

**Non-lattice simulation for supersymmetric gauge theories
in one dimension**

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Tea-duality Seminar at Tata Institute of Fundamental Research (TIFR),
Takehiro Azuma,¹ Jul. 13th 2007, 16:00 ~ 17:00

Contents

1	Introduction	2
2	Simulation of 0-dim supersymmetric matrix model	4
3	Simulation of 1-dim supersymmetric matrix model	14
4	Conclusion	21

¹This slide is used for Takehiro Azuma's presentation in the Tea-duality Seminar at TIFR. It is not the authors but *the speaker Takehiro Azuma* that is responsible for any flaw in this slide.

1 Introduction

Matrix models as a constructive definition of superstring theory

IKKT model (IIB matrix model) \Rightarrow Promising candidate for the constructive definition of superstring theory.

N. Ishibashi, H. Kawai, Y. Kitazawa and A. Tsuchiya, hep-th/9612115.

$$S = \frac{1}{g^2} \left(-\frac{1}{4} \text{tr} [A_\mu, A_\nu]^2 + \frac{1}{2} \text{tr} \bar{\psi} \Gamma^\mu [A_\mu, \psi] \right).$$

- Dimensional reduction of $\mathcal{N} = 1$ 10d Super-Yang-Mills (SYM) theory to 0d.
 A_μ (10d vector) and ψ (10d Majorana-Weyl spinor) are $N \times N$ matrices.
 Eigenvalues of $A_\mu \Rightarrow$ spacetime coordinate.
- Matrix regularization of Green-Schwarz action of type IIB superstring theory.
- $\mathcal{N} = 2$ supersymmetry in 10 dimensions.
- Matrices describe the many-body system.

- No free parameters: $A_\mu \rightarrow g^{\frac{1}{2}} A_\mu$, $\psi \rightarrow g^{\frac{3}{4}} \psi$.
- Evidences for spontaneous breakdown of SO(10) symmetry to SO(4).
J. Nishimura and F. Sugino, hep-th/0111102, H. Kawai, et. al. hep-th/0204240,0211272,0602044,0603146.
- Complex action (after integrating out fermions) :
 - * Crucial for **spontaneous breakdown of rotational symmetry**:
J. Nishimura and G. Vernizzi, hep-th/0003223.
 - * **Difficulty of Monte Carlo simulation**

Fuzzy sphere studies in large- N reduced models

- Dynamical generation of spacetime and gauge group
- New regularization scheme alternative to lattice regularization

2 Simulation of 0-dim supersymmetric matrix model

4d supersymmetric Yang-Mills-Chern-Simons (YMCS) model:

K.N. Anagnostopoulos, T. Azuma, K. Nagao and J. Nishimura, hep-th/0506062.

$$S = \underbrace{-\frac{N}{4} \text{tr} \sum_{\mu, \nu=1}^4 [A_\mu, A_\nu]^2 + \frac{2iN\alpha}{3} \sum_{i,j,k=1}^3 \epsilon_{ijk} A_i A_j A_k}_{=S_B} + \underbrace{N \bar{\psi}_\alpha \sum_{\mu=1}^4 (\Gamma_\mu)_{\alpha\beta} [A_\mu, \psi_\beta]}_{=S_F}.$$

- A_μ (ψ_α): $N \times N$ traceless hermitian (complex) matrices.

$$\Gamma_1 = \sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \Gamma_2 = \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \Gamma_3 = \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \quad \Gamma_4 = i1_{2 \times 2} = \begin{pmatrix} i & 0 \\ 0 & i \end{pmatrix}.$$

- $\alpha \neq 0 \Rightarrow \text{SO}(4)$ is broken to $\text{SO}(3)$. Supersymmetry is softly broken.
- 3d (4d) model \Rightarrow **Partition function is divergent (convergent).**

P. Austing and J. Wheeler, hep-th/0310170

- Classical equation of motion:

$$[A_\nu, [A_\nu, A_\mu]] + i\alpha \epsilon_{\mu\nu\rho} [A_\nu, A_\rho] = 0, \quad \text{where } \epsilon_{\mu\nu\rho} = 0 \text{ (if any one of } \mu, \nu, \rho \text{ is 4).}$$

Fuzzy S^2 classical solution:

$$A_\mu^{(S^2)} = \begin{cases} \bigoplus_{I=1}^s \alpha(L_\mu^{(n_I)} \otimes 1_{k_I}), & (\text{for } \mu = 1, 2, 3), \\ 0, & (\text{for } \mu = 4), \end{cases} \quad \left(\sum_{I=1}^s n_I k_I = N \right).$$

Partition function of the model

Introduce a complete basis for general complex $N \times N$ matrices:

$$t^a = E_{i_a j_a}, \text{ where } a = (i_a - 1)N + j_a, \quad E_{i_a j_a} = \begin{cases} 1, & (i_a, j_a) \text{ component} \\ 0, & \text{otherwise} \end{cases}$$

Decomposition with respect to this basis:

$$(\psi_\alpha)_{i_a j_a} = \sum_{a=1}^{N^2} (\psi_{a,\alpha}) (t^a)_{i_a j_a}, \quad (\bar{\psi}_\alpha)_{i_a j_a} = \sum_{a=1}^{N^2} (\bar{\psi}_{a,\alpha}) (t^a)_{i_a j_a}.$$

Tracelessness condition:

$$\underbrace{\psi_{1,\alpha}}_{(i_a, j_a)=(1,1)} + \underbrace{\psi_{N+2,\alpha}}_{(i_a, j_a)=(2,2)} + \cdots + \underbrace{\psi_{N^2,\alpha}}_{(i_a, j_a)=(N,N)} = 0, \quad \psi_{1,\alpha} + \psi_{N+2,\alpha} + \cdots + \psi_{N^2,\alpha} = 0.$$

Integrate out $\psi_{N^2,\alpha}$, $\bar{\psi}_{N^2,\alpha}$:

$$\text{tr} (N\bar{\psi}_\alpha(\Gamma_\mu)_{\alpha\beta}[A_\mu, \psi_\beta]) = \sum_{a=1}^{N^2} \sum_{b=1}^{N^2} \sum_{\alpha,\beta=1}^2 \bar{\psi}_{a,\alpha} \mathcal{M}'_{a\alpha,b\beta} \psi_{b,\beta} = \sum_{a=1}^{N^2-1} \sum_{b=1}^{N^2-1} \sum_{\alpha,\beta=1}^2 \bar{\psi}_{a,\alpha} \mathcal{M}_{a\alpha,b\beta} \psi_{b,\beta}, \text{ where}$$

$$\mathcal{M}'_{a\alpha,b\beta} = N(\Gamma_\mu)_{\alpha\beta} \text{tr} (t^a[A_\mu, t^b]) = N(\delta_{i_a j_b} (A_\mu)_{j_a i_b} - \delta_{i_b j_a} (A_\mu)_{j_b i_a}),$$

$$\mathcal{M}_{a\alpha,b\beta} = \mathcal{M}'_{a\alpha,b\beta} - \delta_{i_a j_a} \mathcal{M}'_{N^2\alpha,b\beta} - \delta_{i_b j_b} \mathcal{M}'_{a\alpha,N^2\beta}.$$

Partition function of the model:

$$Z = \int dA e^{-S_{\text{eff}}}, \text{ where } S_{\text{eff}} = S_B - \log \det \mathcal{M}.$$

In 4d SUSY model, $\det \mathcal{M}$ is real positive.

(Proof) From the structure of gamma matrices, $\sigma_2 \Gamma_\mu \sigma_2 = (\Gamma_\mu)^*$. Thus, $\sigma_2 \mathcal{M} \sigma_2 = \mathcal{M}^*$.

$v_{a\alpha}$: eigenvector of \mathcal{M} satisfying $\mathcal{M} v_{a\alpha} = \lambda v_{a\alpha}$.

$w_{a\alpha} = (\sigma_2)_{\alpha\beta} (v_{a\beta})^*$ is also an eigenvector:

$$\mathcal{M} w = \sigma_2^2 \mathcal{M} \sigma_2 v^* = \sigma_2 \mathcal{M}^* v^* = \sigma_2 \lambda^* v^* = \lambda^* w.$$

The eigenvalue λ and λ^* always comes in pair. Thus, $\det \mathcal{M}$ is real positive. (Q.E.D.)

Algorithm of Hybrid Monte Carlo (HMC) simulation

Hybrid Monte Carlo simulation \Rightarrow standard technique to incorporate fermions.

P_μ : (auxiliary bosonic hermitian matrix \rightarrow conjugate momentum of A_μ)

$$S_{\text{HMC}}[P, A] = \frac{1}{2} \text{tr} (P_\mu^2) + S_{\text{eff}}[A].$$

1. Update $P_\mu(\tau = 0)$ with a Gaussian random number.

Inherit $A_\mu(\tau = 0)$ from the previous sweep.

τ : fictitious time of the classical system ($0 \leq \tau \leq T$).

2. Solve the Hamiltonian equation of motion. CPU power for $\mathcal{M}^{-1} \Rightarrow \mathcal{O}(N^6)$.

$$\frac{d(A_\mu)_{ij}}{d\tau} = \frac{\partial S_{\text{HMC}}}{\partial (P_\mu)_{ij}} = (P_\mu)_{ji},$$

$$\frac{d(P_\mu)_{ij}}{d\tau} = -\frac{\partial S_{\text{HMC}}}{\partial (A_\mu)_{ij}} = N(-[A_\nu, [A_\mu, A_\nu]] + 2i\alpha\epsilon_{\mu\nu\rho}A_\nu A_\rho)_{ji} - \text{Tr}(\mathcal{M}^{-1} \frac{d\mathcal{M}}{d(A_\mu)_{ij}}), \text{ where}$$

$$\frac{d\mathcal{M}}{d(A_\mu)_{ij}} = \delta_{i_a j_b} \delta_{i_j a} \delta_{j_i b} - \delta_{i_b j_a} \delta_{i_j b} \delta_{j_i a}.$$

3. $[P_\mu^{(\text{old})}, A_\mu^{(\text{old})}] = [P_\mu(\tau = 0), A_\mu(\tau = 0)],$

$$[P_\mu^{(\text{new})}, A_\mu^{(\text{new})}] = [P_\mu(\tau = T), A_\mu(\tau = T) - \underbrace{\frac{1}{N}(\text{tr} A_\mu(\tau = T))1_{N \times N}}_{\text{tracelessness condition}}].$$

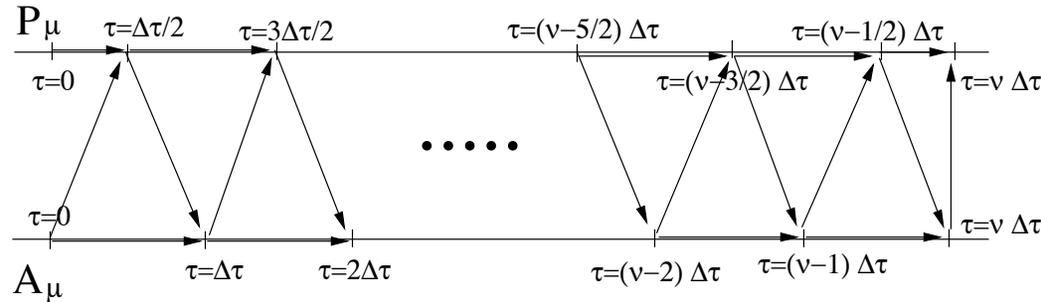
Metropolis accept/reject procedure:

Accept the new configuration with the probability $\max(1, e^{-\Delta S_{\text{HMC}}})$,

$$\Delta S_{\text{HMC}} = S_{\text{HMC}}[P_{\mu}^{(\text{new})}, A_{\mu}^{(\text{new})}] - S_{\text{HMC}}[P_{\mu}^{(\text{old})}, A_{\mu}^{(\text{old})}].$$

Leap frog discretization:

Discretized Hamiltonian equation of motion. ($\Delta\tau$: step size, $T = \nu \Delta\tau$).



$$\begin{aligned} (P_{\mu}^{(1/2)})_{ij} &= (P_{\mu}^{(0)})_{ij} - \frac{\Delta\tau}{2} \frac{dS_{\text{HMC}}}{d(A_{\mu})_{ij}}(A_{\mu}^{(0)}), \\ (A_{\mu}^{(1)})_{ij} &= (A_{\mu}^{(0)})_{ij} + \Delta\tau (P_{\mu}^{(1/2)})_{ji}, \\ (P_{\mu}^{(n+1/2)})_{ij} &= (P_{\mu}^{(n-1/2)})_{ij} - \Delta\tau \frac{dS_{\text{HMC}}}{d(A_{\mu})_{ij}}(A_{\mu}^{(n)}), \\ (A_{\mu}^{(n+1)})_{ij} &= (A_{\mu}^{(n)})_{ij} + \Delta\tau (P_{\mu}^{(n+1/2)})_{ji}, \\ (P_{\mu}^{(\nu)})_{ij} &= (P_{\mu}^{(\nu-1/2)})_{ij} - \frac{\Delta\tau}{2} \frac{dS_{\text{HMC}}}{d(A_{\mu})_{ij}}(A_{\mu}^{(\nu)}), \end{aligned}$$

Result of numerical studies

Fuzzy-sphere initial condition of the Monte Carlo simulation:

$$A_{\mu}^{(0)} = \alpha L_{\mu}^{(N)}.$$

The observables to study ($\tilde{\alpha} = \alpha\sqrt{N}$): (one-loop at large N)

$$\frac{1}{N^2} \langle S \rangle \simeq -\frac{\tilde{\alpha}^4}{24} \underbrace{-\frac{1}{2}}_{\text{one-loop}},$$

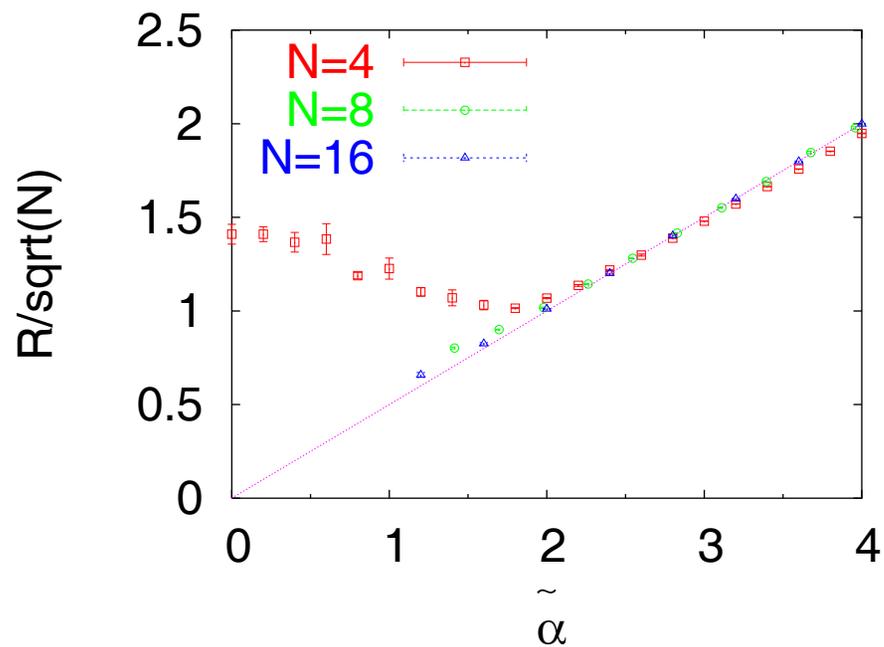
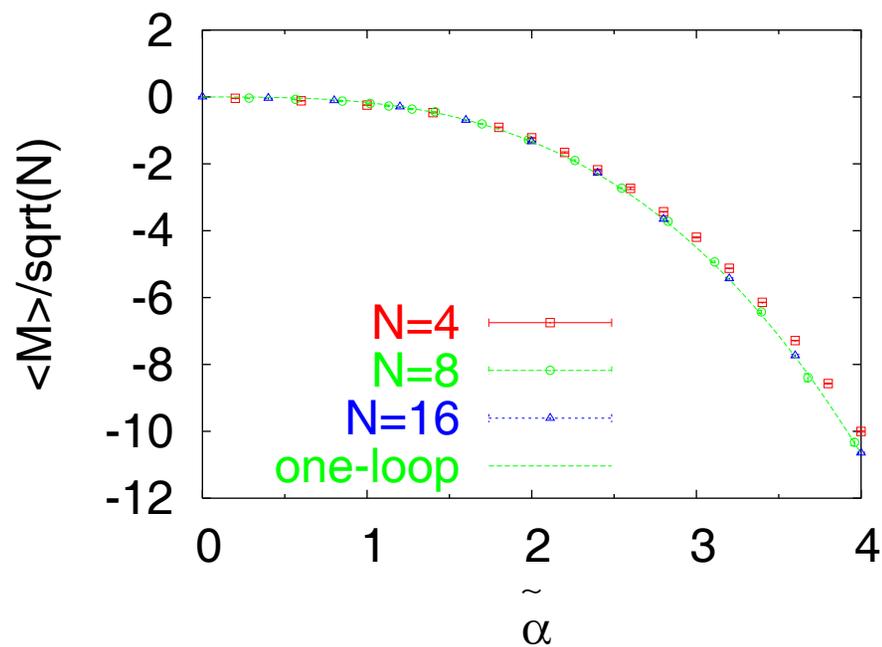
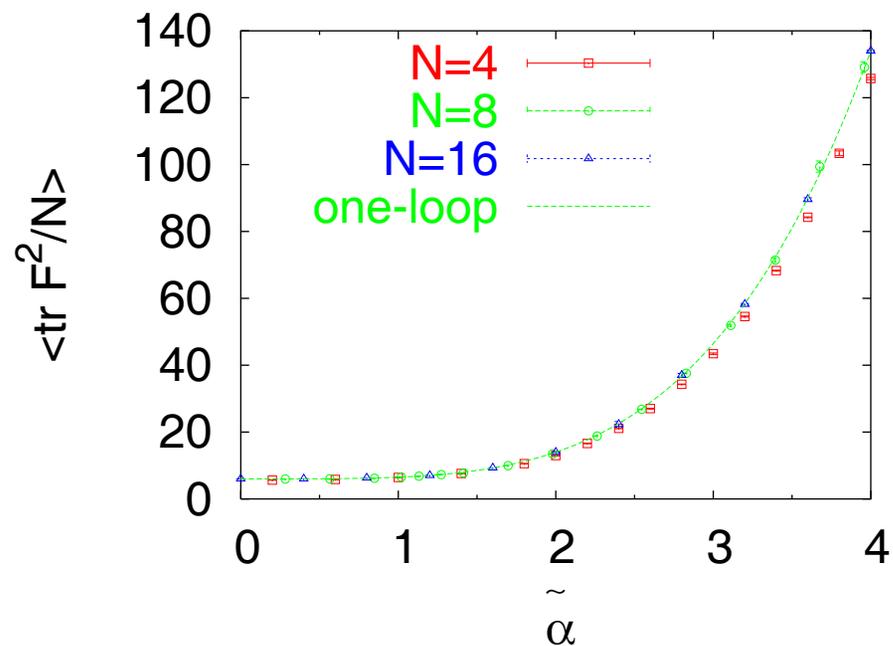
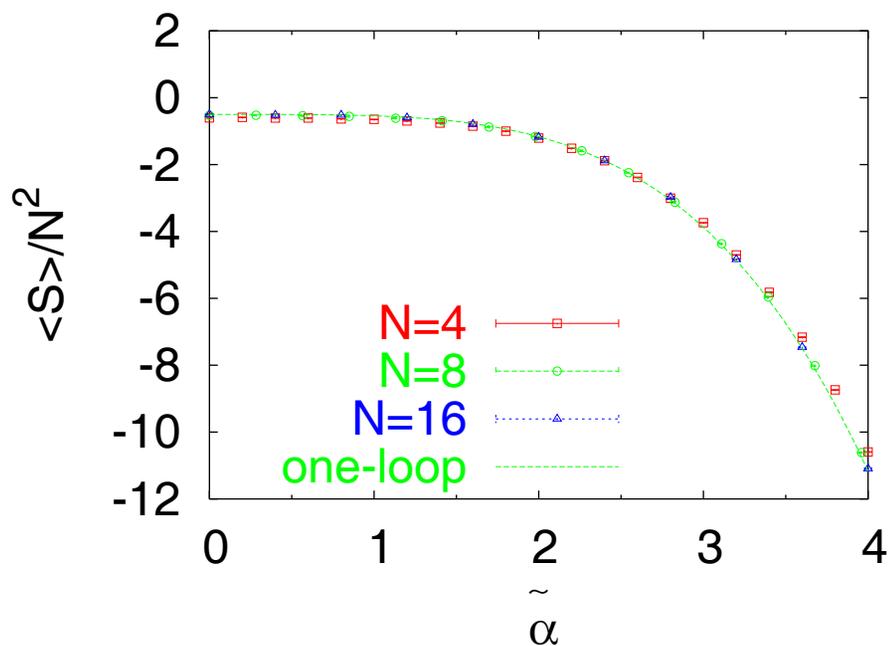
$$\left\langle \frac{1}{N} \text{tr} (F_{\mu\nu}^2) \right\rangle \simeq \left\langle \frac{1}{N} \text{tr} \sum_{\mu,\nu=1}^4 (i[A_{\mu}, A_{\nu}])^2 \right\rangle = \frac{\tilde{\alpha}^4}{2} \underbrace{+6}_{\text{one-loop}},$$

$$\frac{1}{\sqrt{N}} \langle M \rangle \simeq \frac{1}{\sqrt{N}} \left\langle \frac{2i}{3N} \sum_{i,j,k=1}^3 \epsilon_{ijk} \text{tr} A_i A_j A_k \right\rangle = -\frac{\tilde{\alpha}^3}{6} \underbrace{+0}_{\text{one-loop}},$$

$$R = \left\langle \sqrt{\frac{1}{N} \sum_{\mu=1}^4 \text{tr} A_{\mu}^2} \right\rangle.$$

Perturbatively, $\frac{1}{N} \langle \frac{1}{N} \text{tr} A_{\mu}^2 \rangle \simeq \frac{\tilde{\alpha}^2}{4} \underbrace{+0}_{\text{one-loop}}$ is finite.

But, **nonperturbatively**, this is infinite!



Transition point α_{tr} :

$$\alpha_{\text{tr}} \simeq \begin{cases} 1.1 & (N = 4), \\ 0.5 & (N = 8), \\ 0.3 & (N = 16). \end{cases} \Rightarrow \alpha_{\text{tr}} \simeq O\left(\frac{1}{N}\right).$$

Behavior of the eigenvalue distribution function $f(x)$ of the Casimir operator

$$Q = A_1^2 + A_2^2 + A_3^2 + A_4^2, \quad \left\langle \frac{1}{N} \text{tr} Q \right\rangle \simeq \frac{15}{4} \alpha^2 + \frac{35}{48 \alpha^2} \quad (\text{for finite } N = 4).$$

- $\alpha < \alpha_{\text{tr}}$

Spikes in the history of $\frac{1}{N} \text{tr} A_\mu^2$.

Power-law tail behavior $f(x) \simeq x^{-2}$.

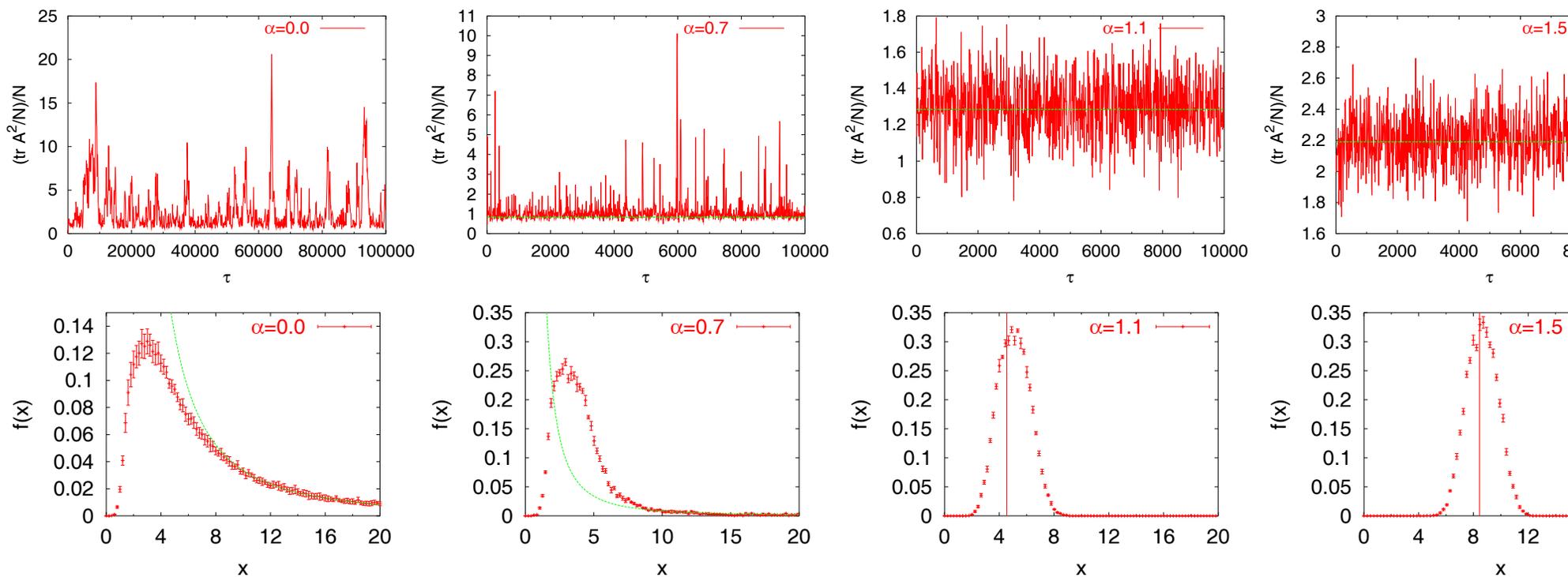
W. Krauth and M. Staudacher, Phys. Lett. B 453, 253 (1999), [hep-th/9902113].

- $\alpha > \alpha_{\text{tr}}$

No spikes in the history of $\frac{1}{N} \text{tr} A_\mu^2$.

Peaks of $f(x)$ around the classical radius $Q = \frac{N^2-1}{4} \alpha^2$.

Absence of the power-law behavior.


 Figure 1: The history of $\frac{1}{N} \text{tr} Q$ and the eigenvalue distribution $f(x)$ for $N = 4$.

Argument from one-loop effective action

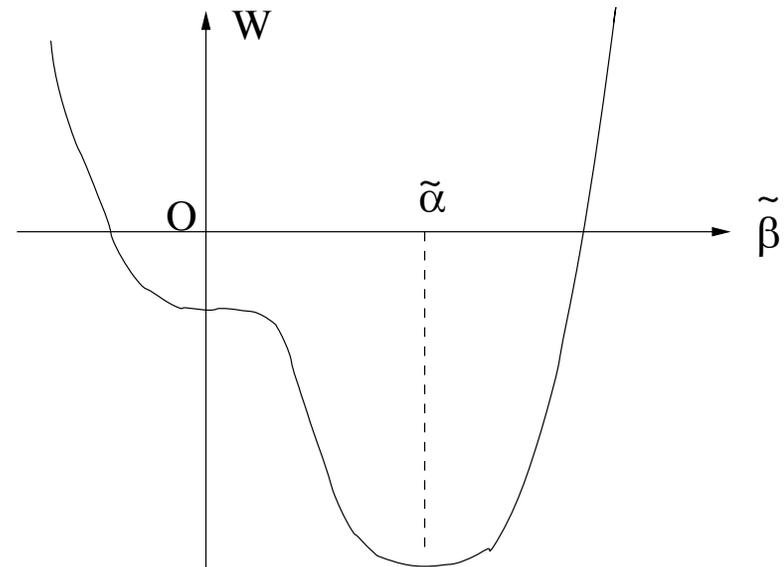
One-loop perturbation around the fuzzy sphere solution

$$A_\mu = \begin{cases} \beta L_\mu^{(N)}, & (\text{for } \mu = 1, 2, 3), \\ 0, & (\text{for } \mu = 4), \end{cases}$$

One-loop effective action at large N :

$$W = N^2 \left(\frac{\tilde{\beta}^4}{8} - \frac{\tilde{\alpha}\tilde{\beta}^3}{6} \right) - N^2 \log N.$$

Minimum at $\tilde{\beta} = \tilde{\alpha}$.



The fuzzy sphere is always stable for fixed $\tilde{\alpha}(> 0)$ at large N .

3 Simulation of 1-dim supersymmetric matrix model

M. Hanada, J. Nishimura and S. Takeuchi, arXiv:0706.1647.

Difficulties in lattice simulation of supersymmetric gauge theories.

- SUSY algebra contains continuous transformations: \Rightarrow **break SUSY in lattice.**
- Majorana nature of fermions \Rightarrow **fermionic terms are difficult to formulate.**

Non-lattice formulation via **Fourier transformation** \Rightarrow **avoids these difficulties.**

SUSY recovers faster than continuum limit is achieved.

Supersymmetric anharmonic oscillator

Simple non-gauge supersymmetric theory.

$$S = \int_0^\beta dt \left(\frac{1}{2}(\partial_t \phi)^2 + \frac{1}{2}h'(\phi)^2 + \bar{\psi}(\partial_t + h''(\phi))\psi \right).$$

- $\phi(t) \Rightarrow$ real scalar field, $\psi(t) \Rightarrow$ one-component Dirac field.

- $\beta = 1/T \Rightarrow$ inverse temperature.

Periodic boundary condition: $\phi(t + \beta) = \phi(t)$, $\psi(t + \beta) = \psi(t)$.

- Supersymmetry transformation

$$\delta^{(1)}\phi = \bar{\epsilon}\psi, \quad \delta^{(1)}\bar{\psi} = -\bar{\epsilon}((\partial_t\phi) + h'(\phi)), \quad \delta^{(1)}\psi = 0,$$

$$\delta^{(2)}\phi = \bar{\psi}\epsilon, \quad \delta^{(2)}\bar{\psi} = 0, \quad \delta^{(2)}\psi = ((\partial_t\phi) - h'(\phi))\epsilon.$$

- $h(\phi)$ can be any arbitrary function. Here, we take $h(\phi) = \frac{m}{2}\phi^2 + \frac{g}{4}\phi^4$.

Simulate the **Fourier mode!**

$$\phi(t) = \sum_{n=-\Lambda}^{\Lambda} \phi_n e^{i\omega n t}, \quad \psi(t) = \sum_{n=-\Lambda}^{\Lambda} \psi_n e^{i\omega n t}, \quad \bar{\psi}(t) = \sum_{n=-\Lambda}^{\Lambda} \bar{\psi}_n e^{i\omega n t}, \quad \text{where}$$

$$\omega = \frac{2\pi}{\beta}, \quad \Lambda = (\text{UV cutoff}).$$

Only the zero modes survive in the action $S = S_B + S_F$, where

$$S_B = \beta \left(\sum_{n=-\Lambda}^{\Lambda} \frac{1}{2} [(n\omega)^2 + m^2] \phi_n \phi_{-n} + mg(\phi^4)_0 + \frac{g^2}{2} (\phi^6)_0 \right),$$

$$S_F = \sum_{n,k=-\Lambda}^{\Lambda} \bar{\psi}_n \mathcal{M}_{nk} \psi_k, \quad \text{where } \mathcal{M}_{nk} = (2\Lambda \times 2\Lambda \text{ matrix}) = \beta [(in\omega + m)\delta_{nk} + 3g(\phi^2)_l \delta_{nl} \delta_{lk}],$$

$$(f^{(1)} f^{(2)} \dots f^{(p)})_n = \sum_{k_1+k_2+\dots+k_p=n} f_{k_1}^{(1)} f_{k_2}^{(2)} \dots f_{k_p}^{(p)}.$$

Effective action for the bosons : **$S_{\text{eff}} = S_B - \log \det \mathcal{M}$.**

Hybrid Monte Carlo (HMC) simulation ($\Pi_n = (\text{conjugate momentum of } \phi_n)$):

$$S_{\text{HMC}} = S_{\text{eff}} + \sum_{n=-\Lambda}^{\Lambda} \frac{1}{2} \Pi_n \Pi_{-n}.$$

CPU power is **$O(\Lambda^3)$.**

Extract mass from the correlators:

$$G_B(t) = \langle \phi(0)\phi(t) \rangle = b_0 + \sum_{n=1}^{\Lambda} b_n \cos(\omega n t)$$

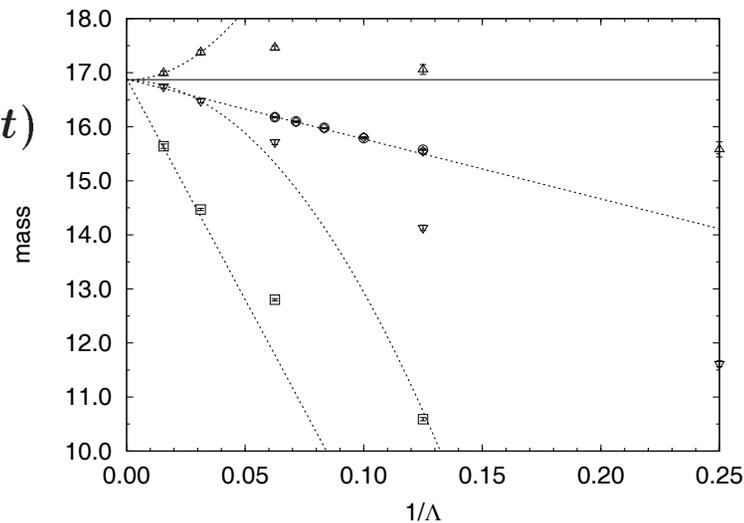
$$\stackrel{\text{small } t}{\simeq} \exp(-m_{\text{bos}} t),$$

$$G_F^{(\text{sym})}(t) = \frac{1}{2}(\langle \psi(0)\bar{\psi}(t) \rangle + \langle \psi(0)\bar{\psi}(-t) \rangle)$$

$$= c_0 + 2 \sum_{n=1}^{\Lambda} \text{Re}(c_n) \cos(\omega n t)$$

$$\stackrel{\text{small } t}{\simeq} \cosh(m_{\text{ferm}} t), \text{ where}$$

$$b_n = \langle |\phi_n|^2 \rangle, \quad c_n = \langle (\mathcal{M}^{-1})_{nn} \rangle.$$



Result for $\beta = 1, m = 10, g = 100$.

- \circ (\diamond): mass for boson (fermion) in Fourier
- \triangle (∇): mass for boson (fermion) in lattice.
- \square : result for lattice action with half SUSY

Supersymmetric matrix quantum mechanics

4d BFSS matrix model

$$S = \frac{1}{g^2} \int_0^\beta dt \text{tr} \left(\frac{1}{2} (D_t X_i(t))^2 - \frac{1}{4} [X_i(t), X_j(t)]^2 + \bar{\psi}(t) D_t \psi(t) - \bar{\psi}(t) \sigma_i [X_i(t), \psi(t)] \right).$$

- $A(t), X_i(t) = (N \times N \text{ Hermitian matrices, } i = 1, 2, 3)$
- $\psi(t), \bar{\psi}(t) = (N \times N \text{ matrices with complex Gaussian entries, } \alpha = 1, 2)$
- $\sigma_i = (2 \times 2 \text{ Pauli matrices})$
- Dimensional reduction of 4d $\mathcal{N} = 1 \text{ U}(N) \text{ SYM}$ to 1 dimension.
- $g = \frac{1}{\sqrt{N}}$ without loss of generality.
- Boundary condition:

$$\underbrace{X_i(t + \beta) = X_i(t), A(t + \beta) = A(t)}_{\text{periodic}}, \quad \underbrace{\psi(t + \beta) = -\psi(t), \bar{\psi}(t + \beta) = -\bar{\psi}(t)}_{\text{anti-periodic}}.$$

- Static diagonal gauge

$$A(t) = \frac{1}{\beta} \text{diag}(\alpha_1, \alpha_2, \dots, \alpha_N), \quad (\alpha_a \in (-\pi, \pi], a = 1, 2, \dots, N).$$

$$\text{Faddeev-Popov ghost } S_{\text{FP}} = - \sum_{a < b} 2 \log |\sin(\alpha_a - \alpha_b)/2|.$$

Fourier transformation of the field ($\lambda = \Lambda - \frac{1}{2}$)

$$X_i^{ab}(t) = \sum_{n=-\Lambda}^{\Lambda} X_n^{ab} e^{i\omega n t}, \quad \psi_{\alpha}^{ab}(t) = \sum_{r=-\lambda}^{\lambda} \psi_r^{ab} e^{i\omega r t}, \quad \bar{\psi}_{\alpha}^{ab}(t) = \sum_{r=-\lambda}^{\lambda} \bar{\psi}_r^{ab} e^{i\omega r t}.$$

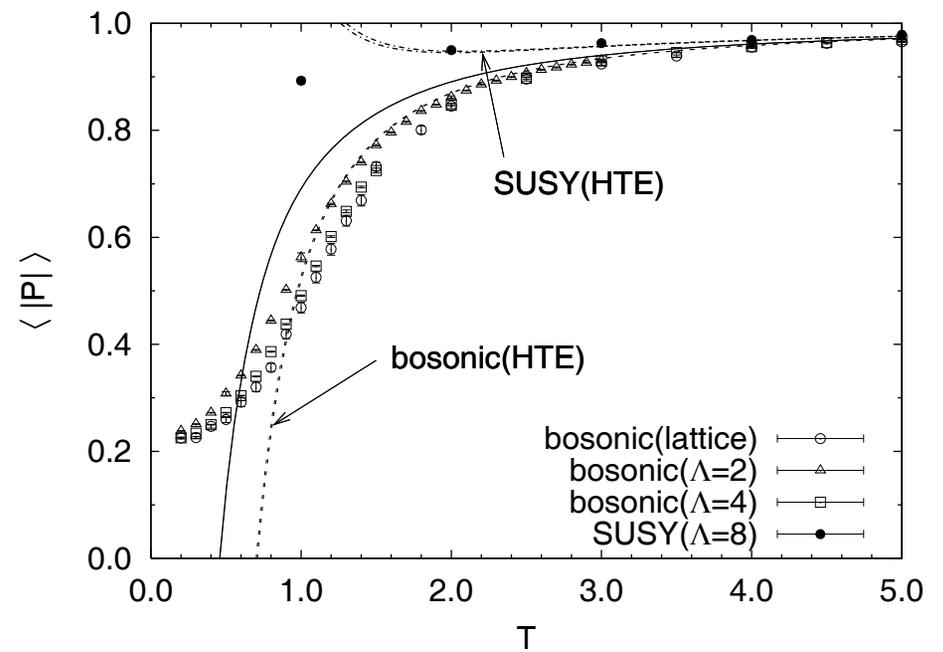
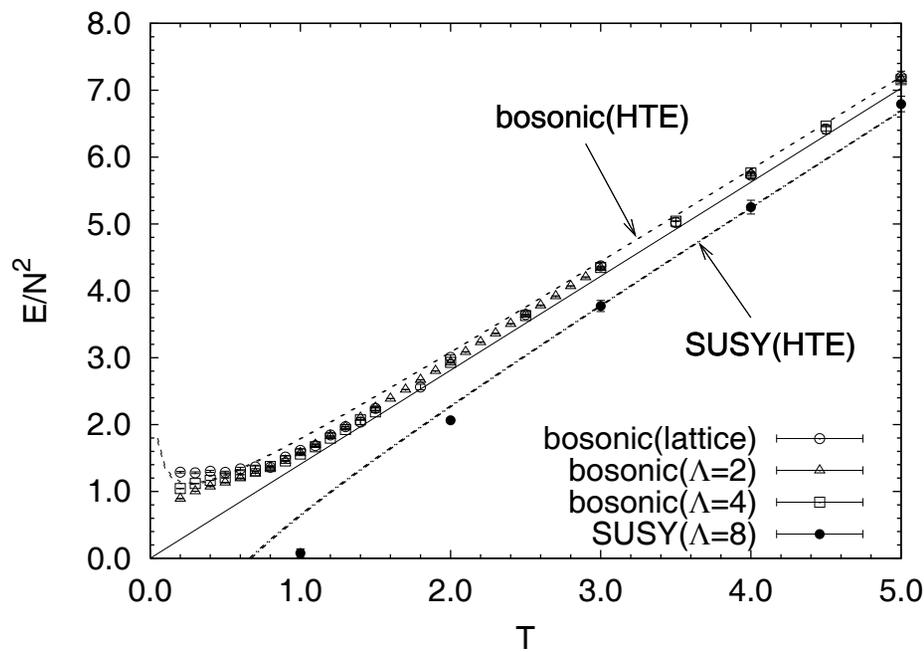
Only zero modes survives in the action:

$$S = N\beta \left[\frac{1}{2} \sum_{n=-\Lambda}^{\Lambda} \left(n\omega - \frac{\alpha_a - \alpha_b}{\beta} \right) X_{i,-n}^{ba} X_{in}^{ab} - \frac{1}{4} \text{tr} ([X_i, X_j]^2)_0 \right] \\ + N\beta \left[\sum_{r=-\lambda}^{\lambda} i \left(r\omega - \frac{\alpha_a - \alpha_b}{\beta} \right) \bar{\psi}_{\alpha r}^{ba} \psi_{\alpha r}^{ab} - (\sigma_i)_{\alpha\beta} \text{tr} (\bar{\psi}_{\alpha r} [X_i, \psi_{\beta}]_r) \right].$$

Hybrid Monte Carlo (HMC) simulation of the model \Rightarrow CPU time is $\mathbf{O}(\Lambda^3 N^6)$.

Result of the numerical simulation ($N = 4$):

- Energy $E = -\frac{d}{d\beta} \log Z(\beta)$.
- Polyakov line $P = \text{tr} \exp \left(i \int_0^\beta dt A(t) \right) = \sum_{a=1}^N \exp(i\alpha_a)$.



4 Conclusion

Non-lattice simulation of the 1-dim BFSS supersymmetric matrix model.

Fourier transformation instead of lattice formulation.

Future outlooks

- Emergence of 4-dim spacetime in 6,10-dim IKKT and BFSS matrix model.
- Blackhole entropy in string theory