

超流動安定性と分岐理論

加藤雄介 (東京大学総合文化研究科)

渡部昌平 (慶應義塾大学 大橋研⇒現 東大 上田正仁研)

謝辞:

高橋大介、國見昌哉、簗口友紀、小林未知数、佐々真一、太田洋輝(以上 東大総合文化)
永井佑紀(原子力研究所)

[1] Y. Kato and S. Watabe, J. Low Temp. Phys. **158**, 92 (2010)
Generalized Criterion for Stability of Superflow Past an Obstacle

[2] Y. Kato and S. Watabe, Phys. Rev. Lett. **105**, 035302 (2010)
Dynamical density fluctuations of superfluids near the critical velocity

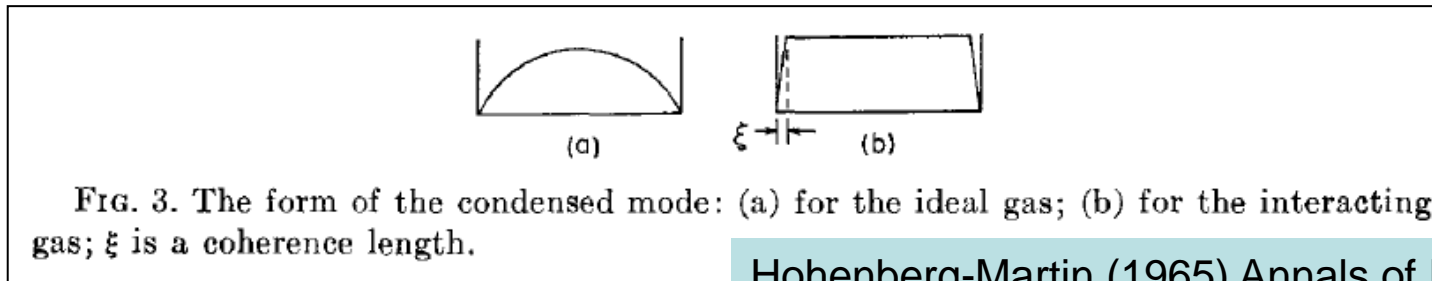
[3]加藤雄介、渡部昌平、「超流動安定性の新たな判定法」
物性研究2010年12月号

Introduction 1/3

- 超流動 障害物が存在する状況で実現するエネルギー散逸のないマクロな流れ
- 超流動の安定性をもたらすもの
ボース・アインシュタイン凝縮(BEC)(=巨視的波動関数 Ψ の存在) + ?

? =有限の圧縮率 (Bloch et al. Rev. Mod. Phys. 2008, Appendix)

理想ボースガスのBECは圧縮率が無限大



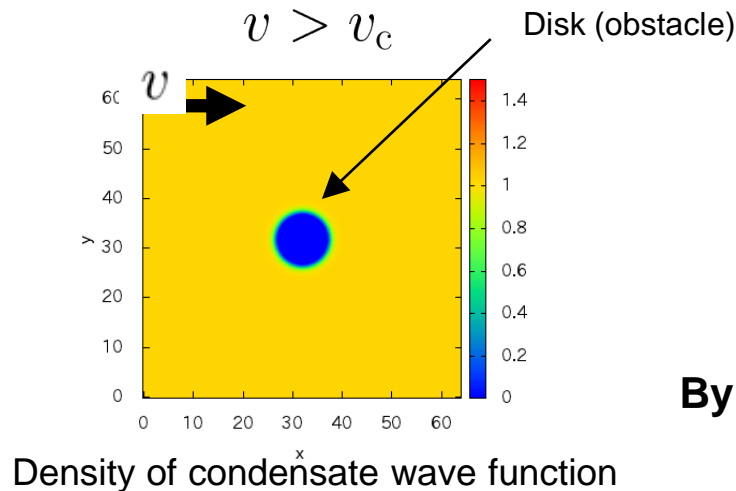
Hohenberg-Martin (1965) Annals of Phys.

「柔らかすぎない」BEC⇒超流動性
以上は超流動速度が十分小さいときの話

Introduction 2/3

障害物が存在する状況で、超流動速度が臨界速度(v_c)を超えると定常流解が存在しない。

numerical cal. of time-dependent-Gross-Pitaevskii equation(=NLS) in 2D

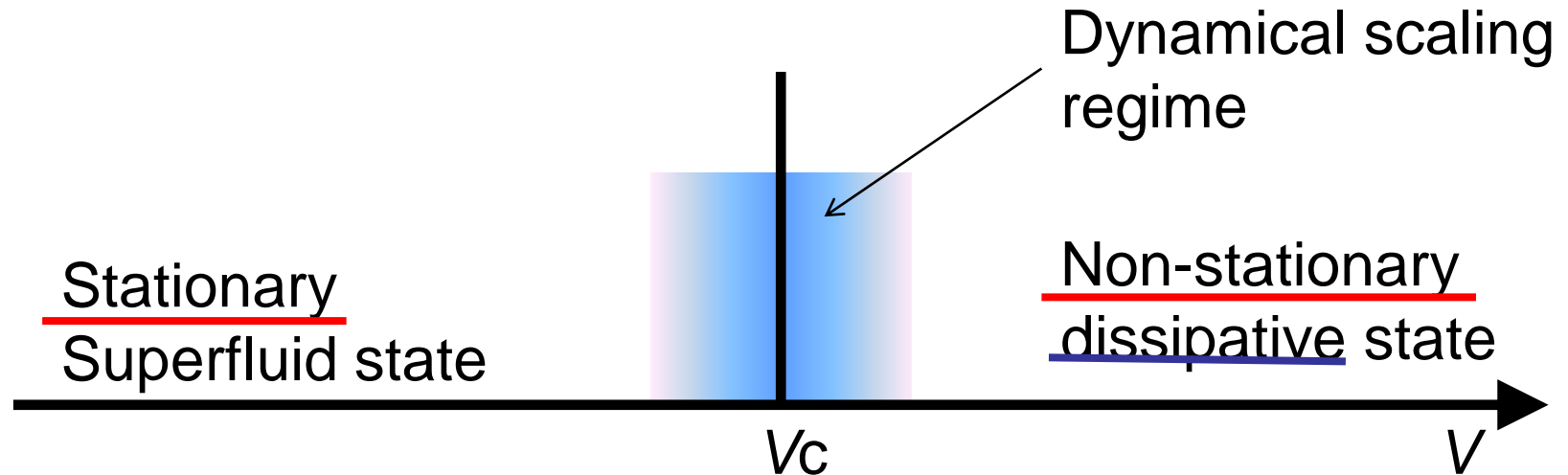


By M. Kunimi

臨界速度以下特に臨界速度近傍で、超流動の安定性をもたらすもの
ボース・アインシュタイン凝縮(BEC)(=巨視的波動関数 Ψ の存在) + 有限の圧縮率 + ??

研究目的: ?? の同定 \Rightarrow 結論: ?? = 抑制された動的(局所)密度ゆらぎ

Introduction 3/3(解題): bifurcation theory



Conventional theory of Equilibrium phase transition does not apply to the transition from $V < V_c$ to $V > V_c$.

But **bifurcation theory** is useful to describe the transition from a stationary state to a non-stationary state

Model (1/2): Gross-Pitaevskii theory (1961) weakly-interacting, zero temperature

We start with the following (dimensionless) Hamiltonian:

$$\hat{H} = \int d\mathbf{r} \left[\frac{1}{2} \nabla \hat{\Psi}^\dagger(\mathbf{r}) \cdot \nabla \hat{\Psi}(\mathbf{r}) + (U(\mathbf{r}) - \mu) \hat{\Psi}^\dagger(\mathbf{r}) \hat{\Psi}(\mathbf{r}) \right] + \frac{1}{2} \int d\mathbf{r} \hat{\Psi}^\dagger(\mathbf{r}) \hat{\Psi}^\dagger(\mathbf{r}) \hat{\Psi}(\mathbf{r}) \hat{\Psi}(\mathbf{r})$$

Kinetic term

One-body potential, chemical potential

Two-body (contact type) repulsion

where $\hat{\Psi}(\mathbf{r})$ is a Bosonic field operator.

Heisenberg Equation of Motion for $\hat{\Psi}(\mathbf{r}, t)$ is given by

$$\begin{aligned} i \frac{\partial \hat{\Psi}(\mathbf{r}, t)}{\partial t} &= [\hat{\Psi}(\mathbf{r}, t), \hat{H}] \\ &= -\frac{1}{2} \nabla^2 \hat{\Psi}(\mathbf{r}, t) + (U(\mathbf{r}) - \mu) \hat{\Psi}(\mathbf{r}, t) + \hat{\Psi}^\dagger(\mathbf{r}, t) \hat{\Psi}(\mathbf{r}, t) \hat{\Psi}(\mathbf{r}, t) \end{aligned}$$

Most particles are assumed to condense. Field operator can then be treated as a c-number.

$$\hat{\Psi}(\mathbf{r}, t) \longrightarrow \Psi(\mathbf{r}, t) \quad (\text{Gross-Pitaevskii approximation}).$$

Model (2/2): Gross-Pitaevskii theory (1961) in conventional dimensional form

$$i\hbar \frac{\partial \Psi(\mathbf{r}, t)}{\partial t} = -\frac{\hbar^2 \nabla^2}{2m} \Psi(\mathbf{r}, t) + (U(\mathbf{r}) - \mu) \Psi(\mathbf{r}, t) + g |\Psi(\mathbf{r}, t)|^2 \Psi(\mathbf{r}, t)$$

Kinetic term

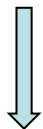
One-body
potential, chemical
potential

Two-body potential

It describes weakly interacting Bosons in condensed phase at zero temperature.

$$\Psi(\mathbf{r}, t) = \sqrt{\rho(\mathbf{r}, t)} e^{i\varphi(\mathbf{r}, t)} : \text{Condensate wave function}$$

$$\mathbf{v}(\mathbf{r}, t) = \frac{\hbar}{m} \nabla \varphi(\mathbf{r}, t) : \text{Gradient of phase} \Leftrightarrow \text{Superfluid velocity}$$



$$\oint d\mathbf{r} \cdot \mathbf{v}(\mathbf{r}) = \frac{h}{m} \times \text{integer}$$

Quantization of vortices (Onsager-Feynman)

Breakdown of SF by vortex-emission

Confirmed by numerical cal. of time-dependent-Gross-Pitaevskii equation

Frisch et al. 1992

2D problem; V_c for flow around a disk

Direction of superflow v



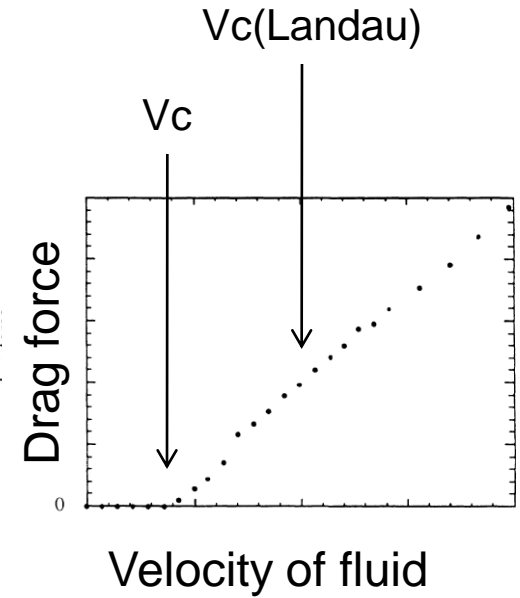
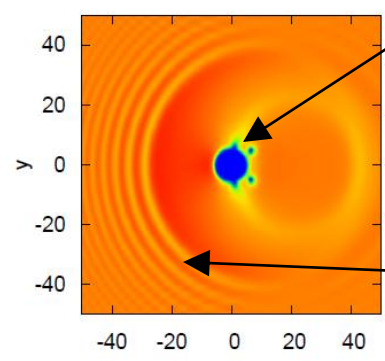
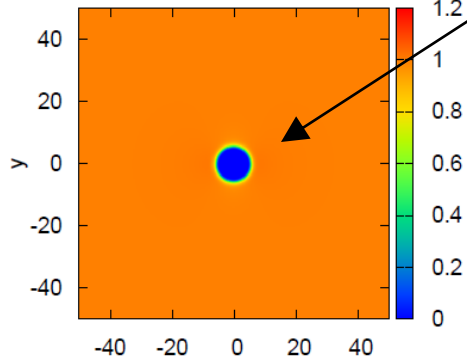
$v < v_c$

Disk

$v > v_c$

vortex

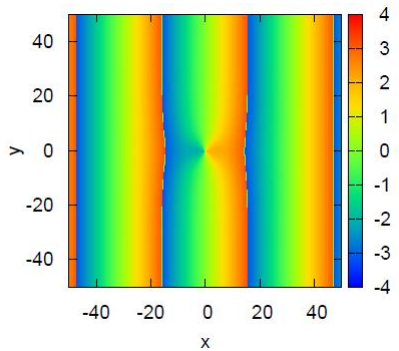
phonon



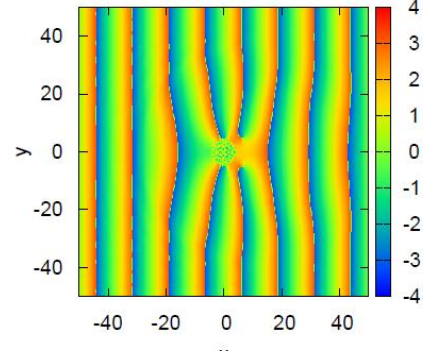
Density of condensate wave function

Density of condensate wave function

By M. Kunimi



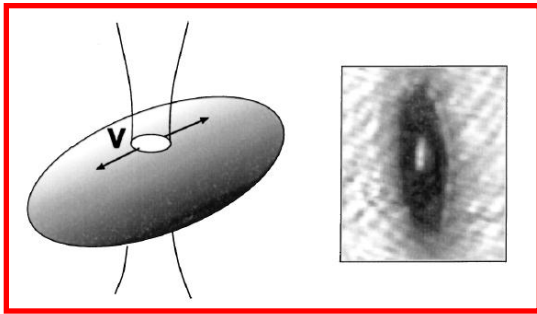
Phase of condensate wave function



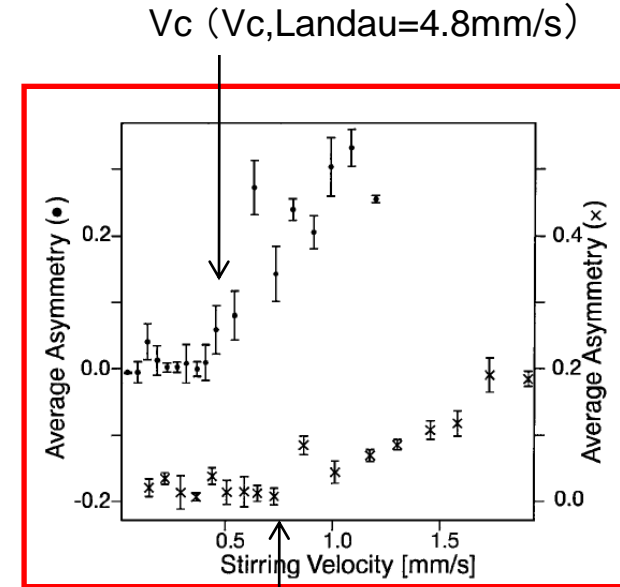
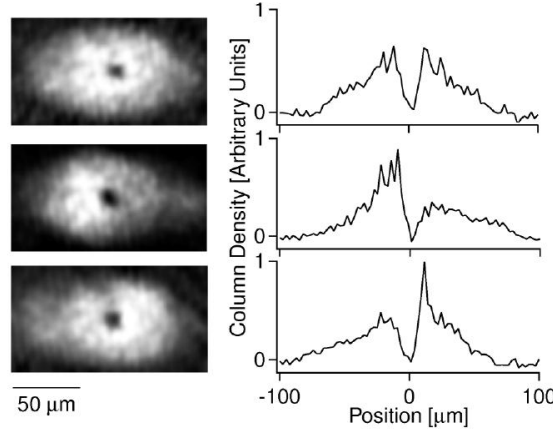
Phase of condensate wave function

Critical velocity in Cold atoms

In reality, $v_c < v_{c, \text{Landau}}$; vortex creation in Cold Atoms
 stirring condensate by laser beam



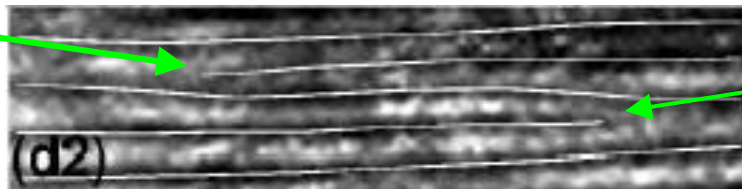
Raman et al. 1999



Onofrio et al. 2000

Phase of condensate wave function

vortex



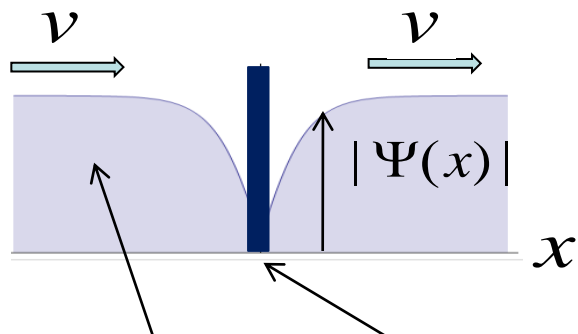
vortex

(interference fringe) Inouye et al. (2001)

Simpler Analogue of vortex-emission instability

one-dimensional superflow in the presence of potential barrier

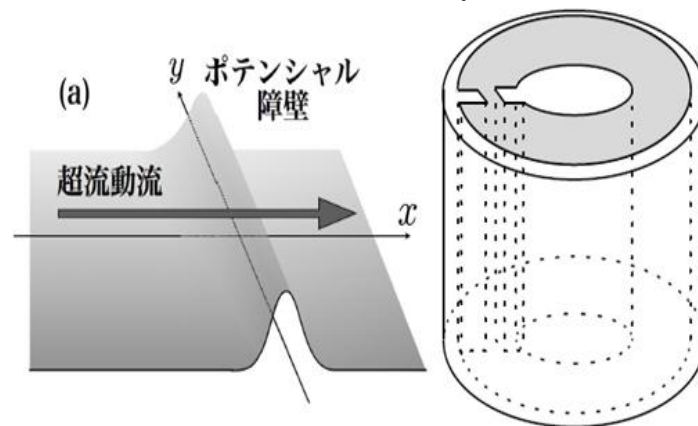
(1D Gross-Pitaevskii eq.)



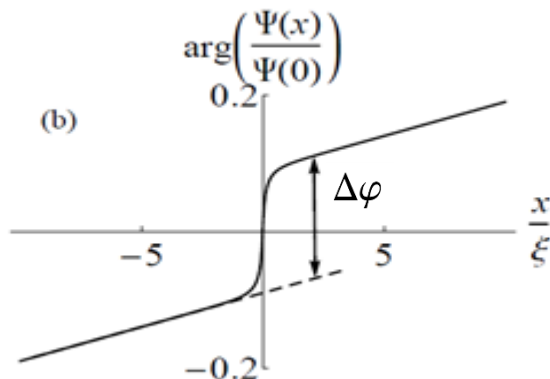
condensate

Potential barrier

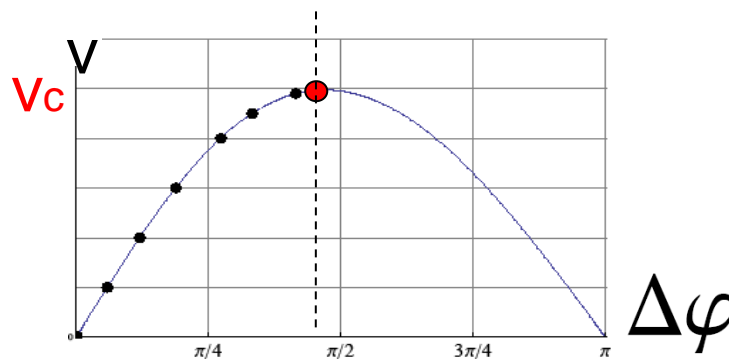
(2D Gross-Pitaevskii eq.)



Josephson-like system

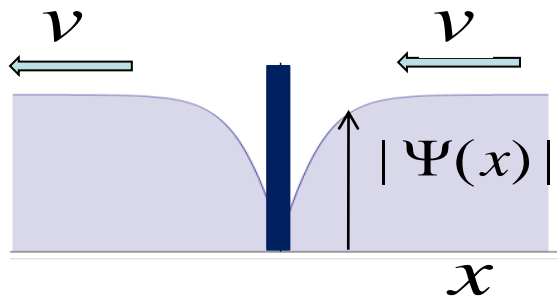


current-phase relation

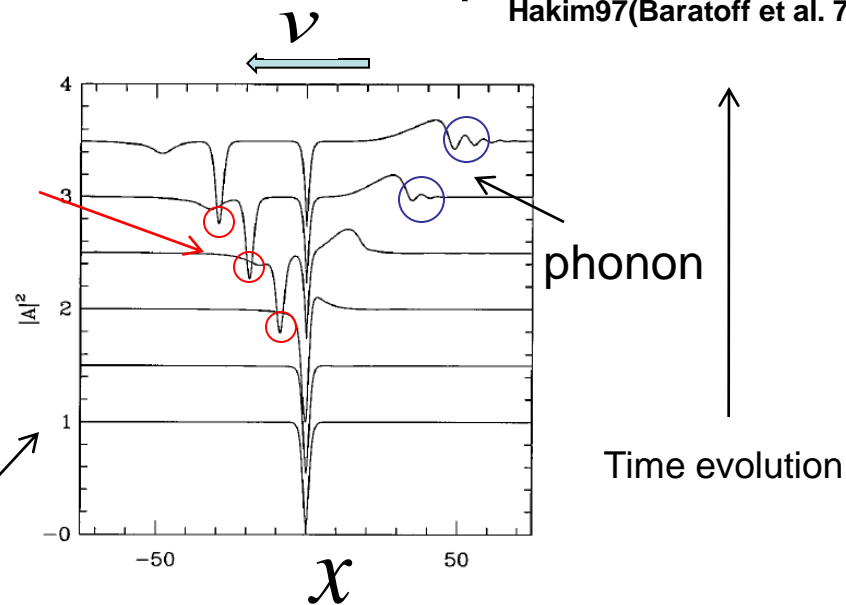


Stability of one-dimensional superflow in the presence of potential barrier (1D Gross-Pitaevskii eq.)

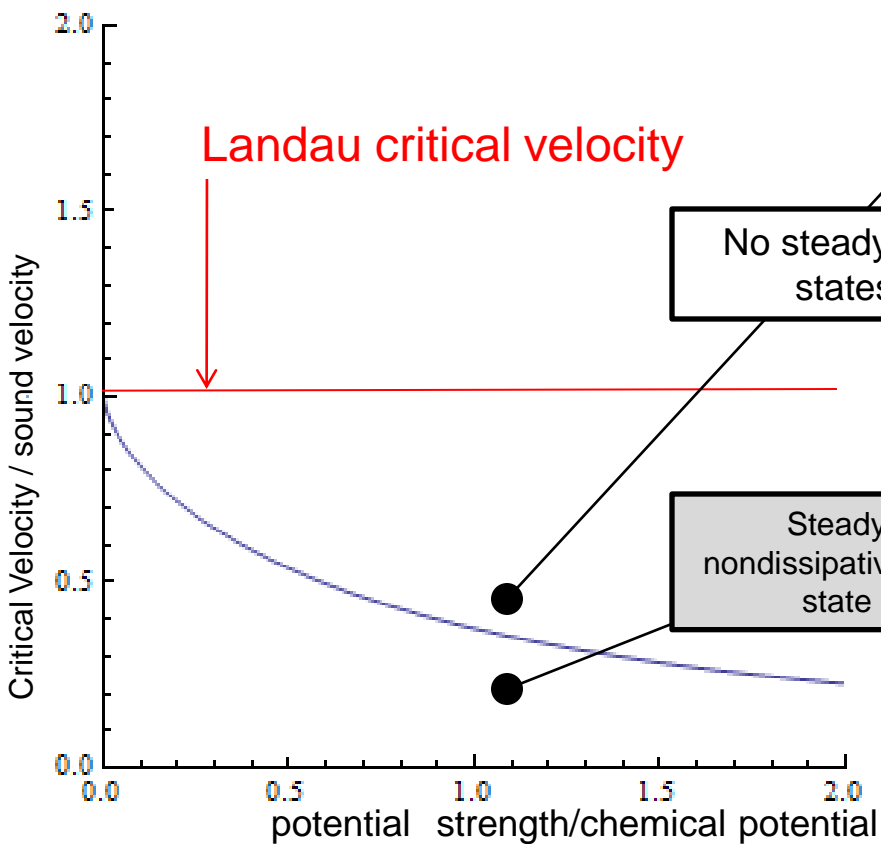
Hakim97(Baratoff et al. 71)



soliton

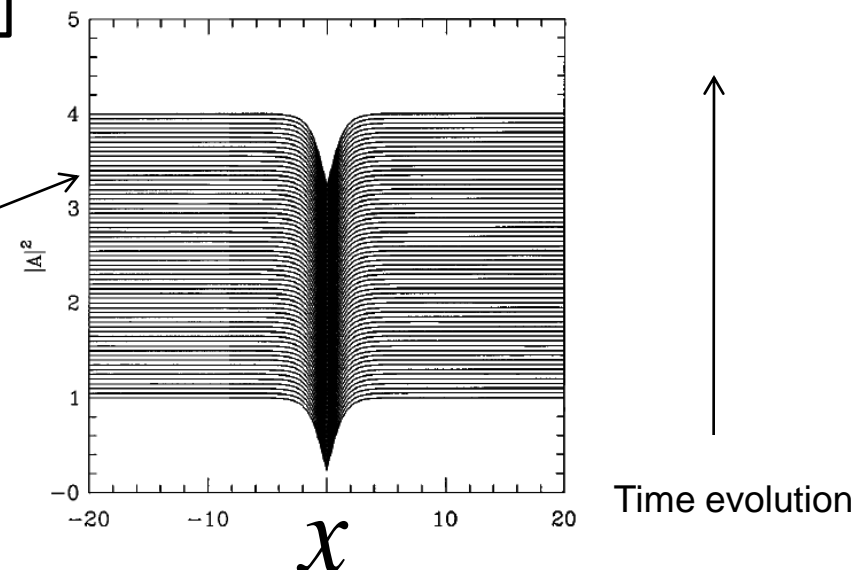


Time evolution



No steady flow states

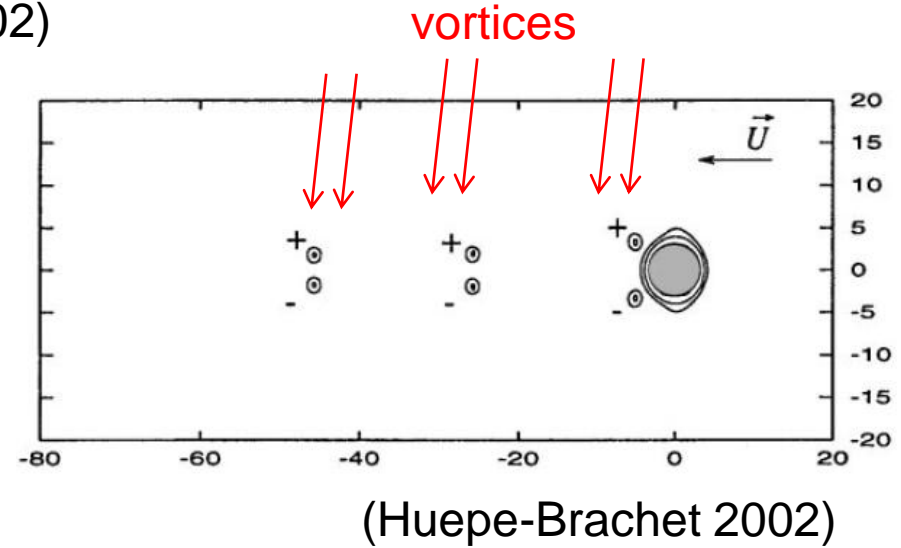
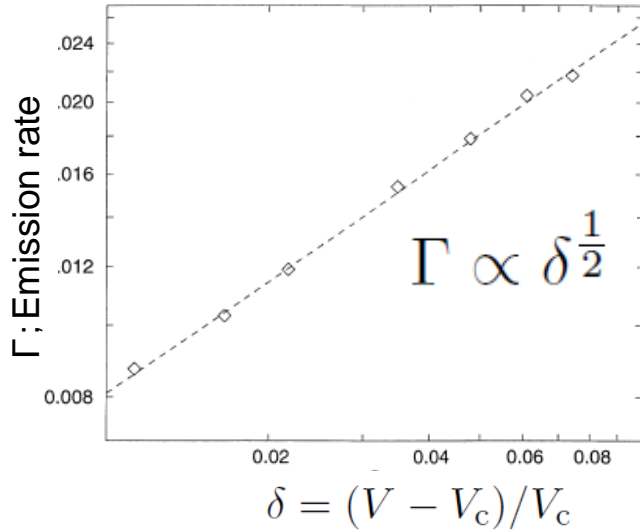
Steady nondissipative flow state



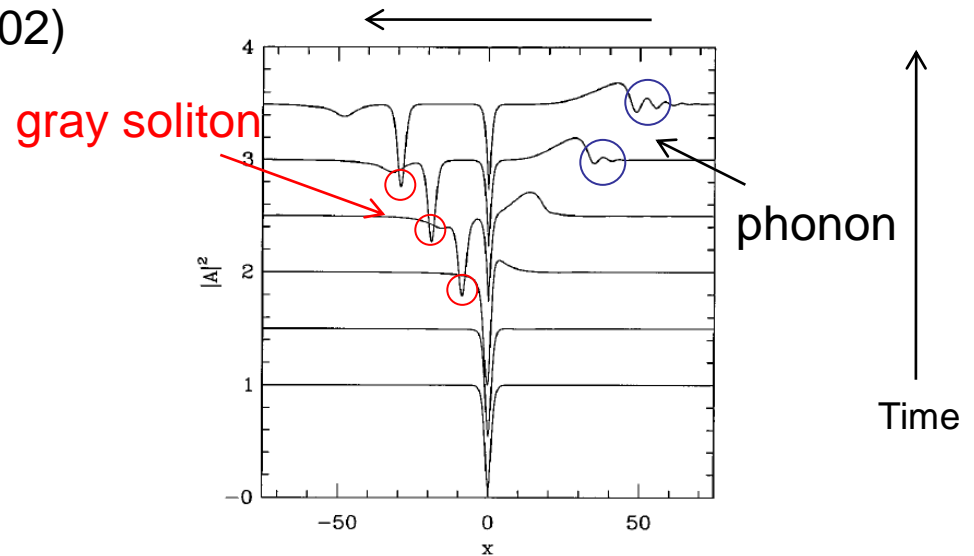
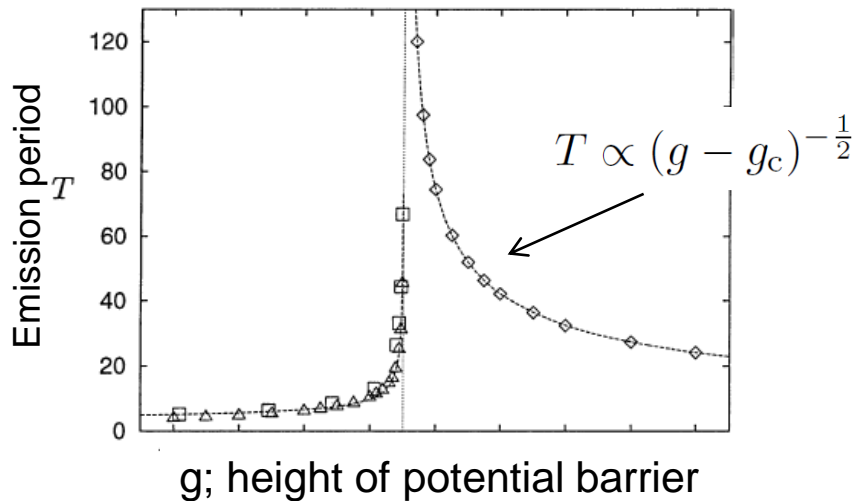
Time evolution

Dynamical scaling above critical velocity

Vortex-emission rate(Huepe-Brachet 2002)



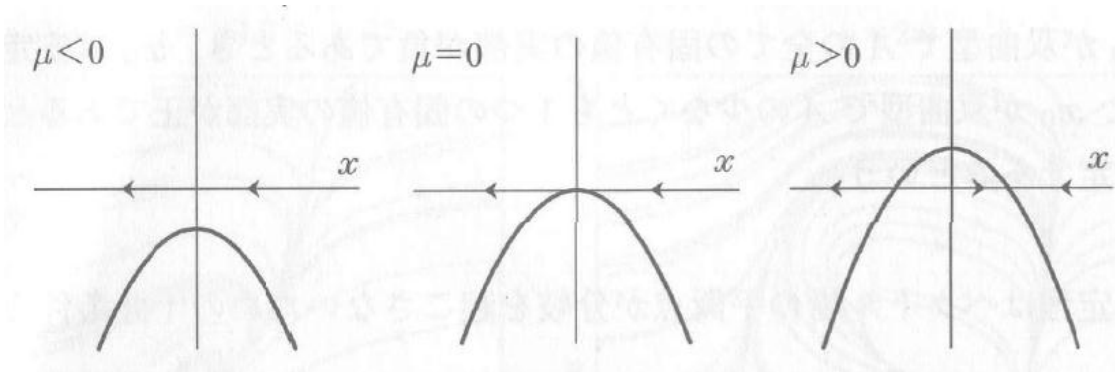
Soliton-emission rate(Pham-Brachet 2002)



Bifurcation theory

=theory of parameter dependence of existence and stability of stationary solutions of dynamical nonlinear systems (equations)

Ex. $\dot{x} = f(x, \mu) = \mu - x^2, \quad \mu, x \text{ real}$

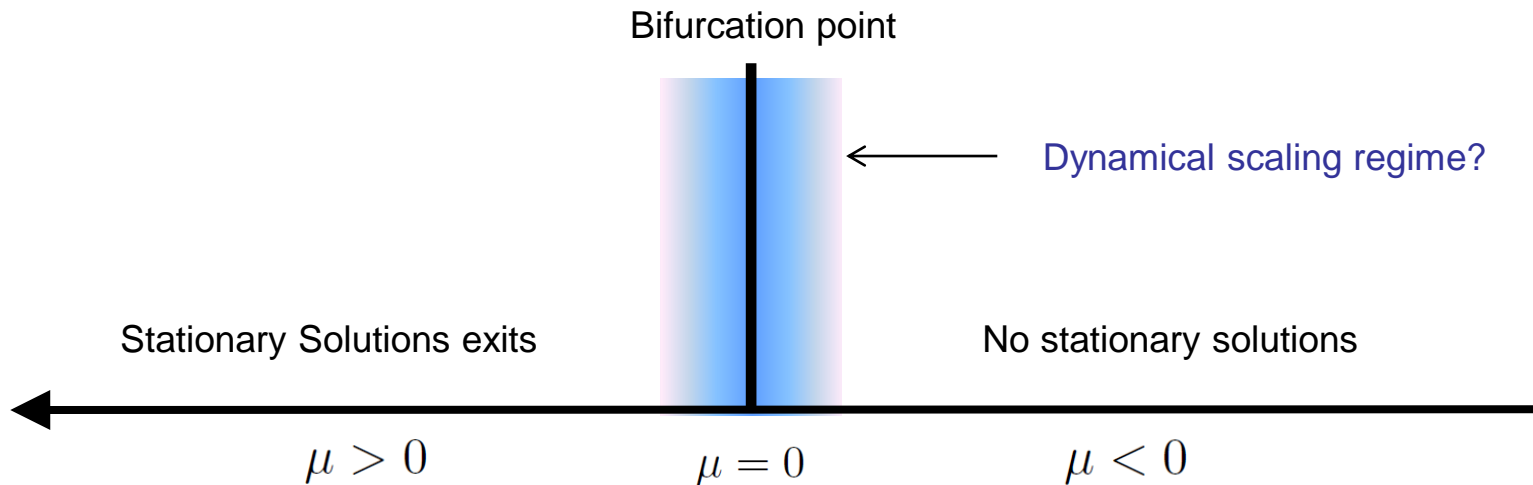


Stationary solutions

$\mu < 0$ No stationary solutions

$\mu = 0$ $x(t) = 0$

$\mu > 0$ $x(t) = \pm\sqrt{\mu}$



Stability analysis

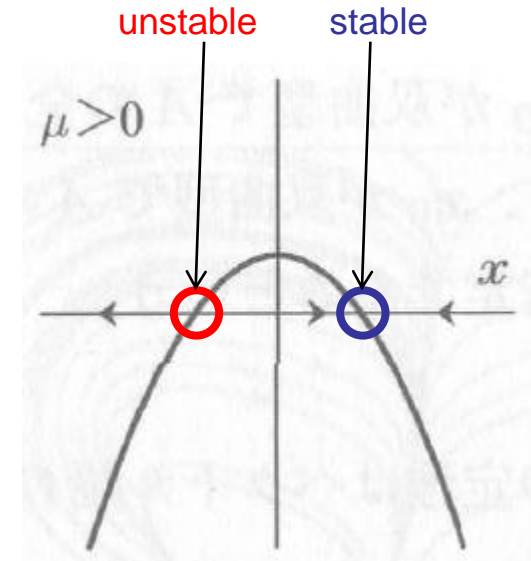
$$\dot{x} = f(x, \mu) = \mu - x^2, \quad \mu, x \text{ real}$$

$$\text{stationary solutions: } x(t) = \pm \sqrt{\mu} \quad \mu > 0$$

Linear stability analysis around stationary solutions.

$$\delta x(t) = \mp 2\sqrt{\mu}\delta x(t) + \mathcal{O}((\delta x(t))^2)$$

$$\delta x(t) = \exp(\mp 2\sqrt{\mu}t) \times \text{constant}$$



Characteristic time (inverse of growth rate or converging rate) scales as

$$t^* \propto \mu^{-\frac{1}{2}}$$

A **stable** stationary solution
+ an **unstable** stationary solution exist

Dynamical scaling regime !

No stationary solutions

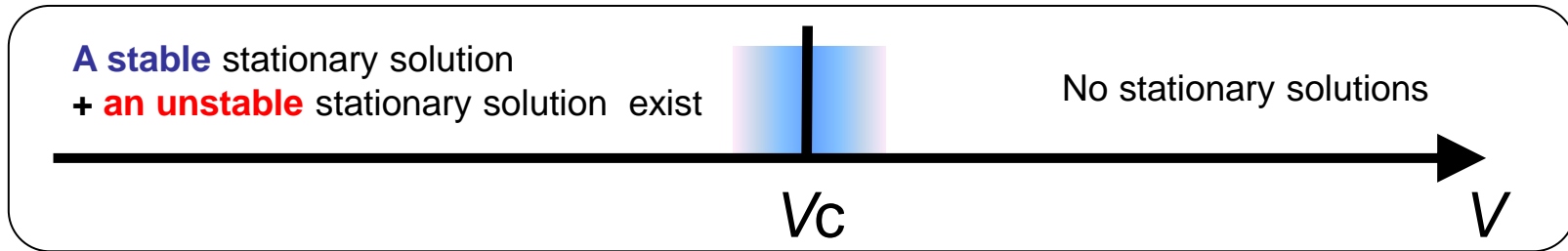


$$\mu > 0$$

$$\mu = 0$$

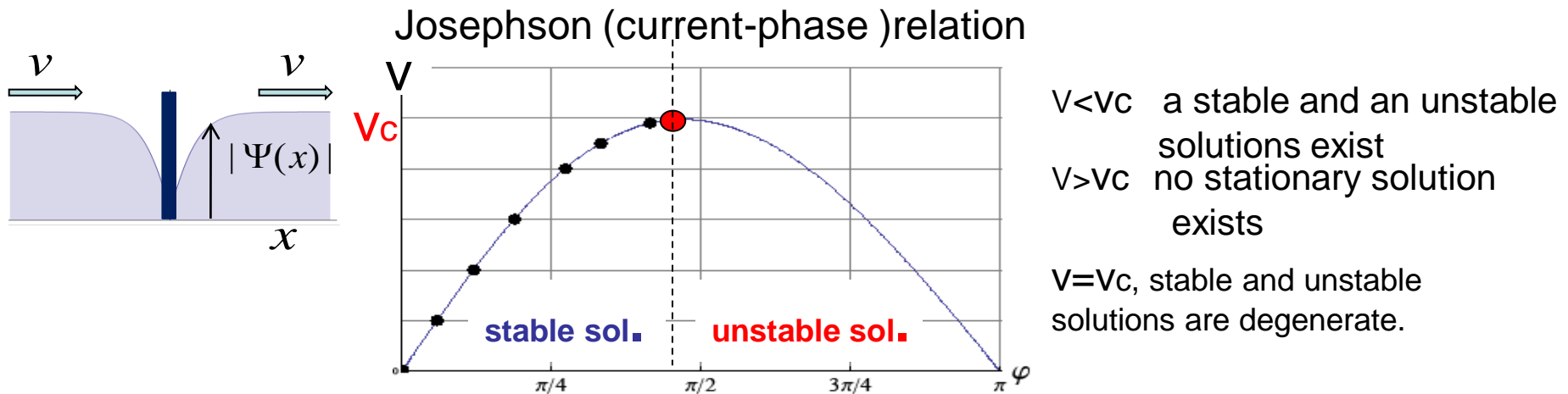
$$\mu < 0$$

Saddle-node bifurcation



This type of bifurcation is called **saddle-node bifurcation**.

Soliton-emission instability = saddle-node bifurcation(Hakim 97)

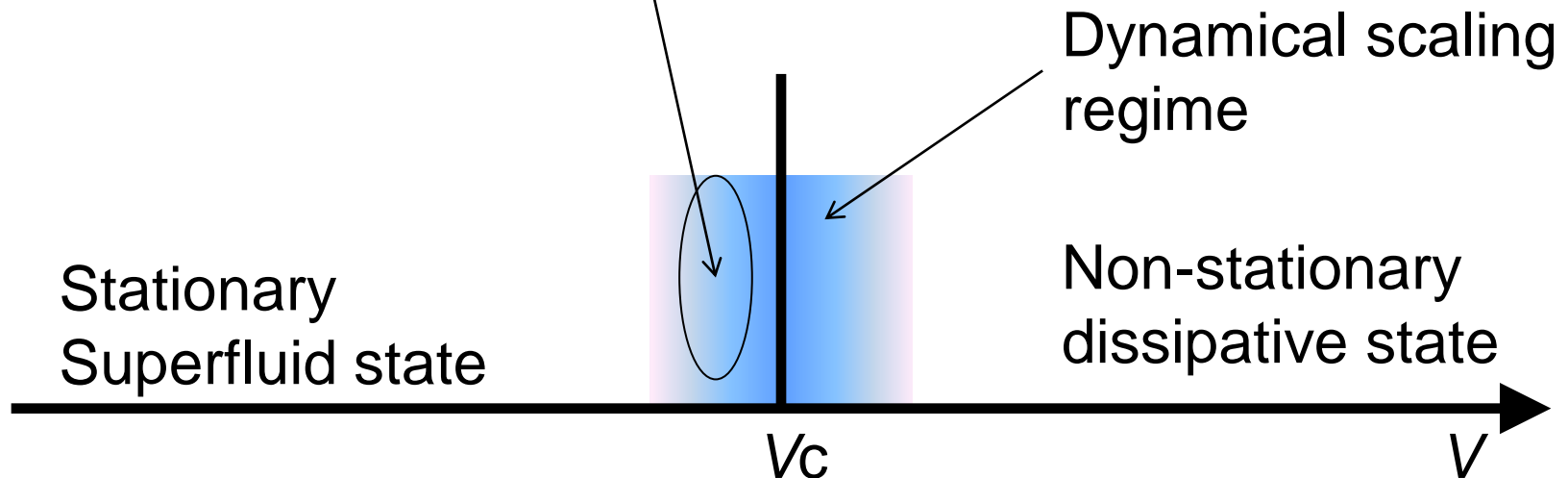


Further, Vortex-emission instability = saddle-node bifurcation (Pomeau 93,Rica 01)

→ Dynamical scaling of vortex-emission and soliton emission is due to saddle-node bifurcation

Dynamical density fluctuation

- Slightly below the critical velocity of soliton-emission instability, the dynamical density fluctuation is enhanced.



Model (1/4): Classical analogue of Bogoliubov equation

We express $\Psi(\mathbf{r}, t)$ as the sum of the stationary solution of GP equation and the fluctuation around it.

$$\Psi(\mathbf{r}, t) = \Psi_0(\mathbf{r}) + \delta\Psi(\mathbf{r}, t)$$

Equation linearized w. r. t. $\delta\Psi(\mathbf{r}, t)$ is given by

$$i \frac{\partial \delta\Psi(\mathbf{r}, t)}{\partial t} = K \delta\Psi(\mathbf{r}, t) + \Psi_0^2(\mathbf{r}) \delta\Psi^*(\mathbf{r}, t) \dots \star$$

with $K = -\frac{1}{2} \nabla^2 + (U(\mathbf{r}) - \mu) + 2\Psi_0^*(\mathbf{r})\Psi_0(\mathbf{r})$

Normal mode is given in the form of $\delta\Psi(\mathbf{r}, t) = u_j(\mathbf{r})e^{-i\epsilon_j t} - v_j^*(\mathbf{r})e^{i\epsilon_j t}$

Resultant two-component Equation for (u,v) is given by

$$\epsilon_j \begin{pmatrix} u_j(\mathbf{r}) \\ v_j(\mathbf{r}) \end{pmatrix} = \mathcal{L} \begin{pmatrix} u_j(\mathbf{r}) \\ v_j(\mathbf{r}) \end{pmatrix}, \quad \mathcal{L} = \begin{pmatrix} K & -\Psi_0^2 \\ (\Psi_0^*)^2 & -K \end{pmatrix} \quad \text{Bogoliubov equation}$$

General solution to \star is given by

j ; index of normal mode

$$\delta\Psi(\mathbf{r}, t) = \sum_{j \text{ s.t. } \text{Re}\epsilon \geq 0} \left(u_j(\mathbf{r}) \exp(-i\epsilon_j t) - v_j^*(\mathbf{r}) \exp(i\epsilon_j^* t) \right)$$

Model (2/4):

Bogoliubov theory (Bogoliubov1947) weakly interacting, zero temperature

Quantum fluctuation around a stationary condensate is taken into account as follows:

$$\hat{\Psi}(\mathbf{r}, t) = \Psi_0(\mathbf{r}) + \delta\hat{\Psi}(\mathbf{r}, t) \quad \text{with}$$

$$\delta\hat{\Psi}(\mathbf{r}, t) = \sum_{j \text{ s.t. } \epsilon_j \geq 0} \left(u_j(\mathbf{r}) \hat{a}_j \exp(-i\epsilon_j t) - v_j^*(\mathbf{r}) \hat{a}_j^\dagger \exp(i\epsilon_j t) \right)$$

where \hat{a}_j^\dagger , \hat{a}_j are bosonic creation and annihilation operators, respectively.

Orthonormal condition for (u,v) is given by

$$\int d\mathbf{r} (u_k^* u_j - v_k^* v_j) = \delta_{kj} \quad \int d\mathbf{r} (u_k v_j - v_k u_j) = 0$$

Diagonal representation of Hamiltonian is given by

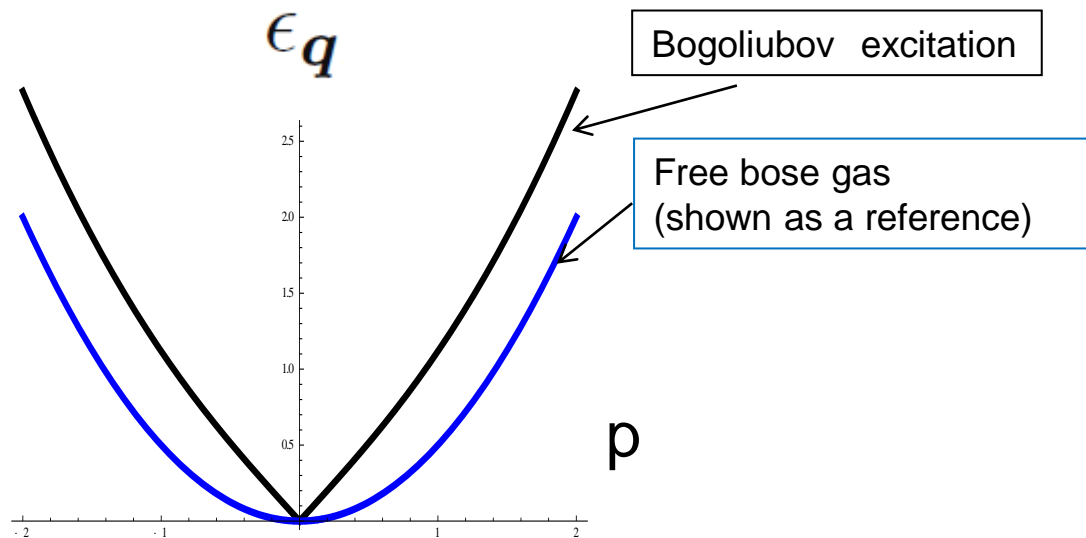
$$\hat{H} = E_g + \sum_j \epsilon_j \hat{a}_j^\dagger \hat{a}_j + \mathcal{O}(\delta\hat{\Psi}^\dagger \delta\hat{\Psi}^2, (\delta\hat{\Psi}^\dagger)^2 \delta\hat{\Psi})$$

Model (3/4) In the spatially uniform case, solution to Bogoliubov equation is given by

$$\begin{pmatrix} u_{\mathbf{q}}(\mathbf{r}) \\ v_{\mathbf{q}}(\mathbf{r}) \end{pmatrix} = \frac{(\varepsilon_q^2 - 1)^{-\frac{1}{4}}}{\sqrt{2\Omega}} \begin{pmatrix} \left[\varepsilon_q + (\varepsilon_q^2 - 1)^{\frac{1}{2}} \right]^{\frac{1}{2}} e^{i\mathbf{q}\cdot\mathbf{r}} \\ \left[\varepsilon_q - (\varepsilon_q^2 - 1)^{\frac{1}{2}} \right]^{\frac{1}{2}} e^{i\mathbf{q}\cdot\mathbf{r}} \end{pmatrix}$$

where Ω denotes volume and $\varepsilon_q \equiv \frac{q^2}{2} + 1$

Excitation energy is given by $\epsilon_{\mathbf{q}} = \sqrt{\varepsilon_q^2 - 1}$



Bogoliubov spectrum was found in experiments of cold atoms (Stamper-Kurn 1999) via probe detecting density fluctuation $S(\mathbf{q},\omega), S(\mathbf{q})$

Gapless spectrum is a sign for Bogoliubov excitation being a Nambu-Goldstone mode (phase fluctuation?)

Model (4/4): Phase fluctuation, density fluctuation, and Bogoliubov excitation

$$\Psi(\mathbf{r}, t) = \sqrt{\rho_0 + \delta\rho(\mathbf{r}, t)} e^{i\delta\theta(\mathbf{r}, t)} \quad \text{When } \mu = 1, \rho_0 = 1 \text{ and}$$

$$\frac{\partial\delta\rho(\mathbf{r}, t)}{\partial t} = -\nabla^2\delta\theta(\mathbf{r}, t), \quad \frac{\partial\delta\theta(\mathbf{r}, t)}{\partial t} = \left(-\frac{1}{4}\nabla^2 + 1\right)\delta\rho(\mathbf{r}, t)$$

Solution is given by

$$\begin{pmatrix} \delta\rho(\mathbf{r}, t) \\ \delta\theta(\mathbf{r}, t) \end{pmatrix} = \exp(i(\mathbf{q} \cdot \mathbf{r} - \omega t)) \begin{pmatrix} q^2 \\ -i\omega \end{pmatrix}$$

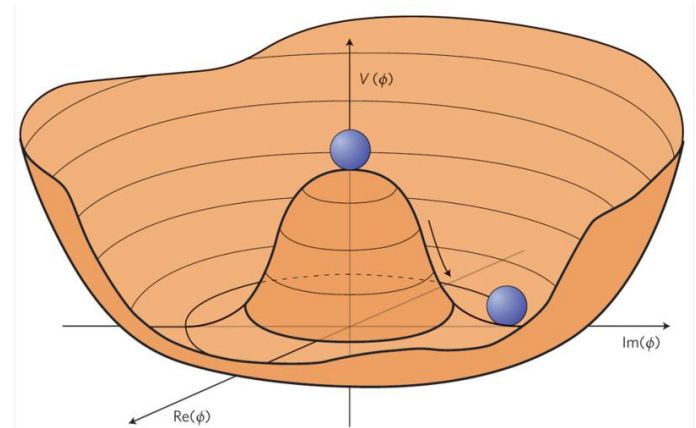
Density fluc. and phase fluc. couples

$$\text{with } \omega = q\sqrt{1 + \frac{q^2}{4}}$$

$$\omega \rightarrow q, \quad q \rightarrow 0 \quad \delta\rho \ll \delta\theta$$

In low energy, phase fluc. Dominates
(\sim NambuGoldstone mode)

$$\omega \rightarrow q^2/2, \quad q \rightarrow \infty \quad \delta\rho \sim \delta\theta$$



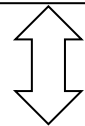
How to measure density fluctuations?

Dynamical structure factor $S(\mathbf{q}, \omega)$ is not useful in the spatially inhomogeneous systems.

We thus introduce the spectral function of local density:

$$\rho(\mathbf{r}, \omega) = \sum_l |\langle l | \hat{\psi}^\dagger(\mathbf{r}) \hat{\psi}(\mathbf{r}) | g \rangle|^2 \delta(\omega - E_l + E_g)$$

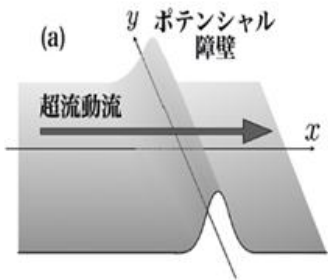
g ; ground state (energy E_g) l ; excited state (energy E_l)



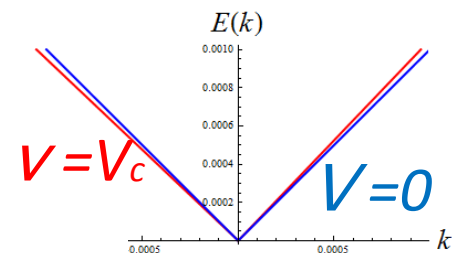
Fourier Transform

autocorrelation function of local density:

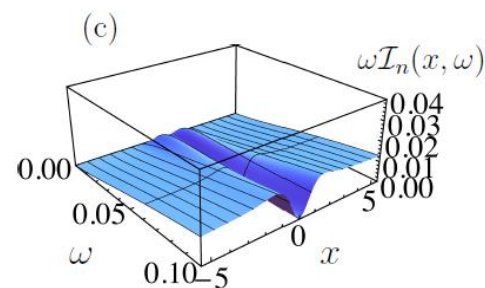
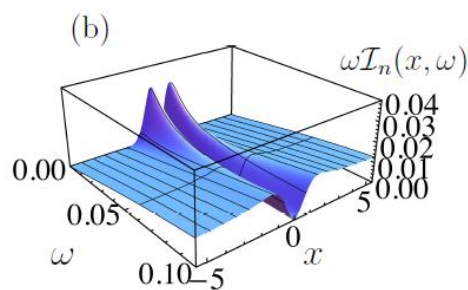
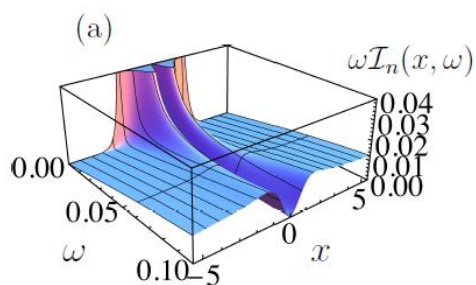
$$\begin{aligned} C(\mathbf{r}, t) &= \langle \hat{n}(\mathbf{r}, t) \hat{n}(\mathbf{r}, 0) + \hat{n}(\mathbf{r}, 0) \hat{n}(\mathbf{r}, t) \rangle / 2 - \langle \hat{n}(\mathbf{r}, 0) \rangle^2 \\ &= \int_0^\infty d\omega \rho(\mathbf{r}, \omega) \cos \omega t \end{aligned}$$



x, ω dependence of spectral function ($U(x)=U \delta(x)$)

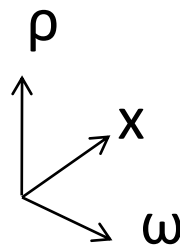
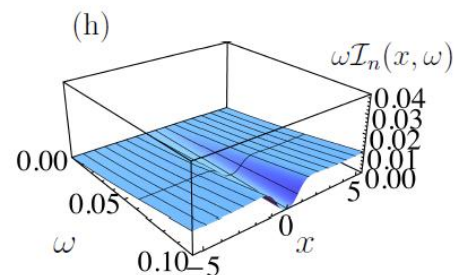
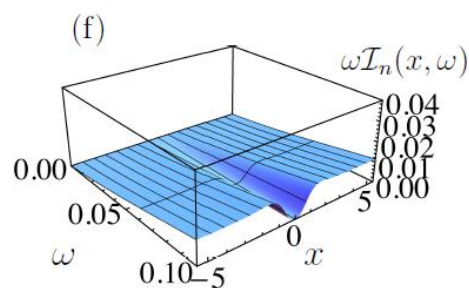
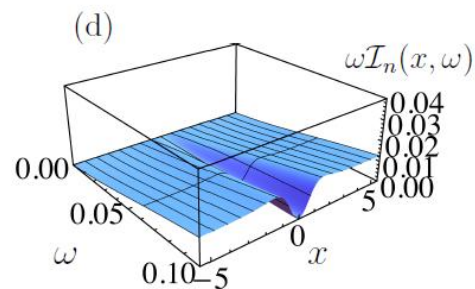


$$\rho(\mathbf{r}, \omega) = \sum_l |\langle l | \hat{\psi}^\dagger(\mathbf{r}) \hat{\psi}(\mathbf{r}) | g \rangle|^2 \delta(\omega - E_l + E_g)$$



$V=V_c$ Fluctuation is enhanced near V_c !

V



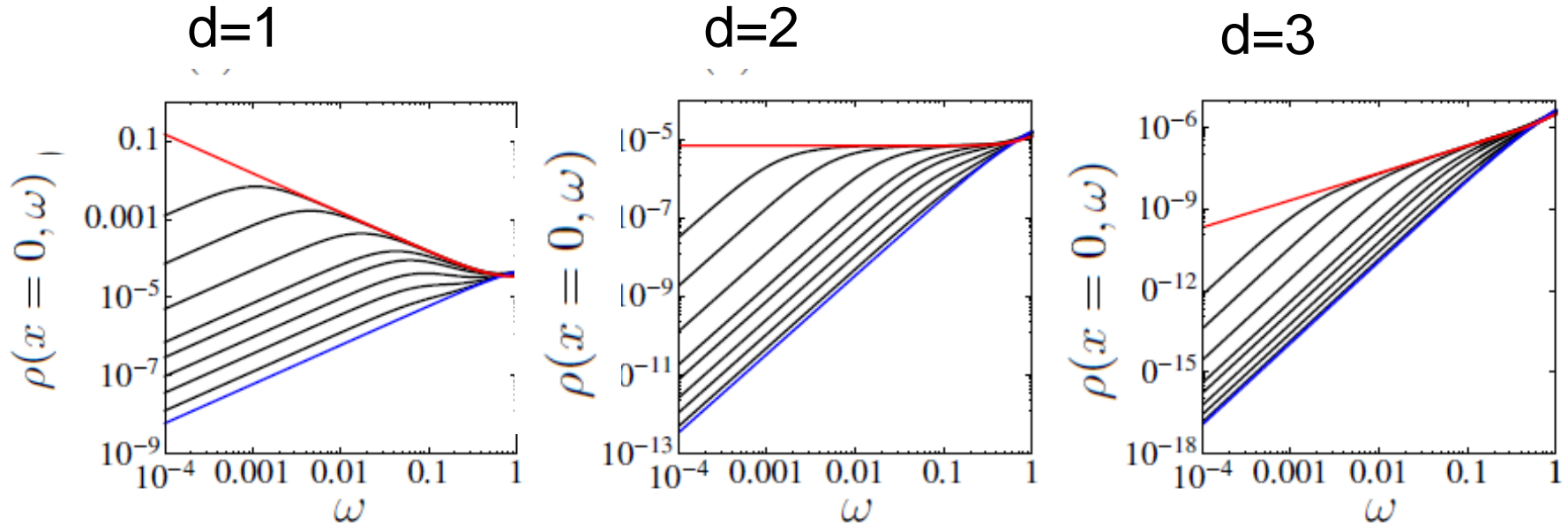
V

$d=2$

$V=0$

ω dependence of spectral function at $X=0$ for soliton-emission instability

Blue curves for $V=0$, Black for $0 < V < V_c$, Red for $V=V_c$ Watabe Thesis

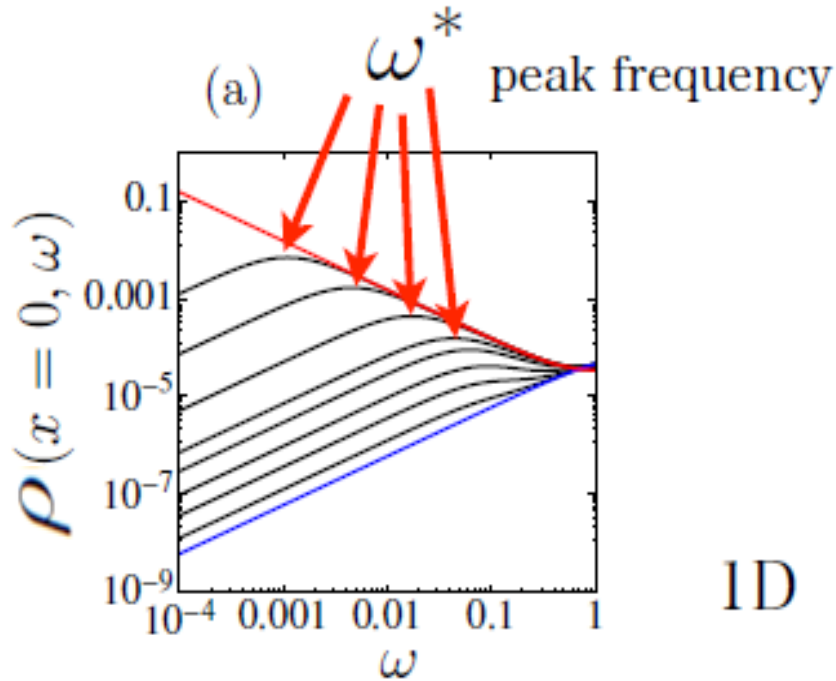


$$\rho(\mathbf{r}, \omega) \propto \omega^{d-2} \quad V = V_c$$

$$\rho(\mathbf{r}, \omega) \propto \omega^d \quad V < V_c$$

Note: the power of ω changes discontinuously at V_c
The exponent does not depend on x

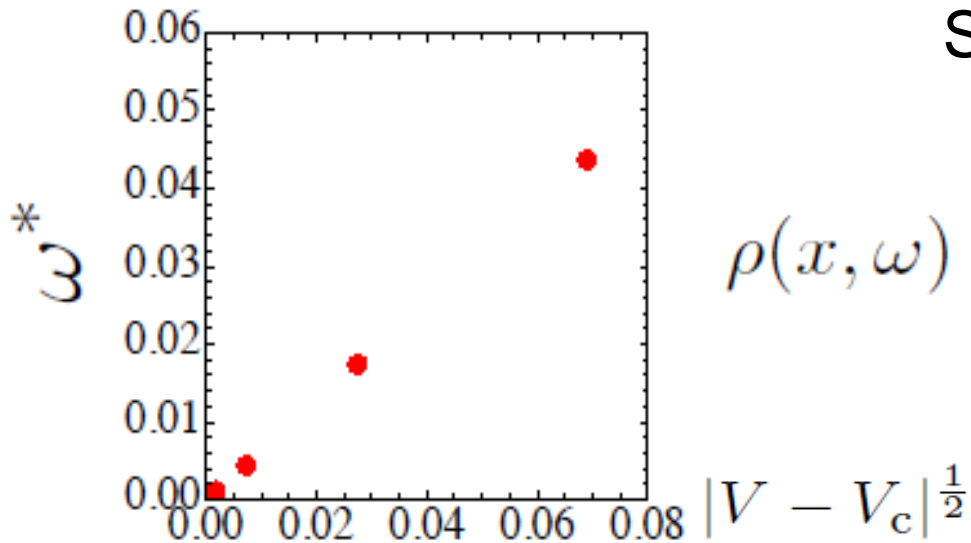
Crossover and scaling form



$$\omega^* \propto |V - V_c|^{-\frac{1}{2}}$$

Crossover frequency
(Dynamical scaling
inherent to saddle-
node bifurcation)

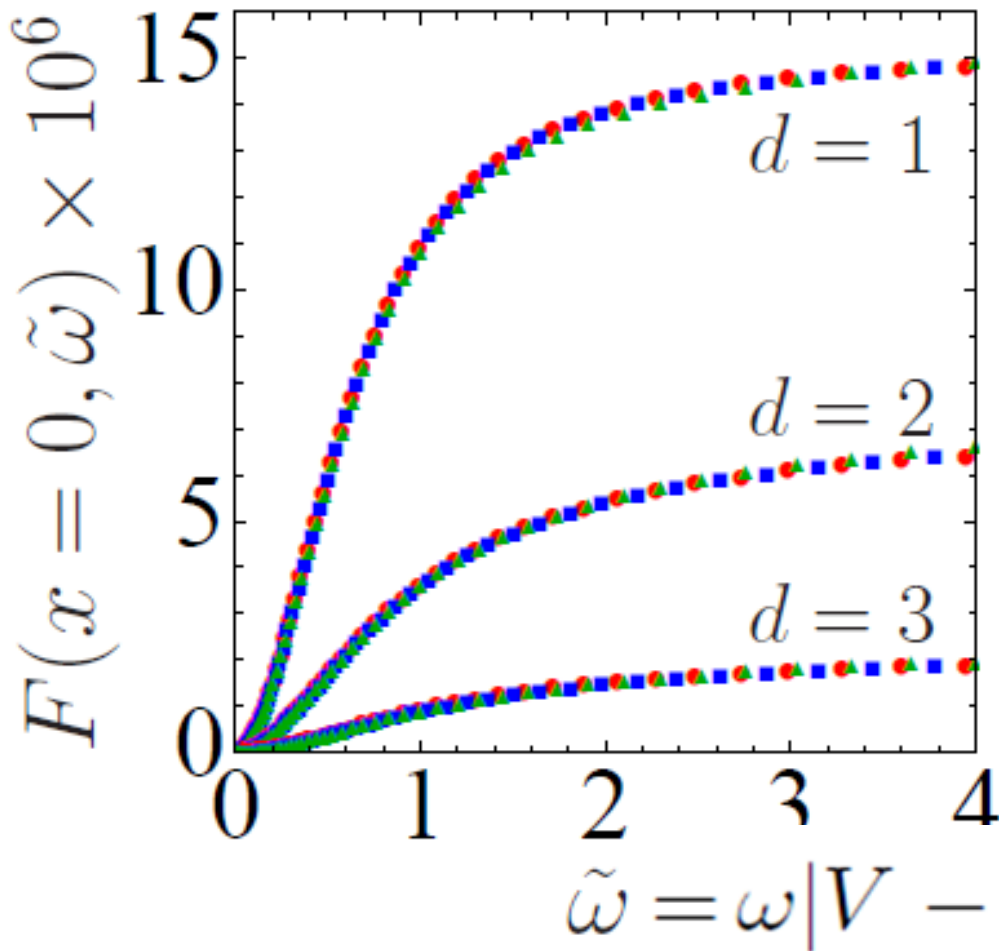
Scaling form (d=1,2,3)



$$\rho(x, \omega) = \omega^{d-2} F(x, \omega |V - V_c|^{-\frac{1}{2}})$$

Numerical confirmation of Scaling relation

$$\rho(x, \omega) = \omega^{d-2} F(x, \omega | V - V_c |^{-\frac{1}{2}})$$

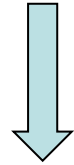


Red: $(V_c - V)/V_c = 6 \times 10^{-5}$
Blue: $(V_c - V)/V_c = 1 \times 10^{-3}$
Green: $(V_c - V)/V_c = 1 \times 10^{-2}$

Enhancement of
fluctuation is due to
saddle-node bifurcation

Stability criterion of superfluidity

$$\omega \rightarrow 0, \quad \rho(\mathbf{r}, \omega) \rightarrow \begin{cases} O(\omega^\beta) & \text{with } \beta < d \\ O(\omega^d) & \end{cases} \quad \begin{array}{l} \text{when } V = V_c \\ \text{when } V < V_c \end{array}$$



Fourier Transform

$$\begin{aligned} C(\mathbf{r}, t) &= \langle \hat{n}(\mathbf{r}, t)\hat{n}(\mathbf{r}, 0) + \hat{n}(\mathbf{r}, 0)\hat{n}(\mathbf{r}, t) \rangle / 2 - \langle \hat{n}(\mathbf{r}, 0) \rangle^2 \\ &= \int_0^\infty d\omega \rho(\mathbf{r}, \omega) \cos \omega t \end{aligned}$$

$$t \rightarrow \infty, \quad C(\mathbf{r}, t) \rightarrow \begin{cases} O(1/t^{\beta+1}) & \text{with } \text{for } \exists \mathbf{r}, \quad v = v_c \\ O(1/t^{d+1}) & \text{for } \forall \mathbf{r}, \quad v < v_c \end{cases}$$

Zero mode of Bogoliubov equation

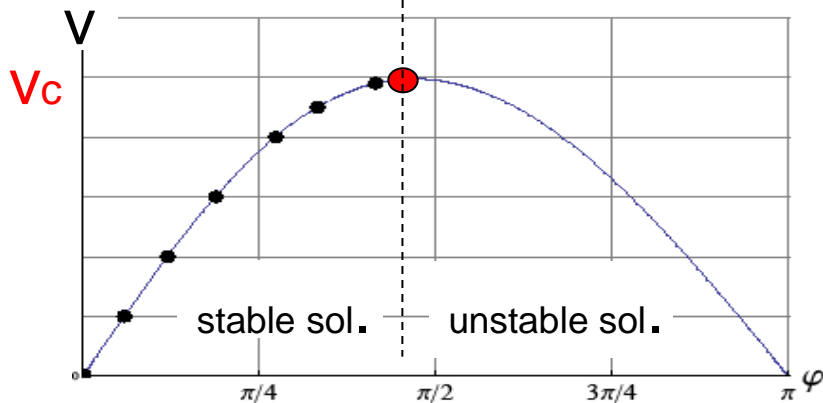
For $V \leq V_c$

$$\varepsilon \rightarrow 0 \quad \begin{pmatrix} u(\mathbf{r}) \\ v(\mathbf{r}) \end{pmatrix} = \begin{pmatrix} \Psi(\mathbf{r}) \\ \Psi^*(\mathbf{r}) \end{pmatrix} \quad \begin{array}{l} \text{A zero mode (Goldstone mode)} \\ \text{Fetter 1972} \end{array}$$

For $V = V_c$

$$\varepsilon \rightarrow 0 \quad \begin{pmatrix} u(x) \\ v(x) \end{pmatrix} = \frac{\partial}{\partial \varphi} \begin{pmatrix} \Psi(x; \varphi) \\ -\Psi^*(x; \varphi) \end{pmatrix} \quad \begin{array}{l} \text{Another zero mode} \\ \text{Pham-Brachet 2002 Takahashi-Kato 2009} \end{array}$$

Josephson (current-phase) relation



Cf analogous to elementary diff. eq.

$$\left(\frac{dy}{dx} - \varphi_1 \right) \left(\frac{dy}{dx} - \varphi_2 \right) = 0$$

$$y = \exp(\varphi_1 x), \quad \exp(\varphi_2 x) \quad \text{for } \varphi_1 \neq \varphi_2$$

$$y = \exp(\varphi_1 x), \quad \frac{\partial \exp(\varphi_1 x)}{\partial \varphi_1} \quad \text{for } \varphi_1 = \varphi_2$$

At $v = v_c$, stable and unstable solutions are degenerate.

Spectral function of local density

$$\rho(\mathbf{r}, \omega) = \sum_l |\langle l | \hat{\psi}^\dagger(\mathbf{r}) \hat{\psi}(\mathbf{r}) | g \rangle|^2 \delta(\omega - E_l + E_g)$$



$$\rho(\mathbf{r}, \omega) = \sum_i |\Psi(\mathbf{r})u_i^*(\mathbf{r}) - \Psi^*(\mathbf{r})v_i^*(\mathbf{r})|^2 \delta(\omega - \varepsilon_i)$$

Fetter's solution (Goldstone mode)

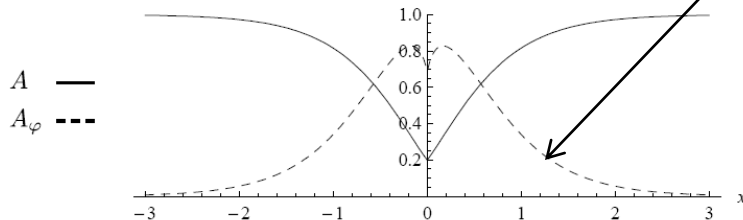
$$\begin{pmatrix} u(\mathbf{r}) \\ v(\mathbf{r}) \end{pmatrix} = \begin{pmatrix} \Psi(\mathbf{r}) \\ \Psi^*(\mathbf{r}) \end{pmatrix} \longrightarrow \Psi(\mathbf{r})u_i^*(\mathbf{r}) - \Psi^*(\mathbf{r})v_i^*(\mathbf{r}) = 0 \quad \text{does not couple to density fluctuation}$$

The other zero mode

$$\begin{pmatrix} u(x) \\ v(x) \end{pmatrix} = \frac{\partial}{\partial \varphi} \begin{pmatrix} \Psi(x; \varphi) \\ -\Psi^*(x; \varphi) \end{pmatrix} \longrightarrow \Psi(\mathbf{r})u_i^*(\mathbf{r}) - \Psi^*(\mathbf{r})v_i^*(\mathbf{r}) \neq 0 \quad \text{couples to density fluctuation}$$

At $V=V_c$, density fluctuation occurs at low energy

Localized density fluc.

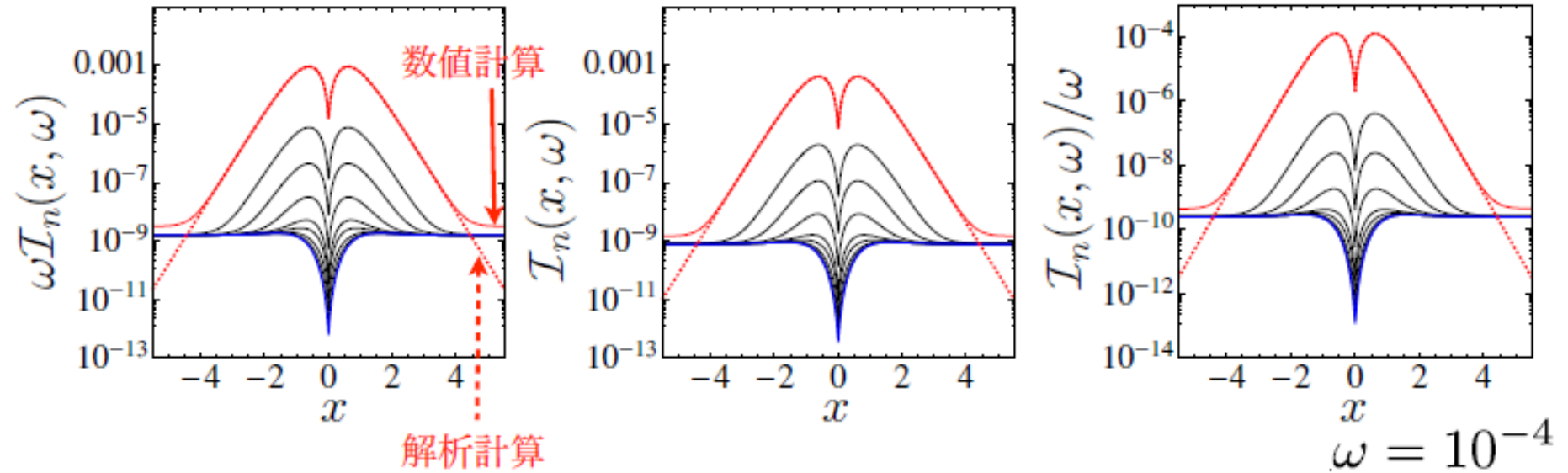


Spatial dependence near V_c

1D (a)

2D (b)

3D (c)



Each curve represents the results for each V (red curve for V_c)

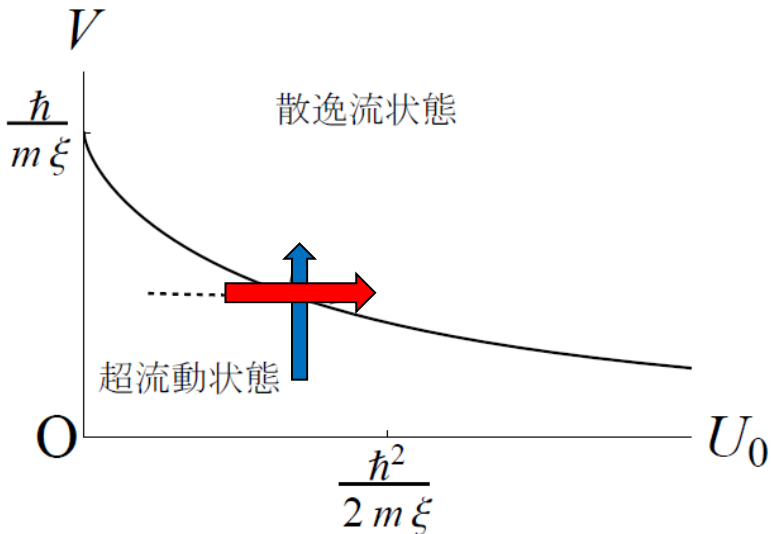
$$\rho(x, \omega) \rightarrow \begin{cases} \omega^d f_d(x, V) & V < V_c, \\ \nu_d \omega^{d-2} \left| \frac{\partial |\Psi(x, \varphi)|^2}{\partial \varphi} \right|^2 & V = V_c, \end{cases}$$

Discussion: Why is density fluctuation enhanced near critical velocity?

Near critical velocity, the state changes drastically with change $V \rightarrow V + \delta V$

\equiv

Near critical velocity, the state changes drastically with change $U_0 \rightarrow U_0 + \delta U_0$



Near critical velocity, the density fluctuation would be enhanced

summary

- **dynamical density fluctuation** at low ω and **autocorrelation of the local density** at long time difference are enhanced near critical velocity in a soliton-emission instability.
- Enhancement of fluctuation at critical velocity is due to appearance of the zero mode that couples to density fluctuation.
- Our result suggests that superfluidity requires BEC with finite compressibility and suppressed dynamical density fluctuations.