

## Monte Carlo studies of the six-dimensional IKKT model

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# 1 Introduction

## Matrix models as a constructive definition of superstring theory

### IKKT model (IIB matrix model)

⇒ Promising candidate for the constructive definition of superstring theory.

Ishibashi, Kawai, Kitazawa and Tsuchiya, hep-th/9612115.

$$S = N \left( -\frac{1}{4} \text{tr} [A_\mu, A_\nu]^2 + \frac{1}{2} \text{tr} \bar{\psi}_\alpha (\Gamma_\mu)_{\alpha\beta} [A_\mu, \psi_\beta] \right).$$

- Dimensional reduction of 10-dim  $\mathcal{N} = 1$  super Yang-Mills theory to 0 dimension.
- $A_\mu$  (10d vector) and  $\psi_\alpha$  (10d Majorana-Weyl spinor) ⇒  $N \times N$  hermitian matrices .
- $A_\mu$ 's eigenvalues ⇒ spacetime coordinate.
- Evidences for spontaneous breakdown of SO(10) symmetry to SO(4)  
 ⇒ emergence of four-dimensional spacetime.  
 Nishimura and Sugino, hep-th/0111102, Kawai, et. al. hep-th/0204240,0211272,0602044,0603146.
- Complex fermion determinant:
  - \* Crucial for rotational symmetry breaking. Nishimura and Vernizzi, hep-th/0003223.
  - \* Difficulty of Monte Carlo simulation.

## 2 6d IKKT model

T. Aoyama, T. Azuma, M. Hanada and J. Nishimura

$$S = \underbrace{-\frac{N}{4} \text{tr} [A_\mu, A_\nu]^2}_{=S_B} + \underbrace{\frac{N}{2} \text{tr} \bar{\psi}_\alpha (\Gamma_\mu)_{\alpha\beta} [A_\mu, \psi_\beta]}_{=S_F}.$$

- $A_\mu$  (6d vector) and  $\psi$  (6d Weyl spinor) are  $N \times N$  hermitian matrices .

$$\Gamma_1 = i\sigma_1 \otimes \sigma_2, \Gamma_2 = i\sigma_2 \otimes \sigma_2, \Gamma_3 = i\sigma_3 \otimes \sigma_2, \Gamma_4 = i1 \otimes \sigma_1, \Gamma_5 = i1 \otimes \sigma_3, \Gamma_6 = 1 \otimes 1.$$

- SO(6) rotational symmetry and SU( $N$ ) gauge symmetry.

- Presence of  $\mathcal{N} = 2$  supersymmetry.

- $Z = \int dA d\psi d\bar{\psi} e^{-S} = \int dA e^{-S_B} \underbrace{(\det \mathcal{M})}_{=\int d\psi d\bar{\psi} e^{-S_F}} = \int dA e^{-S_0} e^{i\Gamma}$ . CPU cost is  $\mathcal{O}(N^6)$ .

4d  $\rightarrow$   $\det \mathcal{M}$  is real positive

6d and 10d  $\rightarrow$   $\det \mathcal{M}$  is complex.

Complex phase is important in SO(6) breakdown.

● Previous works on this model:

\* Simulation of phase-quenched 6d and 10d IKKT model

⇒ no symmetry breakdown of  $SO(6)$  (and  $SO(10)$ ).

J. Ambjorn, K. N. Anagnostopoulos, W. Bietenholz, T. Hotta and J. Nishimura, hep-th/0005147

\* Simulation of one-loop effective action (CPU cost is  $O(N^3)$ ).

K.N. Anagnostopoulos and J. Nishimura, hep-th/0108041.

\* Gaussian expansion method ⇒ symmetry breakdown of  $SO(6)$  to  $SO(3)$ .

T. Aoyama, J. Nishimura and T. Okubo

Observable for probing dimensionality :  $T_{\mu\nu} = \frac{1}{N} \text{tr} (A_\mu A_\nu)$ .

$\lambda_i$  ( $i = 1, \dots, 6$ ) : eigenvalues of  $T_{\mu\nu}$  ( $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_6$ )

At large  $N$ ,  $\langle \lambda_{1,2,3} \rangle \gg \langle \lambda_{4,5,6} \rangle$

### 3 Monte Carlo simulation

#### Factorization method

An approach to the complex action problem in Monte Carlo simulation.

K. N. Anagnostopoulos and J. Nishimura, hep-th/0108041,

J. Ambjorn, K. N. Anagnostopoulos, J. Nishimura and J. J. M. Verbaarschot, hep-lat/0208025.

Standard reweighting method:

$$\langle \lambda_i \rangle = \frac{\langle \lambda_i e^{i\Gamma} \rangle_0}{\langle e^{i\Gamma} \rangle_0} = \frac{\langle \lambda_i \cos \Gamma \rangle_0}{\langle \cos \Gamma \rangle_0}, \text{ where } \langle * \rangle_0 = (\text{V.E.V. for the phase-quenched model } Z_0).$$

Under parity transformation  $A_i^P = A_i (i = 1, \dots, 5)$ ,  $A_6^P = -A_6 \Rightarrow$

- $\lambda_i$  (eigenvalues of  $T_{\mu\nu} = \frac{1}{N} \text{tr } A_\mu A_\nu$ ) are invariant.
- $\det \mathcal{M}$  is complex conjugate.

The phase  $\Gamma$  oscillates violently.

(Number of configurations required)  $\simeq e^{O(N^2)}$ .  $\Rightarrow$  **sign problem**.

$\tilde{\lambda}_i \stackrel{\text{def}}{=} \lambda_i / \langle \lambda_i \rangle_0$ : deviation from 1  $\Rightarrow$  effect of the phase.

**Overlap problem:** Discrepancy of a distribution function between the phase-quenched model  $Z_0$  and the full model  $Z$ .

Distribution function

$$\rho_i(x) \stackrel{\text{def}}{=} \langle \delta(x - \tilde{\lambda}_i) \rangle = \frac{\langle \delta(x - \tilde{\lambda}_i) \cos \Gamma \rangle_0}{\langle \cos \Gamma \rangle_0} = \frac{\langle \delta(x - \tilde{\lambda}_i) \rangle_0 \langle \cos \Gamma \rangle_{i,x}}{\langle \cos \Gamma \rangle_0} = \frac{1}{C} \rho_i^{(0)}(x) w_i(x),$$

where

$$C = \langle \cos \Gamma \rangle_0, \quad \rho_i^{(0)}(x) = \langle \delta(x - \tilde{\lambda}_i) \rangle_0, \quad w_i(x) = \langle \cos \Gamma \rangle_{i,x},$$

$$\langle * \rangle_{i,x} = [\text{V.E.V. for the partition function } Z_{i,x} = \int dA e^{-S_0} \delta(x - \tilde{\lambda}_i)].$$

Simulation of partition function  $Z_{i,x} \Rightarrow x$  is trapped at  $\tilde{\lambda}_i$ .

The system visits the configurations important for full partition function  $Z$ .

Resolution of overlap problem.

## Monte Carlo evaluation of $\langle \tilde{\lambda}_i \rangle$

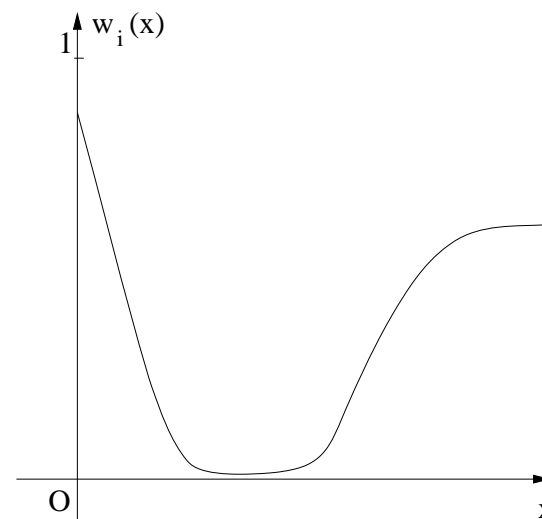
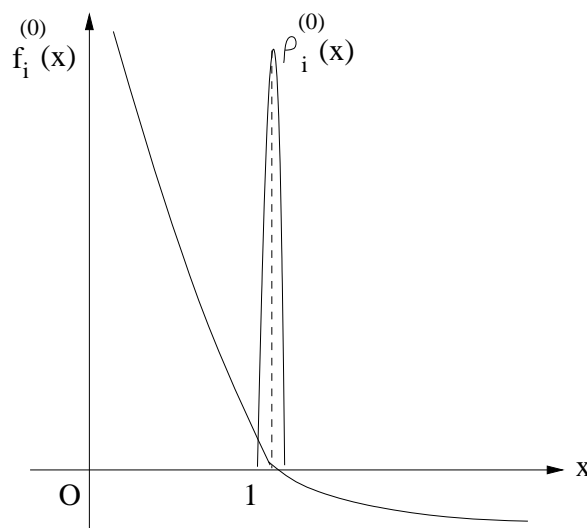
$w_i(x) > 0 \Rightarrow \langle \tilde{\lambda}_i \rangle$  is the minimum of  $\mathcal{F}_i(x)$ :

$$\mathcal{F}_i(x) = (\text{free energy density}) = -\frac{1}{N^2} \log \rho_i(x).$$

We solve  $\mathcal{F}'_i(x) = 0$ , namely  $\frac{1}{N^2} f_i^{(0)}(x) = -\frac{d}{dx} \left\{ \frac{1}{N^2} \log w_i(x) \right\}$ , (where  $f_i^{(0)}(x) \stackrel{\text{def}}{=} \frac{\partial}{\partial x} \log \rho_i^{(0)}(x)$ )

Do both  $\frac{1}{N^2} \log w_i(x)$  and  $\frac{1}{N^2} f_i^{(0)}(x)$  scale at large  $N$  as

$$\frac{1}{N^2} \log w_i(x) \rightarrow \Phi_i(x), \quad \frac{1}{N^2} f_i^{(0)}(x) \rightarrow F_i(x)?$$



## Behavior of $\Phi_i(x)$

Asymptotic behavior of  $\Phi_i(x) = \frac{1}{N^2} \log w_i(x)$  at  $x \ll 1$  and  $x \gg 1$ .

When we fix the  $i$ -th largest eigenvalue  $\rightarrow$

- $x \ll 1$  ( $i = 2, \dots, 6$ )  $\Rightarrow$   $(i - 1)$ -dimensional configuration
- $x \gg 1$  ( $i = 1, \dots, 5$ )  $\Rightarrow$   $i$ -dimensional configuration

Fermion determinant  $\det \mathcal{M}$  is complex conjugate under

$$A_i^P = A_i (i = 1, \dots, 5), \quad A_6^P = -A_6$$

$$\Omega_d = \{ \{A_\mu\}; n_\mu^{(i)} A_\mu = 0 \text{ for } \exists n_\mu^{(i)} (i = 1, \dots, 6 - d) \}$$

5-dimensional configuration  $\Omega_5 \Rightarrow$  Fermion determinant is real.

J. Nishimura and G. Vernizzi, hep-th/0003223.



For  $d$ -dimensional configuration  $\Omega_d$ ,

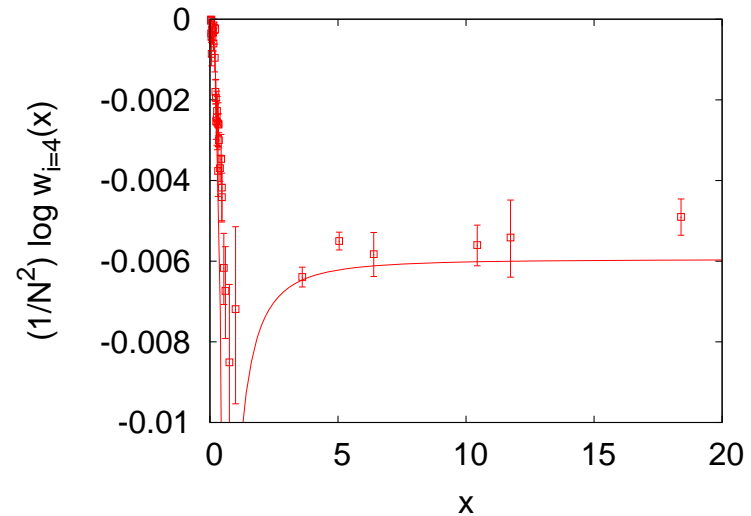
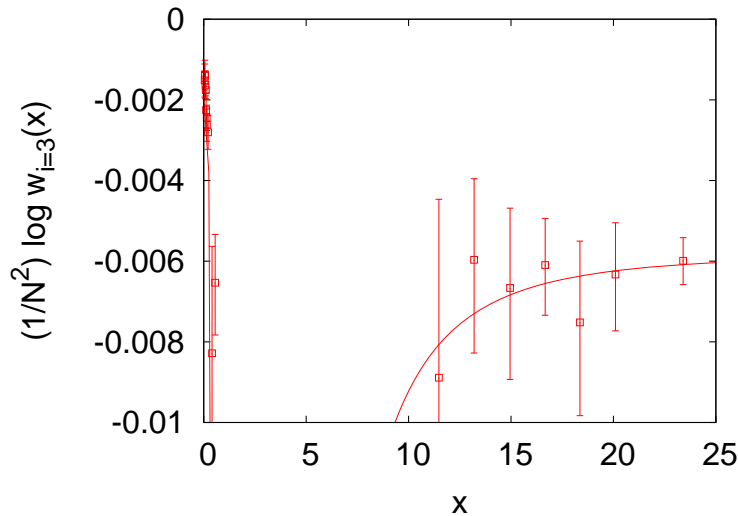
$$\frac{\partial^n \Gamma}{\partial A_{\mu_1}^{a_1} \cdots \partial A_{\mu_n}^{a_n}} = 0 \text{ for } n = 1, \cdots, 5 - d \Rightarrow \Gamma = O(A^{6-d})$$

(Up to  $(5 - d)$ -order perturbation  $\Rightarrow$  configuration  $\in \Omega_5$ )

Expected power behaviors:

$$\Phi_i(x) \propto \begin{cases} c_{i,0} x^{7-i} + \cdots & (x \ll 1, i = 2, \cdots, 6) \\ \frac{d_{i,0}}{x^{6-i}} + \cdots & (x \gg 1, i = 1, \cdots, 5) \end{cases}$$

(\*)  $x$  has the order of the eigenvalues of  $T_{\mu\nu} = \frac{1}{N} \text{tr} (A_\mu A_\nu)$ .



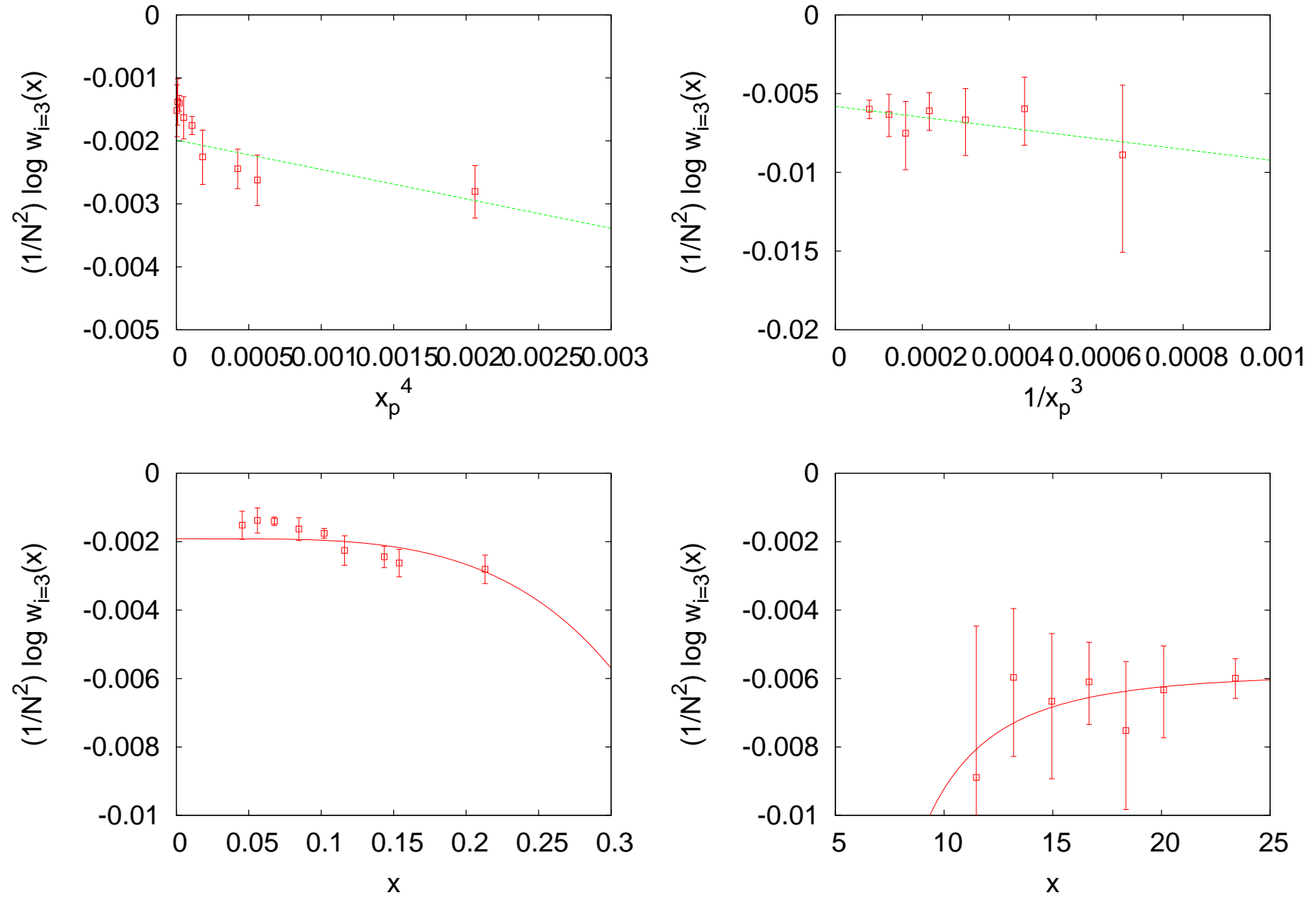


Figure 1:  $\frac{1}{N} \log w_{i=3}(x)$  for  $N = 8$

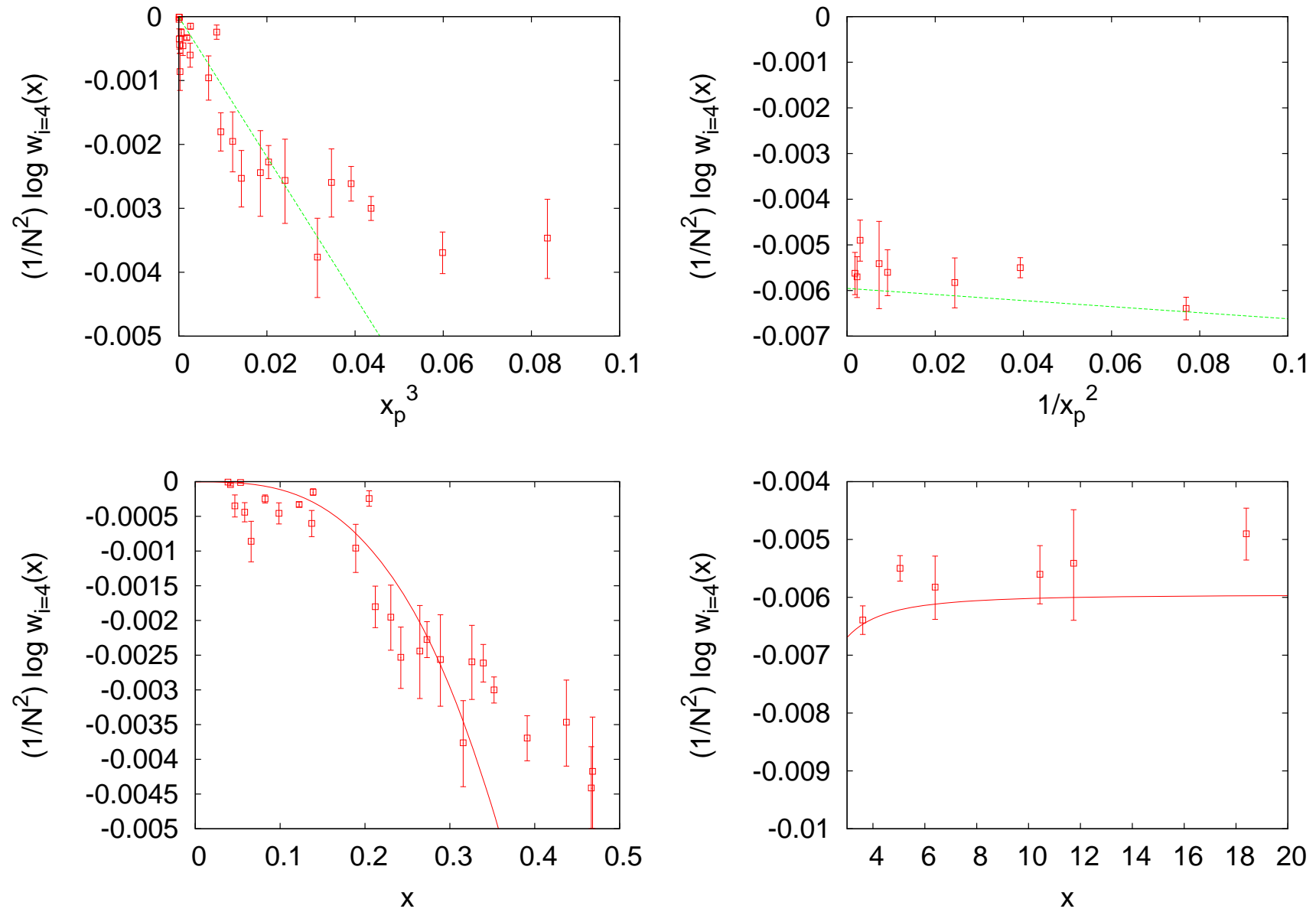


Figure 2:  $\frac{1}{N} \log w_{i=4}(x)$  for  $N = 8$

### Behavior of $\frac{1}{N^2}f_i^{(0)}(x)$

Leading behavior at small  $x$  ( $x \ll 1$ )  $\rightarrow$   $(7-i)$  directions are shrunk.

- $i = 2, \dots, 6$ :  $\rho_i^{(0)}(x) \simeq (\sqrt{x})^{N^2(7-i)} \Rightarrow \frac{1}{N^2}f_i^{(0)}(x) = \frac{7-i}{2x}$

- $i = 1$ : Eigenvalues of  $A_\mu$  are collapsed to zero.

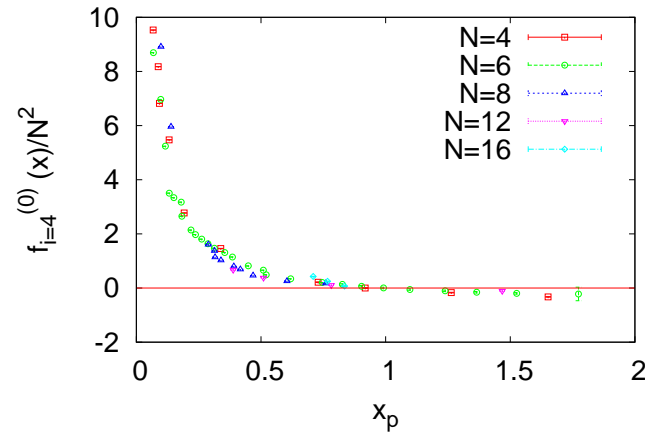
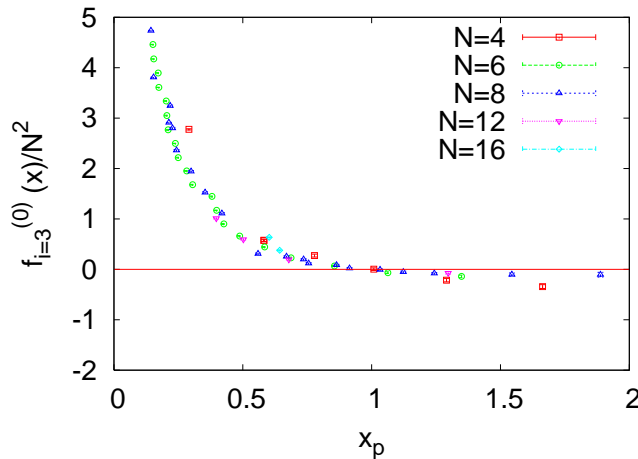
$\Rightarrow$  Add the effect of fermionic determinant (polynomial of  $A_\mu$  with degree  $4N^2$ ).

$\Rightarrow \rho_{i=1}^{(0)}(x) \simeq (\sqrt{x})^{10N^2} \Rightarrow \frac{1}{N^2}f_{i=1}^{(0)}(x) = \frac{5}{x}$

At large  $x$ :  $\frac{1}{N^2}f_i^{(0)}(x) \rightarrow 0$ .

Ansatz for all  $x$ :  $\frac{1}{N^2}f_i^{(0)}(x) = \begin{cases} \frac{5}{x} \exp(-b_{i=1}x) & i = 1 \\ \frac{7-i}{2x} \exp(-b_i x) & i = 2, \dots, 6 \end{cases}$

For  $N = 8$  numerical data, we have  $b_{i=3,4} \simeq 5$ .



Solutions of the equation  $\frac{1}{N^2} f_i^{(0)}(x) = -\frac{d}{dx} \left\{ \frac{1}{N^2} \log w_i(x) \right\} :$

Double-peak structure for  $i = 3, 4 \rightarrow$  two solutions  $x_s$  and  $x_l$  ( $x_s < x_l = +\infty$ )

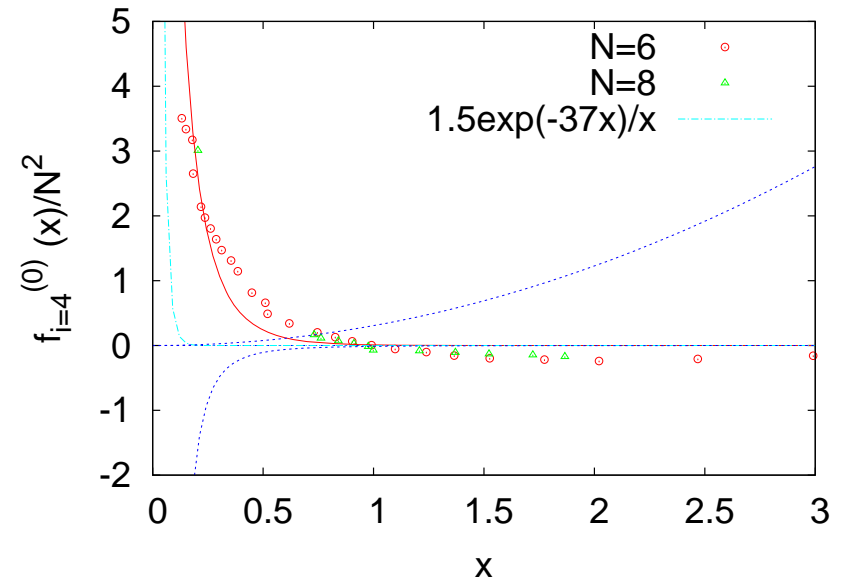
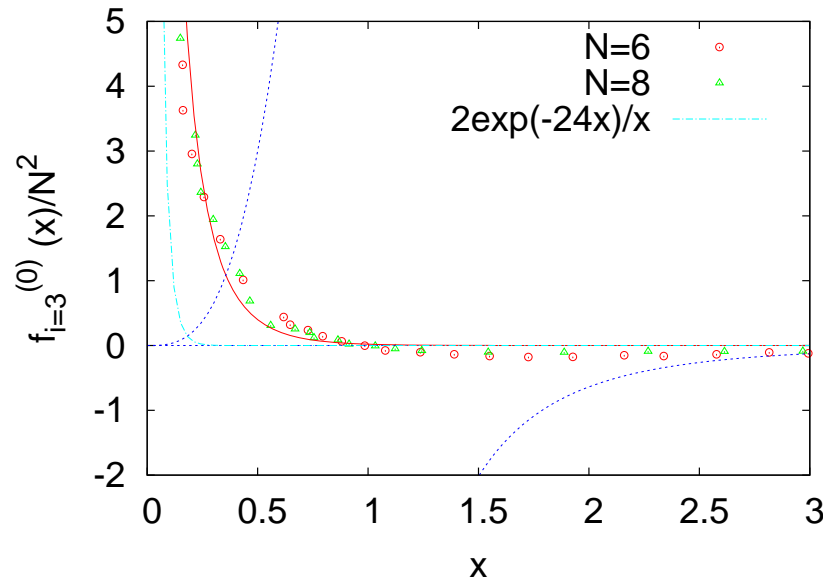
Result of Gaussian Expansion Method (GEM) T. Aoyama, J. Nishimura and T. Okubo

- **Symmetry breakdown  $\text{SO}(6) \rightarrow \text{SO}(3)$ :**

$x_l$  should dominate for  $i = 3$ .  $x_s$  should dominate for  $i = 4$ .

- For collapsed directions,  $x_s = 0.18$ .

To have this solution, the coefficient  $b_i$  should be  $b_{i=3} \simeq 24$ ,  $b_{i=4} \simeq 37$ .



- Strong finite- $N$  effect  $\rightarrow$  For  $i = 4$  we expect  $x_s \simeq 0.18$  ( $b_{i=4} \simeq 37$ ) at large  $N$ .
- For  $b_{i=3} \simeq 24$ ,  $b_{i=4} \simeq 37$  (expected behavior at large  $N$ )  $\rightarrow$   
 $x_l$  dominates for  $i = 3$  while  $x_s$  dominates for  $i = 4$ .

Evidence for symmetry breakdown  $\text{SO}(6) \rightarrow \text{SO}(3)$

## 4 Conclusion

Monte Carlo simulation of 6d IKKT model  $\Rightarrow$  spontaneous breakdown of  $SO(6)$  symmetry.

Can we understand the emergence of the spacetime?

- Gaussian Expansion Method:  $SO(6) \rightarrow SO(3)$ .
- Numerical evidence for symmetry breakdown  $SO(6) \rightarrow SO(3)$ .

### Future works

- Simulation of larger  $N \Rightarrow$  study the finite- $N$  effect.
- Ultimately, 10d IKKT model  $\Rightarrow$  4 spacetime.