

# Modeling scalar fields consistent with positive mass

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# [ Content

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1. Introduction
2. Positive mass theorem
3. Einstein-scalar system
4. Future issues



# 1. Introduction

# [ Positive mass theorem ]

~ Positive mass theorem

Schoen&Yau 1981, Witten 1981, Gibbons et al 1983,...

$$M \geq 0$$

$M = 0 \Leftrightarrow$  Minkowski/anti-deSitter

for GR, SUGRA, regular spacetimes, energy condition, ...


**The existence of ground state**

# [ Restriction on theories ]

Scalar potentials consistent with positive mass

Boucher 1984, Townsend 1985

$$L = R - \frac{1}{2} (\nabla \phi)^2 - U(\phi)$$


$$U(\phi) = 8 \left( \frac{dW(\phi)}{d\phi} \right)^2 - 12(W(\phi))^2$$

Cf) SUGRA ,W superpotential

# Summary of our work

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action

$$S = \int d^4x \sqrt{-g} [R + 2K(\phi, X) + L_{matter}] \quad X = -\frac{1}{2} g^{\mu\nu} \nabla_\mu \phi \nabla_\nu \phi$$

The cases consistent with positive mass are

(i)

$$K = X - U(\phi) = X - 8 \left( \frac{dW(\phi)}{d\phi} \right)^2 + 12(W(\phi))^2$$

Canonical form with “superpotential”

(ii)

$$K = 4\sqrt{2} \frac{dW(\phi)}{d\phi} (-X)^{1/2} + 12(W(\phi))^2$$

No cosmological solution

- strong restriction
- classical stability is automatically guaranteed



## 2. Positive mass theorem

Back to Witten 1981

# [ Positivity: essence ]

$$\gamma^i \nabla_i \varepsilon = 0 \quad \varepsilon : \text{spinor}$$

$$M \sim \int_{S_\infty} \varepsilon^+ \nabla_i \varepsilon dS^i$$

$$= \int_\Sigma \nabla^i (\varepsilon^+ \nabla_i \varepsilon) d\Sigma$$

$$= \int_\Sigma (|\nabla \varepsilon|^2 + \varepsilon^+ \nabla^2 \varepsilon) d\Sigma$$

$$\sim \int_\Sigma (|\nabla \varepsilon|^2 + T_{00} |\varepsilon|^2) d\Sigma \geq 0$$

If the energy-momentum tensor satisfies **the energy condition**, we can prove **the positivity of mass**.



# [ Rigidity ]

$$M \sim \int_{\Sigma} (|\nabla \varepsilon|^2 + T_{00} |\varepsilon|^2) d\Sigma = 0$$

$$\Rightarrow \nabla \varepsilon = 0$$

$$\Rightarrow R_{abcd} = 0$$

Minkowski spacetime

# [ Precisely ]

$$N^{\mu\nu} := -i(\bar{\varepsilon}\gamma^{\mu\nu\rho}\nabla_{\rho}\varepsilon - \overline{\nabla_{\rho}\varepsilon}\gamma^{\mu\nu\rho}\varepsilon) \quad \bar{\varepsilon} = i\varepsilon^+\gamma^0$$

$$\frac{1}{2}\int_{\partial\Sigma} N_{\mu\nu}dS^{\mu\nu} = -\int_{\Sigma} \nabla_{\nu}N^{\mu\nu}u_{\mu}d\Sigma$$

$u^{\mu}$  : future directed unit normal vector to  $\Sigma$

$$\nabla_{\nu}N^{\mu\nu} = 2i\nabla_{\rho}\varepsilon\gamma^{\mu\nu\rho}\nabla_{\nu}\varepsilon - G_{\nu}^{\mu}V^{\nu} \quad V^{\mu} := i\bar{\varepsilon}\gamma^{\mu}\varepsilon$$

$$\gamma^i\nabla_i\varepsilon = 0$$

$\geq 0$  (energy condition)

$$\Rightarrow 8\pi GM = \frac{1}{2}\int_{\partial\Sigma} N_{\mu\nu}dS^{\mu\nu} = \int_{\Sigma} \left(2|\nabla_i\varepsilon|^2 - 8\pi GT^0_{\mu}V^{\mu}\right)d\Sigma \geq 0$$



# 3. Einstein-scalar system

Nozawa & Shiromizu 2014

# [ Model ]

action

$$S = \int d^4x \sqrt{-g} [R + 2K(\phi, X) + 2L_{matter}]$$
$$X = -\frac{1}{2} g^{\mu\nu} \nabla_\mu \phi \nabla_\nu \phi$$

$$G_{\mu\nu} = T_{\mu\nu}^{(\phi)} + T_{\mu\nu}^{(matter)}$$

$$T_{\mu\nu}^{(\phi)} = \partial_X K \nabla_\mu \phi \nabla_\nu \phi + K g_{\mu\nu}$$

does not satisfy the (dominant) energy condition in general

# [ Mass expression ]

$$\hat{\nabla}_{\mu}\varepsilon = (\nabla_{\mu} + A_{\mu})\varepsilon, \quad \gamma^i \hat{\nabla}_i \varepsilon = 0$$

$$8\pi GM = \int_{\Sigma} d\Sigma \left[ 2i \overline{\hat{\nabla}_{\rho}\varepsilon} \gamma^{\mu\nu\rho} \hat{\nabla}_{\nu}\varepsilon - G_{\nu}^{\mu} V^{\nu} + S^{\mu} \right] u_{\mu}$$

$$\left\{ \begin{array}{l} G_{\mu\nu} := R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R \\ V^{\mu} = i \bar{\varepsilon} \gamma^{\mu} \varepsilon \\ S^{\mu} := -i \bar{\varepsilon} \gamma^{\mu\nu\rho} F_{\mu\nu} \varepsilon \\ F_{\mu\nu} = 2(\partial_{[\mu} A_{\nu]} + A_{[\mu} A_{\nu]}) \end{array} \right.$$

# [ Required condition ]

We imposed

$$\overline{A}_\nu \gamma^{\mu\nu\rho} = \gamma^{\mu\nu\rho} A_\nu$$

Otherwise, **non-controllable terms** appear

$$\begin{aligned} \nabla_\nu \hat{N}^{\mu\nu} = & \overline{2i\hat{\nabla}_\rho \varepsilon \gamma^{\mu\nu\rho} \hat{\nabla}_\nu \varepsilon - G_\nu^\mu V^\nu - \frac{i}{2} \bar{\varepsilon} (\bar{F}_{\nu\rho} \gamma^{\mu\nu\rho} + \gamma^{\mu\nu\rho} F_{\nu\rho}) \varepsilon} \\ & \boxed{-i\bar{\varepsilon} (\overline{A}_\nu \gamma^{\mu\nu\rho} - \gamma^{\mu\nu\rho} A_\nu) \hat{\nabla}_\rho \varepsilon + i\hat{\nabla}_\rho \varepsilon (\overline{A}_\nu \gamma^{\mu\nu\rho} - \gamma^{\mu\nu\rho} A_\nu) \varepsilon} \end{aligned}$$

$$F_{\mu\nu} = 2(\partial_{[\mu} A_{\nu]} + A_{[\mu} A_{\nu]})$$

# Strategy

$$\gamma^i \hat{\nabla}_i \varepsilon = 0 \quad \varepsilon : \text{spinor}$$

$$M \sim \int_{S_\infty} dS_i \varepsilon^+ \hat{\nabla}^i \varepsilon = \int_\Sigma \nabla_i (\varepsilon^+ \hat{\nabla}^i \varepsilon) d\Sigma$$
$$T_{\mu\nu}^{(\phi)} = \partial_X K \nabla_\mu \phi \nabla_\nu \phi + K g_{\mu\nu}$$
$$= \int_\Sigma \left( \|\hat{\nabla} \varepsilon\|^2 + T_{00}^{\text{matter}} + T_{00}^{(\phi)} + S^0 \right) d\Sigma$$

Einstein eq.

Look for the theory for scalar field to have the form  $|\delta\lambda|^2$  for  $\text{[red oval]}$

# Look at detail more

$$A_\mu = W(\phi)\gamma_\mu$$

$$\hat{\nabla}_\mu \varepsilon = (\nabla_\mu + A_\mu) \varepsilon$$

$$8\pi GM = \int_\Sigma d\Sigma \left[ 2i \overline{\hat{\nabla}_\rho \varepsilon} \gamma^{\mu\nu\rho} \hat{\nabla}_\nu \varepsilon - G_\nu^\mu V^\nu + S^\mu \right] \mu_\mu$$

$$F_{\mu\nu} = 2(\partial_{[\mu} A_{\nu]} + A_{[\mu} A_{\nu]})$$

$$S^\mu = -i \bar{\varepsilon} \gamma^{\mu\nu\rho} F_{\nu\rho} \varepsilon$$

$$= -4i \bar{\varepsilon} \gamma^{\mu\nu} \varepsilon \nabla_\nu \phi \partial_\phi W + 12 V^\mu W^2$$

$$= i \bar{\delta\lambda} \gamma^\mu \delta\lambda + V^\nu \left[ f^2 \nabla^\mu \phi \nabla_\nu \phi + \left( -\frac{1}{2} f^2 (\nabla\phi)^2 - 8 f^{-2} (\partial_\phi W)^2 + 12 W^2 \right) \right]$$

$$\delta\lambda := \frac{1}{\sqrt{2}} \left( f(\phi, X) \gamma^\mu \nabla_\mu \phi - 4 f^{-1}(\phi, X) \frac{dW(\phi)}{d\phi} \right) \varepsilon$$

If

$$\begin{cases} \partial_X K = f^2 \\ K = f^2 X - 8 f^{-2} \left( \frac{dW(\phi)}{d\phi} \right)^2 + 12 (W(\phi))^2 \end{cases}$$



$$S^\mu = i \bar{\delta\lambda} \gamma^\mu \delta\lambda + T_\nu^{(\phi)\mu} V^\nu$$



# [ Then ]

$$\begin{cases} \partial_X K = f^2 \\ K = f^2 X - 8f^{-2} \left( \frac{dW(\phi)}{d\phi} \right)^2 + 12(W(\phi))^2 \end{cases}$$

$$\delta\lambda := \frac{1}{\sqrt{2}} \left( f(\phi, X) \gamma^\mu \nabla_\mu \phi - 4f^{-1}(\phi, X) \frac{dW(\phi)}{d\phi} \right) \varepsilon$$

$$\rightarrow XK_X - K - \frac{8W_\phi^2}{K_X} = -12W(\phi)^2$$

$$\rightarrow \partial_X \left( XK_X - K - \frac{8W_\phi^2}{K_X} \right) = K_{XX} \left( X + \frac{8W_\phi^2}{K_X^2} \right) = 0$$

$$(i) K_{XX} = 0 \quad \rightarrow \quad K = X - U(\phi) = X - 8 \left( \frac{dW(\phi)}{d\phi} \right)^2 + 12(W(\phi))^2$$

$$(ii) X + \frac{8W_\phi^2}{K_X^2} = 0 \quad \rightarrow \quad K = 4\sqrt{2} \frac{dW(\phi)}{d\phi} (-X)^{1/2} + 12(W(\phi))^2$$

# [ Case (ii) ]

$$K = 4\sqrt{2} \frac{dW(\phi)}{d\phi} (-X)^{1/2} + 12(W(\phi))^2$$

For homogeneous-isotropic spacetimes,

$$\phi = \phi(t) \Rightarrow X = \dot{\phi}^2 / 2 > 0$$

Due to the factor of  $(-X)^{1/2}$ , the case (ii) does not work

# Summary

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$$S = \int d^4x \sqrt{-g} [R + 2K(\phi, X) + L_{matter}] \quad X = -\frac{1}{2} g^{\mu\nu} \nabla_\mu \phi \nabla_\nu \phi$$

(i)

$$K = X - U(\phi) = X - 8 \left( \frac{dW(\phi)}{d\phi} \right)^2 + 12(W(\phi))^2$$

Canonical form with “superpotential”

(ii)

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No cosmological solution



## 4. Future issues

# Future issues

$$\left. \begin{aligned} \bar{A}_\nu \gamma^{\mu\nu\rho} &= \gamma^{\mu\nu\rho} A_\nu \\ A_\mu &= W(\phi) \gamma_\mu \end{aligned} \right\} \text{general enough?}$$

**Extension to more general cases/modified gravity?**





Some basics

# Covariant derivative

Local Lorentz transformation  $\varepsilon^{\hat{\mu}\hat{\nu}}$

$$\psi \rightarrow \psi + \delta\psi, \quad \delta\psi = \frac{1}{4} \varepsilon^{\hat{\mu}\hat{\nu}} \gamma_{\hat{\mu}\hat{\nu}} \psi$$

$$\nabla_{\mu} \psi = \left( \partial_{\mu} + \frac{1}{4} \omega^{\hat{\alpha}\hat{\beta}}_{\mu} \gamma_{\hat{\alpha}\hat{\beta}} \right) \psi$$

$$\omega^{\hat{\alpha}\hat{\beta}}_{\mu} \rightarrow \omega^{\hat{\alpha}\hat{\beta}}_{\mu} + \delta\omega^{\hat{\alpha}\hat{\beta}}_{\mu}$$

$$\delta\omega^{\hat{\alpha}\hat{\beta}}_{\mu} = \varepsilon^{\hat{\alpha}}_{\hat{\gamma}} \omega^{\hat{\gamma}\hat{\beta}}_{\mu} + \varepsilon^{\hat{\beta}}_{\hat{\gamma}} \omega^{\hat{\alpha}\hat{\gamma}}_{\mu} - \partial_{\mu} \varepsilon^{\hat{\alpha}\hat{\beta}}$$

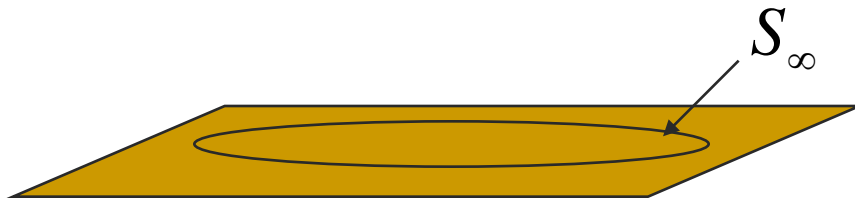
$$\Rightarrow \delta(\nabla_{\mu} \psi) = \frac{1}{4} \varepsilon^{\hat{\alpha}\hat{\beta}} \gamma_{\hat{\alpha}\hat{\beta}} \nabla_{\mu} \psi$$

$$D_{\mu} e_{\hat{\alpha}\nu} := \partial_{\mu} e_{\hat{\alpha}\nu} + \omega_{\hat{\alpha}\hat{\beta}\mu} e_{\nu}^{\hat{\beta}} - \Gamma_{\mu\nu}^{\rho} e_{\hat{\alpha}\rho} = \nabla_{\mu} e_{\hat{\alpha}\nu} + \omega_{\hat{\alpha}\hat{\beta}\mu} e_{\nu}^{\hat{\beta}} = 0$$

$$\Rightarrow D_{\mu} g_{\alpha\beta} = 0$$



# Witten spinor



$(\Sigma, q)$ :  $(n-1)$ -dim. spacelike hypersurface

$$\gamma^i D_i \mathcal{E} = 0 \quad (\text{Witten equation})$$

$$D_i \mathcal{E} = (\partial_i + {}^{(n-1)}\Gamma_i) \mathcal{E},$$

$${}^{(n-1)}\Gamma_i = -\frac{1}{8} (e^{\hat{k}})^j D_i (e^{\hat{l}})_j [\gamma_{\hat{l}}, \gamma_{\hat{k}}]$$

$$g_{kl} (e_{\hat{i}})^k (e_{\hat{j}})^l = \delta_{\hat{i}\hat{j}}$$

We have solutions which are asymptotically approaches a constant spinor

$$\mathcal{E} \xrightarrow[r \rightarrow \infty]{} \mathcal{E}_0$$

# [ Proof ]

$$\frac{1}{2} D^i D_i |\varepsilon|^2 = |D\varepsilon|^2 + \frac{1}{4} {}^{(n-1)}R |\varepsilon|^2$$



$$8\pi M_{ADM} |\varepsilon_0|^2 = \frac{1}{2} \int dS_i (\varepsilon^+ D^i \varepsilon + c.c.) = \int_{\Sigma} \left[ |D\varepsilon|^2 + \frac{1}{4} {}^{(n-1)}R |\varepsilon|^2 \right]$$

➡  ${}^{(n-1)}R \geq 0 \quad \Rightarrow \quad M_{ADM} \geq 0$

➡  $M_{ADM} = 0 \quad \Rightarrow \quad D_i \varepsilon = 0 \quad \Rightarrow \quad [D_i, D_j] \varepsilon \propto {}^{(n-1)}R_{ijkl} [\gamma^k, \gamma^l] \varepsilon = 0$

➡  $\Sigma$  is flat space

# Surface integral

$$\varepsilon^+ D_1 \varepsilon = \varepsilon_0^+ \partial_1 \varepsilon + \varepsilon_0^{+(n-1)} \Gamma_1 \varepsilon_0$$



$$\begin{aligned} \gamma^i D_i \varepsilon = 0 &\Rightarrow \gamma^i (\partial_i \varepsilon + {}^{(n-1)}\Gamma_i \varepsilon_0) \approx 0 \\ &\Rightarrow \varepsilon_0^+ \gamma_1 \gamma^i (\partial_i \varepsilon + {}^{(n-1)}\Gamma_i \varepsilon_0) \approx 0 \\ &\Rightarrow \varepsilon_0^+ \partial_1 \varepsilon = -\varepsilon_0^+ \gamma_1 \gamma^A \partial_A \varepsilon - \varepsilon_0^+ \gamma_1 \gamma^i {}^{(n-1)}\Gamma_i \varepsilon_0 \end{aligned}$$

$$\frac{1}{2} \int dS_1 (\varepsilon^+ D^1 \varepsilon + c.c.) = \int dS^1 \varepsilon_0^+ ({}^{(n-1)}\Gamma_1 - \gamma_1 \gamma^j {}^{(n-1)}\Gamma_j) \varepsilon_0 - \int dS^i \varepsilon_0 \gamma_i \gamma^A \partial_A \varepsilon$$

$$= \frac{1}{4} \int dS^i \varepsilon_0^+ (\partial_j h_i^j - \partial_i h_j^j) \varepsilon_0$$

$${}^{(n-1)}\Gamma_i = \frac{1}{16} (\partial^j h_i^k - \partial^k h_i^j) [\gamma_k, \gamma_j] + \mathcal{O}\left(\frac{1}{r^{n-1}}\right)$$

$$g_{ij} = \delta_{ij} + h_{ij}, \quad (e^{\hat{i}})_j = ({}^{(0)}e^{\hat{i}})_j + \frac{1}{2} h_{kj} ({}^{(0)}e^{\hat{i}})^k$$