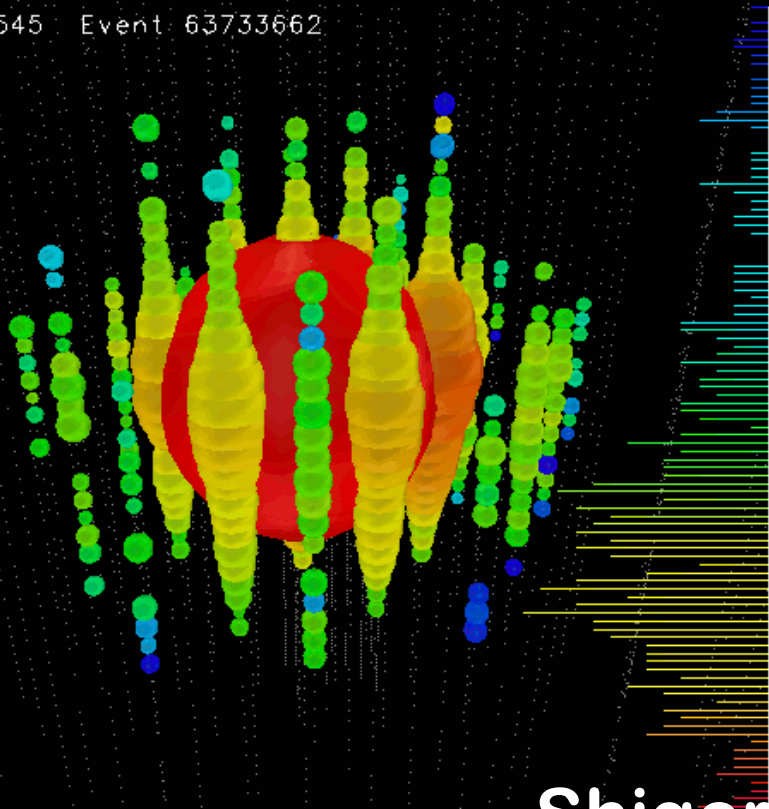


# Detection of Ultra-high energy neutrinos

The 'First Light' of the high energy neutrino astronomy

Run 118545 Event 63733662



Shigeru Yoshida

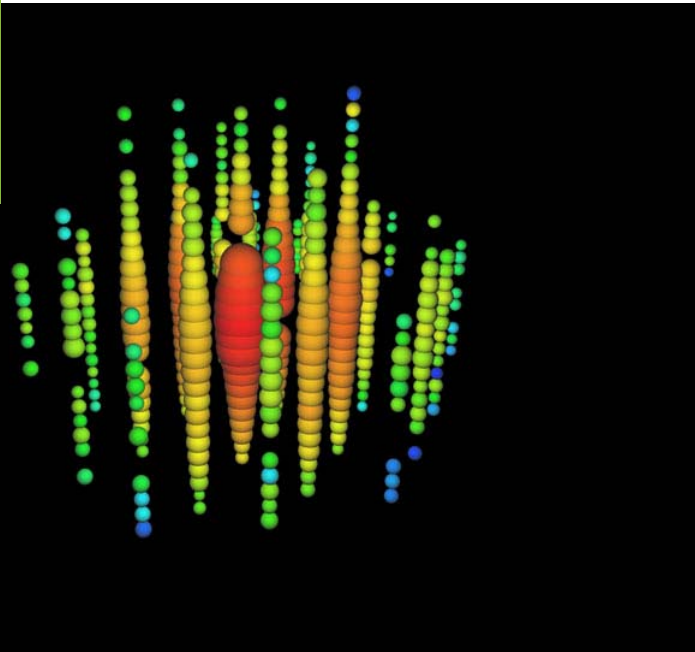
Department of Physics

Chiba University

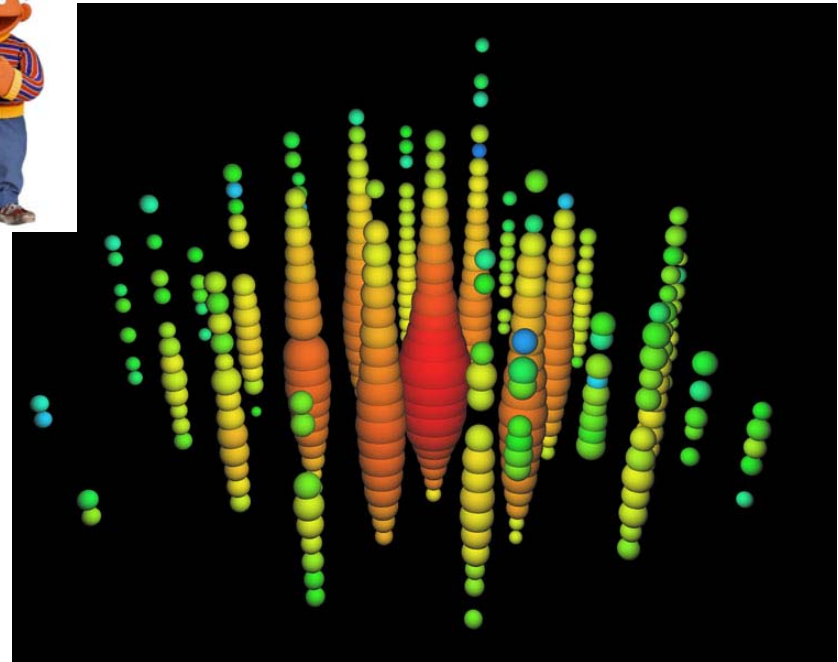
# the 1<sup>st</sup> discovery of the PeV $\nu$

Physical Review Letters 111, 021103 (2013)

“Bert” 1.04 PeV



“Ernie” 1.14 PeV



2.8  $\sigma$  excess on the atmospheric background

very the 1<sup>st</sup> indication of astrophysical  $\nu$

# “Cover-boy” of Physical Review Letters



## NEWSPAPER

Observation of a high-energy particle shower event from August 2011, identified as a BeV-energy muon. Each sphere represents a digital optical scintille sensor in the IceCube detector. Sphere size is a measure of the recorded number of photoelectrons. Colors represent arrival times of photons (red, orange, blue, black). Selected for a Symposium in *Physics and an Editors' Suggestion* [M. C. Aurneas *et al.*, IceCube Collaboration, *Phys. Rev. Lett.* 111, 021103 (2013)]

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12 July 2013

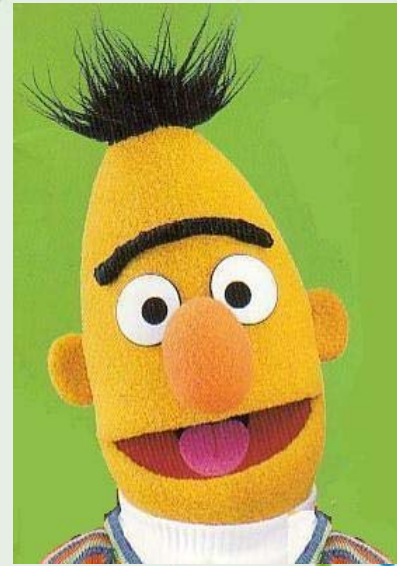
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M.P. Blencowe	

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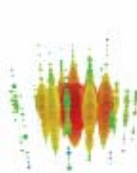
Articles published week ending 12 JULY 2013

PRL 111 (2), 020401–020902, 12 July 2013 (416 total pages)



# “Cover-boy” of Physical Review Letters

## NEWSPAPER



Observation of a high-energy particle shower event from August 2011, identified as a B<sup>-</sup>energy matrix. Each sphere represents a digital optical module sensor in the IceCube detector. Sphere size is a measure of the recorded number of photoelectrons. Colors represent arrival times of photons (red, orange, blue, black). Selected for a Symposium in *Physics* and as Editors' Suggestion. [M. C. Aarssen *et al.*, IceCube Collaboration, *Phys. Rev. Lett.* 111, 021103 (2013)]

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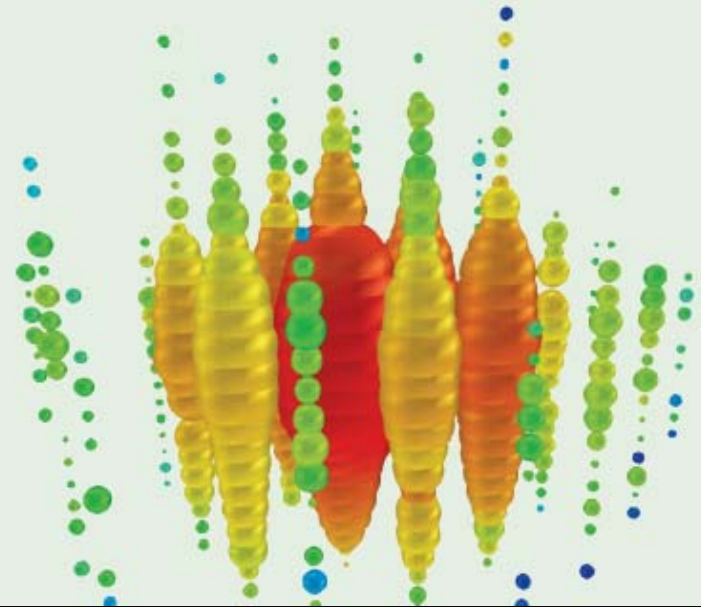
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Articles published week ending 12 JULY 2013

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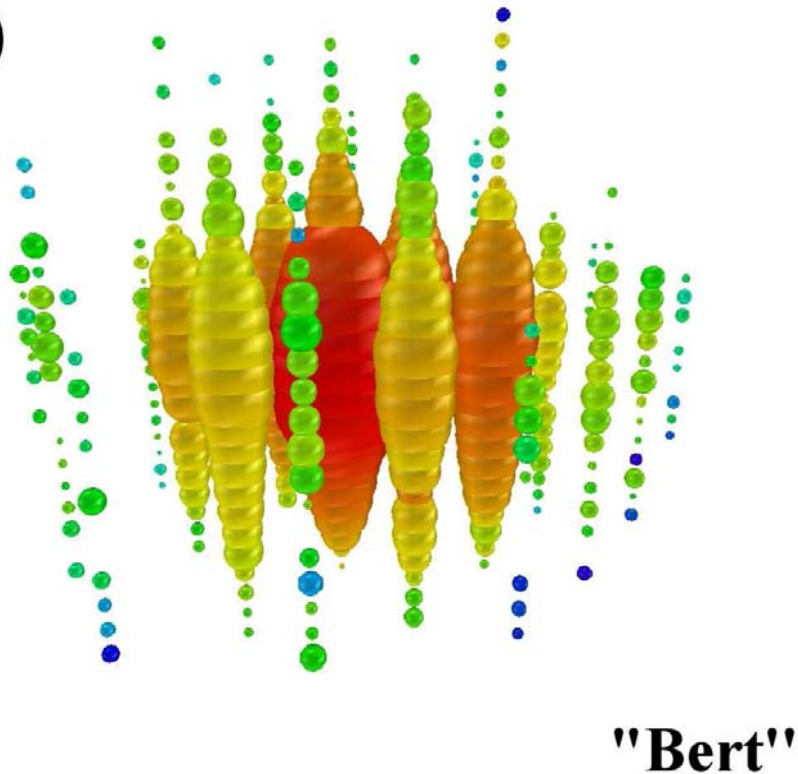


# A proof of the PRL's high standard for publication

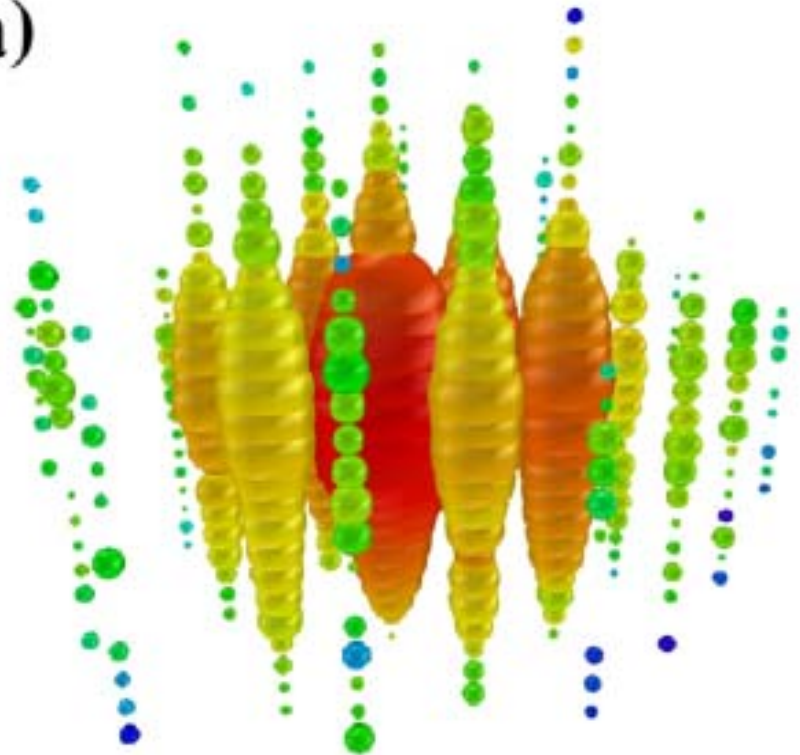
The version *submitted*

The version *accepted*

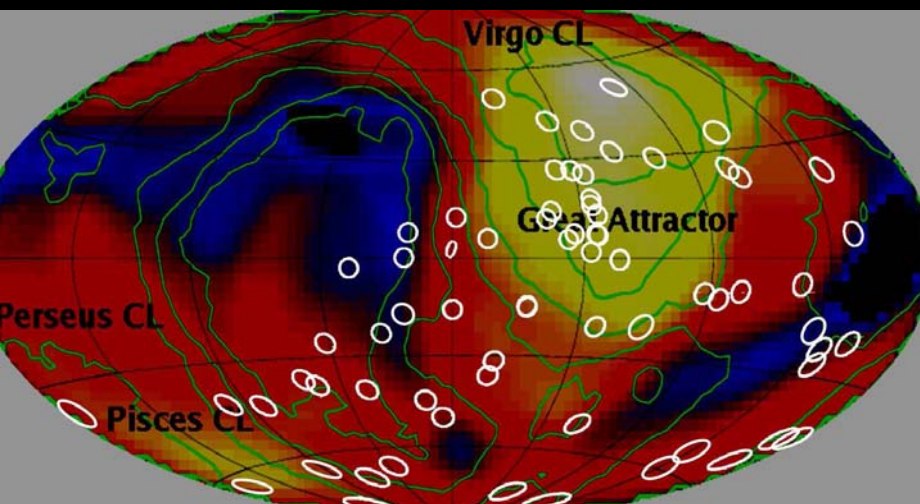
(a)



(a)



# The challenge



Arrival directions of UHE cosmic-rays measured by Auger and the Integral X-ray map (above) or the nearby clusters (arxiv-1101.0273 D.Fargion et al)

No clear correlations.....

## Two possibilities

1. Our hypotheses on the high energy cosmic ray emitters are totally wrong

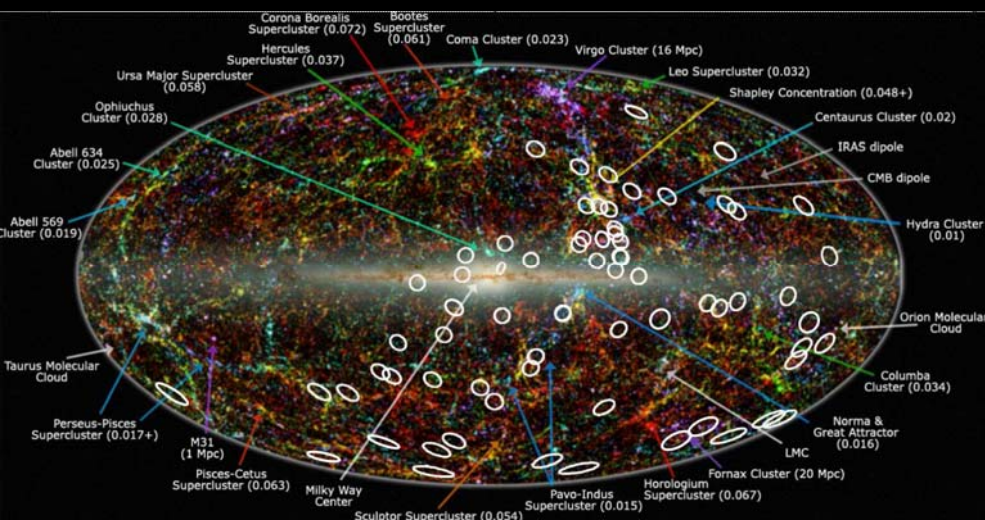
We may not be so smart.

2. Cannot handle pointing them back to their radiation points

Magnetic field?

Particle charge?

Proton or even iron?



# Solutions

## 1. Correct more and more events

A super high statistics may resolve B, charge, and source locations, all of which are uncertain at the moment

## 2. Neutrinos!!

No electric charge. Coming to us straight

Highly complementary –  $\nu$  can travel over a LONG distance

The cons : measurement of  $\nu$ 's is really a tough business

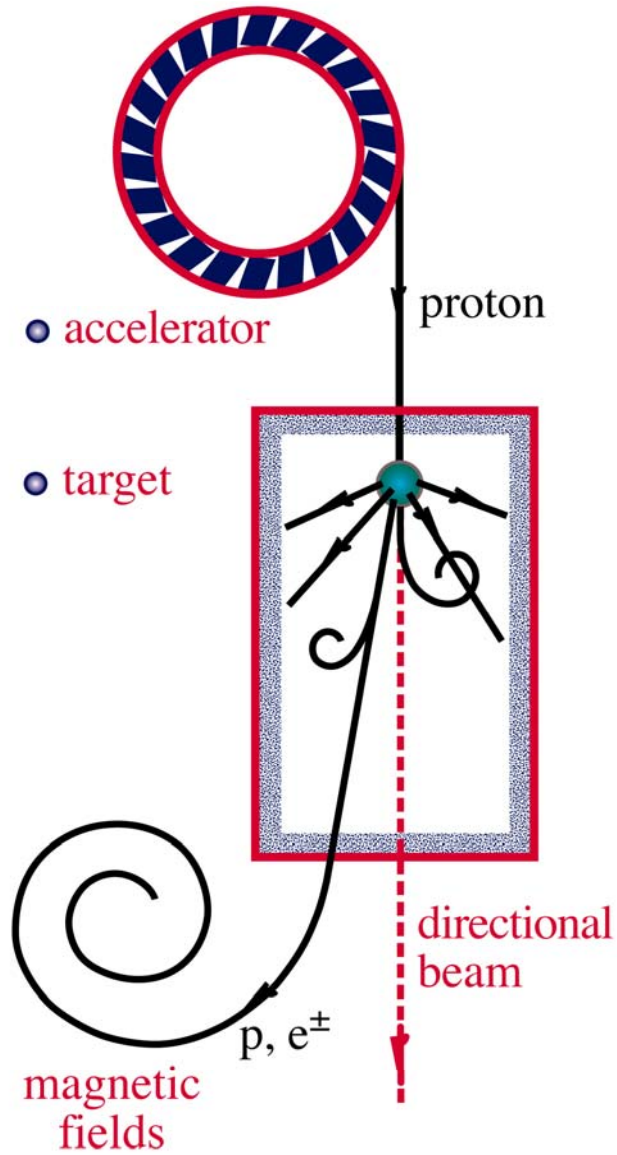
They are weakly interacting particles → a huge detector

The atmospheric  $\nu$  or  $\mu$  backgrounds dominates

→ needs excellent filtering programs

Main topic in this talk

# NEUTRINO BEAMS: HEAVEN & EARTH



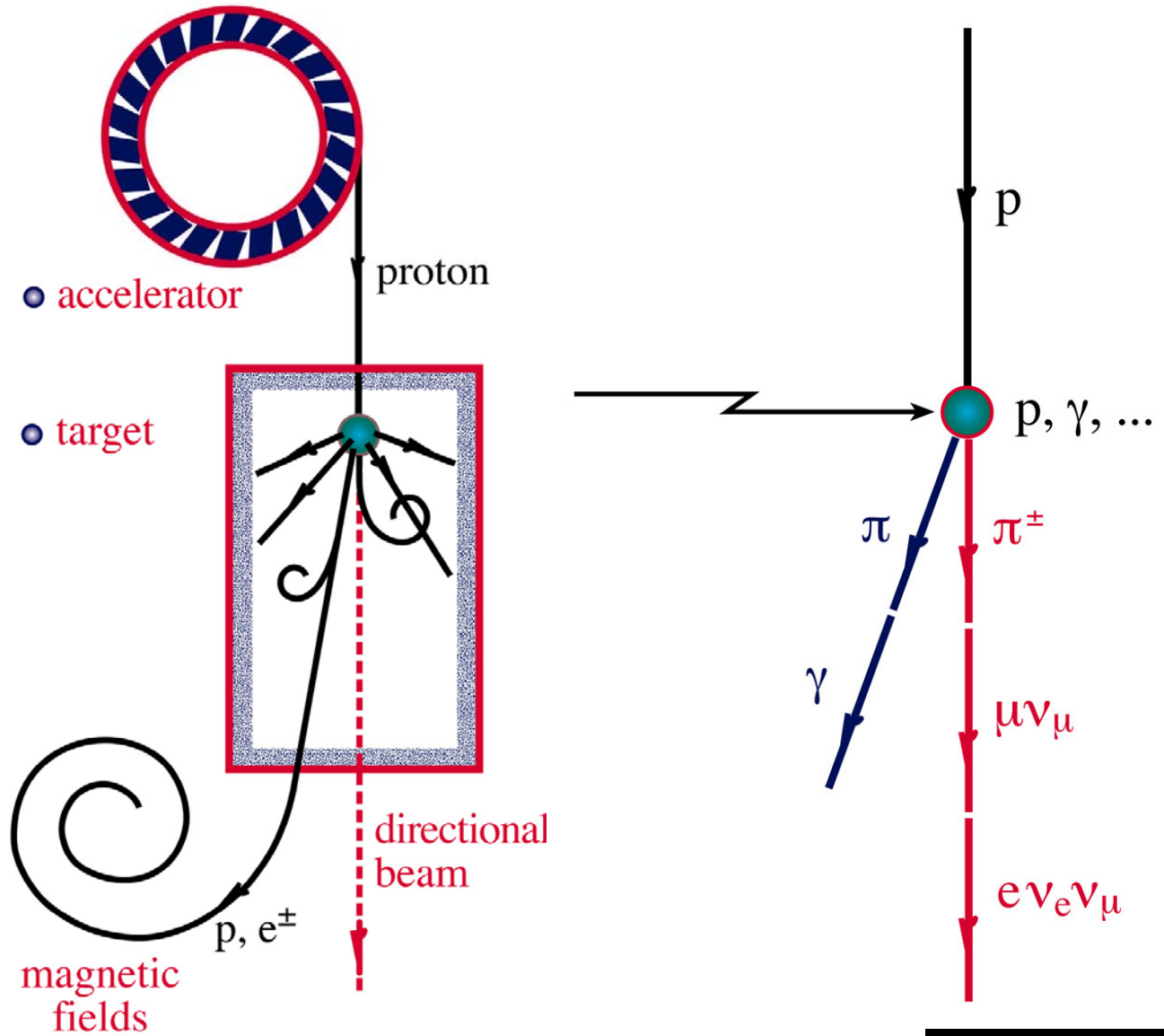
black hole



radiation  
enveloping  
black hole



# NEUTRINO BEAMS: HEAVEN & EARTH

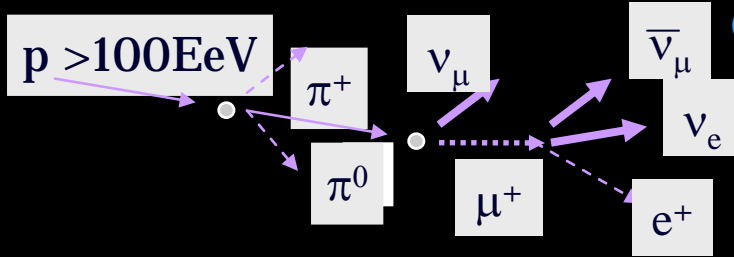


# The highest energy neutrinos

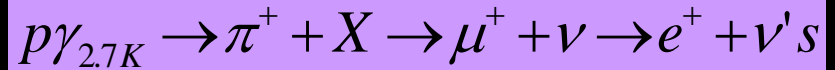
*cosmogenic (GZK) neutrinos* induced by the interactions of cosmic-ray and CMBs

Off-Source (<50Mpc) astrophysical neutrino production via

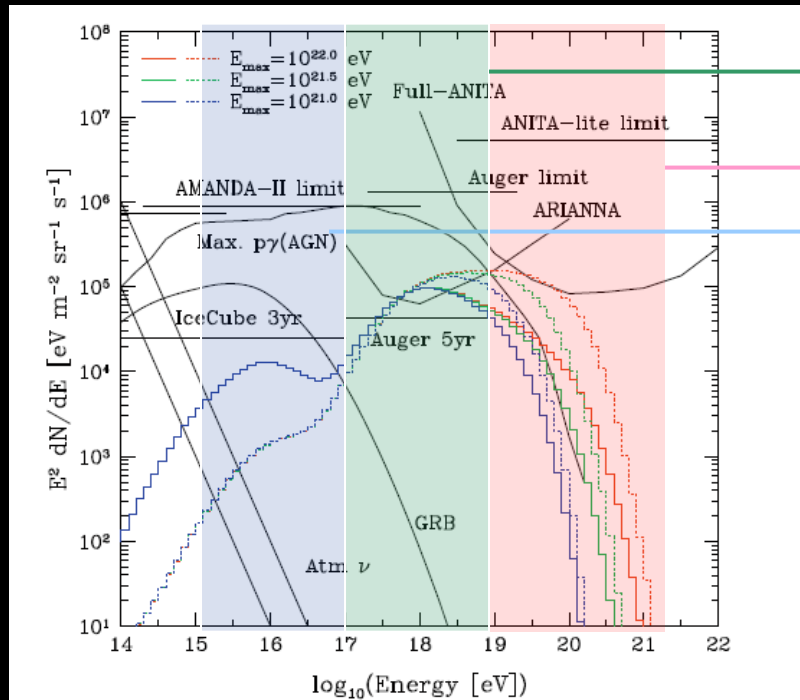
**GZK** (Greisen-Zatsepin-Kuzmin) mechanism



The main energy range:  $E_\nu \sim 10^8 - 10^{10} \text{ GeV}$



Takami et al *Astropart.Phys.* **31**, 201 (2009)



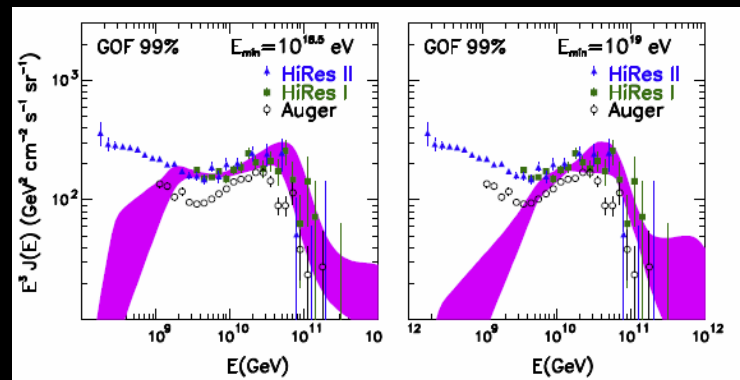
The region of the main GZK  $\nu$  intensity

Trace the UHECR emission history

Probe maximal radiated energy

Probe transition from galactic to extra-galactic

Ahlers et al, *Astropart.Phys.* **34** 106 (2010)



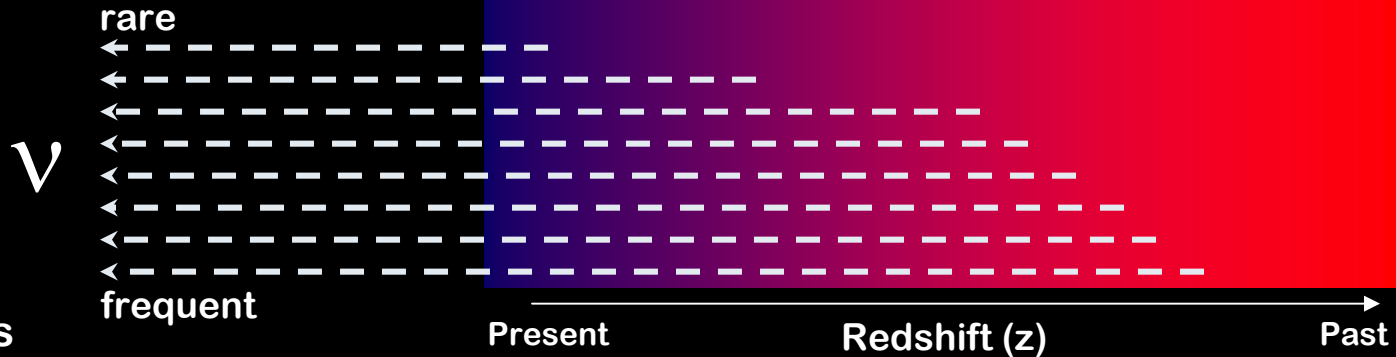
“Dip” model

“Ankle” model

# Tracing *history* of the particle emissions with $\nu$ flux

color : emission rate of ultra-high energy particles

Intensity gets higher if the emission is more active in the past because  $\nu$  beams are penetrating over cosmological distances



## The cosmological evolution

Many indications that the past was more active.

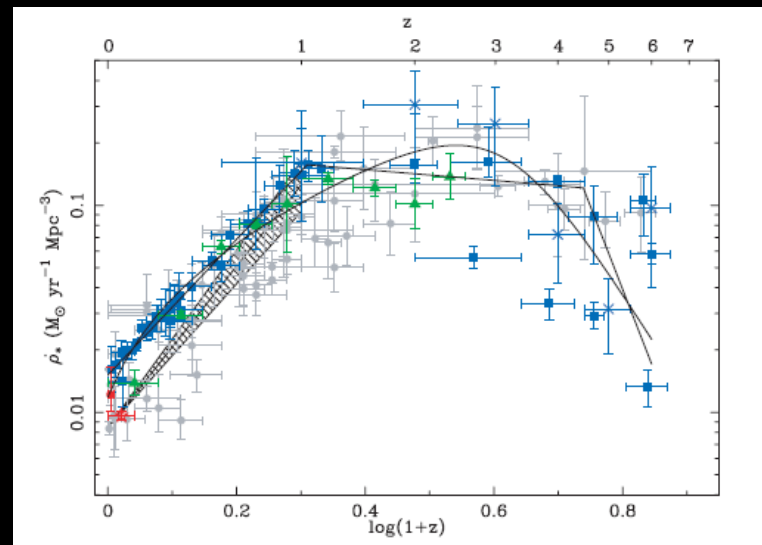
Star formation rate  $\rightarrow$

The spectral emission rate

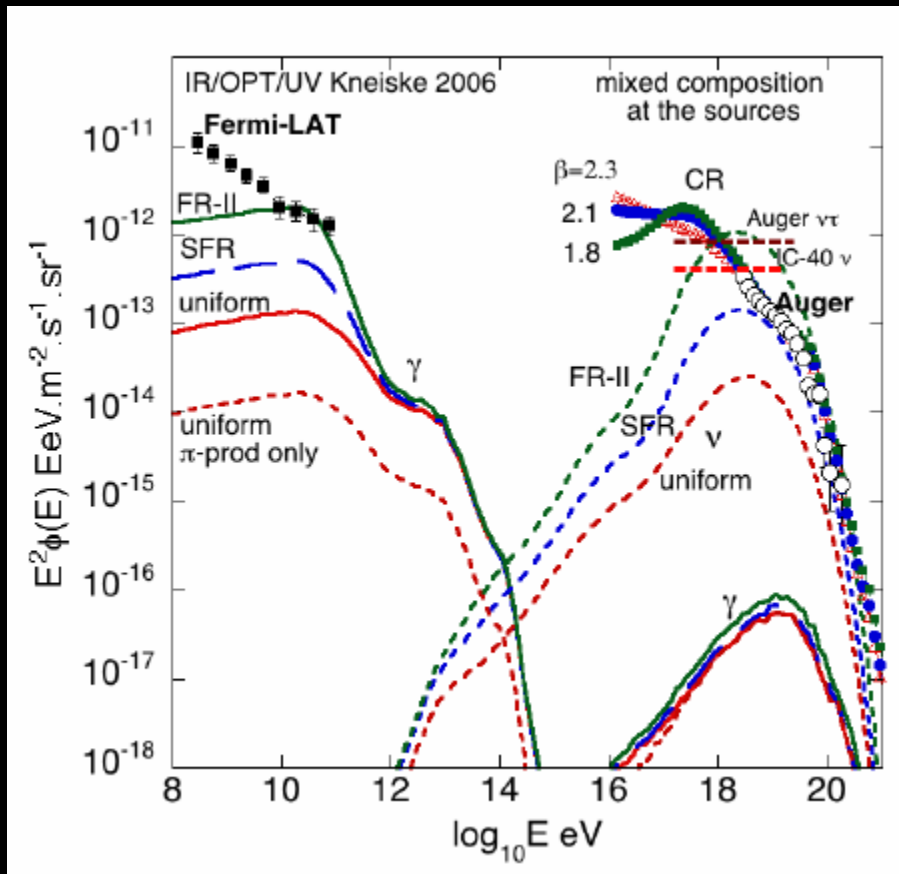
$$\rho(z) \sim (1+z)^m$$

$m=0$  : No evolution

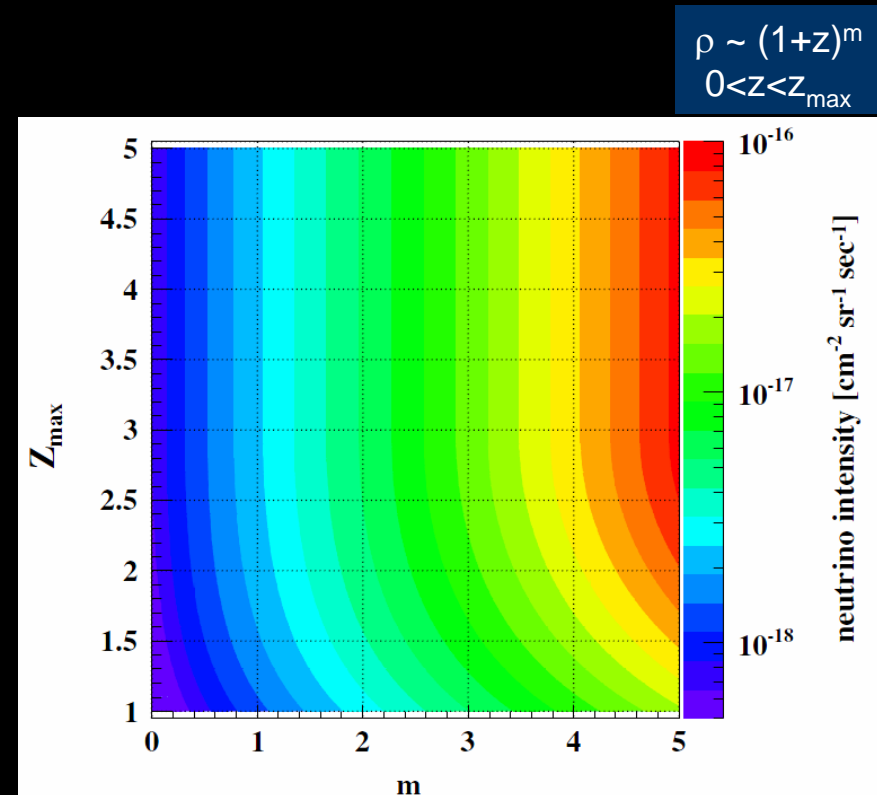
Hopkins and Beacom, *Astrophys. J.* **651** 142 (2006)



# Tracing *history* of the particle emissions with $\nu$ flux

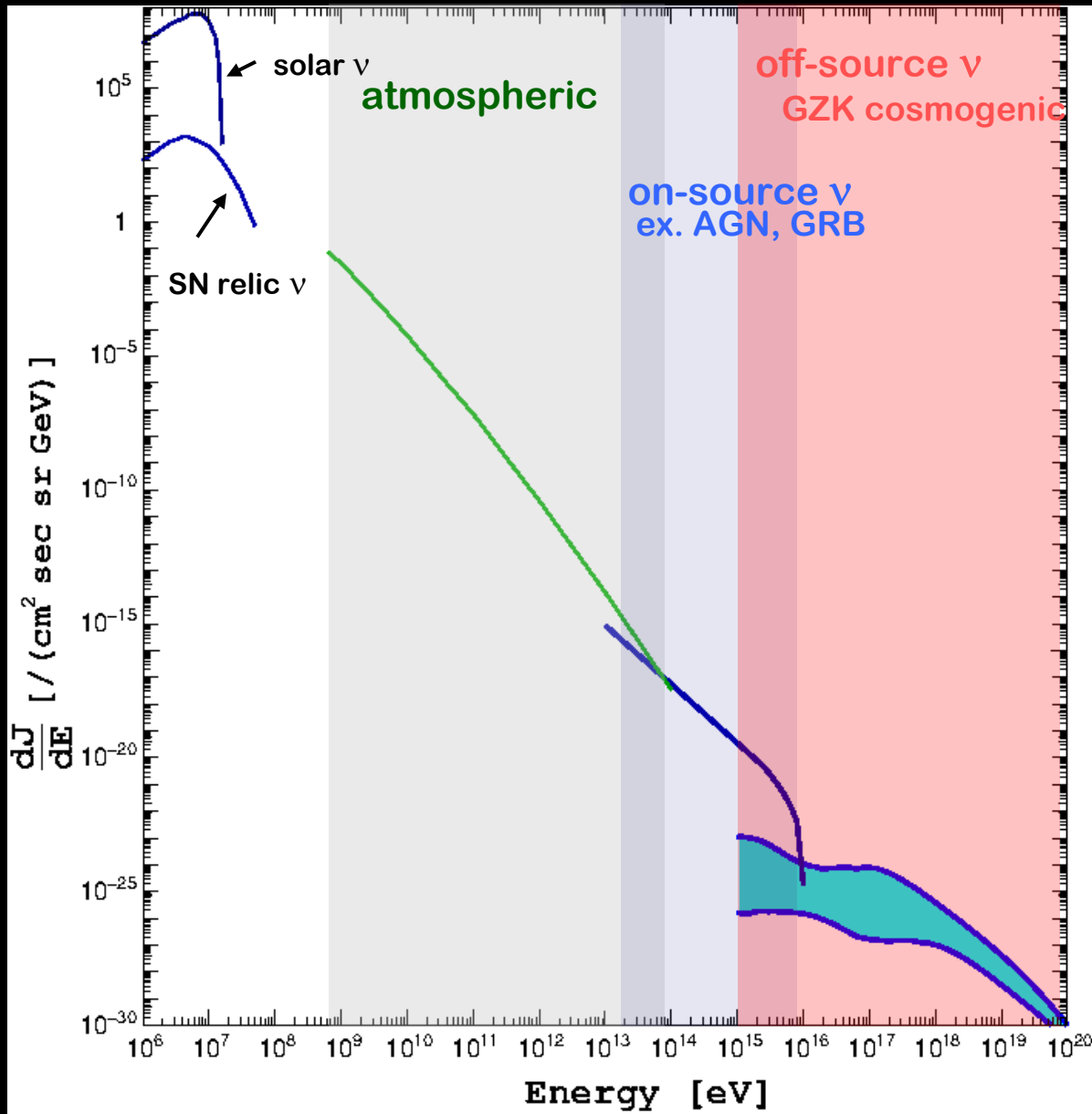


Decerprit and Allard, A&A (2012)



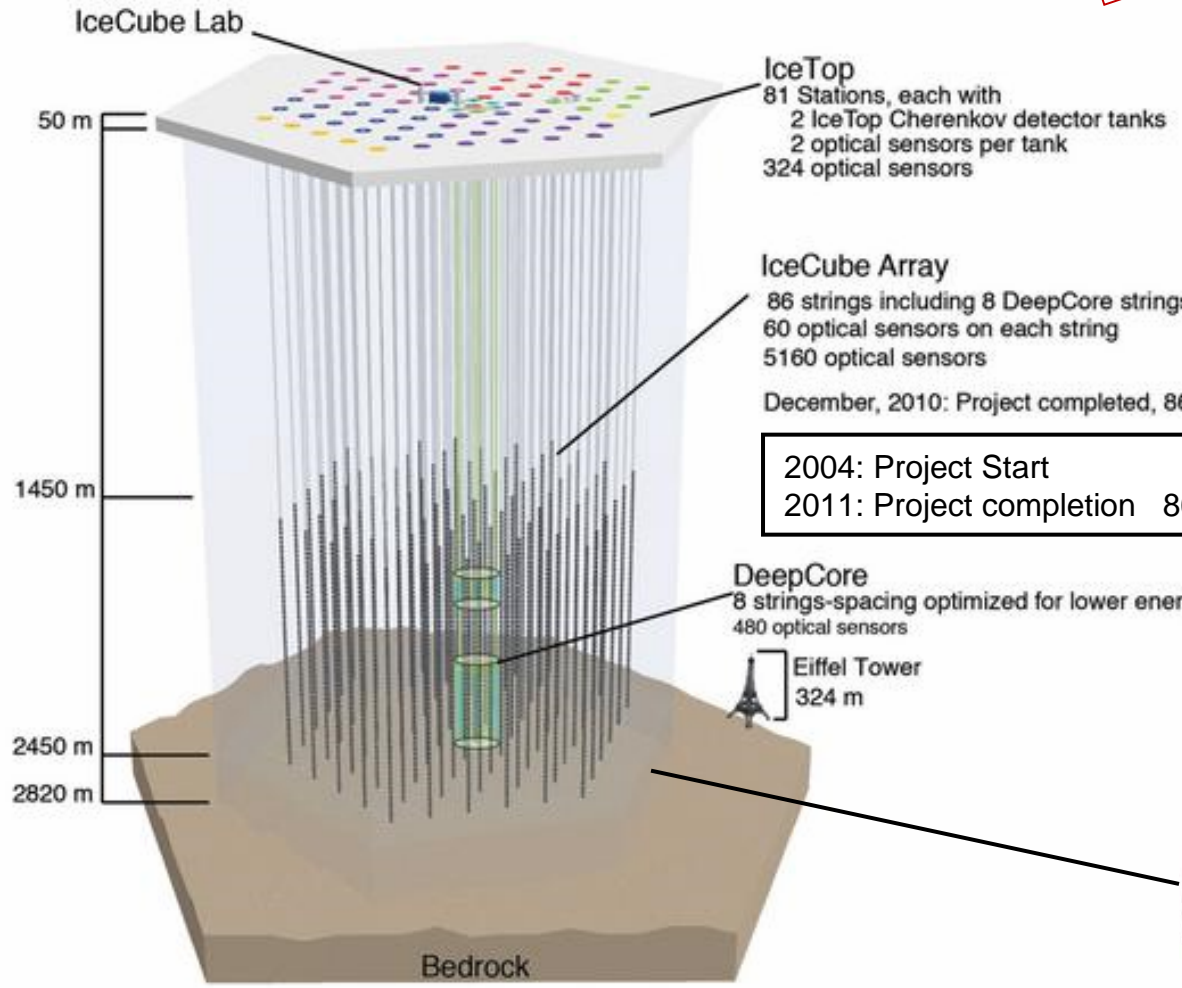
Yoshida and Ishihara, PRD 85, 063002 (2012)

# The $\nu$ spectra from cosmos and atmosphere



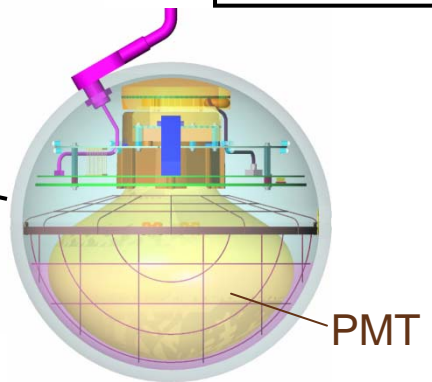
# The IceCube Neutrino Observatory

**Completed: Dec 2010**



2004: Project Start	1 string
2011: Project completion	86 strings

<b>Configuration chronology</b>	
2006:	IC9
2007:	IC22
2008:	IC40
2009:	IC59
2010:	IC79
2011:	IC86

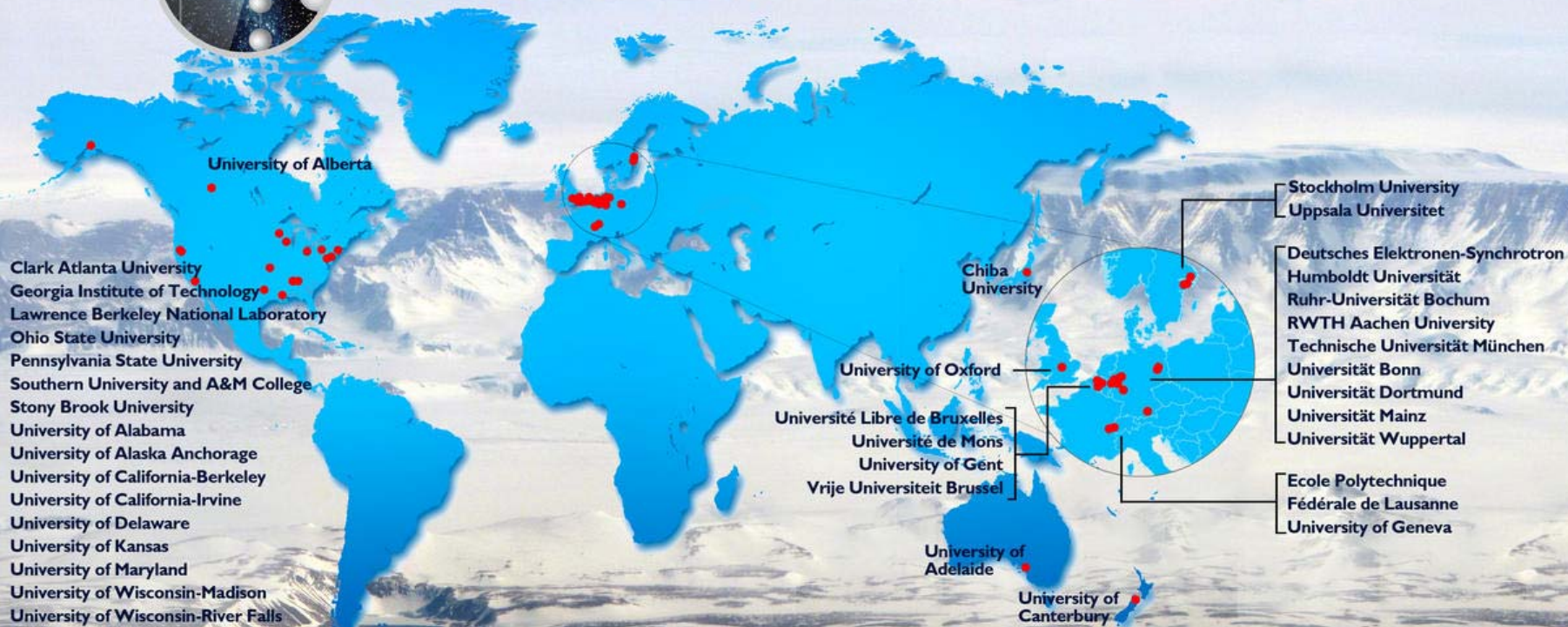


**Full operation with all strings since May 2011**

Digital Optical Module (DOM)



# The IceCube Collaboration



## International Funding Agencies

Fonds de la Recherche Scientifique (FRS-FNRS)  
Fonds Wetenschappelijk Onderzoek-Vlaanderen  
(FWO-Vlaanderen)

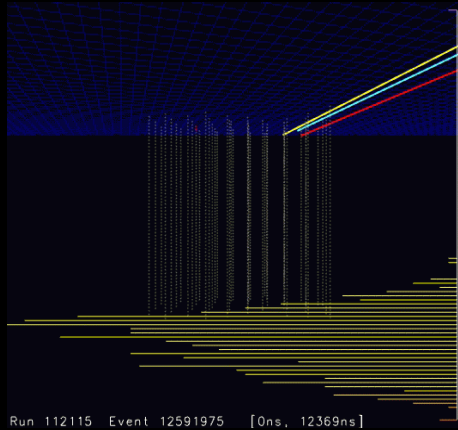
Federal Ministry of Education & Research (BMBF)  
German Research Foundation (DFG)  
Deutsches Elektronen-Synchrotron (DESY)

Knut and Alice Wallenberg Foundation  
Swedish Polar Research Secretariat  
The Swedish Research Council (VR)

University of Wisconsin Alumni Research  
Foundation (WARF)  
US National Science Foundation (NSF)

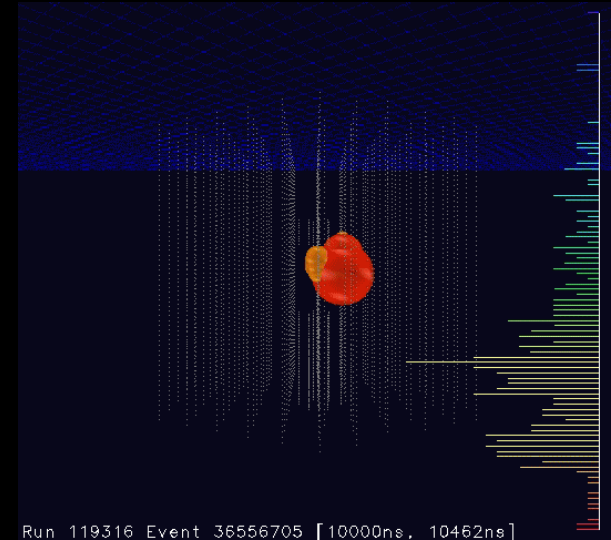


# Topological signatures of IceCube events



## Down-going track

- atmospheric  $\mu$
- secondary produced  $\mu$  from  $\nu_\mu$   
 $\tau$  from  $\nu_\tau$  @  $\gg$  PeV

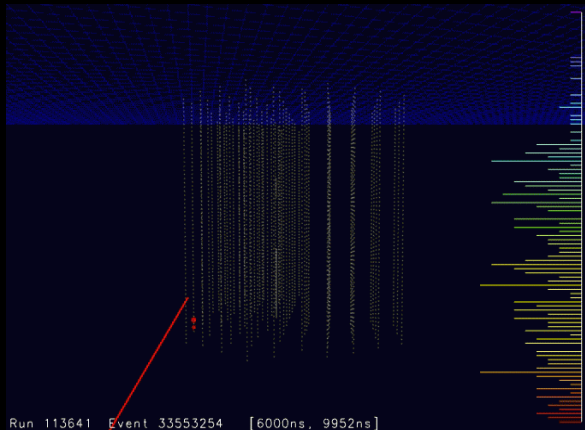


## Cascade (Shower)

directly induced by  $\nu$   
inside the detector volume

- via CC from  $\nu_e$
- via NC from  $\nu_e, \nu_\mu, \nu_\tau$

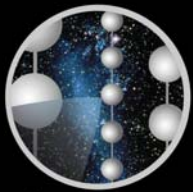
all 3 flavor sensitive



## Up-going track

- atmospheric  $\nu_\mu$





ICECUBE

“IC79”

2010-2011 - 79 strings

May/31/2010-May/12/2011

Effective livetime 319.18days

# The dataset

“IC86”

2011-2012 – 86 strings

May/13/2011-May14/2012

Effective livetime 350.91 days

published  
PRD 83 092003 (2011)

9 strings (2006)

22 strings (2007)

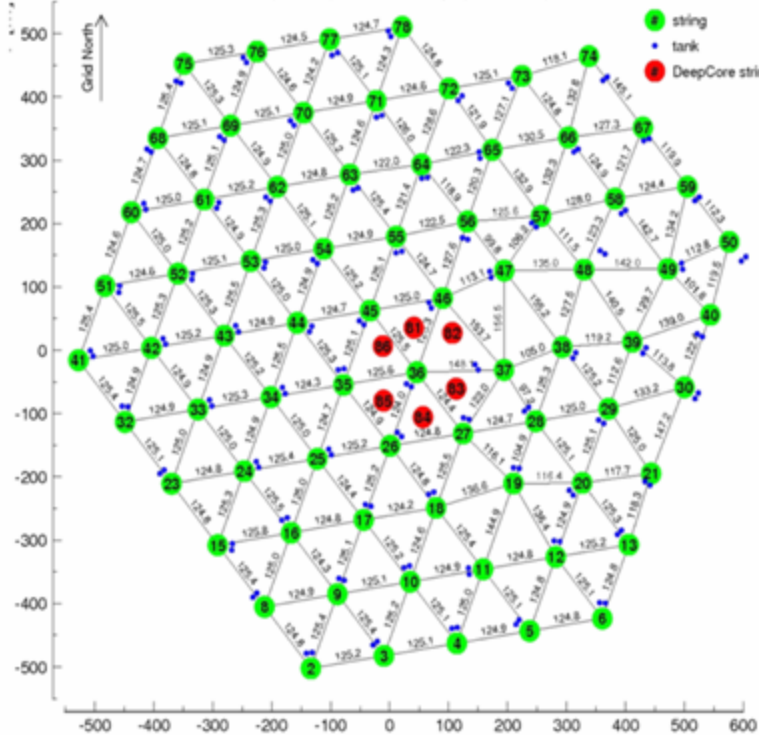
40 strings (2008)

59 strings (2009)

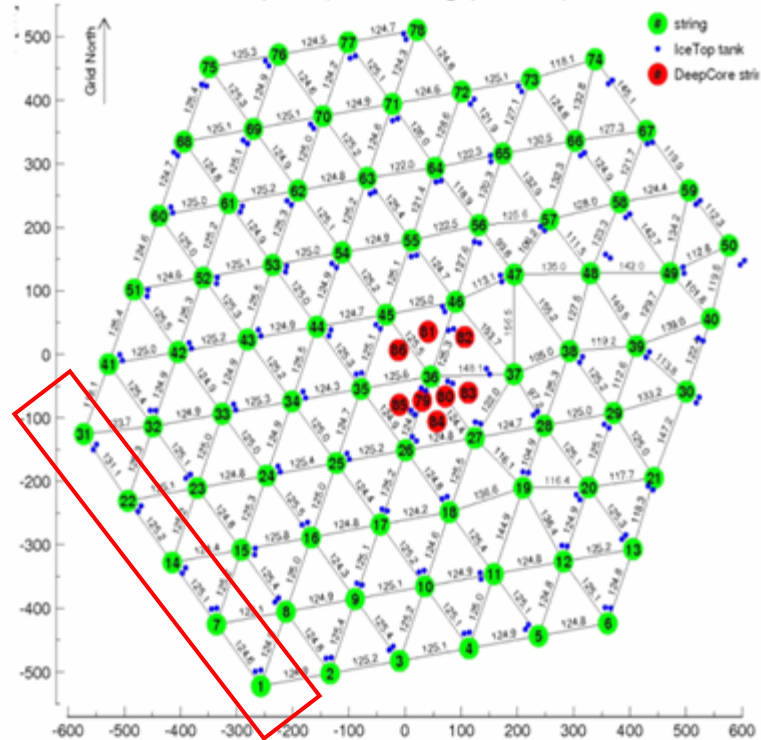
79 strings (2010)

86 strings (2011)

IceCube-79 (73+6) interstring (surface) distances



IceCube-86 (78+8) interstring (surface) distances





# Data Filtering at South Pole

PY 2012 season

86 strings ~ the completed IceCube

Simple Majority Trigger  
8 folds with 5  $\mu$  sec

~ 2.8 kHz

“2<sup>nd</sup> level” trigger

Muon Filter  
selects  
“up-going” tracks

~40 Hz

EHE Filter  
selects  
“bright” events

~1 Hz

NPE > 1000 p.e.

Cascade Filter  
selects  
“cascade”-like events

~34 Hz

Many others

Min Bias  
Moon  
IceTop  
etc

To Northern Hemisphere



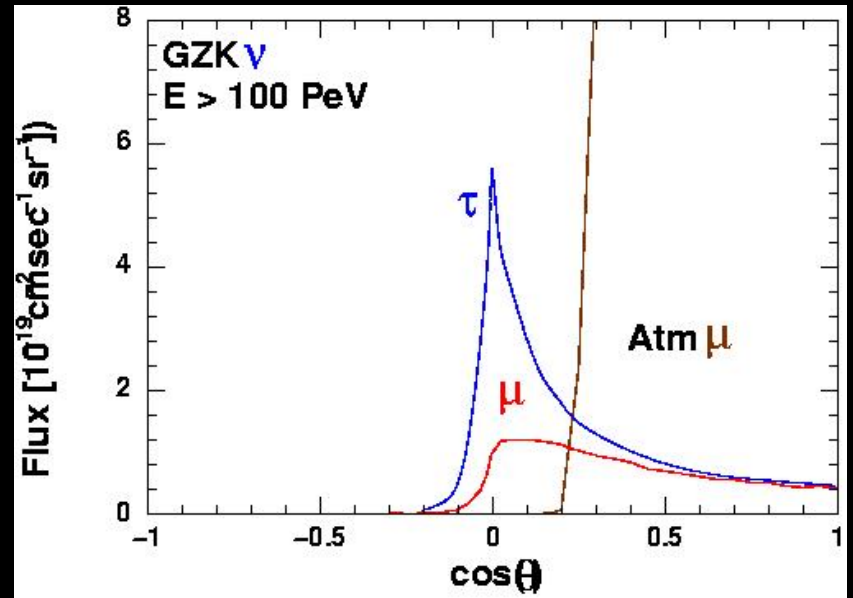
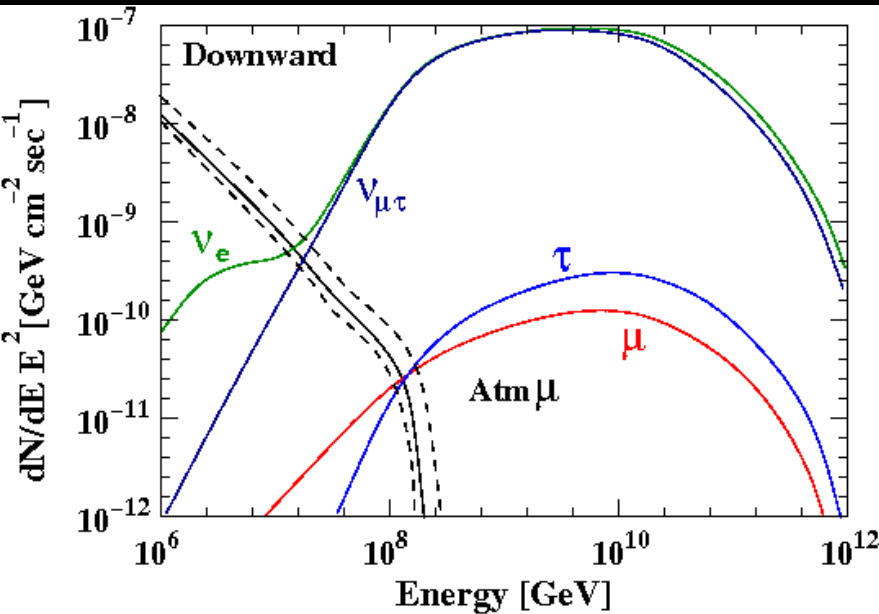


# Ultra-high Energy $\nu$ search

## Detection Principle

Energy Dist. @ IceCube Depth

Zenith Dist. @ IceCube Depth



through-going track

Secondary  $\mu$  and  $\tau$  from  $\nu$

→ Sensitive to  $\nu_\mu \nu_\tau$

starting track/cascade

Directly induced events from  $\nu$

→ Sensitive to  $\nu_e \nu_\mu \nu_\tau$

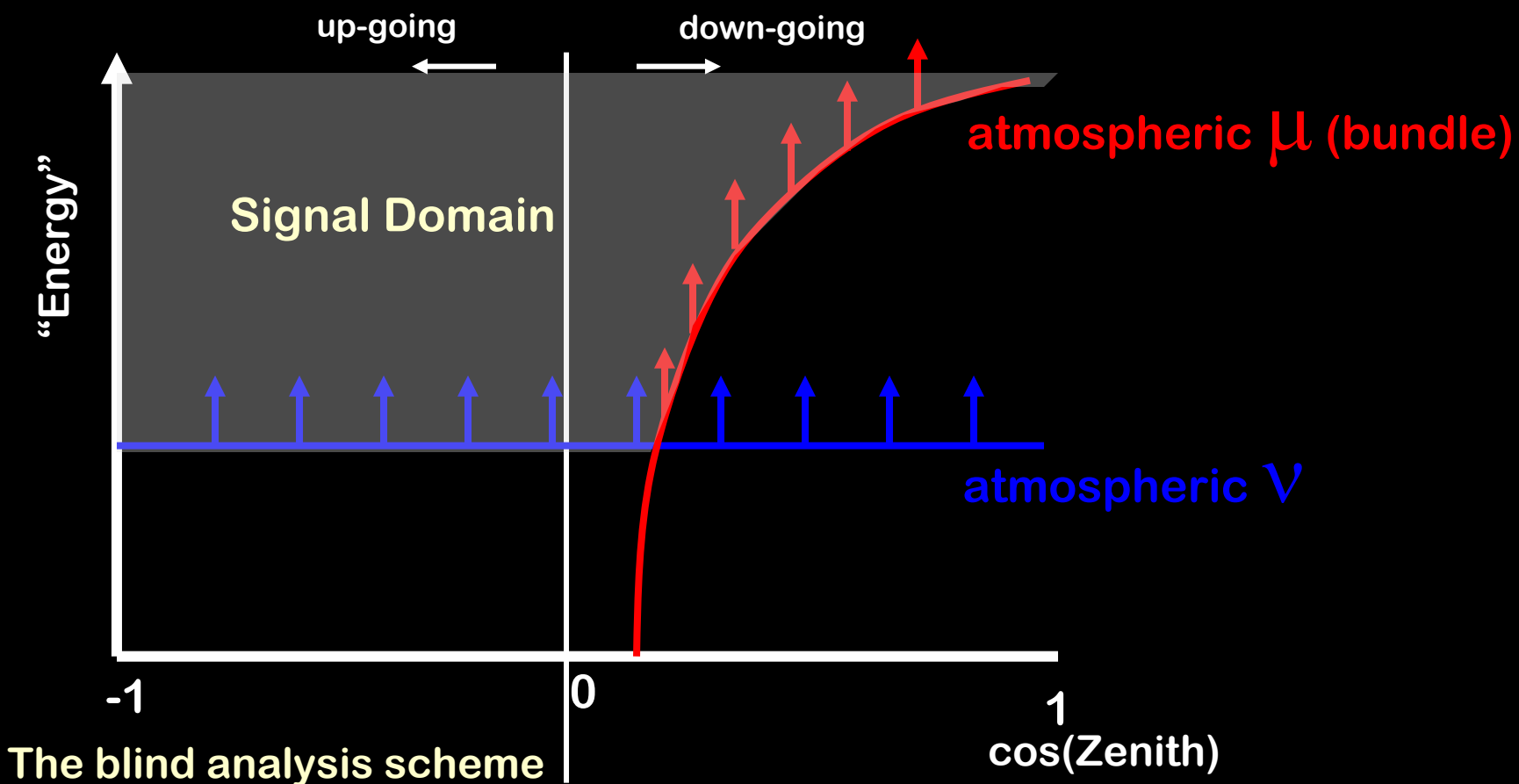
Yoshida et al PRD 69 103004 (2004)

And tracks arrive horizontally



# Ultra-high Energy $\nu$ search

## Detection Principle



Use 10% of the data (test-sample) with masking the rest of them in optimizing the search algorithm with MC simulation

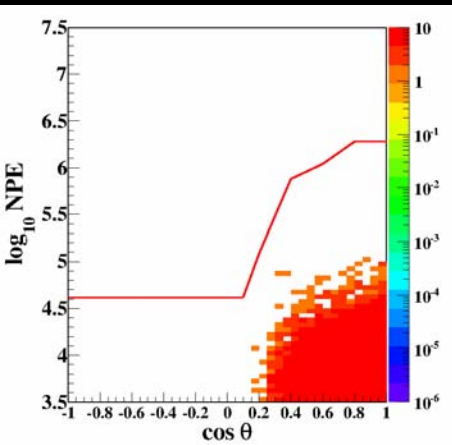


# On the Analysis level

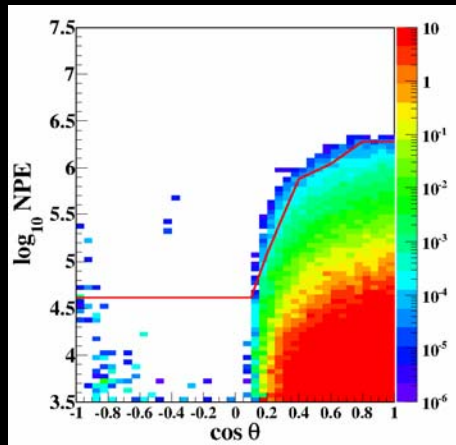
The final-level selection criteria in the plain of NPE-cos(zenith)

Number of events (z-axis) per the test-sample livetime

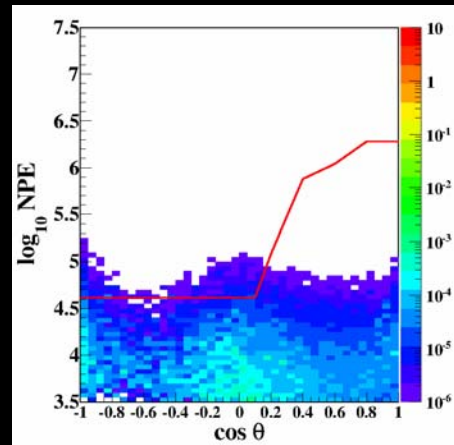
test-sample data  
IC79



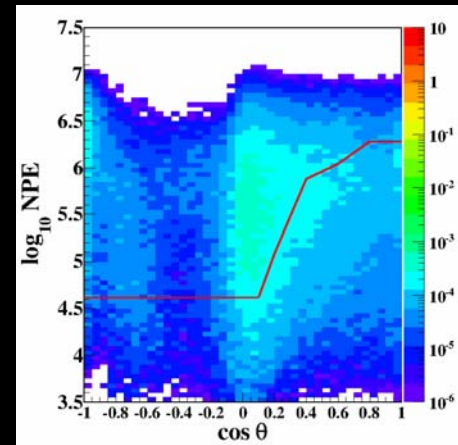
atmospheric  $\mu$



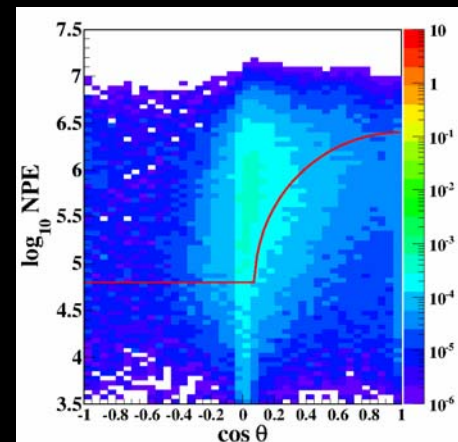
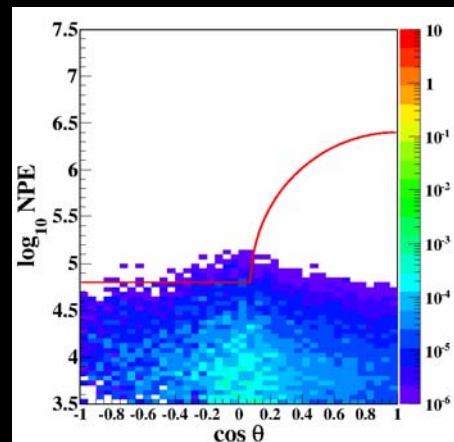
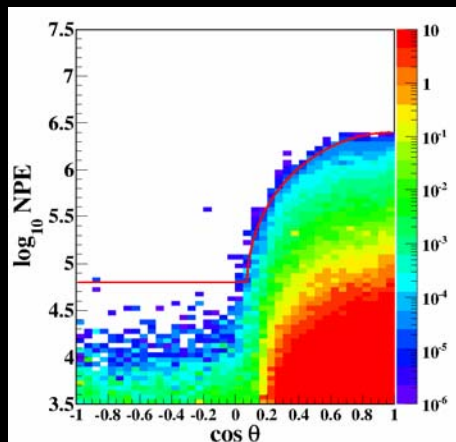
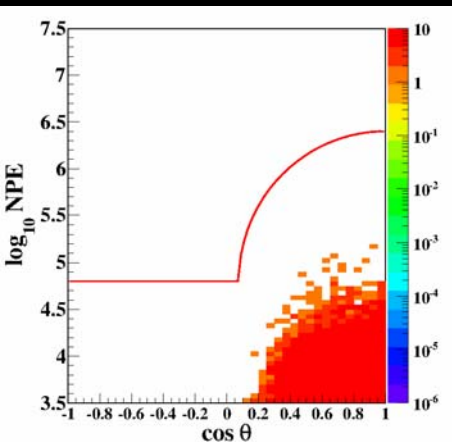
atmospheric  $\nu$   
conventional only



signal GZK  $\nu$



IC86





# Before reaching to this level

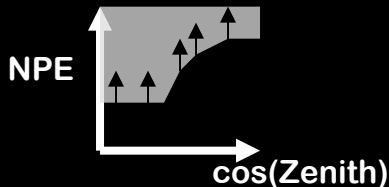
**Introduced multi-staged filtering/quality cuts**

ensured the simulations reasonably describe the test-sample data at each of the filter levels

EHE filter level	# of events		
	Experimental data	Background MC atmospheric $\mu$ bundle atmospheric $\nu$	Signal MC GZK $\nu$ Yoshida & Teshima (1993)
<b>NPE &gt; 1000</b>	$1.00 \times 10^8$	$1.33 \times 10^8$	4.49
<b>Analysis level</b>			
hit cleanings recalculation of NPEs <b>NPE &gt; 3,200 NDOM &gt; 300</b>	$1.04 \times 10^6$	$2.11 \times 10^6$	3.26
<b>zenith angle reconstruction</b>			

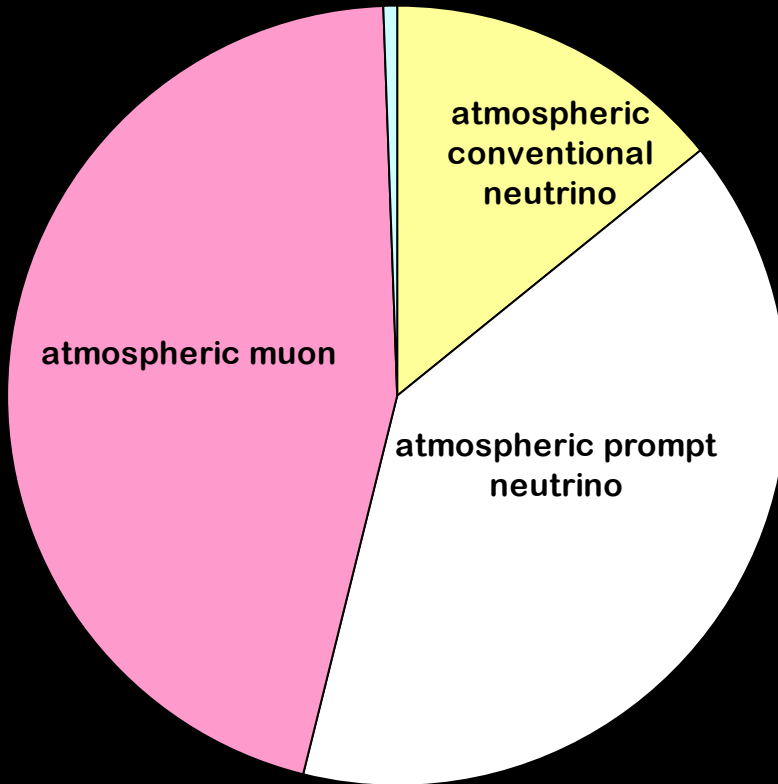
Note: assuming the pure Fe UHECR yielding the higher rate – See the following slides

<b>Final level</b>	<b>2</b>	<b>0.050</b> <sup>+56.7%</sup> <sub>-94.3%</sub> conventional only	<b>1.92</b> <sup>+13.6%</sup> <sub>-12.4%</sub>
<b>&gt; NPE<sup>threshold</sup>(cos(zenith))</b>		<b>0.082</b> <sup>+49.3%</sup> <sub>+68.7%</sub> plus the atmospheric prompt $\nu$	





# Background Breakdown



	Total background (IC79+IC86)
Atmospheric $\mu$	0.0414
Atmospheric $\nu$ (Conventional)	0.0129
Coincidence $\mu$	0.0004
<b>Total</b>	<b>0.055</b>
prompt $\nu$	0.0359
<b>Total with prompt</b>	<b>0.0905</b> <b>(0.0823)</b> excluding the test-sample livetime



# The systematic uncertainties on the BG rate

		remarks
Detector efficiency	+43.1% - 26.1%	absolute PMT/DOM calibration
Ice properties/Detector response	- 41.7%	in-situ calibration by laser
Cosmic-ray flux variation	+18.7% - 26.3%	UHECRs : HiRes – Auger Uncertainties on The Knee spectrum
Cosmic-ray composition	- 36.7%	The baseline to calculate atm $\mu$ : 100% Fe Compared against the pure proton case
Hadronic interaction model	+8.1%	The baseline : Sibyll 2.1 Compared to QGSJET –II - 03
$\nu$ yield from cosmic-ray nucleon	+2.2% - 2.2%	The Elbert model
prompt $\nu$ model	+12.6% - 16.1%	The Enberg model perturbative-QCD

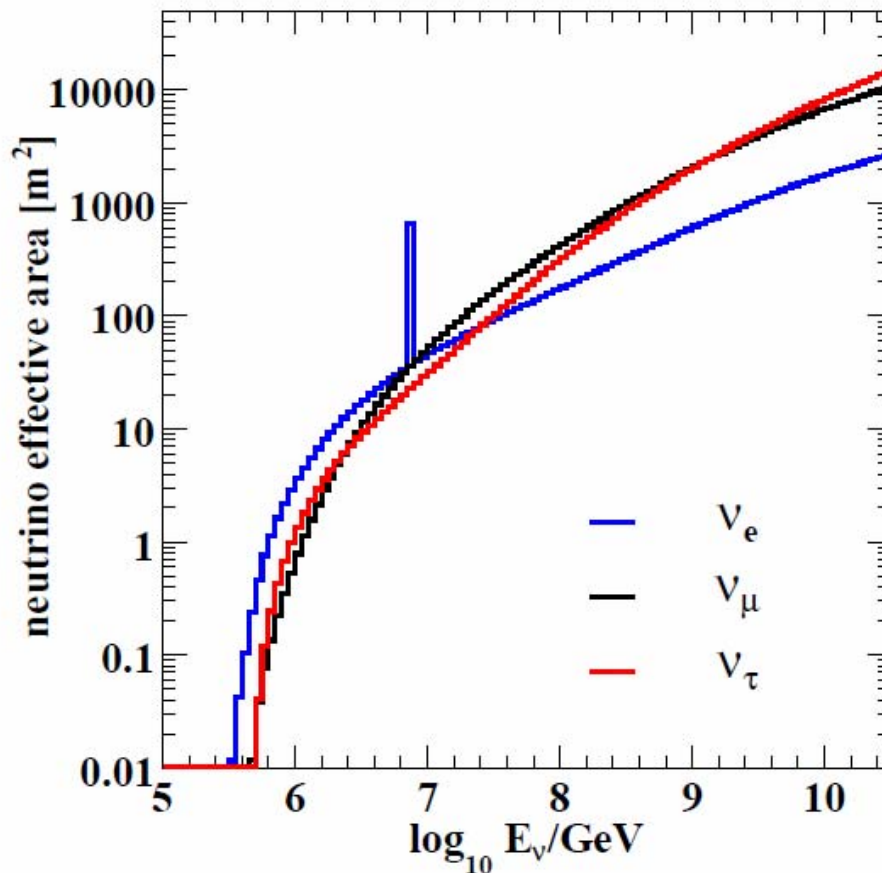




# Effective Areas

Area  $\times$   $\nu$  flux  $\times$   $4\pi$   $\times$  livetime = event rate

IC79+IC86 livetime 615.9 days



$\nu_e$  larger below 10 PeV

due to effective energy deposition  
by showers

$\nu_{\mu\tau}$  dominant above 100 PeV

due to the secondary produced  
 $\mu$  and  $\tau$  tracks

$\tau$ 's are no longer short-lived particles  
in EeV



# Two events passed the final criteria

2 events / 615.9 days (excluding the test-sample livetime)

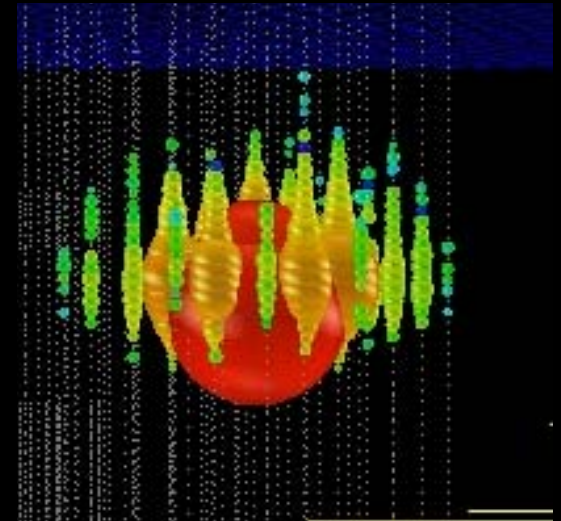
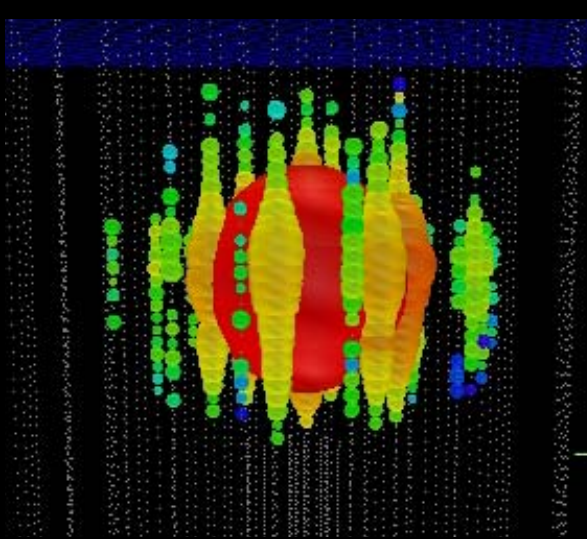
## The Expected Backgrounds

including prompt 0.082  $^{+0.041}_{-0.057}$

conventional only 0.050  $^{+0.028}_{-0.047}$

p-value  $2.9 \times 10^{-3}$  ( $2.8\sigma$ )

p-value  $9.0 \times 10^{-4}$  ( $3.1\sigma$ )



Super-nicely contained cascades!

Run118545-Event63733662

August 9<sup>th</sup> 2011 (“**Bert**”)

**NPE  $6.9928 \times 10^4$**

Number of Optical Sensors 354

Run119316-Event36556705

Jan 3<sup>rd</sup> 2012 (“**Ernie**”)

**NPE  $9.628 \times 10^4$**

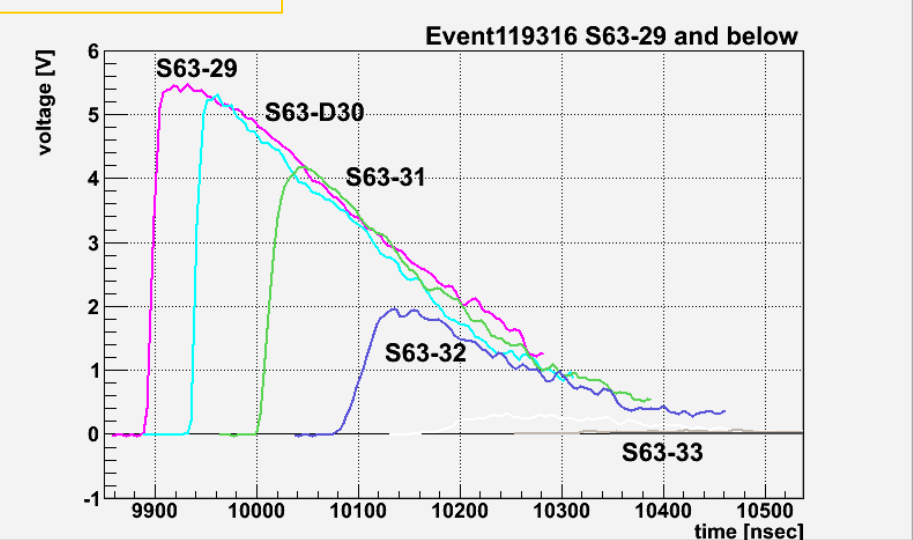
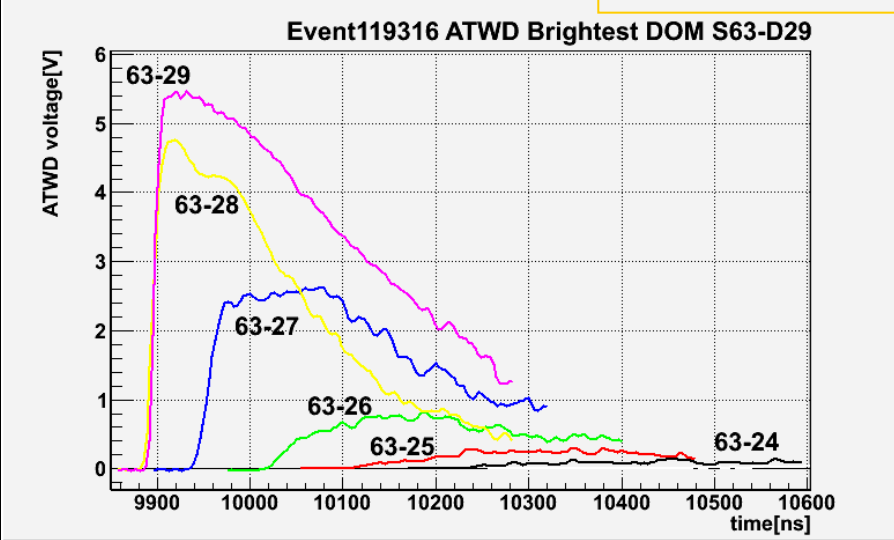
Number of Optical Sensors 312



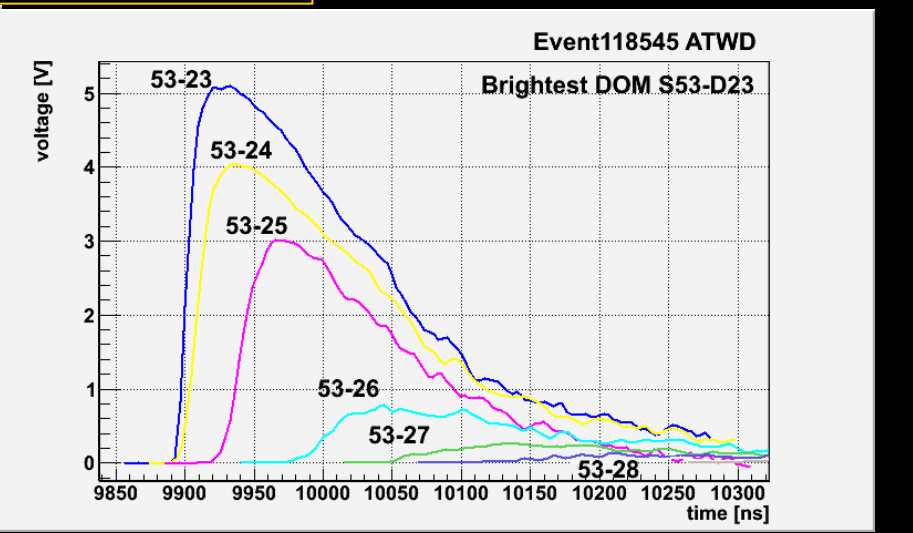
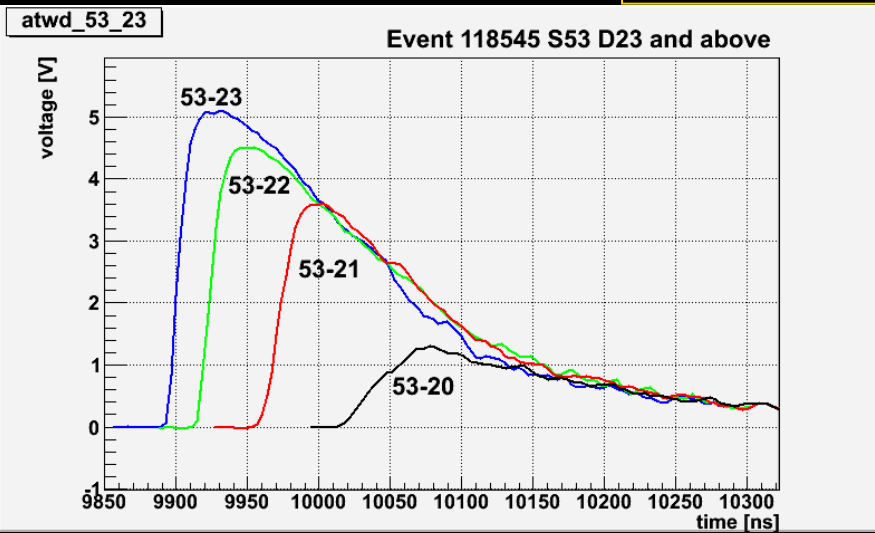
# Recorded pulses

## Clean and luminous bulk of photons !!

The Jan 2012 event - Ernie

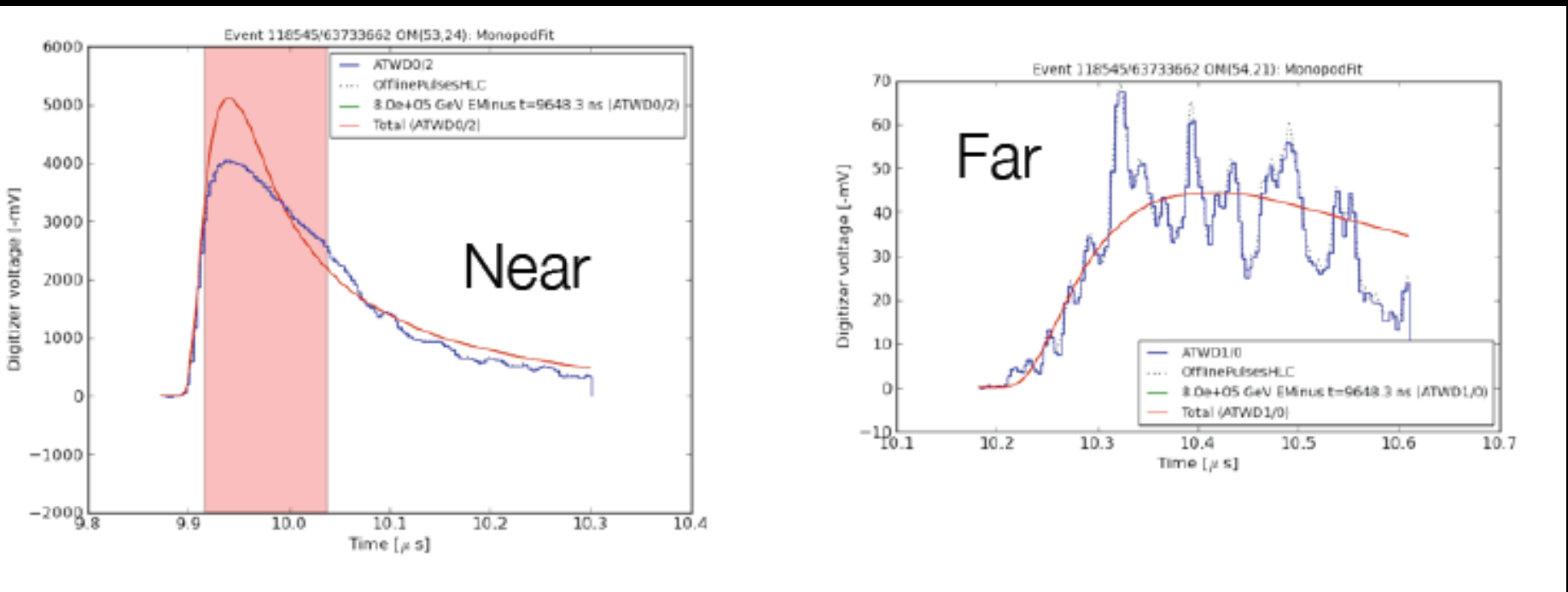


The Aug 2011 event - Bert



# What are their energies?

- Maximizing the Poisson likelihood based on the recorded waveforms



Estimated Energy Deposit

+/- 15% accuracy

- Jan 2012 event (Ernie) 1.04 PeV zenith 11deg
- Aug 2011 event (Bert) 1.14 PeV zenith 70deg

A PeV shower



# The GZK cosmogenic $\nu$ ?

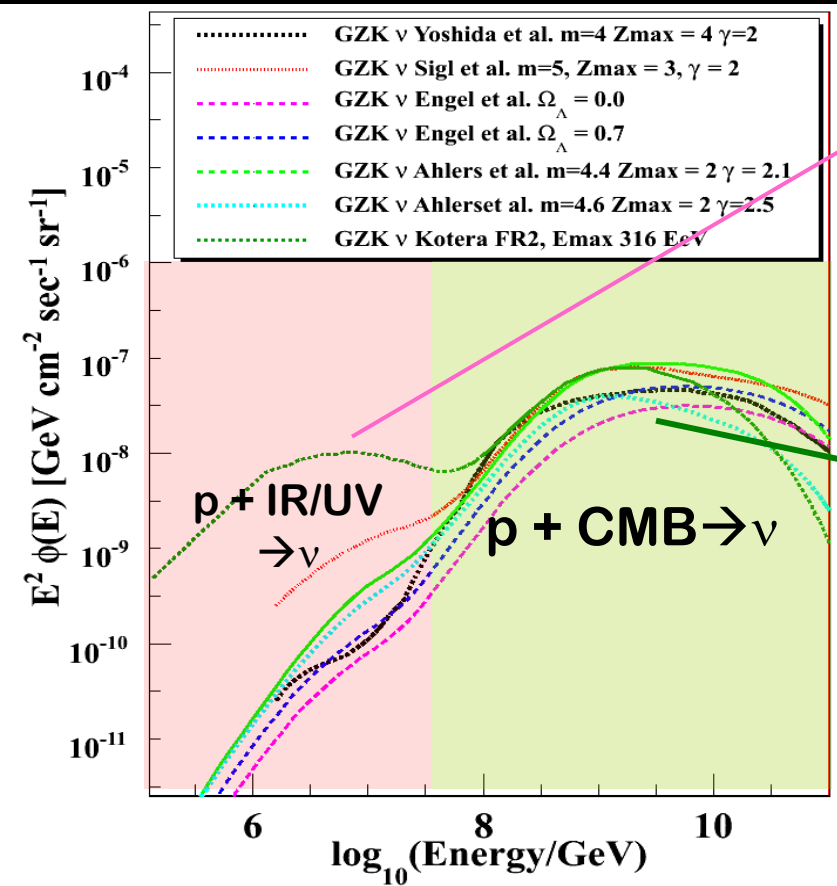
## The “low Energy enhanced” GZK scenarios

- Stronger IR/UV yield at high redshift
- Assume “dip” type transition of UHECRs from galactic to extragalactic

Ex. Kotera et al JCAP (2010)

## The “Standard” GZK scenarios

- The CMB collisions dominates in streaming  $\nu$
- EeV ( $=10^9$  GeV) is the key energy region





# The KS Test

The energies of Bert & Ernie is consistent with the expectations from the GZK scenario?

Use the Kolmogorov-Smirnov statistics

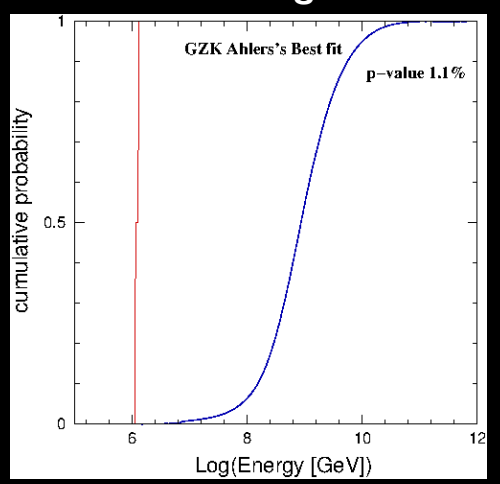
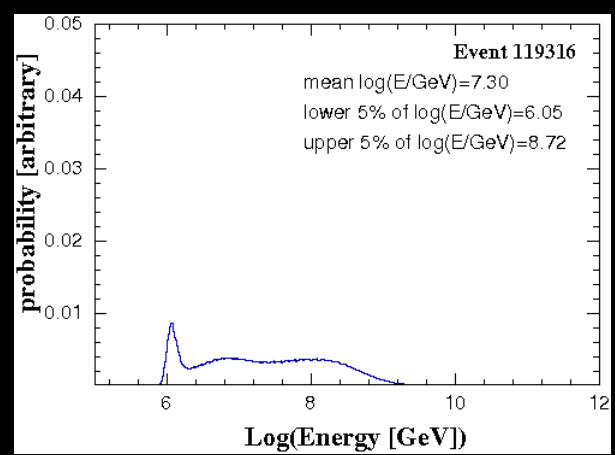
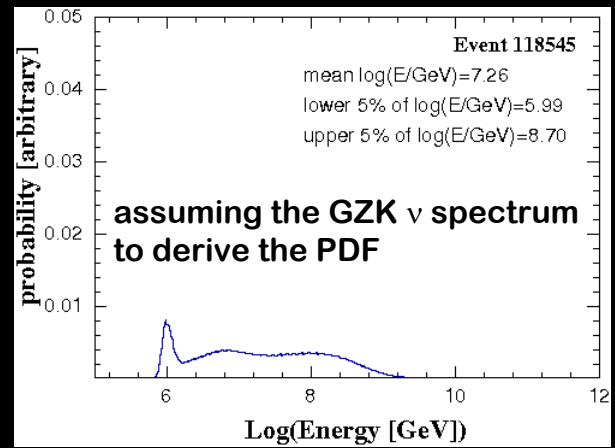
Take the energy uncertainty of Bert&Ernie into account

$$p = \int d\log E_{\text{Bert}} \rho_{\text{Bert}}(\log E_{\text{Bert}}) \int d\log E_{\text{Ernie}} \rho_{\text{Ernie}}(\log E_{\text{Ernie}}) P_{\text{KS}}(\log E_{\text{Bert}}, \log E_{\text{Ernie}})$$

Energy PDF of Bert

Energy PDF of Ernie

KS statistical significance



The standard GZK

The low energy GZK

p-value  $7.5 \times 10^{-2}$

p-value  $3.9 \times 10^{-2}$

**inconsistent at >90% C.L.**  
 (not 99% CL)



# Summarized statements on the origin of the 2 events

if astrophysical (very likely, but not conclusive)

**They are unlikely to be GZK cosmogenic**

**$\nu$  emission from cosmic-ray sources  
responsible for these two events  
are NOT extending above 100 PeV**

we would have detected events with greater energies, otherwise

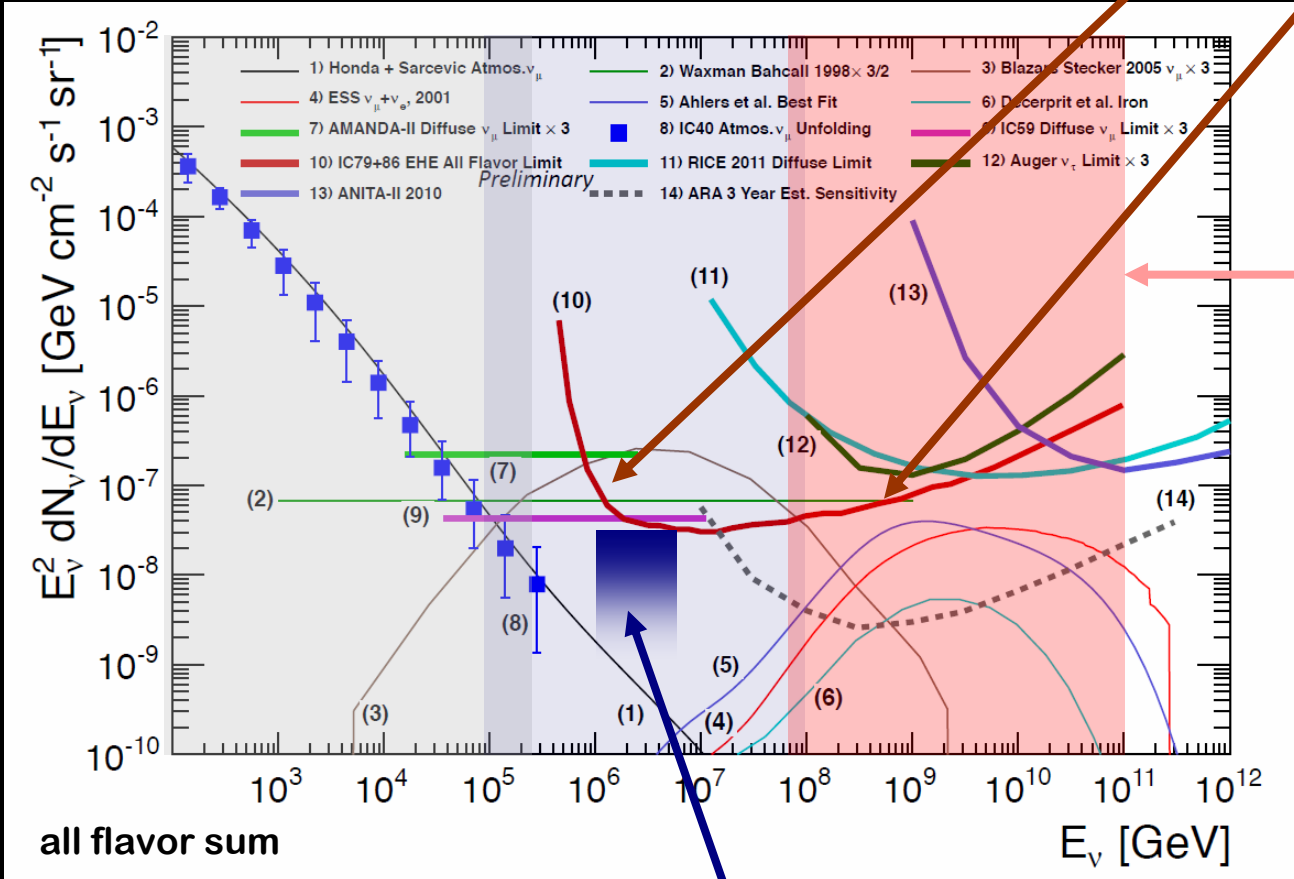
$\nu_{e+\mu+\tau}$  intensity of  $\sim 10^{-8}$  GeV/cm<sup>2</sup> sec sr

Needs more data/follow-up analyses for  
further interpretation



# The executive summary

The model-independent upper limit on flux in UHE



null observation in this regime

nearly exclude

- radio-loud AGN jets
- $m > 4$  for  $(1+z)^m$
- emission maximally allowed by the Fermi  $\gamma$

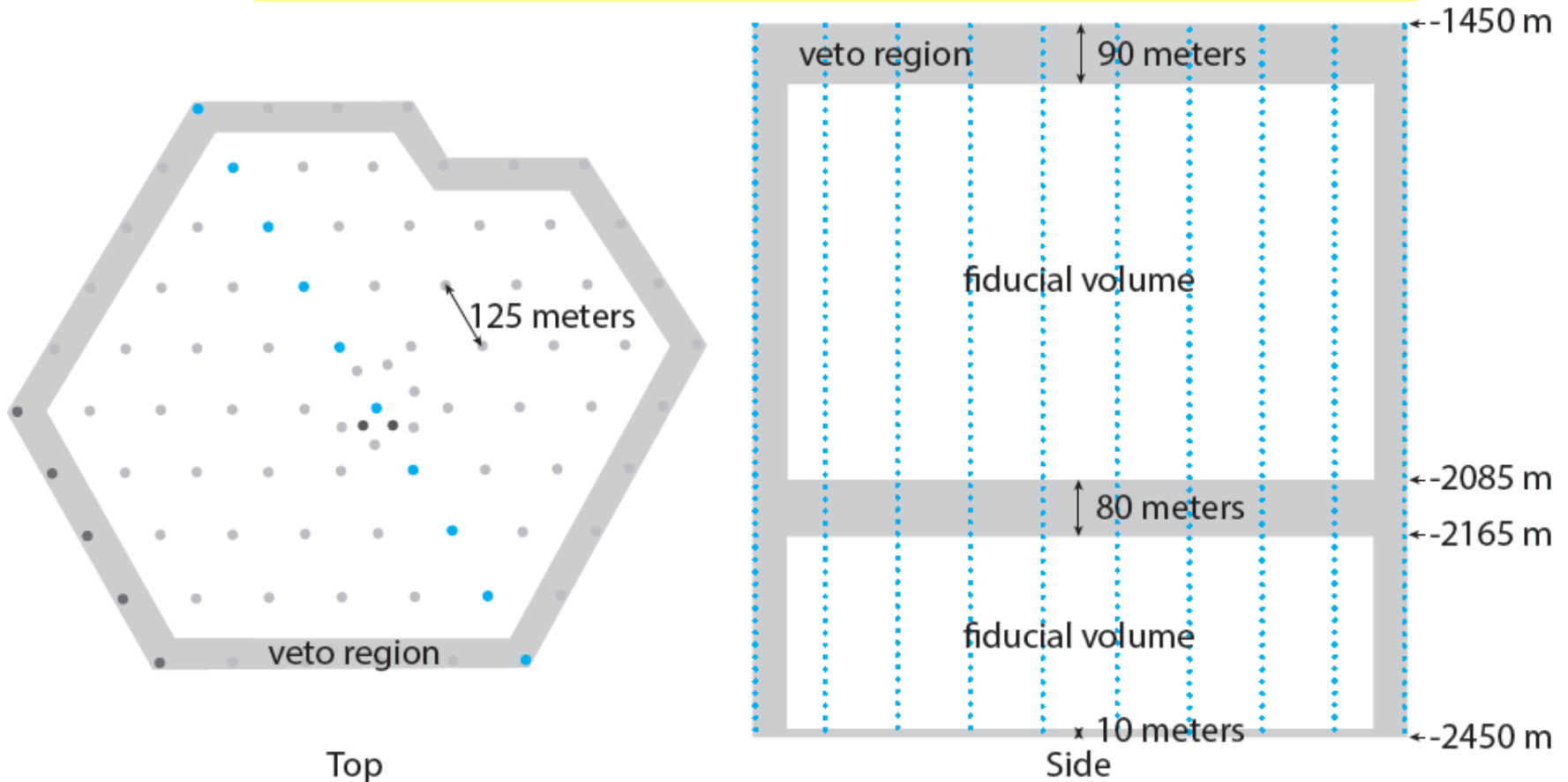
Bert & Ernie  
2.8  $\sigma$  excess over atmospheric





# A search for events originated within the detector interior

look for only events with their interaction vertices within the fiducial volume



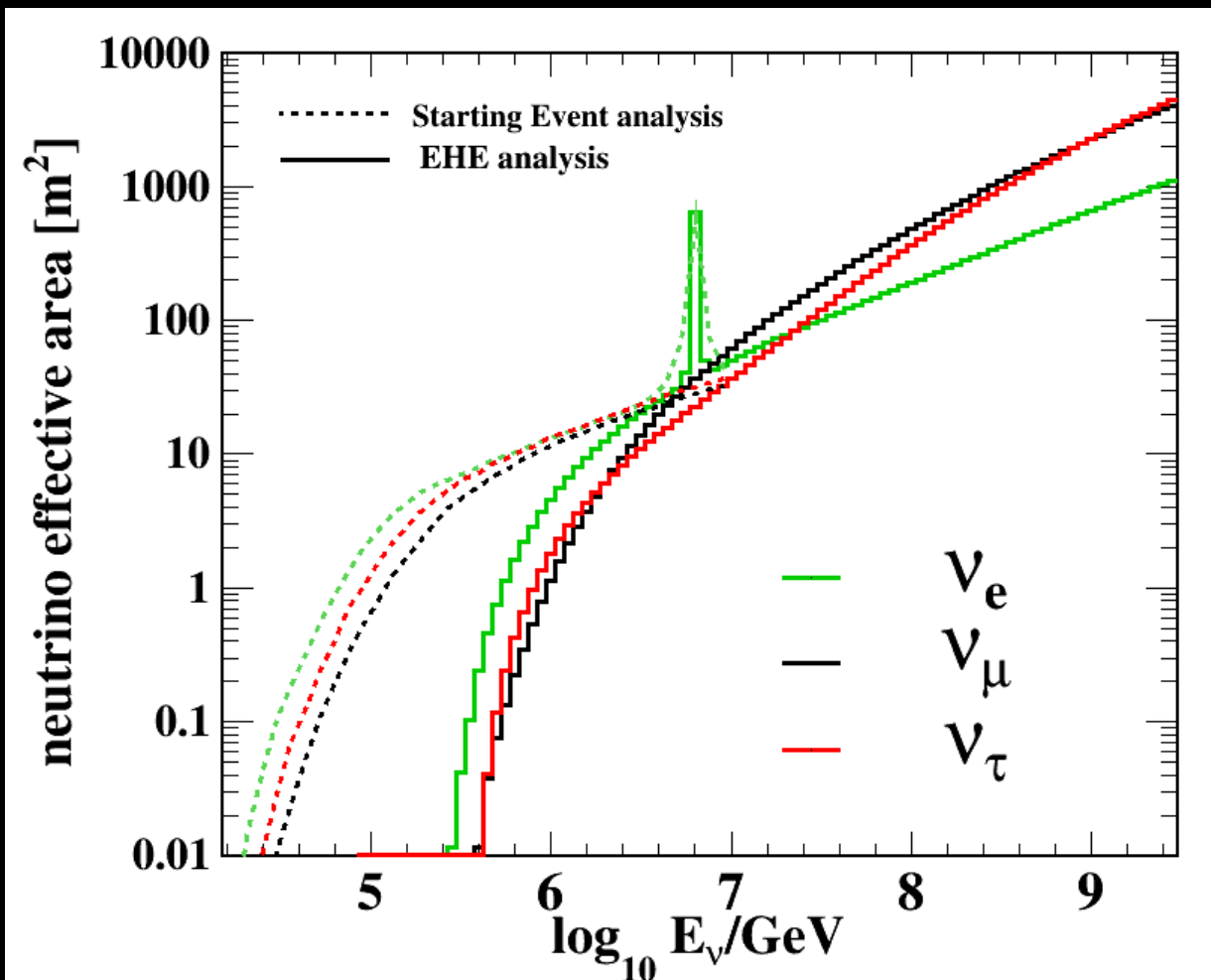


# Effective Areas

## expanding down to 100 TeV's

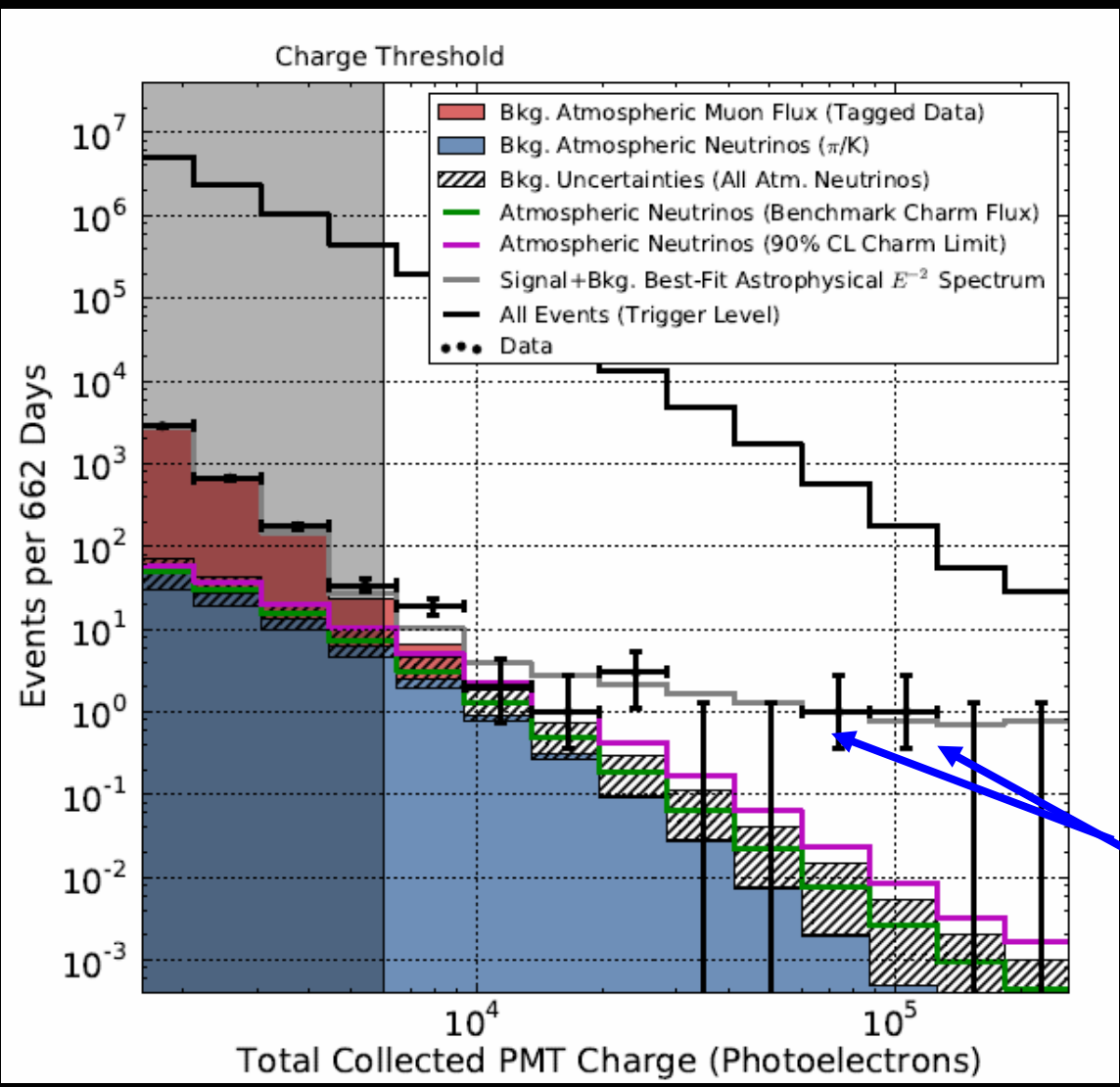
Area  $\times$   $\nu$  flux  $\times$   $4\pi$   $\times$  livetime = event rate

IC79+IC86 livetime 670.1 days





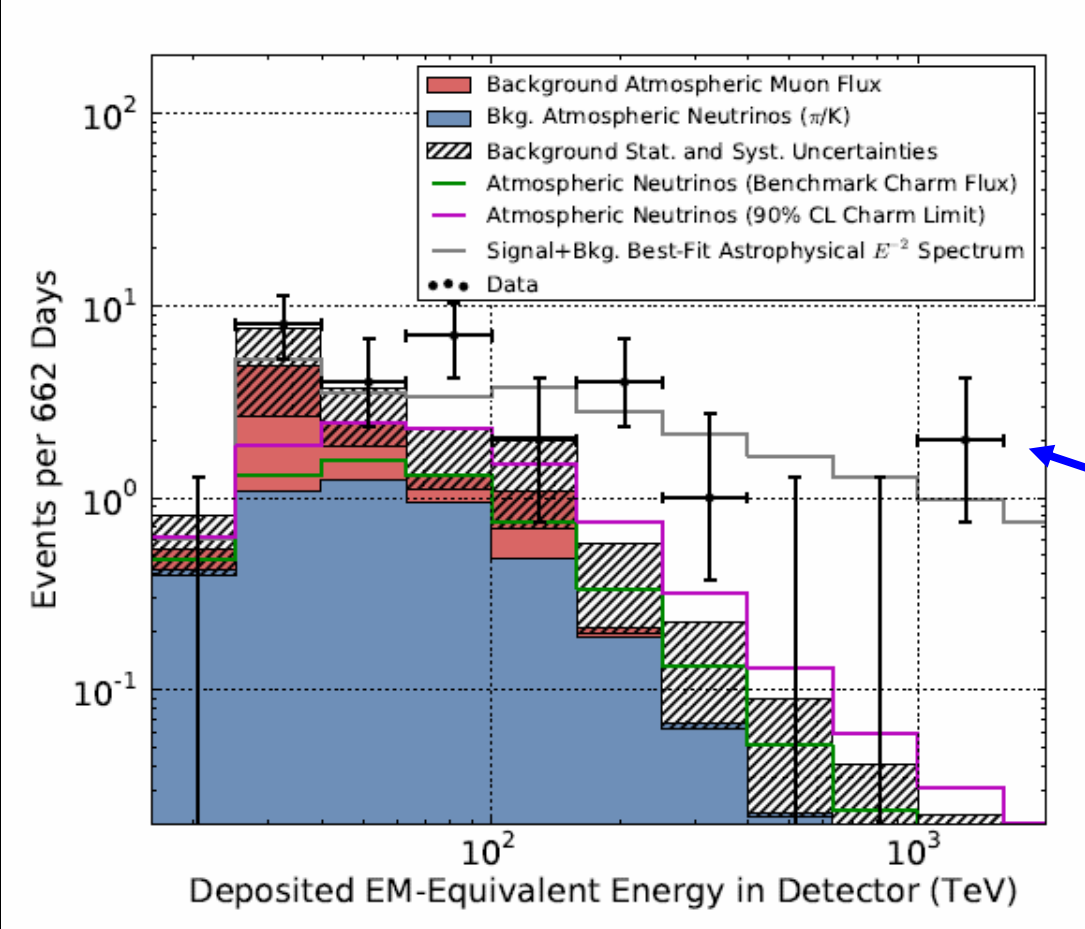
# sub-PeV $\nu$ samples



Bert & Ernie



# sub-PeV $\nu$ samples



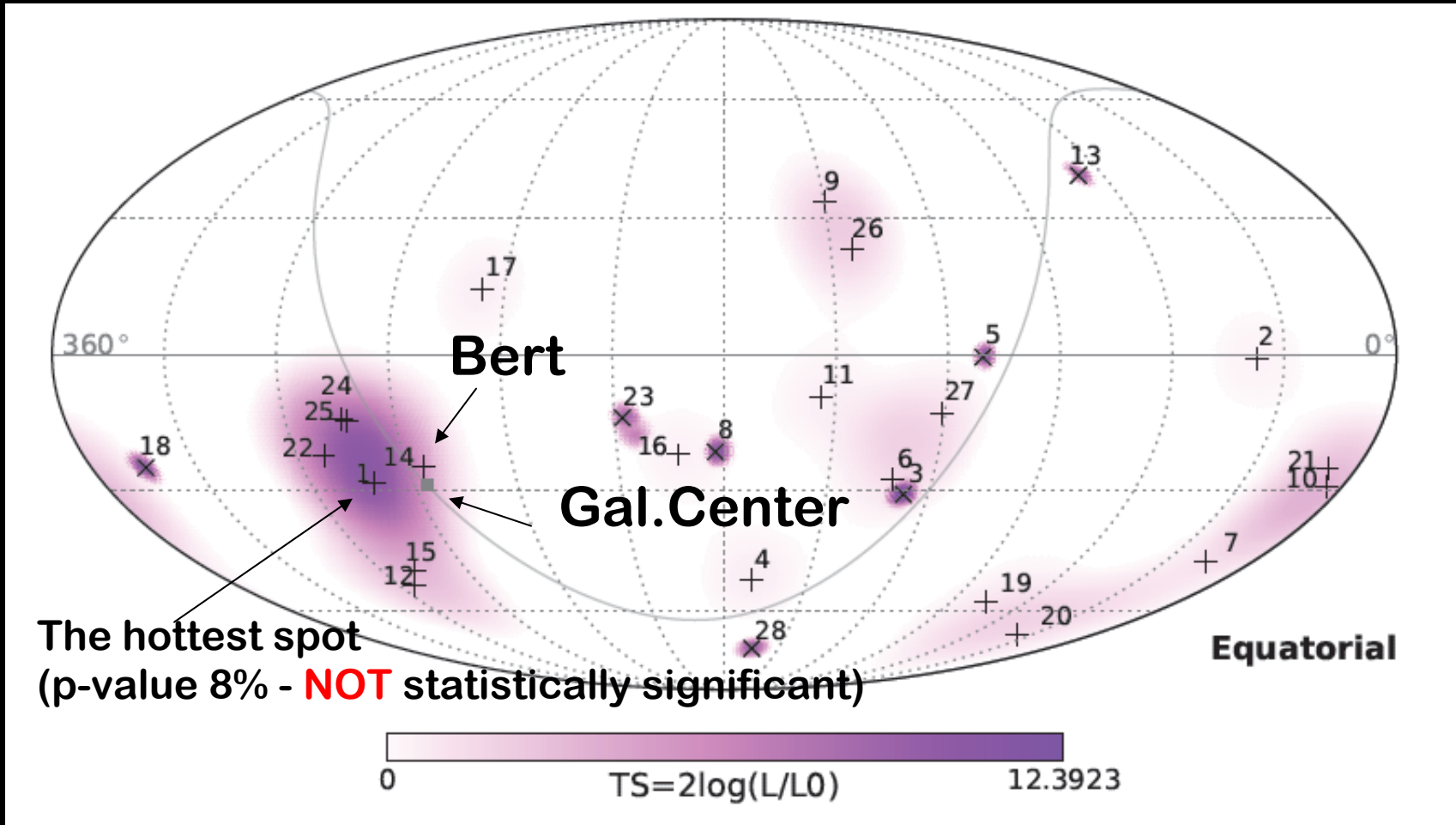
28 events observed  
 against  
 bg of  $10.6^{+5.0}_{-3.6}$   
 (4.1 $\sigma$  excess)

Bert & Ernie

$$E^2 \phi_{\nu_{e+\mu+\tau}}(E) \sim (3.6^{+1.2}) \times 10^{-8} \text{ [GeV/cm}^2 \text{ sec sr]}$$



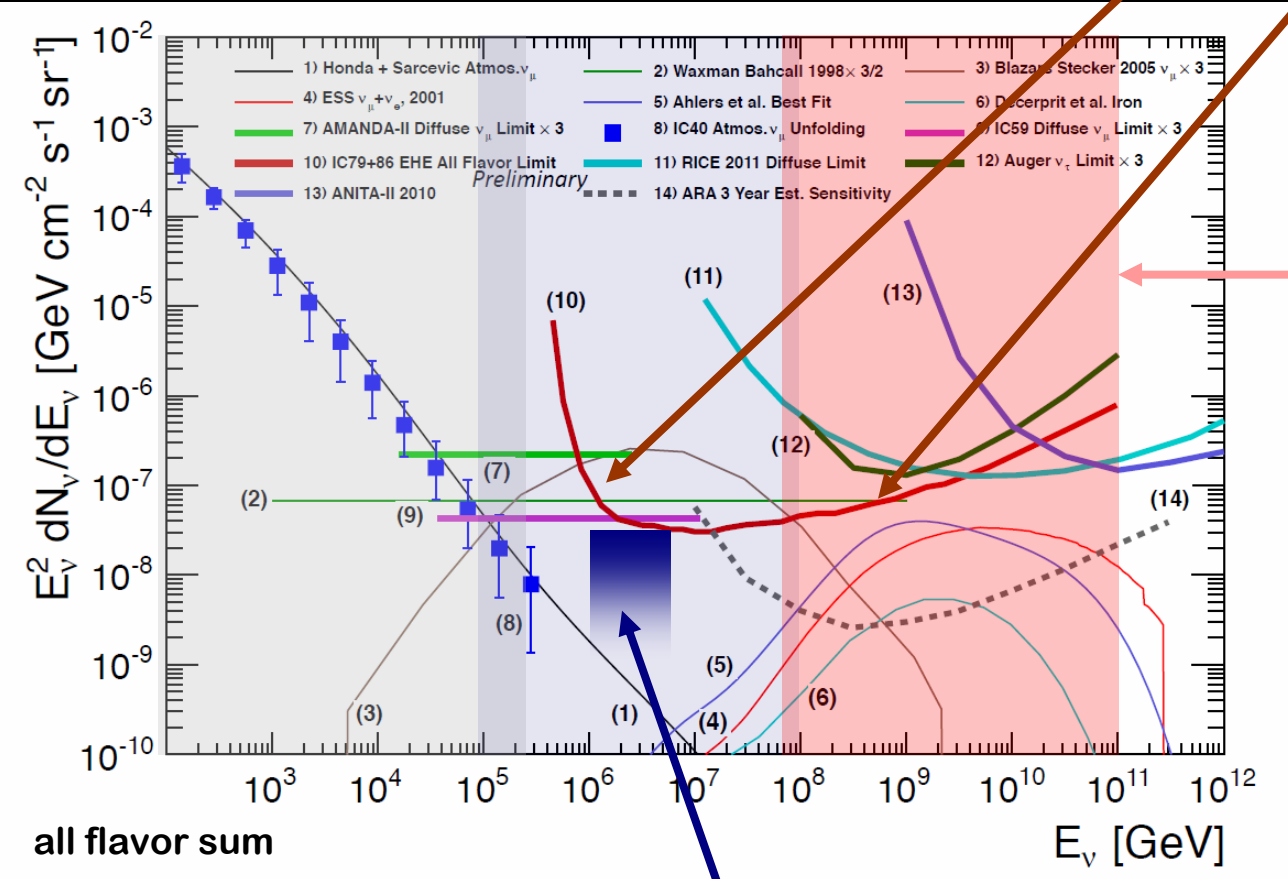
# sub-PeV $\nu$ samples





# The executive summary

The model-independent upper limit on flux in UHE



null observation in this regime

nearly exclude

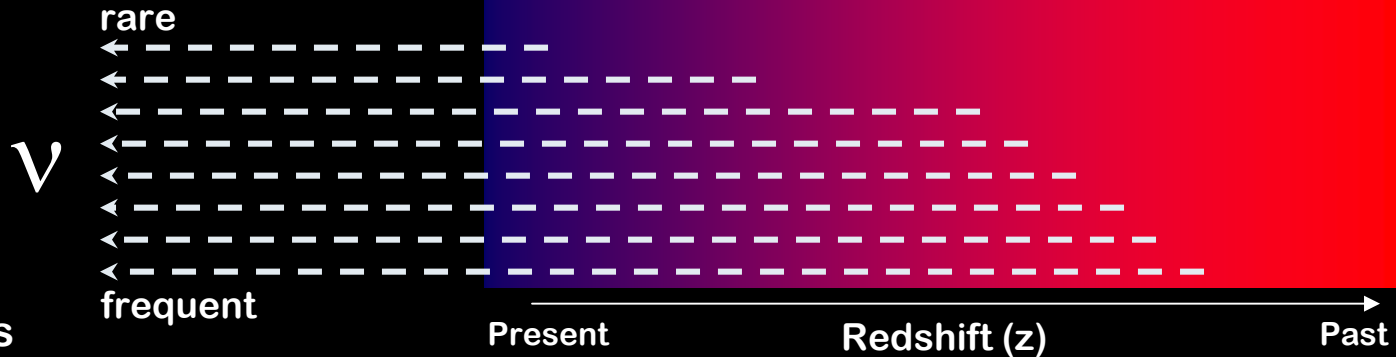
- radio-loud AGN jets
- $m > 4$  for  $(1+z)^m$
- emission maximally allowed by the Fermi  $\gamma$

Bert & Ernie + O(10) sub-PeV events  
4.1  $\sigma$  excess over atmospheric

# Tracing *history* of the particle emissions with $\nu$ flux

color : emission rate of ultra-high energy particles

Intensity gets higher if the emission is more active in the past because  $\nu$  beams are penetrating over cosmological distances



## The cosmological evolution

Many indications that the past was more active.

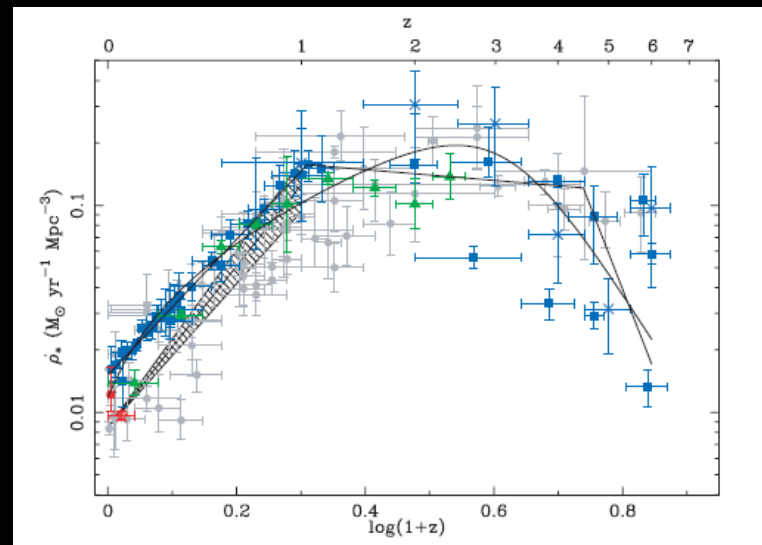
Star formation rate  $\rightarrow$

The spectral emission rate

$$\rho(z) \sim (1+z)^m$$

$m=0$  : No evolution

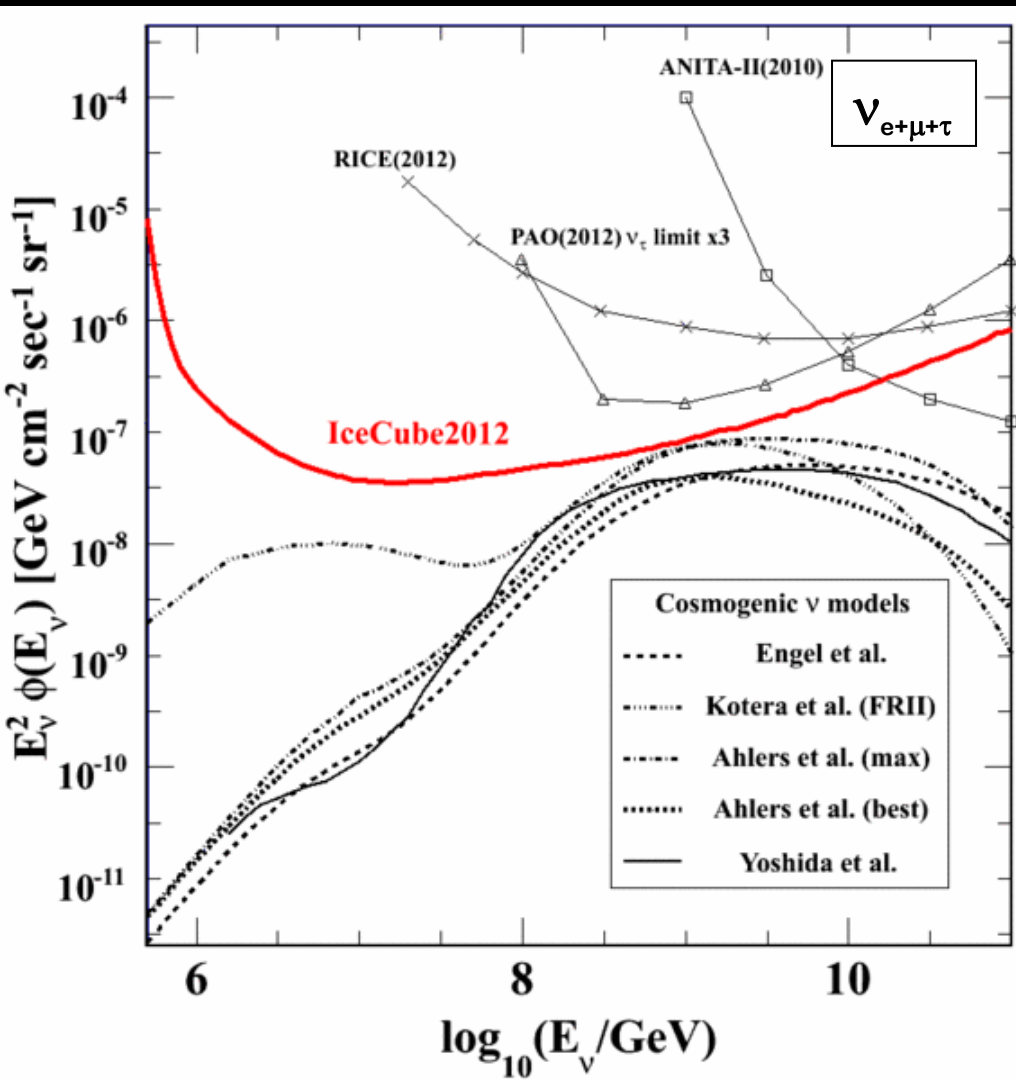
Hopkins and Beacom, *Astrophys. J.* **651** 142 (2006)





# Constraints on UHECR origin

The model-independent upper limit on flux



any model adjacent to the limit is disfavored by the observation

Effective  $\nu_{e+\mu+\tau}$  detection exposure

$6 \times 10^7 \text{ m}^2 \text{ days sr @ 1 EeV}$

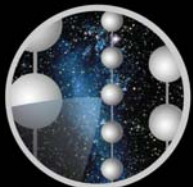
$= 0.2 \text{ km}^2 \text{ sr year}$

( 6 x Auger  $\nu_\tau$  exposure)

Note:  $\phi_{\text{CR}}(>1\text{EeV}) \sim 20/\text{km}^2 \text{ sr year}$

$\nu$  with CR comparable flux should have been detected





# Constraints on UHECR origin

ICECUBE model-dependent limit based on the rate  $>100$  PeV

comparison to the nearly  $\sim 0$  events in the present data

$\nu$ Model	GZK Y&T <small>m=4, zmax=4</small>	GZK Sigl <small>m=5, zmax=3</small>	GZK Ahler <small>Fermi Best</small>	GZK Ahler <small>Fermi Max</small>	GZK Kotera <small>FR-II</small>	GZK Kotera <small>SFR/GRB</small>	Topdown GUT
Rate $>100$ PeV	2.0	3.1	1.5	3.1	2.9	0.5	3.9
Model Rejection Factor	1.13	0.74	1.50	0.72	0.79	4.95	0.59
p-value	$1.4 \times 10^{-1}$	$4.6 \times 10^{-2}$	$2.2 \times 10^{-1}$	$4.4 \times 10^{-2}$	$5.2 \times 10^{-2}$	$6.7 \times 10^{-1}$	$2.1 \times 10^{-2}$



Excluded



Mildly Excluded



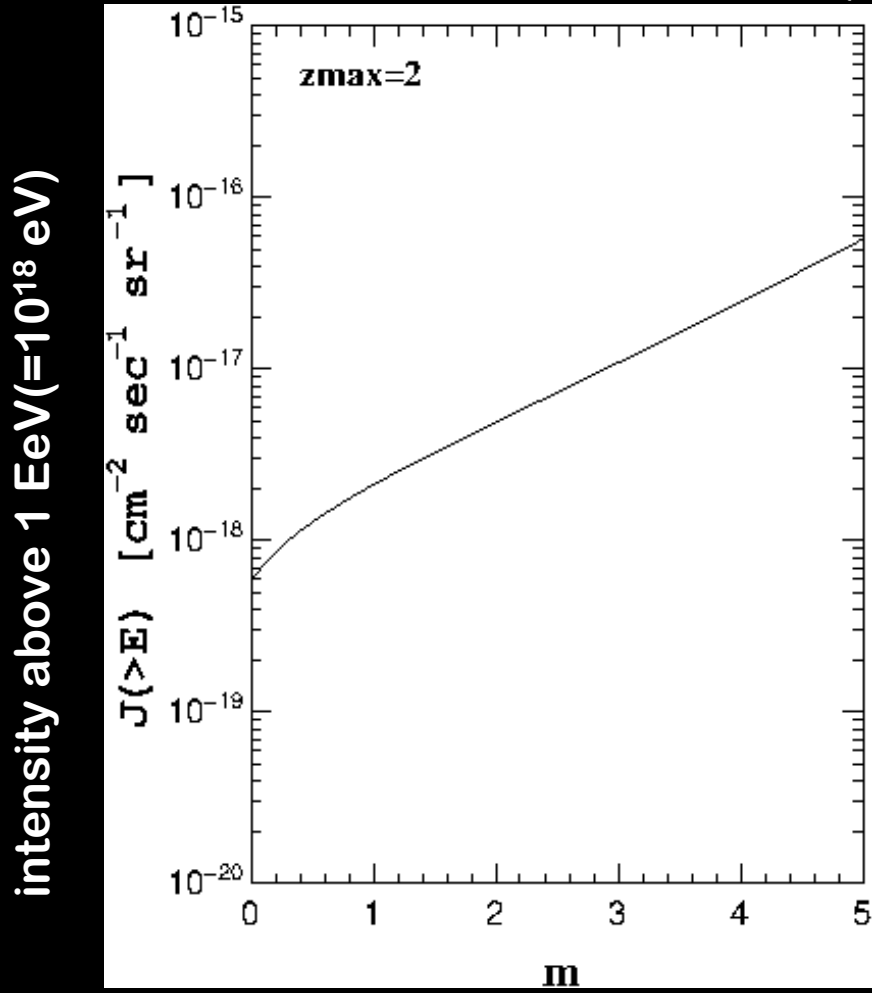
Consistent

Maximal  $\nu$  flux allowed by the Fermi  $\gamma$ -ray measurement

**Ruled out**  
relatively strong evolved sources  
if UHECRs are proton-dominated

# Ultra-high energy $\nu$ intensity depends on the emission rate in far-universe

Yoshida and Ishihara, PRD 85, 063002 (2012)



more than an order of magnitude difference

“quiet” “dynamic”  
particle emissions in far-universe

# GZK-CMB $\nu$ intensity @ 1EeV

## Measurements of the evolution

Yoshida and Ishihara, PRD 85, 063002 (2012)

$$\rho \sim (1+z)^m$$

$$0 < z < z_{\max}$$

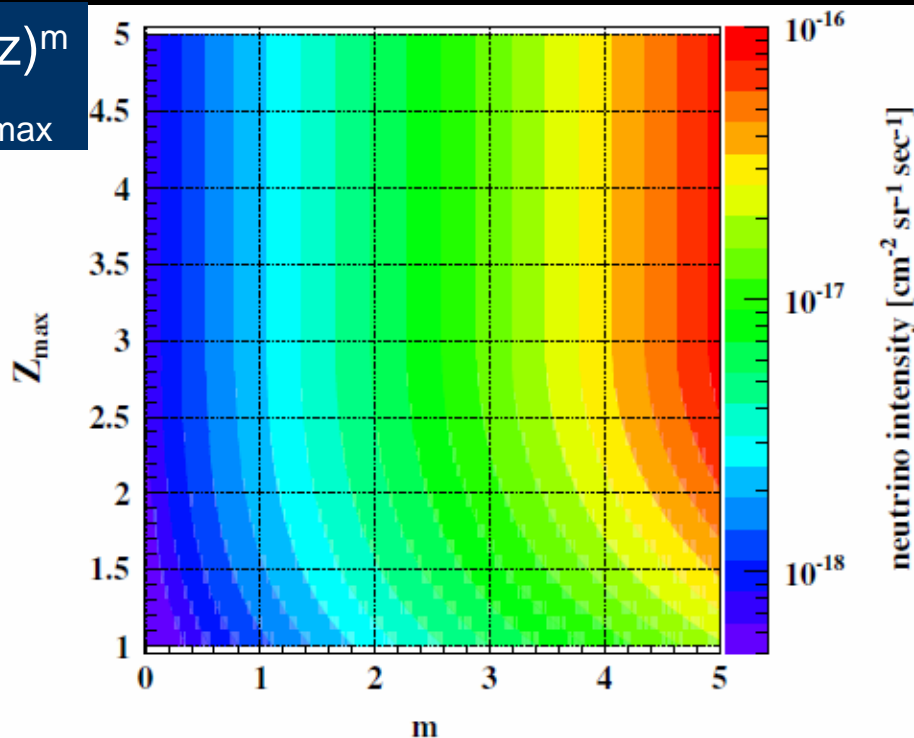
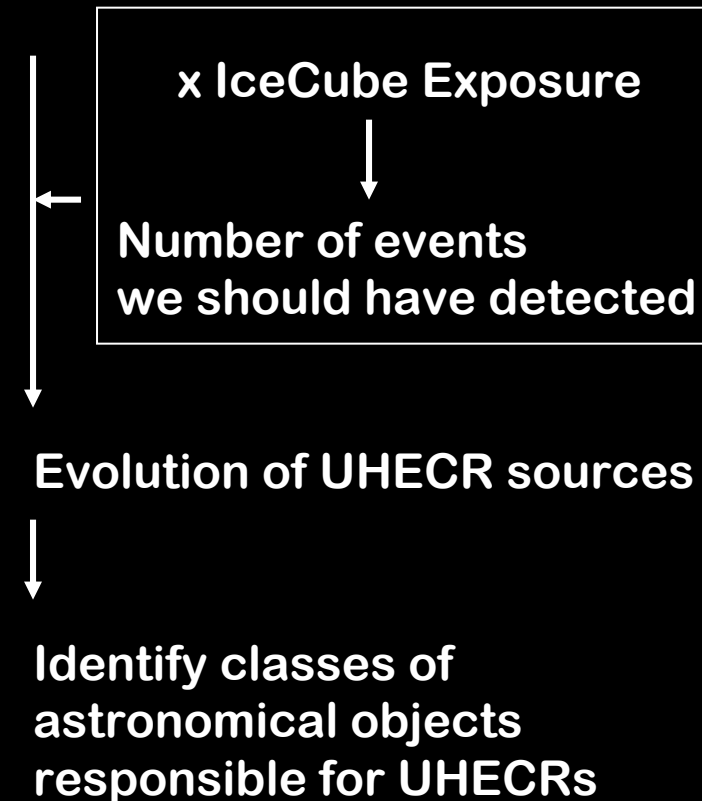


FIG. 2 (color online). Integral neutrino fluxes with energy above 1 EeV,  $J$  [ $\text{cm}^{-2} \text{sec}^{-1} \text{sr}^{-1}$ ], on the plane of the source evolution parameters,  $m$  and  $z_{\max}$ .

GZK(-CMB)  $\nu$  flux

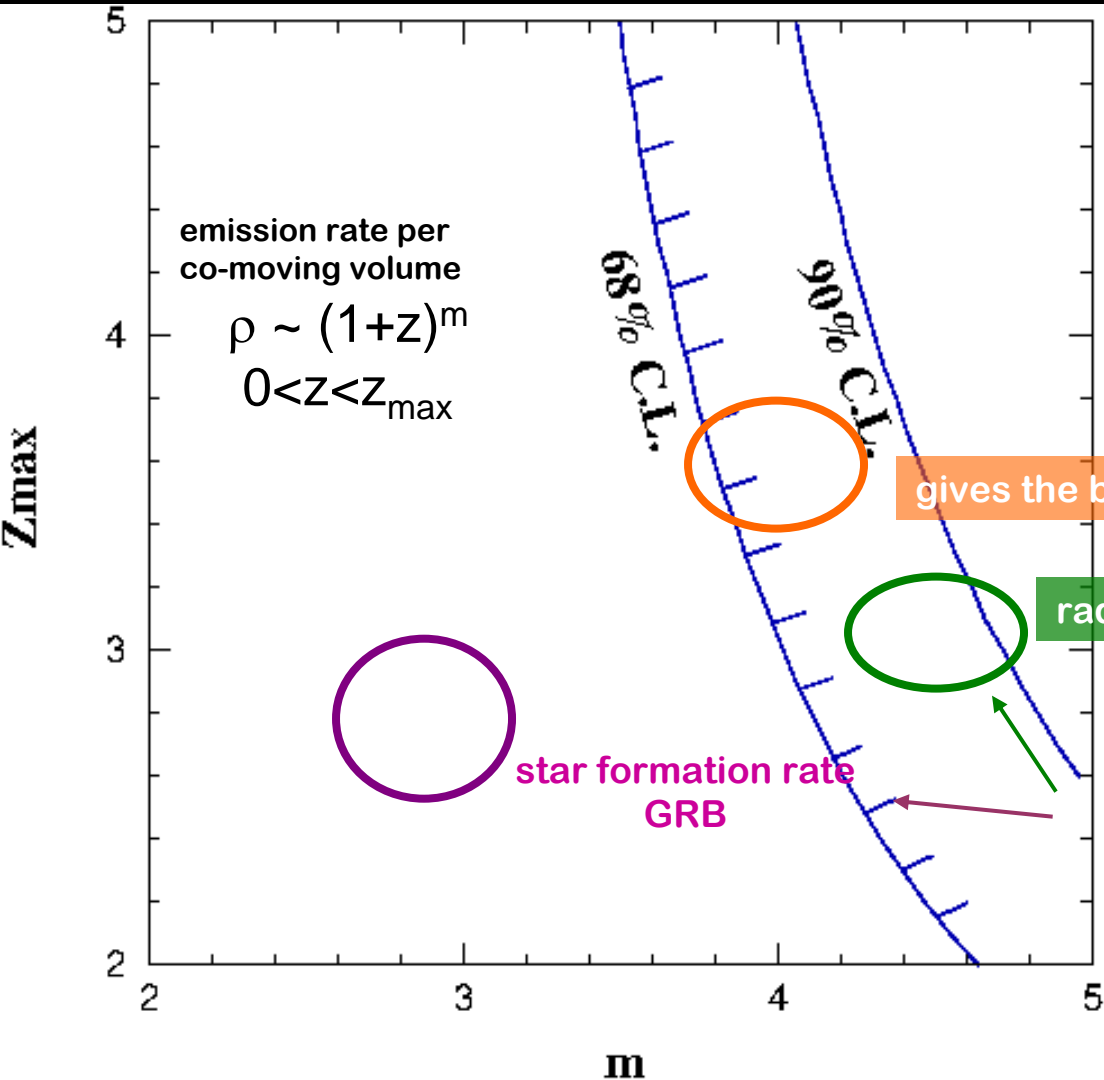




# Constraints on the evolution

90% C.L. = 3.3 events above 100PeV  
68% C.L. = 1.9 events above 100PeV

- A strongly evolved astronomical object (like FR-II radio galaxy) has already been disfavored
- any scenario involving sources evolved stronger than SFR will soon be ruled out by IceCube if we see no events in EeV range.

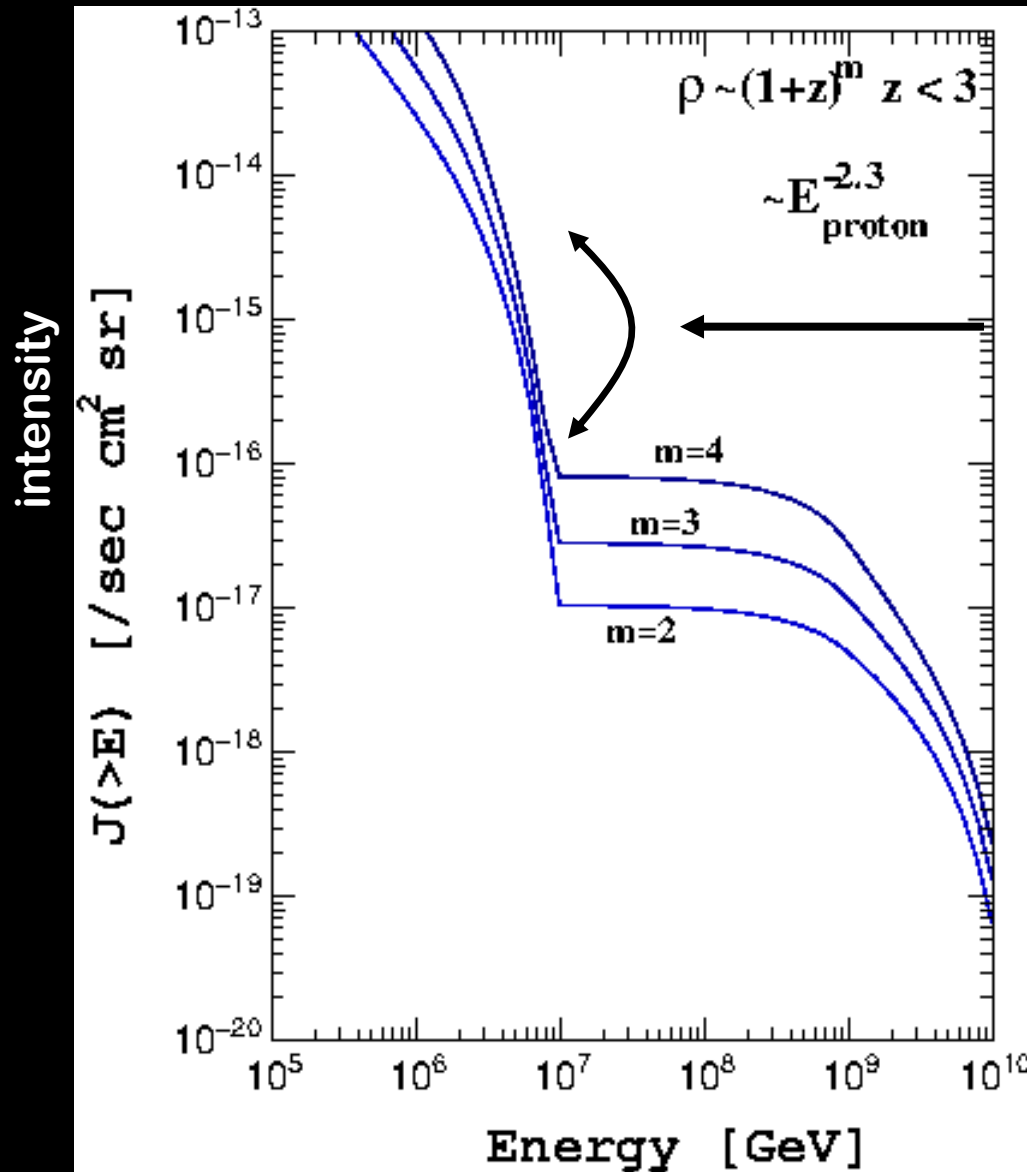


gives the best fit with UHECR spectrum

radio loud AGN

Note: Not precisely known

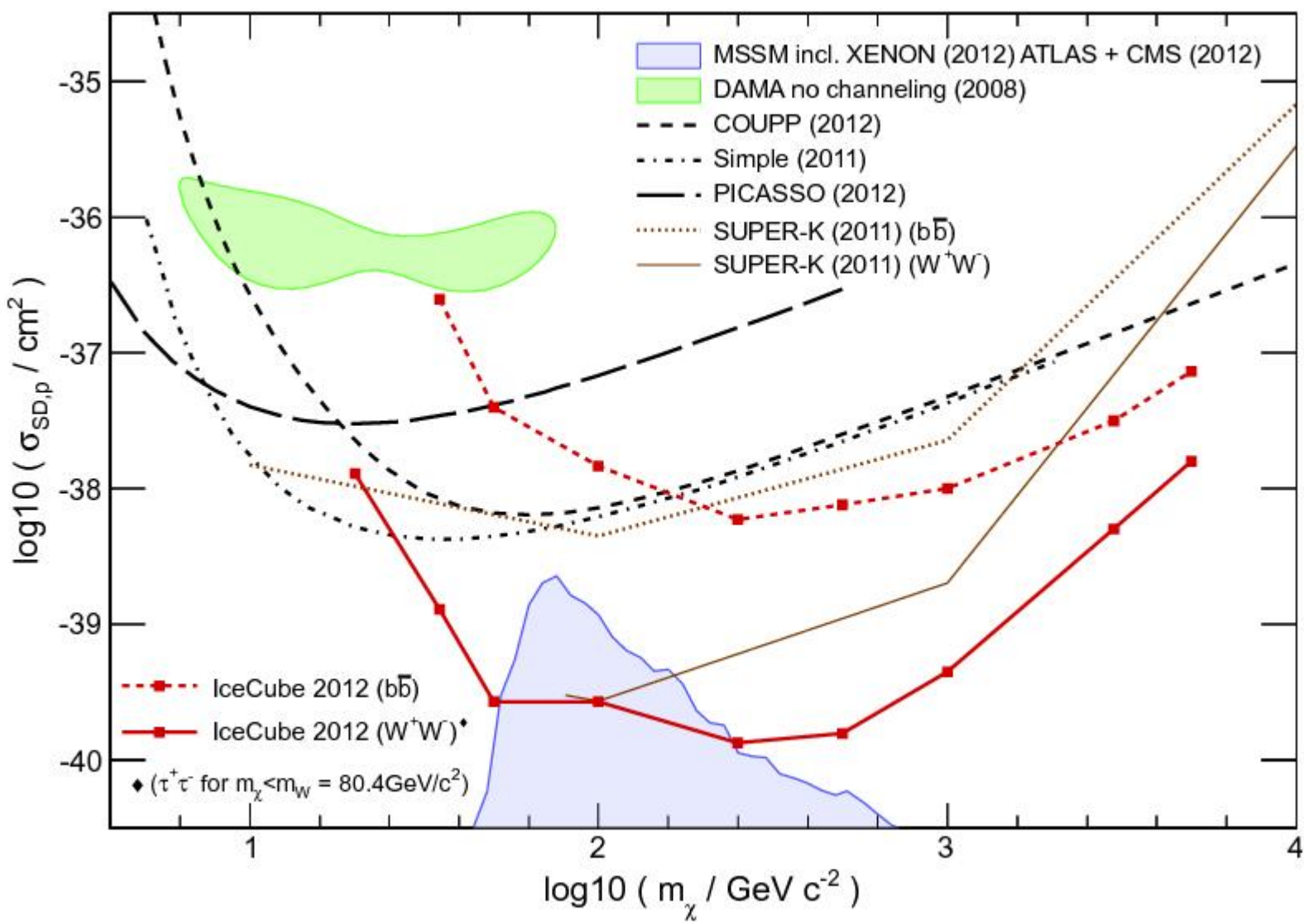
# Ultra-high energy $\nu$ intensity depends on the emission rate in far-universe



more than an order of magnitude difference



# WIMP from Sun





# WIMP from Sun

