

# 4D N=1 gauge theories from M5 branes on $A_k$ singularity with orientifold

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## ① introduction

6D N=(2,0) SCFT on Riemann surface

→ 4D N=2 theory

This procedure is very successful for understanding duality network of 4D N=2 theories.

We want to generalize to 4D N=1

6D N=(1,0) SCFT on Riemann surface

→ 4D N=1 theory

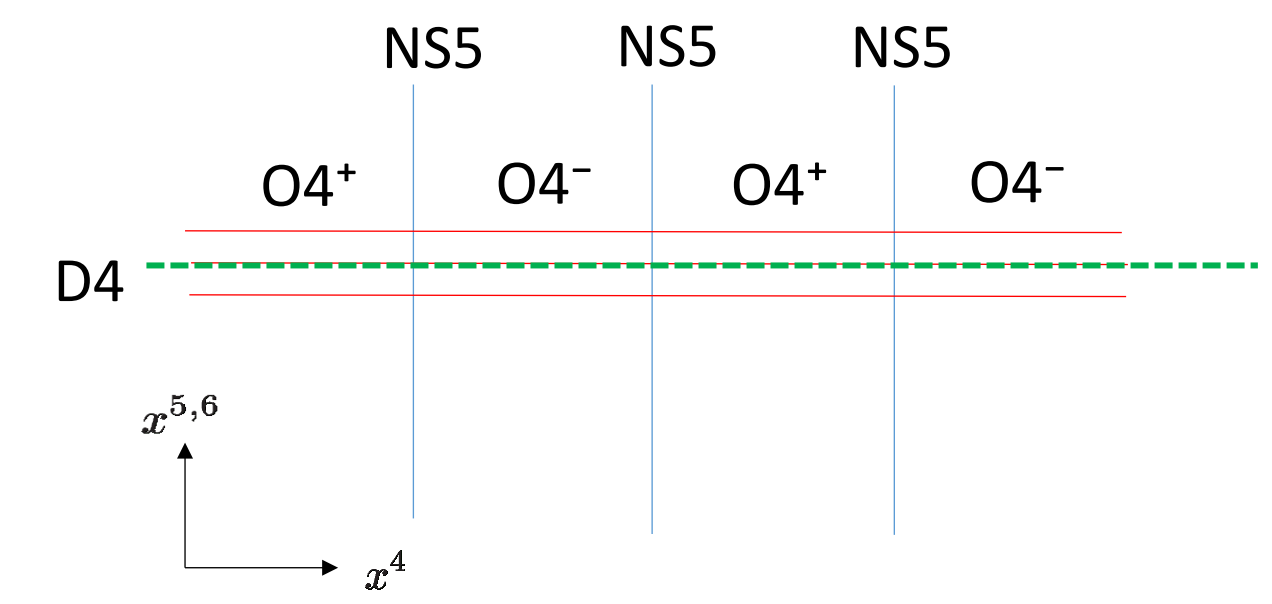
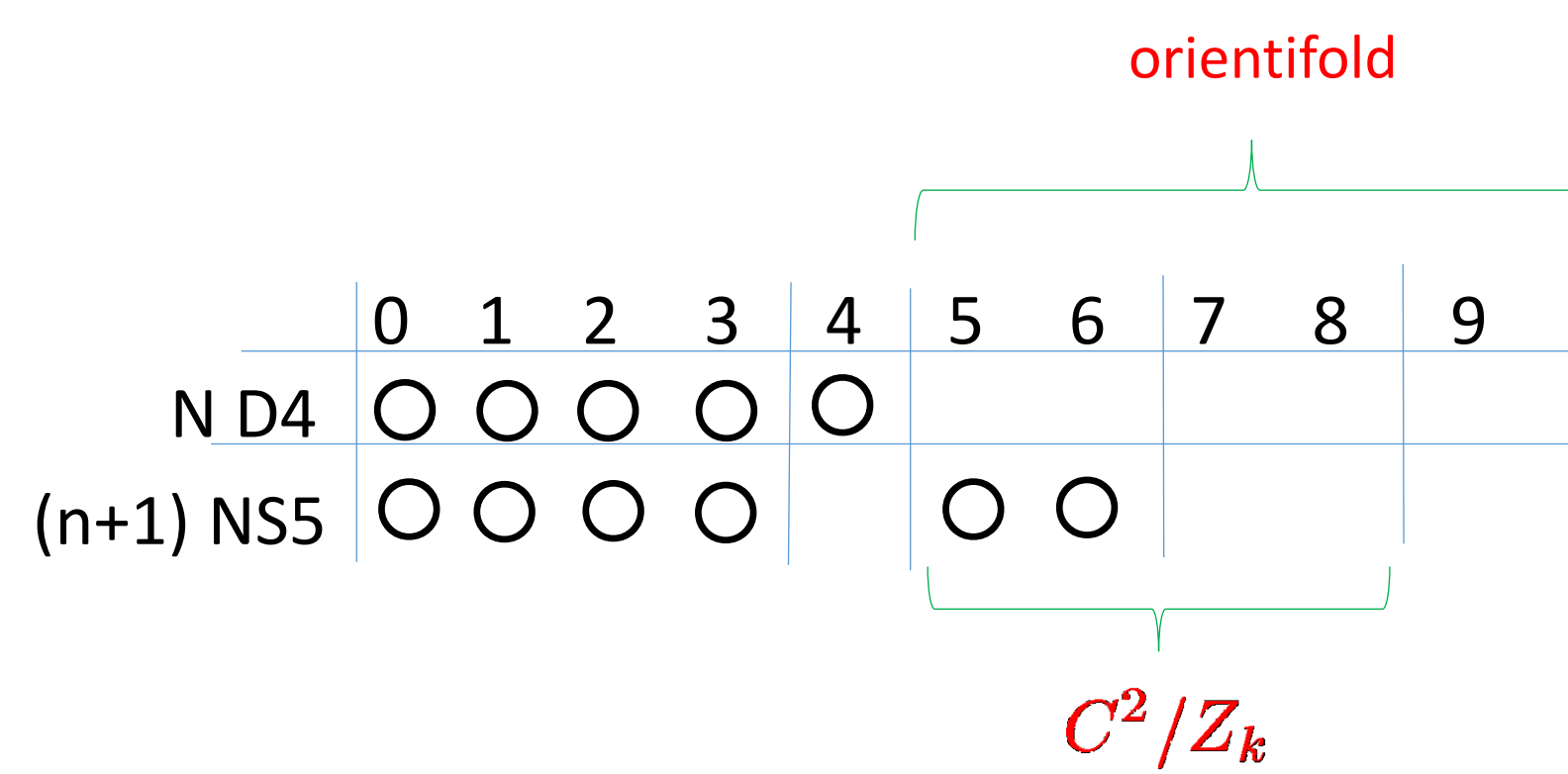
So many 6D N=(1,0) SCFT [Heckman et al.]

[Gaiotto Razamat] M5 on  $C^2/Z_k$  orbifold "class  $S_k$ "

In this talk, we further impose  $R^5/Z_2$  orientifold action

In addition, we restrict the case for odd  $k$   $k=2m+1$

## ② Type IIA brane system set up



orbifold action

$$Z_1 = x^5 + ix^6 \quad Z_2 = x^7 + ix^8$$

$$(z_1, z_2) \rightarrow (\xi z_1, \xi^{-1} z_2) \quad \xi = \exp(2\pi i/k)$$

orientifold action

$$x^{5,6,7,8,9} \rightarrow -x^{5,6,7,8,9} + \text{orientation change of strings}$$

$$\text{fixed plane } x^{5,6,7,8,9} = 0 \rightarrow \text{O4-plane}$$

two types of O4-plane distinguished by RR-charge:  $O4^+$  and  $O4^-$  across a NS5 →  $O4^+$  and  $O4^-$  interchange

## ③ Orbifold and Orientifold projection

original theory : N=2 SU(N) conformal quiver

$N=2$   $SU(N)_a$  vectormultiplet  $(V^{(a)}, \Phi^{(a)})$  ( $a=1, \dots, n$ )

$SU(N)_a \times SU(N)_{a+1}$  bifundamental hypermultiplet  $(Q^{(a)}, \tilde{Q}^{(a)})$  ( $a=1, \dots, n-1$ )

+ fund.(antifund.) hyper at end nodes

◆ Orbifold projection

(a) spatial rotation

spatial rotation charge = R-charge

$$\Phi : 1 \quad Q : -1/2 \quad \tilde{Q} : -1/2 \quad (\text{charge of scalar component})$$

(b) action on gauge index

$$\text{for charge } (i,j) \text{ sector field } \Psi_{ij} \rightarrow \xi^{i-j} \Psi_{ij}$$

$Q, \tilde{Q}$  have half-integer R-charge

→ assign (half-)integer charge at even(odd) gauge node

Thus, we found

$$(V^{(a)}, \Phi^{(a)}) \rightarrow N=1 \text{ } SU(N)^k \text{ necklace quiver } \mathcal{N}^{(a)}$$

$$(Q^{(a)}, \tilde{Q}^{(a)}) \rightarrow \text{bifundamental chiral which zig-zag}$$

back and forth between  $\mathcal{N}^{(a)}$  and  $\mathcal{N}^{(a+1)}$

◆ Orientifold projection

identify fields with their orientifold image

If image of a field is itself, then

$$\text{gauge node : } SU \rightarrow SO(O4^-) / Usp(O4^+)$$

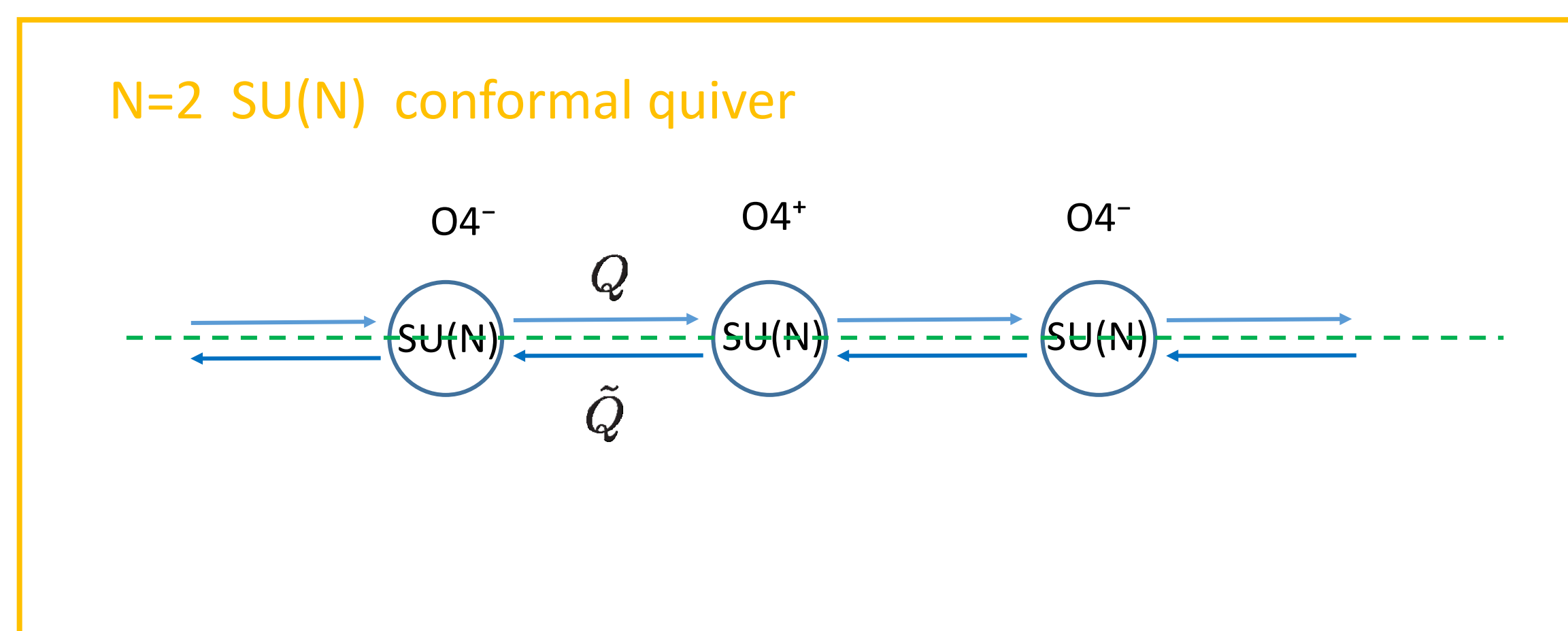
$$\text{bifundamental } \Phi \rightarrow \text{antisymmetric rep.}(O4^-) / \text{symmetric rep.}(O4^+)$$



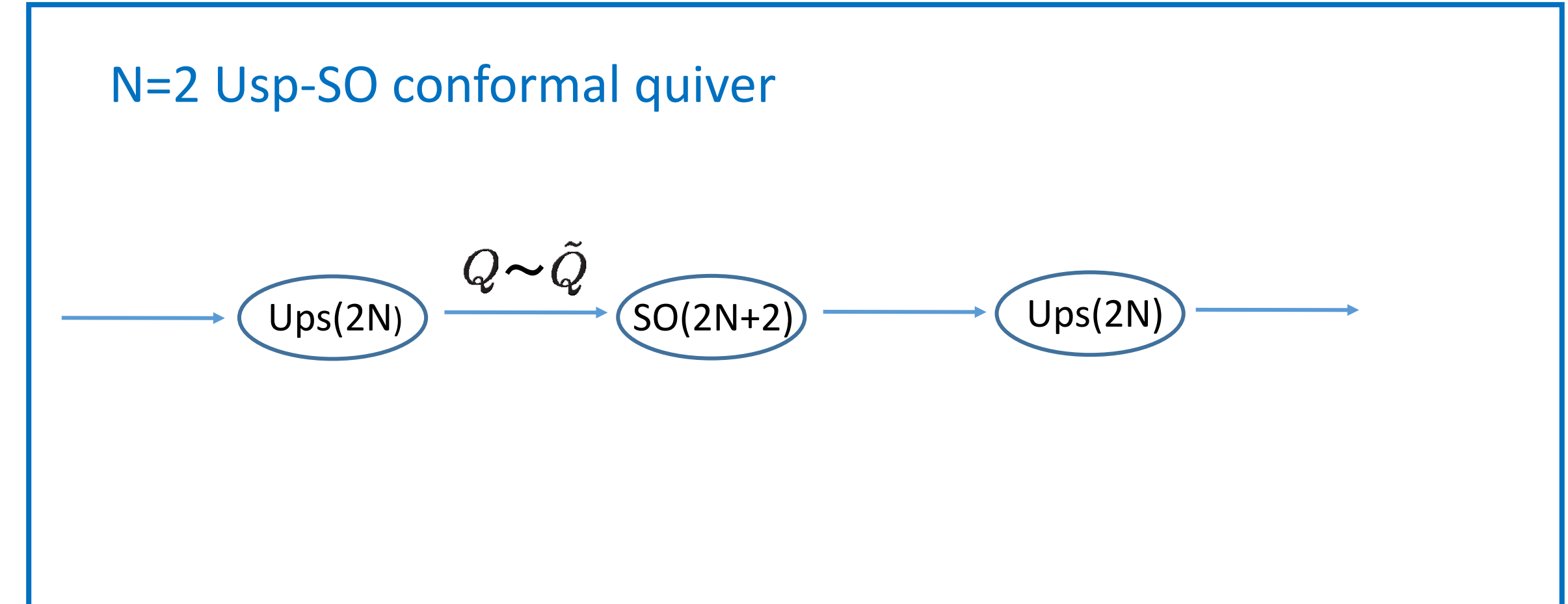
Since orientifold action causes  $Q \leftrightarrow \tilde{Q}$  such situation does not occur for  $Q, \tilde{Q}$

For simplicity, we assign  $O4^+$  plane to gauge node with integer  $Z_k$  charge

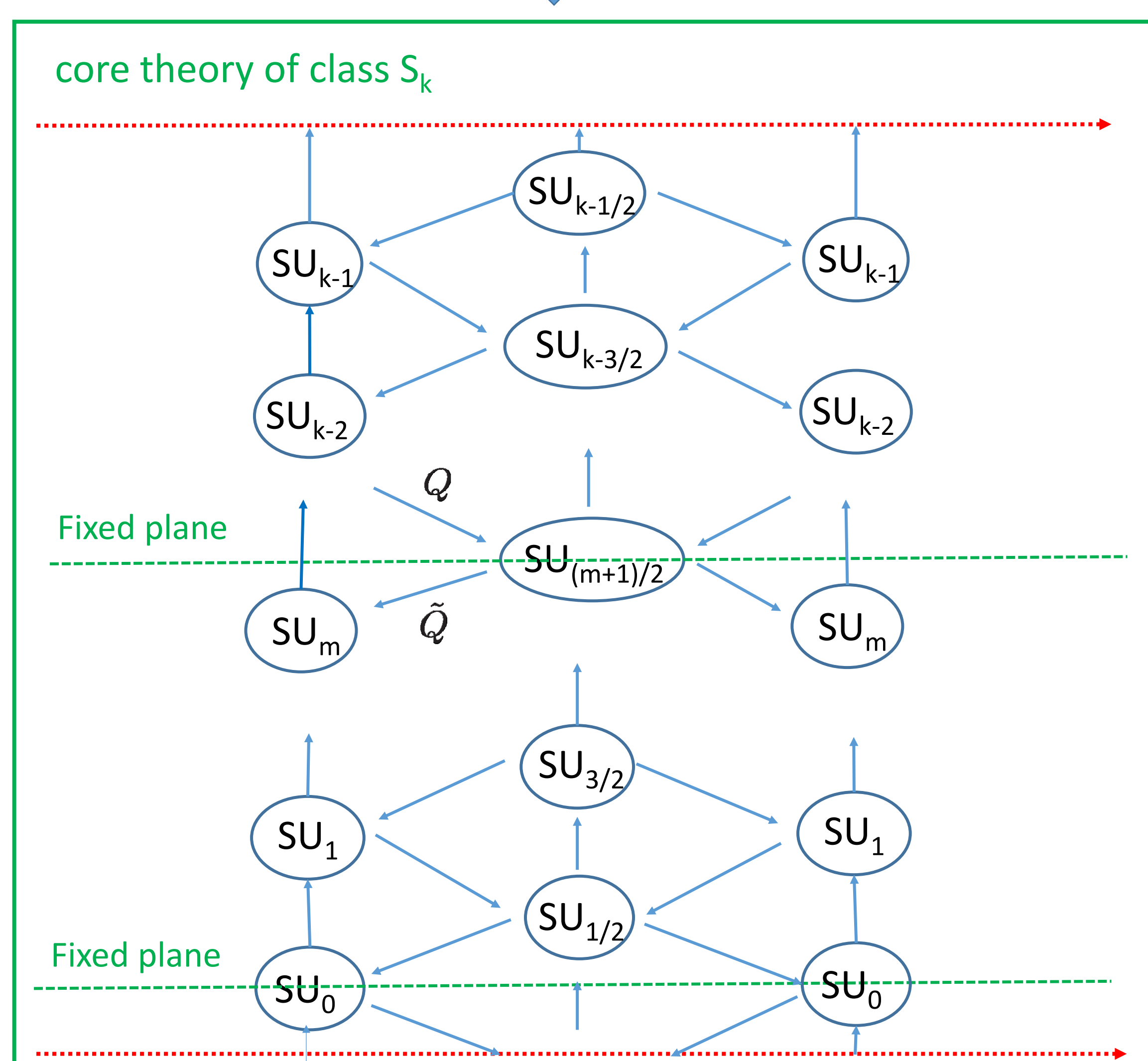
## ④ Quiver diagram representation of the projection



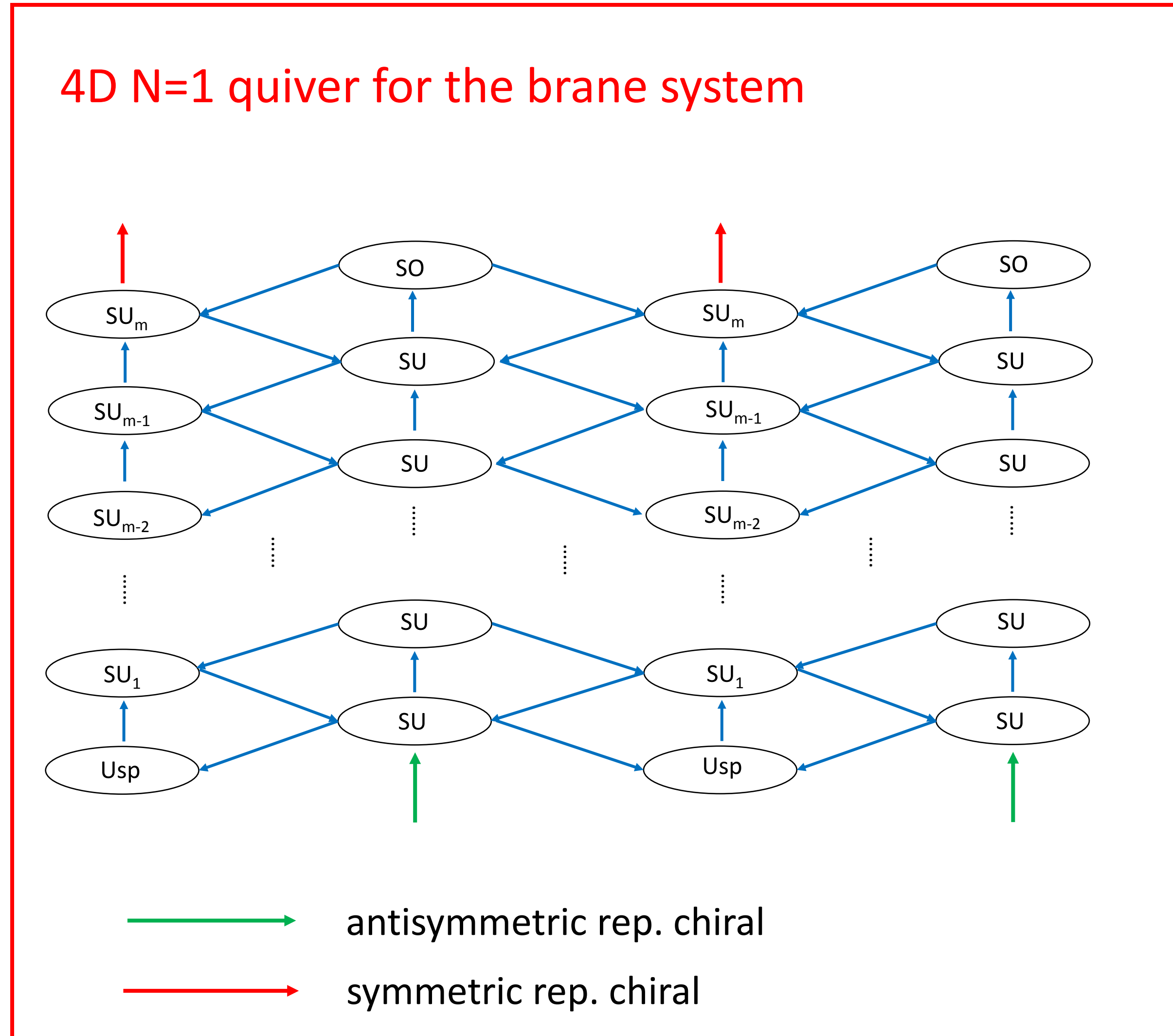
orientifold



orbifold



orientifold



→ antisymmetric rep. chiral  
→ symmetric rep. chiral

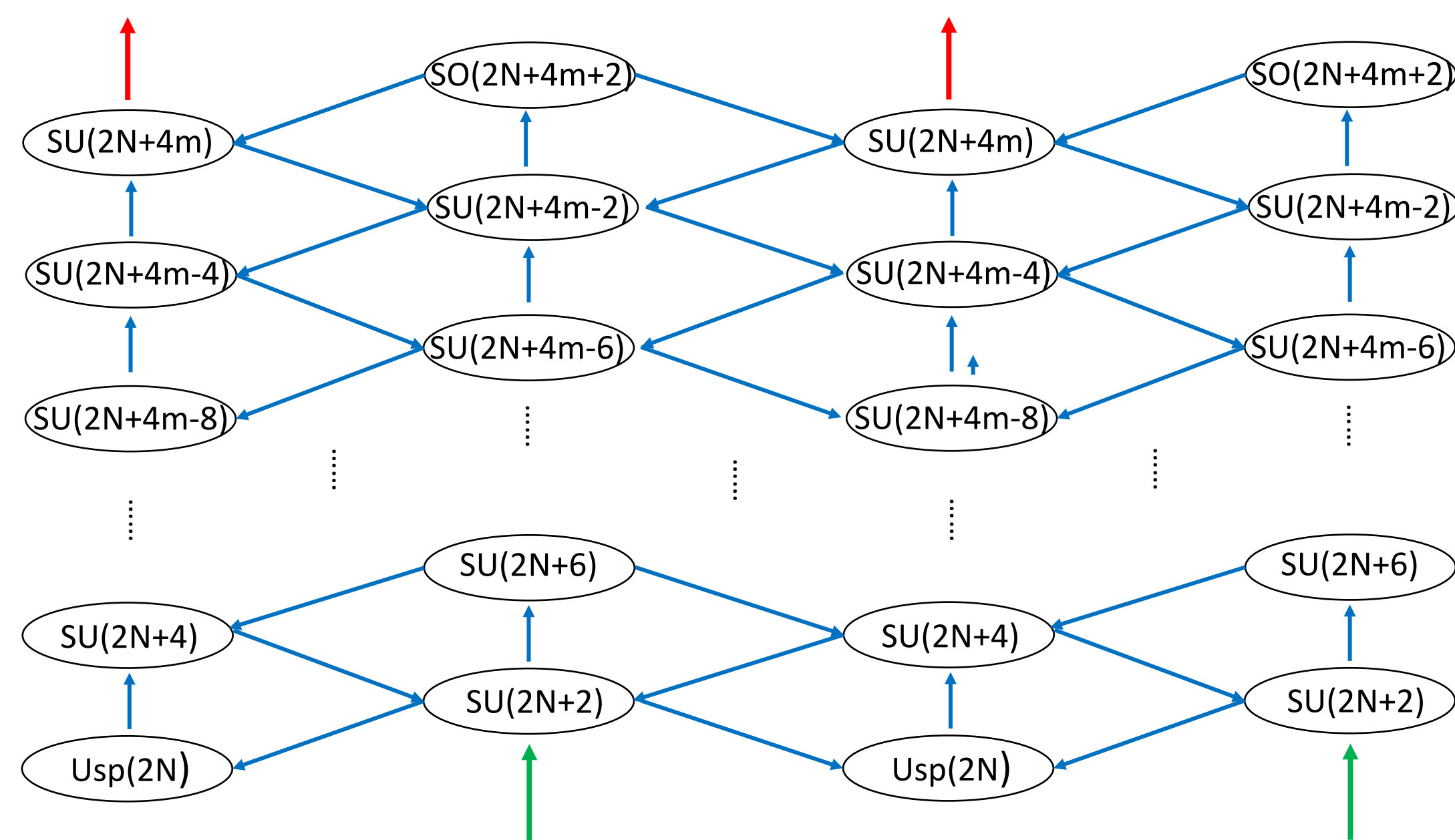
## ⑤ Anomaly free theory

orientifolied theory is **chiral**

→ tune the rank of gauge groups to cancel **gauge anomaly**

We impose two conditions:

- ① anomaly free
- ② obtain N=2 Usp-SO conformal quiver for m=0



## ⑥ Field content, superpotential

Gauge group

$$\prod_{a \in \text{Even}} \left\{ \text{Usp}^{(a)}(2N) \times \prod_{i=1}^m \text{SU}^{(a)}(2N+4i) \right\} \times \prod_{a \in \text{Odd}} \left\{ \prod_{i=0}^{m-1} \text{SU}^{(a)}(2N+4i+2) \times \text{SO}^{(a)}(2N+4m+2) \right\}$$

Chiral fields for even a

$\Phi^{(a,0)}$	$(\square, \bar{\square})$ of $\text{Usp}^{(a)}(2N) \times \text{SU}^{(a)}(2N+4)$
$\Phi^{(a,i)}$	$(\square, \bar{\square})$ of $\text{SU}^{(a)}(2N+4i) \times \text{SU}^{(a)}(2N+4i+4)$ ( $i=1,2,\dots,m-1$ )
$X^{(a)}$	$\square\square$ of $\text{SU}^{(a)}(2N+4m)$
$Q^{(a,i)}$	$(\square, \bar{\square})$ of $\text{SU}^{(a)}(2N+4i) \times \text{SU}^{(a+1)}(2N+4i-2)$ ( $i=1,2,\dots,m$ )
$\tilde{Q}^{(a,0)}$	$(\bar{\square}, \square)$ of $\text{Usp}^{(a)}(2N) \times \text{SU}^{(a+1)}(2N+2)$
$\tilde{Q}^{(a,i)}$	$(\bar{\square}, \square)$ of $\text{SU}^{(a)}(2N+4i) \times \text{SU}^{(a+1)}(2N+4i+2)$ ( $i=1,2,\dots,m-1$ )
$\tilde{Q}^{(a,m)}$	$(\bar{\square}, \square)$ of $\text{SU}^{(a)}(2N+4m) \times \text{SO}^{(a+1)}(2N+4m+2)$

Chiral fields for odd a

$\Phi^{(a,i)}$	$(\square, \bar{\square})$ of $\text{SU}^{(a)}(2N+4i+2) \times \text{SU}^{(a)}(2N+4i+2)$ ( $i=0,1,\dots,m-1$ )
$\Phi^{(a,m)}$	$(\square, \bar{\square})$ of $\text{SU}^{(a)}(2N+4m-2) \times \text{SO}^{(a)}(2N+4m+2)$
$Y^{(a)}$	$\bar{\square}$ of $\text{SU}^{(a)}(2N+2)$
$\tilde{Q}^{(a,i)}$	$(\bar{\square}, \square)$ of $\text{SU}^{(a)}(2N+4i-2) \times \text{SU}^{(a+1)}(2N+4i)$ ( $i=1,2,\dots,m$ )
$Q^{(a,0)}$	$(\square, \bar{\square})$ of $\text{SU}^{(a)}(2N+2) \times \text{Usp}^{(a+1)}(2N)$
$Q^{(a,i)}$	$(\square, \bar{\square})$ of $\text{SU}^{(a)}(2N+4i+2) \times \text{SU}^{(a+1)}(2N+4i)$ ( $i=1,2,\dots,m-1$ )
$Q^{(a,m)}$	$(\square, \bar{\square})$ of $\text{SO}^{(a)}(2N+4m+2) \times \text{SU}^{(a+1)}(2N+4m)$

Superpotential coupling

closed triangle path in quiver = cubic superpotential

for even a

$$W^{(a,i)} = \text{Tr} \tilde{Q}^{(a,i)} \Phi^{(a,i)} Q^{(a,i+1)} \quad (i=0,1,\dots,m-1)$$

$$\hat{W}^{(a,i)} = \text{Tr} Q^{(a-1,i)} \Phi^{(a,i)} \tilde{Q}^{(a-1,i+1)}$$

$$W^{(a,m)} = \text{Tr} \tilde{Q}^{(a,m)} X^{(a)} \tilde{Q}^{(a,m)} \quad \hat{W}^{(a,m)} = \text{Tr} Q^{(a,m)} X^{(a)} Q^{(a,m)}$$

for odd a

$$V^{(a,i)} = \text{Tr} \tilde{Q}^{(a,i)} \Phi^{(a,i-1)} Q^{(a,i)} \quad (i=1,2,\dots,m)$$

$$\hat{V}^{(a,i)} = \text{Tr} Q^{(a-1,i)} \Phi^{(a,i-1)} \tilde{Q}^{(a-1,i)}$$

$$V^{(a,0)} = \text{Tr} Q^{(a,0)} Y^{(a)} Q^{(a,0)} \quad \hat{V}^{(a,0)} = \text{Tr} \tilde{Q}^{(a-1,0)} Y^{(a)} \tilde{Q}^{(a-1,0)}$$

## ⑧ Exactly marginal deformation

To identify the 4D theory with compactification of 6D theory on Riemann surface, it must be hold

$$\# \text{ of exact marginal deformation parameter} = \# \text{ of complex structure moduli parameter of Riemann surface}$$

We check it according to Leigh-Strassler argument

scaling coefficients for even a  $\gamma(\Phi)$ : anomalous dimension of  $\Phi$

$$A(\text{Usp}^{(a)}(2N)) = -1 + (N+1)\gamma(\tilde{Q}^{(a,0)}) + (N+1)\gamma(Q^{(a-1,0)}) + (N+2)\gamma(\Phi^{(a,0)})$$

$$A(\text{SU}^{(a)}(2N+4i)) = (N+2i+1)\gamma(\tilde{Q}^{(a,i)}) + (N+2i+1)\gamma(Q^{(a-1,i)}) + (N+2i-1)\gamma(Q^{(a,i)}) + (N+2i-1)\gamma(\tilde{Q}^{(a-1,i)}) + (N+2i+2)\gamma(\Phi^{(a,i)}) + (N+2i-2)\gamma(\Phi^{(a,i-1)})$$

$$A(\text{SU}^{(a)}(2N+4m)) = 1 + (N+2m+1) \left\{ \gamma(\tilde{Q}^{(a,m)}) + \gamma(Q^{(a-1,m)}) + \gamma(X^{(a)}) \right\}$$

$$2A(W^{(a,i)}) = \gamma(\tilde{Q}^{(a,i)}) + \gamma(\Phi^{(a,i)}) + \gamma(Q^{(a,i+1)})$$

$$2A(\hat{W}^{(a,i)}) = \gamma(\tilde{Q}^{(a-1,i)}) + \gamma(\Phi^{(a,i)}) + \gamma(\tilde{Q}^{(a-1,i+1)})$$

$$2A(W^{(a,m)}) = 2\gamma(\tilde{Q}^{(a,m)}) + \gamma(X^{(a)}) \quad 2A(\hat{W}^{(a,m)}) = 2\gamma(Q^{(a-1,m)}) + \gamma(X^{(a)})$$

We found the following relation

$$A(\text{Usp}^{(a)}(2N)) + \sum_{i=1}^m A(\text{SU}^{(a)}(2N+4i)) = \sum_{i=0}^{m-1} 2(N+2i+1) \left\{ A(W^{(a,i)}) + A(\hat{W}^{(a,i)}) \right\} + (N+2m+1) \left\{ A(W^{(a,m)}) + A(\hat{W}^{(a,m)}) \right\}$$

Similar relation holds for odd a. Thus we have n relations

→ **n exactly marginal deformations**

We can glue punctures (gauging the flavor symmetry) and obtain more general theories in the same way as for N=2 class S theories.

Conjecture

exchange of same type of punctures = duality of the theory

Future work

Check the conjecture in terms of index

Closing puncture (giving VEV to meson or baryon op.)

How about even k (need additional flavor for anomaly cancellation)

## ⑦ global symmetry

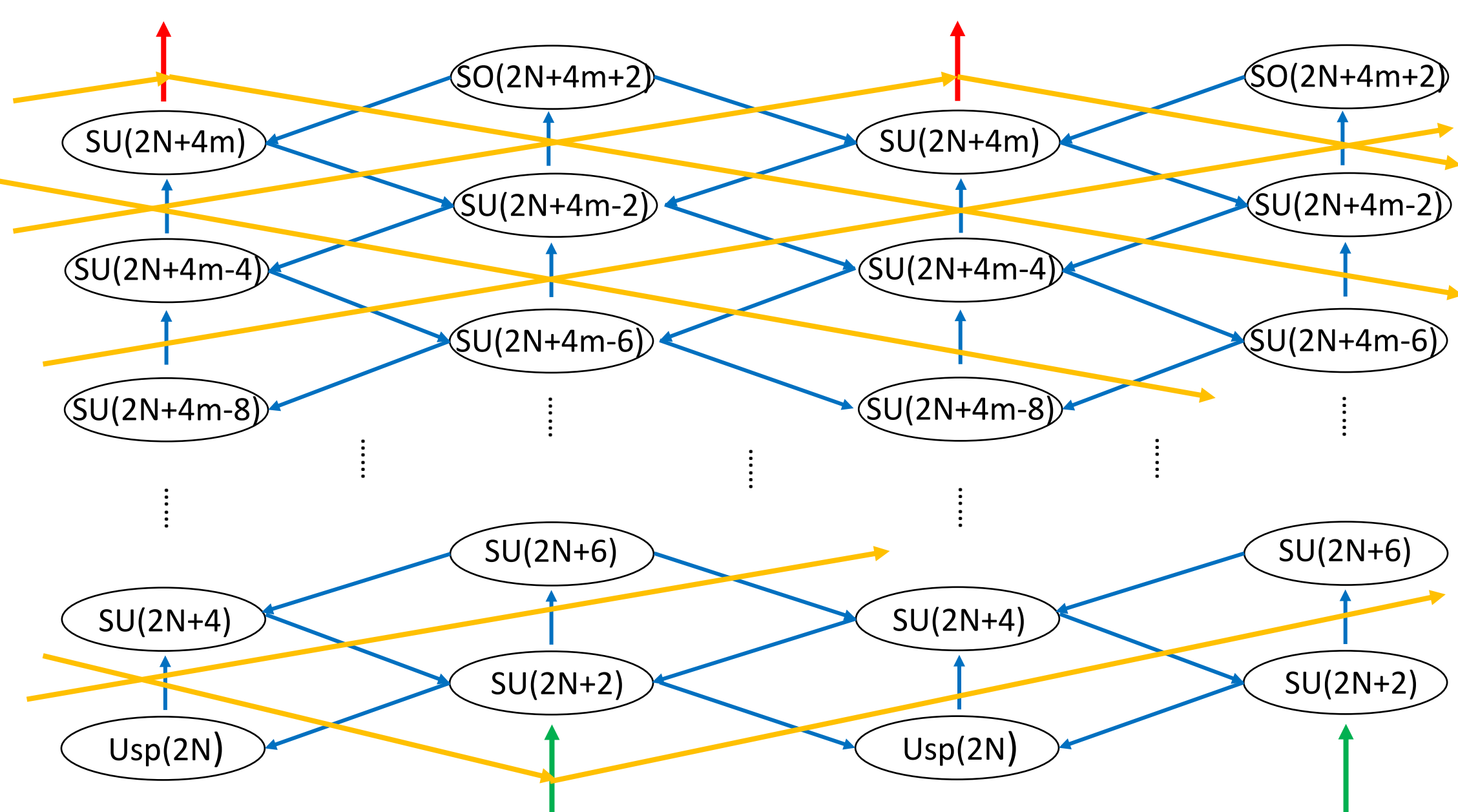
intrinsic symmetry  $\{U(1)^k/U(1)\} \times U(1)_t \leftarrow \begin{cases} \Phi, X, Y : -1 \\ Q : 1/2, \tilde{Q} : 1/2 \end{cases}$

abelian remnant of global symmetry in 6D theory

U(1) rotation of fields crossing with each orange arrow (k-1 independent ones)

(anti)symmetric rep. fields X (Y) have charge 2 and others have charge 1

Sign of charge determined by orientation



Flavor symmetry

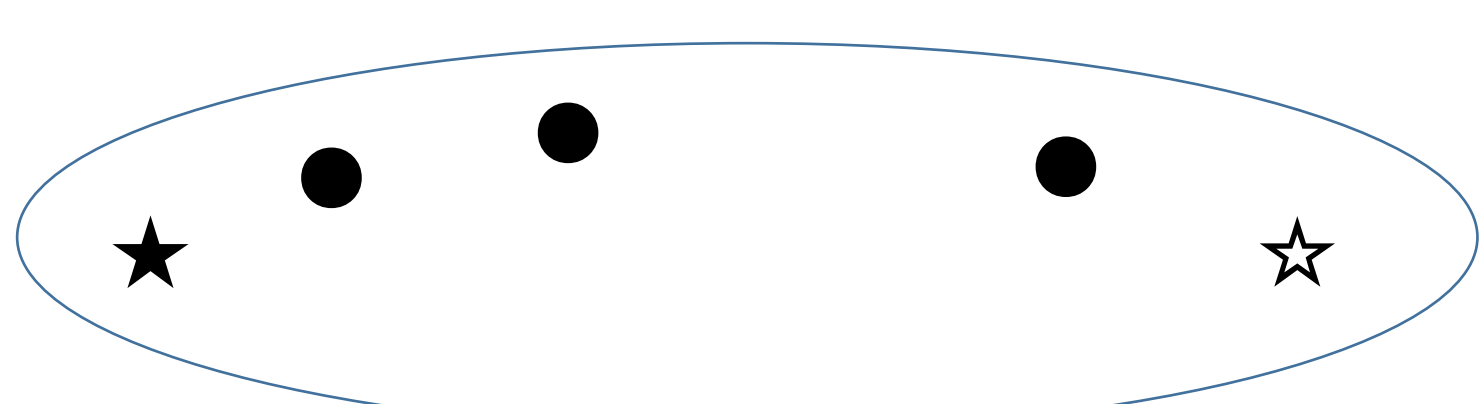
$$U(1)_{\alpha_a} \quad Q^{(a,i)} : +1 \quad \tilde{Q}^{(a,i)} : -1 \quad a=1,2,\dots,n-1$$

+ symmetry associated with both ends of the quiver

Superpotential is invariant in these global symmetry

## ⑨ associated Riemann surface

We identify the quiver theory with a sphere with (n+1) punctures



(n-1) puncture associated with  $U(1)_{\alpha_a}$

two punctures associated with ends of the quiver