

# Anomaly-free Multiple Singularity Enhancement in F-theory

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in collaboration with: Taro Tani

SM,Tani arXiv:1508.07423

SM JHEP 1407(2014) 018 arXiv:1403.7066

# The Standard Model – Why is it as it is?

- One of the biggest challenges that we face in string theory is to explain why nature is as it is
- Why is the top quark so heavy? Why are the lepton-flavor mixing angles large? And in the first place, why are there three generations of quarks and leptons in nature?
- The conventional approaches to string compactification cannot answer to these questions

*WHY??*

# “Family Unification”

- Family unification is the idea that the quarks and leptons are the fermionic partners of the scalars of some coset supersymmetric non-linear sigma model

Buchmuller, Peccei, Yanagida;  
 Kugo, Yanagida; Irie, Yasui; Ong;  
 Bando, Kuramoto, Maskawa, Uehara;  
 Itoh, Kugo, Kunitomo...

- Remarkably,  $E_7/(SU(5) \times U(1)^3)$  model automatically realizes precisely three non-universal generations of matter fields needed for the SU(5) GUT

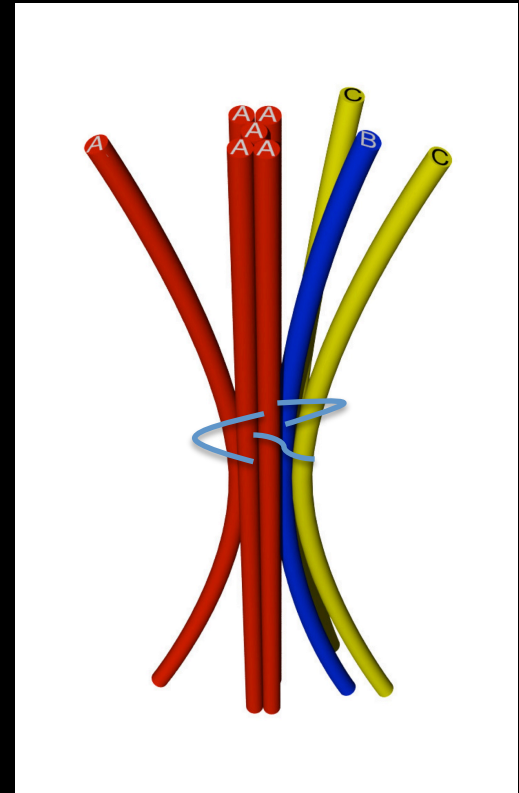
Kugo, Yanagida

$SU(5)$	$10_1$	$10_2$	$10_3$
			$5$
	$\bar{5}_1$		$\bar{5}_2$
			$\bar{5}_3$
	$U(1)_3$	$1_1$	$1_2$
		$U(1)_2$	$1_3$
			$U(1)_1$

$E_7/(SU(5) \times U(1)^3)$

# “F-theory” Family Unification<sup>SM</sup>

- Last year, in YITP workshop 2014, it was pointed out that such a coset spectrum may be realized by a set of localized matter multiplets near a “multiple” singularity on 7-branes in 6D F-theory
- The key observation was that, in 6D, the representation of chiral matter localized at an enhanced (split-type) singularity is labeled by some homogeneous Kähler manifold, corresponding to the space of **string junctions** near the singularity **Tani**



$$E7/(SU(5) \times U(1)^3)$$

# Four essential aspects of F-theory

1. Instead of considering a configuration of the IIB complex scalar, one considers a configuration of a FICTITIOUS torus whose modulus equals  $\tau$  *Vafa*

# IIB complex scalar as a modulus

$$\sqrt{-g_4} R_4 = \sqrt{-g} \left( R - \frac{1}{2} g^{\mu\nu} \frac{\partial_\mu \tau \partial_\nu \tau}{\text{Im} \tau^2} - \frac{1}{2} (\partial_\mu \log \rho)^2 \right)$$

complex structure  
(shape)

Kahler structure (size)

$$\mathcal{L}_{IIB} = \sqrt{-g} \left( R - \frac{1}{2} g^{\mu\nu} \frac{\partial_\mu \tau \partial_\nu \tau}{\text{Im} \tau^2} \right)$$

At each point in 10d, one considers a 2-torus with its shape (modulus) varying from point to point

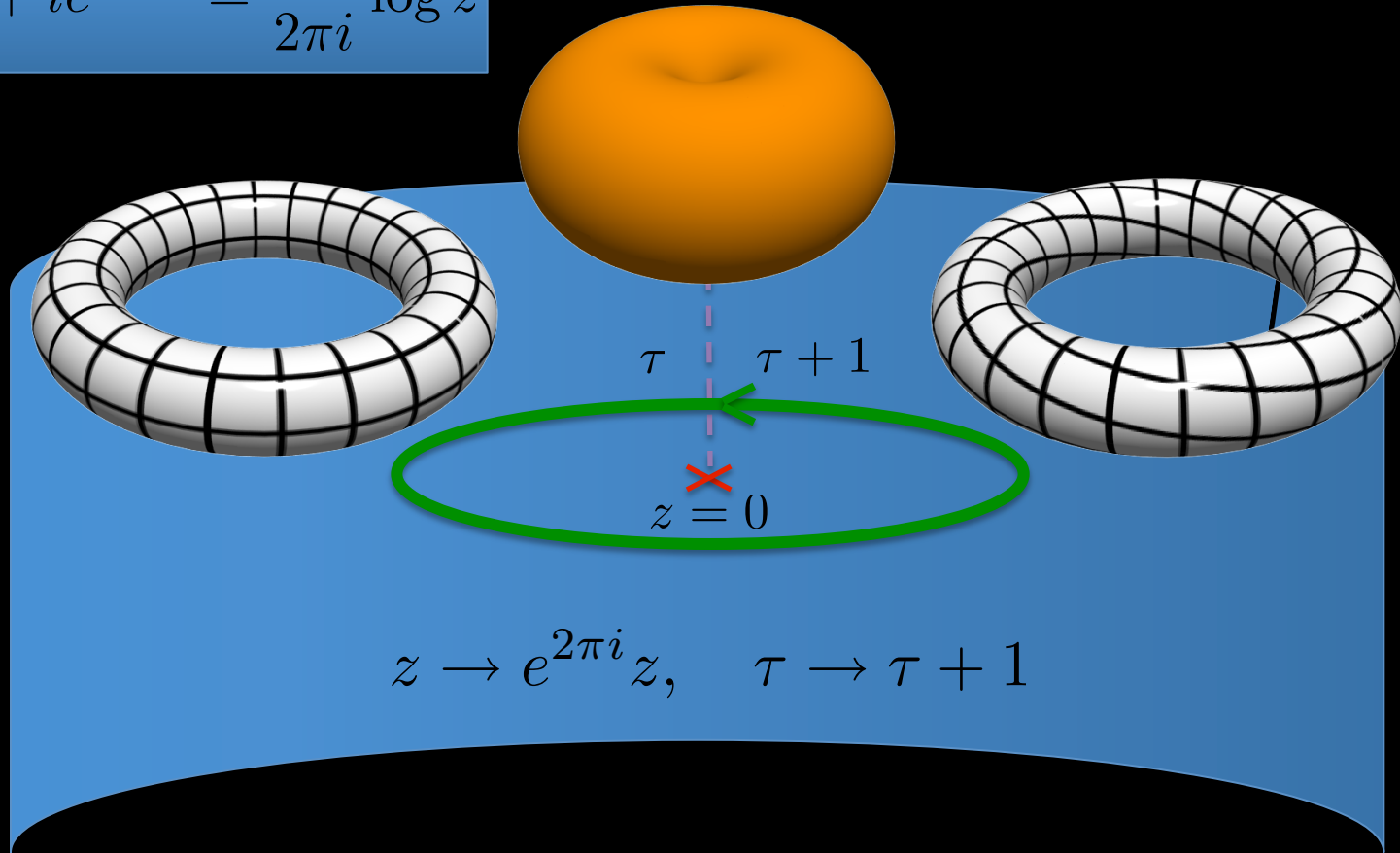
“elliptic fibration”

# Four essential aspects of F-theory

1. Instead of considering a configuration of the IIB complex scalar, one considers a configuration of a FICTITIOUS torus whose modulus equals  $\tau$  *Vafa*
2. 7-branes are located where an elliptic (=torus) fiber degenerate and becomes singular

# Monodromy around a singular torus

$$\tau = C_0 + ie^{-\phi} = \frac{1}{2\pi i} \log z$$



**SL(2,Z) Modular transformation  $\sim$  SL(2,Z) S-duality**



# Four essential aspects of F-theory

1. Instead of considering a configuration of the IIB complex scalar, one considers a configuration of a FICTITIOUS torus whose modulus equals  $\tau$  [Vafa](#)
2. 7-branes are located where an elliptic (=torus) fiber degenerate and becomes singular
3. Singularities of elliptic fiberations were classified according to their types investigated by Kodaira [Kodaira](#)

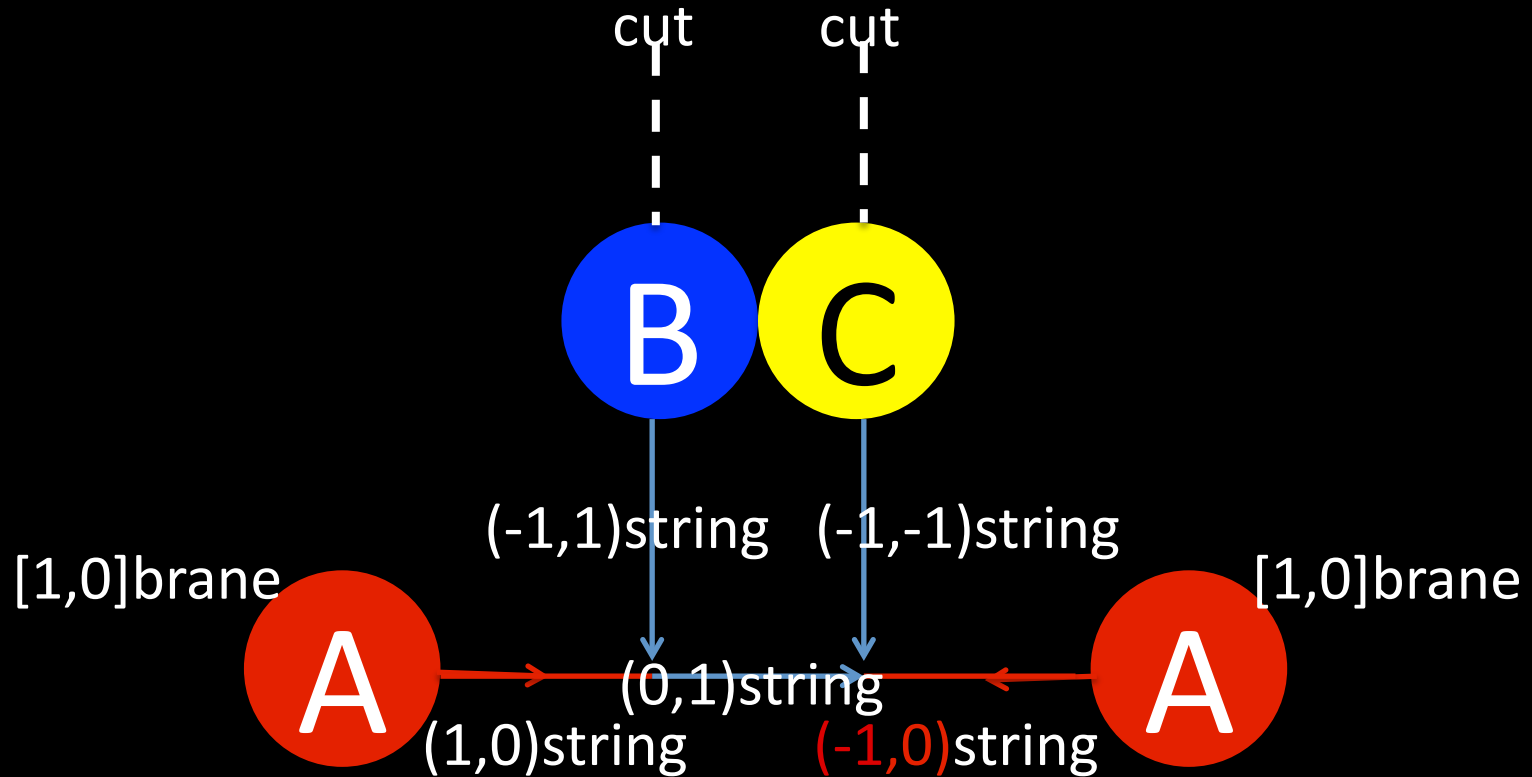
# Collapsible set of 7-branes are classified: Kodaira's classification

Fiber type	Singularity type	7-branes	Brane type
$I_n$	$A_{n-1}$	$A^n$	$A_{n-1}$
II	$A_0$	$AC$	$H_0$
III	$A_1$	$A^2C$	$H_1$
IV	$A_2$	$A^3C$	$H_2$
$IO^*$	$D_4$	$A^4BC$	$D_4$
$I_n^*$	$D_{n+4}$	$A^{n+4}BC$	$D_{n+4}$
$II^*$	$E_8$	$A^7BC^2$	$E_8$
$III^*$	$E_7$	$A^6BC^2$	$E_7$
$IV^*$	$E_6$	$A^5BC^2$	$E_6$

# Four essential aspects of F-theory

1. Instead of considering a configuration of the IIB complex scalar, one considers a configuration of a FICTITIOUS torus whose modulus equals  $\tau$  [Vafa](#)
2. 7-branes are located where an elliptic (=torus) fiber degenerate and becomes singular
3. Singularities of elliptic fiberations were classified according to their types investigated by Kodaira [Kodaira](#)
4. The Kodaira singularities are described by joining/parting of 7-branes, which involves not only D-branes but general  $(p,q)$  branes [DeWolfe,Hauer,Iqbal,Zwiebach](#)

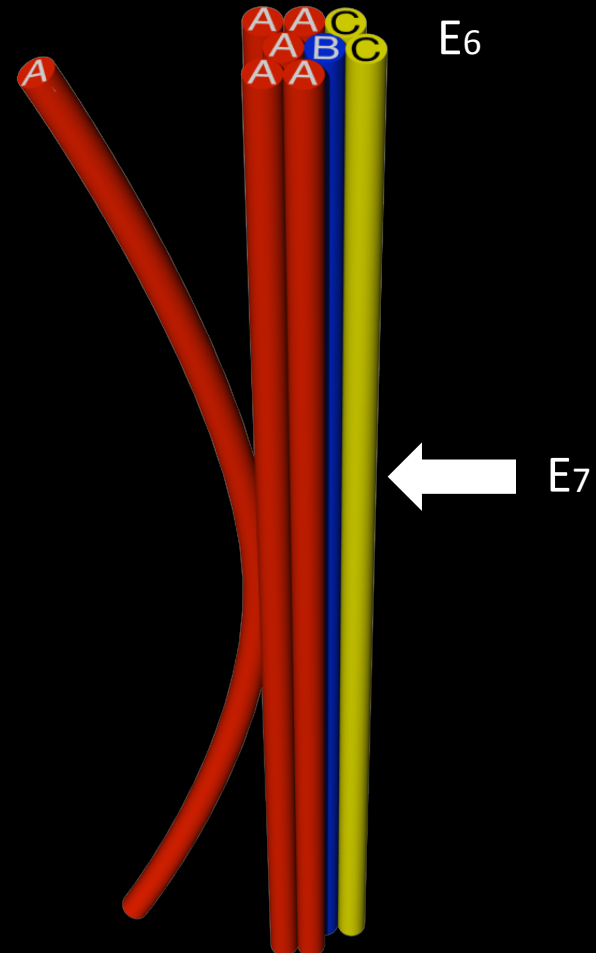
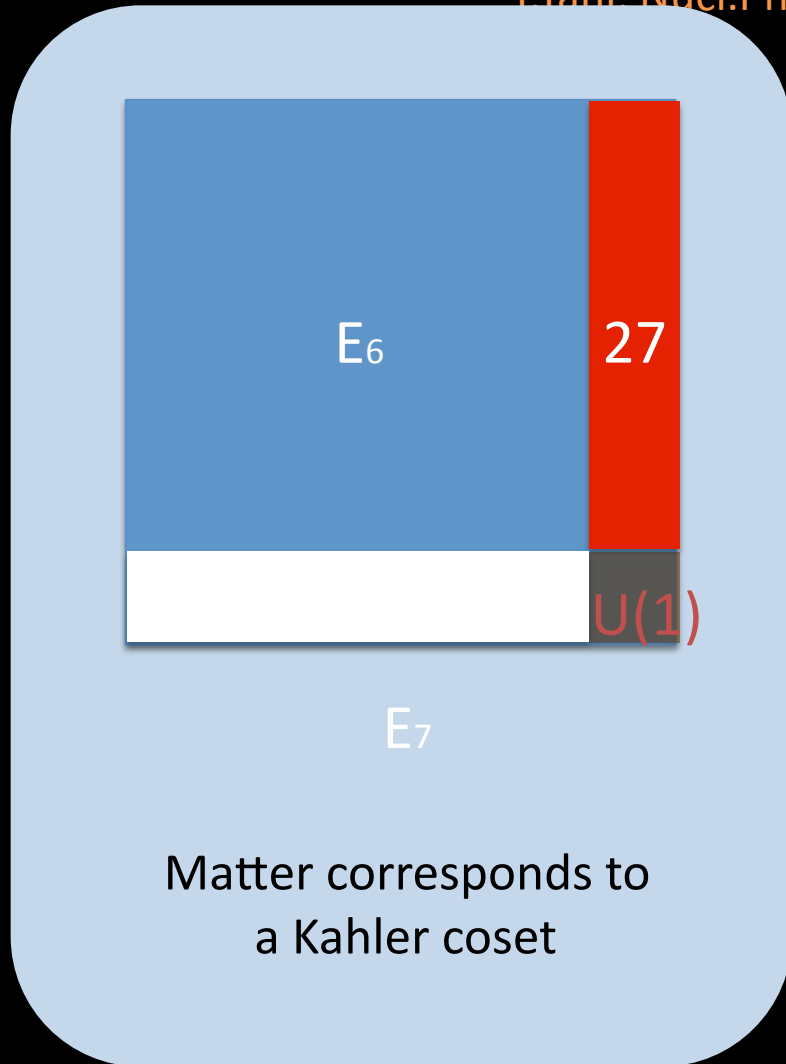
# String junction: (p,q) analogue of open string



- $(-1,1)$  and  $(-1,-1)$  strings are pulled out when the string crosses over the B and C branes

# Matter from string junction

T Tani, Nucl.Phys.B602(2001)434

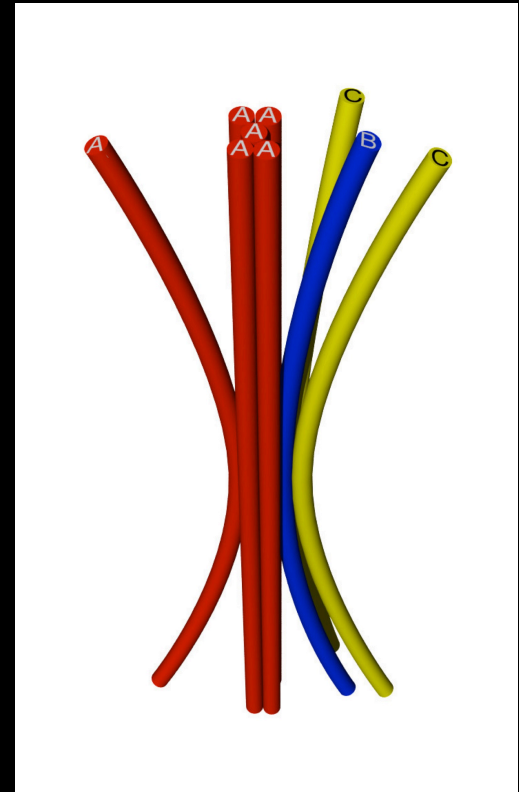


Gives a perfectly consistent picture

# Kugo-Yanagida model via F-theory Family

Unification SM, JHEP 1407(2014) 018, arXiv:1403.7066 [hep-th]

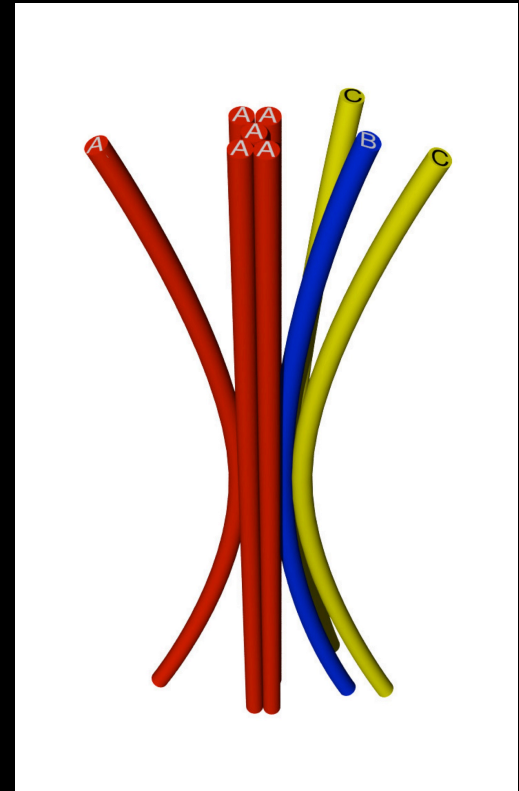
- Implementing this mechanism, it was argued that the  $E_7/(SU(5) \times U(1)^3)$  Kugo-Yanagida coset appears at a multiple singularity enhancement from  $SU(5)$  to  $E_7$



$E_7/(SU(5) \times U(1)^3)$

# The aim of this talk

- is to **prove** that this is correct by an anomaly consideration
- We clarify whether one can realize a Kahler coset of the form  $G/(H \times U(1)^r)$  with  $r \geq 2$  as a local matter spectrum without conflicting anomaly cancellation
- We will show that such a coset spectrum can indeed be realized at certain points in the moduli space of a 6D F-theory compactification on an elliptic CY3 over a Hirzebruch surface  
[SM,Tani arXiv:1508.07423](#)



# Plan

1. Introduction
2. Anomaly analysis
3. Conclusions and discussion



## **2. ANOMALY ANALYSIS**

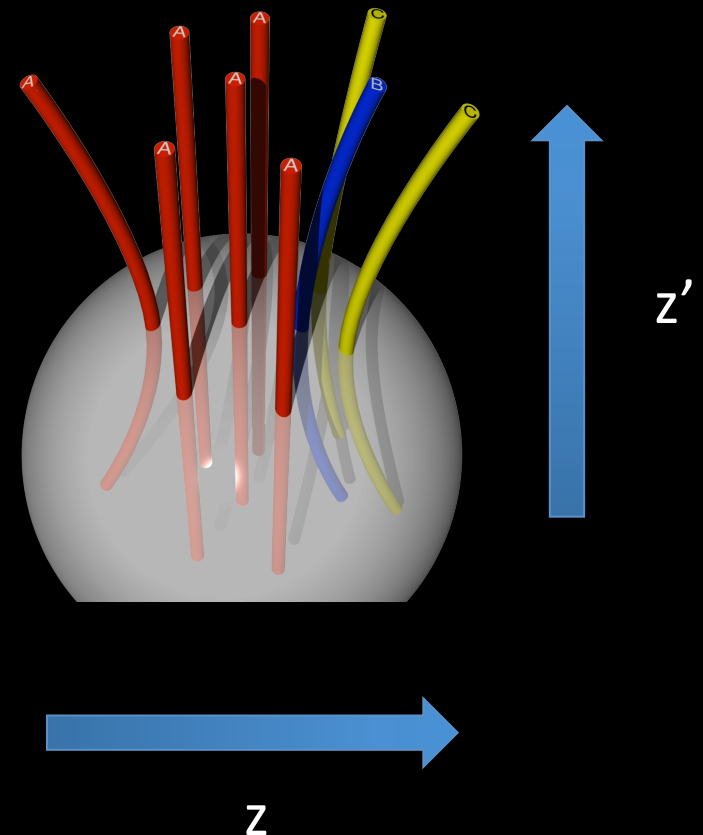
# F-theory on an elliptic CY3 over $F_n$

F theory on an elliptic fibration over  $F_n$

Morrison, Vafa

$$y^2 = x^3 + x \sum_{i=0}^8 z^i f_{8+(4-i)n}(z')$$
$$+ \sum_{i=0}^{12} z^i g_{12+(6-i)n}(z')$$

- Dual to heterotic on K3 **BIKMVS**
- $12+n$  of 24 instantons embedded in one of  $E_8$



# Unbroken SU(5) curve

$$y^2 = x^3 + x \sum_{i=0}^8 z^i f_{8+(4-i)n}(z') + \sum_{i=0}^{12} z^i g_{12+(6-i)n}(z')$$

- We take the coefficient functions  $f$ 's and  $g$ 's to be of the particular form

$$f_{4n+8} = -3h_{n+2}^4,$$

$$f_{3n+8} = 12h_{n+2}^2 H_{n+4},$$

$$f_{2n+8} = 12(h_{n+2}q_{n+6} - H_{n+4}^2),$$

$$g_{6n+12} = 2h_{n+2}^6,$$

$$g_{5n+12} = -12h_{n+2}^4 H_{n+4},$$

$$g_{4n+12} = 12h_{n+2}^2(2H_{n+4}^2 - h_{n+2}q_{n+6}),$$

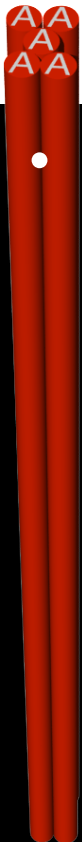
$$g_{3n+12} = -f_{n+8}h_{n+2}^2 + 24h_{n+2}H_{n+4}q_{n+6} - 16H_{n+4}^3,$$

$$g_{2n+12} = -f_8h_{n+2}^2 + 2f_{n+8}H_{n+4} + 12q_{n+6}^2$$

- They are so arranged that the **discriminant** starts with  $z^5$



SU(5) singularity



# Independent polynomials

$$f_{4n+8} = -3h_{n+2}^4,$$

$$f_{3n+8} = 12h_{n+2}^2 H_{n+4},$$

$$f_{2n+8} = 12(h_{n+2}q_{n+6} - H_{n+4}^2),$$

$$g_{6n+12} = 2h_{n+2}^6,$$

$$g_{5n+12} = -12h_{n+2}^4 H_{n+4},$$

$$g_{4n+12} = 12h_{n+2}^2(2H_{n+4}^2 - h_{n+2}q_{n+6}),$$

$$g_{3n+12} = -f_{n+8}h_{n+2}^2 + 24h_{n+2}H_{n+4}q_{n+6} - 16H_{n+4}^3,$$

$$g_{2n+12} = -f_8h_{n+2}^2 + 2f_{n+8}H_{n+4} + 12q_{n+6}^2$$

- They are parameterized by the **five** functions

$h_{n+2}, H_{n+4}, q_{n+6}, f_{n+8}$  and  $g_{n+12}$

- The total degrees of freedom is

$$(n+3) + (n+5) + (n+7) + (n+9) + (n+13) - 1 = 5n + 36.$$



complex structure moduli

# Discriminant

$$f_{4n+8} = -3h_{n+2}^4,$$

$$f_{3n+8} = 12h_{n+2}^2 H_{n+4},$$

$$f_{2n+8} = 12(h_{n+2}q_{n+6} - H_{n+4}^2),$$

$$g_{6n+12} = 2h_{n+2}^6,$$

$$g_{5n+12} = -12h_{n+2}^4 H_{n+4},$$

$$g_{4n+12} = 12h_{n+2}^2(2H_{n+4}^2 - h_{n+2}q_{n+6}),$$

$$g_{3n+12} = -f_{n+8}h_{n+2}^2 + 24h_{n+2}H_{n+4}q_{n+6} - 16H_{n+4}^3,$$

$$g_{2n+12} = -f_8h_{n+2}^2 + 2f_{n+8}H_{n+4} + 12q_{n+6}^2$$

- The singularity gets enhanced wherever either of  $h_{n+2}$  and  $P_{3n+16}$  vanishes

- The discriminant becomes

$$\Delta = 108z^5 h_{n+2}^4 P_{3n+16} + \dots,$$

$$P_{3n+16} \equiv -2f_8 h_{n+2}^2 H_{n+4} - 2f_{n+8} h_{n+2} q_{n+6} + f_{8-n} h_{n+2}^4 + g_{n+12} h_{n+2}^2 - 24H_{n+4} q_{n+6}^2$$

# Locus of $h_{n+2}$ : 10 representation

~~$f_{4n+8} = -3h_{n+2}^4,$~~

~~$f_{3n+8} = 12h_{n+2}^2 H_{n+4},$~~

$f_{2n+8} = 12(h_{n+2}q_{n+6} - H_{n+4}^2),$

~~$g_{6n+12} = 2h_{n+2}^6,$~~

~~$g_{5n+12} = -12h_{n+2}^4 H_{n+4},$~~

~~$g_{4n+12} = 12h_{n+2}^2(2H_{n+4}^2 - h_{n+2}q_{n+6}),$~~

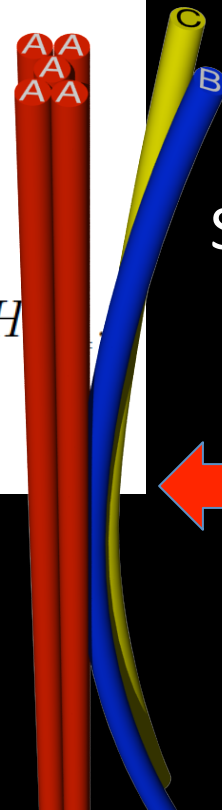
$g_{3n+12} = -f_{n+8}h_{n+2}^2 + 24h_{n+2}H_{n+4}q_{n+6} - 16H_{n+4}^3,$

$g_{2n+12} = -f_8h_{n+2}^2 + 2f_{n+8}H_{n+4} + 12q_{n+6}^2$

- It turns out that the order of the discriminant becomes 7



SO(10) singularity



- The matter localized here is  
 $SO(10)/(SU(5) \times U(1))$   
 $= 10$  representation

# Locus of $P_{3n+16}$ : 5 representation

$$f_{4n+8} = -3h_{n+2}^4,$$

$$f_{3n+8} = 12h_{n+2}^2 H_{n+4},$$

$$f_{2n+8} = 12(h_{n+2}q_{n+6} - H_{n+4}^2),$$

$$g_{6n+12} = 2h_{n+2}^6,$$

$$g_{5n+12} = -12h_{n+2}^4 H_{n+4},$$

$$P_{3n+16} \equiv -2f_8 h_{n+2}^2 H_{n+4} - 2f_{n+8} h_{n+2} q_{n+6} + f_{8-n} h_{n+2}^2 + g_{n+12} h_{n+2}^2 - 24H_{n+4} q_{n+6}^2$$

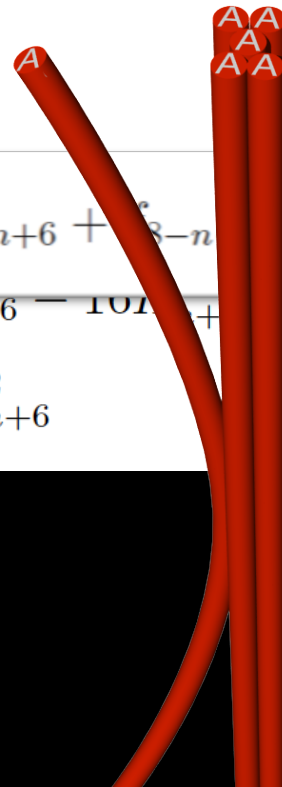
$$g_{3n+12} = -f_{n+8} h_{n+2} + 24H_{n+4} q_{n+6} - 10f_{n+8} h_{n+2}^2 + 24H_{n+4} q_{n+6}^2$$

$$g_{2n+12} = -f_8 h_{n+2}^2 + 2f_{n+8} H_{n+4} + 12q_{n+6}^2$$

- The order of the discriminant = 6



SU(6) singularity



SU(6)/(SU(5) × U(1))  
= 5 representation

# Matter for a generic SU(5) curve

$$(n + 2)\mathbf{10}, \quad (3n + 16)\mathbf{5}, \quad (5n + 36)\mathbf{1}.$$

- Dual to K3 compactification of  $E_8 \times E_8$  heterotic string with instanton numbers  $(12 - n, 12 + n)$
- Anomaly free



What happens when  $h_{n+2} = P_{3n+16} = 0$ ?

$$\Delta = 108z^5 h_{n+2}^4 P_{3n+16} + \dots,$$

$$P_{3n+16} \equiv -2f_8 h_{n+2}^2 H_{n+4} - 2f_{n+8} h_{n+2} q_{n+6} + f_{8-n} h_{n+2}^4 + g_{n+12} h_{n+2}^2 - 24H_{n+4} q_{n+6}^2$$



$H_{n+4}$  or  $q_{n+6}$  has a common zero with  $h_{n+2}$

- $H_{n+4}$  has a common zero  $\rightarrow$  E6
- $q_{n+6}$  has a common zero  $\rightarrow$  D6 = SO(12)

# Common locus of $h_{n+2}$ and $q_{n+6}$

~~$f_{4n+8} = -3h_{n+2}^4,$~~

~~$f_{3n+8} = 12h_{n+2}^2 H_{n+4},$~~

$f_{2n+8} = 12(h_{n+2}q_{n+6} - H_{n+4}^2),$

~~$g_{6n+12} = 2h_{n+2}^6,$~~

~~$g_{5n+12} = -12h_{n+2}^4 H_{n+4},$~~

~~$g_{4n+12} = 12h_{n+2}^2(2H_{n+4}^2 - h_{n+2}q_{n+6}),$~~

$g_{3n+12} = -f_{n+8}h_{n+2}^2 + 24h_{n+2}H_{n+4}q_{n+6} - 16H_{n+4}^3,$

$g_{2n+12} = -f_8h_{n+2}^2 + 2f_{n+8}H_{n+4} + 12q_{n+6}^2$

- The orders of  $f$  and  $g$  do not change
- The order of discriminant = 8



SO(12) singularity

- The localized matter will be

$$\text{SO}(12)/(\text{SU}(5) \times \text{U}(1)^2)$$

$$= 10(\text{SO}(10)) + 10(\text{SU}(5)) = 10 + 5 + 5 \text{ plus 1 from Cartan}$$

Note that previously

$$\Delta = 108z^5 h_{n+2}^4 P_{3n+16} + \dots,$$

$$P_{3n+16} \equiv -2f_8 h_{n+2}^2 H_{n+4} - 2f_{n+8} h_{n+2} q_{n+6} + f_{8-n} h_{n+2}^4 + g_{n+12} h_{n+2}^2 - 24H_{n+4} q_{n+6}^2$$

- The localized matter will be

$$\text{SO}(12)/(\text{SU}(5) \times \text{U}(1)^2)$$



$$\begin{aligned} & n+2 \text{ SO}(10), \\ & 3n+16 \text{ SU}(6) \end{aligned}$$

$$= 10(\text{SO}(10)) + 10(\text{SU}(5)) = 10 + 5 + 5 \text{ plus 1 from Cartan}$$

- Let us suppose (maximally degenerate case)

$$q_{n+6} = h_{n+2} q_4$$

for some  $q_4$

- In this case the discriminant becomes

$$\Delta = 108z^5 h_{n+2}^6 P_{n+12} + \dots,$$

$$P_{n+12} \equiv -2q_4 f_{n+8} + g_{n+12} - 24q_4^2 H_{n+4}$$



$n+2$  SO(12) singularities,  $n+12$  SU(6) singularities

# Common locus of $h_{n+2}$ and $q_{n+6}$

- The localized matter will be

$$SO(12)/(SU(5) \times U(1)^2)$$

$$= 10(SO(10)) + 10(SU(5)) = 10 + 5 + 5 \text{ plus 1 from Cartan}$$

- Maximally degenerate case

$$q_{n+6} = h_{n+2} q_4$$


  $n+2$   $SO(12)$  singularities,  $n+12$   $SU(6)$  singularities

- Independent polynomials

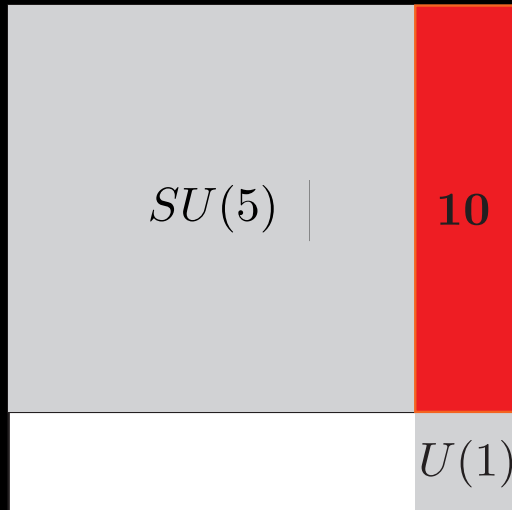
$$h_{n+2}, H_{n+4}, q_4, f_{n+8} \text{ and } g_{n+12}$$

$$(n+3) + (n+5) + 5 + (n+9) + (n+13) - 1 = 4n + 34$$

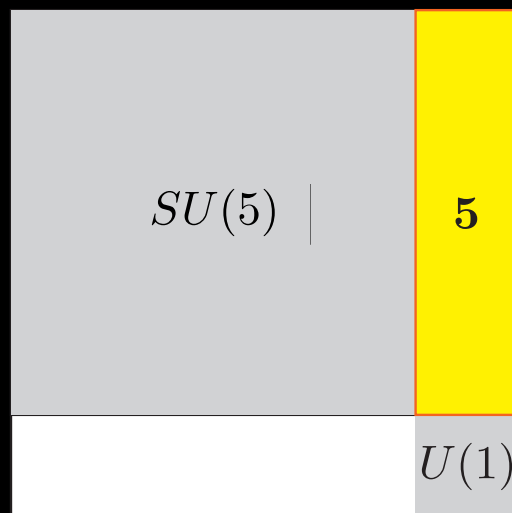
$$(n+2)(\mathbf{5} \oplus \mathbf{5} \oplus \mathbf{10} \oplus \mathbf{1}) \oplus (n+12)\mathbf{5} = (n+2)\mathbf{10} \oplus (3n+16)\mathbf{5} \oplus (n+2)\mathbf{1},$$

 in all  $(n+2)\mathbf{10}, (3n+16)\mathbf{5}, (5n+36)\mathbf{1}$ . Anomaly free!

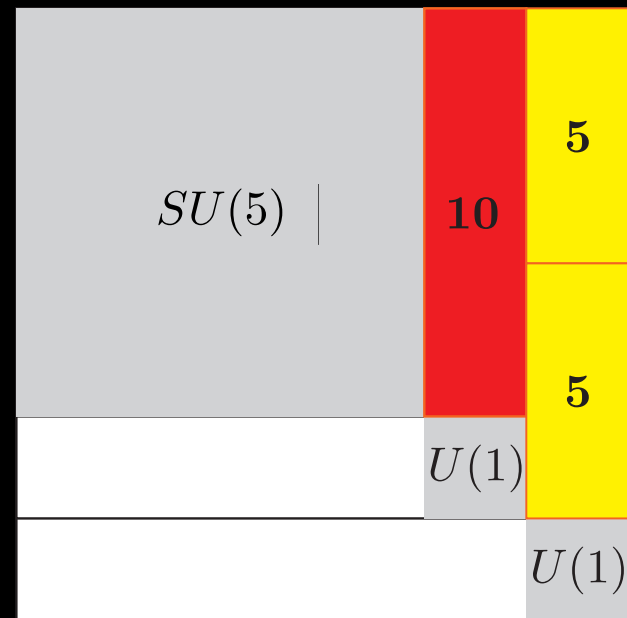
# Where does the extra matter come from?



$h_{n+2}$  locus,  $SO(10)$  singularity

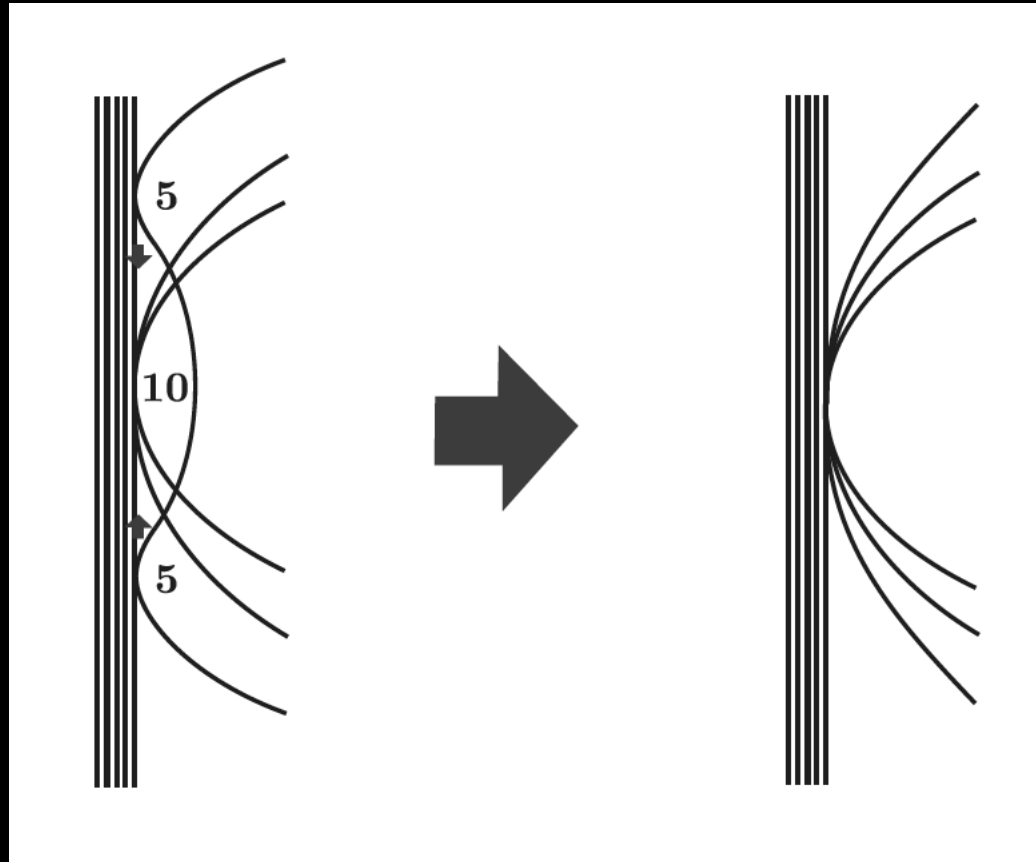


$q_{n+6}$  locus,  $SU(6)$  singularity



$h_{n+2}, q_{n+6}$  common locus,  
 $SO(12)$  singularity

# Pairwise degeneration



$$SU(5) \rightarrow SO(12)$$

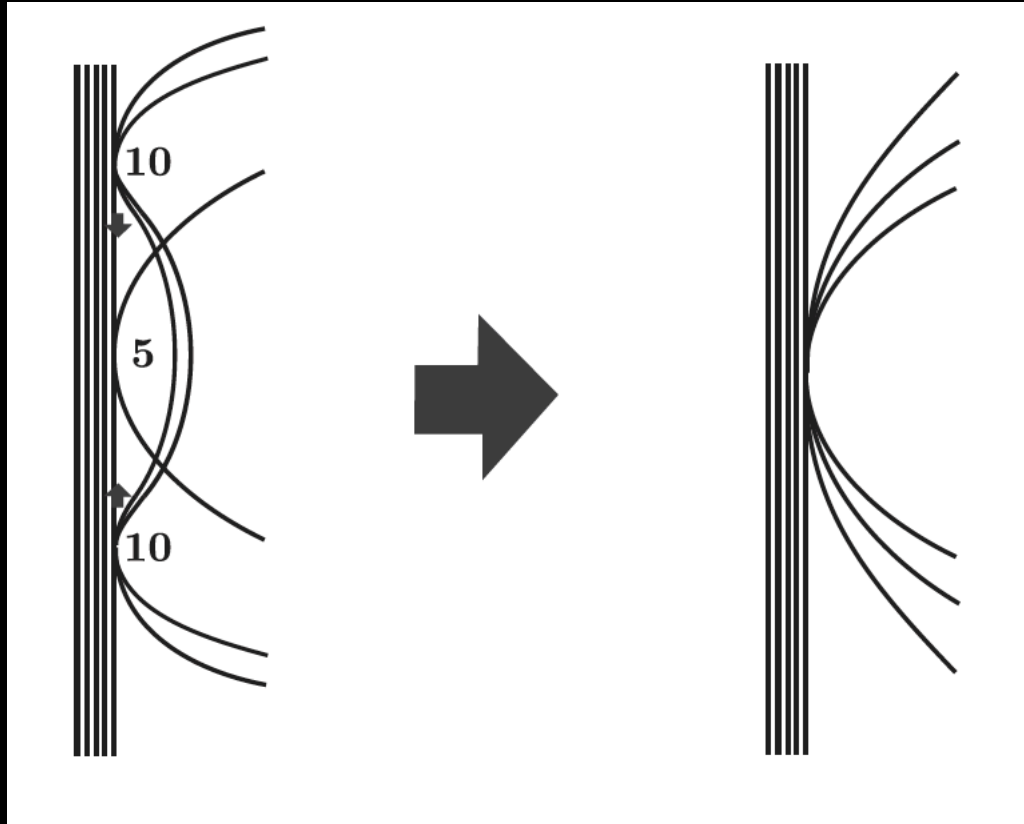


# Enhancement to other singularities

- $SU(5) \rightarrow D6$  ( $SO(12)$ ) is a special case because loci of 5 always pairwise coalesce with a locus of 10
- $SU(5) \rightarrow E6$  : there are two cases
  - A Single 10 and a single 5 join  $\Rightarrow$  Does not form  $E6/(SU(5) \times U(1)^2)$
  - Two 10's and a single 5 join  $\Rightarrow E6/(SU(5) \times U(1)^2)$  is realized



# Pairwise degeneration( $E_6$ )



$$SU(5) \rightarrow E_6$$

# Enhancement to other singularities

- $SU(5) \rightarrow D_6 (SO(12))$  is a special case because loci of 5 always pairwise coalesce with a locus of 10
- $SU(5) \rightarrow E_6$  : there are two cases
  - A Single 10 and a single 5 join  $\Rightarrow$  Does not form  $E_6/(SU(5) \times U(1)^2)$
  - Two 10's and a single 5 join  $\Rightarrow E_6/(SU(5) \times U(1)^2)$  is realized
- $SU(5) \rightarrow E_7$  :  $E_7/(SU(5) \times U(1)^3)$  is realized when and only when three 10's and four 5's coalesce
- $SU(5) \rightarrow E_8$  :  $E_8/(SU(5) \times U(1)^4)$  is realized when and only when five 10's and ten 5's coalesce

Such points indeed exist in the moduli space

## **3. CONCLUSIONS AND DISCUSSION**

# Conclusions

- We have proved, by an anomaly analysis, that Kugo-Yanagida-type Kahler coset spaces are indeed realized as matter spectra of localized hypermultiplets near multiple singularities in 6D F-theory compactified on a CY3 over  $F_n$
- A multiple enhancement  $H \rightarrow G$  does not always imply localized matter  $G/(H \times U(1)^r)$  but only at some special points in the moduli space where enough number of matter curves simultaneously intersect

# Discussion

- To generalize it to 4D F-theory we need to introduce G-fluxes  
*SM, Tani in progress*
- To consider the multiple singularity enhancement in F-theory has at least three virtues:
  1. In general, a special point in the moduli space can be an end point of whatever flow in the moduli space after the supersymmetry is broken and potentials are generated
  2. The multiple singularity may occur, in principle, in any elliptic Calabi-Yau manifold. Since the structure is universal, it may offer a potential ubiquitous mechanism for generating three generations of flavors in the framework of F-theory
  3. The homogeneous Kahler structure of the spectrum of the multiple singularity is naturally endowed with conserved U(1) charges