2]	8(1)	-0.05(1)	240(50)	-1.00(4)	0.09(1)
4 [4]	25(2)	-0.015(2)	7600(900)	-0.98(5)	0.09(1)

# Universal quantities



$$q = \frac{h - v_\infty t}{(\Gamma t)^\beta} = \chi + ct^{-\beta} + \dots$$

# Distributions



$$q = \frac{h - v_{\infty} t - \langle \eta \rangle}{(\Gamma t)^{\beta}}$$

## *Conclusions of Part II*

- The theoretical machinery developed for the KPZ equation in  $d = 1 + 1$  holds up to  $d = 6$ .
- Interface height distributions are universal for all investigated dimensions.
- Fluctuations are not negligible  $\implies d_u > 6$ .
- Extrapolation for  $d \geq 7$  supports  $d_u = \infty$ .

# Part III

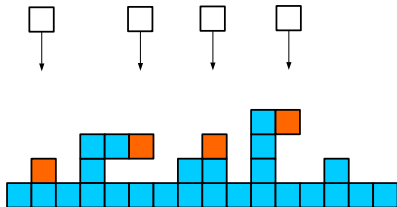
## Scaling Corrections in ballistic growth models in $d = 2 + 1$

with Sidiney G. Alves and Tiago J. Oliveira (Univ. Fed. Viçosa)

ArXiv:XXXX.YYYY

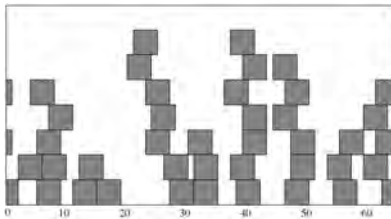
# Models

## Ballistic deposition



Vold, J. Colloid Sci. **14**, 168 (1959).

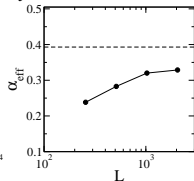
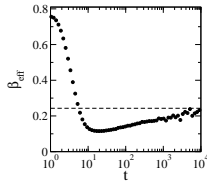
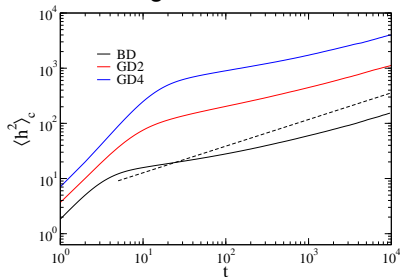
## Grain deposition



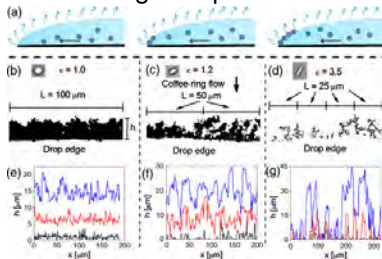
Oliveira and Reis, J. Appl. Phys. 101,  
063507 (2007).

# Motivation

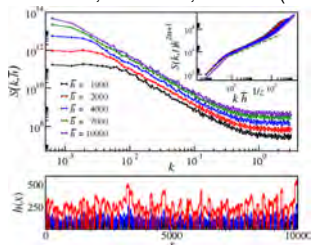
“Bad” scaling properties of DB models in high dimensions



## Scaling in experiments



Yunker et al., PRL **110**, 035501 (2013)



Nicoli et al., PRL **111**, 209601 (2013)

# Intrinsic width

## Heuristic formula:

$$\langle h^2 \rangle_c \simeq \underbrace{L^{2\alpha} f\left(\frac{t}{L^z}\right)}_{\text{long wavelength}} + \underbrace{w_i^2}_{\text{short wavelength}}$$

Kertész and Wolf, JPA **21** 747 (1988).

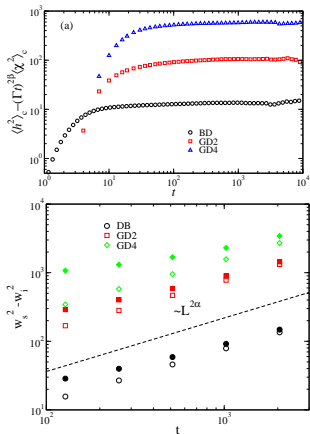
## KPZ ansatz:

$$w_i^2 = \lim_{t \rightarrow \infty} \left[ \langle h^2 \rangle_c - (\Gamma t)^{2\beta} \langle \chi^2 \rangle_c \right].$$

$w_i = 3.6(2)$  (BD),  $10.0(5)$  (GD2) and  $26(2)$  (GD4).

$$\langle h^2 \rangle_c = (\Gamma t)^{2\beta} \langle \chi^2 \rangle_c + 2(\Gamma t)^\beta \text{cov}(\chi, \eta) + \langle \eta^2 \rangle_c + \dots$$

$$w_i^2 = \text{const.} \implies \text{cov}(\chi, \eta) \approx 0$$





## Height increment fluctuations

Let  $\delta h = h(\mathbf{x}, t + \delta t) - h(\mathbf{x}, t)$  is the height increment in a time step.

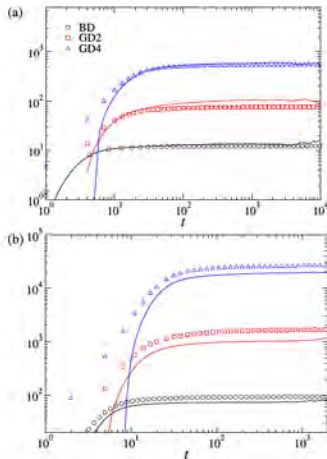
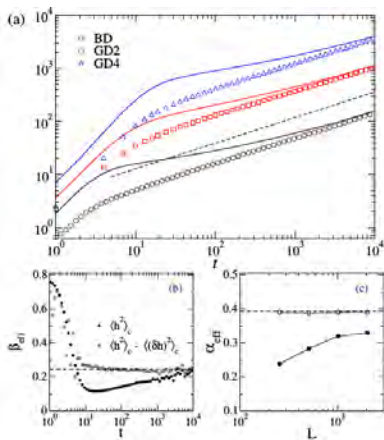
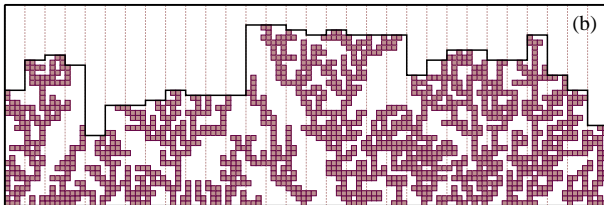
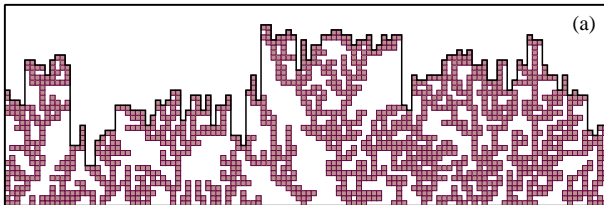


FIG. 4: (a) Second cumulant of  $\delta h$  (symbols) and  $\langle h^2 \rangle_c - (\Gamma t)^{2\beta} \langle \chi^2 \rangle_c$  (lines) against time. (b) Third cumulant of  $\delta h$  (symbols) and  $\langle h^3 \rangle_c - (\Gamma t)^{3\beta} \langle \chi^3 \rangle_c$  (lines) against time.



## *Binning method for ballistic growth*

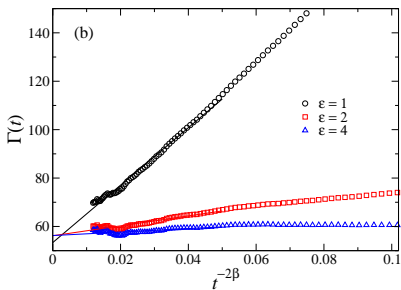
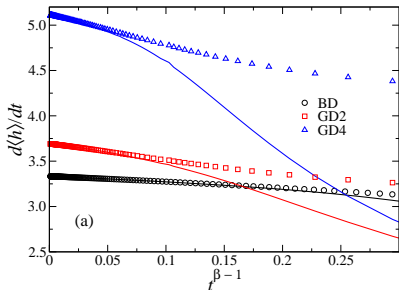


**Method:** *The surface is binned using boxes of size  $\varepsilon$  where only the highest site inside a bin is used to reconstruct the surface.*

## Non-universal parameters

According to the KPZ ansatz,  $\Gamma$  can also be obtained using

$$\Gamma(t) \simeq \left[ \frac{\langle h^2 \rangle_c}{t^{2\beta} \langle \chi^2 \rangle_c} \right]^{1/2\beta} = \Gamma(\infty) + ct^{-2\beta} + \dots, \quad \langle \chi^2 \rangle_c = 0.235^a$$



<sup>a</sup>Halpin-Healy, PRL (2012)

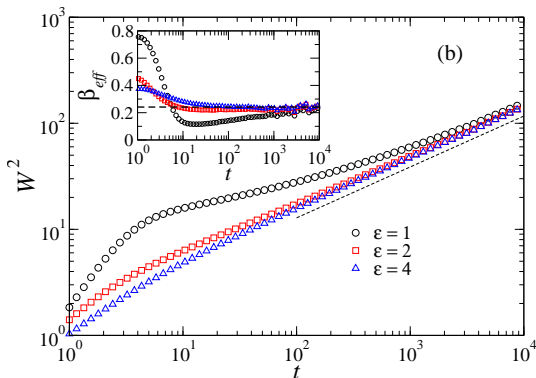
**Conclusion:** Binning method does not change the non-universal parameters.

## *Non-universal parameter for ballistic models in $d = 2 + 1$*

Model	$v_\infty$	$\lambda$	$\Gamma$
BD	3.33396(3)	2.15(10)	57(7)
GD2	3.6925(1)	0.35(3)	$3.5(3) \times 10^3$
GD4	5.1124(1)	0.76(3)	$4.3(7) \times 10^4$

*Table:* Non-universal parameters for ballistic models using Krug-Meakin analysis [JPA **23**, L987 (1990)] for binning windows of size  $\epsilon = 4$ .

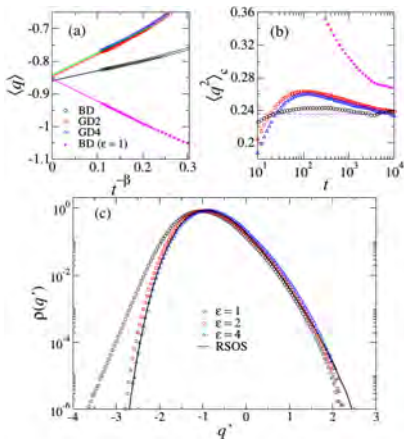
## Scaling exponents with binning



Intrinsic width for BD:

$w_i = 3.6(2)$  ( $\epsilon = 1$ );  $w_i = 1.5(2)$  ( $\epsilon = 2$ ); and  $0.8(2)$  ( $\epsilon = 4$ )

# Binning method to measure $\chi$



model	$\beta$	$\alpha$	$\langle \chi \rangle$	$\langle \chi^2 \rangle_c$	S	K
BD	0.239(15)	0.389(3)	0.86(2)	0.235(15)	0.41(2)	0.31(3)
GD2	0.225(15)	0.375(5)	0.85(2)	0.24(2)	0.43(3)	0.32(3)
GD4	0.237(18)	0.375(15)	0.84(3)	0.24(2)	0.44(3)	0.35(5)

TABLE II: Universal quantities determined for ballistic models either discounting the intrinsic width ( $\beta$  and  $\alpha$ ) or using surfaces constructed with  $\epsilon = 2l$  (the other quantities). Uncertainties in cumulants and cumulant ratios were obtained propagating the uncertainties in the non-universal parameters  $v_\infty$  and  $\Gamma$  given in Table I.

In agreement with the recent characterization of the KPZ ansatz in  $d=2+1$  [Halpin-Healy, PRL 2012, PRE 2013; OAF PRE 2013].

$$q' = \frac{h - v_\infty t - \langle \eta \rangle}{(\Gamma t)^\beta}$$

## *Conclusions of Part III*

- Strong corrections to the scaling of ballistic growth models are strongly related to the fluctuations of height increments,  $\langle(\delta h)^2\rangle$
- Discounting  $\langle(\delta h)^2\rangle$  from  $\langle h^2\rangle_c$ , an excellent agreement with the KPZ exponents are found.
- Binning method is able to reduce corrections to the scaling allowing to determined the universal properties of the height fluctuations.
- The method can be applied to unveil universality in experimental systems with large steps in surface.

# Part IV

## Interface fluctuations in the deposition on enlarging flat substrates

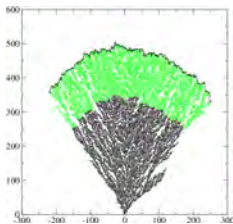
with Ismael. S. S. Carrasco, Tiago J. Oliveira (UFV), Kazumasa A. Takeuchi (Univ. of Tokyo)

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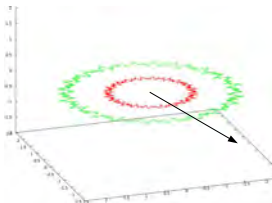


## Motivation

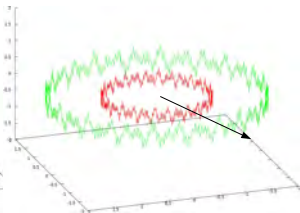
- Computational limitations of anisotropic systems
- Equivalence between interface fluctuations in radial growth and increasing substrates



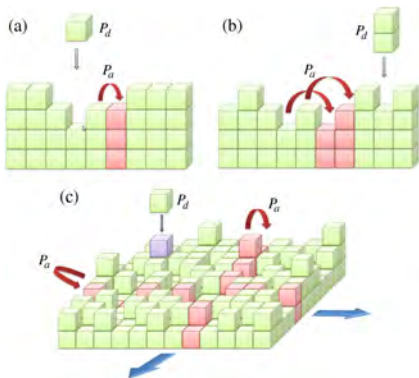
Circular growth



Cylinder growth



## Deposition on enlarging substrates



- Square lattice with  $N = L^{d_s}$
- Periodic boundary cond (cylinder).
- Constant enlarging rate  $\omega$ .
- Initial substrate size  $L_0 \sim \omega$
- Implementation

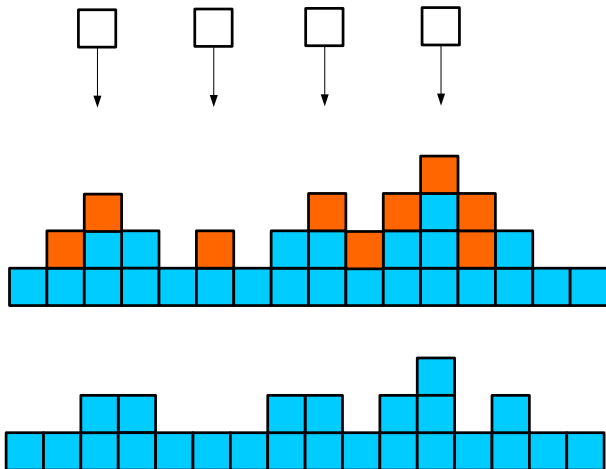
$$P_{dep} = \frac{N}{N + \omega d_s}$$

$$P_{dup} = \frac{\omega d_s}{N + \omega d_s}$$

$$\Delta t = \frac{1}{N + \omega d_s}$$

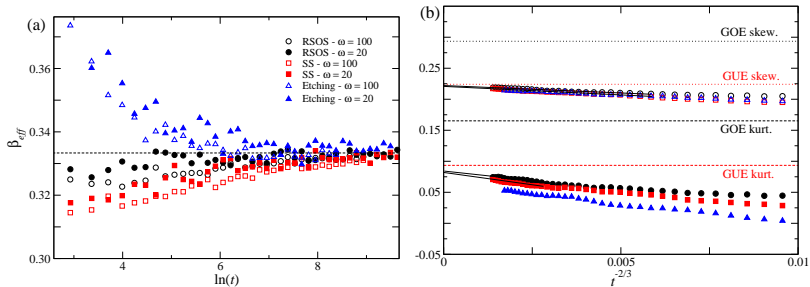
Masoud et al. [Jstat L02001 (2012)] did similar simulations using a different rule and verified that scaling exponents are the same as the fixed-size case.

## *Etching model ( $\lambda > 0$ )*



Mello et al. PRE **63** 041113 (2001)

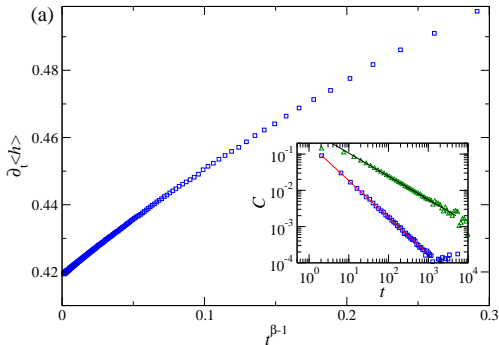
# Universal quantities in $d=1+1$



## Logarithmic corrections

- If deposition is turned off, duplication leads to a decay  $\langle |\nabla h|^2 \rangle \sim t^{-1}$ .
- Assuming a correction  $t^{-1}$  in the gradient, a logarithmic is found.

$$h \simeq v_\infty t + s_\lambda (\Gamma t)^\beta \chi + \eta + s_\lambda \zeta \ln t + \dots$$

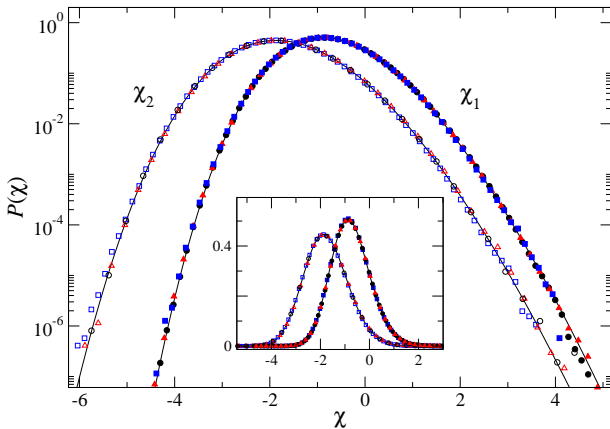


$$C = \partial_t \langle h \rangle - v_\infty - s_\lambda \Gamma^\beta t^{\beta-1} \langle \chi \rangle$$

$$\langle \chi \rangle = \langle GUE \rangle \rightarrow C \sim t^{-1}$$

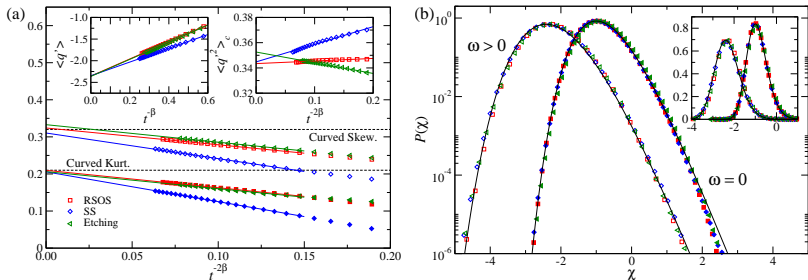
$$\langle \chi \rangle = \langle GOE \rangle \rightarrow C \sim t^{\beta-1}$$

# Distributions in $d = 1 + 1$



$$\chi \equiv \frac{h - v_\infty t - \langle \eta \rangle - s_\lambda \zeta \ln t}{s_\lambda (\Gamma t)^\beta}$$

# Universal distributions in $d = 2 + 1$



	$\langle \chi \rangle_c$	$\langle \chi^2 \rangle_c$	S	K
RSOS	-2.34(3)	0.341(5)	0.328(4)	0.210(4)
SS	-2.37(5)	0.336(6)	0.329(7)	0.206(3)
Etching	-2.36(3)	0.346(8)	0.336(6)	0.21(1)

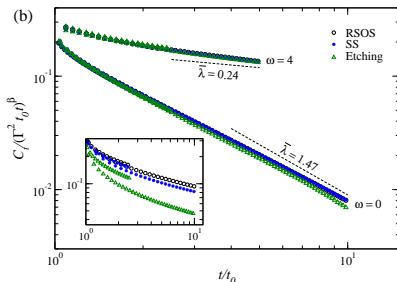
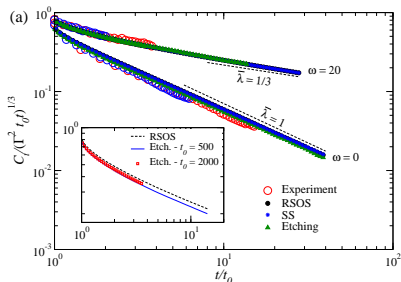
In agreement with the curved KPZ subclass in  $d=2+1$  [Halpin-Healy, PRL 2012 and PRE 2013; OAF PRE 2013]





# Temporal covariance

$$C_t(t, t_0) = \langle \tilde{h}(x, t_0) \tilde{h}(x, t) \rangle \simeq (\Gamma^2 t_0 t)^\beta \Phi(t/t_0),$$



See [Takeuchi and Sano, JSP **147**, 853 (2012)] for temporal correlation function.

## Conclusions of Part IV

- Enlarging substrates belong to KPZ subclass for curved systems in both  $d = 1 + 1$  and  $2+1$ .
- Spatial and temporal covariances for the curved subclass in  $d = 2 + 1$  are presented.
- Question: Does curvature indeed matter?

