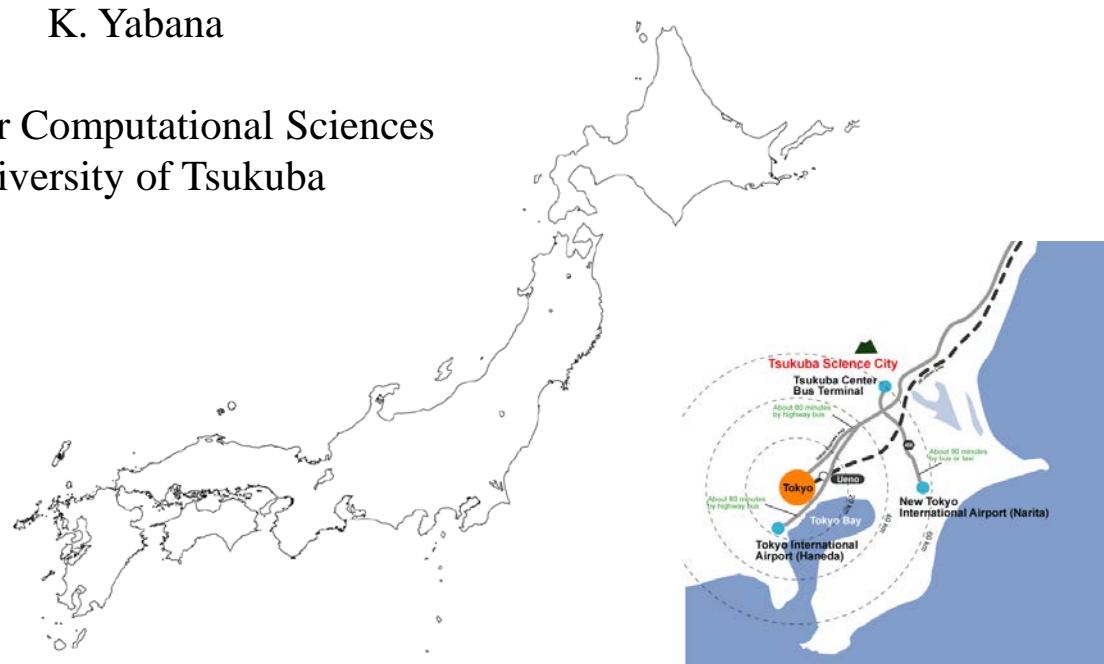


# Real-time TDDFT for molecules and solids

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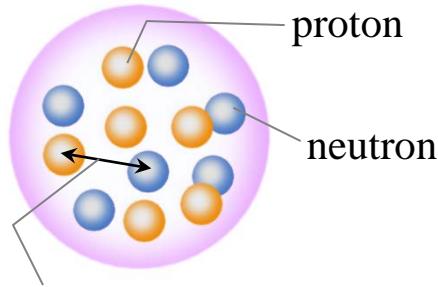
RIKEN

A. Rubio (CM)

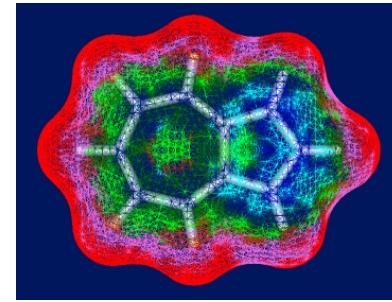
U. San Sebastian

G.F. Bertsch (NP)

U. Washington



**Nuclei**  
Composed of nucleons



**Atoms, Molecules, Solids**  
Electron many-body systems

Size	$10^{-15}\text{m}$	$10^{-10}\text{m}$
Energy	1MeV	1eV
Time	$10^{-23}\text{s}$	$10^{-17}\text{s}$
Mass	$10^9\text{eV}$	$5 \times 10^5\text{eV}$
Interaction	Nuclear force (Strong interaction)	Coulomb force
Statistics	Fermion	Fermion

# Time-Dependent Density Functional Theory

Successful for quantitative description of many-fermion dynamics

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Nuclei (nucleon dynamics)

Atoms, Molecules, Solids (electron dynamics)

---

Linear response regime

- Giant resonances  
( (Q)RPA )

- Low-lying electronic excitaiton in molecules  
- Optical response of molecules and solids

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Nonlinear regime, Initial value problem

- Heavy ion collision

- Laser science  
(Intense and ultra-short laser pulse)

# History: (TD)DFT in nuclear and electronic systems

## Nuclear Physics

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Skyrme-HF calculation

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(extend HK theorem for TD)

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Late 1990's ~ Development of  
Quantum chemistry method

# Continuum RPA

- Linearized TDDFT, spherical system, scattering boundary condition -

$$\delta\rho(\vec{r}) = \int d\vec{r}' \Pi_0(\vec{r}, \vec{r}', \omega) \left\{ \int d\vec{r}'' \frac{\delta h(\vec{r}')}{\delta \rho(\vec{r}'')} \delta\rho(\vec{r}'') + V(\vec{r}') \right\}$$

$$\Pi_0(\vec{r}, \vec{r}', \omega) = \sum_j \phi_j^* G(\hbar\omega + \varepsilon_j) \phi_j + \phi_j G(-\hbar\omega + \varepsilon_j) \phi_j^*$$

$$G(\vec{r}, \vec{r}', E) = \langle \vec{r} | \frac{1}{E - h_0} | \vec{r}' \rangle = \sum_L \frac{u_L(r_<) v_L(r_>)}{rr'} \sum_M Y_{LM}^*(\hat{r}) Y_{LM}(\hat{r}')$$

## Nuclear Dipole Giant Resonance

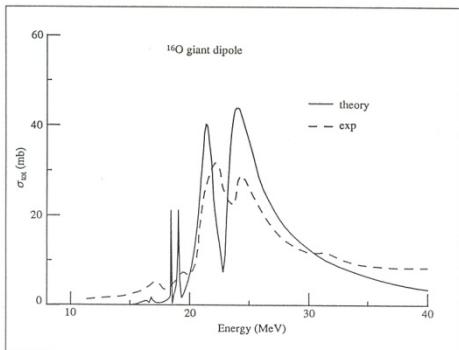
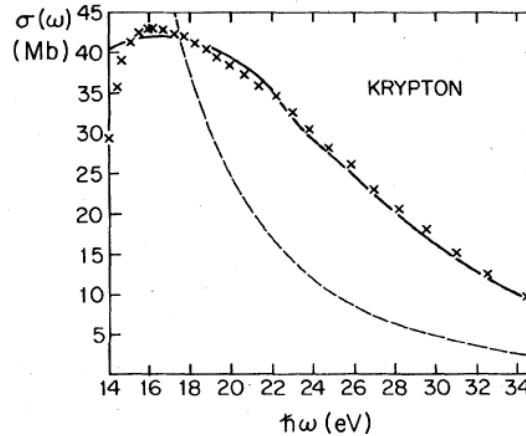


Fig. 9.1. Giant dipole resonance in  $^{16}\text{O}$ . Dashed line: experimental; solid line, continuum RPA theory (Shlomo and Bertsch (1975)).

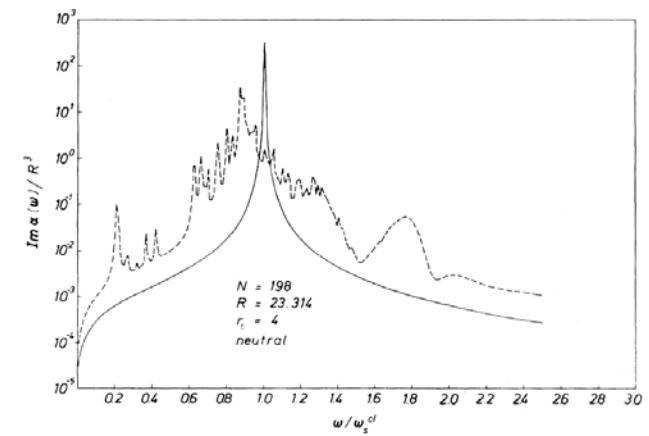
Shlomo, Bertsch 1975

## Photoabsorption of Rare gas atom



Zangwill, Soven 1980

## Giant Resonance in Metallic clusters (Mie plasmon)

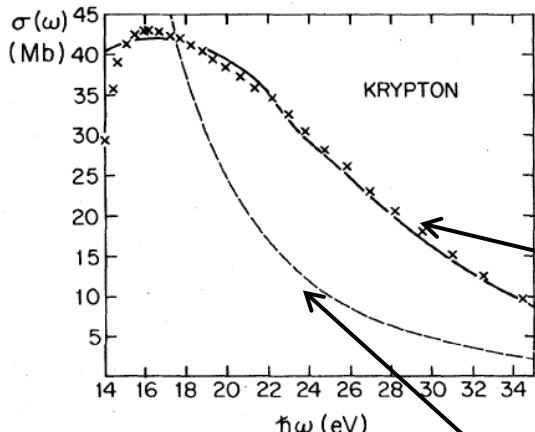


Ekardt 1984

$$\left\{ -\frac{\hbar^2}{2m} \vec{\nabla}^2 + \sum_a V_{ion}(\vec{r} - \vec{R}_a) + e^2 \int d\vec{r}' \frac{n(\vec{r}', t)}{|\vec{r} - \vec{r}'|} + \mu_{xc}(n(\vec{r}, t)) + V_{ext}(\vec{r}, t) \right\} \psi_i(\vec{r}, t) = i\hbar \frac{\partial}{\partial t} \psi_i(\vec{r}, t)$$

$$n(\vec{r}, t) = \sum_i |\psi_i(\vec{r}, t)|^2$$

Photoabsorption of  
Rare gas atom



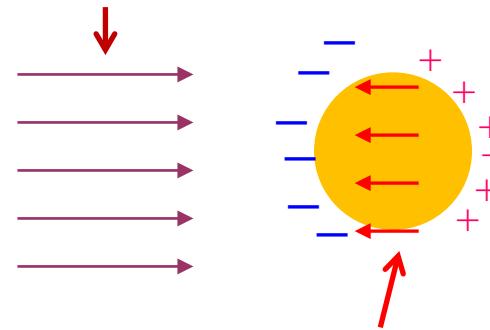
Zangwill, Soven 1980

Without residual interaction  
(without dynamical screening effect)

## Important residual interaction - Dynamical Screening Effect

$$E_{ext}(t)$$

$$V_{ext}(\vec{r}, t) = eE_{ext}(t)z$$



$$V_{ind}(\vec{r}, t) = e^2 \int d\vec{r}' \frac{\delta n(\vec{r}', t)}{|\vec{r} - \vec{r}'|} + \frac{\delta \mu_{xc}}{\delta n} \delta n(\vec{r}, t)$$

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Quantum chemistry method

## Nonlinear regime: Initial value problem

Nuclear fusion reaction of  $^{16}\text{O}-^{16}\text{O}$

Spatial grid:  $30 \times 28 \times 16$  ( $10^{-15}\text{m}$ ), Time-step  $4 \times 10^2$  ( $10^{-22}\text{s}$ )

H. Flocard, S.E. Koonin, M.S. Weiss, Phys. Rev. 17(1978)1682.

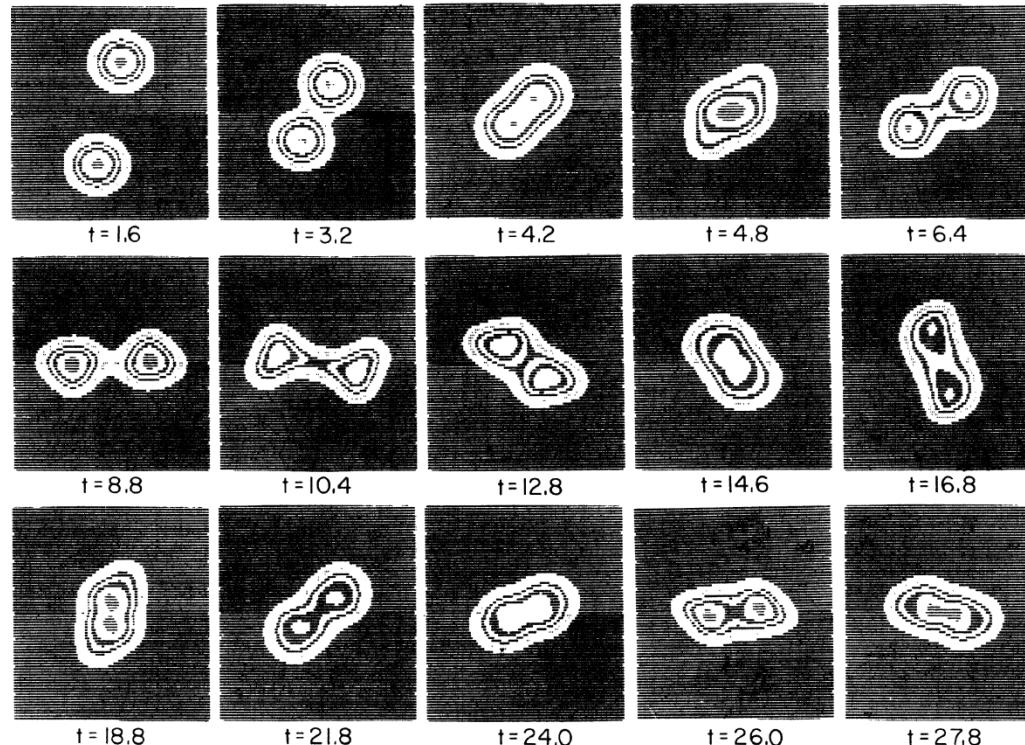


FIG. 2. Contour lines of the density integrated over the coordinate normal to the scattering plane for an  $^{16}\text{O} + ^{16}\text{O}$  collision at  $E_{\text{lab}}=105$  MeV and incident angular momentum  $L=13\hbar$ . The times  $t$  are given in units of  $10^{-22}$  sec.

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# TDDFT in Web of Science

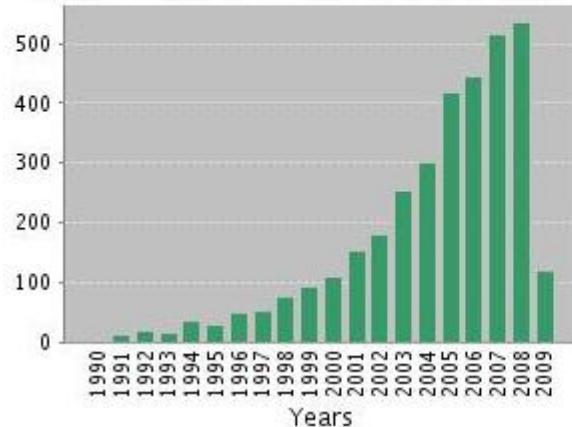
## Citation Report

Topic=(Time dependent density functional theory or time dependent local density approximation)

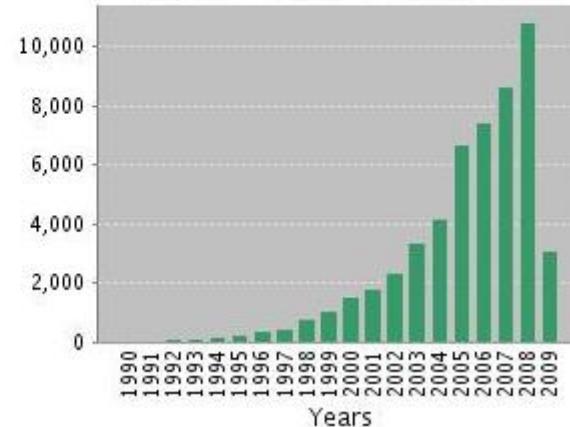
Timespan=All Years. Databases=SCI-EXPANDED, SSCI, A&HCI.

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### Published Items in Each Year



### Citations in Each Year



Use the checkboxes to remove individual items from this Citation Report  
or restrict to items processed between 1983 ▼ and 2009 ▼

	2005	2006	2007	2008	2009	Total	Average Citations per Year
	6719	7443	8659	10809	3112	53,468	1980.30

1. Title: DENSITY-FUNCTIONAL THEORY FOR TIME-DEPENDENT SYSTEMS

Author(s): RUNGE E, GROSS EKU  
Source: PHYSICAL REVIEW LETTERS Volume: 52 Issue: 12 Pages: 997-1000 Published: 1984

173	165	160	202	57	1,292	49.69
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2. Title: Treatment of electronic excitations within the adiabatic approximation of time dependent density functional theory

Author(s): Bauernschmitt R, Ahlrichs R  
Source: CHEMICAL PHYSICS LETTERS Volume: 256 Issue: 4-5 Pages: 454-464 Published: JUL 5 1996

170	179	167	193	56	1,280	91.43
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3. Title: Molecular excitation energies to high-lying bound states from time-dependent density-functional response theory: Characterization and correction of the time-dependent local density approximation ionization threshold

Author(s): Casida ME, Jamorski C, Casida KC, et al.  
Source: JOURNAL OF CHEMICAL PHYSICS Volume: 108 Issue: 11 Pages: 4439-4449 Published: MAR 15 1998

168	171	200	176	52	1,245	103.75
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4. Title: An efficient implementation of time-dependent density-functional theory for the calculation of excitation energies of large molecules

Author(s): Stratmann RE, Scuseria GE, Frisch MJ  
Source: JOURNAL OF CHEMICAL PHYSICS Volume: 109 Issue: 19 Pages: 8218-8224 Published: NOV 15 1998

146	156	163	184	64	1,135	94.58
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Quantum chemistry method

# Linear polarizability from real-time TDDFT calculation

$$\left\{ -\frac{\hbar^2}{2m} \vec{\nabla}^2 + \sum_a V_{ion}(\vec{r} - \vec{R}_a) + e^2 \int d\vec{r}' \frac{n(\vec{r}', t)}{|\vec{r} - \vec{r}'|} + \mu_{xc}(n(\vec{r}, t)) + V_{ext}(\vec{r}, t) \right\} \psi_i(\vec{r}, t) = i\hbar \frac{\partial}{\partial t} \psi_i(\vec{r}, t)$$
$$n(\vec{r}, t) = \sum_i |\psi_i(\vec{r}, t)|^2$$

## Basic idea

K. Yabana, G.F. Bertsch, Phys. Rev. B54, 4484 (1996)  
K. Yabana et.al, phys.stat.sol.(b)243, 1121 (2006)

Applied electric field:  $V_{ext}(\vec{r}, t) = eE(t)z$

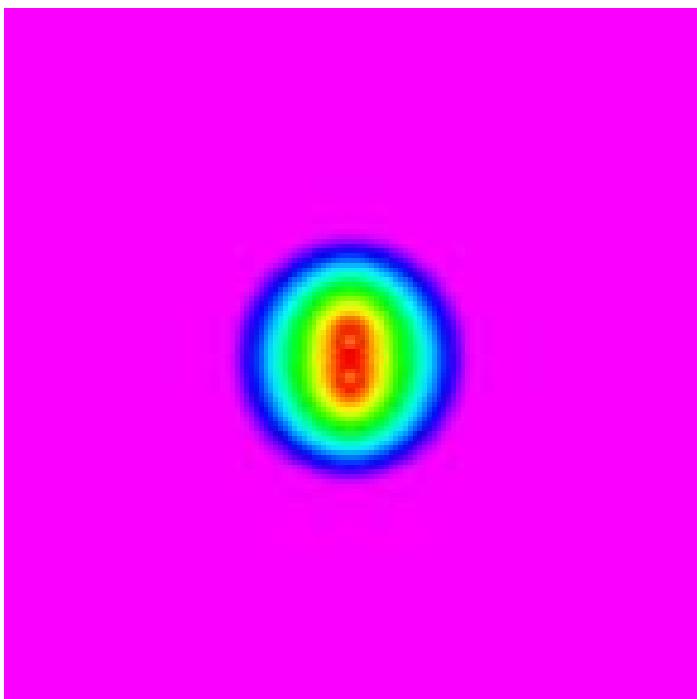
Induced polarization:  $p(t) = \int d\vec{r} z n(\vec{r}, t) = \int dt' \alpha(t - t') E(t')$

Frequency dep. polarizability:  $\alpha(\omega) = \int dt e^{i\omega t} \alpha(t) = \frac{\int dt e^{i\omega t} p(t)}{\int dt e^{i\omega t} E(t)}$

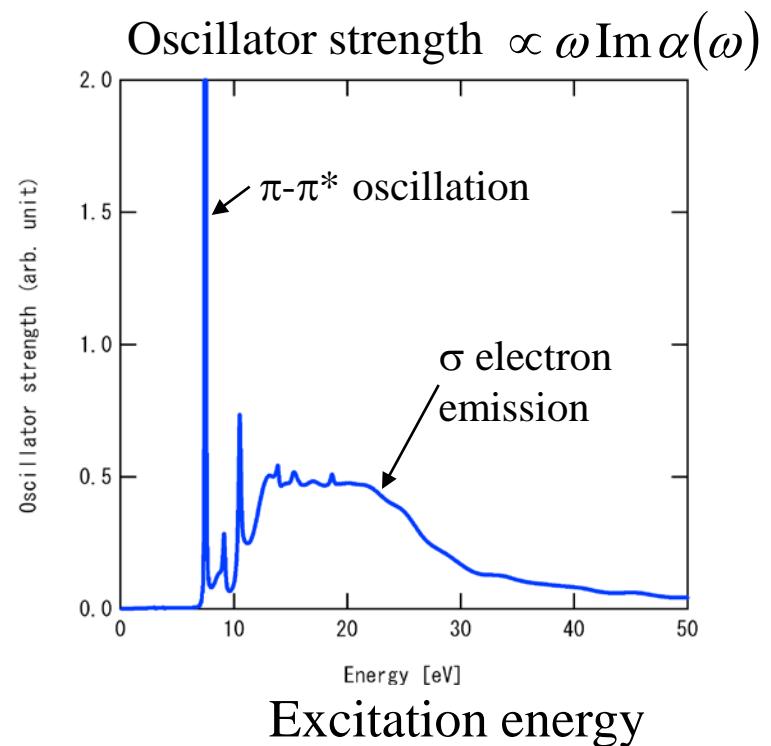
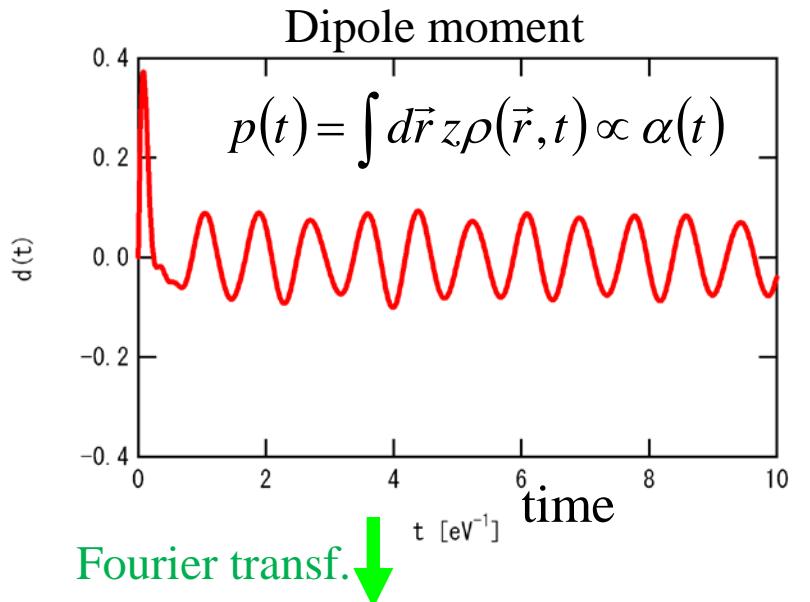
Simplest choice:  $E(t) \propto \delta(t)$  then,  $\alpha(\omega) \propto \int dt e^{i\omega t} p(t)$

Linear response in real-time:  
Hit the molecule and see response.

$$V_{ext}(\vec{r}, t) \propto \delta(t)z$$

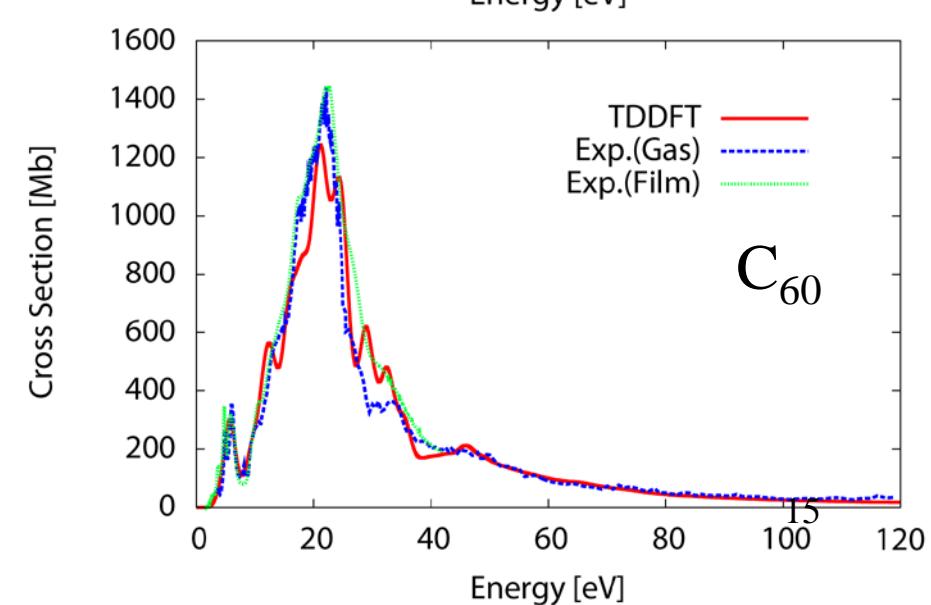
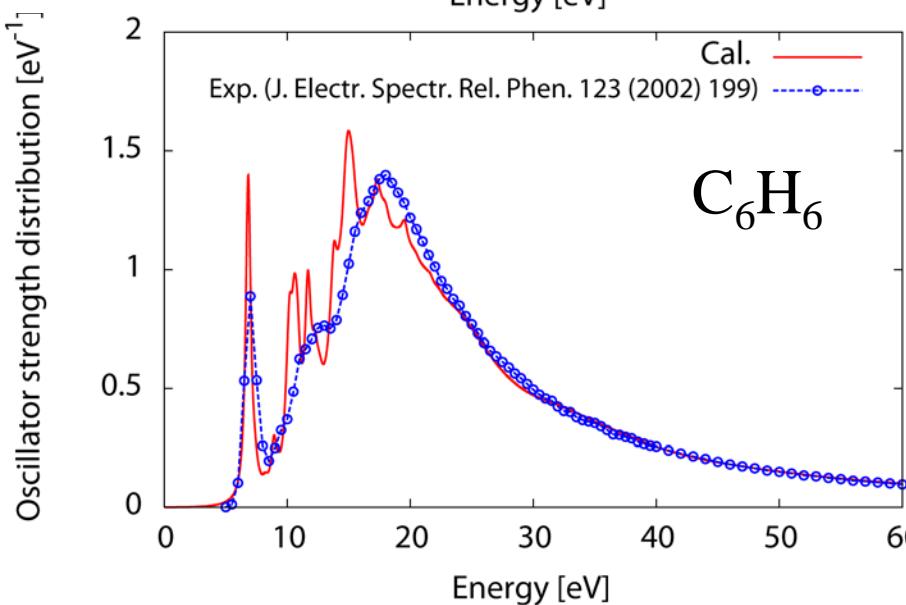
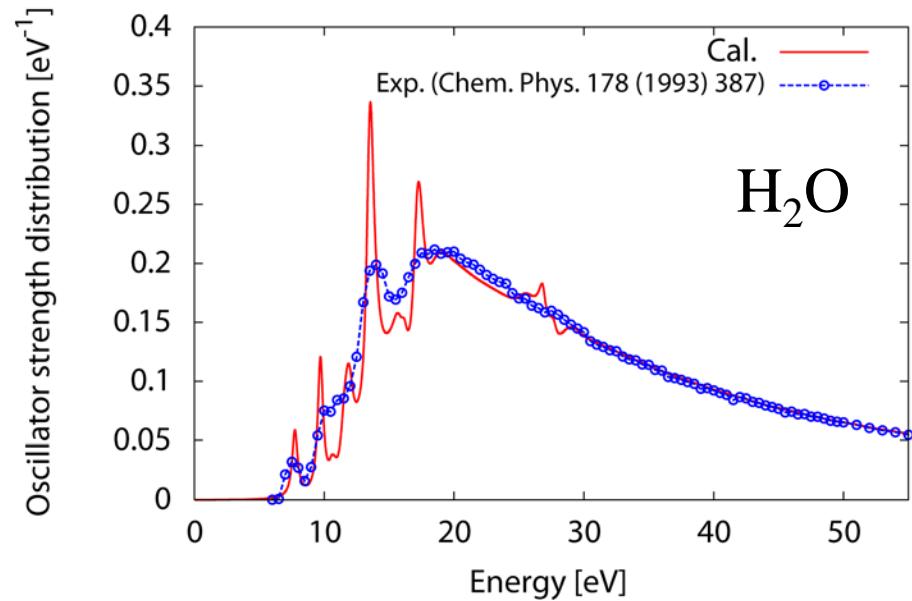
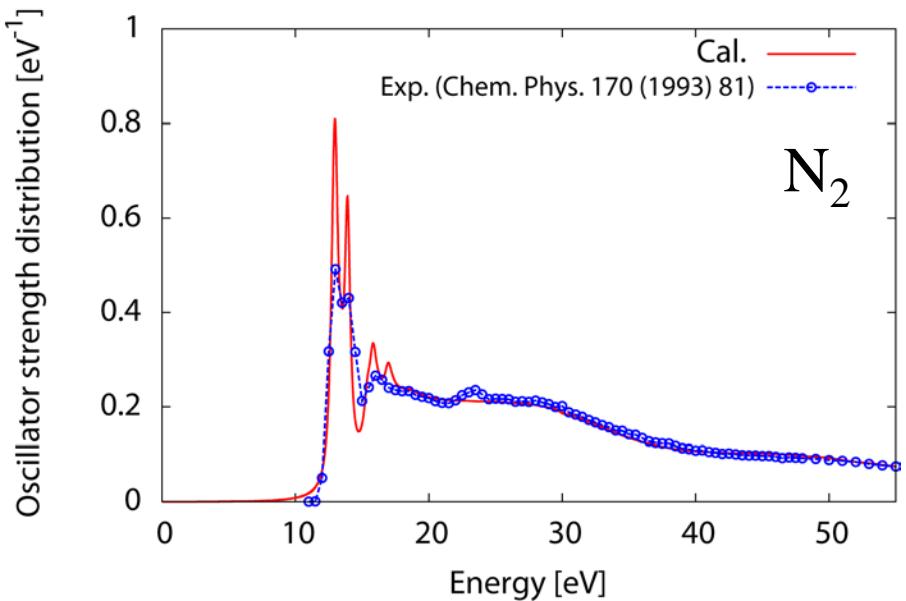


Ethylene ( $C_2H_4$ ) molecule



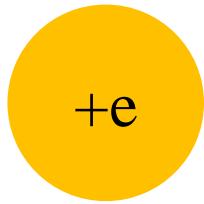
# Oscillator strength distribution from real-time TDDFT

K. Yabana, Y. Kawashita, T. Nakatsukasa, J.-I. Iwata, Charged Particle and Photon Interactions with Matter: Recent Advances, Applications, and Interfaces Chapter 4, Taylor & Francis, 2010.



$$\left\{ -\frac{\hbar^2}{2m} \vec{\nabla}^2 + \sum_a V_{ion}(\vec{r} - \vec{R}_a) + e^2 \int d\vec{r}' \frac{n(\vec{r}')}{|\vec{r} - \vec{r}'|} + \mu_{xc}(n(\vec{r})) \right\} \phi_i(\vec{r}) = \varepsilon_i \phi_i(\vec{r})$$

$\rightarrow 0 \quad (r \rightarrow \infty)$        $\rightarrow -\frac{e^2}{r} \quad (r \rightarrow \infty)$



$$\rightarrow -\frac{e^2}{r} \quad (r \rightarrow \infty)$$

LDA cannot describe correct asymptotic behavior  
(self-interaction problem)

Nonlocal Fock potential has correct form

Here we employ van Leeuwen – Baerends potential (LB94)

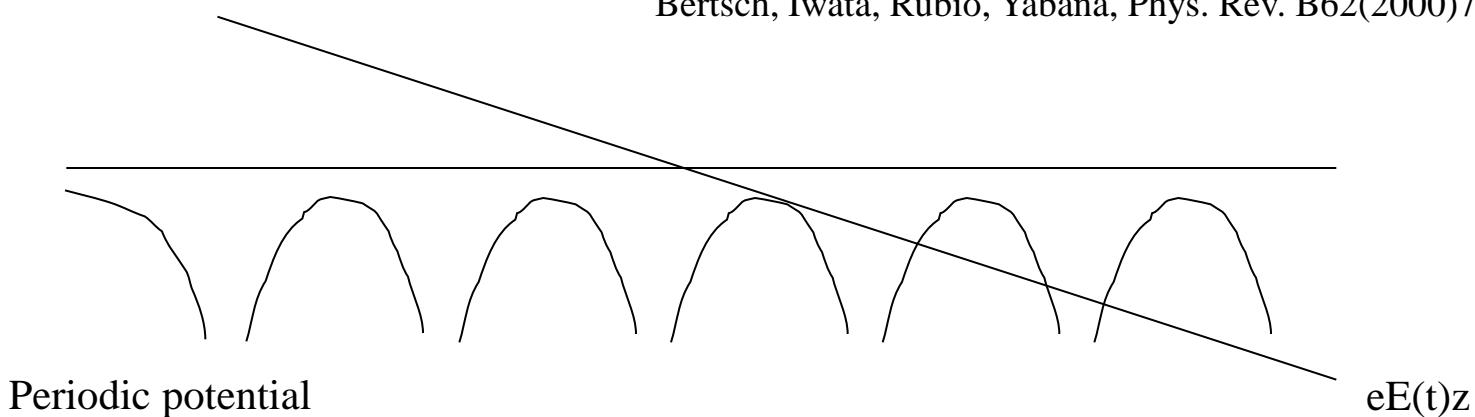
$$v_{xc}^\sigma(\vec{r}) = -\beta n_\sigma^{1/3}(\vec{r}) \frac{x_\sigma^2}{1 + 3\beta x_\sigma \sinh^{-1}(x_\sigma)} \rightarrow -\frac{1}{r} \quad (r \rightarrow \infty) \quad x_\sigma = \frac{|\nabla n_\sigma|}{n_\sigma^{4/3}}$$

Asymptotically correct behavior at large distance.  
HOMO energy = - IP

$$\ln e^{-\alpha r} \propto r$$

# Linear response in crystalline solid

Bertsch, Iwata, Rubio, Yabana, Phys. Rev. B62(2000)7998.



Periodic potential

$eE(t)z$

For periodic Hamiltonian, we may apply Bloch's theorem

$$\psi_{nk}(\vec{r} + \vec{R}) = e^{i\vec{k}\vec{R}} \psi_{nk}(\vec{r}), \quad h(\vec{r} + \vec{R}) = h(\vec{r})$$

Linear potential  $eE(t)z$  violates periodicity of the Hamiltonian.



We may recover periodicity by gauge transformation, employing vector potential

$$\vec{E} = -\vec{\nabla} \phi - \frac{\partial \vec{A}}{\partial t} \quad \phi = eE(t)z \Leftrightarrow \vec{A} = \hat{z}e \int^t dt' E(t')$$

$$i\hbar \frac{\partial}{\partial t} \psi(t) = \left[ \frac{1}{2m} \left( \vec{p} - \frac{e}{c} \vec{A}(t) \right)^2 - e\phi(\vec{r}, t) \right] \psi(t)$$

# Equation for vector potential: Dynamics of induced polarization

Uniform electric field by

- Applied laser pulse
- Induced polarization

$$\vec{A}(t) = \vec{A}_{laser}(t) + \vec{A}_{polarization}(t)$$

Equation for polarization

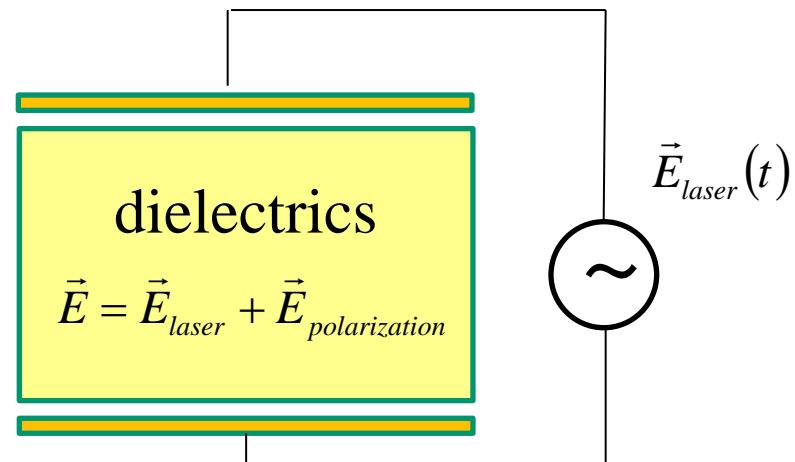
$$\frac{d^2 \vec{A}_{polarization}(t)}{dt^2} = \frac{4\pi}{V} \int_{cell} d\vec{r} \vec{j}(\vec{r}, t)$$

$$\vec{A}(t) \quad \downarrow \quad \uparrow \quad \vec{j}(\vec{r}, t)$$

TDKS equation

$$i\hbar \frac{\partial}{\partial t} \psi_i = \frac{1}{2m} \left( -i\hbar \vec{\nabla} + \frac{e}{c} \vec{A} \right)^2 \psi_i - e\phi \psi_i + \frac{\delta E_{xc}}{\delta n} \psi_i$$

$$n = \sum_i |\psi_i|^2 \quad \vec{j} = \frac{1}{2m} \sum_i \left( \psi_i^* \left( \vec{p} + \frac{e}{c} \vec{A} \right) \psi_i - c.c. \right)$$

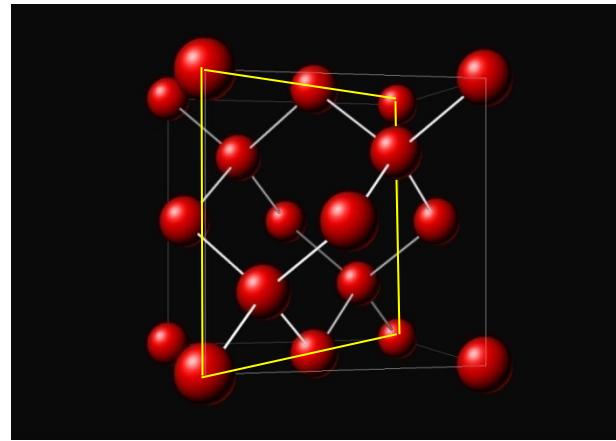
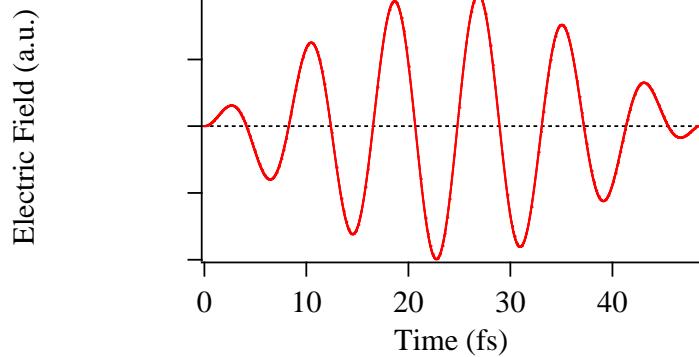


$$\vec{E}(t) = -\frac{\partial \vec{A}(t)}{\partial t}$$

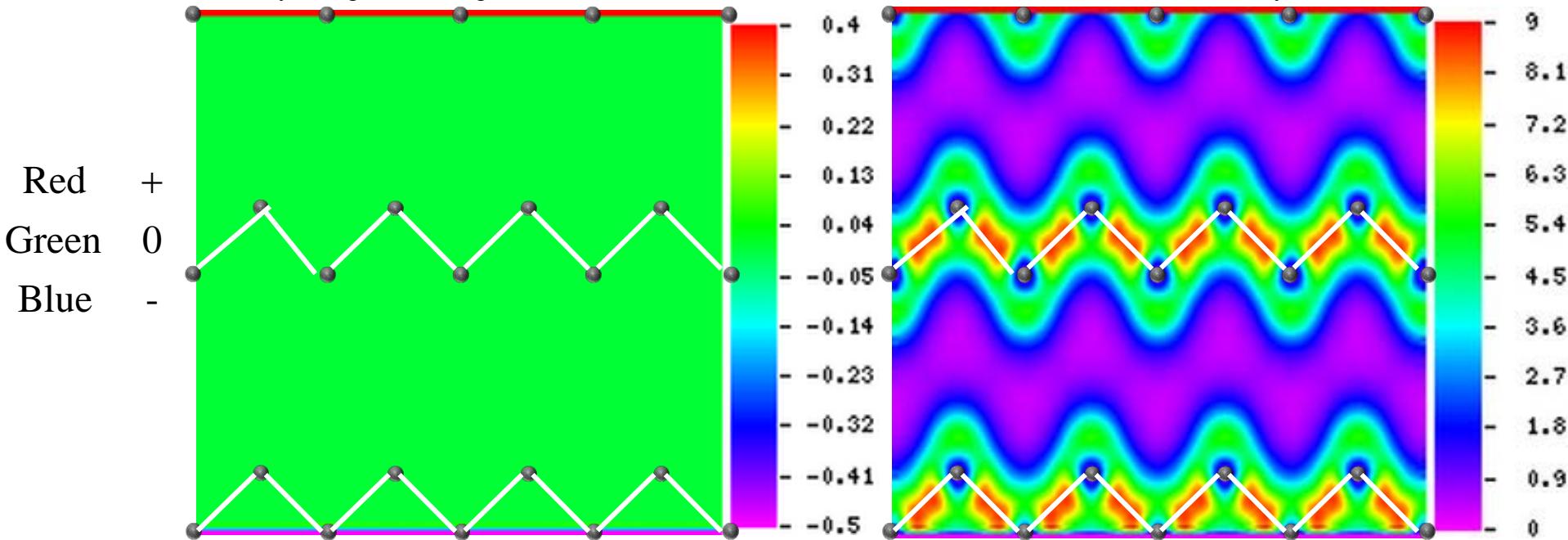
$$\vec{A}(t) = -c \int^t \vec{E}(t) dt$$

# Electron dynamics in bulk silicon under intense laser pulse

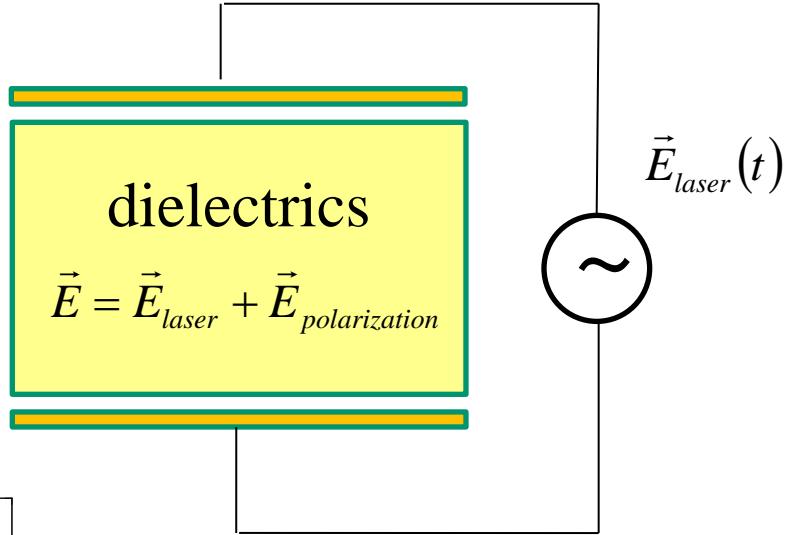
$I = 3.5 \times 10^{14} \text{ W/cm}^2$ ,  $T=50 \text{ fs}$ ,  $\hbar\omega=0.5 \text{ eV}$



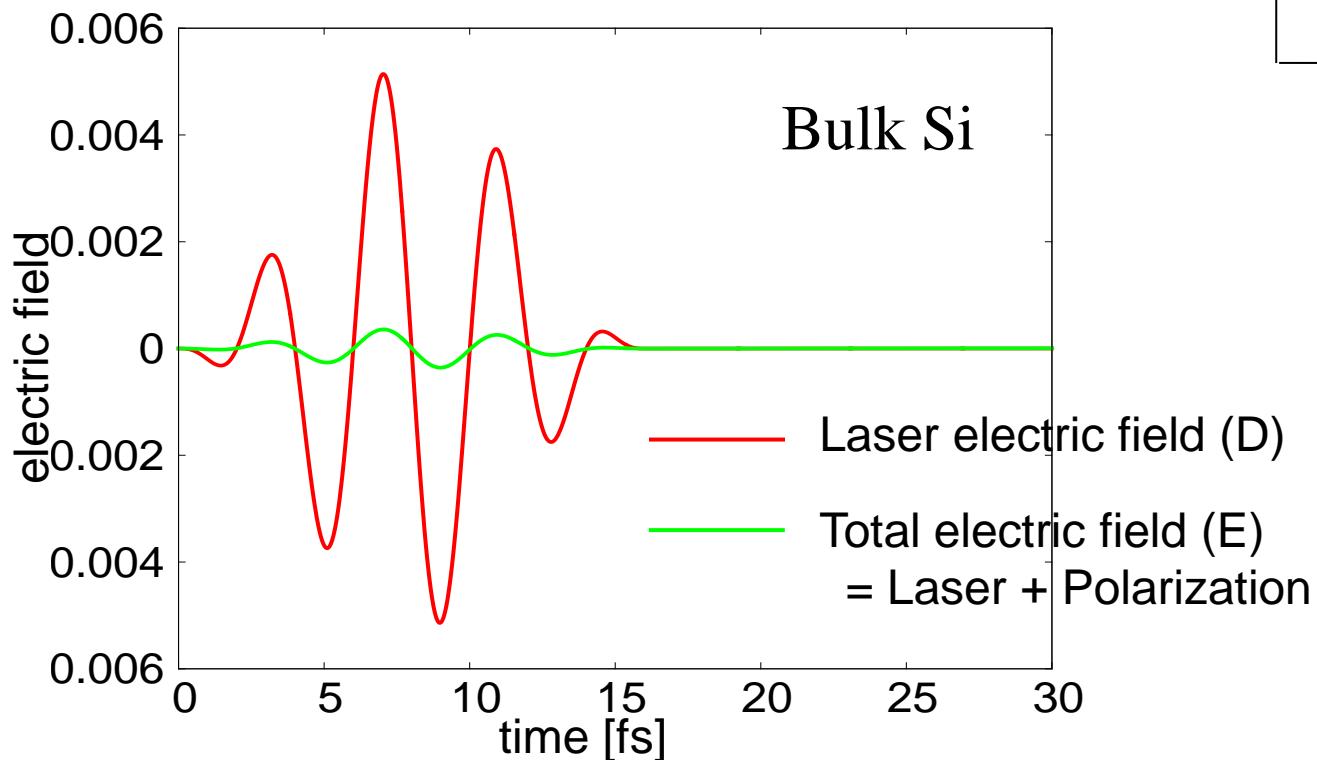
Density change from the ground state (110)



## $E_{\text{total}}$ vs $E_{\text{laser}}$ : Dielectric screening



Calculations:  $I=10^{12}\text{W/cm}^2$ ,  $\hbar\omega=1.03\text{eV}$



$$D = \epsilon(\omega)E \quad \epsilon \approx 14 \quad (\text{TDDFT})$$

# Response to weak-field: dielectric function within TDDFT

Bertsch, Iwata, Rubio, Yabana, Phys. Rev. B62(2000)7998.

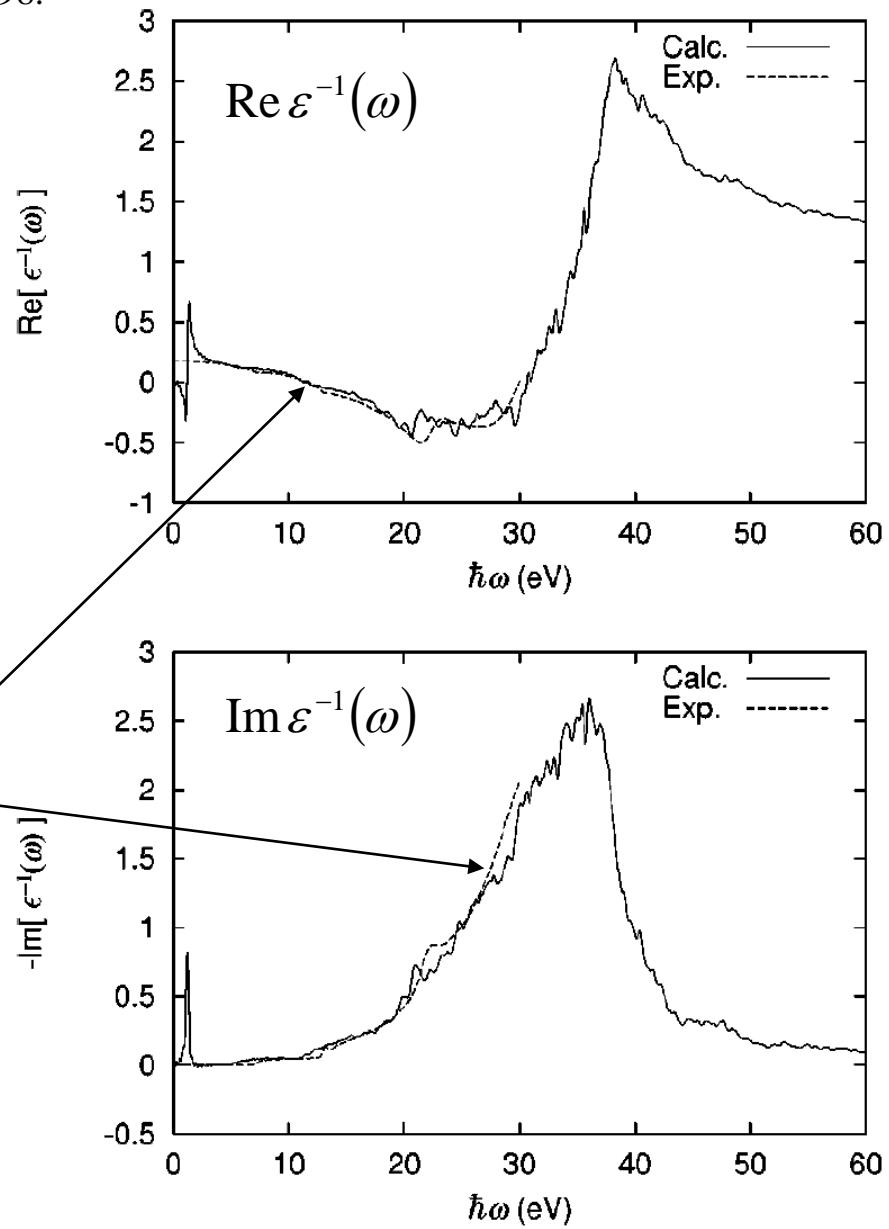
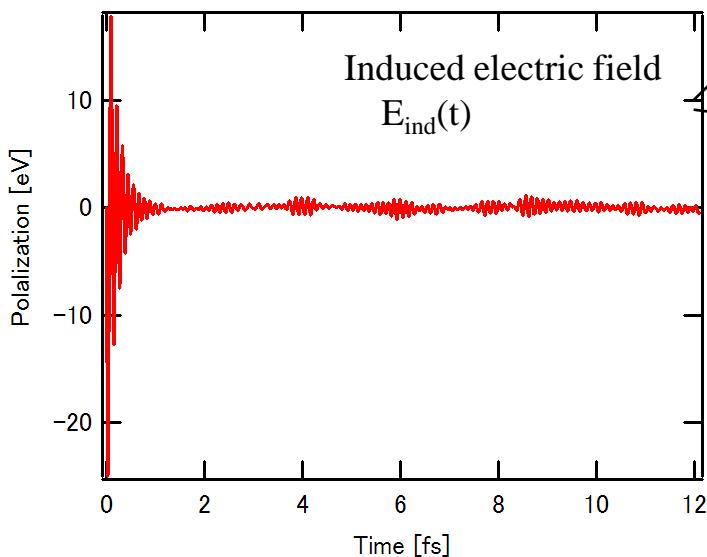
$$\frac{1}{\epsilon(\omega)} = \frac{\int dt e^{i\omega t} \frac{dA_{\text{tot}}(t)}{dt}}{\int dt e^{i\omega t} \frac{dA_{\text{ext}}(t)}{dt}}$$

Response to impulsive field

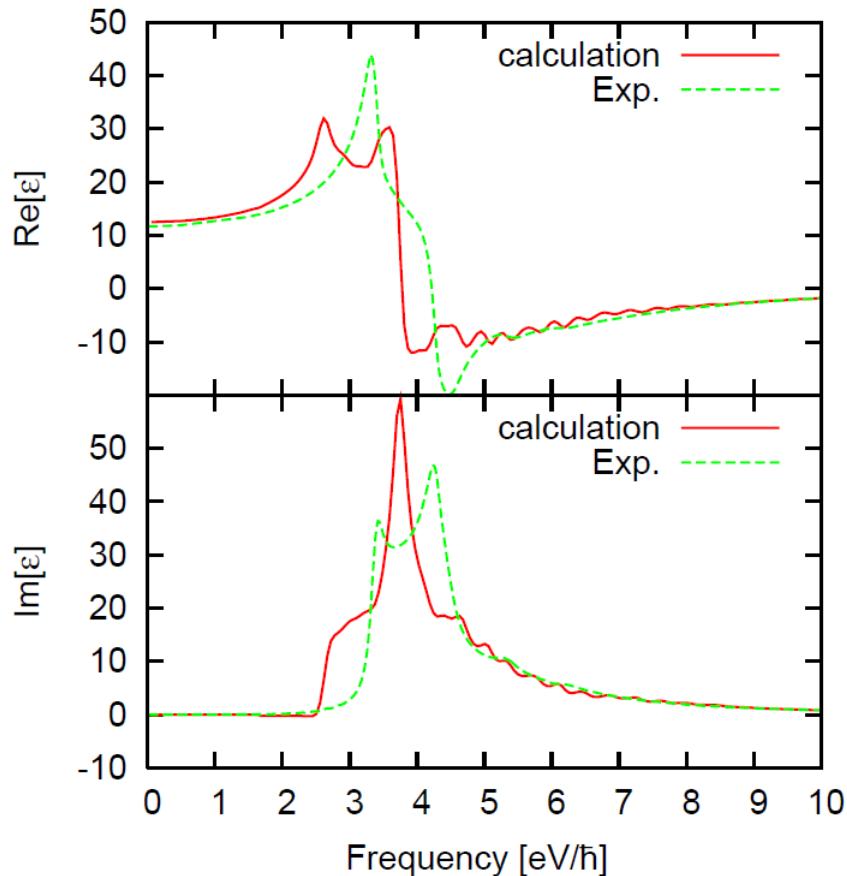
$$A_{\text{ext}}(t) = A_0 \theta(t)$$

$$E_{\text{ext}}(t) \propto \delta(t)$$

Example: diamond



## Dielectric function of Si in TDDFT (Adiabatic LDA)



Quantitatively not sufficient  
- Too small direct bandgap  
- Lack of excitonic structure

Dots: experiment  
 Dash-dotted: RPA  
 Solid: Bethe-Salpeter  
 (electron-hole interaction considered)

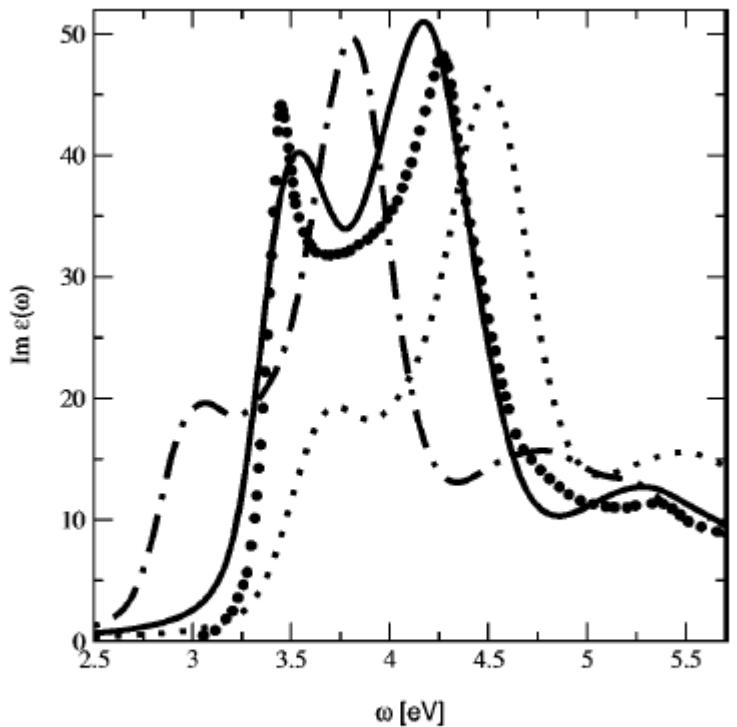
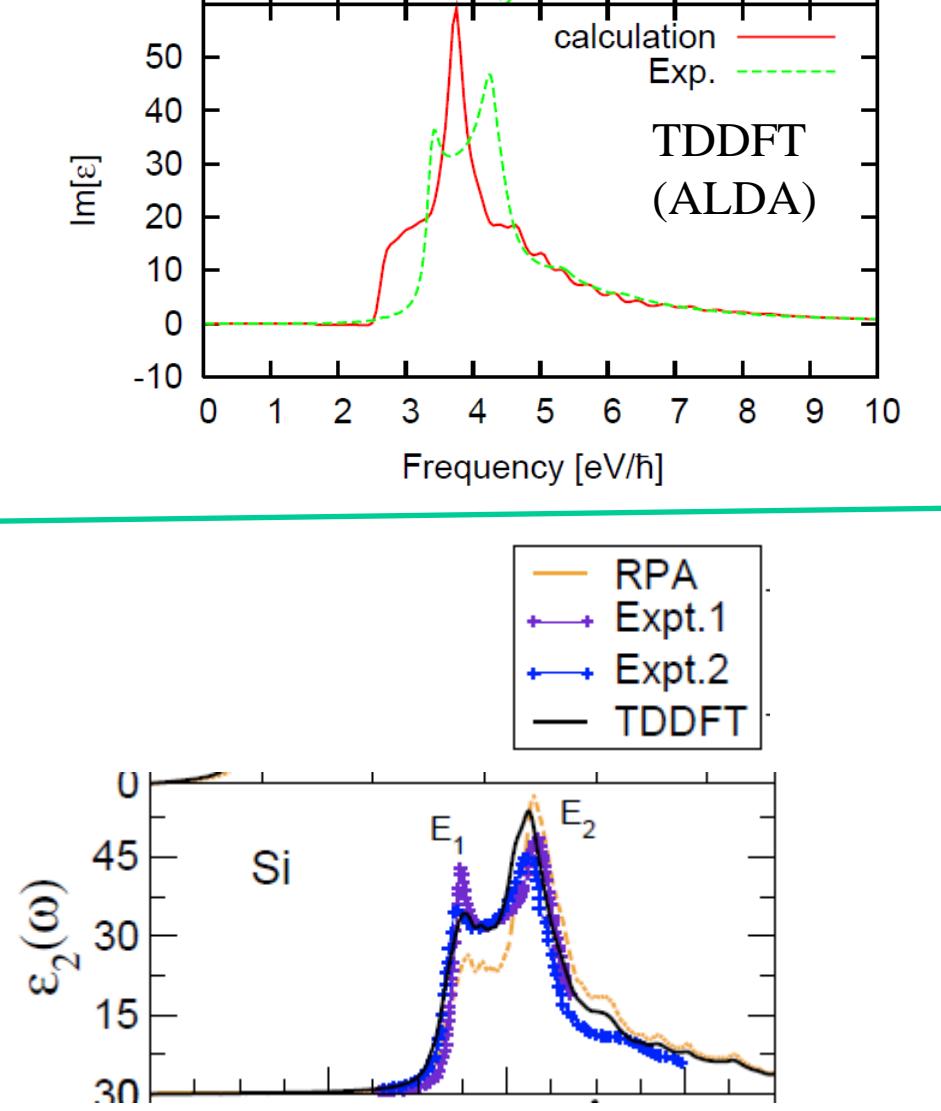


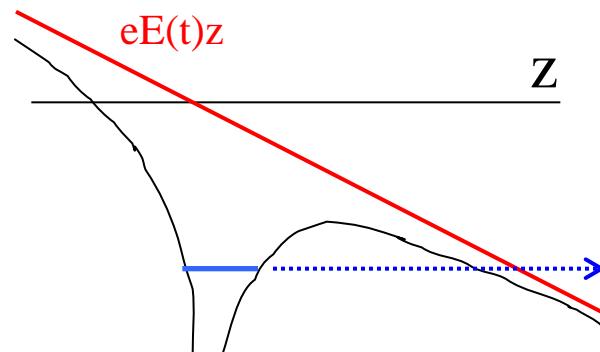
FIG. 5. Silicon absorption spectrum [ $\text{Im}(\epsilon_M)$ ]: ●, experiment (Lautenschlager *et al.*, 1987); dash-dotted curve, RPA, including local field effects; dotted curve, *GW*-RPA; solid curve, Bethe-Salpeter equation.

G. Onida, L. Reining, A. Rubio,  
 Rev. Mod. Phys. 74(2002)601.

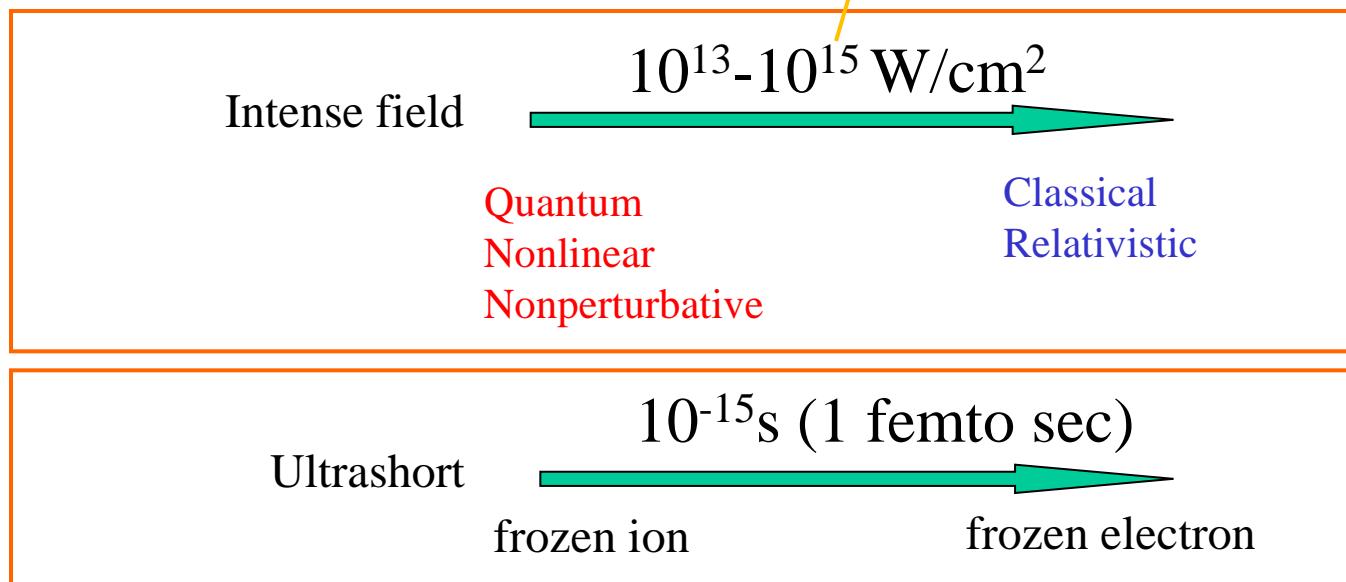


arXiv:1107.0199 (July 1, 2011)  
 S. Sharma, J.K. Dewhurst, A. Sanna, E.K.U. Gross,  
 Bootstrap approx. for the exchange-correlation kernel  
 of time-dependent density functional theory

# TDDFT in nonlinear regime: Intense and Ultrashort Laser Pulse



Electric field of laser pulse  
≈ Electric field inside materials

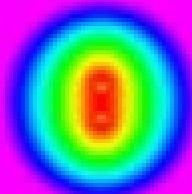


Intense laser pulse on atoms and molecules induces nonlinear electron dynamics

## Rescattering phenomena

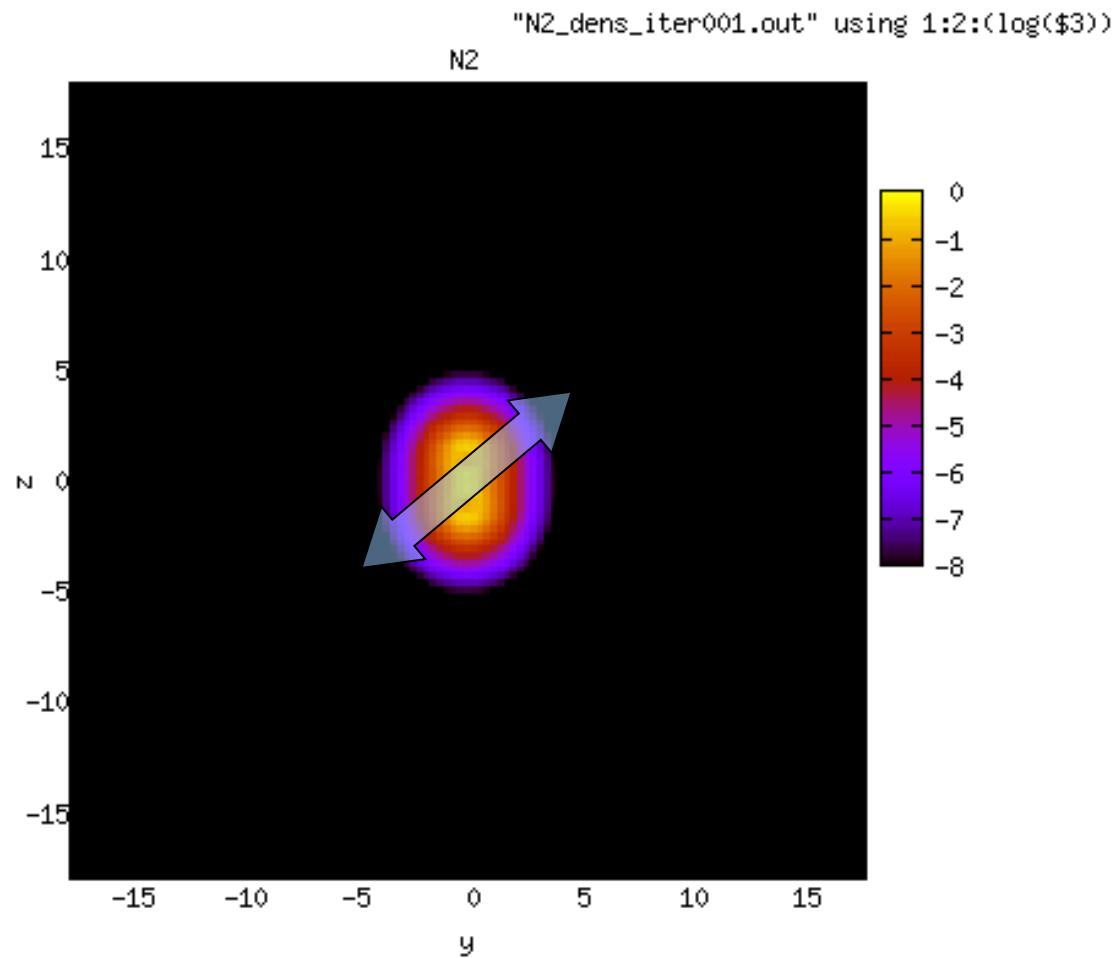
- Ultrashort X-ray
- Atto-second science
- Molecular orbital tomography
- ...

Ethylene ( $C_2H_4$ ) molecule



# Coulomb explosion: N<sub>2</sub> molecule under intense laser pulse

I=3.35x10<sup>15</sup>W/cm<sup>2</sup>, 27fs



As the laser intensity increases,

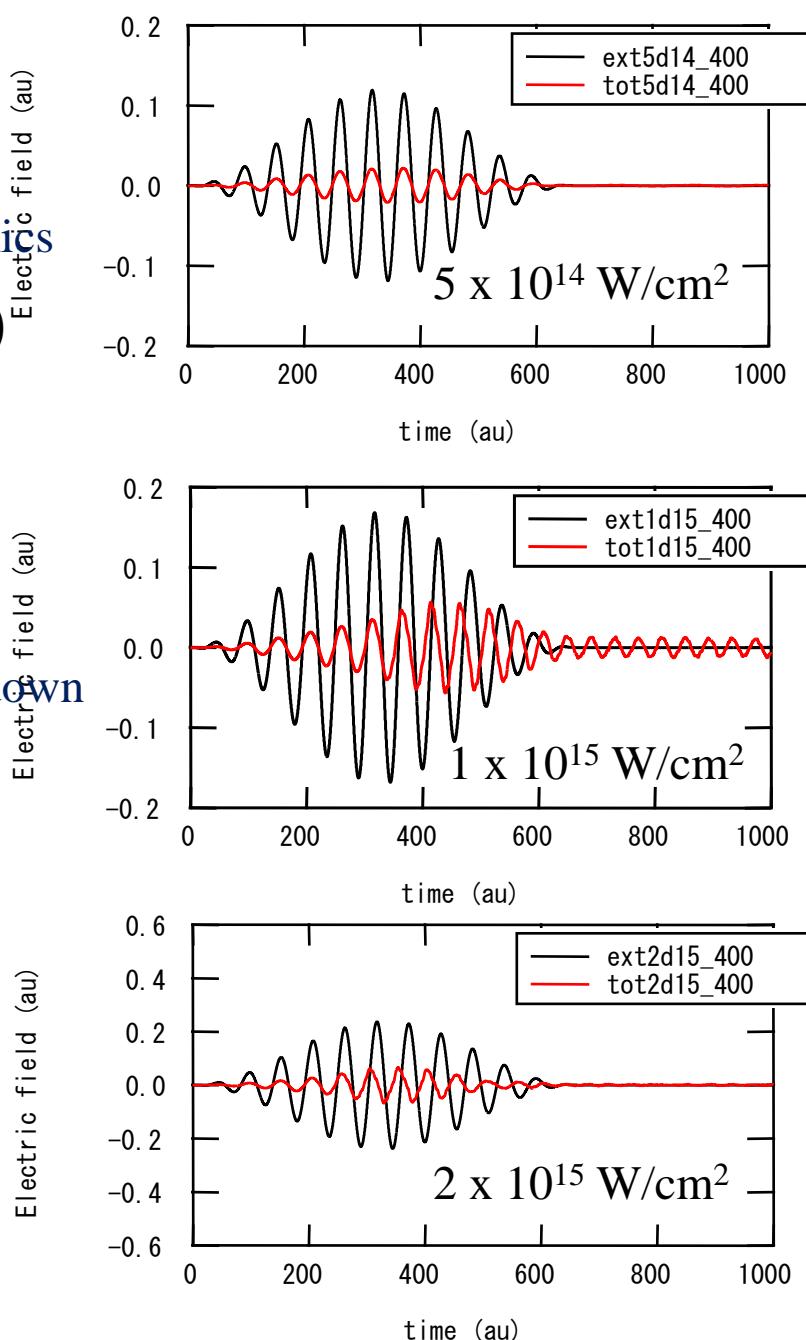
$E_{ext}(t)$  vs  $E_{tot}(t)$

Weak field:  
Dielectric dynamics

$$E_{tot}(t) \propto \frac{1}{\epsilon(\omega)} E_{ext}(t)$$

Diamond  
frequency: 3.1eV  
pulse length: 16fs

T. Otobe, M. Yamagiwa, J.-I. Iwata, K.Y. T. Nakatsukasa,  
G.F. Bertsch, Phys. Rev. B77, 165104 (2008)



# Behavior around breakdown ( $1 \times 10^{15} \text{ W/cm}^2$ , 3.1eV, 40fs)

Initial stage < 15fs, dielectric screening

$$\epsilon(0) \approx 5.7$$

Substantial excitation, 15-20fs

- phase difference between  $E_{\text{ext}}(t)$  and  $E_{\text{tot}}(t)$
  - rapid increase of excited electron number and energy transfer
- $\Rightarrow$  Dielectric breakdown

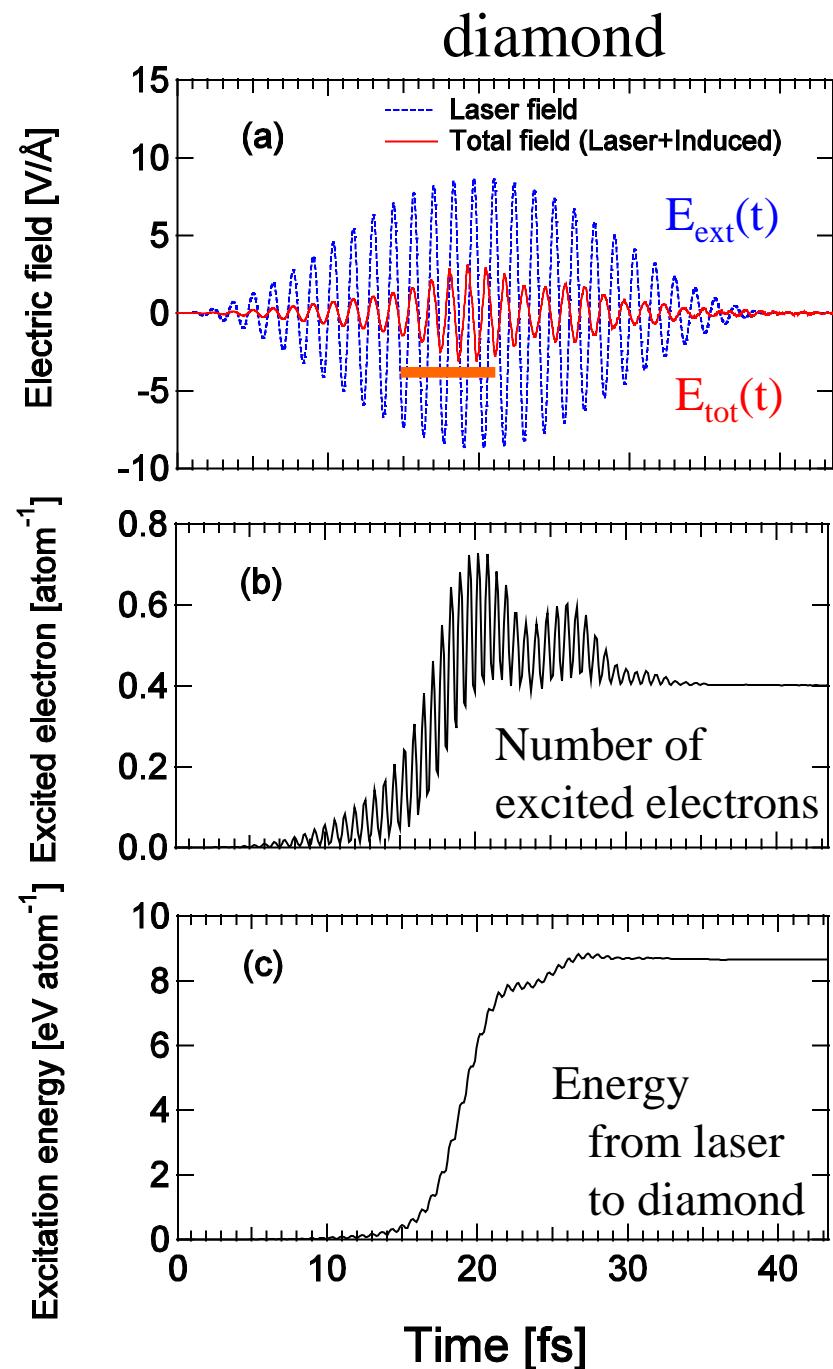
Metallic response, > 25 fs

- no further increase of excited electron number and energy transfer

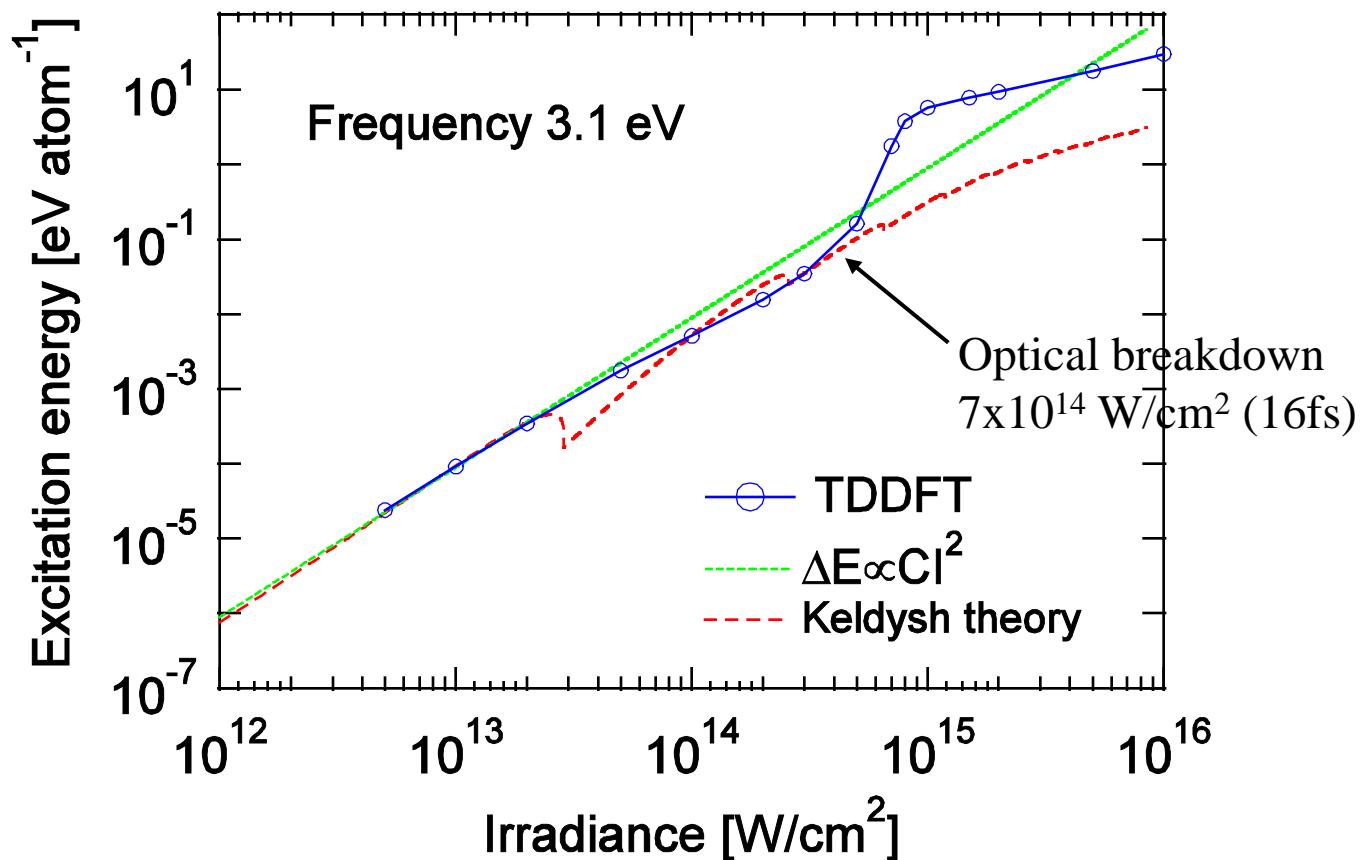
Note: plasma frequency for 0.4/atom

$$\omega_p = \left( \frac{4\pi n_{ex}}{m\epsilon(0)} \right) \approx 4 \text{ eV}$$

close to frequency of laser pulse, 3.1eV



## Energy transfer from laser pulse to diamond



Two photon curve (green)  
Analytic theory by Keldysh (1965) (red)

# Interaction of Intense and ultrashort laser pulse with solids

We know the basic equation, but...

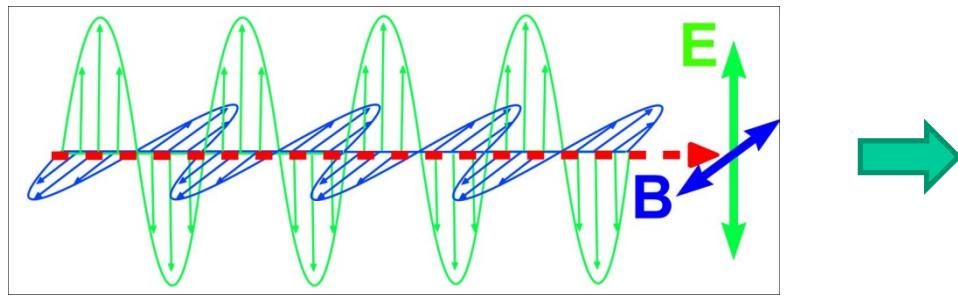
$$i\hbar \frac{\partial}{\partial t} \psi_i = \frac{1}{2m} \left( -i\hbar \vec{\nabla} + \frac{e}{c} \vec{A} \right)^2 \psi_i - e\phi\psi_i + \frac{\delta E_{xc}}{\delta n} \psi_i \quad n = \sum_i |\psi_i|^2$$

$$\frac{1}{c^2} \frac{\partial^2 \vec{A}}{\partial t^2} - \vec{\nabla}^2 \vec{A} = \frac{4\pi}{c} \vec{j} \quad \vec{\nabla}^2 \phi = -4\pi \{en_{ion} - en_e\}$$

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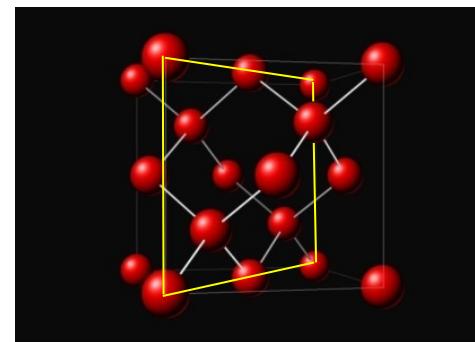
Light propagation in matter  
described by Maxwell equation

$$E(\vec{r}, t), \quad B(\vec{r}, t)$$



Electron dynamics  
described by time-dep. Kohn-Sham eq.

$$\psi_i(\vec{r}, t)$$



For weak electromagnetic wave, we may apply perturbation theory for electron dynamics to obtain dielectric function  $\epsilon(\omega)$ .

Then, Schrödinger and Maxwell equations decouple.

# Interaction of Intense and ultrashort laser pulse with solids

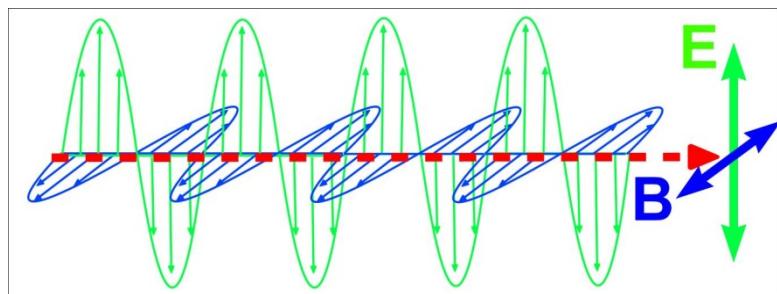
We know the basic equation, but...

$$i\hbar \frac{\partial}{\partial t} \psi_i = \frac{1}{2m} \left( -i\hbar \vec{\nabla} + \frac{e}{c} \vec{A} \right)^2 \psi_i - e\phi\psi_i + \frac{\delta E_{xc}}{\delta n} \psi_i \quad n = \sum_i |\psi_i|^2$$

$$\frac{1}{c^2} \frac{\partial^2 \vec{A}}{\partial t^2} - \vec{\nabla}^2 \vec{A} = \frac{4\pi}{c} \vec{j} \quad \vec{\nabla}^2 \phi = -4\pi \{en_{ion} - en_e\}$$

Light propagation in matter  
described by Maxwell equation

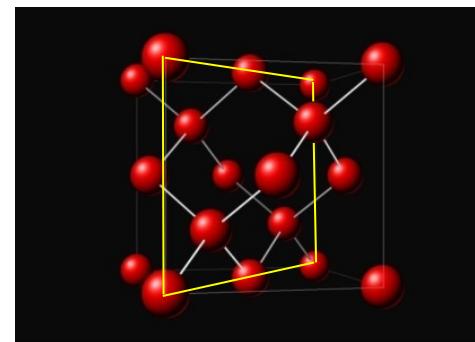
$$E(\vec{r}, t), \quad B(\vec{r}, t)$$



wave length [ $\mu\text{m}$ ]

Electron dynamics  
described by time-dep. Kohn-Sham eq.

$$\psi_i(\vec{r}, t)$$



Electron dynamics [ $\text{nm}$ ]

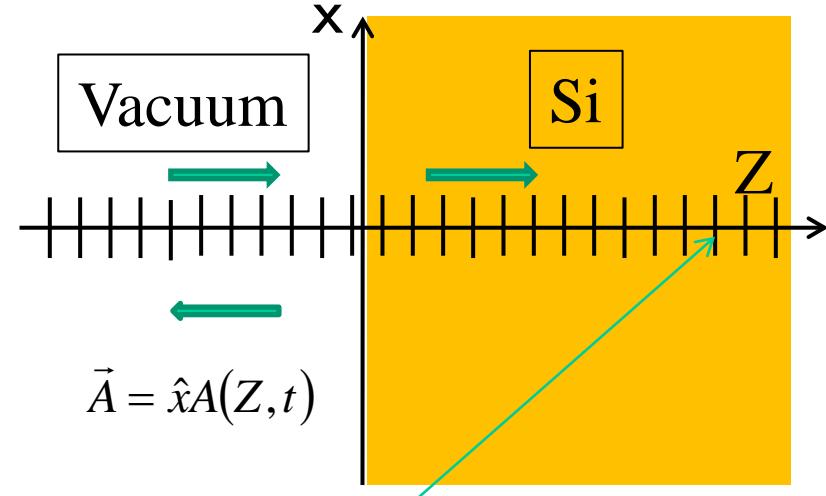
For intense electromagnetic field,  $D \neq \epsilon(\omega)E$ .

We must solve “coupled Maxwell + Schrödinger eq”.

We also note that there are two different spatial scales, “multi-scale problem”

# Coupled Maxwell + TDDFT multi-scale simulation

- 1D propagation of laser pulse incident normally on Si surface -



Macroscopic vector potential  
(discretized in  $\mu\text{m}$  scale)

$$\frac{1}{c^2} \frac{\partial^2}{\partial t^2} A(Z, t) - \frac{\partial^2}{\partial Z^2} A(Z, t) = \frac{4\pi}{c} J(Z, t)$$

Coupled dynamics through  
macroscopic vector potential  
and macroscopic current

$$J(Z, t) = \int_{\Omega} d\vec{r} \vec{j}_{e,Z}$$

$$\vec{j}_{e,Z} = \frac{\hbar}{2mi} \sum_i (\psi_{i,Z}^* \vec{\nabla} \psi_{i,Z} - \psi_{i,Z} \vec{\nabla} \psi_{i,Z}^*) - \frac{e}{4\pi c} n_{e,Z} \vec{A}$$

$$\begin{array}{c} J(Z, t) \\ \uparrow \\ A(Z, t) \end{array}$$

At each macroscopic point, we assume  
dipole (uniform electric field) approximation.

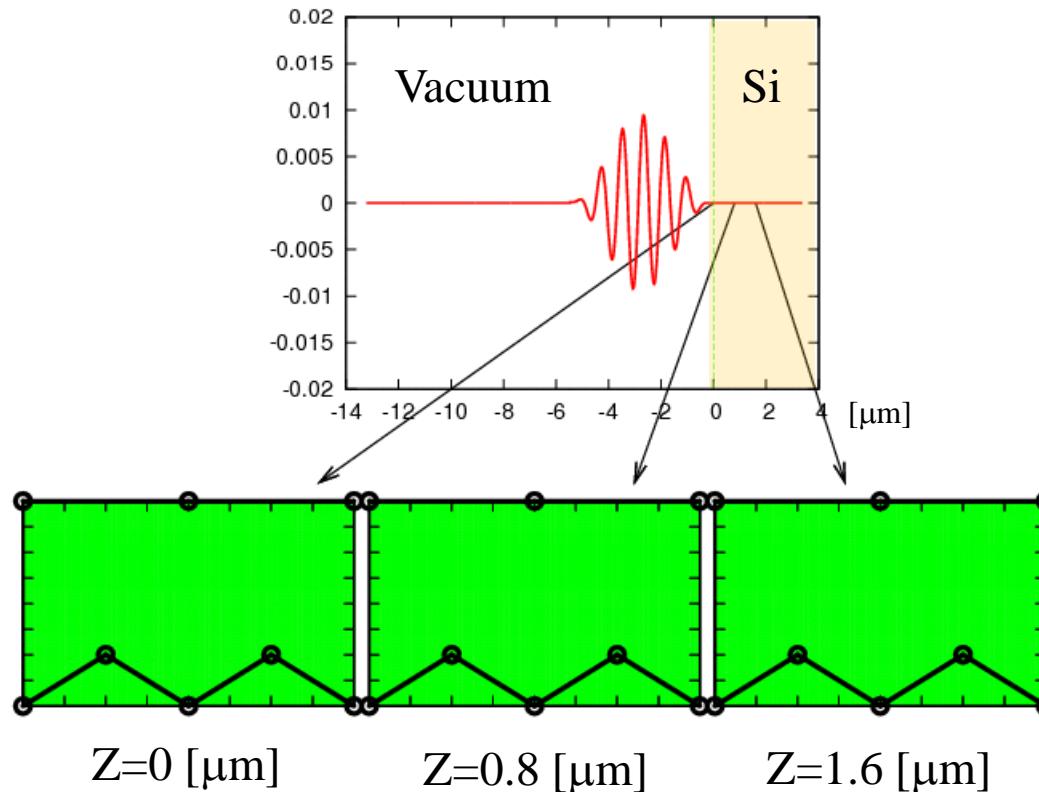
$$i\hbar \frac{\partial}{\partial t} \psi_{i,Z} = \frac{1}{2m} \left( -i\hbar \vec{\nabla} + \frac{e}{c} \vec{A} \right)^2 \psi_{i,Z} - e\phi_Z \psi_{i,Z} + \frac{\delta E_{xc}}{\delta n} \psi_{i,Z}$$

$$\vec{\nabla}^2 \phi_Z = -4\pi \{ e n_{ion} - e n_{e,Z} \}$$

# Laser pulse on Si : Maxwell-TDDFT multi-scale calculation

Weak pulse, linear response regime

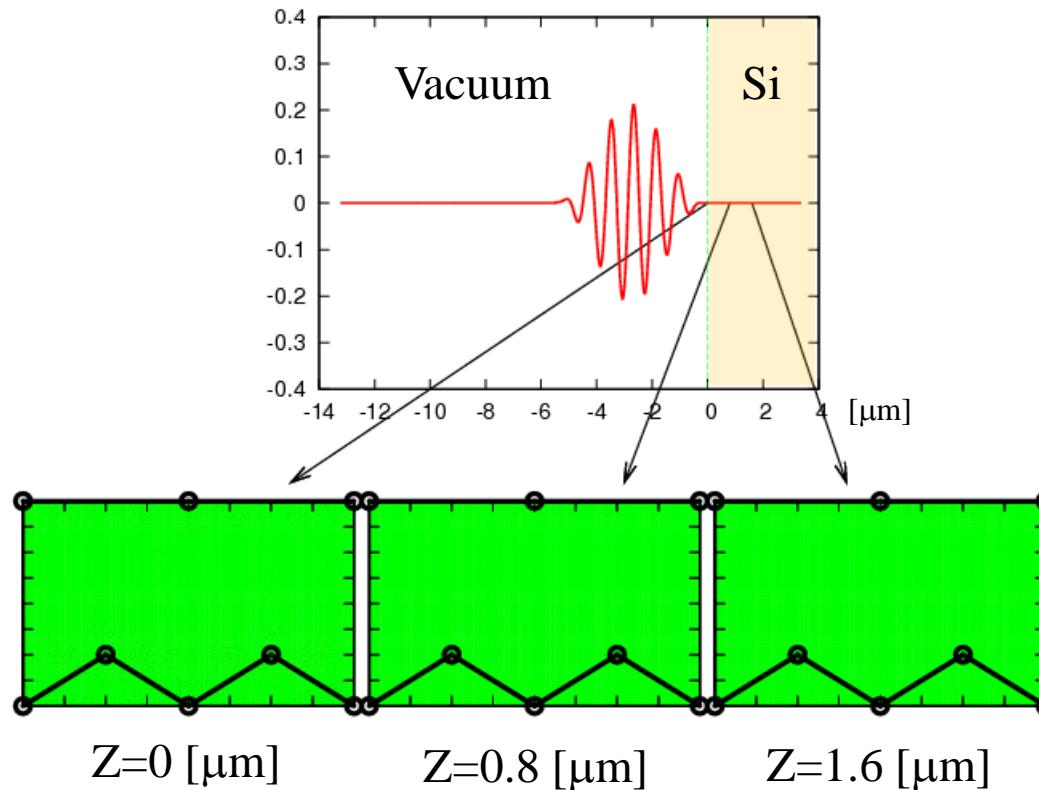
$$I=10^{10} \text{W/cm}^2$$



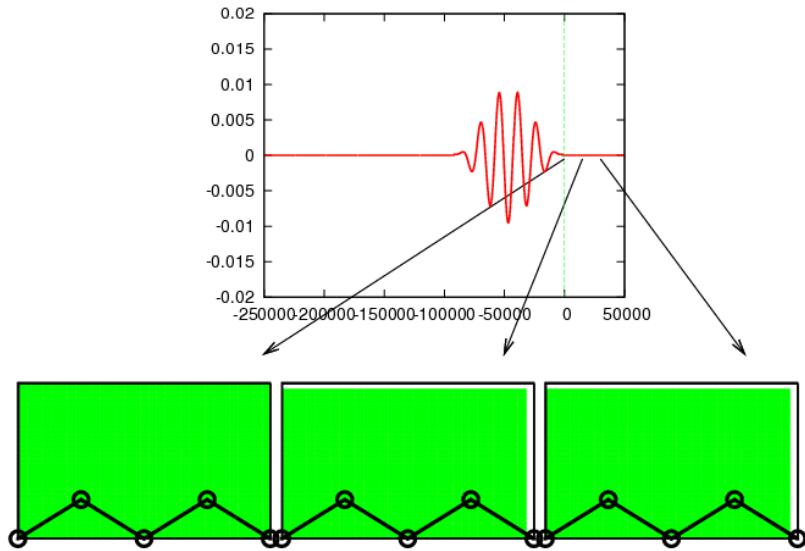
# Laser pulse on Si : Maxwell-TDDFT multi-scale calculation

Intense pulse, nonlinear regime

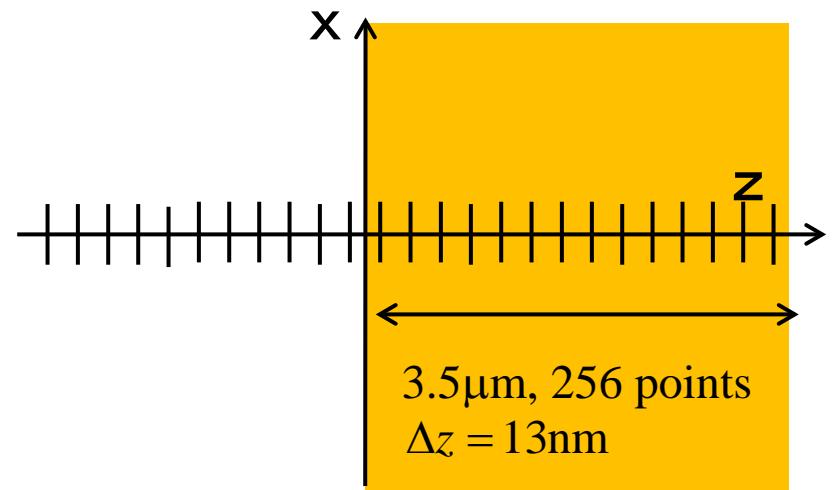
$$I = 5 \times 10^{12} \text{ W/cm}^2$$



# Computational aspects



Microscopic (TDKS)  
spatial grid:  $16^3$   
k-points :  $8^3$  (reduced by symmetry)



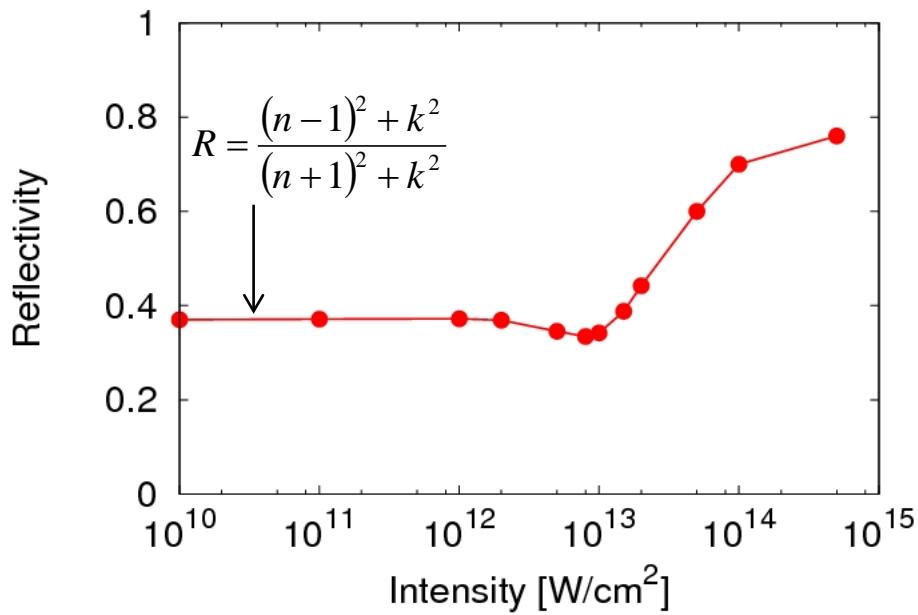
Macroscopic (Maxwell)  
spatial grid: 256

Time step (commom) = 16,000

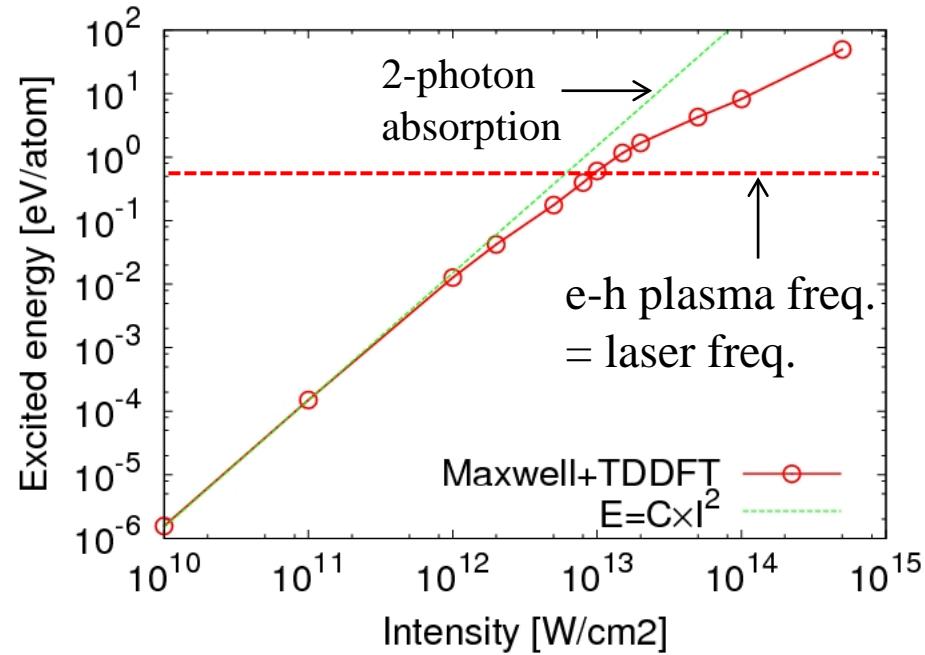
1024 Cores, 15 hours @ ISSP, Univ. Tokyo

# Formation of electron-hole plasma at the surface of Si (under progress)

## Reflection at Si surface



## Excited electron density at the surface of Si



Laser  
Intensity

Dielectric response

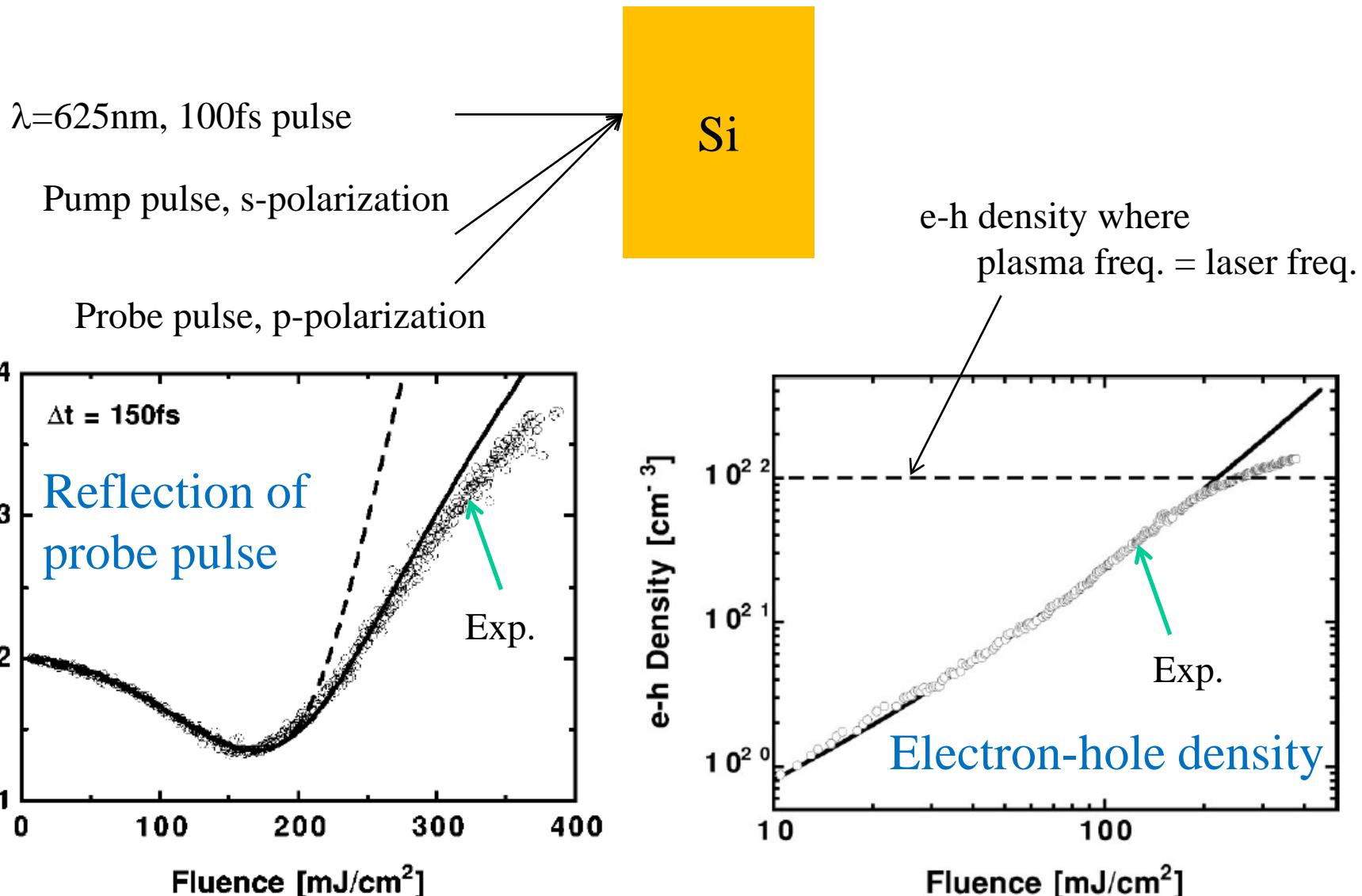
Electron excitation by 2-photon absorption

Formation of electron-hole plasma

## Related measurement:

### “Generation of dense electron-hole plasma in silicon”

K. Sokoowski-Tinten, D. von der Linde, Phys. Rev. B61, 2643 (2000)



# Summary

## Real-space, real-time TDDFT calculation

useful for linear and nonlinear dynamics of condensed many-fermion systems, isolated and periodic systems.

## Linear response regime

- accurate description for oscillator strength distribution

## Nonlinear electron dynamics in ultrashort and ultraintense laser field

- interaction of intense and ultrashort laser pulse with matter
- propagation of light: Maxwell + TDDFT multi-scale simulation

## Future problems

- Collision effect is important,  
how to incorporate in systems with gap; Kadanoff-Baym eq?