

# **Cluster-gas-like states and monopole excitations**

**T. Yamada**

# **Cluster-gas-like states and monopole excitations**

- Isoscalar monopole excitations in light nuclei
- Cluster-gas-likes states:  $^{12}\text{C}$ ,  $^{16}\text{O}$ ,  $^{11}\text{B}$ ,  $^{13}\text{C}$

(maybe skipped here) Funaki's talk

## Outline of my talk

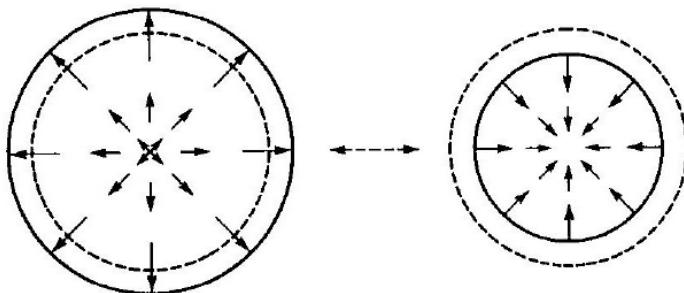
1. Introduction
2. IS monopole strengths in light nuclei  
Typical case :  $^{16}\text{O}$   
RPA and SRPA calculations, compared with Exp.
3.  $4\alpha$  OCM for  $0^+$  states in  $^{16}\text{O}$   
(OCM=Orthogonality Condition Model)
4. IS monopole strength function with  $4\alpha$  OCM,  
compared with Exp.
6. Emphasize two features of IS monopole excitations.
7. Dual nature of  $^{16}\text{O}$  ground state.
8. Summary

- Isoscalar Monopole Excitation  
 $\leftrightarrow$  density fluctuation

### Typical example

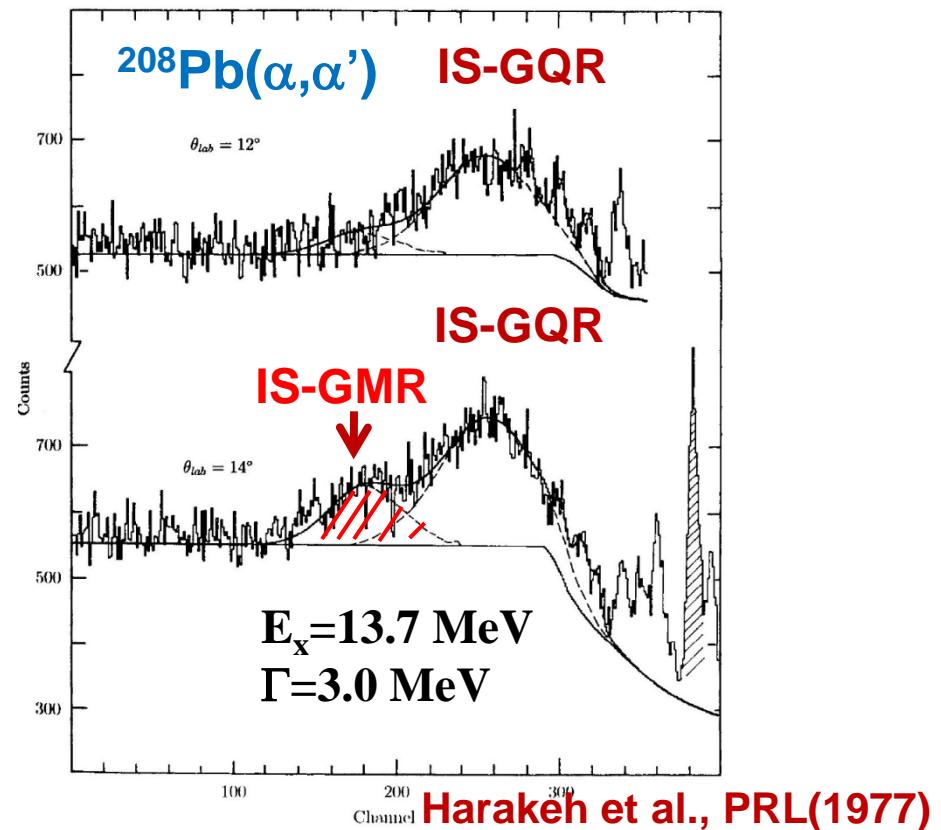
**IS-GMR (heavy, medium-heavy nuclei)**

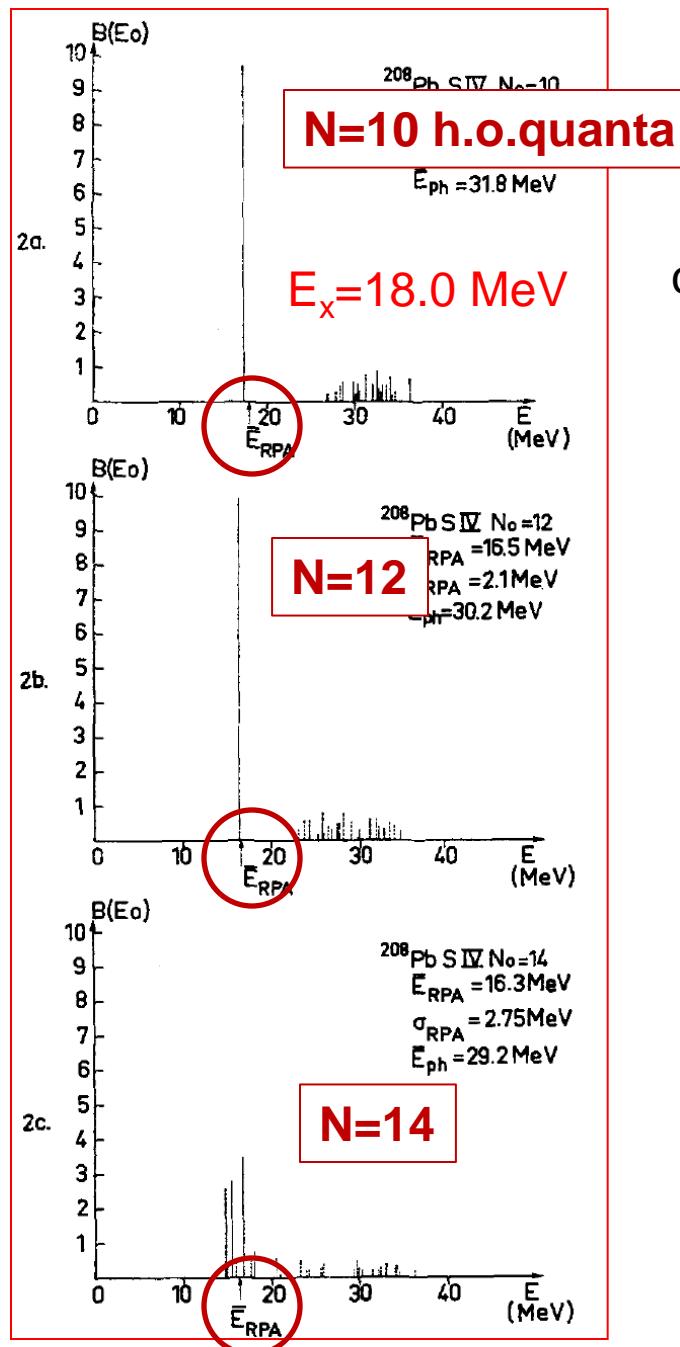
exhaust EWSR  $\sim 100\%$



**breathing mode**

$$E_x \simeq 80/A^{-1/3} \quad [\text{MeV}]$$





collective motion with coherent 1p-1h states

**IS-GMR is well reproduced  
by RPA cal.**

**Blaizot, Gogny, Grammaticos, NPA(1976)**

- Light Nuclei ( $p$ -,  $sd$ -shell,,,)  
Isoscalar monopole strengths are fragmented.
- For example,  $^{16}\text{O}$ , ( $^{12}\text{C}, ^{11}\text{B}, ^{13}\text{C}, ^{24}\text{Mg}, \dots$ )  
IS-monopole response fun. of  $^{16}\text{O}(\alpha, \alpha')$ 
  - (i) discrete peaks at  $E_x \leq 15$  MeV  
~20% of EWSR  
large  $M(E0)$  states  $\Leftrightarrow$  cluster states
  - (ii) three-bump structure :  $E=18, 23, 30$  MeV

# IS Monopole Strength Function of $^{16}\text{O}$

## Exp. vs Cal.

$^{16}\text{O}(\alpha, \alpha')$

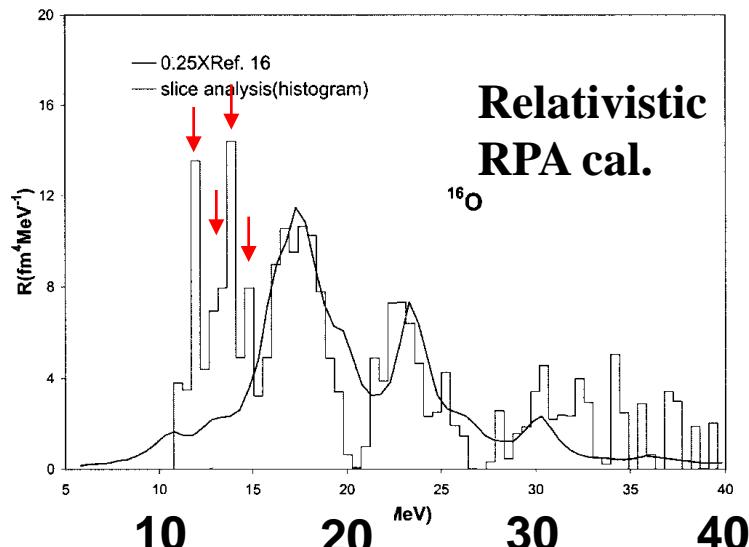


FIG. 7. The histogram is converted to monopole strength function shows the monopole response function from Ref. [16] multiplied by 0.25 and shifted by 4.2 MeV.

Exp. condition:  $E_x > 10$  MeV

Exp: histogram

Lui et al., PRC 64 (2001)

discrete peaks at  $Ex \leq 15$  MeV  
three bumps at 18, 23, 30 MeV

Cal: real line

Relativistic RPA

Ma et al., PRC 55 (1997)

Multiplied by 0.25

Shifted by 4.2 MeV

Not well reproduced by RRPA cal.

# Non-rela. Mean-field calculations of IS-monopole strengths for $^{16}\text{O}$

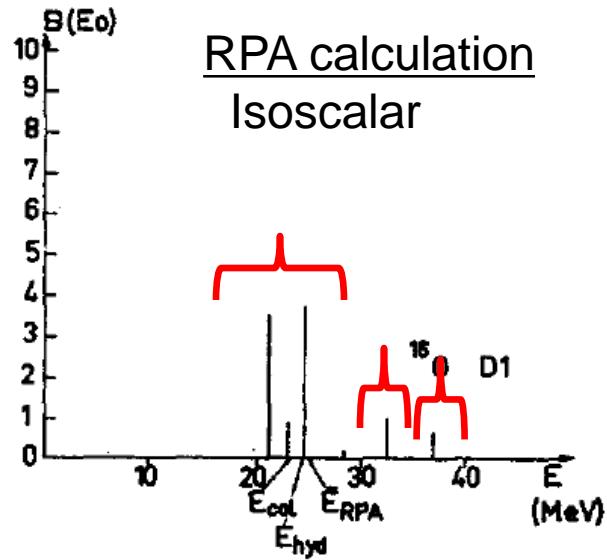
## (1) RPA calculation: 1p-1h excitations

Blaizot et al., NPA265 (1976)

## (2) Second-order RPA (SRPA) calculations: : 1p1h + 2p2h

- i) Drozdz et al., PR197(1980): D1-force
- ii) Papakonstantino et al., PLB671(2009): UCOM
- iii) Gambacurta et al., PRC81(2010) :  
full SRPA calculation with Skyrme force

## RPA calculation



## Experiment

$^{16}\text{O}(\alpha, \alpha')$

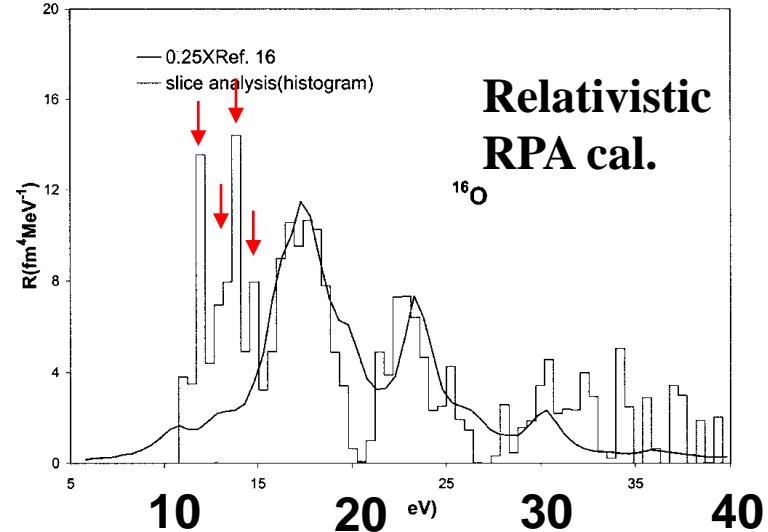


FIG. 7. The histogram is the experimental  $E_0$  strength converted to monopole response function. The black line shows the model calculation. The red arrows indicate the experimental features. The experimental condition is  $E_x > 10 \text{ MeV}$ .

**Exp. condition:  $E_x > 10 \text{ MeV}$**

- (1) Reproduction of 3-bump structure,  
but the energy positions are by about 3-5 MeV  
higher than the data.
- (2) No reproduction of discrete peaks at  $E_x \leq 15 \text{ MeV}$

## Experiment

$^{16}\text{O}(\alpha, \alpha')$

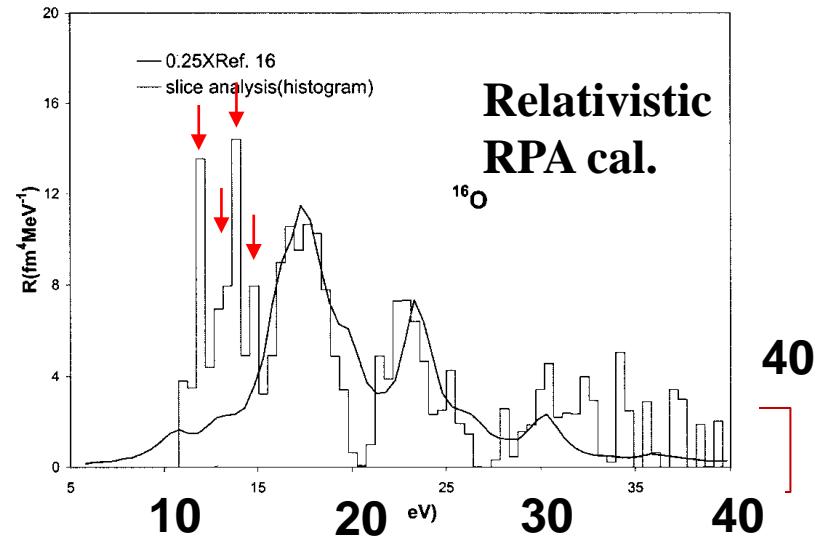
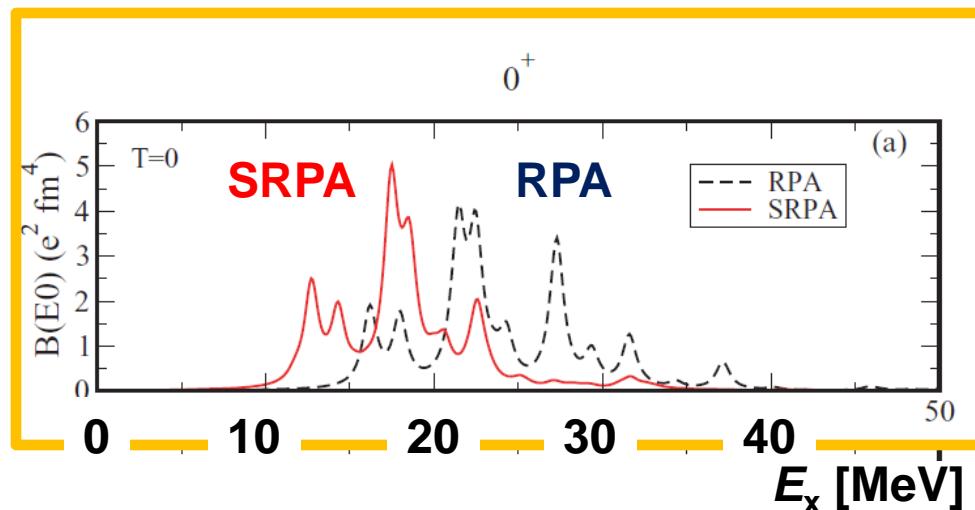


FIG. 7. The histogram is the experimental  $E0$  strength converted to monopole response function. The black line shows the model calculation. The red arrows indicate the discrete peaks at low energy ( $E_x < 15$  eV).

**Exp. condition:  $E_x > 10$  MeV**

**SRPA (+RPA) calculation**



Gambacurta et al., PRC(2010)

- (1) Gross structure at higher energy region ( $E_x > 18$  MeV), i.e. 3-bump structure, is reproduced by SRPA calculation.
- (2) Discrete peaks at  $E_x \leq 15$  MeV are not reproduced well. In particular, the transition to 2<sup>nd</sup> 0+ state ( $E_x = 6.1$  MeV) is not seen in SRPA (+RPA) calculation.

	Experiment				4 $\alpha$ OCM		
	Ex [MeV]	R [fm]	M(E0) [fm $^2$ ]	$\Gamma$ [MeV]	R [fm]	M(E0) [fm $^2$ ]	$\Gamma$ [MeV]
$0^+_1$	0.00	2.71			2.7		
$0^+_2$	6.05		3.55		3.0	3.9	
$0^+_3$	12.1		4.03		3.1	2.4	
$0^+_4$	13.6		no data	0.6	4.0	2.4	0.60
$0^+_5$	14.0		3.3	0.185	3.1	2.6	0.20
$0^+_6$	15.1		no data	0.166	5.6	1.0	0.14

over 15%  
of total EWSR

20%  
of total EWSR

## Experiment

$^{16}\text{O}(\alpha, \alpha')$

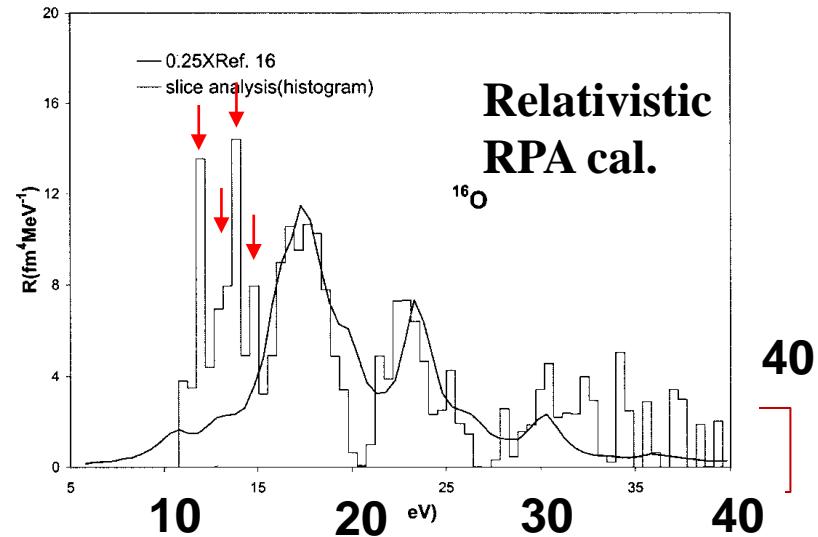
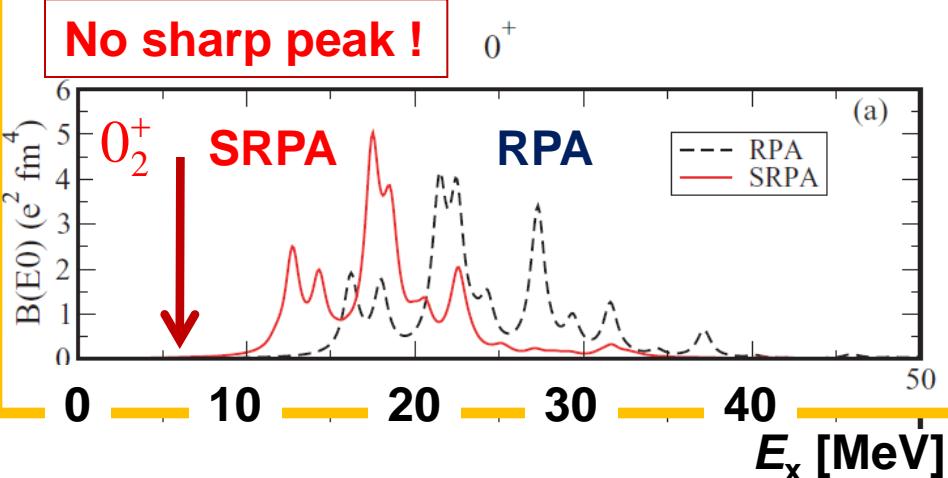


FIG. 7. The histogram is the experimental  $E_0$  strength converted to monopole response function. The black line shows the model calculation. The experimental condition is indicated by the red bracket.

Exp. condition:  $E_x > 10 \text{ MeV}$

SRPA (+RPA) calculation

No sharp peak !



- (1) Gross structure at higher energy region ( $E_x > 18 \text{ MeV}$ ), i.e. 3-bump structure, is reproduced by SRPA calculation.
- (2) Discrete peaks at  $E_x \leq 15 \text{ MeV}$  are not reproduced well. In particular, the transition to 2<sup>nd</sup>  $0^+$  state ( $E_x = 6.1 \text{ MeV}$ ) is not seen in SRPA (+RPA) calculation.

## RPA and SRPA calculations for $^{16}\text{O}$

- (1) Gross structure at higher energy region, i.e. 3-bump structure, is reproduced by RPA and SPRA calculations.
- (2) Discrete peaks at  $E_x \leq 15$  MeV are not reproduced well. In particular, the transition to 2<sup>nd</sup> 0+ state ( $E_x = 6.1$  MeV) is not seen in SRPA and RPA calculations.  
This peak should exit sharply at  $E_x = 6.1$  MeV because  $\Gamma \leq 1$  eV.

# Purposes of my talk

- What kind of states contribute to the discrete peaks?
- Recently,  $4\alpha$  OCM succeeded in describing the structure of the lowest six  $0^+$  states up to  $4\alpha$  threshold ( $E_x \approx 15$  MeV). Funaki's talk
- We will study the IS monopole strength function with the  $4\alpha$  OCM.

# Cluster-model analyses of $^{16}\text{O}$

- $\alpha + ^{12}\text{C}$  OCM

Y. Suzuki, PTP55 (1976), 1751

- $\alpha + ^{12}\text{C}$  GCM

M. Libert-Heinemann, D. Bay et al., NPA339 (1980)

- $4\alpha$  THSR wf Not include  $\alpha + ^{12}\text{C}$  configuration.

Tohsaki, Horiuchi, Schuck, Roepke, PRL87 (2001)

Funaki, Yamada et al., PRC82(2010)

- $4\alpha$  OCM  $4\alpha$ -gas,  $\alpha + ^{12}\text{C}$ , shell-model-like configurations

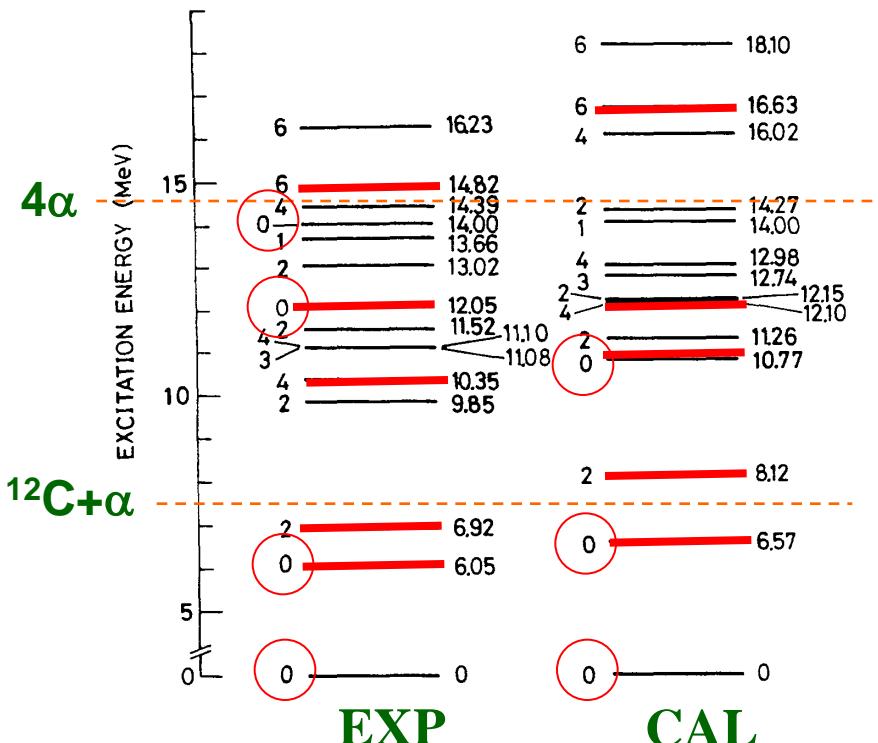
Funaki, Yamada et al., PRL101 (2008)

Reproduction of lowest six  $0^+$  states up to  $4\alpha$  threshold (15MeV)

# $^{16}\text{O} = \alpha + ^{12}\text{C}$ cluster model

Y. Suzuki, PTP55 (1976), 1751

## Even-parity



## Odd-parity

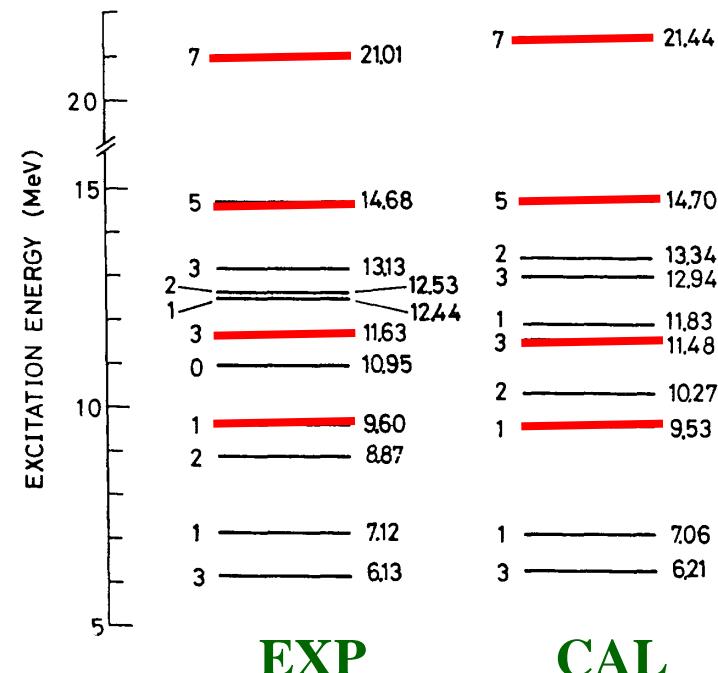
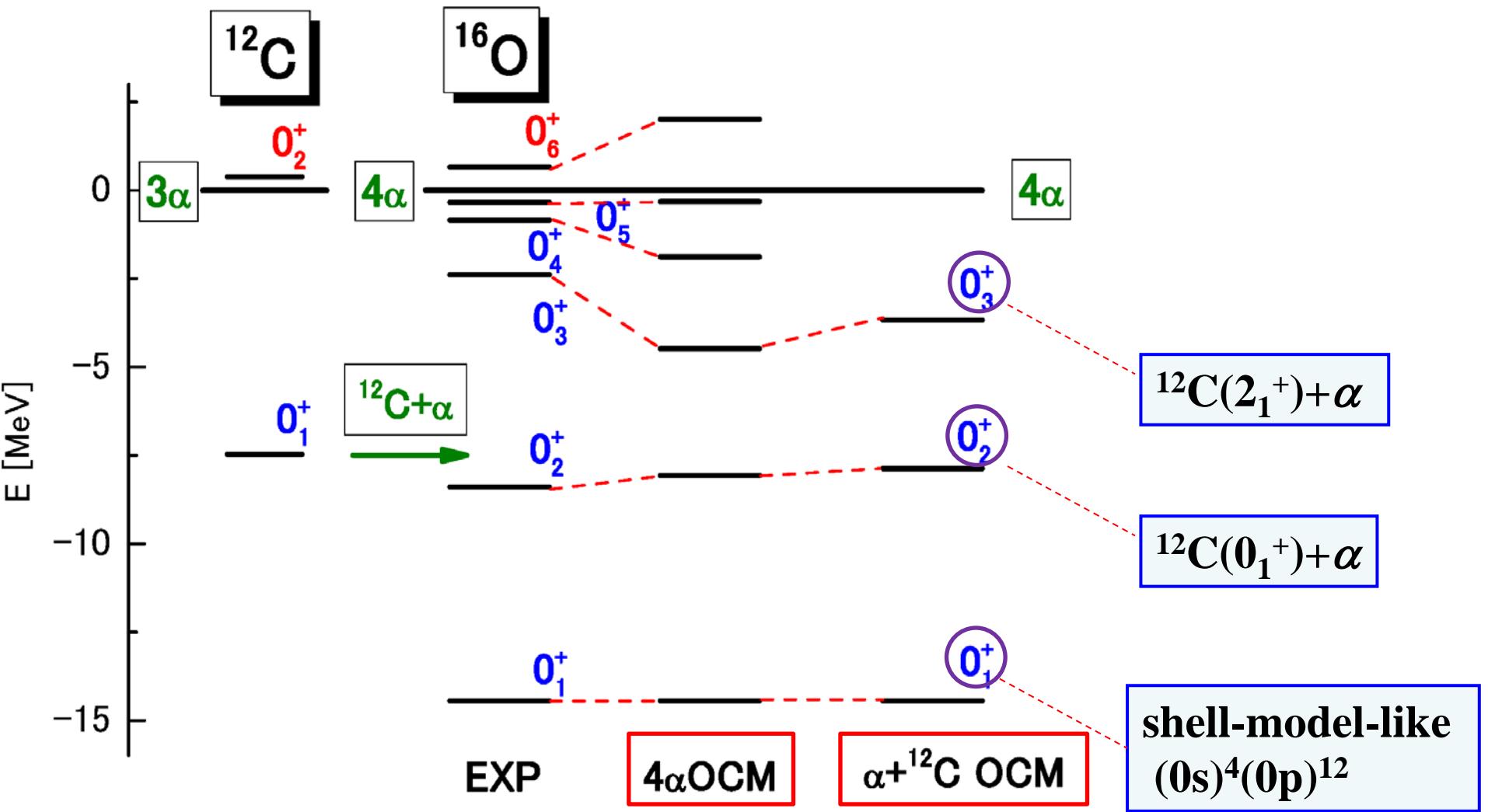


Fig. 2 (a). Energy levels of  $^{16}\text{O}$  for the even-parity states [Ref. 30)].

Fig. 2 (b). Energy levels of  $^{16}\text{O}$  for the odd-parity states [Ref. 30)].

—  $^{12}\text{C}+\alpha$  : molecular states



Funaki et al.,  
PRL101 (2008)

Suzuki,  
PTP55 (1976)

# OCM (orthogonality condition model)

- An approximation of **RGM** (resonating group method)
- Relative motions among c.o.m. of clusters are exactly solved under an orthogonality condition arising from Pauli-Blocking effects

For example of  **$n\alpha$**  system,

S. Sato, Prog. Thor. Phys. 40 (1968)

**Fermion w.f.:**  $\Phi^{(F)} = A \left\{ \prod_{i=1}^N \phi_{\alpha_i}^{\text{int}} \chi^{\text{rel}} \right\}, \quad (H - E) \Phi^{(F)} = 0, \quad \langle \Phi^{(F)} | \Phi^{(F)} \rangle = 1,$

**RGM eq.:**  $(H - EN) \chi^{\text{rel}} = 0, \quad \langle \chi^{\text{rel}} | N | \chi^{\text{rel}} \rangle = 1,$

**$\alpha$ -cluster w.f.:**  $\Phi^{(B)} = \sqrt{N} \chi^{\text{rel}}, \quad \left( \frac{1}{\sqrt{N}} H \frac{1}{\sqrt{N}} - E \right) \Phi^{(B)} = 0, \quad \langle \Phi^{(B)} | \Phi^{(B)} \rangle = 1,$

**Approximation:**  $\frac{1}{\sqrt{N}} H \frac{1}{\sqrt{N}} \Rightarrow T + \sum_{i < j} V_{2\alpha}^{\text{eff}}(i, j) + \sum_{i < j < k} V_{3\alpha}^{\text{eff}}(i, j, k) = T + V^{\text{eff}}$  **Orthogonality condition**

**OCM equation:**  $(T + V^{\text{eff}} - E) \Phi^{(B)} = 0 \quad \text{with} \quad \langle u_F | \Phi^{(B)} \rangle = 0, \quad \langle \Phi^{(B)} | \Phi^{(B)} \rangle = 1$

$u_F$  : **Pauli forbidden states**,  $N u_F = 0 \quad \text{or} \quad A \left\{ \prod_{i=1}^N \phi_{\alpha_i}^{\text{int}} u_F \right\} = 0$

$\Phi^{(B)}$  : **Symmetrized w.f. with relative (Jacobi) coordinates**

Easy to formulate  **$2\alpha+t$**  OCM and  **$3\alpha+n$**  OCM based on GEM

# Framework of $4\alpha$ OCM

Total w.f. : internal w.f.s of  $\alpha$  clusters  $\times$  relative w.f.

$$\tilde{\Psi}(J^\pi) = \boxed{\phi(\alpha_1)\phi(\alpha_2)\phi(\alpha_3)\phi(\alpha_4)} \times \boxed{\Psi(J^\pi)}.$$

$\phi(\alpha) : (0s)^4$

$\langle u_F | \Psi(J^\pi) \rangle = 0$  : Orthogonality condition arising from  
Paul-blocking effects:  
i.e. orthogonal to  $\mathcal{U}_F$  (Pauli-forbidden states)

Hamiltonian for  $\Psi(J^\pi)$  : 4-body Hamiltonian

$$H_{\text{OCM}} = \sum_{i=1}^4 T_i - T_{cm} + \sum_{i < j}^4 \left[ V_{2\alpha}^{(N)}(i, j) + V_{2\alpha}^{(\text{Coul})}(i, j) \right]$$

$$+ \sum_{i < j < k}^4 V_{3\alpha}(i, j, k) + V_{4\alpha}(1, 2, 3, 4)$$

2 $\alpha$  potential: phase shifts  
Energy spectra of  $^{12}\text{C}(0+, 2+, 4+, 3-, 1-)$   
Energy of  $^{16}\text{O}$  g.s.

# How to solve 4-body problem with orthogonality condition

Combining Gaussian Expansion Method (GEM) and OCM

$$\Psi(J^\pi) = \sum_\nu f_c(\nu) \times \hat{S} \left[ \begin{array}{c} \text{Gaussian} \\ \left[ \varphi_{\ell 1}(\xi_1, \nu_1) \varphi_{\ell 2}(\xi_2, \nu_2) \right]_{L12} \end{array} \begin{array}{c} \text{Gaussian} \\ \varphi_{\ell 3}(\xi_3, \nu_3) \end{array} \right]_J$$

$$H_{\text{OCM}} \Psi(J^\pi) = E \Psi(J^\pi)$$

$$\langle u_F | \Psi(J^\pi) \rangle = 0$$

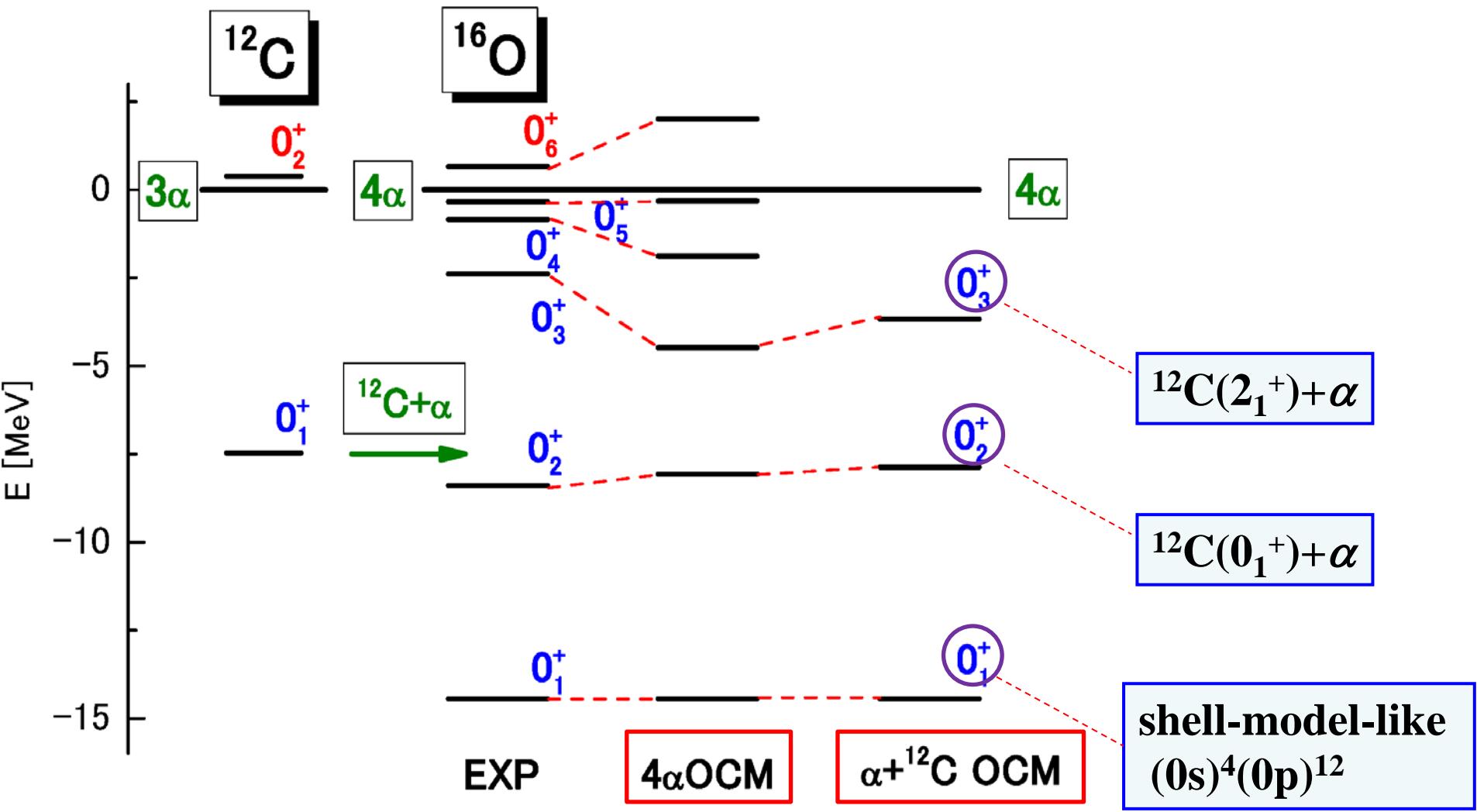
**GEM (Gaussian Expansion Method):**

Numerical precision is equivalent to Faddeev-Yakubousky eq.  
for  ${}^4\text{He}$  = 4-body problem with realistic NN forces

Kamimura: PRA38(1988),  
Hiyama, Kino, Kamimura: PPNP51(2003),  
Kamada et al.: PRC64(2001)

**GEM+OCM: Structure study of Light Hypernuclei**

Hiyama, Yamada: PPNP63(2009)



Funaki et al.,  
 PRL101 (2008)      Suzuki,  
                          PTP55 (1976)

	Experimental data				4 $\alpha$ OCM		
	E <sub>x</sub> [MeV]	R [fm]	M(E0) [fm <sup>2</sup> ]	$\Gamma$ [MeV]	R [fm]	M(E0) [fm <sup>2</sup> ]	$\Gamma$ [MeV]
$0^+_1$	0.00	2.71			2.7		
$0^+_2$	6.05		3.55		3.0	3.9	
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over 15%  
of total EWSR

20%  
of total EWSR

## Single-particle IS-monopole strength

$$M(E0) \sim \langle u_f / r^2 / u_i \rangle \sim (3/5) \times R^2 = 5.4 \text{ fm}^2$$

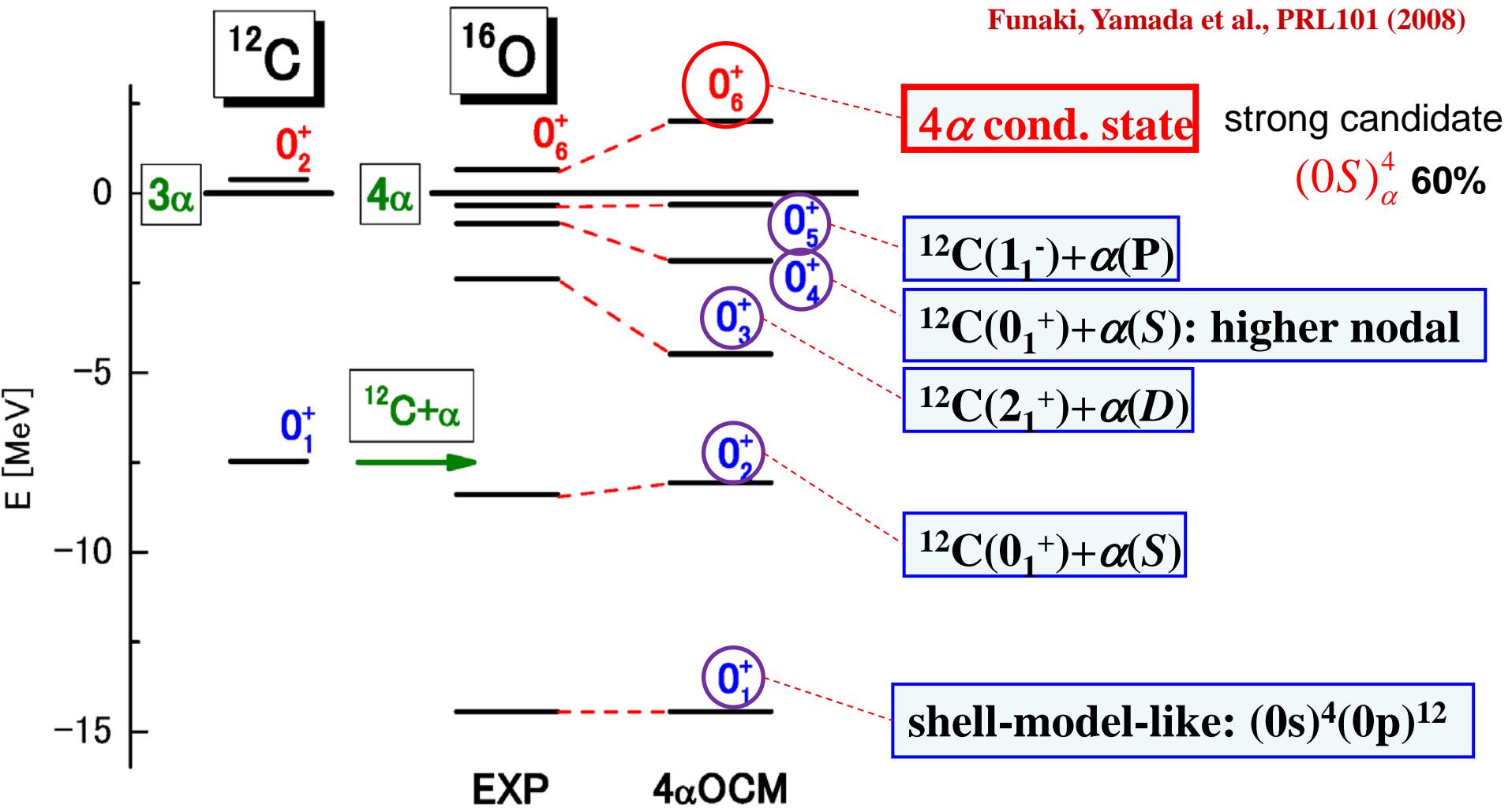
(using  $R$  = nuclear radius = 3 fm)

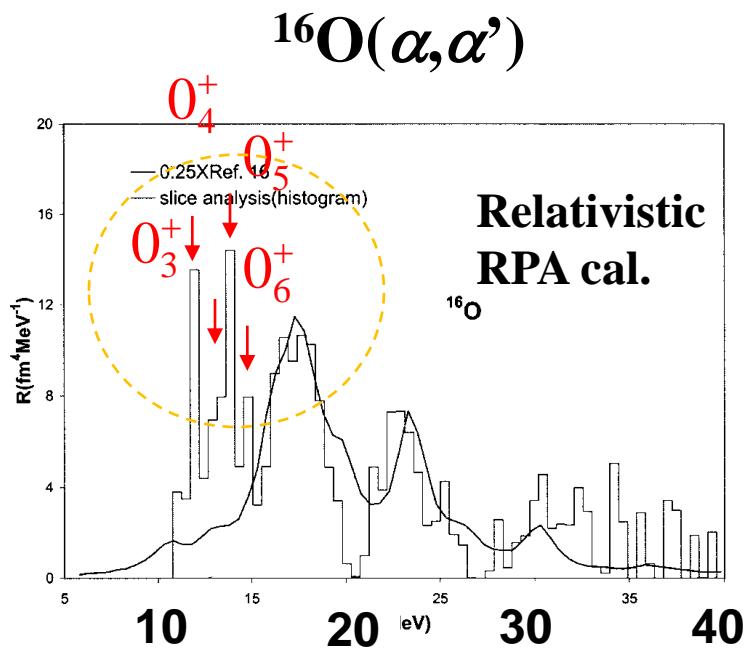
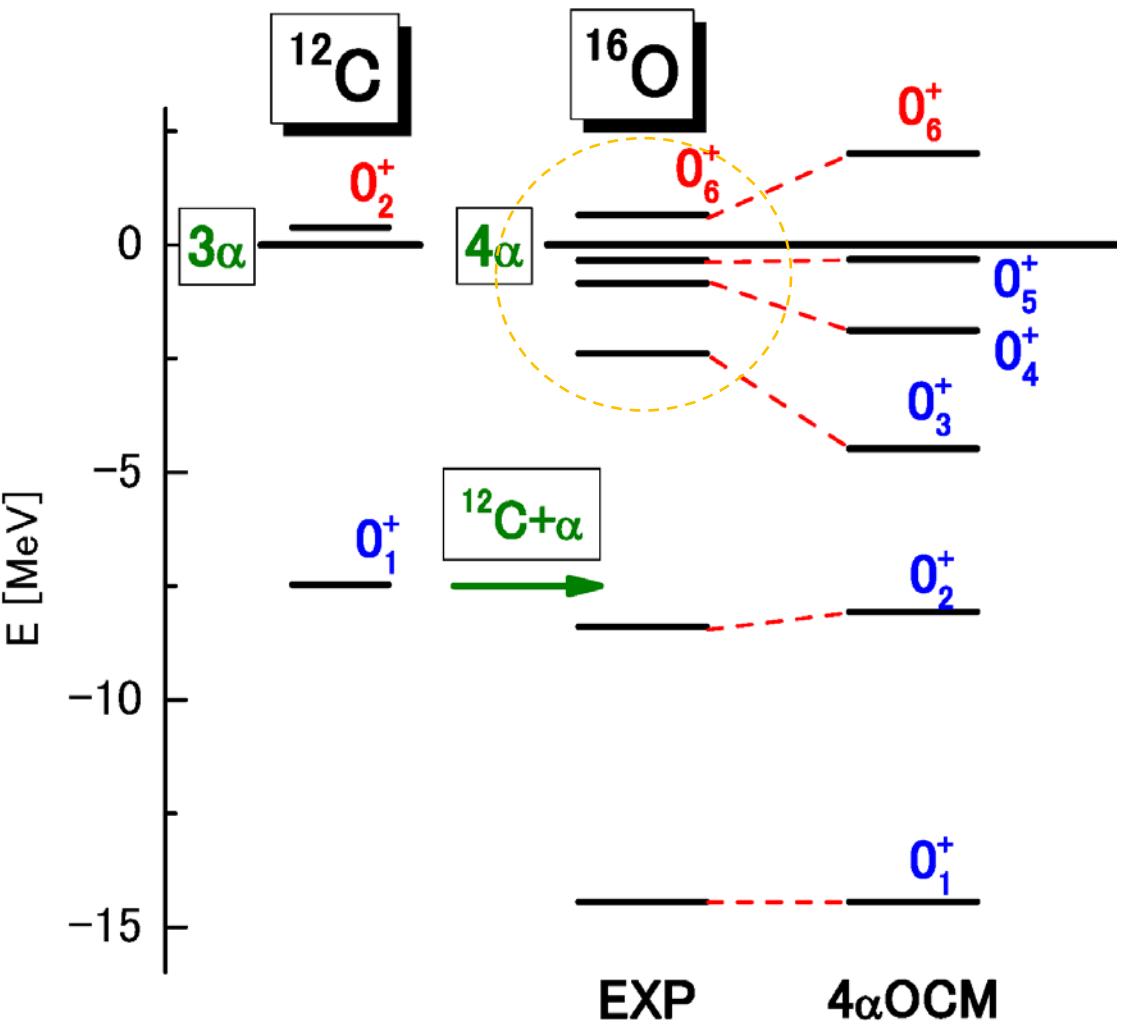
Uniform-density approximation for  $u_f(r)$  and  $u_i(r)$

$$\begin{aligned} u(r) &= (3/R^3)^{1/2} && \text{for } 0 \leq r \leq R \\ u(r) &= 0 && \text{for } R < r \end{aligned}$$

# $4\alpha$ OCM calculation

Funaki, Yamada et al., PRL101 (2008)





**Exp. condition:  $E_x > 10 \text{ MeV}$**   
shifted by 4.2 MeV.

**Exp: histogram**  
Lui et al., PRC 64 (2001)

**Cal: real line**  
Ma et al., PRC 55 (1997)  
Multiplied by 0.25  
Shifted by 4.2 MeV

**IS monopole strength function of  $^{16}\text{O}$**

# Monopole Strength Function

$$S(E) = \sum_n \delta(E - E_n) |\langle 0_n^+ | \mathcal{O} | 0_1^+ \rangle|^2, \quad \mathcal{O} = \sum_{i=1}^{16} (\mathbf{r}_i - \mathbf{R}_{\text{cm}})^2$$

$$R(E) = \langle 0_1^+ | \frac{\mathcal{O}^\dagger \mathcal{O}}{E - H + i\epsilon} | 0_1^+ \rangle, \quad \left| \mathbf{0}_n^+ \right\rangle \text{: resonance state with } E_n - i\Gamma_n/2$$

$$\begin{aligned} S(E) &= -\frac{1}{\pi} \text{Im}[R(E)] \\ &= \frac{1}{\pi} \sum_n \frac{\Gamma_n / 2}{(E - E_n)^2 + (\Gamma_n / 2)^2} \left| M(0_n^+ - 0_1^+) \right|^2 \end{aligned}$$

**IS-monopole m.e.:**  $M(0_n^+ - 0_1^+) = \langle 0_n^+ | \mathcal{O} | 0_1^+ \rangle$

**Energy weighted sum rule (total-EWSR):** rms radius of  $^{16}\text{O}$

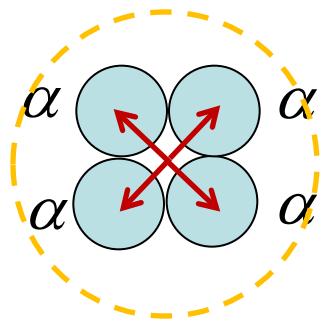
$$\sum_n (E_n - E_1) \left| M(0_n^+ - 0_1^+) \right|^2 = \frac{2\hbar^2}{m} \times 16 \times R^2, \quad R = \sqrt{\frac{1}{16} \left\langle 0_1^+ \left| \sum_{i=1}^{16} (\mathbf{r}_i - \mathbf{R}_{\text{cm}})^2 \right| 0_1^+ \right\rangle}$$

# **Energy weighted sum rule within $4\alpha$ OCM (OCM-EWSR)**

# $4\alpha$ OCM and OCM-EWSR

Total w.f. : internal w.f.s of  $\alpha$  clusters  $\times$  relative w.f.

$$\tilde{\Psi}(J^\pi) = [\phi(\alpha_1)\phi(\alpha_2)\phi(\alpha_3)\phi(\alpha_4)] \times \boxed{\Psi(J^\pi)}.$$



IS monopole matrix element

$$M^{\text{OCM}}(0_n^+ - 0_1^+) = \langle \tilde{\Psi}(0_n^+) | \sum_{i=1}^{16} (\mathbf{r}_i - \mathbf{R}_{\text{cm}})^2 | \tilde{\Psi}(0_1^+) \rangle,$$

# Interesting characters of IS monopole operator

$$\mathcal{O} = \sum_{i=1}^{16} (\mathbf{r}_i - \mathbf{R}_{\text{cm}})^2$$

$$= \sum_{k=1}^4 \sum_{i=1}^4 (\mathbf{r}_{i+4(k-1)} - \mathbf{R}_{\alpha_k})^2 + \sum_{k=1}^4 4(\mathbf{R}_{\alpha_k} - \mathbf{R}_{\text{cm}})^2$$

internal part of each  $\alpha$ -cluster

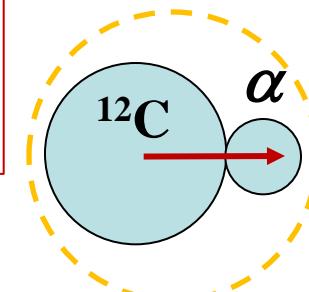
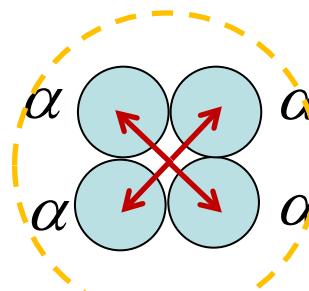
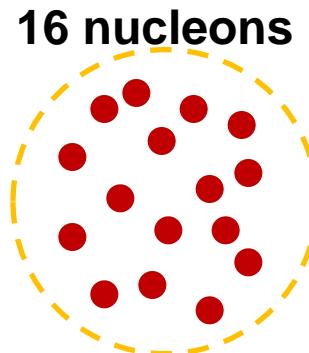
relative parts acting  
on relative motions of  $4\alpha$ 's  
with respect to c.o.m. of  $^{16}\text{O}$

$$= \sum_{i=1}^4 (\mathbf{r}_i - \mathbf{R}_\alpha)^2 + \sum_{i=5}^{16} (\mathbf{r}_i - \mathbf{R}_{^{12}\text{C}})^2 + 3(\mathbf{R}_\alpha - \mathbf{R}_{^{12}\text{C}})^2$$

internal part of  $\alpha$

internal part of  $^{12}\text{C}$

relative part acting  
on relative motion  
of  $\alpha$  and  $^{12}\text{C}$

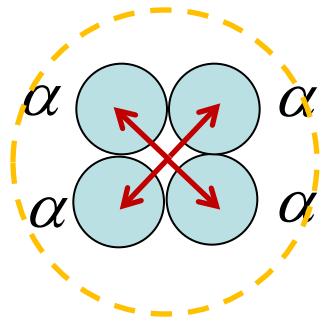


Decompositions into Internal part and relative part  
play an important role in understanding monopole  
excitations of  $^{16}\text{O}$ .

# $4\alpha$ OCM and OCM-EWSR

Total w.f. : internal w.f.s of  $\alpha$  clusters  $\times$  relative w.f.

$$\tilde{\Psi}(J^\pi) = [\phi(\alpha_1)\phi(\alpha_2)\phi(\alpha_3)\phi(\alpha_4)] \times \boxed{\Psi(J^\pi)}.$$



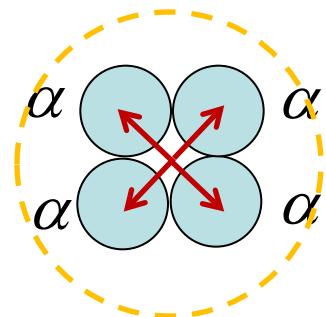
IS monopole matrix element

$$M^{\text{OCM}}(0_n^+ - 0_1^+) = \langle \tilde{\Psi}(0_n^+) | \sum_{i=1}^{16} (\mathbf{r}_i - \mathbf{R}_{\text{cm}})^2 | \tilde{\Psi}(0_1^+) \rangle,$$

# $4\alpha$ OCM and OCM-EWSR

Total w.f. : internal w.f.s of  $\alpha$  clusters  $\times$  relative w.f.

$$\tilde{\Psi}(J^\pi) = [\phi(\alpha_1)\phi(\alpha_2)\phi(\alpha_3)\phi(\alpha_4)] \times \boxed{\Psi(J^\pi)}.$$



IS monopole matrix element

$$\begin{aligned}
 M^{\text{OCM}}(0_n^+ - 0_1^+) &= \langle \tilde{\Psi}(0_n^+) | \sum_{i=1}^{16} (\mathbf{r}_i - \mathbf{R}_{\text{cm}})^2 | \tilde{\Psi}(0_1^+) \rangle, \\
 &= \langle \Psi(0_n^+) | \underbrace{\sum_{k=1}^4 4(\mathbf{R}_{\alpha_k} - \mathbf{R}_{\text{cm}})^2}_{\text{relative part}} | \Psi(0_1^+) \rangle + 16 \times R(\alpha)^2 \delta_{n1},
 \end{aligned}$$

rms radius of  $\alpha$

16 nucleons

where we used

$$R(\alpha) = \sqrt{\langle \phi(\alpha) | \frac{1}{4} \sum_{i=1}^4 (\mathbf{r}_i - \mathbf{R}_\alpha)^2 | \phi(\alpha) \rangle}$$

$$\langle \phi(\alpha_1)\phi(\alpha_2)\phi(\alpha_3)\phi(\alpha_4) | \sum_{k=1}^4 \sum_{i=1}^4 (\mathbf{r}_{i+4(k-1)} - \mathbf{R}_{\alpha_k})^2 | \phi(\alpha_1)\phi(\alpha_2)\phi(\alpha_3)\phi(\alpha_4) \rangle = 16 \times R(\alpha)^2,$$

relative part

internal part of  $\alpha$  clusters

# $4\alpha$ OCM and OCM-EWSR

Total w.f. : internal w.f.s of  $\alpha$  clusters  $\times$  relative w.f.

$$\tilde{\Psi}(J^\pi) = [\phi(\alpha_1)\phi(\alpha_2)\phi(\alpha_3)\phi(\alpha_4)] \times [\Psi(J^\pi)]. \quad \phi(\alpha) : (0s)^4$$

EWSR of IS monopole transition in  $4\alpha$  OCM: **OCM-EWSR**

$$\sum_n (E_n - E_1) |M^{\text{OCM}}(0_n^+ - 0_1^+)|^2 = \frac{1}{2} \langle \Psi(0_1^+) | [\mathcal{O}_{\text{OCM}}, [\mathcal{H}, \mathcal{O}_{\text{OCM}}]] | \Psi(0_1^+) \rangle,$$
$$O_{\text{OCM}} = \sum_{k=1}^4 4(\mathbf{R}_{\alpha_k} - \mathbf{R}_{\text{cm}})^2,$$

# $4\alpha$ OCM and OCM-EWSR

Total w.f. : internal w.f.s of  $\alpha$  clusters  $\times$  relative w.f.

$$\tilde{\Psi}(J^\pi) = [\phi(\alpha_1)\phi(\alpha_2)\phi(\alpha_3)\phi(\alpha_4)] \times [\Psi(J^\pi)]. \quad \phi(\alpha) : (0s)^4$$

EWSR of IS monopole transition in  $4\alpha$  OCM: **OCM-EWSR**

$$\begin{aligned} \sum_n (E_n - E_1) |M^{\text{OCM}}(0_n^+ - 0_1^+)|^2 &= \frac{1}{2} \langle \Psi(0_1^+) | [\mathcal{O}_{\text{OCM}}, [\mathcal{H}, \mathcal{O}_{\text{OCM}}]] | \Psi(0_1^+) \rangle, \\ &= \frac{2\hbar^2}{m} \langle \Psi(0_1^+) | \sum_{k=1}^4 4(\mathbf{R}_{\alpha_k} - \mathbf{R}_{\text{cm}})^2 | \Psi(0_1^+) \rangle, \\ &= \frac{2\hbar^2}{m} \times 16 \times (R^2 - R(\alpha)^2) \quad \leftarrow \text{OCM-EWSR} \end{aligned}$$

where  $R = \sqrt{\frac{1}{16} \left\langle 0_1^+ \left| \sum_{i=1}^{16} (\mathbf{r}_i - \mathbf{R}_{\text{cm}})^2 \right| 0_1^+ \right\rangle}$  : r.m.s radius of  $^{16}\text{O}$

$R(\alpha)$ : r.m.s radius of  $\alpha$ -particle

# **$4\alpha$ OCM and OCM-EWSR**

**Ratio of OCM-EWSR to total EWSR:**

$$\frac{\text{OCM-EWSR}}{\text{total EWSR}} = 1 - \left( \frac{R(\alpha)}{R} \right)^2 = 1 - \left( \frac{1.47}{2.58} \right)^2 = 0.68.$$

**4 $\alpha$  OCM shares over 60% of the total EWSR value.**  
( c.f.  $\alpha+^{12}\text{C}$  OCM : 31%)

**This is one of important reasons that  $4\alpha$  OCM works rather well in reproducing IS monopole transitions at low-energy region of  $^{16}\text{O}$ .**

**OCM-EWSR:** 
$$\sum_n (E_n - E_1) |M^{\text{OCM}}(0_n^+ - 0_1^+)|^2 = \frac{2\hbar^2}{m} \times 16 \times [R^2 - R(\alpha)^2]$$

**total EWSR:** 
$$\sum_n (E_n - E_1) |M(0_n^+ - 0_1^+)|^2 = \frac{2\hbar^2}{m} \times 16 \times R^2$$

Yamada et al.

**IS monopole strength function  $S(E)$   
within  $4\alpha$  OCM framework**

# Monopole Strength Function with $4\alpha$ OCM

$$S(E) = \sum_n \delta(E - E_n) |\langle 0_n^+ | \mathcal{O} | 0_1^+ \rangle|^2, \quad \mathcal{O} = \sum_{i=1}^{16} (\mathbf{r}_i - \mathbf{R}_{\text{cm}})^2$$

$$R(E) = \langle 0_1^+ | \frac{\mathcal{O}^\dagger \mathcal{O}}{E - H + i\epsilon} | 0_1^+ \rangle, \quad |0_n^+\rangle: \text{resonance state with } E_n - i\Gamma_n/2$$

$$\begin{aligned} S(E) &= -\frac{1}{\pi} \text{Im}[R(E)] \\ &= \frac{1}{\pi} \sum_n \frac{\Gamma_n / 2}{(E - E_n)^2 + (\Gamma_n / 2)^2} \left| M(0_n^+ - 0_1^+) \right|^2 \end{aligned}$$

$M(0_n^+ - 0_1^+) = \langle 0_n^+ | \mathcal{O} | 0_1^+ \rangle$  : calculated by  $4\alpha$  OCM

$$\Gamma_n = \sqrt{\Gamma_n(\text{OCM})^2 + (\text{exp. resolution})^2} \quad 50 \text{ keV}$$

$E_n$  : experimental energy of  $n$ -th 0+ state

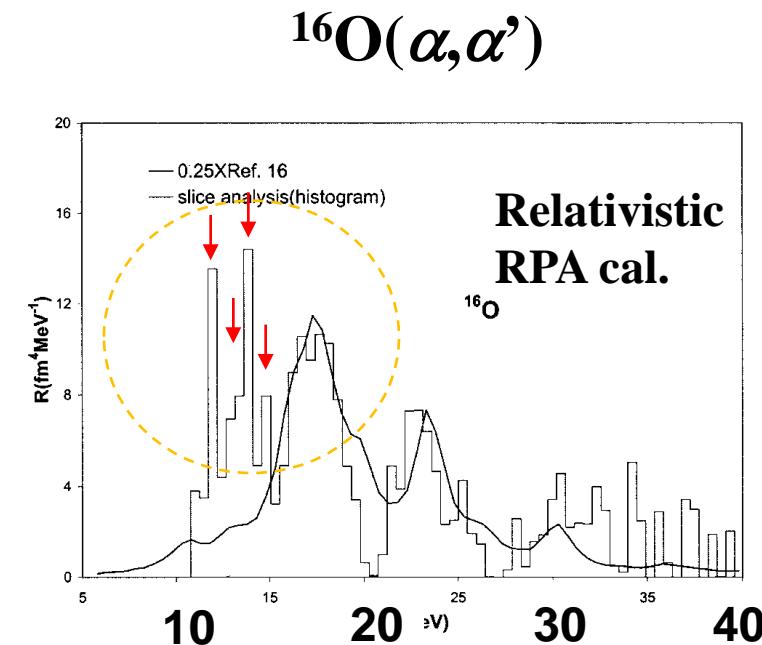
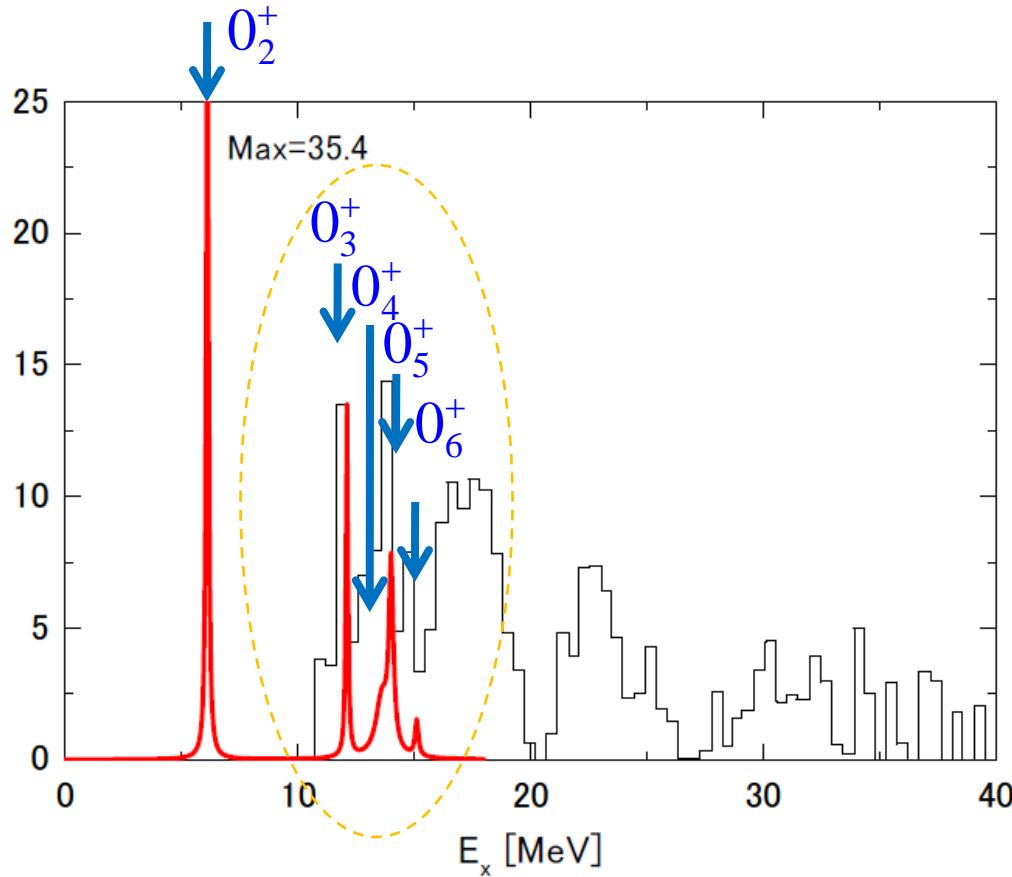
	Experiment				4 $\alpha$ OCM		
	Ex [MeV]	R [fm]	M(E0) [fm $^2$ ]	$\Gamma$ [MeV]	R [fm]	M(E0) [fm $^2$ ]	$\Gamma$ [MeV]
$0^+_1$	0.00	2.71			2.7		
$0^+_2$	6.05		3.55		3.0	3.9	
$0^+_3$	12.1		4.03		3.1	2.4	
$0^+_4$	13.6		no data	0.6	4.0	2.4	0.60
$0^+_5$	14.0		3.3	0.185	3.1	2.6	0.20
$0^+_6$	15.1		no data	0.166	5.6	1.0	0.14

over 15%  
of total EWSR

20%  
of total EWSR

# Exp. vs. Cal.

## IS monopole S(E) with $4\alpha$ OCM



Exp. condition:  $E_x > 10$  MeV

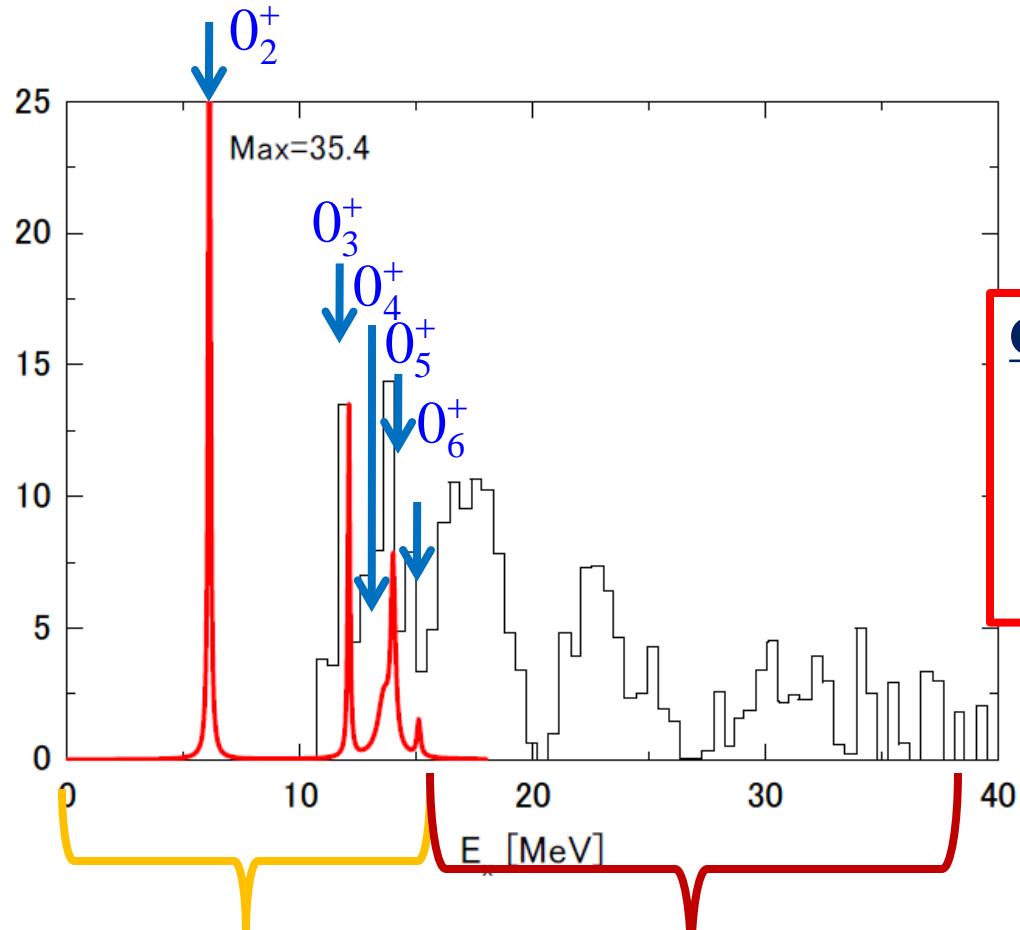
shifted by 4.2 MeV.

It is likely to exist discrete peaks on a small bump at  $E_x < 15$  MeV

This small bump may come from the contribution from continuum states of  $\alpha + ^{12}\text{C}$

# Exp. vs. Cal.

## IS monopole S(E) with $4\alpha$ OCM



Two features  
in IS monopole excitations

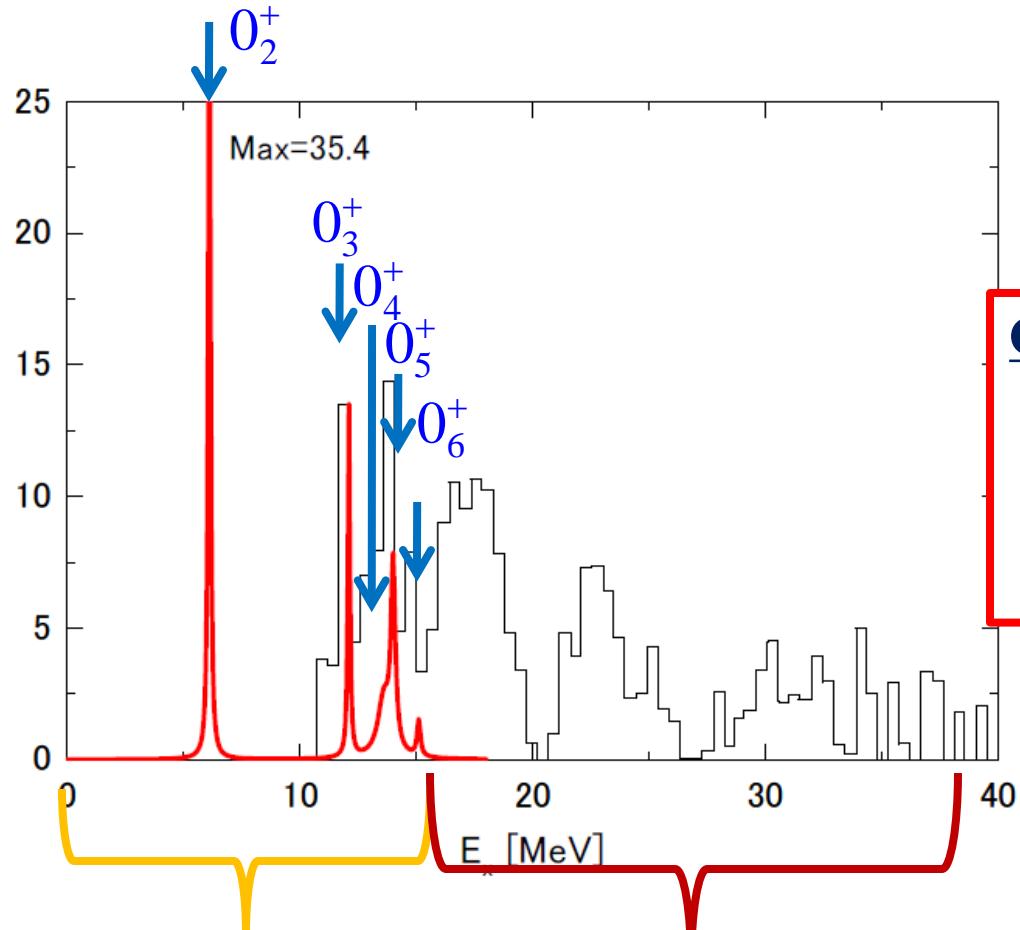
Origin: dual nature of G.S. of  $^{16}\text{O}$   
(1)  $\alpha$ -clustering degree of freedom  
(2) mean-field-type one  
 $(0s)^4(0p)^{12} : \text{SU}(3)(00) = ^{12}\text{C} + \alpha :$   
Bayman-Bohr theorem

Excitation to cluster states  
( $\alpha$ -cluster type)

Monopole excitation  
of mean-field type (RPA)

# Exp. vs. Cal.

## IS monopole S(E) with $4\alpha$ OCM



Two features  
in IS monopole excitations

Origin: dual nature of G.S. of  $^{16}\text{O}$   
(1)  $\alpha$ -clustering degree of freedom  
(2) mean-field-type one  
 $(0s)^4(0p)^{12} : \text{SU}(3)(00) = ^{12}\text{C} + \alpha :$   
Bayman-Bohr theorem

next discuss!

Excitation to cluster states  
( $\alpha$ -cluster type)

Monopole excitation  
of mean-field type (RPA)

# **Dual nature of ground state of $^{16}\text{O}$**

**mean-field character and  $\alpha$ -clustering character**

# Ground state of $^{16}\text{O}$

$(\lambda, \mu)$

**Dominance of doubly-closed-shell structure:  $(0s)^4(0p)^{12} = \text{SU}(3)(0,0)$**

Cluster-model calculations:  $4\alpha$  OCM,  $4\alpha$  THSR,  $\alpha + ^{12}\text{C}$  OCM, ...

Mean-field calculations : RPA, QRPA, RRPA,.....

Supported by no-core shell model calculations:

Dytrych et al., PRL98 (2007)

Bayman & Bohr, NPA9 (1958/59)

**Bayman-Bohr theorem :**  $\text{SU}(3)[f](\lambda\mu)$  is equivalent to “a cluster-model wf”

Doubly-closed-shell w.f.,  $(0s)^4(0p)^{12}$ , is mathematically equivalent to a single  $\alpha$ -cluster w.f.

This means that the ground state w.f. of  $^{16}\text{O}$  originally has an  $\alpha$ -clustering degree of freedom together with mean-filed-type degree of free dom.

We call dual nature of g.s.

# Bayman-Bohr theorem

Nucl. Phys. 9, 596 (1958/1959)

$$\frac{1}{\sqrt{16!}} \det \left| (0s)^4 (0p)^{12} \right| \times [\phi_{cm}(\mathbf{R}_{cm})]^{-1} : \text{closed shell}$$

$$= N_0 \sqrt{\frac{12!4!}{16!}} A \left\{ \left[ u_{40}(\xi_3, 3\nu) \phi_{L=0}({}^{12}\text{C}) \right]_{J=0} \phi(\alpha) \right\}$$

relative wf (S-wave)

$$= N_2 \sqrt{\frac{12!4!}{16!}} A \left\{ \left[ u_{42}(\xi_3, 3\nu) \phi_{L=2}({}^{12}\text{C}) \right]_{J=0} \phi(\alpha) \right\}$$

relative wf (D-wave)

**c.o.m. w.f. of  ${}^{16}\text{O}$**

$$\phi_{cm}(\mathbf{R}_{cm}) = \left( \frac{32\nu}{\pi} \right)^{3/4} \exp(-16\nu \mathbf{R}_{cm}^2)$$

$\alpha$ -degree of freedom

→ G.S. has mean-field-type and  $\alpha$ -cluster degrees of freedom.

We call dual nature of g.s.

# Bayman-Bohr theorem

Nucl. Phys. 9, 596 (1958/1959)

$$\frac{1}{\sqrt{16!}} \det |(0s)^4(0p)^{12}| \times [\phi_{cm}(\mathbf{R}_{cm})]^{-1} : \text{closed shell}$$

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relative wf (D-wave)

**c.o.m. w.f. of  ${}^{16}\text{O}$**

$$\phi_{cm}(\mathbf{R}_{cm}) = \left( \frac{32\nu}{\pi} \right)^{3/4} \exp(-16\nu \mathbf{R}_{cm}^2)$$

}  **$\alpha$ -degree of freedom**

→ G.S. has mean-field-type and  $\alpha$ -cluster degrees of freedom.

Excitation of mean-field-type degree of freedom in g.s  
 → 1p1h states (3-bump structure)

Excitation of  $\alpha$ -cluster degree of freedom in g.s  
 →  $\alpha + {}^{12}\text{C}$  cluster states: 2<sup>nd</sup> 0+, 3<sup>rd</sup> 0+

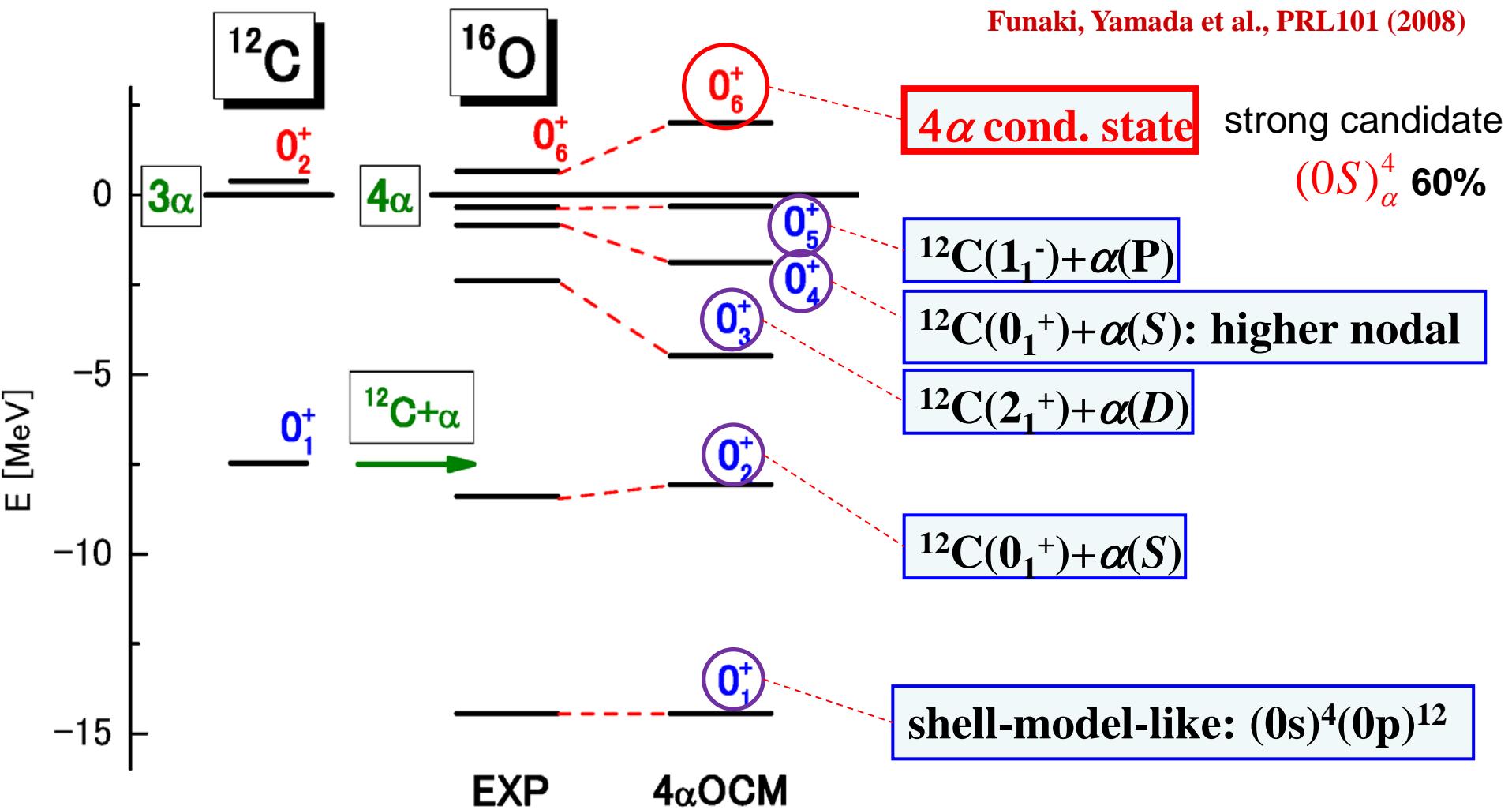
IS monopole

operator

$$\mathcal{O} = \sum_{i=1}^{16} (\mathbf{r}_i - \mathbf{R}_{cm})^2 = \underbrace{\sum_{i=1}^4 (\mathbf{r}_i - \mathbf{R}_\alpha)^2}_{\text{internal parts}} + \underbrace{\sum_{i=5}^{16} (\mathbf{r}_i - \mathbf{R}_{12C})^2}_{\text{internal parts}} + \underbrace{3(\mathbf{R}_\alpha - \mathbf{R}_{12C})^2}_{\text{relative part}}$$

## 4 $\alpha$ OCM calculation

Funaki, Yamada et al., PRL101 (2008)



## Monopole excitation to $0^+_{2,3}$ and $0^+_6$ state

$0^+_{2,3}$  states:

$^{12}\text{C}(0_1^+, 2_1^+) + \alpha$

main configuration

Bayman-Bohr theorem:

$(0s)^4(0p)^{12}$  has an  $\alpha + ^{12}\text{C}(0_1^+, 2_1^+)$  degree of freedom

relative motion ( $\alpha - ^{12}\text{C}$ ) is excited by IS monopole operator

$0^+_6$  state:

$4\alpha$ -gas like structure

main configuration

Bayman-Bohr theorem:

$(0s)^4(0p)^{12}$  has a  $4\alpha$  degree of freedom

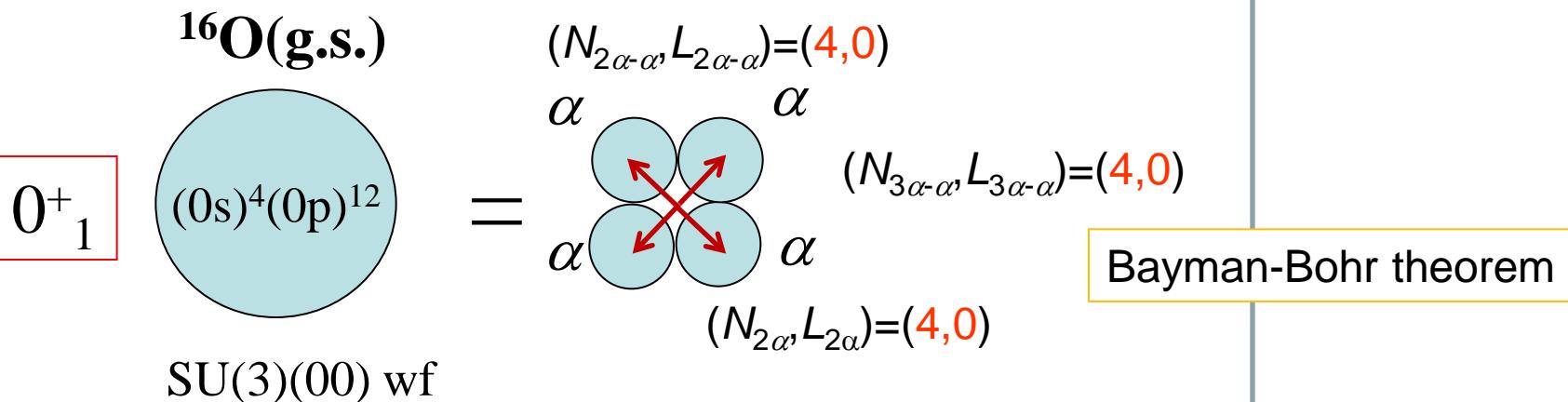
relative motion among  $4\alpha$  is excited by IS monopole operator

# Bayman-Bohr theorem

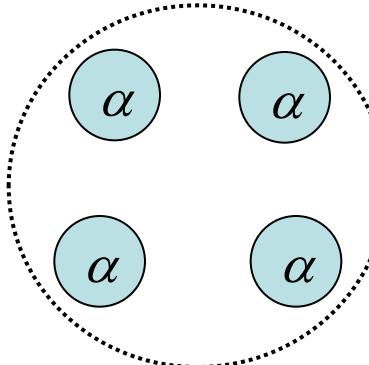
$$\frac{1}{\sqrt{16!}} \det |(0s)^4(0p)^{12}| \times [\phi_{\text{cm}}(\mathbf{R}_{\text{cm}})]^{-1} : \text{closed shell}$$

$$= \hat{N}_0 \sqrt{\frac{4!4!4!4!}{16!}} \mathcal{A} \left\{ \left[ u_{40}(\xi_3, 3\nu) \left[ u_{40}(\xi_2, \frac{8}{3}\nu) u_{40}(\xi_1, 2\nu) \right]_{L=0} \right]_{J=0} \right. \\ \left. \times \phi(\alpha_1)\phi(\alpha_2)\phi(\alpha_3)\phi(\alpha_4) \right\} \quad \text{4}\alpha\text{-cluster wf}$$

→ G.S. has a  $4\alpha$ -cluster degree of freedom.



# $4\alpha$ gas-like or $\alpha+^{12}\text{C}$ (Hoyle) $\approx 0^+_6$ state



$4\alpha$ -gas-like

$M(E0)=1.0 \text{ fm}^2$  by  $4\alpha$  OCM

Monopole transition



$$\mathcal{O} = \sum_{i=1}^{16} (\mathbf{r}_i - \mathbf{R}_{\text{cm}})^2 : \text{monopole operator}$$

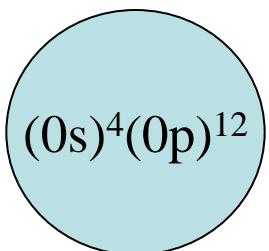
$$= \boxed{\sum_{k=1}^4 \sum_{i=1}^4 (\mathbf{r}_{i+4(k-1)} - \mathbf{R}_{\alpha_k})^2} + \boxed{\sum_{k=1}^4 4(\mathbf{R}_{\alpha_k} - \mathbf{R}_{\text{cm}})^2}$$

internal part

relative part

coherent  
excitation

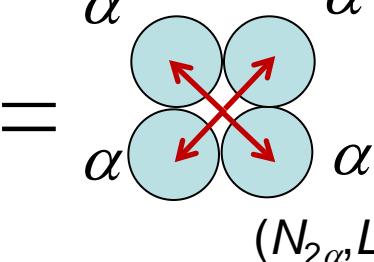
$^{16}\text{O}(\text{g.s.})$



$0^+_1$

SU(3)(00) wf

$$(N_{2\alpha-\alpha}, L_{2\alpha-\alpha}) = (4,0)$$



$$(N_{3\alpha-\alpha}, L_{3\alpha-\alpha}) = (4,0)$$

$$(N_{2\alpha}, L_{2\alpha}) = (4,0)$$

Bayman-Bohr theorem

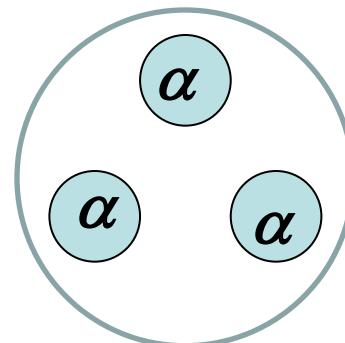
**12C**

**$3\alpha$  gas-like =  $0^+_2$  (Hoyle) state**

$$\mathcal{O} = \sum_{i=1}^{12} (\mathbf{r}_i - \mathbf{R}_{\text{cm}})^2$$

$$= \sum_{k=1}^3 \sum_{i=1}^4 (\mathbf{r}_{i+4(k-1)} - \mathbf{R}_{\alpha_k})^2 \quad \text{internal part}$$

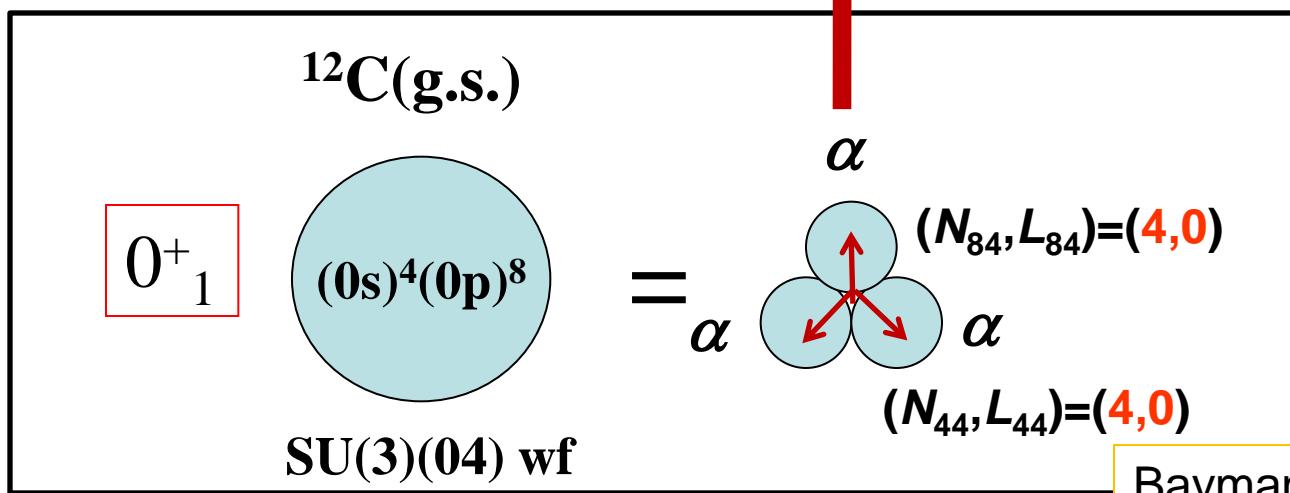
$$+ \sum_{k=1}^3 4(\mathbf{R}_{\alpha_k} - \mathbf{R}_{\text{cm}})^2 \quad \text{relative part}$$



portion of EWSR

$$M(E0) = 5.4 \pm 0.2 \text{ fm}^2 \text{ (16%)}$$

**Monopole transition  
coherent excitation**



Yamada et al.,  
PTP120 (2008)

Bayman-Bohr theorem

**Dominance of  $\text{SU}(3)(04)$  : no-core shell model by Dytrych et al., PRL98 (2007)**

## Bayman-Bohr theorem

$$\begin{aligned} \phi_{J=0}(^{12}\text{C}) &= \left| (0s)^4(0p)^{12}; (\lambda, \mu) = (0, 4), J^\pi = 0^+ \right\rangle_{\text{internal}} : \text{SU(3) wf} \\ &= N_0 \sqrt{\frac{4!4!4!}{12!}} A \left\{ \left[ u_{40}(\xi_2, \frac{8}{3}\nu) u_{40}(\xi_1, 2\nu) \right]_{J=0} \phi(\alpha)\phi(\alpha)\phi(\alpha) \right\} \end{aligned}$$

**3 $\alpha$ -cluster wf**

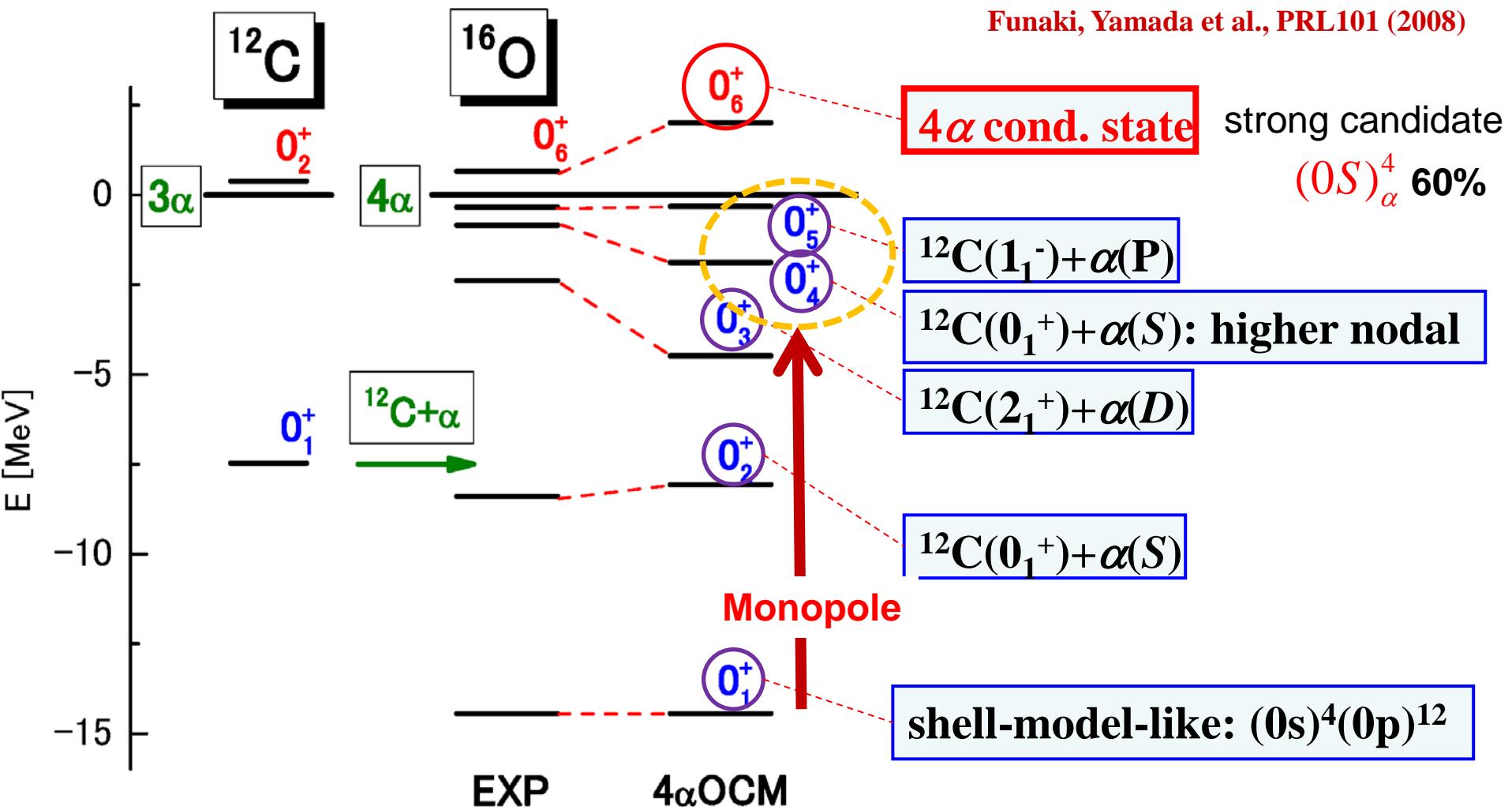
**Dominance of SU(3)(04) in  $^{12}\text{C(g.s.)}$ :**  
**confirmed by no-core shell model**  
**Dytrych et al., PRL98 (2007)**

Yamada et al.,  
PTP120 (2008)

This means that the ground state w.f. of  $^{12}\text{C}$  originally has an  $\alpha$ -clustering degree of freedom together with single-particle degree of free dom.

## 4 $\alpha$ OCM calculation

Funaki, Yamada et al., PRL101 (2008)



# Monopole excitation to $0^+_5$ and $0^+_4$ state

$0^+_5$  state:

$^{12}\text{C}(1_1^-) + \alpha(\text{P})$

main configuration

Bayman-Bohr theorem:

$(0\text{s})^4(0\text{p})^{12}$  has no configuration of  $^{12}\text{C}(1^-) + \alpha$

Why this state is excited?

Coupling with  $^{12}\text{C}(0+, 2+) + \alpha$  and  $^{12}\text{C}(\text{Hoyle}) + \alpha$  configuration

Coherent contribution from these configurations

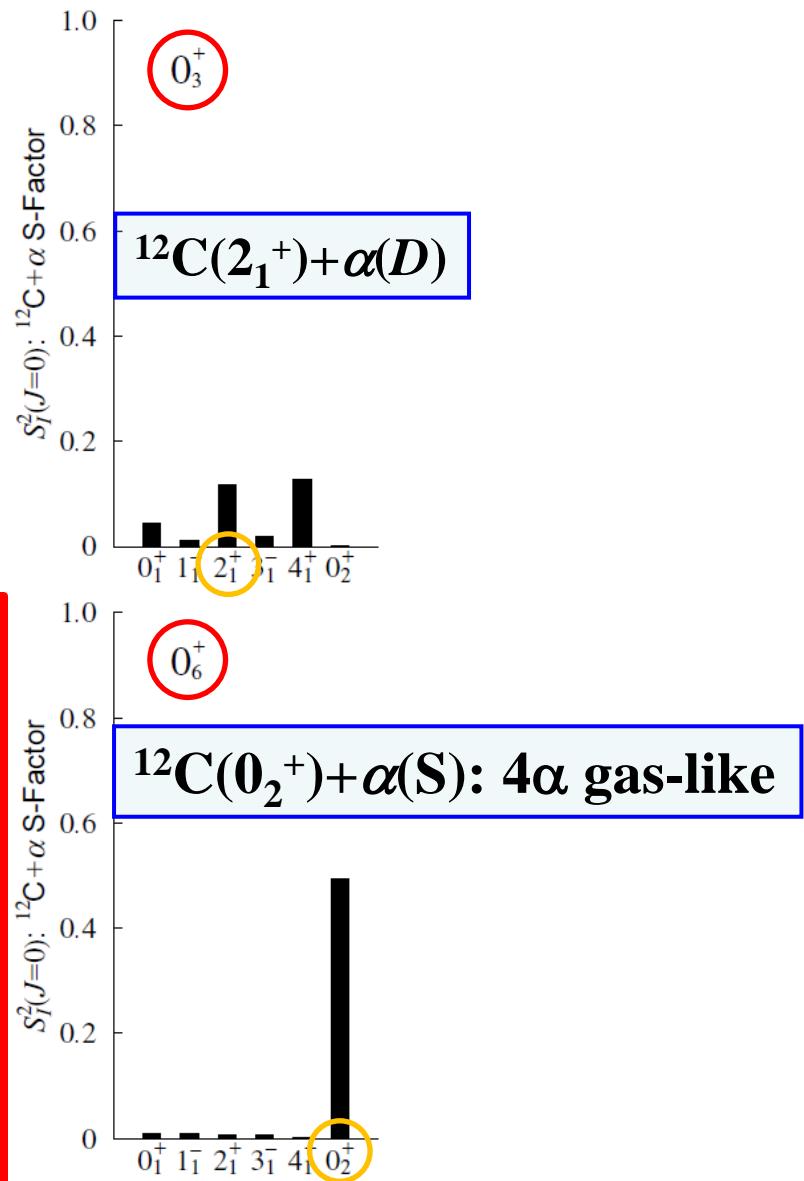
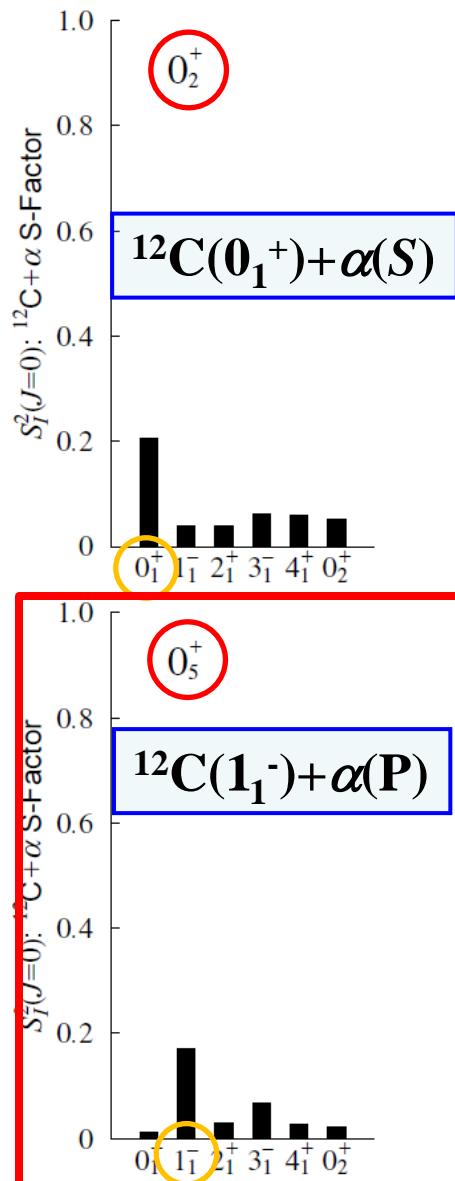
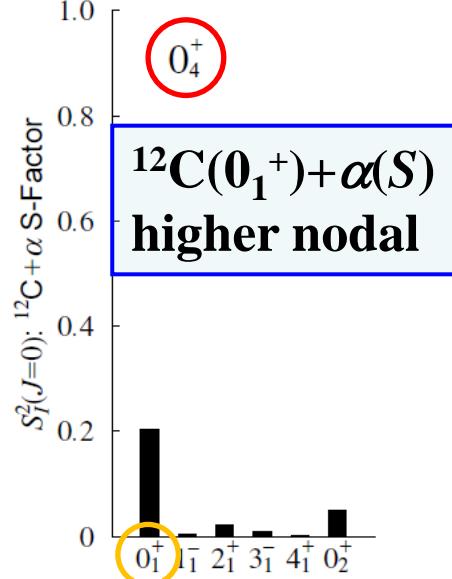
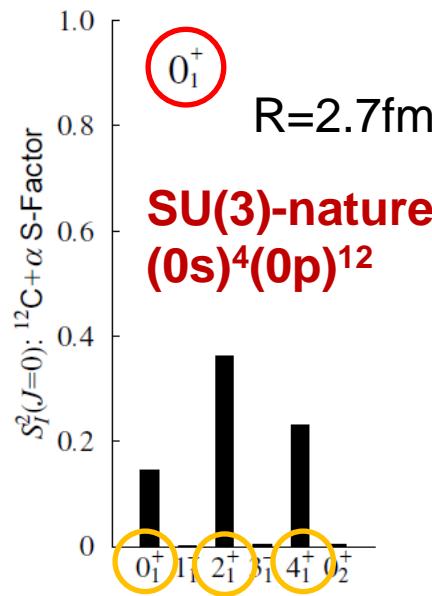
$0^+_4$  state:

$^{12}\text{C}(0_1^+) + \alpha(\text{S})$ : higher nodal

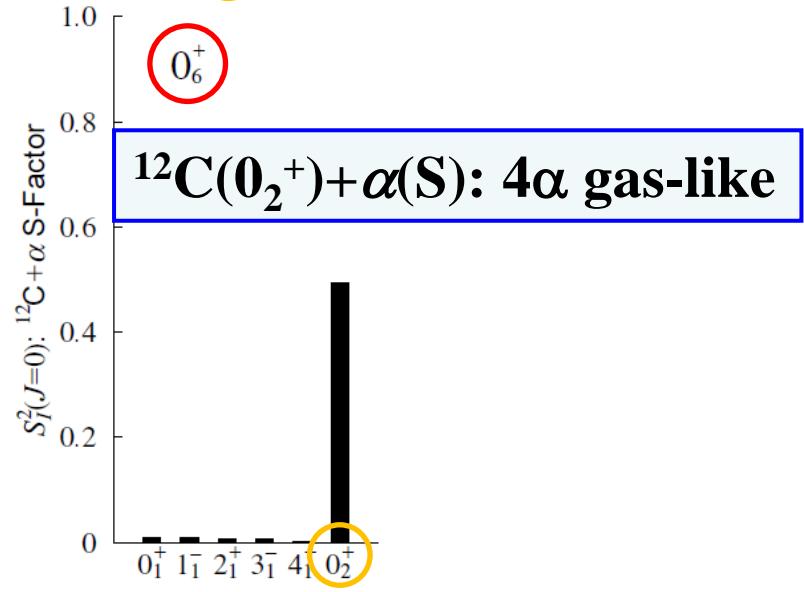
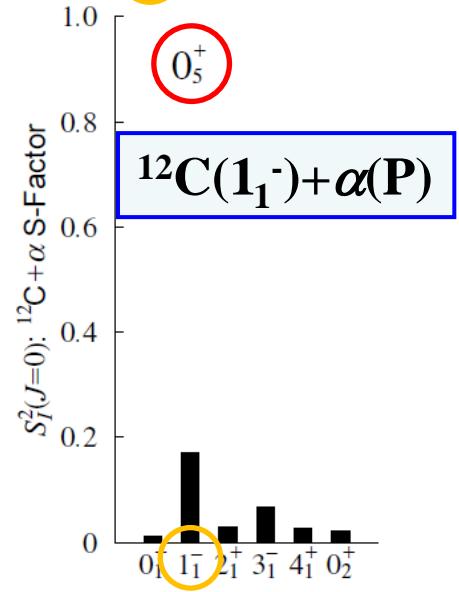
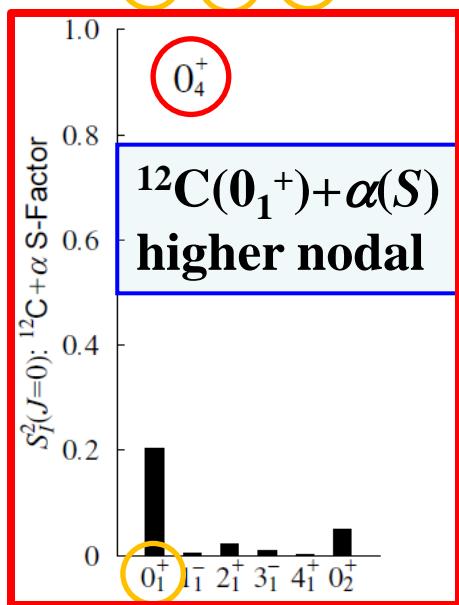
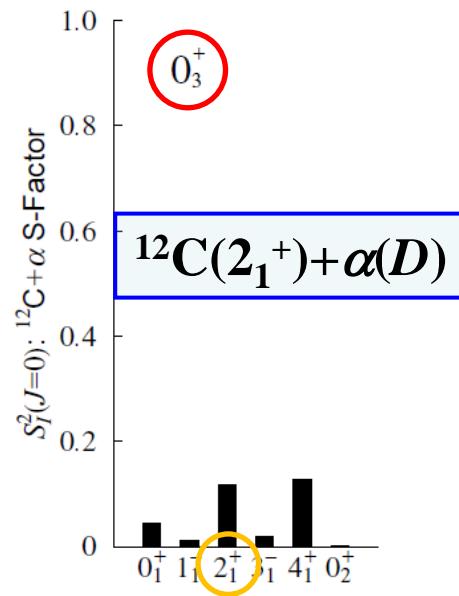
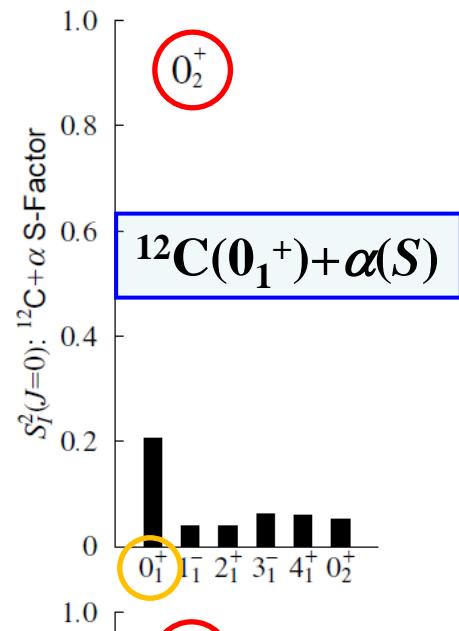
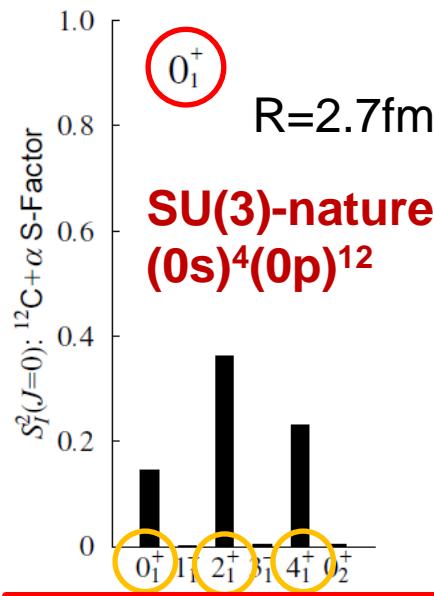
Coherent contributions from

$^{12}\text{C}(0+, 2+) + \alpha$  and  $^{12}\text{C}(\text{Hoyle}) + \alpha$  configurations

# $S^2$ -factors of $\alpha + ^{12}\text{C}(L^\pi)$ channels in $0^+$ states of $^{16}\text{O}$

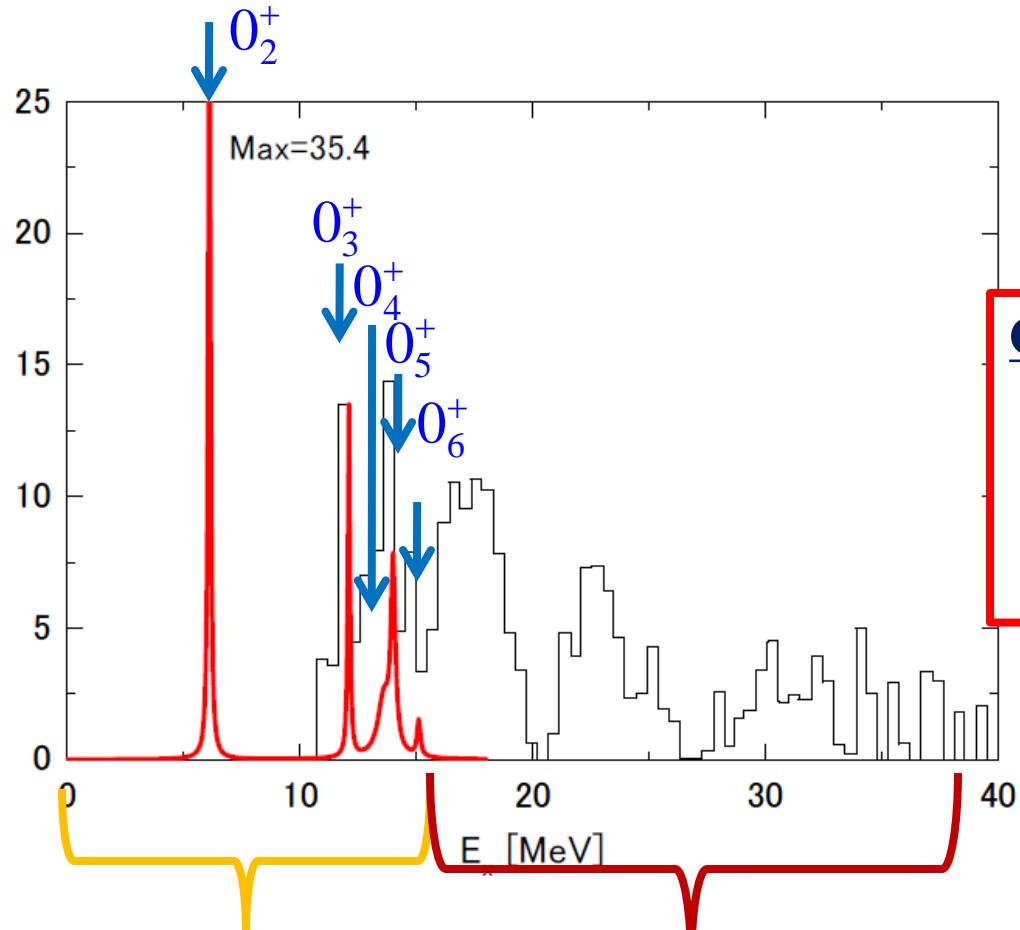


# $S^2$ -factors of $\alpha + ^{12}\text{C}(L^\pi)$ channels in $0^+$ states of $^{16}\text{O}$



# Exp. vs. Cal.

## IS monopole S(E) with $4\alpha$ OCM



Two features  
in IS monopole excitations

Origin: dual nature of G.S. of  $^{16}\text{O}$   
(1)  $\alpha$ -clustering degree of freedom  
(2) mean-field-type one  
 $(0s)^4(0p)^{12} : \text{SU}(3)(00) = ^{12}\text{C} + \alpha :$   
Bayman-Bohr theorem

Excitation to cluster states  
( $\alpha$ -cluster type)

Monopole excitation  
of mean-field type (RPA)

# Dual nature of the ground states in $^{12}\text{C}$ and $^{16}\text{O}$ : common to all $N=Z=\text{even}$ light nuclei

$^{20}\text{Ne}$

$^{20}\text{Ne}, ^{24}\text{Mg}, ^{32}\text{S}, \dots, ^{44}\text{Ti}, \dots$

$$\begin{aligned}\Phi_J(^{20}\text{Ne}) &= |(0s)^4(0p)^{12}(1s0d)^4 : SU(3)(80), J\rangle_{\text{internal}} : \text{SU(3) wf} \\ &= N_J \sqrt{\frac{4!16!}{20!}} \mathcal{A} \left\{ u_{8J}(\underline{\mathbf{r}_{\alpha-^{16}\text{O}}}) \phi(\alpha) \phi(^{16}\text{O}) \right\} : \text{cluster wf} \\ &\quad \text{relative wf (J-wave)}\end{aligned}$$

## Excitation of mean-field degree of freedom

→  $K^\pi = 2^-$  band :  $5p1h$  state

## Excitation of $\alpha$ -cluster degree of freedom

→  $\alpha + ^{16}\text{O}$  cluster states of  $K^\pi = 0^+_4, 0^-$  bands

$K^\pi = 0^+_4$  band : higher nodal states

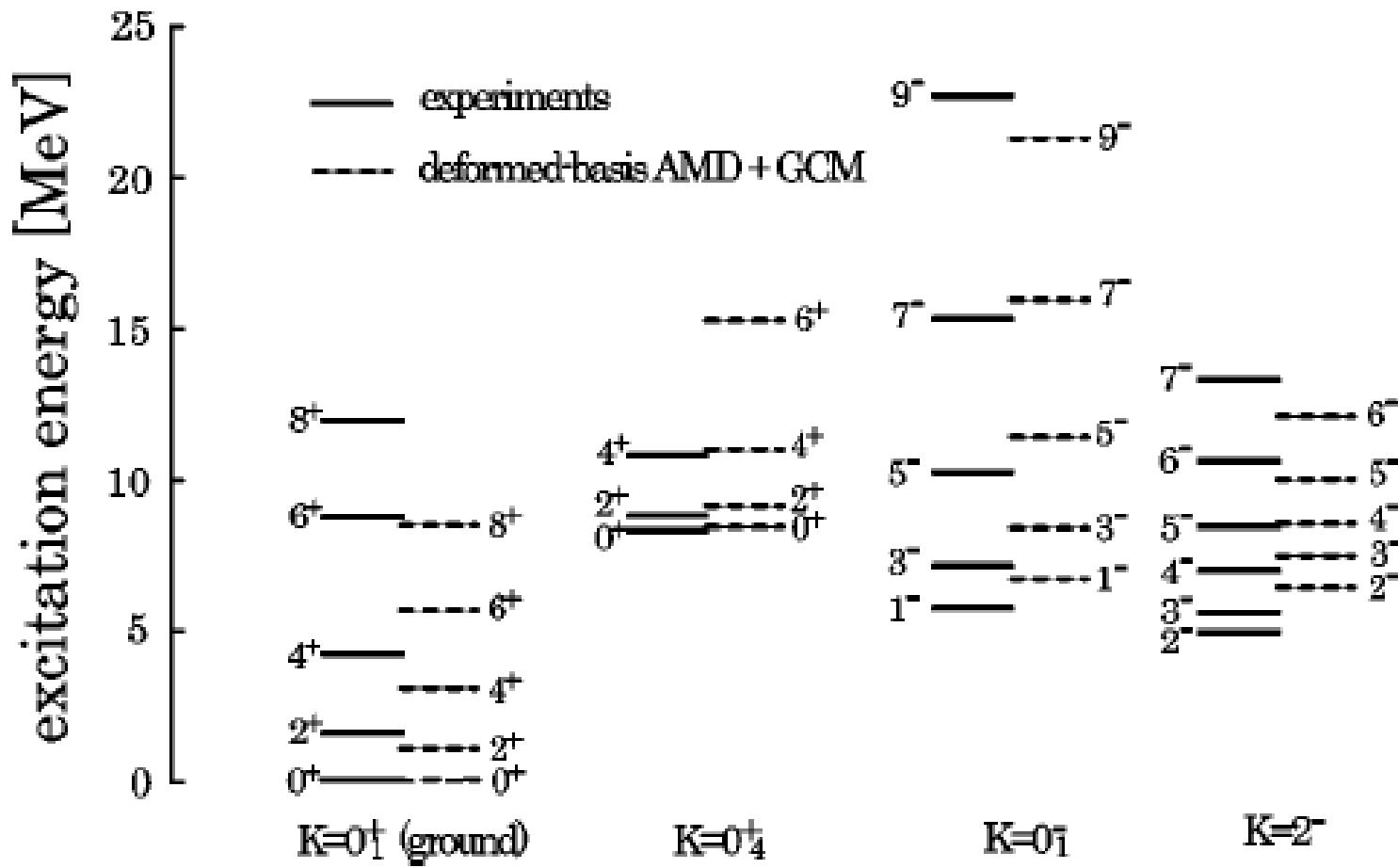
$\alpha + ^{16}\text{O}$  comp. = 80% for low spins: Q=10 quanta

$K^\pi = 0^-$  band : parity – doublet states

Almost pure  $\alpha + ^{16}\text{O}$  structures for low spins: Q=9 quanta

**$^{20}\text{Ne}$**

**AMD+GCM**



# Two features of IS monopole excitation

{ Cluster states at low energy,  
Mean-field-type states at higher energy

**These features will persist in other light nuclei.**

We predict that increasing mass number, the two features will gradually be vanishing.

Because,

effect of spin-orbit forces becomes stronger:

some SU(3) symmetries are mixed in g.s.

→ Goodness of nuclear SU(3) symmetry: gradually disappearing.

This means that dual nature of g.s is corroding with increasing mass number.

→ Two features of IS monopole excitation will be vanishing.

Eventually only 1p1h-type collective motions are strongly excited.

**Hope: Systematic experiments!!**

# Summary

- $\alpha$ -condensation in  $^{12}\text{C}$ ,  $^{16}\text{O}$ , heavier  $4n$  nuclei.
- Hoyle-analog states in  $^{11}\text{B}$ ,  $^{13}\text{C}$
- IS monopole tran. : useful to search for cluster states  
     $\leftrightarrow \text{B(E2)}$ : nuclear deformation (Rainwater)
- IS monopole excitations have **two features**:  $^{16}\text{O}$  (typical)
  - (i)  $\alpha$ -cluster type: discrete peaks at  $E_x \leq 15$  MeV
  - (ii) mean-field type: 3-bump structure (18,23,30 MeV)
- The origin: **Dual nature** of the ground state of  $^{16}\text{O}$ .  
G.S. has mean-field and  $\alpha$ -cluster degrees of freedom  
:  $(0s)^4(0p)^{12} = \text{SU}(3)(00) = \alpha + ^{12}\text{C}$  w.f. by Bayman-Bohr theorem
- Dual nature is common to all  $N=Z=\text{even}$  light nuclei
- Two features of IS monopole excitations will persist  
in other nuclei. **Hope experiments.**