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**Inflation - Lecture 2**

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# Inflation

## lecture II

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## 3. Non-Gaussian Curvature Perturbation

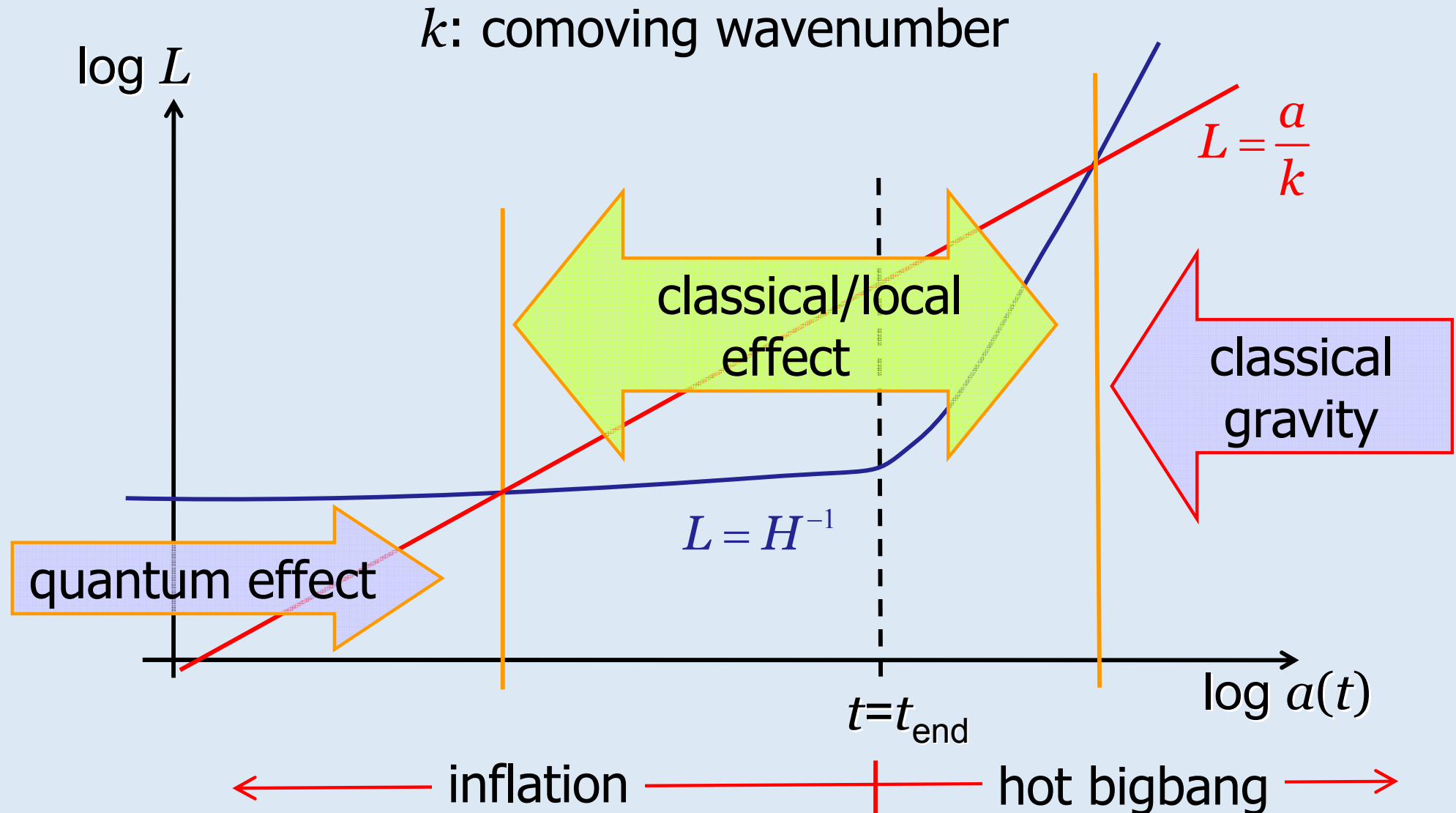
- origin of non-Gaussianity
- $\delta N$  formalism: NG generation on superhorizon scales
- other sources of NGs

## 4. Summary and outlook

### 3. Non-Gaussian Curvature Perturbation

- self-interactions of inflaton/non-trivial “vacuum”  
quantum physics, subhorizon scale during inflation
- multi-field  
classical physics, nonlinear coupling to gravity  
superhorizon scale during and after inflation
- nonlinearity in gravity  
classical general relativistic effect,  
subhorizon scale after inflation

# Origin of NG and cosmic scales



## Origin 1 : self-interaction/non-trivial vacuum

Non-Gaussianity generated on subhorizon scales  
(quantum field theoretical)

- conventional self-interaction by potential is ineffective

Maldacena ('03)

ex. chaotic inflation

$$V = \frac{1}{2} m^2 \phi^2 \quad \dots \text{ free field!}$$

(grav. interaction is Planck-suppressed)

$$\sim O(1/M_{Pl}^2)$$

$$V = \lambda \phi^4 \quad \rightarrow \quad \lambda \sim 10^{-15}$$

extremely small!

- need **unconventional** self-interaction  
→ **non-canonical kinetic term can generate large NG**

# ex a: Non-canonical kinetic term ( $\sim$ DBI inflation)

Silverstein & Tong (2004),...

kinetic term:  $K \sim f^{-1}(\phi) \sqrt{1 - f(\phi) \dot{\phi}^2} \equiv f^{-1} \gamma^{-1}$

$\sim (\text{Lorentz factor})^{-1}$

perturbation expansion  $\left( \delta\gamma = \frac{1}{2} \gamma^3 \delta X; \quad X \equiv f \dot{\phi}^2 \right)$

$$K = K_0 + \delta_1 K + \delta_2 K + \delta_3 K + \dots$$

$$\begin{array}{ccc} \parallel & \text{8} & \text{8} \\ 0 & \gamma^3 & \gamma^{3+2} \end{array}$$

$$\Rightarrow \delta\phi \sim \delta\phi_0 + \gamma^2 \delta\phi_0^2 + \dots$$

large NG for large  $\gamma$

## Bi-spectrum (3pt function) in DBI inflation

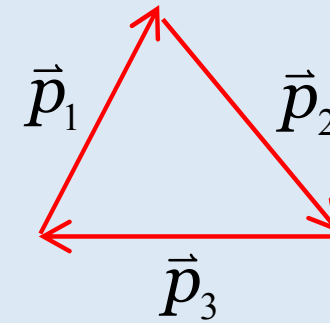
$$\langle \mathcal{R}_C(p_1) \mathcal{R}_C(p_2) \mathcal{R}_C(p_3) \rangle$$

Alishahiha et al. ('04)

$$\sim \delta\left(\sum_j p_j\right) f_{NL}(p_1, p_2, p_3) (\mathcal{R}_C(p_1) \mathcal{R}_C(p_2) + \text{cyclic})$$

$$\Downarrow \quad f_{NL} \sim \gamma^2$$

$f_{NL}$  large for equilateral configuration  
 $|\vec{p}_1| \sim |\vec{p}_2| \sim |\vec{p}_3|$



$$(\vec{p}_1 + \vec{p}_2 + \vec{p}_3 = 0)$$

$$f_{NL} \Rightarrow f_{NL}^{\text{equil}}$$

$$\text{WMAP 7yr:} \quad -241 < f_{NL}^{\text{equil}} < 266 \quad (95\% \text{ CL})$$



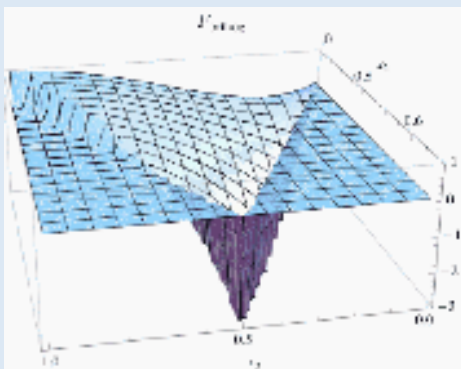
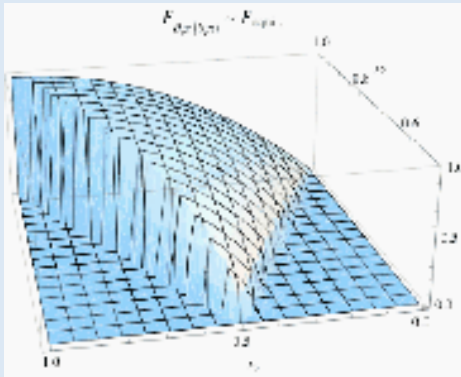
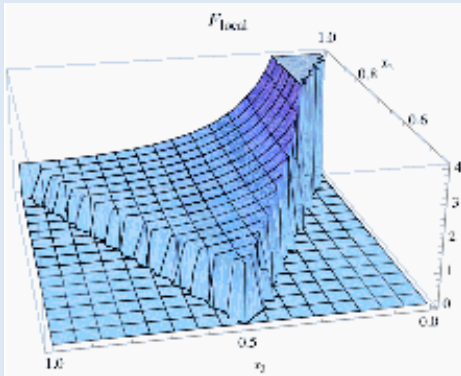
## ex b: Non-trivial vacuum

- de Sitter spacetime = maximally symmetric  $SO(4,1)$   
(same degrees of sym as Poincare (Minkowski) sym)
  - ⇒ gravitational interaction (GI) is negligible in vacuum  
(except for graviton/tensor-mode loops)
- slow-roll inflation : dS symmetry is slightly broken  
GI induces NG but suppressed by  $\varepsilon \equiv -\dot{H} / H^2$   
But large NG is possible if the initial state (or state at horizon crossing) does **NOT** respect dS symmetry  
(ie initial state  $\neq$  Bunch-Davies vacuum)
  - ⇒ various types of NG :  
scale-dependent, oscillating, featured, folded ...  
Chen et al. ('08), Flauger et al. ('10), Arroja et al. ('11),...

# templates for primordial bispectra

(figs from Senatore, Smith & Zaldarriaga '11)

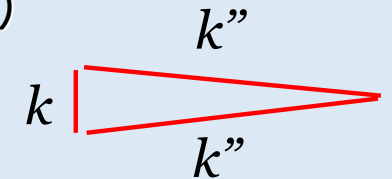
$$P_\zeta(k) = \mathcal{P}/k^3, \quad B_\zeta(k_1, k_2, k_3) = (6/5)f_{NL}(k_1, k_2, k_3)(P(k_1)P(k_2) + P(k_2)P(k_3) + P(k_3)P(k_1))$$



## ● squeezed type (Komatsu&Spergel 2001)

- local in real space ( $f_{NL} = \text{constant}$ )
- max for squeezed triangles:  $k \ll k', k''$

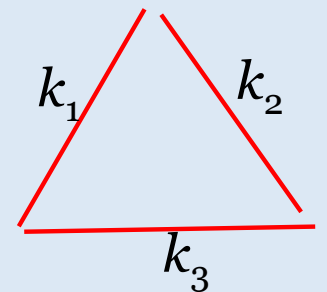
$$B_\zeta(k_1, k_2, k_3) = (6/5)f_{NL}^{\text{local}} \mathcal{P}^2 \left( \frac{1}{k_1^3 k_2^3} + \frac{1}{k_2^3 k_3^3} + \frac{1}{k_3^3 k_1^3} \right)$$



## ● equilateral type (Creminelli et al 2005)

- peaks for  $k_1 \sim k_2 \sim k_3$

$$B_\zeta(k_1, k_2, k_3) = (6/5)f_{NL}^{\text{equil}} \mathcal{P}^2 \left( \frac{3(k_1 + k_2 - k_3)(k_2 + k_3 - k_1)(k_3 + k_1 - k_2)}{k_1^3 k_2^3 k_3^3} \right)$$



## ● orthogonal type (Senatore et al 2009)

$$B_\zeta(k_1, k_2, k_3) = (6/5)f_{NL}^{\text{orthog}} \mathcal{P}^2 \left( \frac{81}{k_1 k_2 k_3 (k_1 + k_2 + k_3)^3} \right)$$

## Origin 2: Generation on superhorizon scales

- NG may appear if  $T^{\mu\nu}$  depends **nonlinearly** on  $\delta\phi$ , even if  $\delta\phi$  itself is Gaussian.

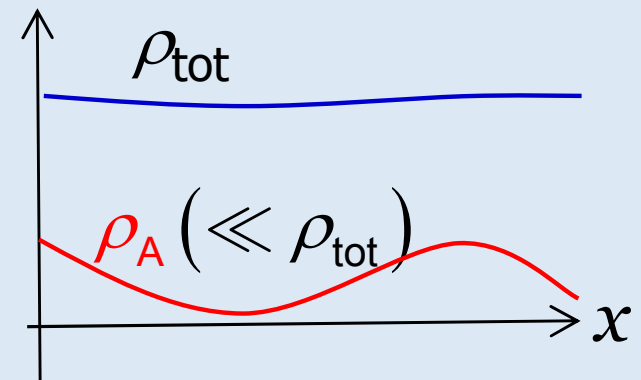
This effect is **small** in **single-field slow-roll** model  
 ( $\Leftrightarrow$  linear approximation is valid to high accuracy)  
 Salopek & Bond ('90)

- For **multi-field** models, contribution to  $T^{\mu\nu}$  from **each field** can be **highly nonlinear**.

NG is always of **local** type:

$$f_{NL}(p_1, p_2, p_3) \rightarrow f_{NL}^{\text{local}} = \text{const.}$$

$$\text{WMAP 7yr: } -10 < f_{NL}^{\text{local}} < 74 \quad (95\% \text{ CL})$$



$\delta N$  formalism for this type of NG

## Origin 3: Nonlinearity in gravity

ex. post-Newtonian metric in asymptotically flat space

$$ds^2 = -\left(1 + 2\Psi - 2\Psi^2 + \dots\right) dt^2 + \left(1 - 2\Psi + 2\Psi^2 + \dots\right) dr^2 + \dots$$

Newton  
potential

NL (post-Newton) terms  
(in both **local** and **nonlocal** forms)

- important when scales have re-entered Hubble horizon  
**distinguishable from NL matter dynamics?**
- effect on CMB bispectrum may not be negligible

$$f_{NL} \sim O(5) ?$$

Pitrou et al. (2010)

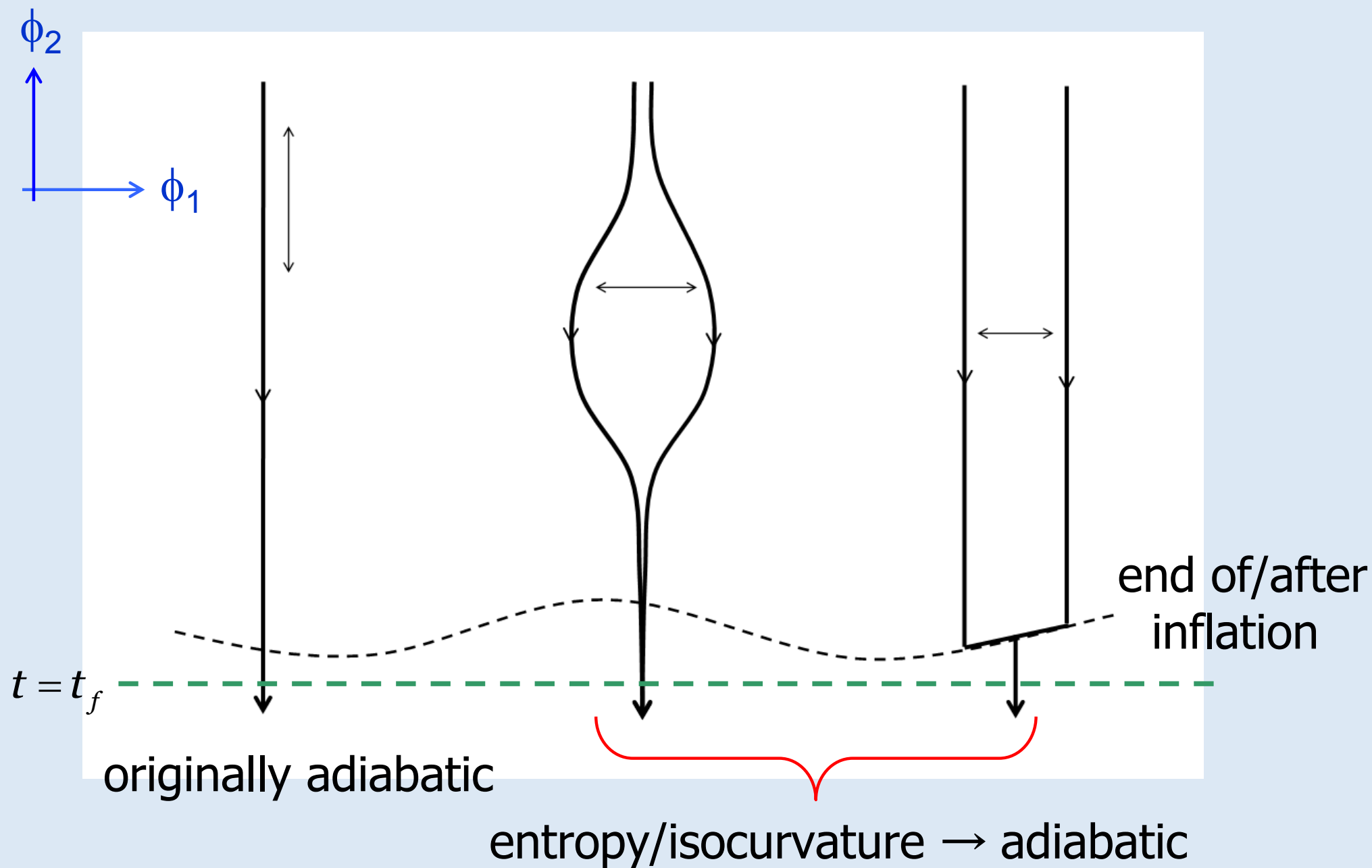
(for both **squeezed** and **equilateral** types)

# $\delta N$ formalism

## What is $\delta N$ ?

- $\delta N$  is the perturbation in # of e-folds counted **backward in time** from a fixed final time  $t_f$   
therefore it is nonlocal in time by definition
- $t_f$  should be chosen such that the evolution of the universe has become **unique** by that time: "**adiabatic limit**"  
isocurvature perturbation that persists until today must be dealt separately
- $\delta N$  is equal to **conserved** NL comoving curvature perturbation on superhorizon scales **at  $t > t_f$**
- $\delta N$  formalism is valid **independent of gravity theory**

# 3 types of $\delta N$



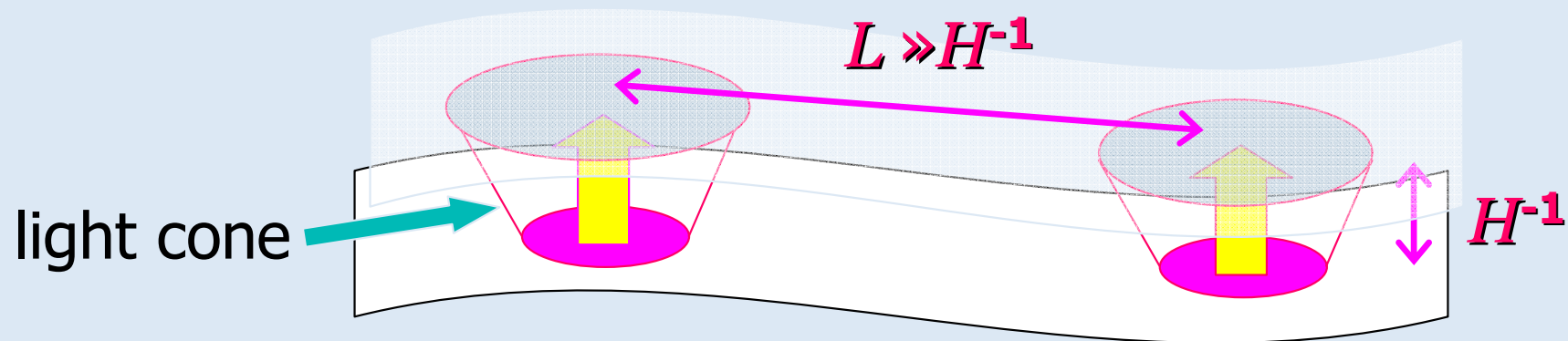
## Separate Universe approach ( $\sim$ spatial gradient expansion)

- On superhorizon scales, spatial gradient expansion is valid:

$$\left| \frac{\partial}{\partial x^i} Q \right| \ll \left| \frac{\partial}{\partial t} Q \right| \sim HQ; \quad H \sim \sqrt{G\rho}$$

Belinski et al. '70, Tomita '72, Salopek & Bond '90, ...

This is a consequence of causality:



- At lowest order, no signal propagates in spatial directions.

Field equations reduce to ODE's

# metric on superhorizon scales

- gradient expansion:

$$\partial_i \rightarrow \varepsilon \partial_i, \quad \varepsilon = \text{expansion parameter}$$

- metric:

$$ds^2 = -\mathcal{N}^2 dt^2 + e^{2\alpha} \tilde{\gamma}_{ij} (dx^i + \beta^i dt)(dx^j + \beta^j dt)$$

$$\det \tilde{\gamma}_{ij} = 1, \quad \beta^i = O(\varepsilon)$$

↑ the only non-trivial assumption  
contains GW ( $\sim$  tensor) modes

$$e^{\alpha(t, x^i)} = a(t) e^{\mathcal{R}(t, x^i)}; \quad \mathcal{R} \sim \text{NL curvature perturbation}$$

↑  
fiducial 'background'



# Local Friedmann equation & $\delta N$ formula

Lyth, Malik & MS ('05)

$$\tilde{H}^2(t, x^i) = \frac{8\pi G}{3} \rho(t, x^i) + O(\varepsilon^2)$$

$$\tilde{H} \equiv \frac{\partial}{\partial \tau} \alpha = \frac{\partial}{\mathcal{N} \partial t} [\ln a + \mathcal{R}]$$

... geometrical def of "Hubble"

$x^i$  : comoving (Lagrangean) coordinates.

$d\tau = \mathcal{N} dt$  : proper time along fluid flow

exactly the same as the **homogeneous background**

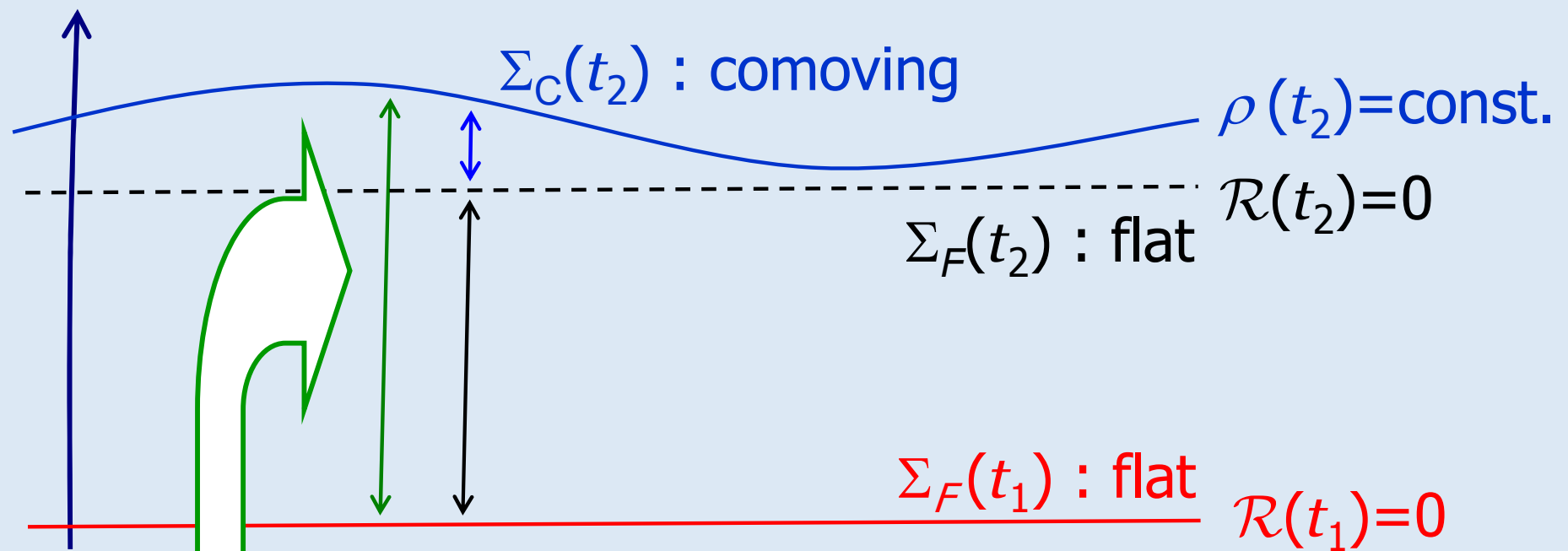
$$N(t_2, t_1) \equiv \int_{t_1}^{t_2} \tilde{H} d\tau = N_o(t_2, t_1) + \mathcal{R}(t_2, x^i) - \mathcal{R}(t_1, x^i)$$

$$N_o(t_2, t_1) \equiv \ln[a(t_2)/a(t_1)]$$

# Nonlinear $\delta N$ - formula

Choose **flat slice at  $t = t_1$  [  $\Sigma_F(t_1)$  ]** and  
comoving (=uniform density) at  $t = t_2$  [  $\Sigma_C(t_2)$  ] :

( 'flat' slice:  $\Sigma(t)$  on which  $\mathcal{R} = 0 \leftrightarrow e^\alpha = a(t)$  )



$$N(t_2, t_1) \equiv N_o(t_2, t_1) + \delta N_F(t_2, t_1; x^i)$$

$$\delta N_F(t_2, t_1; x^i) = \mathcal{R}_C(t_2, x^i)$$

## How do we relate $\delta N$ to matter evolution?

need eqn relating 'expansion' with matter 'evolution'

energy conservation!

$$\frac{d}{d\tau} \rho + 3\tilde{H}(\rho + p) = 0 \quad \Rightarrow \quad \tilde{H} \equiv -\frac{1}{3(\rho + p)} \frac{\partial}{\partial \tau} \rho$$

$$\Rightarrow \quad N(t_2, t_1) = -\int_{t_1}^{t_2} dt \frac{1}{3(\rho + p)} \frac{\partial \rho}{\partial t}$$

$$\delta N_F(t_2, t_1; x^i) = -\frac{1}{3} \int_{\Sigma_F(t_1)}^{\Sigma_C(t_2)} \frac{\partial_t \rho}{\rho + P} \Big|_{x^i} dt + \frac{1}{3} \int_{\Sigma_F(t_1)}^{\Sigma_C(t_2)} \frac{\partial_t \rho}{\rho + P} \Big|_0 dt$$

$x^i=0$  : fiducial background trajectory

$\rho(x^i, t_2) = \rho(0, t_2) =$  uniform on  $\Sigma_C(t_2)$

matter fluctuates only on the **initial flat slice**

- Nonlinear  $\delta N$  for multi-component inflation :

$$\begin{aligned}\delta N &= N(\phi^A + \delta\phi^A) - N(\phi^A) \\ &= \sum_n \frac{1}{n!} \frac{\partial^n N}{\partial\phi^{A_1} \partial\phi^{A_2} \dots \partial\phi^{A_n}} \delta\phi^{A_1} \delta\phi^{A_2} \dots \delta\phi^{A_n}\end{aligned}$$

where  $\delta\phi = \delta\phi_F$  is fluctuation on **initial flat slice** at or after horizon-crossing.

$\delta\phi_F$  may contain non-Gaussianity from  
subhorizon (quantum) interactions

eg, in DBI inflation

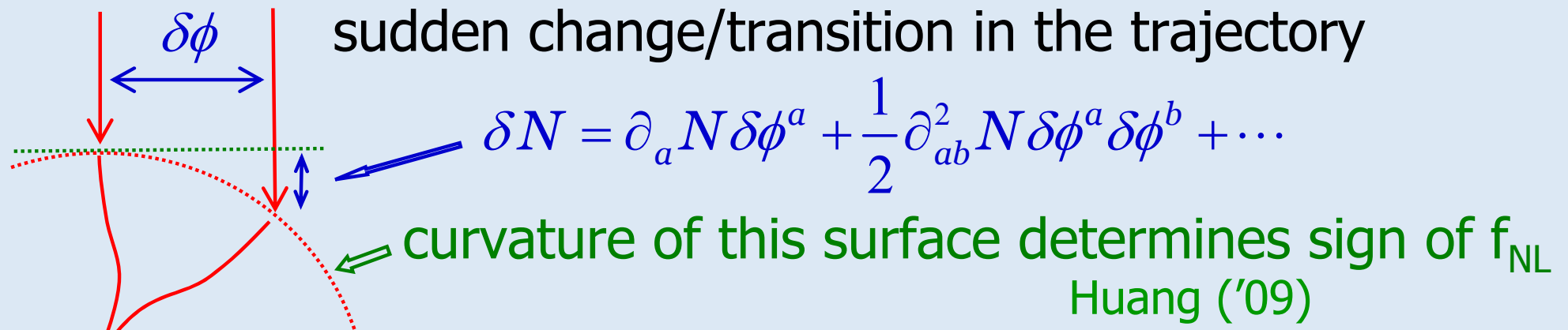
# NG generation on superhorizon scales

two efficient mechanisms to convert  
isocurvature to curvature perturbations:

- curvaton-type Lyth & Wands ('01), Moroi & Takahashi ('01),...

$$\rho_{\text{curv}} \ll \rho_{\text{tot}} \iff \text{highly nonlinear dep on } \delta\phi_{\text{curv}}$$

- multi-brid inflation MS ('08), Naruko & MS ('08),...



tensor-scalar ratio  $r$  may be large in multi-brid models,  
while it is always **small in curvaton-type if NG is large.**

# Curvaton model

Lyth & Wands ('01)  
Moroi & Takahashi ('01)

Inflation driven by inflaton =  $\phi$

**Final** curvature perturbation dominated by curvaton =  $\chi$

$$V_{tot} = V(\phi) + \frac{1}{2} m_\chi^2 \chi^2$$

$$m_\chi^2 \ll H^2 \approx \frac{8\pi G V(\phi)}{3}$$

during inflation:  $V(\phi) \gg \frac{1}{2} m_\chi^2 \chi^2$

curvature perturbation is still dominated by  $\phi$

$$\delta\phi \sim \frac{H}{2\pi}, \quad \delta\chi \sim \frac{H}{2\pi} \quad \Rightarrow \quad |V'(\phi)\delta\phi| \gg m_\chi^2 \left| (\chi + \delta\chi)^2 - \chi^2 \right|$$

after inflation,  $\phi$  thermalizes.  $\chi$  undergoes damped oscillation

$$\Rightarrow \begin{cases} \rho_\phi = \rho_\gamma \propto a^{-4} \\ \rho_\chi \propto a^{-3} \end{cases} \Rightarrow \mathcal{R}_c \sim \frac{4\rho_\gamma \mathcal{R}_\phi + 3\rho_\chi \mathcal{R}_\chi}{4\rho_\gamma + 3\rho_\chi} \quad f_{NL} \sim 1/q$$

Assume  $\delta\chi$  dominates the final curvature perturbation:

$$\mathcal{R}_c \approx \frac{q}{4-q} \left( 2 \frac{\delta\chi}{\chi} + \left( \frac{\delta\chi}{\chi} \right)^2 + \dots \right) \underset{q \ll 1}{\approx} \left( \frac{q}{2} \frac{\delta\chi}{\chi} \right) + \left( \frac{1}{q} \right) \left( \frac{q}{2} \frac{\delta\chi}{\chi} \right)^2$$

$$q \equiv \frac{\rho_\chi}{\rho_\chi + \rho_\gamma} \Big|_{t=t_{\text{decay}}} \quad \dots \text{density fraction when } \chi \text{ decays}$$

large NG if  $q \ll 1$

Enqvist & Nurmi ('05)

tensor-scalar ratio will be strongly suppressed:

$$r = \frac{P_T(k)}{P_{\mathcal{R}\chi}(k)} = \frac{P_T(k)}{P_{\mathcal{R}\phi}(k)} \frac{P_{\mathcal{R}\phi}(k)}{P_{\mathcal{R}\chi}(k)} \ll \frac{P_T(k)}{P_{\mathcal{R}\phi}(k)} \ll 1$$

# Multi-brid inflation

“multi”-field hy“brid” inflation

MS (2008)

$$L_\phi = -\frac{1}{2} \sum_A g^{\mu\nu} \partial_\mu \phi_A \partial_\nu \phi_A - V(\phi)$$

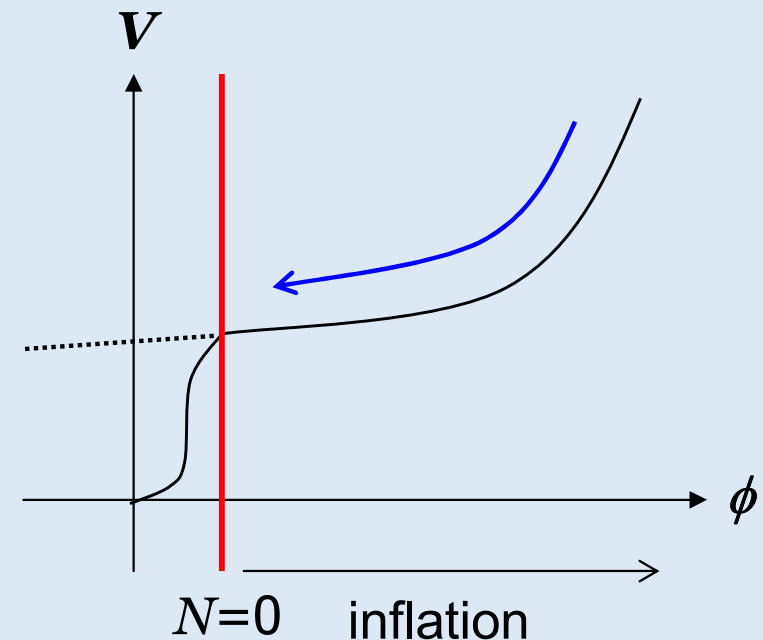
- slow-roll eom ( $8\pi G = M_{\text{Planck}}^{-2} = 1$ )

$$\frac{d\phi_A}{dt} = -\frac{1}{3H} \frac{\partial V}{\partial \phi_A}, \quad 3H^2 = V$$

$N$  as a time variable:  $dN = -Hdt$

$$\Rightarrow \frac{d\phi_A}{dN} = \frac{1}{3V} \frac{\partial V}{\partial \phi_A}$$

$$\Rightarrow \phi_A = \phi_A(N, \phi_A^0)$$



... slow-roll ends at  $F(\phi_A)=0$ .

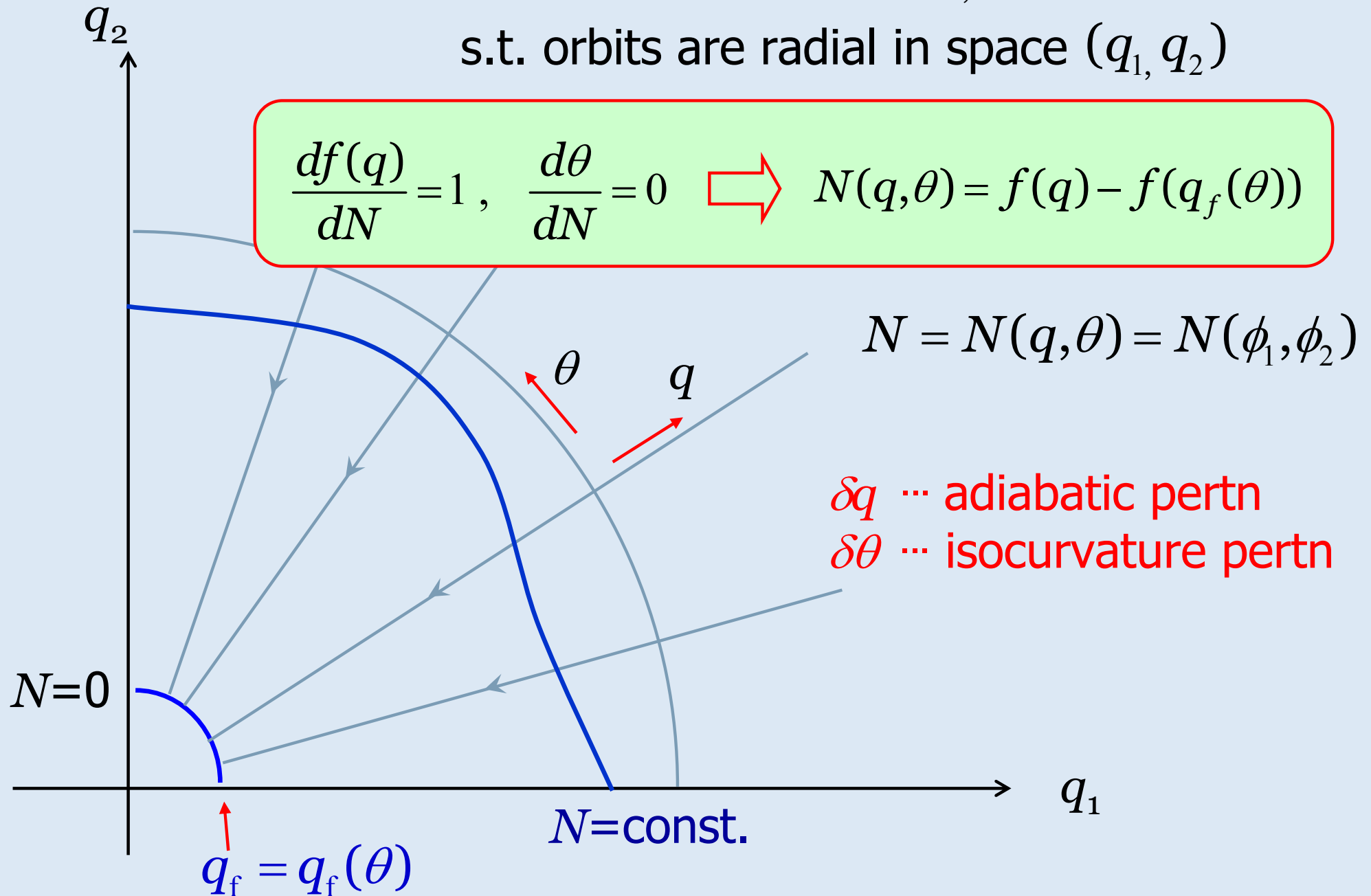


2-dim case:

coord trans  $(\phi_1, \phi_2) \rightarrow (q_1, q_2)$

s.t. orbits are radial in space  $(q_1, q_2)$

$$\frac{df(q)}{dN} = 1, \quad \frac{d\theta}{dN} = 0 \quad \Rightarrow \quad N(q, \theta) = f(q) - f(q_f(\theta))$$

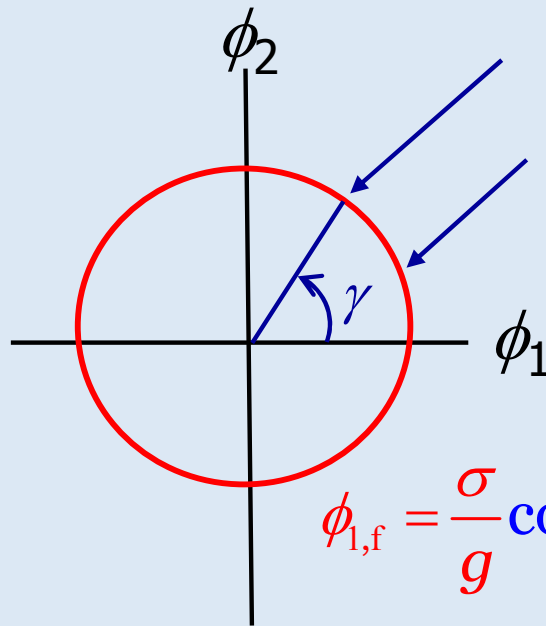


# analytical multi-brid model

➤ Exponential potential:  $V = V_0 \exp[m_1\phi_1 + m_2\phi_2]$

Inflation ends at  $g^2(\phi_1^2 + \phi_2^2) = \sigma^2$   
realized by a waterfall field  $\chi$ :

$$V_0 = \frac{1}{2}g^2(\phi_1^2 + \phi_2^2)\chi^2 + \frac{\lambda}{4}\left(\chi^2 - \frac{\sigma^2}{\lambda}\right)^2$$



$$\phi_{1,f} = \frac{\sigma}{g} \cos \gamma, \quad \phi_{2,f} = \frac{\sigma}{g} \sin \gamma$$

trajectory specified by “ $\gamma$ ”

•  $\delta N$  to 2<sup>nd</sup> order in  $\delta\phi$ :

$$\delta N = \frac{\delta\phi_1 \cos \gamma + \delta\phi_2 \sin \gamma}{m_1 \cos \gamma + m_2 \sin \gamma} + \frac{g}{2\sigma} \frac{(m_2 \delta\phi_1 - m_1 \delta\phi_2)^2}{(m_1 \cos \gamma + m_2 \sin \gamma)^3}$$

$$\Rightarrow \delta N = \delta_L N + \frac{3}{5} f_{NL}^{\text{local}} (\delta_L N + \textcircled{S})^2$$

linear entropy perturbation  
contributes at 2<sup>nd</sup> order

$$\delta_L N \equiv \frac{\delta\phi_1 \cos \gamma + \delta\phi_2 \sin \gamma}{m_1 \cos \gamma + m_2 \sin \gamma}, \quad S \equiv \frac{\delta\phi_1 \sin \gamma - \delta\phi_2 \cos \gamma}{m_2 \cos \gamma - m_1 \sin \gamma}$$

↑

“true” entropy perturbation

- curvature perturbation spectrum

$$P_S(k) = \frac{1}{(m_1 \cos \gamma + m_2 \sin \gamma)^2} \left( \frac{H}{2\pi} \right)^2 \Bigg|_{k=Ha}$$

spectral index:  $n_s = 1 - (m_1^2 + m_2^2)$

tensor/scalar:  $r \equiv \frac{P_T(k)}{P_S(k)} = 8(m_1 \cos \gamma + m_2 \sin \gamma)^2$

non-Gaussianity:  $f_{NL}^{\text{local}} = \frac{5g}{6\sigma} \frac{(m_2 \cos \gamma - m_1 \sin \gamma)^2}{m_1 \cos \gamma + m_2 \sin \gamma}$

just for fun ...

$$1 = M_{Pl} = (8\pi G)^{-1/2} = 2.43 \times 10^{18} \text{ GeV}$$

model parameters:  $m_1^2 \sim 0.005$ ,  $m_2^2 \sim 0.035$

assume  $m_1 \cos \gamma \gtrsim m_2 \sin \gamma$  ( $\Leftrightarrow \gamma \ll 1$ )

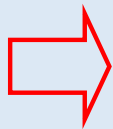
outputs:

$$\left. \begin{aligned} n_s &= 1 - (m_1^2 + m_2^2) \sim 0.96 \\ r &\approx 8m_1^2 \sim 0.04 \end{aligned} \right\}$$

indep. of waterfall field

$$3H^2 = \sigma^4 / 4\lambda \sim 1.5 \times 10^{-9} \quad (\Leftrightarrow P_s(k) \sim 2.5 \times 10^{-9})$$

$$\Rightarrow \sigma^2 \sim \lambda^{1/2} \times 10^{-4}$$

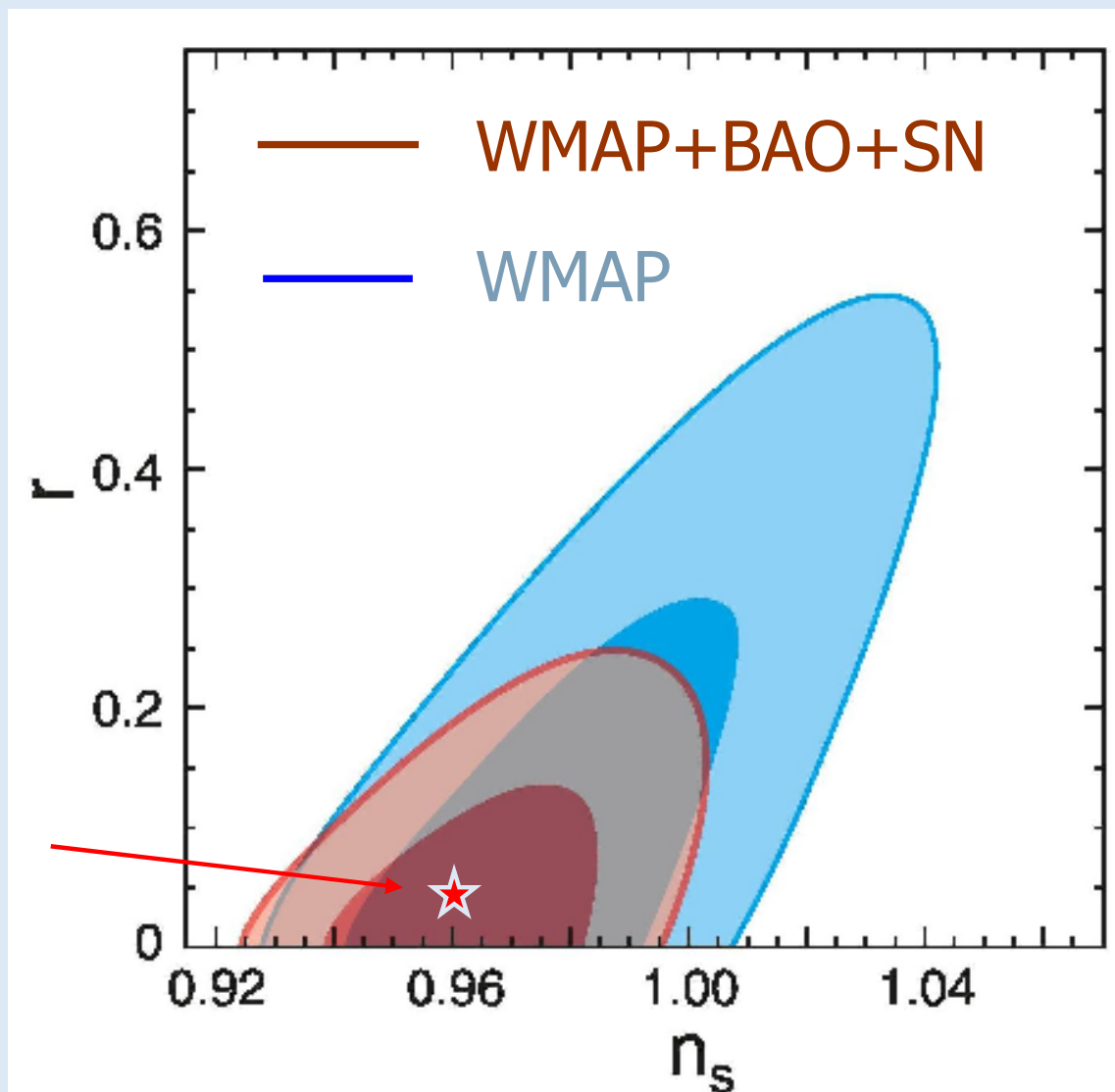


$$f_{NL}^{\text{local}} \approx \frac{5gm_2^2}{6\sigma m_1} \sim 40 \frac{g}{\lambda^{1/4}}$$

WMAP 5yr constraint on  $r$  &  $n_s$ 

Komatsu et al. '08

example



and  $f_{\text{NL}}^{\text{local}}$  can be  $\sim 50$  as well.

## 5. Summary

- inflation explains observed structure of the universe

flatness:  $\Omega_0=1$  to good accuracy

curvature perturbation spectrum

almost **scale-invariant**

almost **Gaussian**

- inflation also predicts scale-invariant tensor spectrum

will be detected soon **if** tensor-scalar ratio  $r>0.1$

any new/additional features?

# non-Gaussianities

- 3 origins of NG in curvature perturbation
  1. subhorizon ... quantum origin
  2. superhorizon ... classical (local) origin
  3. NL gravity ... late time classical dynamics

NG from  
inflation

- DBI-type model: origin 1.

$f_{NL}^{\text{equil}}$  may be large

need to be quantified

- non BD vacuum: origin 1.

any type of  $f_{NL}$  may be large

could be spatially localized: "NG bubbles in the sky"

Sugimura, Yamauchi & MS in prep.

- multi-field model: origin 2.

$f_{NL}^{\text{local}}$  may be large:

In curvaton-type models  $r \ll 1$ .  
Multi-brid model may give  $r \sim 0.1$ .

Identifying properties of non-Gaussianity is extremely important for understanding physics of the early universe

not only **bispectrum**(3-pt function) but also **trispectrum** or higher order **n-pt functions** may become important.

Confirmation of primordial NG?

PLANCK (February 2013?) ...