

# ABJM行列模型から 位相的弦理論へ

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[HMO+Marino 1306] [HMO+Honda 1306]

# Lessons from '90s

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- String Theory As **Unified** Theory
- String Theory, NOT JUST "A Theory Of Strings"  
⇒ Only Sensible After **NonPerturbative** Branes
- Various Perturbative String Theories  
⇒ Various Vacua Of "A **Unique** Theory"
- Further **Unification** By M-theory

# ABJM for NonPerturbative Strings

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ABJM Theory [N=6 Super Chern-Simons Theory]



$N \times M2\text{-branes}$  on  $C^4/Z_k$  ( $\rightarrow CP^3 \times S^1$  as  $k \rightarrow \infty$ )

- To Understand Better  
M-Theory (NonPerturbative Strings)
- Roles of ABJM Theory?

# Yes

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- Partition Function  
(Normalization = Free of Physical Poles)
- A Non-Trivial **Cancellation Mechanism**  
Strings & Membranes: **Poles**  
Strings + Membranes: **Cancel**

# Contents

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1. Introduction
2. ABJM & Two Instanton Effects
3. Instantons from Numerics
4. Refined Topological Strings
5. Discussions

# ABJM Matrix Model

- Partition Function of ABJM Theory
- Due to SUSY, Localized to Matrix Model

$$Z(N) = \frac{1}{N_1!N_2!} \int \prod_{i=1}^{N_1} \frac{d\mu_i}{2\pi} \prod_{k=1}^{N_2} \frac{d\nu_k}{2\pi} e^{-(\sum \mu_i^2 - \sum \nu_k^2)/2g_s}$$
$$\times \frac{\prod_{i < j} \left(2 \sinh \frac{\mu_i - \mu_j}{2}\right)^2 \prod_{k < l} \left(2 \sinh \frac{\nu_k - \nu_l}{2}\right)^2}{\prod_{i,k} \left(2 \cosh \frac{\mu_i - \nu_k}{2}\right)^2}$$

$N_1 = N_2 = N$

$g_s = 2\pi i/k$

# 't Hooft Expansion

- $N \rightarrow \infty$  Expansion with  $\lambda = N/k$  Fixed
- Genus Expansion

$$\begin{aligned} F &= N^2 F_0(\lambda) + N^0 F_1(\lambda) + N^{-2} F_2(\lambda) + N^{-4} F_3(\lambda) + \dots \\ &= N^2 [F_0^{\text{pert}}(\lambda) + \# e^{-2\pi\sqrt{2}\lambda} + \# e^{-4\pi\sqrt{2}\lambda} + \dots] \\ &+ N^0 [F_1^{\text{pert}}(\lambda) + \# e^{-2\pi\sqrt{2}\lambda} + \# e^{-4\pi\sqrt{2}\lambda} + \dots] \\ &+ \dots \dots \end{aligned}$$

Sum Up To Airy Function!

[Fuji-Hirano-M]

Worldsheet Instanton

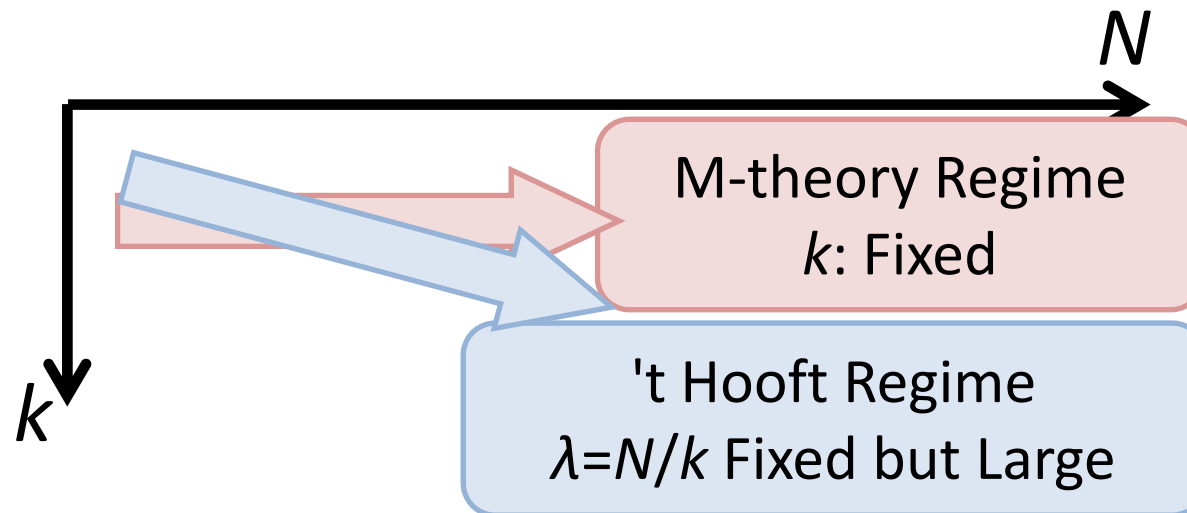
$$e^{-2\pi\sqrt{2}\lambda} = \exp[-T_{F_1} \text{Area}(\mathbf{CP}^1)]$$

F-String Wrapping  $\mathbf{CP}^1 \subset \mathbf{CP}^3$

# M-theory Expansion

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- M-theory Background:  $C^4/Z_k$
- $N \rightarrow \infty$  with  $k$  Fixed
- Close To 't Hooft Limit But Not Exactly





# Fermi Gas Formalism

[Marino-Putrov] —

- Rewriting Partition Function
- Regarding as Fermi Gas with Density Matrix  $\rho$

$$Z(N) = (N!)^{-1} \sum_{\sigma \in S(N)} (-1)^\sigma \int \prod_i dq_i \langle q_i | \rho | q_{\sigma(i)} \rangle$$

$$\rho = (2 \cosh q/2)^{-1/2} (2 \cosh p/2)^{-1} (2 \cosh q/2)^{-1/2}$$

$$[p, q] = i 2\pi k$$

- Introducing Grand Potential

$$e^{J(\mu)} = \sum_{N=0}^{\infty} Z(N) e^{\mu N} = \det (1 + e^\mu \rho)$$

# WKB (small $k$ ) expansion

$$\begin{aligned} J(\mu) &= k^{-1} J_0(\mu) + k^1 J_1(\mu) + k^3 J_2(\mu) + k^5 J_3(\mu) + \dots \\ &= k^{-1} [ J_0^{\text{pert}}(\mu) + (\#\mu^2 + \#\mu + \#) e^{-2\mu} + (\dots) e^{-4\mu} + \dots ] \\ &\quad + k [ J_1^{\text{pert}}(\mu) + (\#\mu^2 + \#\mu + \#) e^{-2\mu} + (\dots) e^{-4\mu} + \dots ] \\ &\quad + \dots \dots \\ &= (\#\mu^3 + \#\mu + \#) + (\#\mu^2 + \#\mu + \#) e^{-2\mu} + (\dots) e^{-4\mu} + \dots \end{aligned}$$

Airy Function Reproduced

[Marino-Putrov, Honda et al]

Membrane Instanton

$$e^{-2\mu} \approx e^{-\pi\sqrt{2}Nk} = \exp[-T_{D2} \text{Area}(\mathbf{RP}^3)]$$

D2-brane Wrapping  $\mathbf{RP}^3 \subset \mathbf{CP}^3$

[Drukker-Marino-Putrov]

# Summary

$$J(\mu) = J^{\text{pert}}(\mu) + J^{\text{WS}}(\mu) + J^{\text{MB}}(\mu) + \dots$$

$$J^{\text{pert}}(\mu) = C \mu^3/3 + B \mu + A$$

$$J^{\text{WS}}(\mu) = \sum_{m=1}^{\infty} d_k^{(m)} e^{-m \times 4\mu/k}$$

$$e^{-2\pi\sqrt{2}\lambda} \approx e^{-4\mu/k}$$

$$J^{\text{MB}}(\mu) = \sum_{l=1}^{\infty} (a_k^{(l)} \mu^2 + b_k^{(l)} \mu + c_k^{(l)}) e^{-l \times 2\mu}$$

- WS Instantons from Topological Strings

$$d_k^{(m)} = \sum_g \sum_{d|m} (-1)^{m/d} \mathbf{N}_d^g / d (2 \sin[2\pi d/k])^{2g-2}$$

$\mathbf{N}_d^g$ : Gopakumar-Vafa Invariant on  $F_0 = \mathbb{P}^1 \times \mathbb{P}^1$

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# Partition Function $Z(N)$ with Fixed $k$

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Example: (For  $k=1$ )

$$Z(1) = 1/4$$

$$Z(2) = 1/16\pi$$

$$Z(3) = (\pi-3)/2^6\pi$$

$$Z(4) = (-\pi^2+10)/2^{10}\pi^2$$

$$Z(5) = (-9\pi^2+20\pi+26)/2^{12}\pi^2$$

$$Z(6) = (36\pi^3-121\pi^2+78)/2^{14}3^2\pi^3$$

$$Z(7) = (-75\pi^3+193\pi^2+174\pi-126)/2^{16}3\pi^3$$

$$Z(8) = (1053\pi^4-2016\pi^3-4148\pi^2+876)/2^{21}3^2\pi^4$$

$$Z(9) = (5517\pi^4-13480\pi^3-15348\pi^2+8880\pi+4140)/2^{23}3^2\pi^4$$

# Exact Values From Numerical Studies

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- Define **Approximate** Grand Potential

$$J(\mu) = \log[ \sum_{N=0}^{N_{\max}} Z(N) e^{\mu N} ]$$

- Compare with Expectation

$$J(\mu) = (\#\mu^3 + \#\mu + \#) + \sum_{m=1}^{\infty} \# e^{-m \times 4\mu/k} + \sum_{l=1}^{\infty} (\#\mu^2 + \#\mu + \#) e^{-l \times 2\mu}$$

- Read off **Exact Values** of Coefficients

# Results (Schematically)

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$$J_{k=1}(\mu) = [\#\mu^2+\#\mu+\#]e^{-4\mu} + [\#\mu^2+\#\mu+\#]e^{-8\mu} + [\#\mu^2+\#\mu+\#]e^{-12\mu} + \dots$$

$$J_{k=2}(\mu) = [\#\mu^2+\#\mu+\#]e^{-2\mu} + [\#\mu^2+\#\mu+\#]e^{-4\mu} + [\#\mu^2+\#\mu+\#]e^{-6\mu} + \dots$$

$$J_{k=3}(\mu) = [\#]e^{-4\mu/3} + [\#]e^{-8\mu/3} + [\#\mu^2+\#\mu+\#]e^{-4\mu} + \dots$$

$$J_{k=4}(\mu) = [\#]e^{-\mu} + [\#\mu^2+\#\mu+\#]e^{-2\mu} + [\#]e^{-3\mu} + \dots$$

...

$$J_{k=6}(\mu) = [\#]e^{-2\mu/3} + [\#]e^{-4\mu/3} + [\#\mu^2+\#\mu+\#]e^{-2\mu} + \dots$$

WS(1)

WS(2)

WS(3)

# Comparison with WS Instanton (Top String)

$$J_{k=1}(\mu) = [\cancel{\#\mu^2 + \#\mu + \#}]e^{-4\mu} + [\cancel{\#\mu^2 + \#\mu + \#}]e^{-8\mu} + [\cancel{\#\mu^2 + \#\mu + \#}]e^{-12\mu} + \dots$$

$$J_{k=2}(\mu) = [\cancel{\#\mu^2 + \#\mu + \#}]e^{-2\mu} + [\cancel{\#\mu^2 + \#\mu + \#}]e^{-4\mu} + [\cancel{\#\mu^2 + \#\mu + \#}]e^{-6\mu} + \dots$$

$$J_{k=3}(\mu) = \circ[\#]e^{-4\mu/3} + \circ[\#]e^{-8\mu/3} + [\cancel{\#\mu^2 + \#\mu + \#}]e^{-4\mu} + \dots$$

$$J_{k=4}(\mu) = \circ[\#]e^{-\mu} + [\cancel{\#\mu^2 + \#\mu + \#}]e^{-2\mu} + \triangle[\#]e^{-3\mu} + \dots$$

$$J_{k=6}(\mu) = \circ[\#]e^{-2\mu/3} + \circ[\#]e^{-4\mu/3} + [\cancel{\#\mu^2 + \#\mu + \#}]e^{-2\mu} + \dots$$

WS(1)

WS(2)

WS(3)

 : Match

 : Divergent

 : Not-Match



# Divergences Cancelled by MB Instanton

$$\begin{aligned}
 J_{k=1}(\mu) &= [\cancel{\# \mu^2 + \mu + \#}] e^{-4\mu} + [\cancel{\# \mu^2 + \mu + \#}] e^{-8\mu} + [\cancel{\# \mu^2 + \mu + \#}] e^{-12\mu} + \dots \\
 J_{k=2}(\mu) &= [\cancel{\# \mu^2 + \mu + \#}] e^{-2\mu} + [\cancel{\# \mu^2 + \mu + \#}] e^{-4\mu} + [\cancel{\# \mu^2 + \mu + \#}] e^{-6\mu} + \dots \\
 J_{k=3}(\mu) &= \textcircled{[\#]} e^{-4\mu/3} + \textcircled{[\#]} e^{-8\mu/3} + [\cancel{\# \mu^2 + \mu + \#}] e^{-4\mu} + \dots \\
 J_{k=4}(\mu) &= \textcircled{[\#]} e^{-\mu} + [\cancel{\# \mu^2 + \mu + \#}] e^{-2\mu} + \textcircled{[\#]} e^{-3\mu} + \dots \\
 &\quad \dots \\
 J_{k=6}(\mu) &= \textcircled{[\#]} e^{-2\mu/3} + \textcircled{[\#]} e^{-4\mu/3} + [\cancel{\# \mu^2 + \mu + \#}] e^{-2\mu} + \dots
 \end{aligned}$$

MB(2)

WS(1)      WS(2)      WS(3)      MB(1)

# 1-Membrane Instanton

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- Vanishing in  $k=\text{odd}$
- Canceling Divergence @  $k=2m$
- Matching the WKB data



$$a_k^{(1)} = -4(\pi^2 k)^{-1} \text{Cos}[\pi k/2]$$

$$b_k^{(1)} = 2\pi^{-1} \text{Cot}[\pi k/2] \text{Cos}[\pi k/2]$$

$$c_k^{(1)} = \dots$$

# How About ?

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- $(l, m)$  Bound State ?

$$e^{-(m \times 4\mu/k + l \times 2\mu)}$$

Ex:  $e^{-3\mu}$  Effects in  $k=4$  Sector From

**Both**  $(0,3)$  &  $(1,1)$

- Contribution from Bound States

$$J(\mu) = J^{\text{pert}}(\mu) + J^{\text{MB}}(\mu) + J^{\text{WS}}(\mu) + J^{\text{bnd}}(\mu)$$

$$J^{\text{bnd}}(\mu) = \sum_{m=1}^{\infty} \sum_{l=1}^{\infty} \# e^{-(m \times 4\mu/k + l \times 2\mu)}$$

# Bound States

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$$J(\mu) = J^{\text{pert}}(\mu) + J^{\text{MB}}(\mu) + J^{\text{WS}}(\mu) + J^{\text{bnd}}(\mu)$$

Bound States Incorporated by  $\mu \rightarrow \mu_{\text{eff}}$

$$J^{\text{WS}}(\mu) + J^{\text{bnd}}(\mu) = J^{\text{WS}}(\mu_{\text{eff}})$$

$$\mu_{\text{eff}} = \mu + \sum_{l=1}^{\infty} a_k^{(l)} e^{-l \times 2\mu}$$

All in Effective Chemical Potential

$$J(\mu) = J^{\text{pert}}(\mu_{\text{eff}}) + J^{\text{MB}}(\mu_{\text{eff}}) + J^{\text{WS}}(\mu_{\text{eff}})$$

$$J^{\text{MB}}(\mu_{\text{eff}}) = \sum_{l=1}^{\infty} (b_k^{\sim(l)} \mu_{\text{eff}} + c_k^{\sim(l)}) e^{-l \times 2\mu_{\text{eff}}}$$

Membrane Instanton in Linear Functions

$$c_k^{\sim(l)} = k^2 \partial_k [b_k^{\sim(l)} / k] \Rightarrow \text{Only } a_k^{(l)} \text{ \& } b_k^{(l)} \text{ NonTrivial}$$

# Summary

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- Exact Values From Numerical Study
  - Poles Cancellation Requirement
- ⇓
- **Explicit** First Few Membrane Instantons
  - **Bound States** (Neither from Genus OR WKB)
  - **No Other** Contributions

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# Refined Topological Strings

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$a_k^{(l)}$  &  $b_k^{(l)} \Leftrightarrow$  A- & B-Periods of  $Q$  Spectral Curve!

Classical Spectral Curve

$$-1 + e^x + e^p + z_1 e^{-x} + z_2 e^{-p} = 0$$

Quantum Spectral Curve

$$(-1 + e^x + z_1 e^{-x})\Psi(x) + \Psi(x + \hbar) + z_2 \Psi(x - \hbar) = 0$$

Quantization  
of Spectral Curve



Refined Topological String  
in Nekrasov-Shatashvili Limit

[Mironov-Morozov, Aganagic-Cheng-Dijkgraaf-Krefl-Vafa]

# All Explicitly In Topological Strings

$$J(\mu) = J^{\text{pert}}(\mu^{\text{eff}}) + J^{\text{WS}}(\mu^{\text{eff}}) + J^{\text{MB}}(\mu^{\text{eff}})$$

$$J^{\text{pert}}(\mu) = C\mu^3/3 + B\mu + A$$

$$J^{\text{WS}}(\mu^{\text{eff}}) = F_{\text{top}}(T_1^{\text{eff}}, T_2^{\text{eff}}, \lambda)$$

$$J^{\text{MB}}(\mu^{\text{eff}}) = (2\pi i)^{-1} \partial_{\lambda} [\lambda F_{\text{NS}}(T_1^{\text{eff}}/\lambda, T_2^{\text{eff}}/\lambda, 1/\lambda)]$$

$F(T_1, T_2, \tau_1, \tau_2)$ : Free Energy  
of Refined Top Strings

$T_1, T_2$ : Kahler Moduli

$\tau_1, \tau_2$ : Coupling Constants

$$T_1^{\text{eff}} = 4\mu^{\text{eff}}/k - i\pi$$

$$T_2^{\text{eff}} = 4\mu^{\text{eff}}/k + i\pi$$

$$\lambda = 2/k$$

Topological Limit  $F_{\text{top}}(T_1, T_2, \tau) = \lim_{\tau_1 \rightarrow \tau, \tau_2 \rightarrow -\tau} F(T_1, T_2, \tau_1, \tau_2)$

NS Limit  $F_{\text{NS}}(T_1, T_2, \tau) = \lim_{\tau_1 \rightarrow \tau, \tau_2 \rightarrow 0} 2\pi i \tau_2 F(T_1, T_2, \tau_1, \tau_2)$



# Triple Sine Function

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- Triple Sine Function
  - Defined from Multiple Zeta Function
  - All Poles **Cancelled** Formally

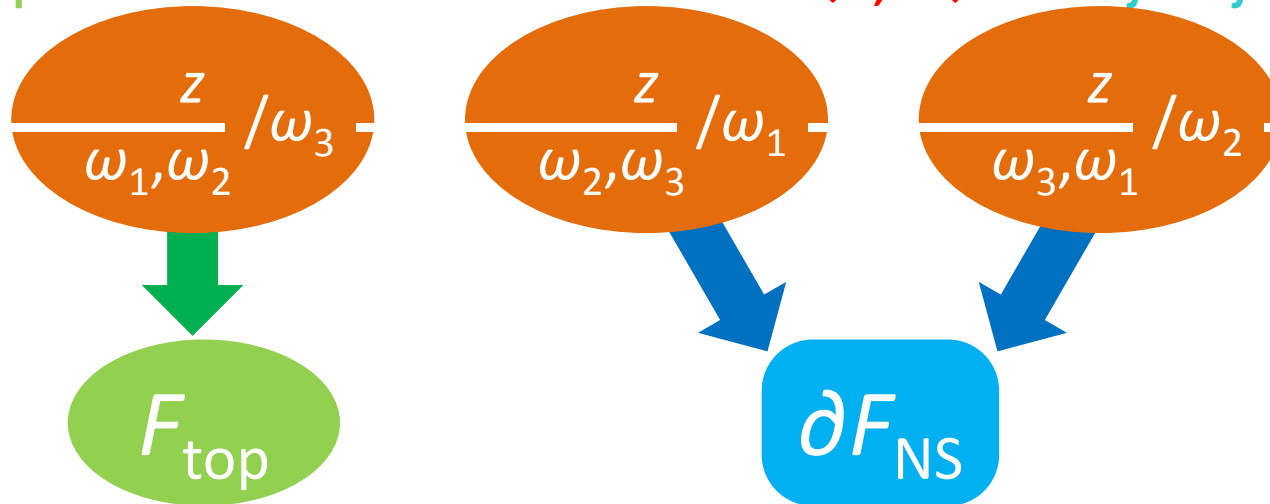
$$\log S_3(z; \boldsymbol{\omega}) = \frac{z}{\omega_1, \omega_2} / \omega_3 + \frac{z}{\omega_2, \omega_3} / \omega_1 + \frac{z}{\omega_3, \omega_1} / \omega_2$$

- Sign Modifications
  - Pole **Cancellation** Still Holds!

# Relation to Triple Sine Function

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$$F_{\text{top}} + (2\pi i)^{-1} \partial_{\lambda} [ \lambda F_{\text{NS}} ] = \lim_{\tau_1 \rightarrow \tau, \tau_2 \rightarrow -\tau} \sum_d \sum_j N^d_j \log S_3$$



- Before The Limit? Ellipsoid  $S^3$ ? Squashed  $S^3$ ?
- Similar to M5 Partition Function? Duality???

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# Summary

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- Instanton **Bound States** of WS & MB

Neither from Genus Exp OR WKB Exp

- An **Explicit** Formula for ABJM Matrix Model

Perturbative: Cubic Function

WS Instanton: Top String

MB Instanton: Refined Top String in NS Limit

- Partition Function (& Wilson Loop)

# Punch Lines

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- **M-theory / Nonperturbative Strings**
  - String Theory, NOT JUST A Theory Of Strings  
Only Sensible After **NonPerturbative** Branes
  - ABJM Matrix Model  
Poles from WS & MB Cancel Only After **Sum**
- **Cancellation Mechanism**
  - **Raison D'Etre** for M-theory
  - First Few Membrane Instantons
  - **Infinite Cancellation** in General Formula

Thank you for your attention.