



Complex eigenvalue problem of the Liouvillian of an open quantum dot system

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Arrow of Time



Time-reversal symmetry

Arrow of Time



Second law of thermodynamics

Arrow of Time

Equation of motion:
Time reversal symmetry



?

State of the universe:
Breaking of time reversal symmetry

Open quantum systems

Equation of motion: Time reversal symmetry

Its solutions: Can break the symmetry

Standard Schrödinger equation

$$\left(-\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + V(x) \right) \psi(x) = E\psi(x)$$

Resonance: $E = E_r - i\Gamma/2$

Anti-resonance: $E = E_r + i\Gamma/2$

Complex eigenvalue problem

For a **very** simple open quantum dot system, we **(numerically)** **exactly** solve the complex eigenvalue problems of

1. the Hamiltonian and
2. the Liouvillian.

To find the arrow of time

- Finite System + Infinite Heat Bath

Traces out the heat bath

+ Markov approx., Perturbation expansion

Time-reversal symmetry is broken somewhere.

- Finite System (quantum dot)

+ Infinite System (lead)

Complex eigenvalue problem:

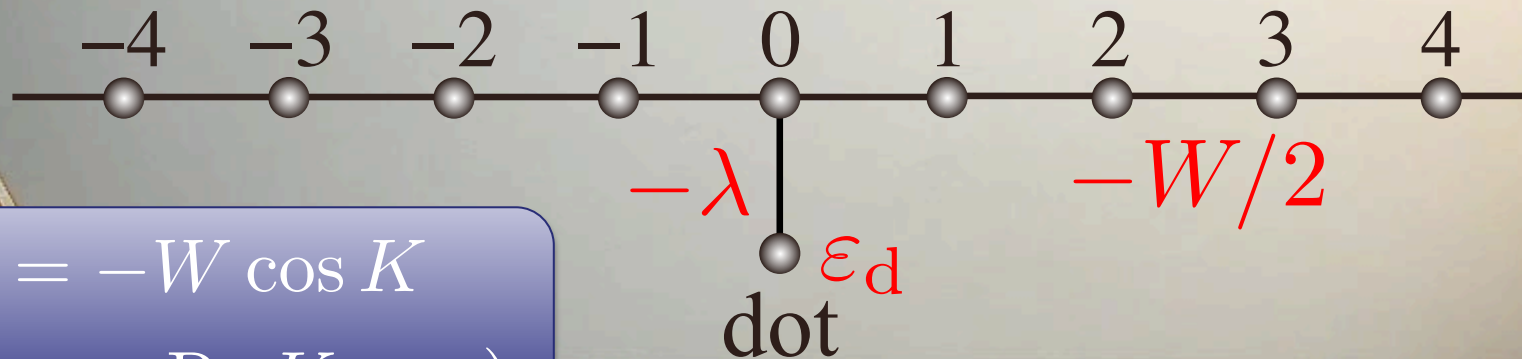
everything microscopically.

Spontaneous breaking of time-reversal symmetry.

Open quantum dot system

Tight-binding model

$$H = -\frac{W}{2} \sum_{-\infty}^{\infty} (|x+1\rangle\langle x| + |x\rangle\langle x+1|) \\ - \lambda (|d\rangle\langle 0| + |0\rangle\langle d|) + \varepsilon_d |d\rangle\langle d|$$



$$E = -W \cos K$$

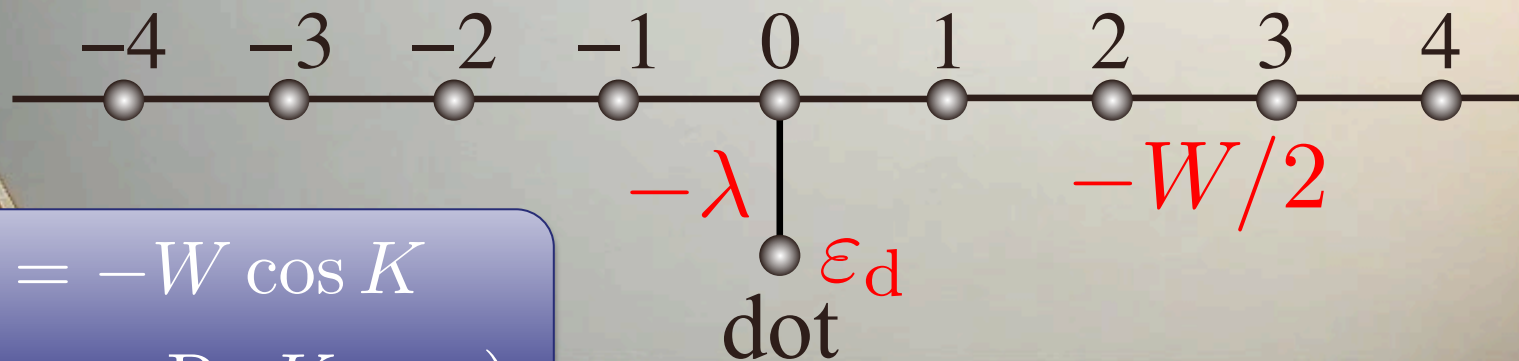
$$(-\pi < \text{Re } K < \pi)$$

Complex eigenvalues of the Hamiltonian

$$H\psi_n = E_n\psi_n, \quad E_n \in \mathbb{C}$$

Two methods

1. Siegert boundary condition
2. Feshbach formalism



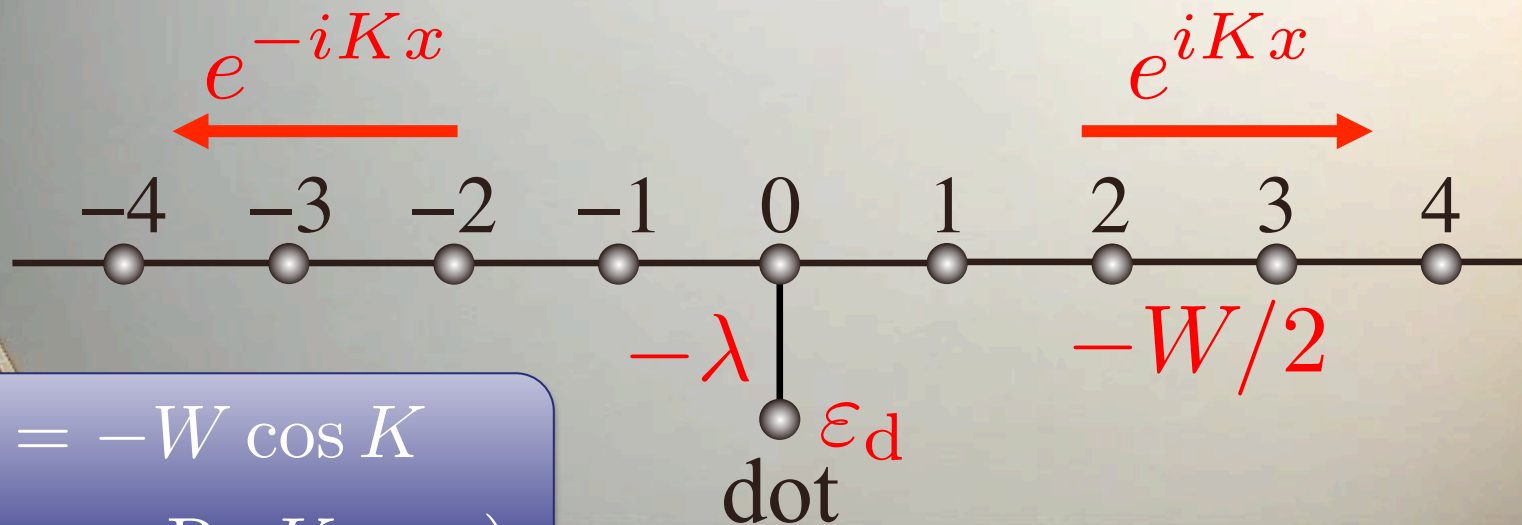
$$E = -W \cos K$$

$$(-\pi < \text{Re } K < \pi)$$

Siegert boundary condition

Resonance:
Eigenstate with outgoing waves only.

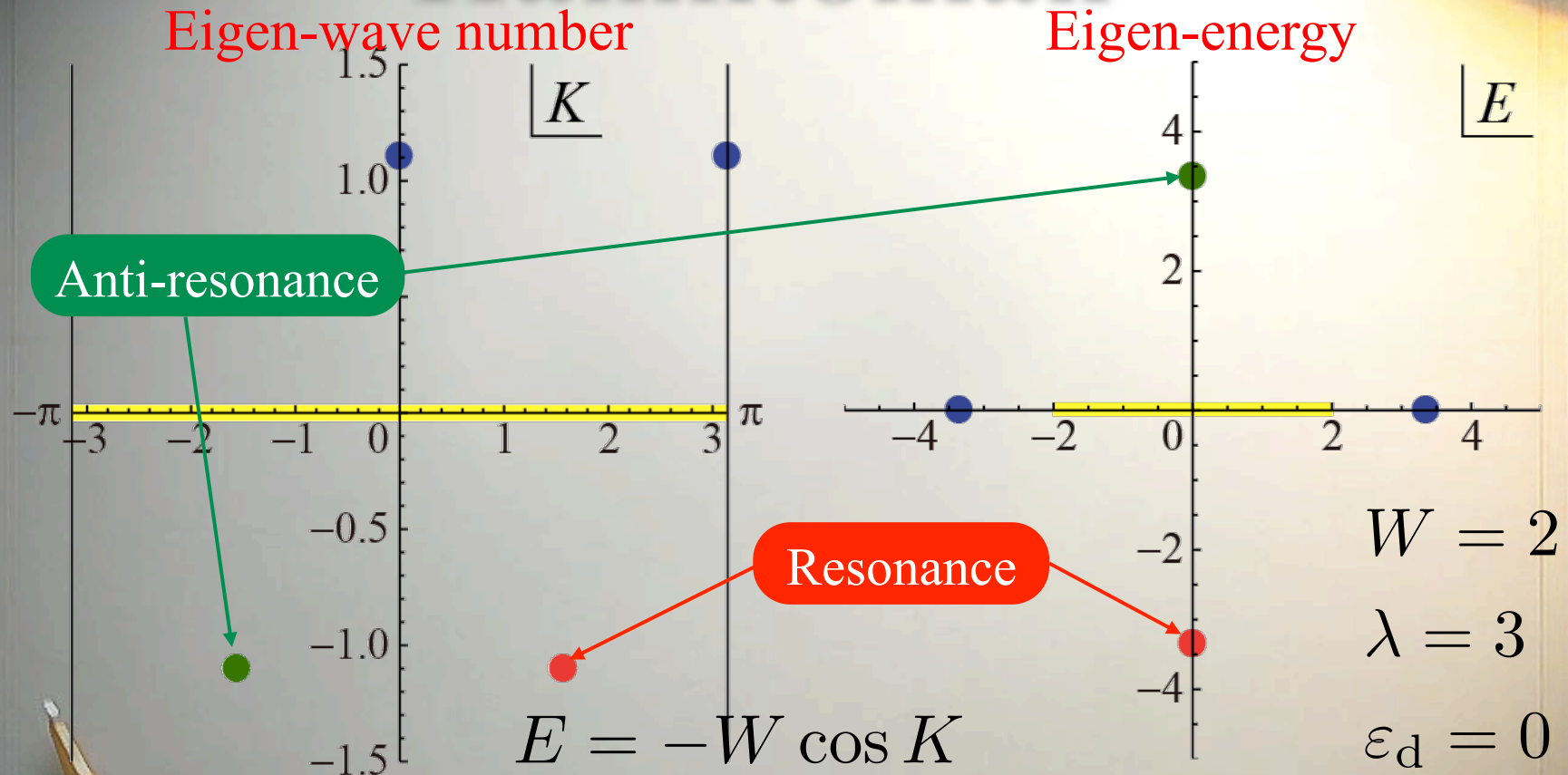
$$\psi(x) \simeq e^{iK|x|}$$



$$E = -W \cos K$$

$$(-\pi < \text{Re } K < \pi)$$

Complex eigenvalues of the Hamiltonian



$$\psi(x) \simeq e^{iK|x|}$$

$$\operatorname{Re} K_n \begin{matrix} > \\ < \end{matrix} 0 \Leftrightarrow \operatorname{Im} E_n \begin{matrix} < \\ > \end{matrix} 0$$

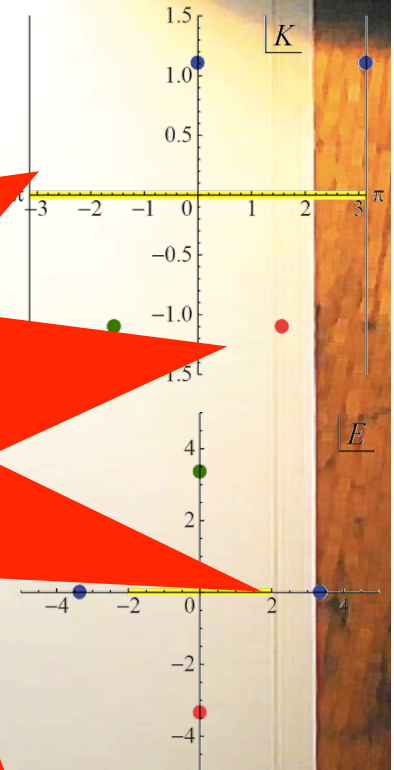
Resonant state as a stationary eigenstate

N. Hatano, K. Sasada, H. Nakamura and T. Petrosky, Prog. Theor. Phys. **119** (2008) 187

$$\langle x | \Psi_n(t) \rangle = e^{iK_n x - iE_n t}$$

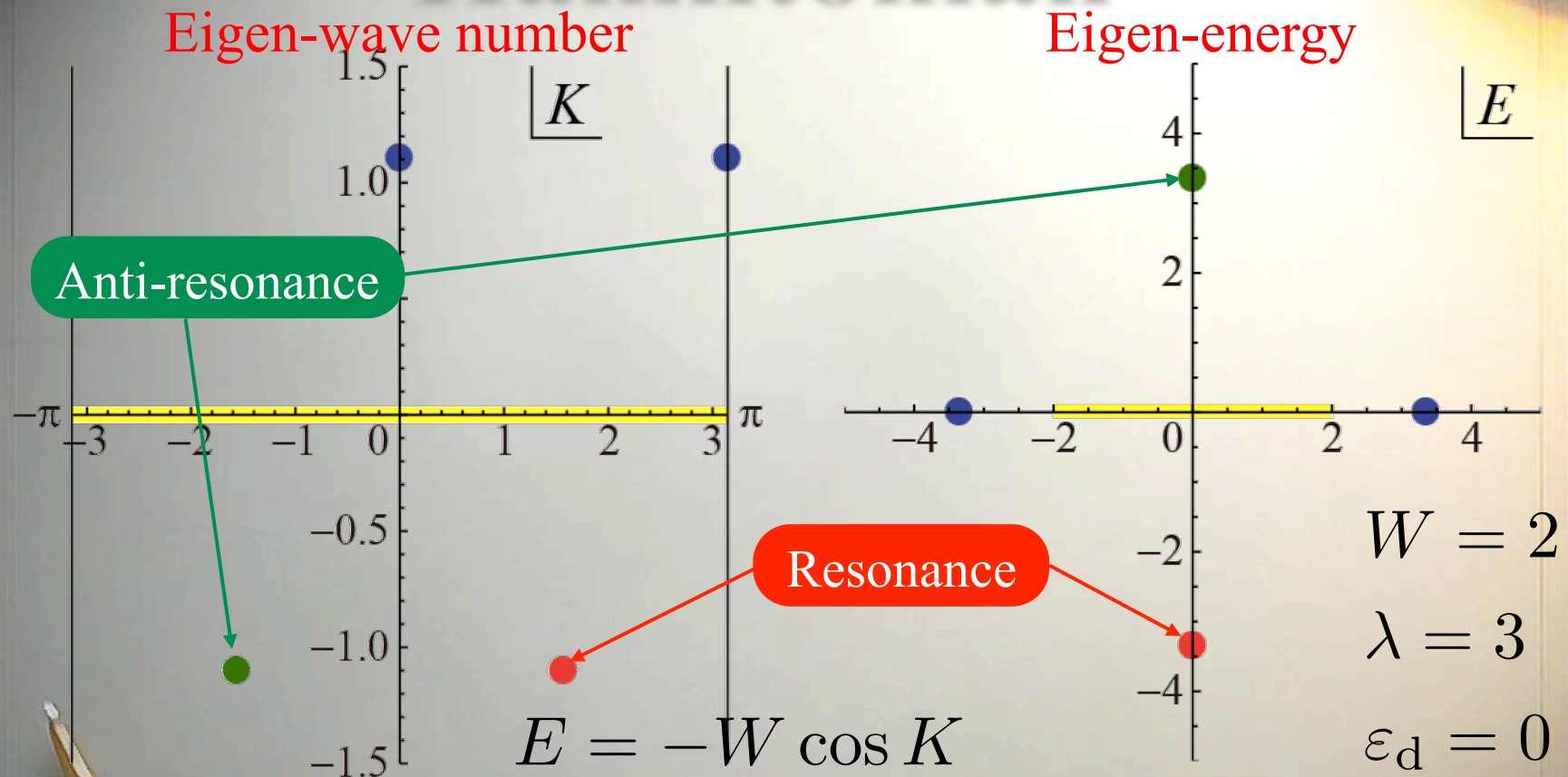
Breaking of
time-reversal
symmetry

$$\text{Re } K_n \neq 0 \Rightarrow \text{Im } E_n \neq 0$$



“Anti-resonant state” as an eigenstate

Complex eigenvalues of the Hamiltonian



$$\psi(x) \simeq e^{iK|x|}$$

$$\operatorname{Re} K_n \begin{matrix} > \\ < \end{matrix} 0 \Leftrightarrow \operatorname{Im} E_n \begin{matrix} < \\ > \end{matrix} 0$$

Origin of the non-Hermiticity

N. Hatano, K. Sasada, H. Nakamura and T. Petrosky, Prog. Theor. Phys. **119** (2008) 187

$$H = -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + V(x)$$

Functional space of
normalizable fns.



The operator H is
Hermitian.

Functional space of
unnormalizable fns.



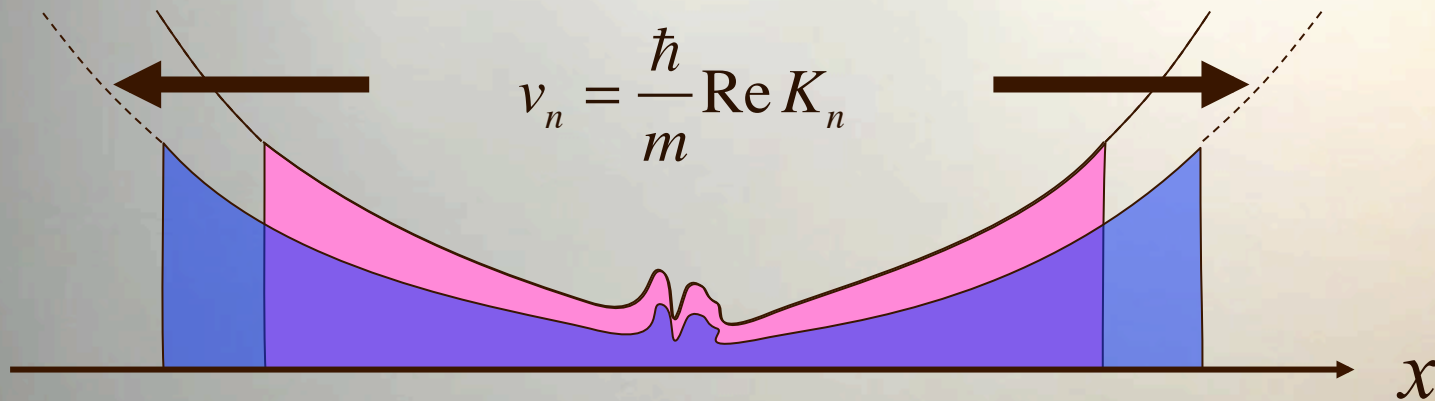
The operator H is
non-Hermitian.

Probability Conservation

N. Hatano, K. Sasada, H. Nakamura and T. Petrosky, Prog. Theor. Phys. **119** (2008) 187

$$\psi(x) \simeq e^{iK|x|} \quad \text{with } \text{Im } K < 0$$

$$|\langle x | \Psi_n(t) \rangle|^2 \simeq e^{2|\text{Im } K_n||x| - 2|\text{Im } E_n|t}$$



Probabilistic Interpretation

Feshbach Formalism

Rotter; Ploszajczak; Petrosky & Prigogine

$$H|\psi\rangle = E|\psi\rangle \Rightarrow H_{\text{eff}}(E)(P|\psi\rangle) = E(P|\psi\rangle)$$

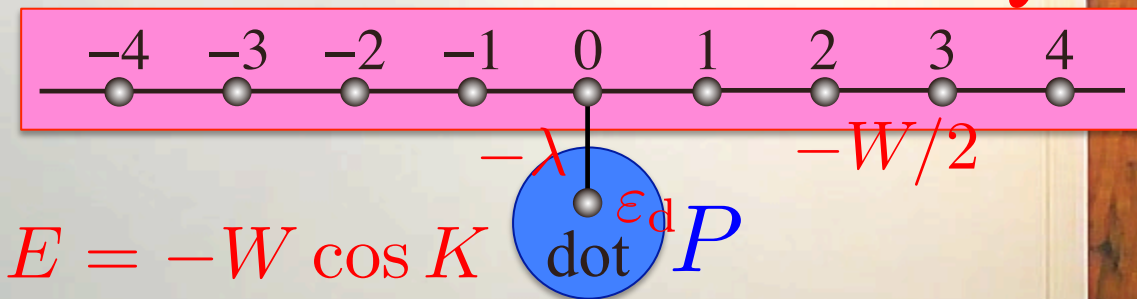
$$H_{\text{eff}}(E) = PHP + PHQ \frac{1}{E - QHQ} QHP$$

$$PHP = \varepsilon_d$$

$$QHP = -\lambda|0\rangle\langle d|$$

$$PHQ = -\lambda|d\rangle\langle 0|$$

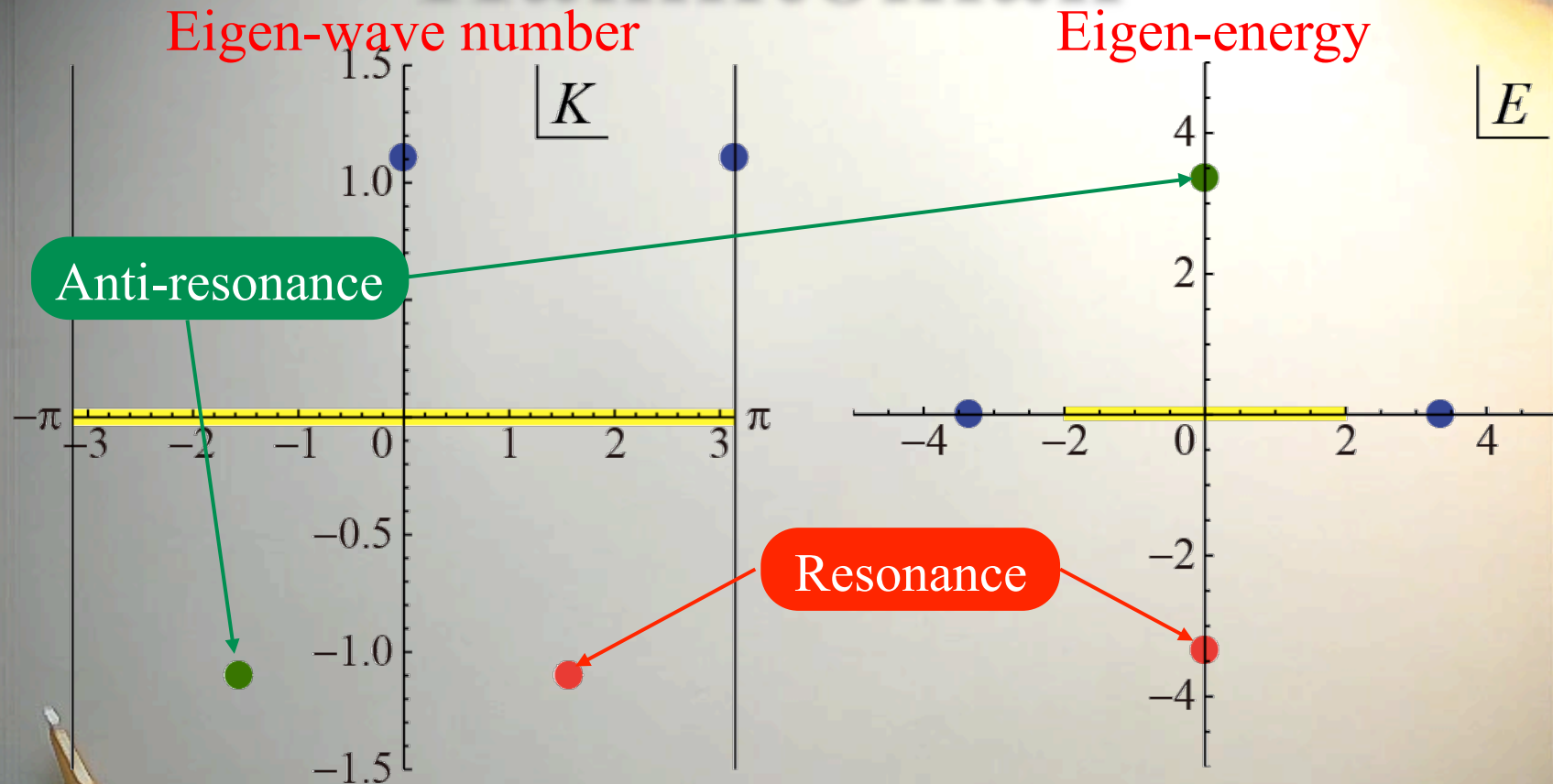
$$QHQ = -\frac{W}{2} \sum_{x=-\infty}^{\infty} (|x+1\rangle\langle x| + |x\rangle\langle x+1|)$$



$$PHQ \frac{1}{E - QHQ} QHP = \frac{\lambda^2 |d\rangle\langle d|}{E + W e^{iK}}$$

Hidden
non-
Hermiticity

Complex eigenvalues of the Hamiltonian



$$\psi(x) \simeq e^{iK|x|}$$

$$\operatorname{Re} K_n \begin{matrix} > \\ < \end{matrix} 0 \Leftrightarrow \operatorname{Im} E_n \begin{matrix} < \\ > \end{matrix} 0$$

Approach to equilibrium

$$\rho(t) \rightarrow \rho_{\text{eq}} \equiv \frac{1}{Z} \sum_n e^{-\beta E_n} |n\rangle \langle n|$$

Mixed state

Liouville-von Neumann equation

$$i\hbar \frac{d\rho}{dt} = [H, \rho] =: L\rho$$

$$L\rho_n = z_n \rho_n, \quad z_n \in \mathbb{C}$$

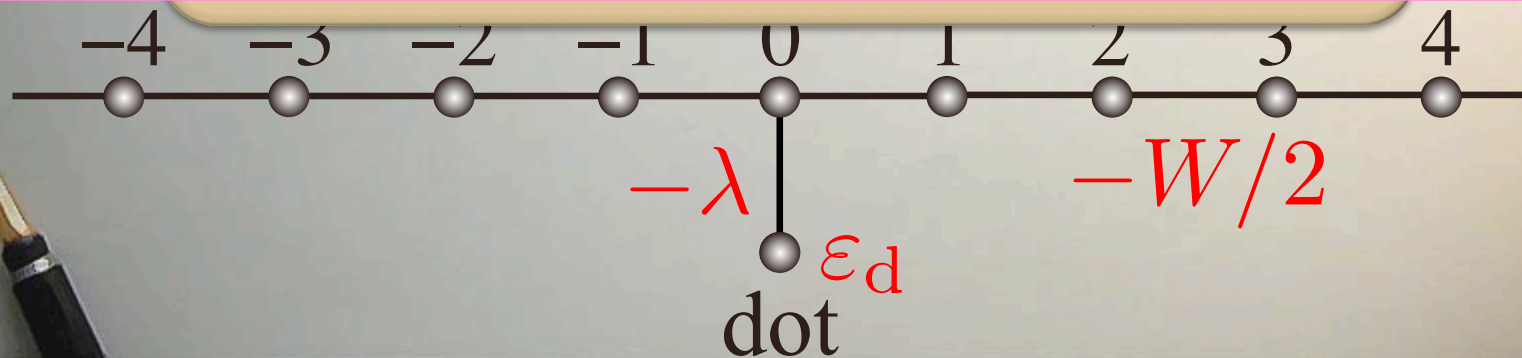
$$\rho(t) \simeq \rho_{\text{eq}} + e^{-(\text{Im } z_1)t} \rho_1$$

Complex eigenvalues of the Liouvillian

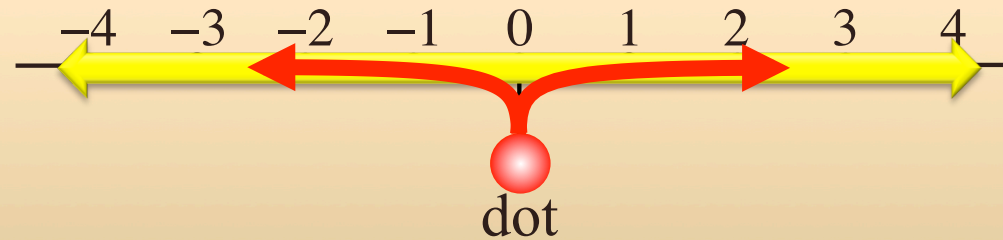
$$L\rho_n = z_n\rho_n, \quad z_n \in \mathbb{C}$$

“Trivial” eigenvalues $z_{mn} = E_m - E_n$ exist.

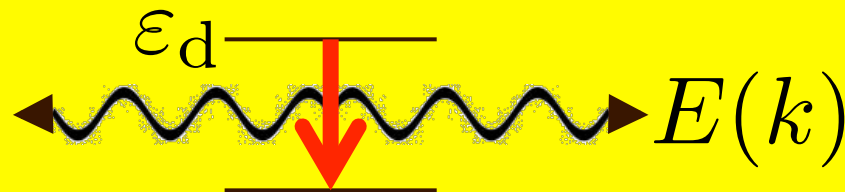
Do “Non-trivial” eigenvalues exist?
(whose eigenstates must be mixed states)



Relaxation to laser field?



“Non-trivial” relaxation constant possible



Bra-ket states for the Liouvillian

T. Petrosky, I. Prigogine

$$i\hbar \frac{d\rho}{dt} = [H, \rho] =: L\rho$$

$$H|n\rangle = E_n|n\rangle$$

$$\langle m|n\rangle = \delta_{mn}$$

$$\sum_n |n\rangle\langle n| = 1$$

$$L|m, n\rangle\rangle = z_{mn}|m, n\rangle\rangle$$

$$\langle\langle m, n|k, l\rangle\rangle = \delta_{mk}\delta_{ln}$$

$$\sum_{m,n} |m, n\rangle\rangle \langle\langle m, n| = 1$$

$$|m, n\rangle\rangle := |m\rangle\langle n|$$

$$z_{mn} = E_m - E_n$$

$$\langle\langle A|B\rangle\rangle := \text{Tr } A^\dagger B$$

Feshbach fm. for the Liouvillian

R. Nakano, T. Mori, N. Hatano, T. Petrosky

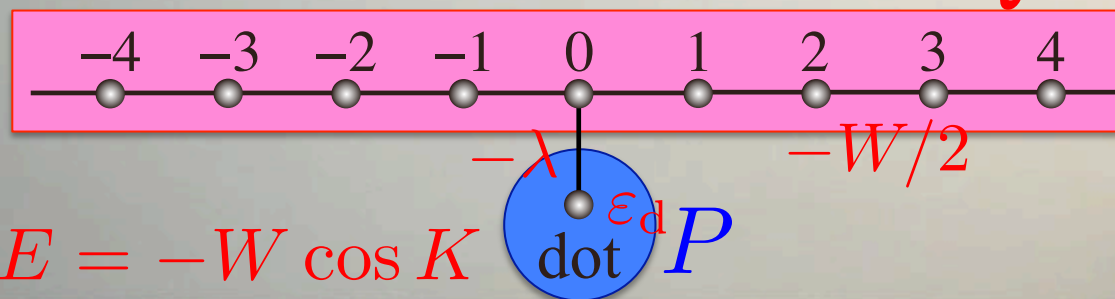
$$L|\rho\rangle\rangle = z|\rho\rangle\rangle$$

\Downarrow

$$L_{\text{eff}}(z) (P_L|\rho\rangle\rangle) = z (P_L|\rho\rangle\rangle)$$

$$L_{\text{eff}}(z) = P_L L P_L + P_L L Q_L \frac{1}{z - Q_L L Q_L} Q_L L P_L$$

Same as Mori-Zwanzig, but without perturbation expansion



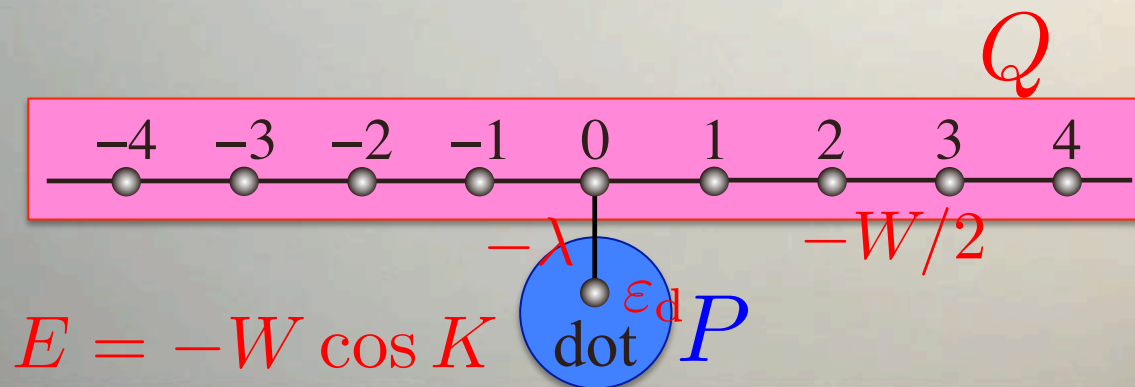
Intertwining of bras and kets

R. Nakano, T. Mori, N. Hatano, T. Petrosky

$$P_L + Q_L = 1 \quad \text{where} \quad P_L = P \times P$$

$$Q_L = Q \times Q + Q \times P + P \times Q$$

Kets and bras intertwine with each other



Retarded and advanced Green's fn.

R. Nakano, T. Mori, N. Hatano, T. Petrosky

$$\frac{1}{z - L} = \frac{1}{2\pi i} \int_{-\infty}^{\infty} d\zeta \frac{1}{\zeta + \frac{z}{2} - H} \times \frac{1}{\zeta - \frac{z}{2} - H}$$

Green's fn.
4 types

=

Retarded
Advanced

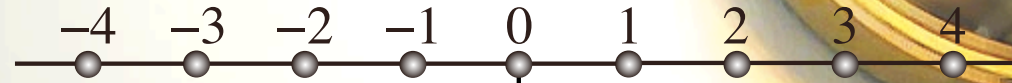
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Retarded
Advanced

$$G^{\text{RR}}(z) = (G^{\text{AA}}(z^*))^*$$

$$G^{\text{RA}}(z) = (G^{\text{AR}}(z^*))^*$$

RR and RA Green's fns.



$$E_{\text{bound}} \simeq \mp 2.0001 = \mp W \mp \frac{8\lambda^4}{W^3} \quad \text{dot} \quad W = 2$$

$$\lambda = 0.1$$

$$E_{\text{res}}, E_{\text{anti-res}} \simeq \mp i0.005 = \mp i \frac{\lambda^2}{W} \quad \varepsilon_d = 0$$

equation

numerical

(by T. Mori)
perturbational

$$L_{\text{eff}}^{\text{RA}}(z) = z \quad \pm 0.0005 \dots \quad E_{\text{res}}, E_{\text{anti-res}}$$

$$L_{\text{eff}}^{\text{RR}}(z) = z \quad -i0.01 \dots \quad E_{\text{res}} - E_{\text{anti-res}}$$

$$-i0.00382 \dots \quad -i(3 - \sqrt{5})\lambda^2/W$$

Non-trivial eigenvalue!

Summary

- Definition and physics of resonance
- Breaking of the time-reversal symmetry
- Feshbach formalism for the Liouvillian
- Non-trivial eigenvalue
for an atom in a laser field?
- Siegert b. c. for the Liouvillian?