

Complex 2D Matrix Model and Internal Structure of Resonances

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In collaboration with

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and Atsushi Hosaka

[\[arXiv:1109.0426\[hep-ph\]\]](https://arxiv.org/abs/1109.0426)

CONTENTS

* **Nature transition for**

- real energy states
- complex energy states (resonance)

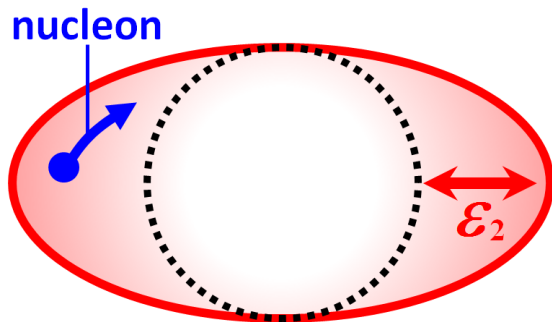
* **Complex 2D Matrix Model**

* **Application to Hadron Physics**

Introduction

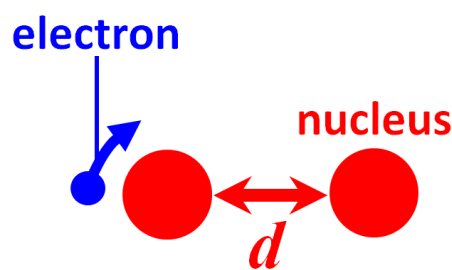
How do characters of quantum states **change** with **variation of a parameter** which specifies the property of the system or of the environment where the system is placed ?

“deformed nuclei”



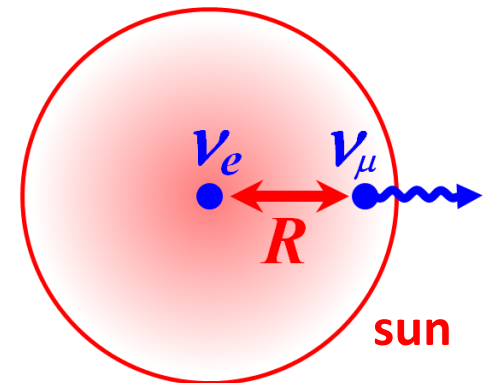
ϵ_2 (deformation parameter of nuclear MF potential)

“diatomic molecule”



d (inter-nuclear distance)

“solar neutrino”



R (distance from the center of the sun)

$\hat{H}(\lambda)$: Hamiltonian with $\lambda \in \mathbb{R}$

Nature Transition

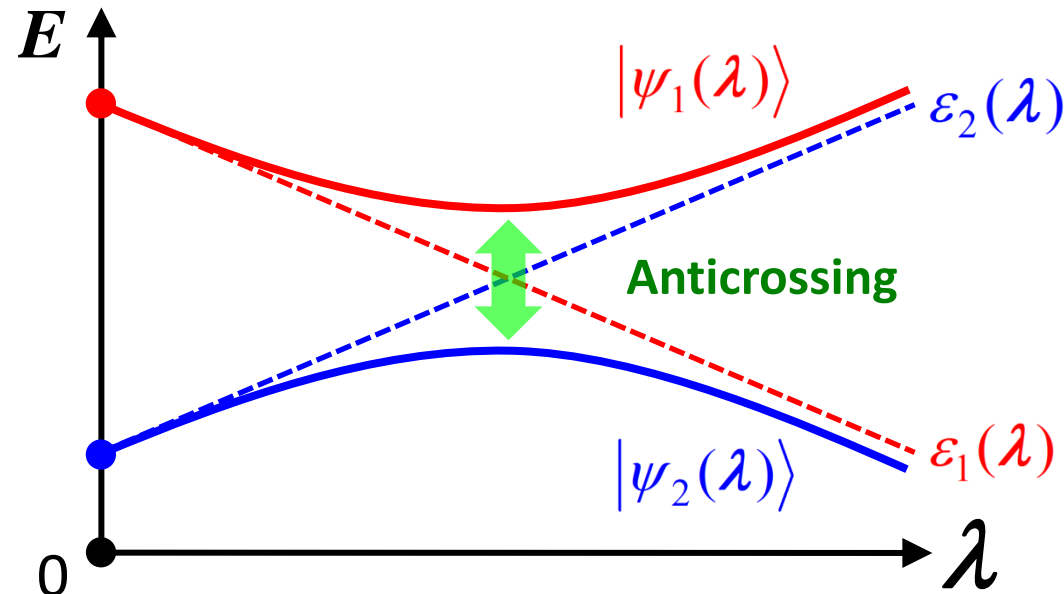
Important concept to **classify** the quantum states with variation of a parameter.

$\hat{H}(\lambda)$: Hamiltonian with $\lambda \in \mathbf{R}$.

$|\phi_1\rangle, |\phi_2\rangle$: eigenstates at $\lambda = 0$.

Hamilton Matrix

$$\mathbf{H}(\lambda) = \begin{pmatrix} \langle \phi_1 | \hat{H}(\lambda) | \phi_1 \rangle & \langle \phi_1 | \hat{H}(\lambda) | \phi_2 \rangle \\ \langle \phi_2 | \hat{H}(\lambda) | \phi_1 \rangle & \langle \phi_2 | \hat{H}(\lambda) | \phi_2 \rangle \end{pmatrix} = \begin{pmatrix} \varepsilon_1(\lambda) & V_{12}(\lambda) \\ V_{21}(\lambda) & \varepsilon_2(\lambda) \end{pmatrix}$$



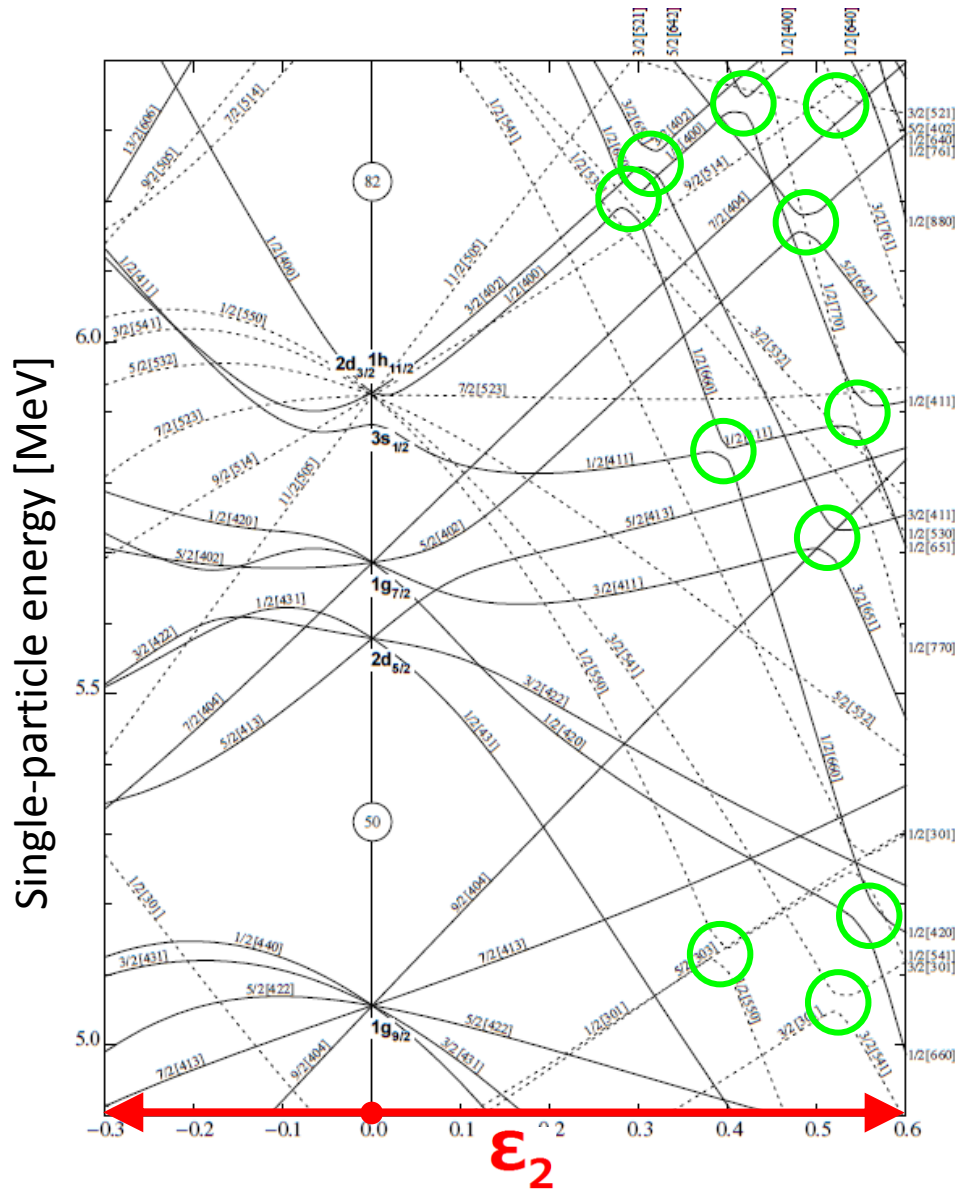
**Character exchange
with variation of λ .**



“Nature Transition”

*classification of quantum states
with variation of $\lambda \in \mathbf{R}$.*

Nature Transition in Nuclear Physics

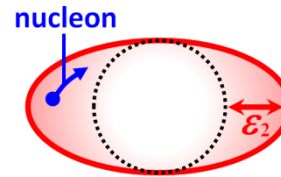


[Nilsson diagram for neutrons , 50 < N < 82]

Nilsson diagram of deformed shell model

Anisotropic-Harmonic Oscillator

$$H = \frac{\mathbf{p}^2}{2m} + \frac{m}{2} \left\{ \omega_T^2 (\mathbf{x}^2 + \mathbf{y}^2) + \omega_Z^2 \mathbf{z}^2 \right\}$$



$$\omega_T^2 = \omega_0^2 \left(1 + \frac{2}{3} \epsilon_2 \right)$$

$$\omega_Z^2 = \omega_0^2 \left(1 - \frac{4}{3} \epsilon_2 \right)$$

$$\lambda \sim \epsilon_2$$

deformation parameter
of the mean field potential

Nature transition is important
to know the structure of nuclei
at each energy level !!!

Nature Transition for Resonance States

$$E \in \mathbf{C} \quad [\text{G. Gamow, Z. Phys. 51, 204 (1928); 52, 510 (1928)}]$$

→
$$H(\lambda) = \begin{pmatrix} \varepsilon_1(\lambda) & V_{12}(\lambda) \\ V_{21}(\lambda) & \varepsilon_2(\lambda) \end{pmatrix}$$

Matrix elements become **complex**:

$$\varepsilon_i, V_{ij} \in \mathbf{C}$$

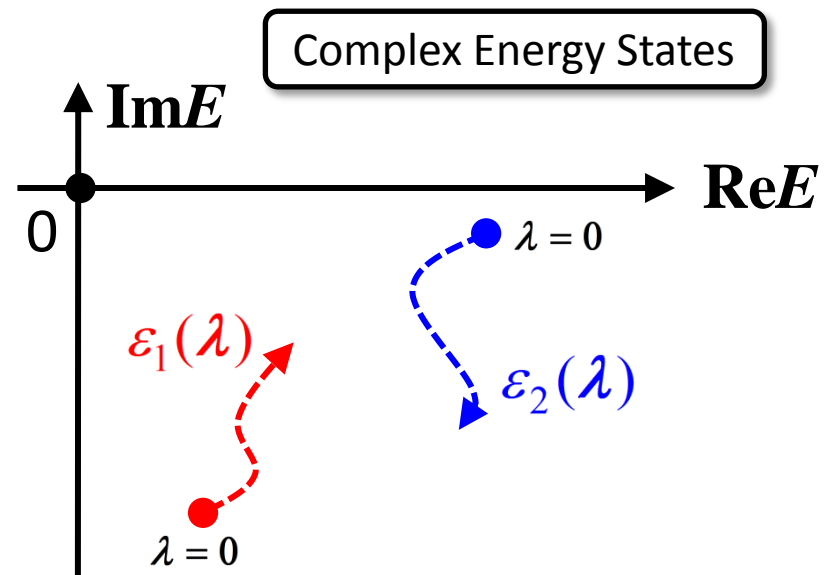
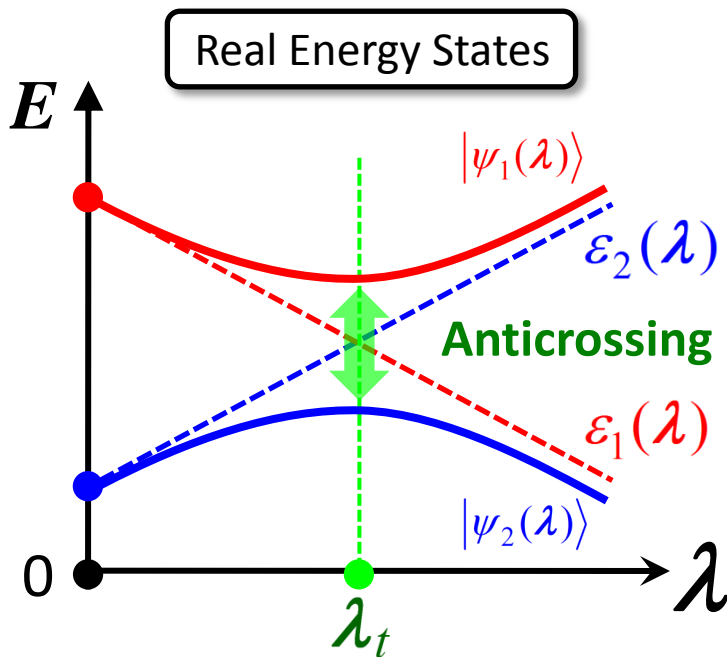
Nature Transition for Resonance States

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Matrix elements become **complex**:

$$\varepsilon_i, V_{ij} \in \mathbf{C}$$



* What is the **simple criterion** of nature transition for resonances ?

* How do poles move two-dimensionally on complex- E plane ?

History of Resonance States

1920~1930 How to describe the “ **α -decay of nuclei**” by quantum mechanics ?

1928 **Resonance as a complex energy state (Gamow state) : $E \in \mathbb{C}$**

[G. Gamow, Z. Phys. 51, 204 (1928); 52, 510 (1928)]

1965 **Biorthogonality** for resonance states

[N. Hokkyo, Prog. Theor. Phys. 33, 1116 (1965)]

ket state : $|\phi) \equiv |\phi\rangle$, bra state : $(\phi| \equiv \langle\phi^*|$

1968 **Resonance shell model** (without completeness)

[W. Romo, Nucl. Phys. A116, 618 (1968)]

1968 **Extended completeness** with bound/resonance/continuum
by modified contour integral

[T. Berggren, Nucl. Phys. A109, 265 (1968)]

1971 **Complex Scaling Method (CSM)** [ABC theorem (1971)]

(Extended completeness
with continuum effect controlled by a scaling parameter θ)

1970's~ Application of CSM to **chemical physics with coulomb potential**

[N. Moiseyev, Phys. Rept. 302, 211 (1998)]

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Biorthogonal Representation of Resonance State

[N. Hokkyo, Prog. Theor. Phys. 33, 1116 (1965)]

[W. Romo, Nucl. Phys. A116, 618 (1968)]

[T. Berggren, Nucl. Phys. A109, 265 (1968)]

● Biorthogonal basis :

$$\text{ket state : } |\phi\rangle \equiv \underset{\substack{\uparrow \\ \text{Dirac ket state}}}{|\phi\rangle},$$

$$\text{bra state : } (\phi| \equiv \langle \phi^*| \underset{\substack{\uparrow \\ \text{C.C. of Dirac bra state}}}{}}$$

● Biorthogonality :

$$(\phi_1 | \phi_2) = \langle \phi_1^* | \phi_2 \rangle = \int d\mathbf{r} \phi_1 \phi_2 = 0 \quad \text{for} \quad E_1 \neq E_2 \in \mathbf{C}$$

$$\text{Scalar metric product : } \langle \phi_1 | \phi_2 \rangle = \int d\mathbf{r} \phi_1^* \phi_2 \neq 0$$

● Complex norm:

$$(\phi_1 | \phi_1) = \int d\mathbf{r} \phi_1 \phi_1 \in \mathbf{C}$$

“Complex probability” implies that resonance is the object beyond the quantum mechanics.

Complex 2D Matrix Model

[K.N., et.al., arXiv:1109.0426[hep-ph]]

$\hat{H}(\lambda)$: Hamiltonian with $\lambda \in \mathbf{R}$.

$|\phi_1\rangle, |\phi_2\rangle$: eigenstates at $\lambda = 0$ in “biorthogonal representation”.

$$\left(\begin{array}{l} |\phi\rangle \equiv |\phi\rangle, \quad (\phi| \equiv \langle\phi^*| \\ \text{[N. Hokkyo, Prog. Theor. Phys. 33, 1116 (1965)]} \\ \text{[T. Berggren, Nucl. Phys. A109, 265 (1968)]} \end{array} \right)$$

Hamilton Matrix

$$\mathbf{H}(\lambda) = \begin{pmatrix} (\phi_1 | \hat{H}(\lambda) | \phi_1) & (\phi_1 | \hat{H}(\lambda) | \phi_2) \\ (\phi_2 | \hat{H}(\lambda) | \phi_1) & (\phi_2 | \hat{H}(\lambda) | \phi_2) \end{pmatrix} = \begin{pmatrix} \varepsilon_1(\lambda) & V_{12}(\lambda) \\ V_{21}(\lambda) & \varepsilon_2(\lambda) \end{pmatrix}$$

$$\varepsilon_i, V_{ij} \in \mathbf{C}, \quad \lambda \in \mathbf{R}$$

Complex 2D Matrix Model

Hamilton Matrix

$$\mathbf{H}(\lambda) = \begin{pmatrix} \langle \phi_1 | \hat{H}(\lambda) | \phi_1 \rangle & \langle \phi_1 | \hat{H}(\lambda) | \phi_2 \rangle \\ \langle \phi_2 | \hat{H}(\lambda) | \phi_1 \rangle & \langle \phi_2 | \hat{H}(\lambda) | \phi_2 \rangle \end{pmatrix} = \begin{pmatrix} \varepsilon_1(\lambda) & V_{12}(\lambda) \\ V_{21}(\lambda) & \varepsilon_2(\lambda) \end{pmatrix}$$

$\varepsilon_i, V_{ij} \in \mathbf{C}$

● Resonance eigenstates:

$$|\psi_1(\lambda)\rangle = C_{11}(\lambda) |\phi_1\rangle + C_{12}(\lambda) |\phi_2\rangle$$

$$|\psi_2(\lambda)\rangle = C_{21}(\lambda) |\phi_1\rangle + C_{22}(\lambda) |\phi_2\rangle$$

↑
Information about internal structure
of eigenstates

● Complex norm:

$$\langle \psi_i(\lambda) | \psi_i(\lambda) \rangle = C_{i1}^2(\lambda) + C_{i2}^2(\lambda) \in \mathbf{C}$$

Assumption



$|C_{ij}(\lambda)|^2$ can still be a guide of probability.
(suitable for narrow resonances)

Complex 2D Matrix Model

Hamilton Matrix

$$\mathbf{H}(\lambda) = \begin{pmatrix} (\phi_1 | \hat{H}(\lambda) | \phi_1) & (\phi_1 | \hat{H}(\lambda) | \phi_2) \\ (\phi_2 | \hat{H}(\lambda) | \phi_1) & (\phi_2 | \hat{H}(\lambda) | \phi_2) \end{pmatrix} = \begin{pmatrix} \varepsilon_1(\lambda) & V_{12}(\lambda) \\ V_{21}(\lambda) & \varepsilon_2(\lambda) \end{pmatrix}$$

$\varepsilon_i, V_{ij} \in \mathbf{C}$

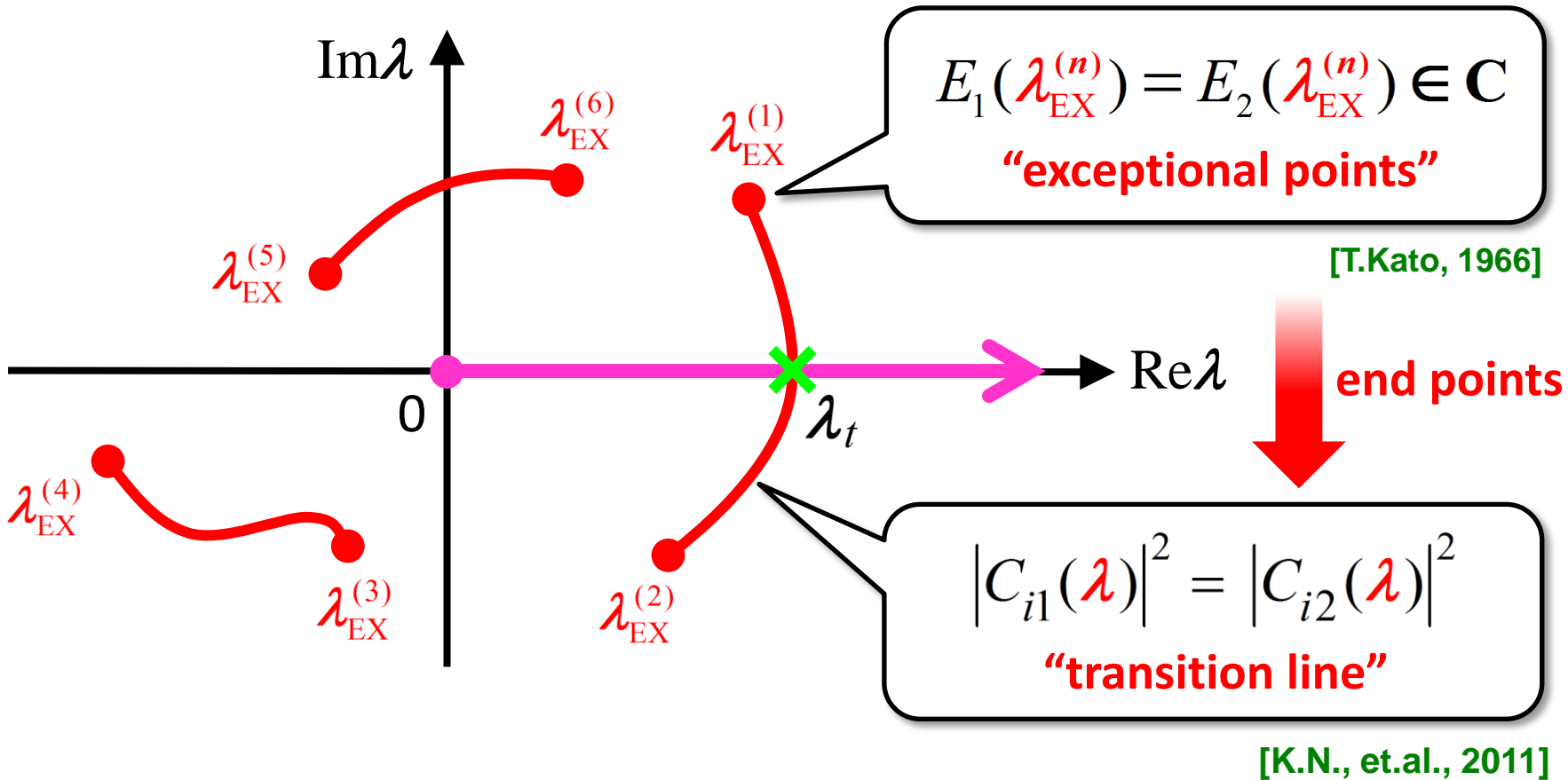
● Condition of nature transition :

$$\begin{aligned} |\psi_1(\mathbf{0})\rangle &= |\phi_1\rangle \\ |\psi_2(\mathbf{0})\rangle &= |\phi_2\rangle \end{aligned} \iff \begin{aligned} C_{11}(\mathbf{0}) &= 1, \quad C_{12}(\mathbf{0}) = 0 \\ C_{21}(\mathbf{0}) &= 0, \quad C_{22}(\mathbf{0}) = 1 \end{aligned}$$

Nature transition with character exchange:

$$|C_{i1}(\lambda)|^2 = |C_{i2}(\lambda)|^2$$

Geometry on Complex- λ Plane



“Geometry” with **“exceptional points”** and **“transition lines”** on complex- λ plane is important to judge the existence of nature transition in the real parameter subspace : $\lambda \in \mathbf{R}$.

Exceptional Points

[T. Kato, *Perturbation Theory of Linear Operator*, 1966]

$$\mathbf{H}(\lambda) = \begin{pmatrix} \varepsilon_1(\lambda) & V_{12}(\lambda) \\ V_{21}(\lambda) & \varepsilon_2(\lambda) \end{pmatrix} \quad \varepsilon_i, V_{ij} \in \mathbf{R}, \quad V_{12} = V_{21}$$

Energy eigenvalues :

$$E_{i=1,2}(\lambda) = \frac{\varepsilon_1(\lambda) + \varepsilon_2(\lambda)}{2} \pm \sqrt{\left(\frac{\varepsilon_1(\lambda) - \varepsilon_2(\lambda)}{2} \right)^2 + V_{12}^2(\lambda)}$$

energy gap

$$\lambda \in \mathbf{R} \quad \Rightarrow \quad E_1(\lambda) \neq E_2(\lambda)$$

(1dim.) (Neumann-Wigner non-crossing rule (1929))

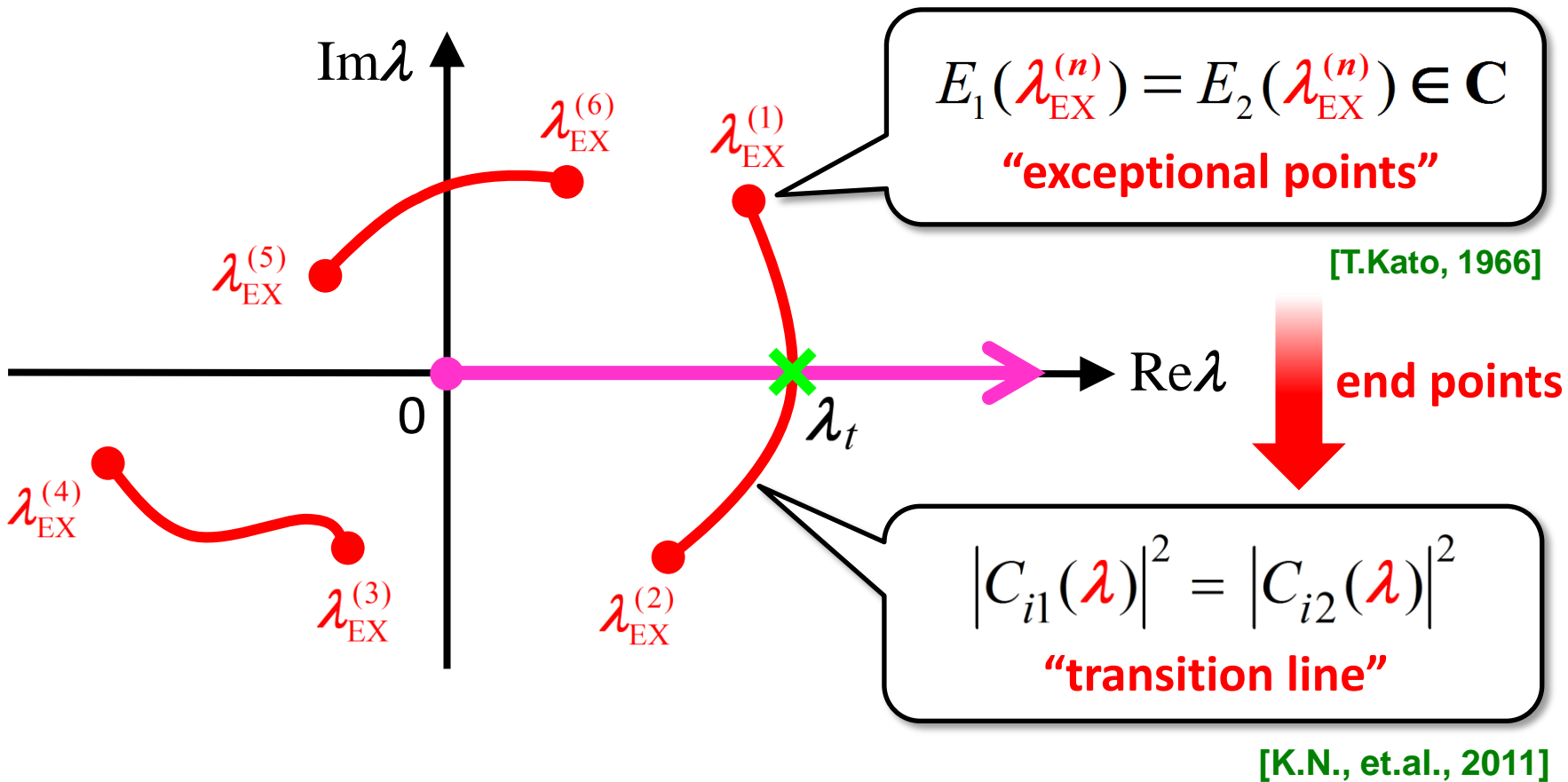
$$\lambda \in \mathbf{C} \quad \Rightarrow \quad E_1(\lambda_{\text{EX}}^{(n)}) = E_2(\lambda_{\text{EX}}^{(n)}) \in \mathbf{C}$$

(2dim.) (isolated degenerating point = “exceptional point”)

Dense exceptional points on complex- λ plane can be the criterion for the development of “quantum chaos” in the energy level statistics !!!

[W.D.Heiss and A.L.Sannino, PRA43,4159(1991); W.D.Heiss, PRE61, 929 (2000)]

Geometry on Complex- λ Plane



“Geometry” with “exceptional points” and “transition lines” on complex- λ plane is important to judge the existence of nature transition in the real parameter subspace : $\lambda \in \mathbf{R}$.

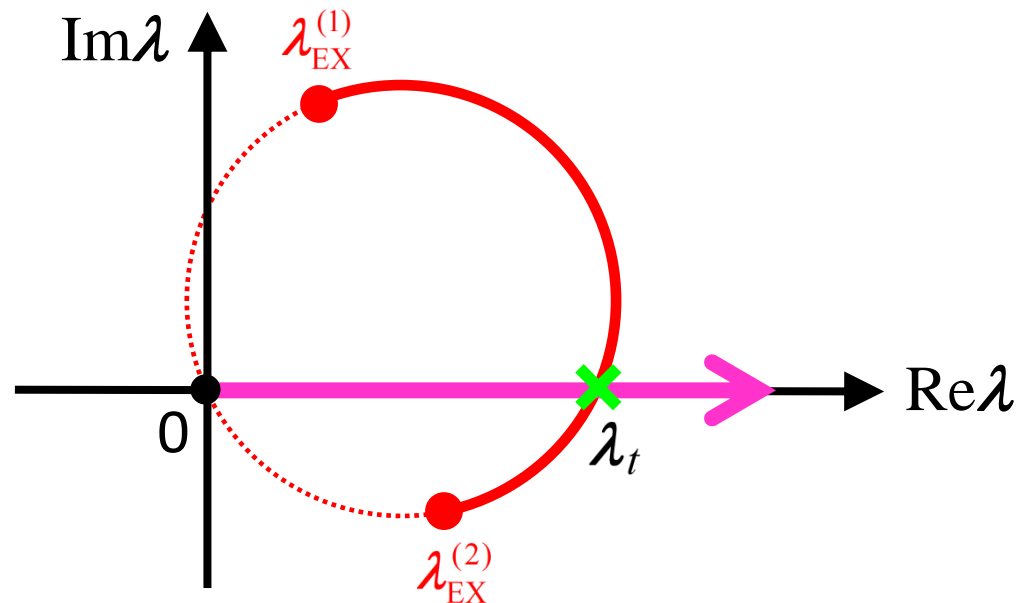
Linear- λ Model

[K.N. et.al., 2011]

$$\mathbf{H}(\lambda) = \begin{pmatrix} \varepsilon_1^{(0)} & 0 \\ 0 & \varepsilon_2^{(0)} \end{pmatrix} + \begin{pmatrix} \lambda \mathbf{v}_{11} & \lambda \mathbf{v}_{12} \\ \lambda \mathbf{v}_{21} & \lambda \mathbf{v}_{22} \end{pmatrix}$$

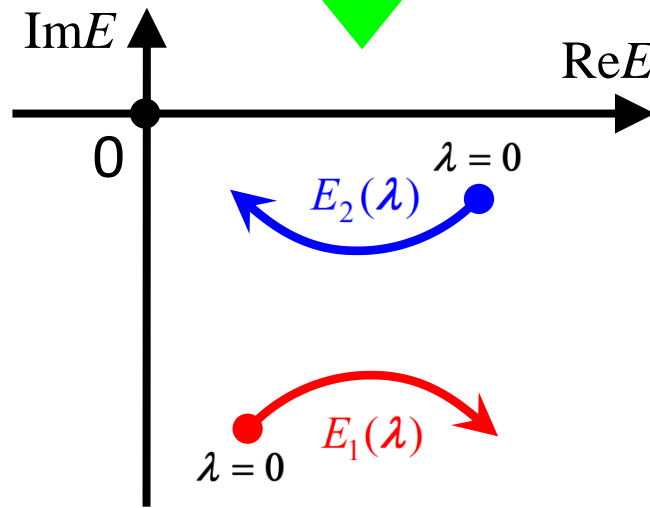
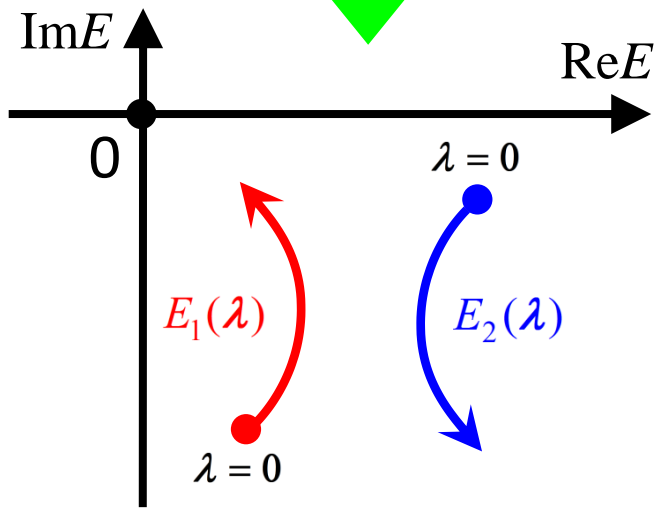
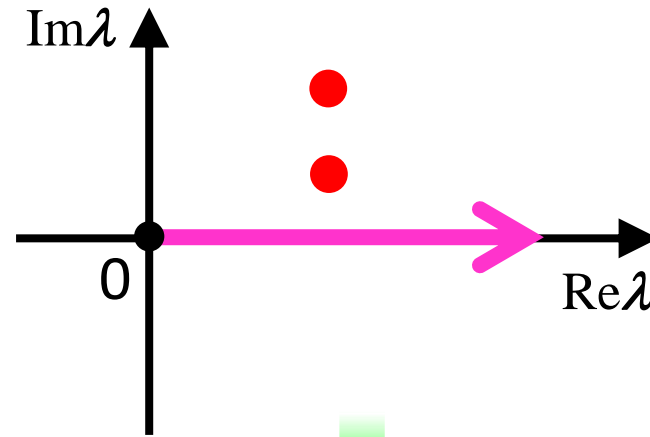
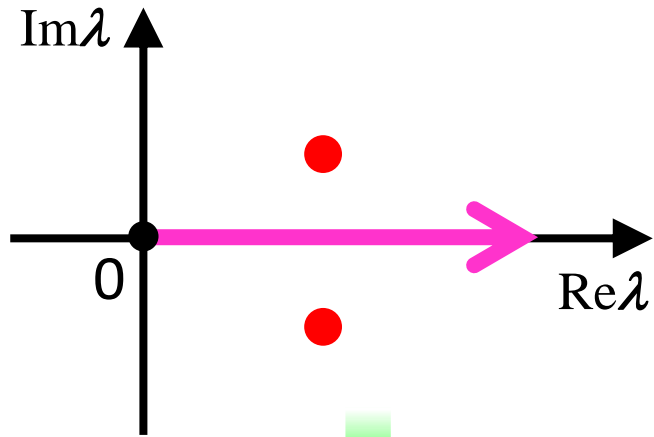
2 exceptional points : $\lambda_{\text{EX}}^{(1)}$, $\lambda_{\text{EX}}^{(2)}$

1 transition line (arc shape)



Exceptional Points with Pole Behavior

[W.D.Heiss, PRE61, 929 (2000)]

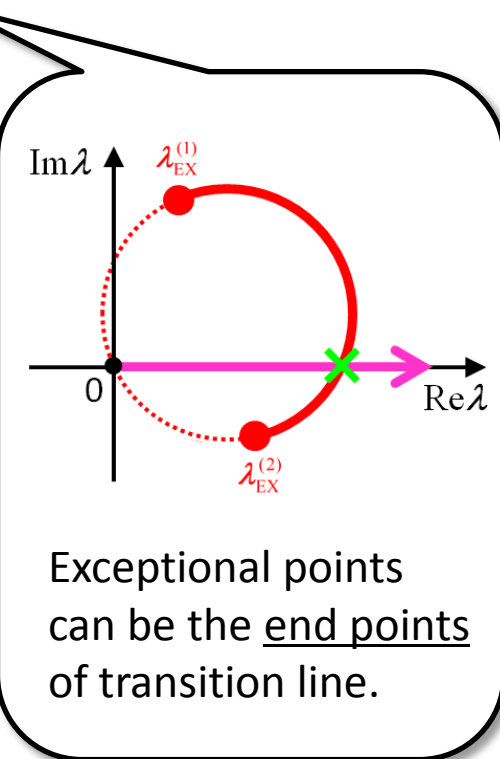
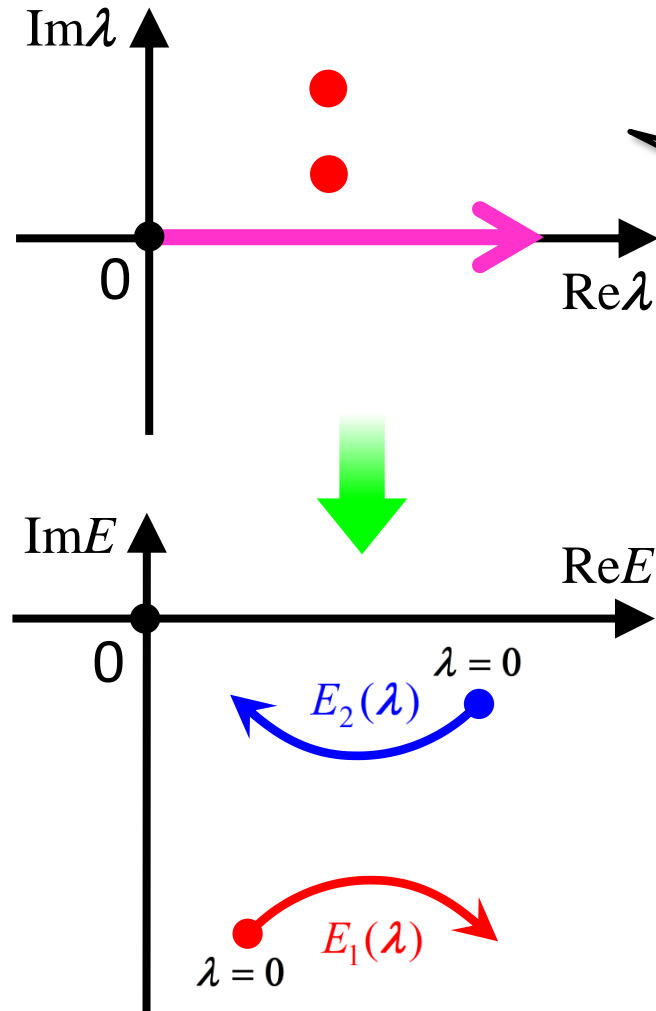
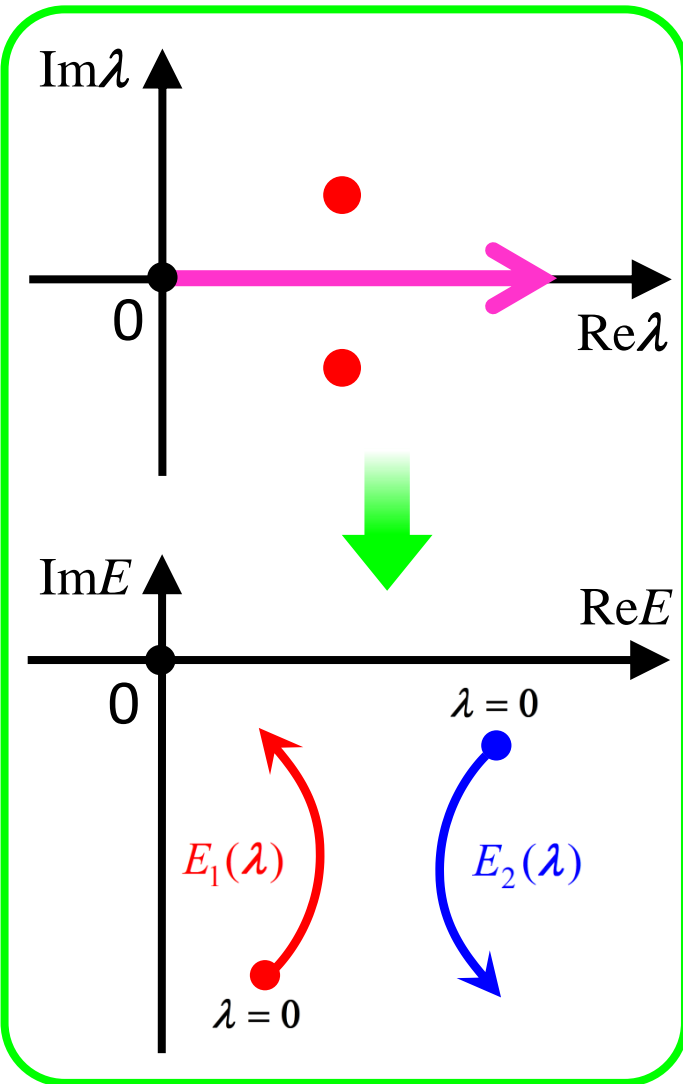


Level repulsion/
Width crossing

Level crossing/
Width repulsion

Exceptional Points with Pole Behavior

[W.D.Heiss, PRE61, 929 (2000)]



Exceptional points can be the end points of transition line.

[K.N. et.al., 2011]

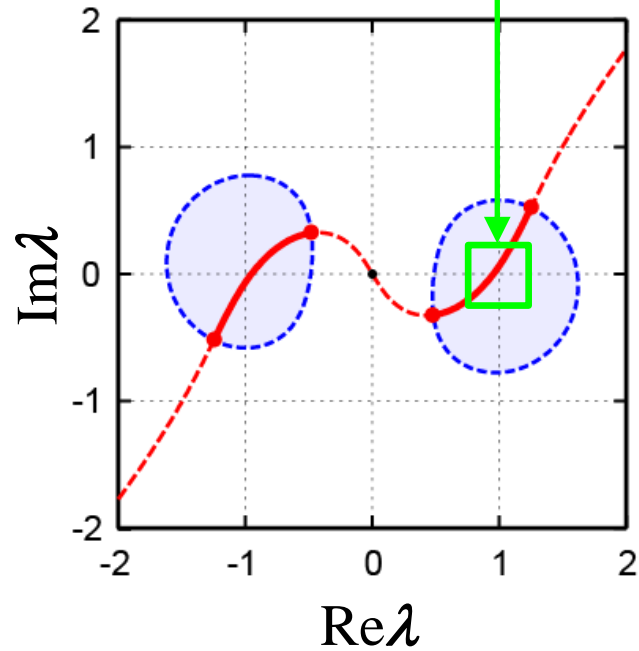
Nature transition occurs only for **level repulsion/width crossing case !!!**

Geometrical Maps

$$\mathbf{H}_{PQR}(\lambda) = \begin{pmatrix} \varepsilon_1^{(0)} & 0 \\ 0 & \varepsilon_2^{(0)} \end{pmatrix} + \begin{pmatrix} \lambda^P \mathbf{v}_{11} & \lambda^R \mathbf{v}_{12} \\ \lambda^R \mathbf{v}_{21} & \lambda^Q \mathbf{v}_{22} \end{pmatrix}$$

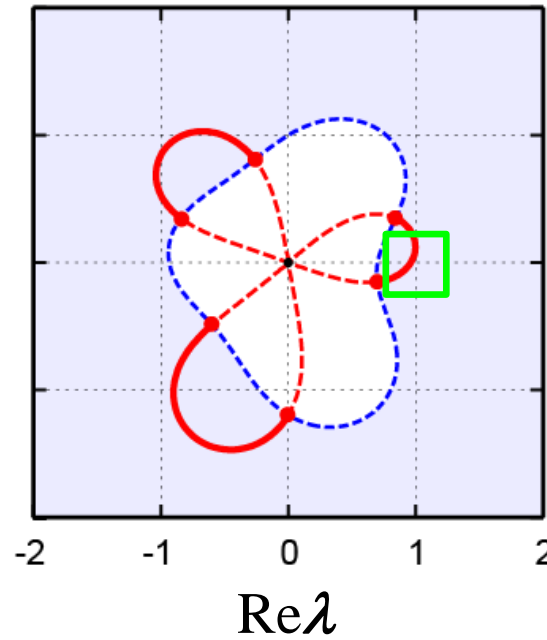
Structure around $\lambda \sim 1$
is universal.

\mathbf{H}_{221}



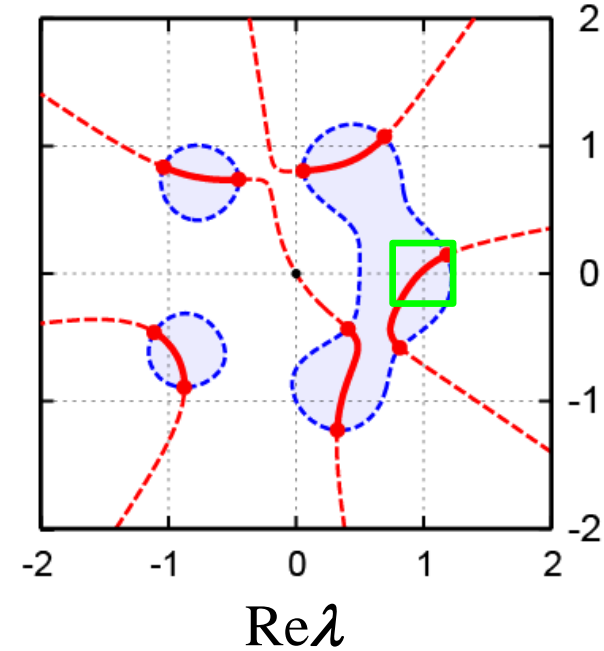
$N_{\text{ex}} = 4$

\mathbf{H}_{233}



$N_{\text{ex}} = 6$

\mathbf{H}_{151}



$N_{\text{ex}} = 10$

Application of Complex 2D Matrix Model to Hadron Physics

* **QCD** is $SU(N_c)$ gauge theory with $N_c = 3$.

[Y. Nambu, 1966]

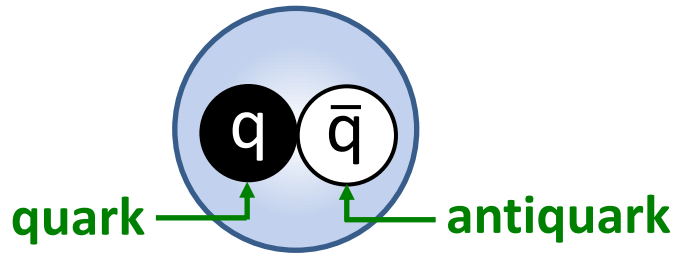
Fundamental theory of **the strong interaction**
with **quarks** and **gluons**.

(QCD becomes strong coupling at low-energy scale.)

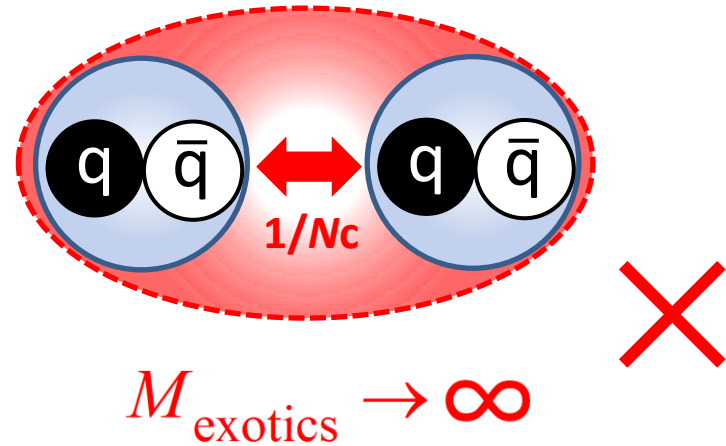
* **Large- N_c QCD** with $N_c = \infty$ gets lots of
phenomenological successes.

[G.'tHooft, NPB72,461(1974);B75,461(1974); E. Witten, NPB160,57(1979)]

Mesons in Large- N_c QCD



$$M_{\text{meson}} \propto O(N_c^0)$$



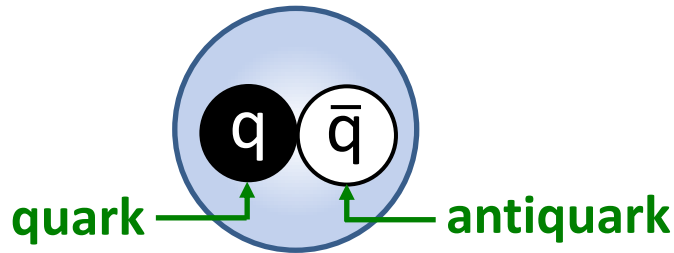
Exotics are probably not entirely absent in the real world, but they are certainly suppressed – they are certainly not conspicuous in phenomenology. The only known field theoretic reason for this suppression is the $1/N$ expansion.

[E. Witten, NPB160,57(1979)]

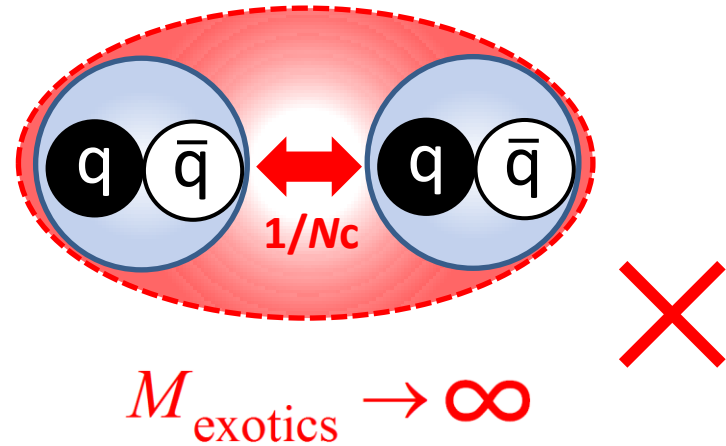
*** Internal structure of hadrons should depend on N_c .**

(It can drastically change due to the development of hadron dynamics scaled by $1/N_c$.)

Mesons in Large- N_c QCD



$$M_{\text{meson}} \propto O(N_c^0)$$



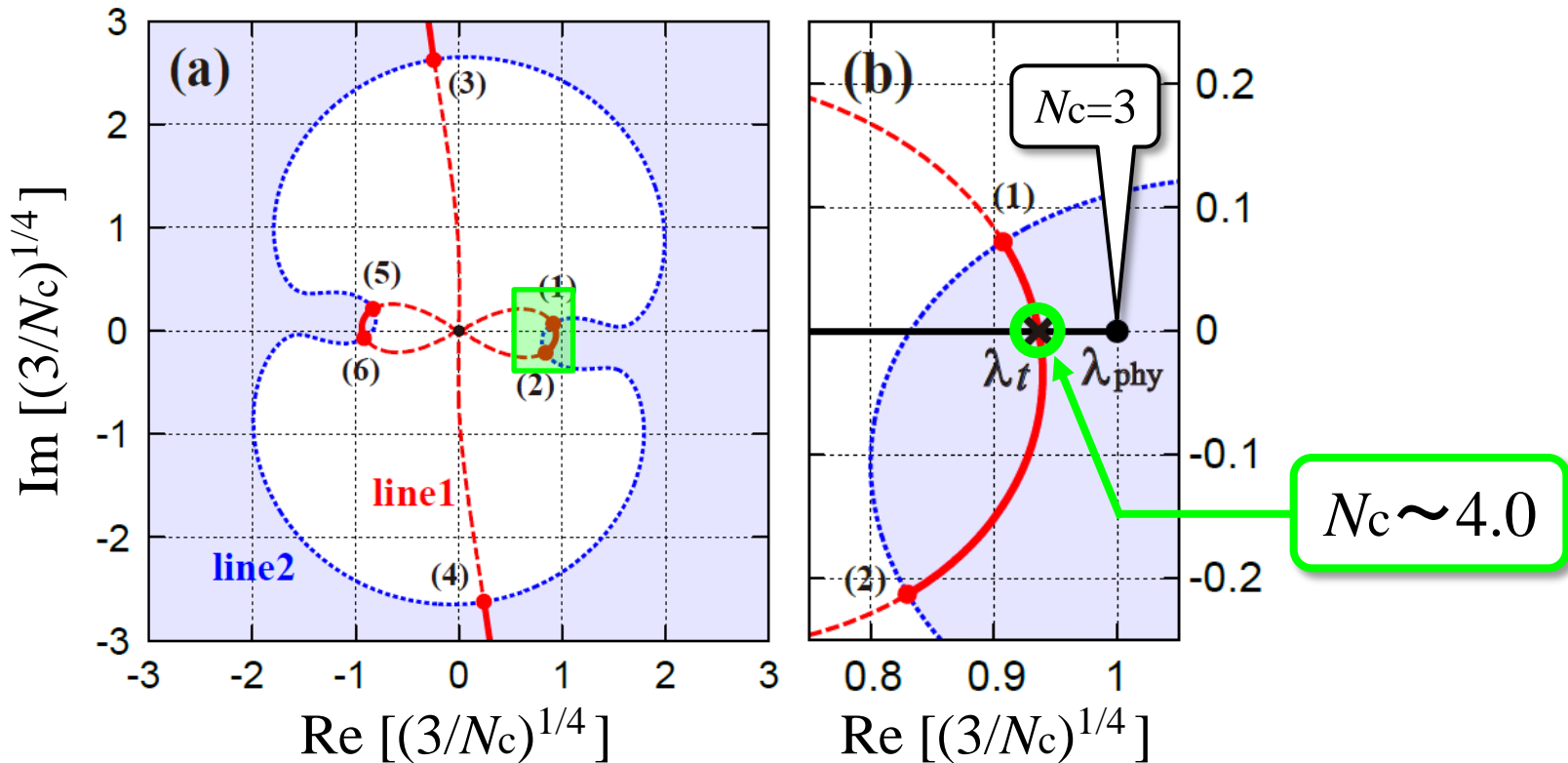
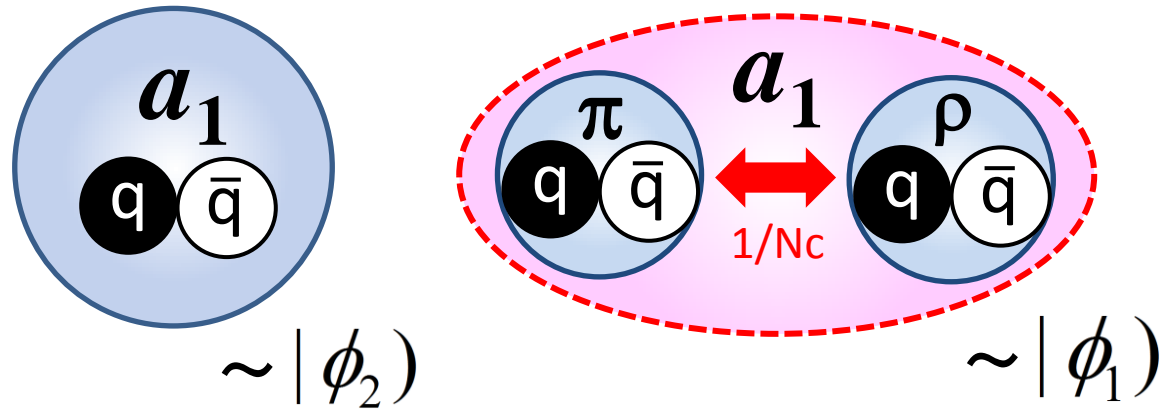
* How does the internal structure of hadrons change from $N_c = \infty$ to $N_c = 3$?

$$1/N_c \sim \lambda$$

Internal structure of hadrons can drastically change at the “critical color number” of nature transitions.

[K.N., et.al., arXiv:1109.0426[hep-ph]]

Geometrical Map for a_1 Meson



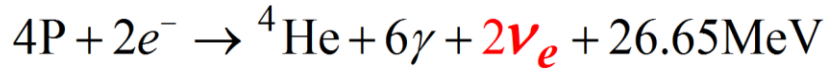
Summary

We formulate **Complex 2D Matrix Model** to discuss **the parameter dependence of the internal structure of resonances.**

- * “**Nature transition**” with **character exchange** occurs at a critical value λ_t , which can be estimated from the **geometrical map on complex- λ plane.**
- * **Pole behavior on complex- E plane** and **their internal structure** can be successfully related.
- * Internal structure of hadrons can drastically change at a “**critical color number**” of **nature transition.**

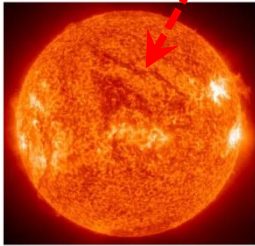
$$\lambda \sim 1/N_c \quad [\text{arXiv:1109.0426[hep-ph]}]$$

Adiabatic Transition in Astrophysics



Less detection of **solar neutrino** ?

[R.Davis, 1968]



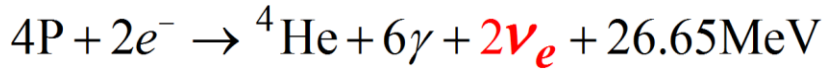
Sun

“Solar Neutrino Puzzle”

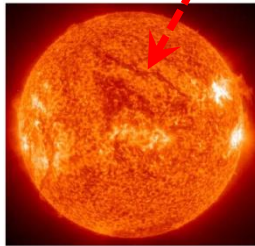


Earth

Adiabatic Transition in Astrophysics



Less detection of **solar neutrino** ?
[R.Davis, 1968]

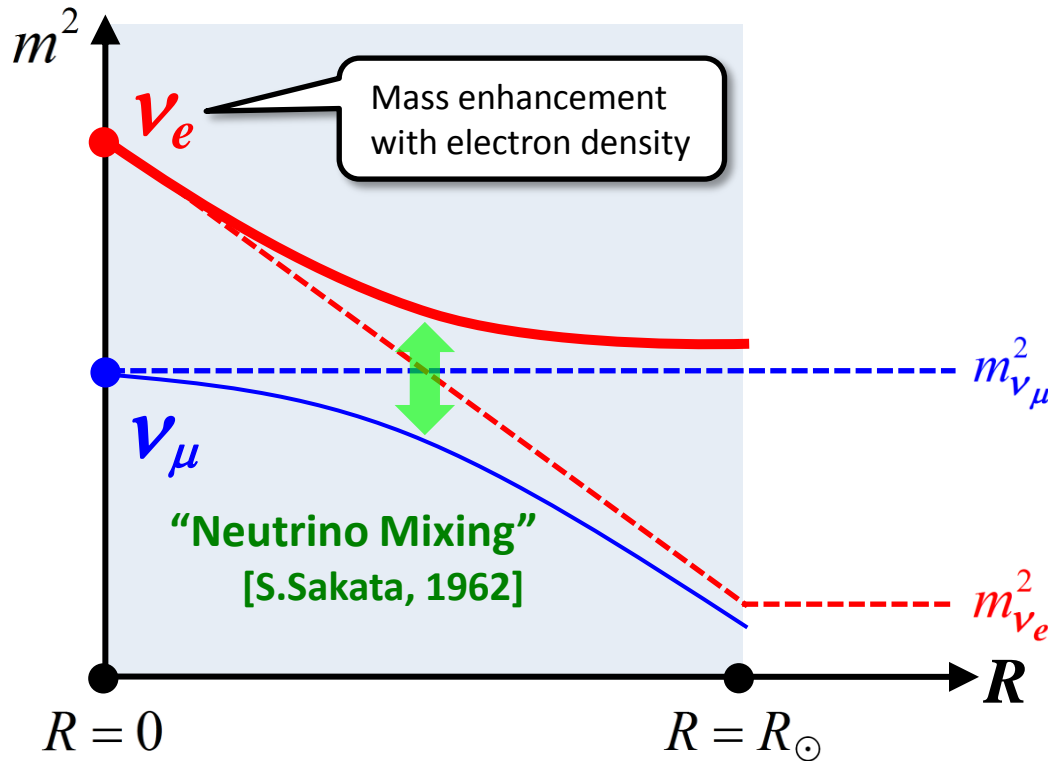


Sun

“Solar Neutrino Puzzle”



Earth



$$\lambda \sim R$$

distance from
the center of the Sun

Adiabatic transition can solve
the **“solar neutrino puzzle”**
as the conversion of the neutrinos
within the Sun !!!

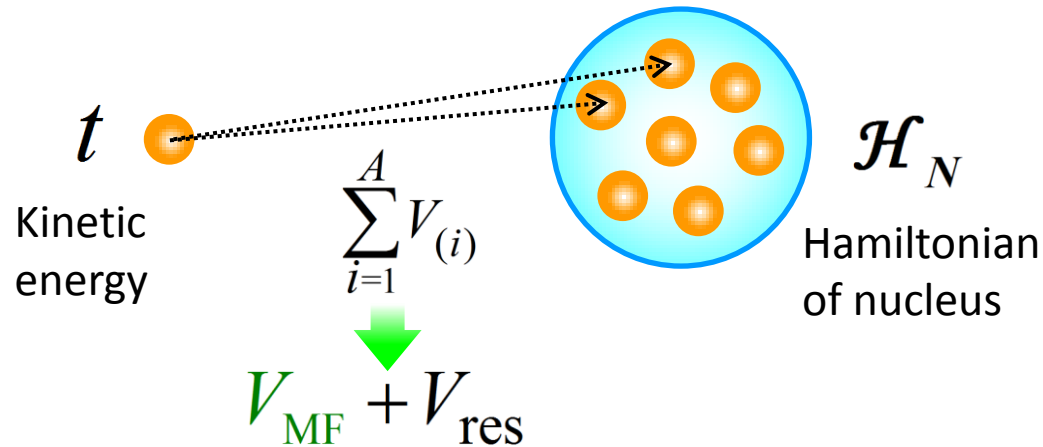
➔ Finite mass of neutrino.

[H.A.Bethe, PRL56,1305(1986)]

Resonance Shell Model

[W. Romo, Nucl. Phys. A116, 618 (1968)]

“a nucleon” interacting with “a nucleus” composed by A -nucleons



Solving the effective Hamiltonian in MF basis

$$\det(s | E - \mathcal{H}_0 - V_{\text{eff}}(E) | s') = 0$$

“Important” bound/resonance states of $\mathcal{H}_0 \equiv \mathcal{H}_N + t + V_{MF}$

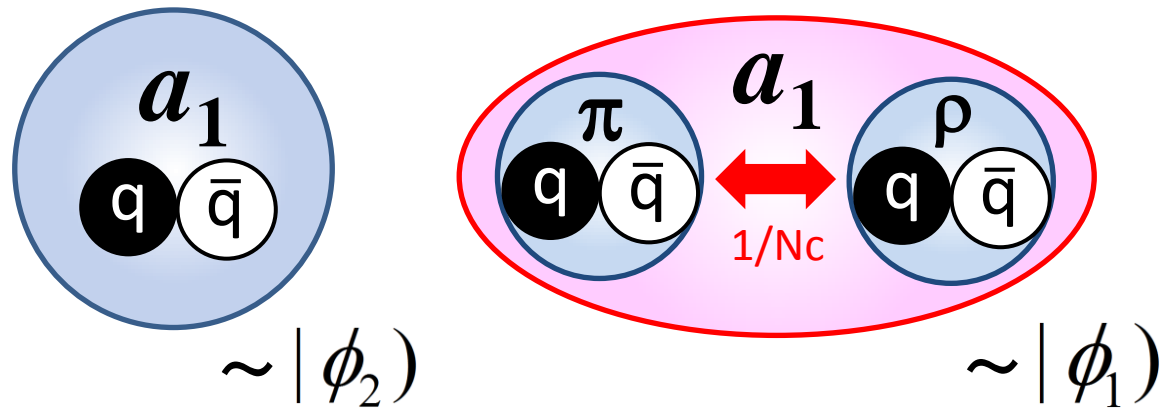
1st point

Diagonalization of effective Hamiltonian over **finite set** of shell-model states, including the effects of **neglected states** in the effective interaction.

2nd point

- One may take the **traditional approximation** of shell-model as $V_{\text{eff}}(E) \sim V_{\text{eff}}^{\text{const}}$
- Absorptive effect can be represented by $V_{\text{eff}}^{\text{const}} \in \mathbb{C}$

G-function Formalism for a_1 Meson



$$G^{-1} = G_0^{-1} - V$$

$$= \begin{pmatrix} G_{\pi\rho} & 0 \\ 0 & G_{a_1} \end{pmatrix}^{-1} - \begin{pmatrix} v_{WT} & v_{a_1\pi\rho} \\ v_{a_1\pi\rho} & 0 \end{pmatrix}$$

$$= \left[\begin{array}{c} \pi \\ \text{---} \\ \rho \end{array} \right]^{-1} - \left[\begin{array}{cc} \text{---} & \text{---} \\ \text{---} & \text{---} \end{array} \right]$$

a_1 (1189 MeV)

“Sakai-Sugimoto Model”
 (Gauge/Gravity dual)

Geometrical Map on Complex- N_c Plane

π ρ $q\bar{q}$ (large N_c , 1189MeV)

$$\bar{G} = \left[\begin{pmatrix} s - s_p & 0 \\ 0 & s - m_{a_1}^2 \end{pmatrix} - \begin{pmatrix} 0 & \sqrt{Z}v_{a_1\pi\rho} \\ \sqrt{Z}v_{a_1\pi\rho} & 0 \end{pmatrix} \right]^{-1}$$

$$\det \bar{G}^{-1} = 0 \quad \text{[Relativistic eigenvalue Eq.]}$$



Non-rela. Approx. with energy fixing.

[“Resonance Shell Model”
 [Romo, 1968]]

$$\det[\mathcal{H} - E] = 0 \quad \text{[Schrodinger Eq.]}$$

$$\mathcal{H} = \begin{pmatrix} \sqrt{s_p} & 0 \\ 0 & m_{a_1} \end{pmatrix} + \begin{pmatrix} 0 & \frac{1}{2\tilde{m}} \sqrt{Z}v_{a_1\pi\rho} \\ \frac{1}{2\tilde{m}} \sqrt{Z}v_{a_1\pi\rho} & 0 \end{pmatrix}$$

Geometrical Map on Complex- N_c Plane

$$\mathcal{H} = \begin{pmatrix} \sqrt{S_p} & 0 \\ 0 & m_{a_1} \end{pmatrix} + \begin{pmatrix} 0 & \frac{1}{2\tilde{m}} \sqrt{Z} \mathbf{v}_{a_1\pi\rho} \\ \frac{1}{2\tilde{m}} \sqrt{Z} \mathbf{v}_{a_1\pi\rho} & 0 \end{pmatrix}$$

'tHooft-Witten: $m_{a_1} \sim O(N_c^0)$, $\mathbf{v}_{a_1\pi\rho} \sim O(1/N_c^{1/2})$

Our estimates: $\sqrt{S_p} \sim O(N_c^{1/2})$, $\tilde{m} \sim O(N_c^{1/4})$, $\sqrt{Z} \sim O(N_c^{1/2})$

$$\mathcal{H} = \begin{pmatrix} \frac{1}{\lambda^2} \sqrt{S_p} & 0 \\ 0 & m_{a_1} \end{pmatrix} + \begin{pmatrix} 0 & \lambda \frac{1}{2\tilde{m}} \sqrt{Z} \mathbf{v}_{a_1\pi\rho} \\ \lambda \frac{1}{2\tilde{m}} \sqrt{Z} \mathbf{v}_{a_1\pi\rho} & 0 \end{pmatrix}$$

$$\lambda \equiv \left(\frac{3}{N_c} \right)^{1/4}$$

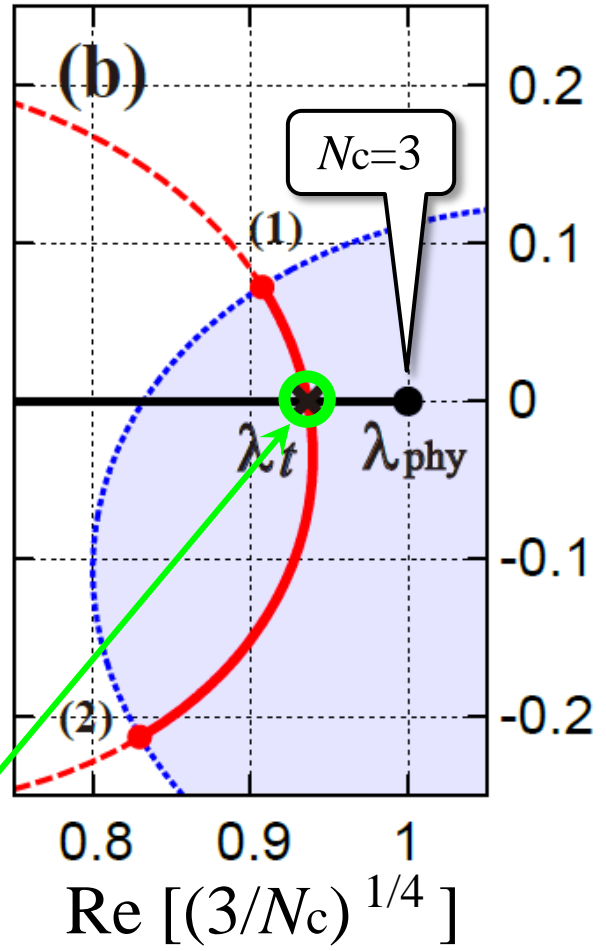
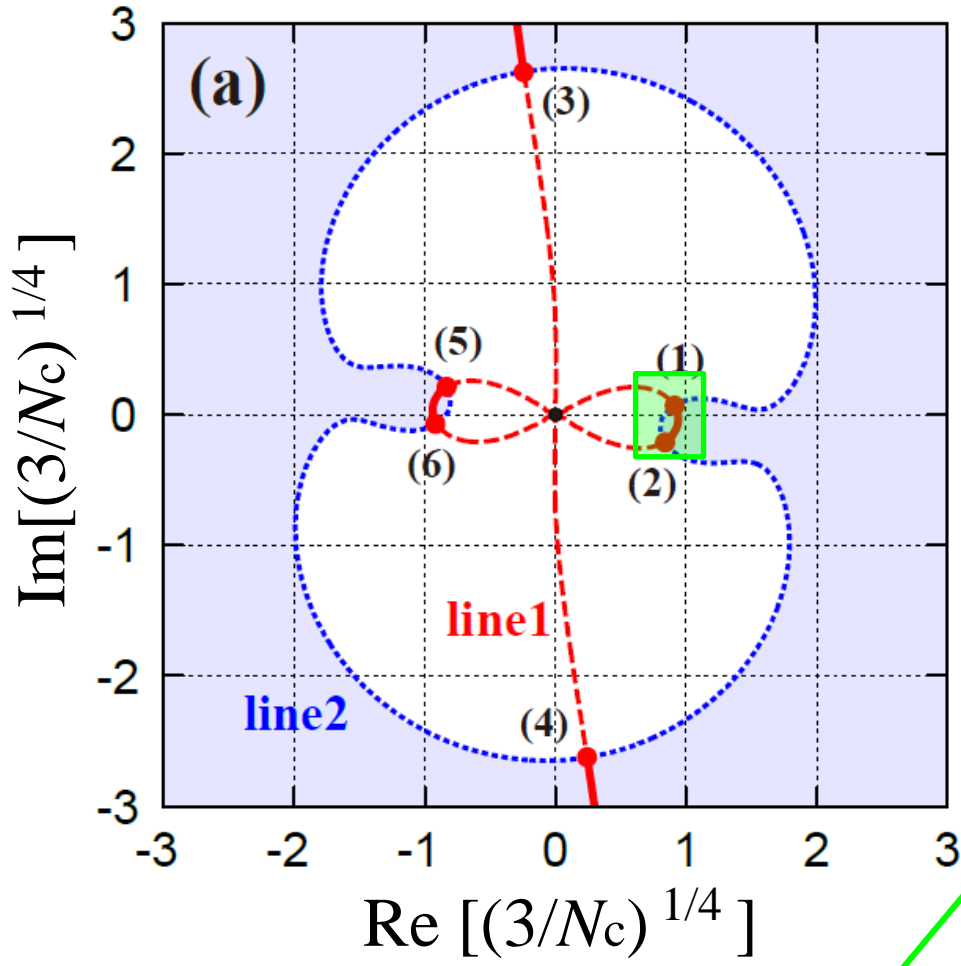
Complex 2D Matrix Model

$$\mathcal{H}(\lambda) = \begin{pmatrix} \varepsilon_1(\lambda) & V_{12}(\lambda) \\ V_{21}(\lambda) & \varepsilon_2(\lambda) \end{pmatrix}$$

$$\text{Re}[A(\lambda)^* V_{12}(\lambda)] = 0$$

$$|A(\lambda)|^2 \leq |V_{12}(\lambda)|^2$$

Geometrical Map on Complex- N_c Plane

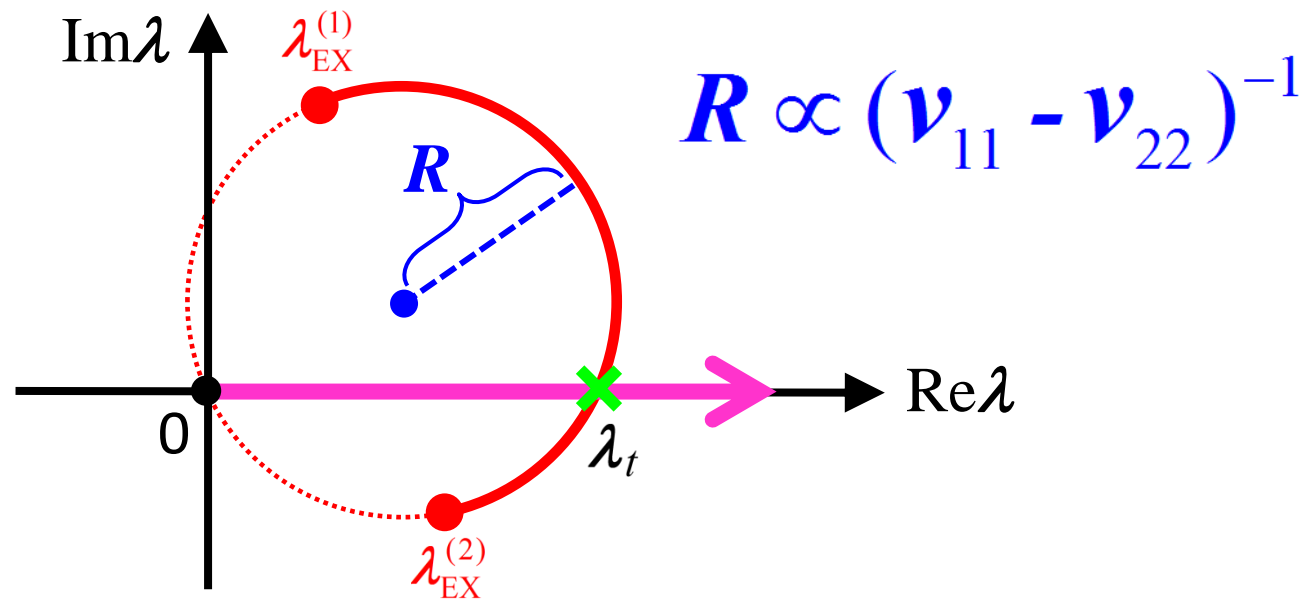


$(3/N_c)^{1/4} \sim 0.93 \rightarrow N_c \sim 4.0$

Linear- λ Model

[K.N. et.al., 2011]

$$\mathbf{H}(\lambda) = \begin{pmatrix} \varepsilon_1^{(0)} & 0 \\ 0 & \varepsilon_2^{(0)} \end{pmatrix} + \begin{pmatrix} \lambda \mathbf{v}_{11} & \lambda \mathbf{v}_{12} \\ \lambda \mathbf{v}_{21} & \lambda \mathbf{v}_{22} \end{pmatrix}$$

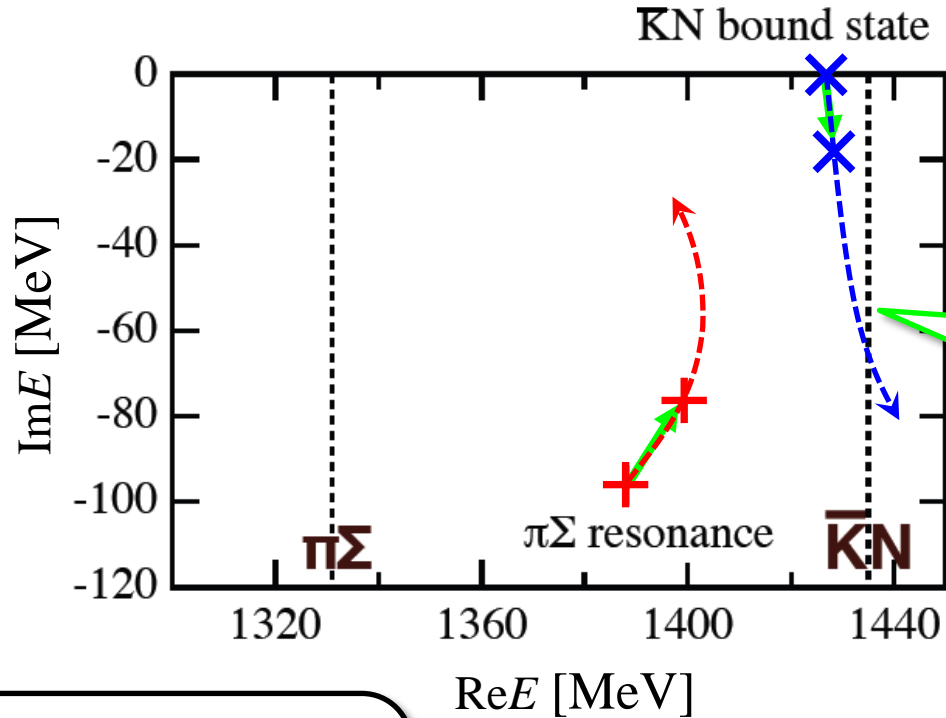
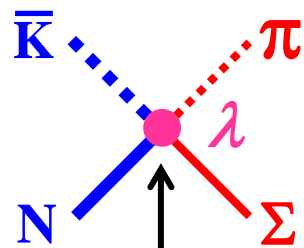


$$\mathbf{v}_{11} = \mathbf{v}_{22} = 0 \Rightarrow R \rightarrow \infty$$

There is no nature transition for finite λ .

(Mixing of basis component up to 50% at most.)

Application to $\Lambda(1405)$



Level repulsion/
Width crossing ?

[T. Hyodo and W. Weise,
Phys. Rev. C 77, 035204 (2008)]

λ controls only the **mixing**
between two levels.

$$H(\lambda) = \begin{pmatrix} \varepsilon_1^{(0)} & 0 \\ 0 & \varepsilon_2^{(0)} \end{pmatrix} + \begin{pmatrix} \lambda v_{11} & \lambda v_{12} \\ \lambda v_{21} & \lambda v_{22} \end{pmatrix}$$

$$v_{11} = v_{22} = 0$$

$$R \rightarrow \infty$$

(Transition Arc with infinite radius)

There is no nature transition
for arbitrary finite value of λ !!!