

# Adams and Hoelbling fermions: numerical properties

Philippe de Forcrand  
ETH Zürich & CERN & YITP

with Aleksi Kurkela (McGill) & Marco Panero (Helsinki)

arXiv:1202.1867, Feb. 9, 2012



Eidgenössische Technische Hochschule Zürich  
Swiss Federal Institute of Technology Zurich

# Motivation

- Light  $u, d$  quarks needed to simulate correct physics  $\rightarrow$  **expensive**
- Cost-saving: **staggered fermions** (1/4 d.o.f.)

$$S_F = \sum_x \bar{\chi}(x) \sum_\mu \eta_\mu(x) (U_\mu(x) \chi(x + \hat{\mu}) - U_\mu^\dagger(x - \hat{\mu}) \chi(x - \hat{\mu})) + m_q \sum_x \bar{\chi}(x) \chi(x)$$

$$\eta_\mu = \pm 1; \{\gamma_\mu, \gamma_\nu\} = 2\delta_{\mu\nu} \rightarrow \prod_4 \eta = -1 \text{ around any plaquette}$$

- Drawback:  $N_f = 4$  (degenerate when  $a = 0$ ) “tastes”  $\rightarrow \sqrt{\det(D_{st})}$

“rooting is evil”

Mike Creutz

- non-locality?
- 't Hooft vertex,  $U(1)_A$  breaking?
- staggered fermions “don't feel the topology”
- No quartet of low-lying eigenvalues  $\leftrightarrow$  no index theorem

- Adams to the rescue:  $N_f = 2$  staggered overlap fermions

0912.2850, 1008.2833

- Hoelbling:  $N_f = 1$

1009.5362

# Construction

Two ingredients:

**1: taste-dependent mass term** (cf. Wilson term)

⇒ split tastes into several branches – fine-tune for massless limit

**2: plug into overlap** (cf. Neuberger)

⇒ eliminate fine-tuning, etc.. – large computational overhead

Look at **1** then **2**

|                                       |        |
|---------------------------------------|--------|
| $1$                                   | 0-link |
| $\gamma_\mu$                          | 1-link |
| $\gamma_\mu \gamma_\nu$               | 2-link |
| $\gamma_\mu \gamma_\nu \gamma_\rho$   | 3-link |
| $\gamma_1 \gamma_2 \gamma_3 \gamma_4$ | 4-link |

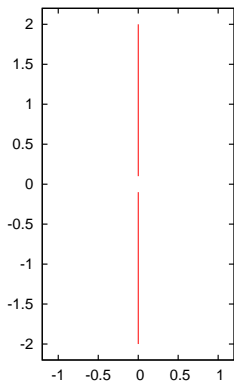
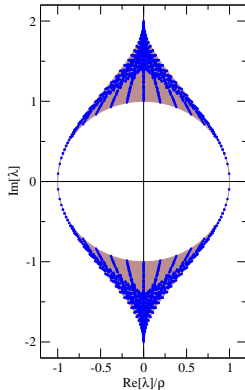
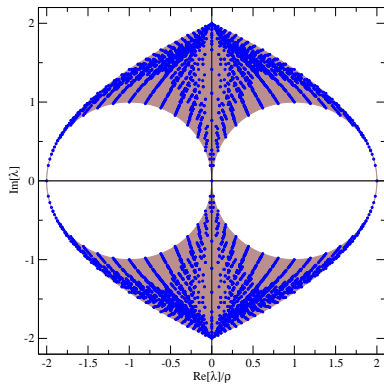
- 1-link and 3-link do not satisfy  $\gamma_5$ -hermiticity  $\rightarrow$  determinant *complex*
  - can be fixed with 2 conjugate copies, ie. doubling  $N_f$
  - 1-link: cancellations between kinetic & mass terms  
free spectrum on a circle!

0-link = **KS**; 2-link = **Hoelbling**; 4-link = **Adams**

Notation: 0-link  $\rightarrow m$ , 2-link  $\rightarrow M_{\text{Hoelbling}}$ , 4-link  $\rightarrow M_{\text{Adams}} \propto \Gamma_{55} \Gamma_5$

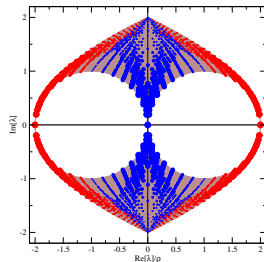
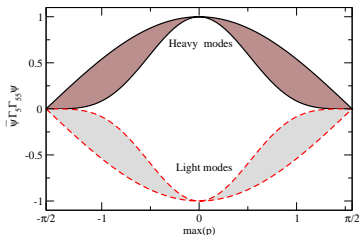
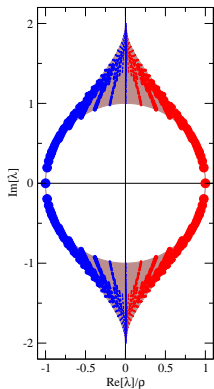
- NB. Also can multiply above mass terms by  $\Gamma_{55} \equiv (-)^x$  ?

## Free spectra and taste reduction I

 $\not{D}_{st}$  $N_f = 4$  $\not{D}_{st} + M_{Adams}$  $N_f = 2 + 2$  $\not{D}_{st} + M_{Hoelbling}$  $N_f = 1 + 2 + 1$

## Free spectra and taste reduction II

- Select one branch by  $x$ -shift, ie. additive mass renormalization (cf. Wilson)  
Other branch(es) have masses  $O(1/a)$ , ie. **doublers**



- Real (low-momentum) modes are *taste-chiral* in each branch  $\rightarrow \Gamma_{55}\Gamma_5 \approx \pm 1$   
 $\Rightarrow$  can trade  $\Gamma_5 = \gamma_5 \otimes \mathbf{1} + O(a)$  for  $\Gamma_{55} = \gamma_5 \otimes \gamma_5$  *exactly*  $\rightarrow$  **index theorem**

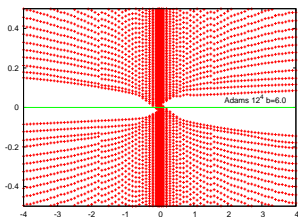
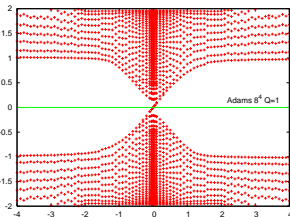
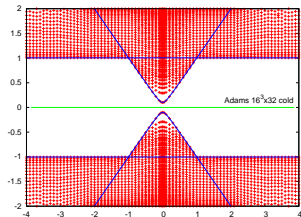
# Index from eigenvalue flow

- Index from flow of eigenvalues  $\lambda(m)$  of  $H(m) = \gamma_5(\not{D} + m)$ 
  - $(\not{D} + m_0)$  has zero-mode  $|\Psi_0\rangle \rightarrow$  eigenvalue  $\lambda(m_0) = 0$  for  $H(m_0)$
  - Perturb  $m$  away from  $m_0 \rightarrow$  eigenvalue displaced by  $\langle \Psi_0 | \gamma_5 (m - m_0) | \Psi_0 \rangle$   
 $\lambda(m) = \pm(m - m_0)$  (ie. crossing) **IF**  $\langle \Psi_0 | \gamma_5 | \Psi_0 \rangle = \pm 1$ , ie.  $|\Psi_0\rangle$  chiral
  - Works with  $\not{D} = \not{D}_{Wilson}$ , or  $\not{D}_{st} + M_{Adams}$ ,  $\not{D}_{st} + M_{Hoelbling}$  with  $\gamma_5 \rightarrow \Gamma_{55}$
- **Alternative:** can also consider flow for  $\hat{H}(\rho) = \Gamma_{55}(\not{D}_{st} + \rho M_{Adams})$   
Adams' original proposal
  - $\not{D}_{st}$  has near-chiral, near-zero modes
  - $M_{Adams} = \Gamma_{55}\Gamma_5 \rightarrow$  perturbation  $\rho\Gamma_5 \approx \rho\gamma_5 \otimes \mathbf{1}$

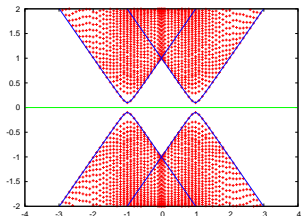
“Classic” versus “original” ?

# Comparing two eigenvalue flows

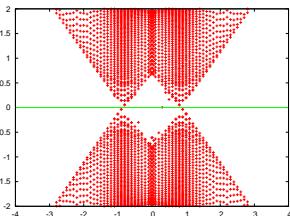
## ● Adams' original



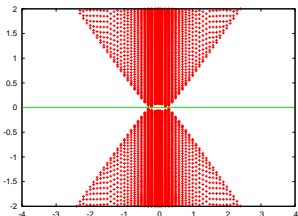
## ● Adams' classic



Cold config.  $16^3 \times 32$



Cooled  $Q = 1, 8^4$

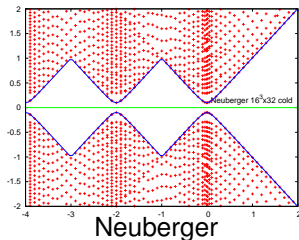
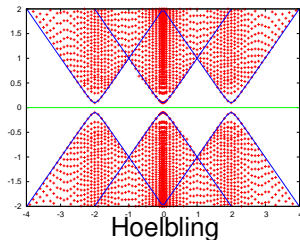
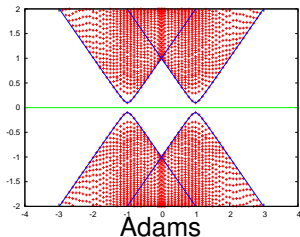


$Q = -1, \beta = 6.0, 12^4$

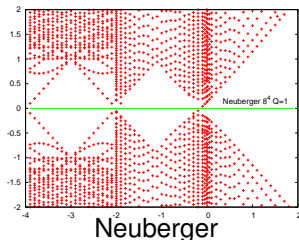
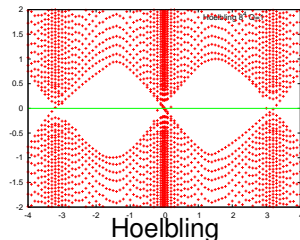
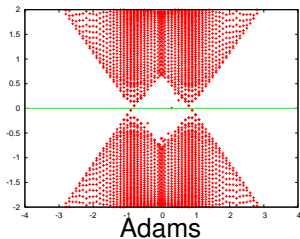


# Index theorem

- Cold configuration: agreement with analytic result

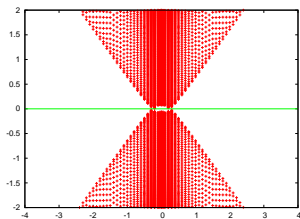


- Cooled  $Q = 1$  instanton:  $N_f \times Q$  crossings

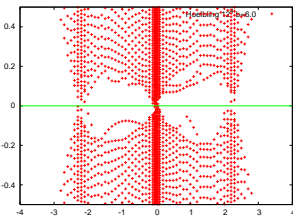


# Effects of gauge field fluctuations

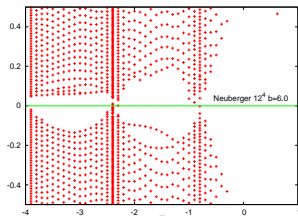
- $\beta = 6.0$ ,  $Q = 1$ : eigenvalue gap closes, esp. Adams



Adams



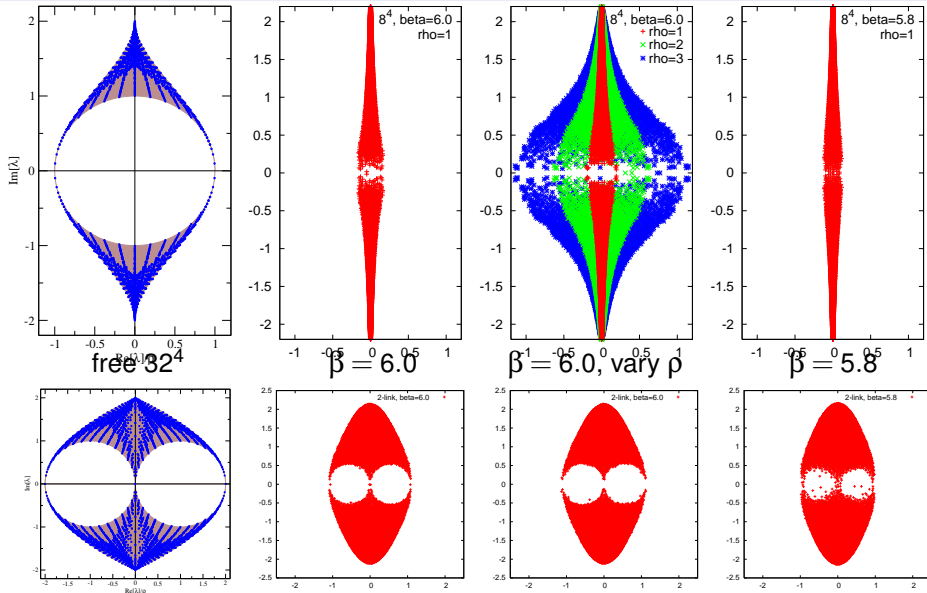
Hoelbling



Neuberger

# Effects of gauge field fluctuations

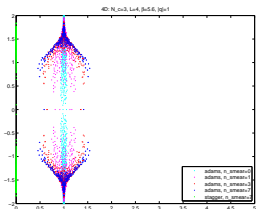
- The width of the spectrum fluctuates (shrinks)
  - fine-tuning for massless quarks
- The gap in the spectrum fills up
  - distinction between light modes and doublers blurred

Eigenvalue spectra: Adams vs Hoelbling,  $8^4$ 

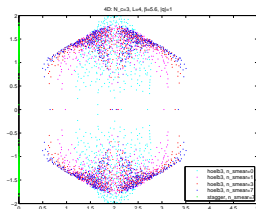
## Usefulness

Superior robustness of Hoelbling fermions:  
**2-link** vs **4-link** transporters

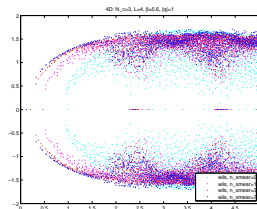
- Can always smear links to suppress fluctuations: Thanks Stephan Dürr



Adams



Hoelbling



Wilson

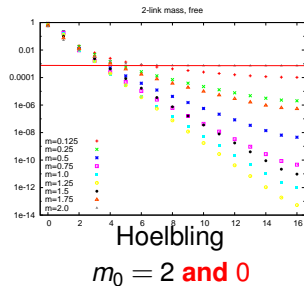
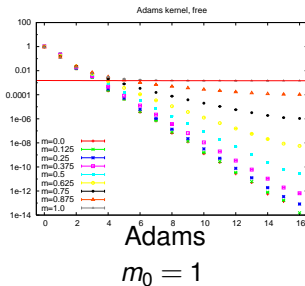
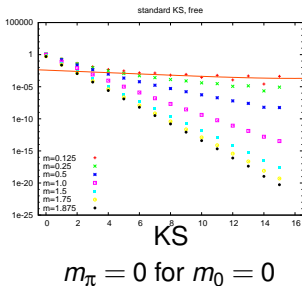
$$4^4, \beta = 5.6$$

# Pion mass

- Compute quark propagator  $G(x, y, z, t)$  from point source:
  - $16^3 \times 32$  lattices,  $\beta = 6.0$  quenched
  - Form  $\vec{p} = \vec{0}$  meson correlator (connected diagram only)
 
$$C(t) = \sum_{xyz} G(x, y, z, t) \Gamma_{55} G(x, y, z, t)^\dagger \Gamma_{55} = \sum_{xyz} |G(x, y, z, t)|^2$$
  - Look for effective mass plateau  $\rightarrow$  (lightest) pion mass ( $am_\pi$ )
- Monitor  $(am_\pi)^2$  vs  $(am_q)$ : PCAC + additive mass renormalization

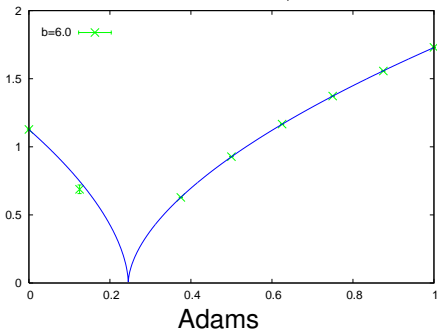
# Pion correlator

● Free field:

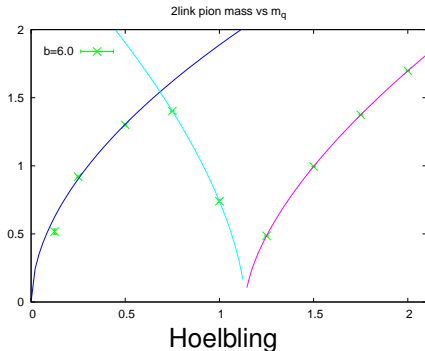


Pion mass vs  $m_q$ 

•  $\beta = 6.0, 16^3 \times 32$  quenched:



$m_0 \sim 0.25$  instead of 1



sqrt behaviour for  $N_f = 2$  and  $N_f = 1$  ??

$m_0 \sim 1.15$  instead of 2 or 0

4 links vs 2 links:  $0.25/1 \sim (1.15/2)^2$

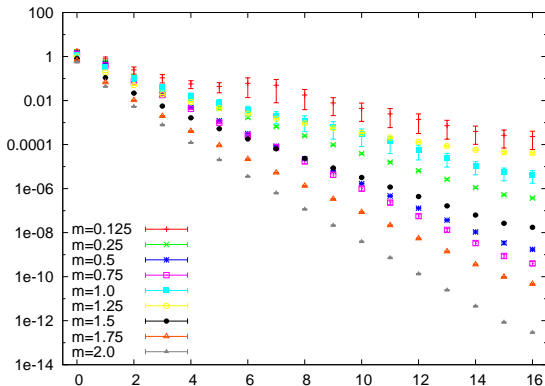
Use Hoelbling fermions for  $N_f = 2$ : no need to tune  $m_0$  !



# Pion correlator Hoelbling

- $\beta = 6.0, 16^3 \times 32$  quenched:

2-link mass, beta=6.0



# Overlap construction

- Just like Neuberger:  $D_{sov} = 1 + \gamma_5' \text{sign}(H(-m_0))$

with  $\gamma_5' = \Gamma_{55} = (-)^{x+y+z+t}$  (need  $\gamma_5'^2 = 1$ )

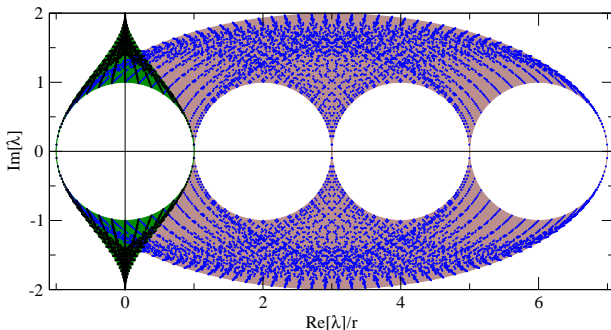
- Potential advantages:
  - cheaper (4 times fewer d.o.f. per site)
  - more robust ?

And reduces  $N_f = 4$  to  $N_f = 2$  tastes *without* fine-tuning

N.B.  $m_0$  is really  $\rho$  in Adams' proposal [no mass shift]

Free field:  $U_\mu(x) = \mathbf{1} \forall \mathbf{x}, \mu$

Spectrum of kernel:  $\gamma_5 H_W(m_0 = -1)$  and  $\gamma_5 H_{Adams}(m_0 = -1)$



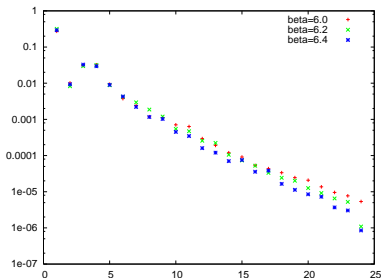
$\gamma_5 \text{sign}(H) = \frac{D}{\sqrt{D^\dagger D}}$  projects eigenvalues of  $D = \gamma_5 H$  on unit circle

Adams: two low- $p$  eigenmodes projected to -1, two projected to +1  $\Rightarrow N_f = 2$

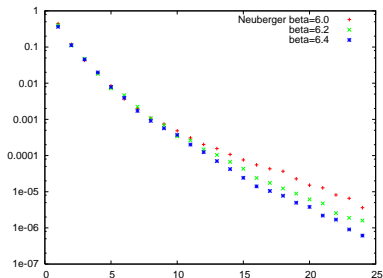
# Locality of operator?

Max<sub>y</sub> |M<sub>xy</sub>| versus |x - y| (Manhattan distance) cf. [hep-lat/9808010](https://arxiv.org/abs/hep-lat/9808010)

## Adams



## Neuberger

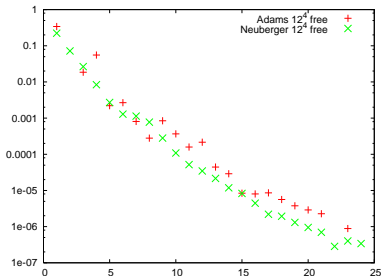


Adams comparable to Neuberger although kernel less local (4-link)

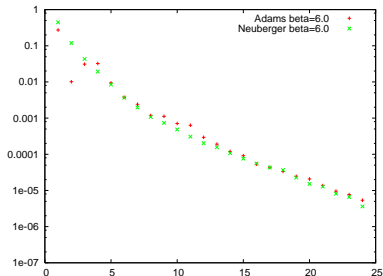
# Locality of operator?

$\text{Max}_y |M_{xy}|$  versus  $|x - y|$  (Manhattan distance) cf. [hep-lat/9808010](http://hep-lat/9808010)

Both, cold



Both,  $\beta = 6.0$



Adams comparable to Neuberger although kernel less local (4-link)

# Cost of applying operator

- Multiplication by  $D$ : about **2** times faster for Adams (no Dirac indices)
- $\text{Sign}(H)$  [using CG, no deflation]:
  - about **8** times faster for Adams on easy cases
  - about **2-3** times faster on hard cases

Can optimize  $m_0$  and  $\rho$  in Adams' operator: not exploited yet

Also:

improved kinetic operator, link smearing (kinetic and/or mass),  
deflation, preconditioning, ...

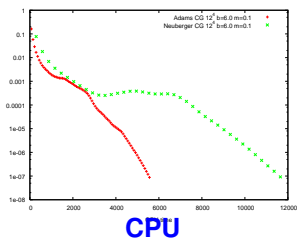
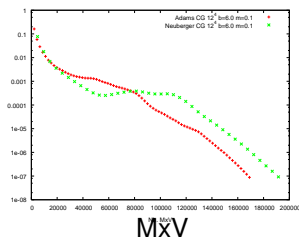
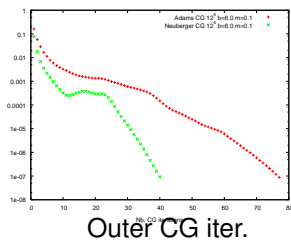
# Cost of inversion: compare with Neuberger

Apples with apples:

- same gauge field ( $12^4$ ,  $\beta = 6.0$ )
- same basic algorithm (CG inner, CG outer)

Adams versus Neuberger

•  $\beta = 6.0$

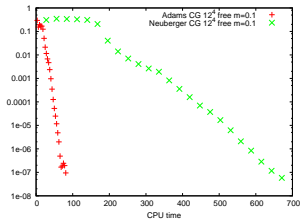
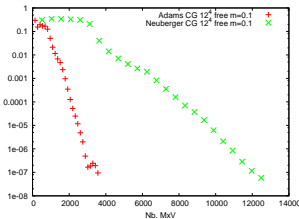
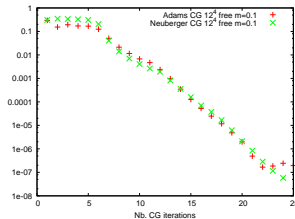
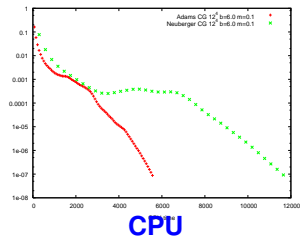
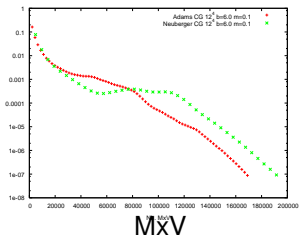
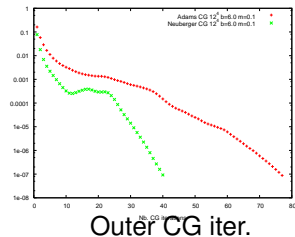


Net CPU gain: **factor 2-3** over Neuberger...

# Cost of inversion: compare with Neuberger

## Adams versus Neuberger

•  $\beta = 6.0$



• Free field: now **factor 8+** → try to keep the free spectrum



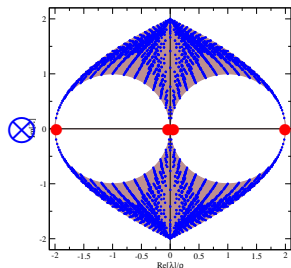
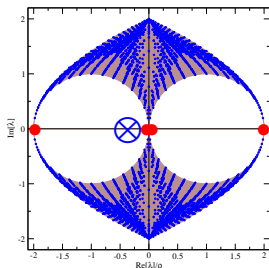
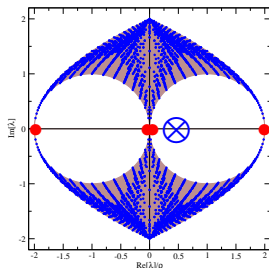
# Conclusions

- Index theorem, overlap etc.. work as advertised
- Compare taste-dependent mass terms *exhaustively* first – then try overlap
- Here: 2-link (Hoelbling) more robust than 4-link (Adams)
- **2-link  $\rightarrow N_f = 2$  without fine-tuning ?**
- Other possibilities not yet considered?  
eg. start with 8 tastes, split 1-3-3-1

## Sign problem?

Thanks Mike, Tatsu, Taro

Take  $Q = 1$  configuration  $\rightarrow$  1+2+1 real eigenvalues. Vary  $m_0$  :  $\otimes$

 $\det > 0$  $\det < 0$  $\det < 0$