

Non-linear curvature perturbation in multi-field inflation models with non-minimal coupling

The CMB and theories of the primordial universe
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Models with non-minimal coupling

- In the context of unifying theories, modified gravity and renormalisation, **non-minimal coupling** of scalar fields to the Ricci Scalar **is common**.

e.g.

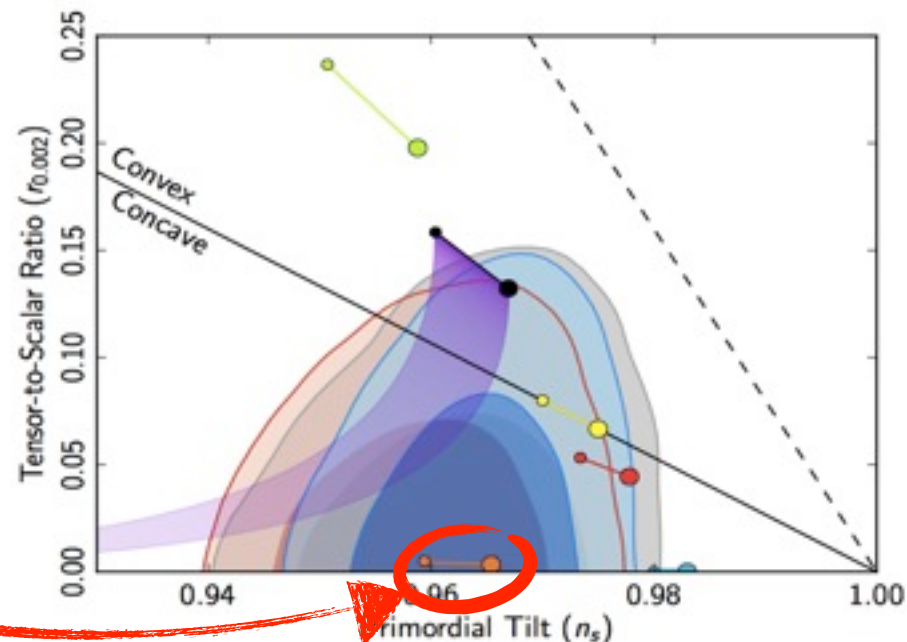
$$S_{f(R)} = \int d^4x \sqrt{-g} f(R) \Rightarrow \int d^4x \sqrt{-g} (\Phi R + \dots)$$

($\Phi = df / dR$)

$$S_{Higgs} = \int d^4x \sqrt{-g} \left[\frac{1}{2} (M_{pl}^2 + \xi h^2) R + \dots \right]$$

- Models with non-minimal coupling are **favoured by Planck**

Kaiser & Sfakianakis '13, Kallosh & Linde '13



- In general we **expect multiple fields** in context of unifying theories

⇒ Let us consider the general class of models with action of the form

$$S = \int d^4x \sqrt{-g} \left\{ f(\phi) R - \frac{1}{2} h_{ab} g^{\mu\nu} \partial_\mu \phi^a \partial_\nu \phi^b - V(\phi) + L_{matter} \right\}$$

The Jordan and Einstein frames

- By making a conformal transformation $g_{\mu\nu} = \frac{\tilde{g}_{\mu\nu}}{2f}$ $S_{ab} = \frac{1}{2f} \left(h_{ab} + \frac{3f_a f_b}{f} \right)$

$$\Rightarrow S = \int d^4x \sqrt{-\tilde{g}} \left\{ \frac{\tilde{R}}{2} - \frac{1}{2} S_{ab} \tilde{g}^{\mu\nu} \partial_\mu \phi^a \partial_\nu \phi^b - \tilde{V}(\phi) + \tilde{L}_{matter} \right\} \quad \tilde{V} = \frac{V}{4f^2}$$

- Original frame called **Jordan frame** - matter minimally coupled
- New frame called **Einstein frame** - canonical Einstein Hilbert gravity but **mass-, length- and time-scales become spacetime dependent!**
- Calculations seem easier in the Einstein frame - **how are the Jordan and Einstein frame quantities related?**
- In particular, we are interested in $\zeta \leftrightarrow \frac{\Delta T}{T}$

- $$\Rightarrow$$
- How are ζ and $\tilde{\zeta}$ related?
 - What is the effect of non-minimal coupling on CMB spectrum?

Linear order: single field case Makino & Sasaki '91

- Introduce **canonically normalised field** $\tilde{\phi}$ satisfying $\frac{d\tilde{\phi}}{d\phi} = \sqrt{S_{\phi\phi}}$

- Decompose **metric in Jordan frame** as

$$ds^2 = a(\eta)^2 \left\{ -(1 + 2AY)d\eta^2 - 2BY_i d\eta dx^i + \left[(1 + 2\mathcal{R})\delta_{ij} + 2H_T \frac{1}{k^2} Y_{,ij} \right] dx^i dx^j \right\}$$

- Make similar decomposition in Einstein frame, but with tildes everywhere, then **require** $d\tilde{s}^2 = 2f ds^2$

$$\Rightarrow \quad \tilde{\mathcal{H}} = \mathcal{H} + \frac{f'}{2f} \quad \tilde{\mathcal{R}} = \mathcal{R} + \frac{\delta f}{2f}$$

- Substitute these relations into definition of $\tilde{\zeta}$

$$\tilde{\zeta} \equiv \tilde{\mathcal{R}} - \frac{\tilde{\mathcal{H}}}{\tilde{\phi}'} \delta\tilde{\phi} = \mathcal{R} - \frac{\mathcal{H}}{\phi'} \delta\phi = \zeta$$

- i.e. **the comoving curvature perturbation is frame independent!**

Linear order: multi-field case

J.W., M. Minamitsuji & M. Sasaki '12

- **Cannot canonically normalise all fields** $\delta q \propto \delta T^0_i$
- Using the fact that $\zeta \simeq \mathcal{R} + \frac{H}{\rho + p} \delta q$ in the JF and similarly in EF

$$\Rightarrow \zeta - \tilde{\zeta} \simeq \mathcal{A}_{ab} \mathcal{K}^{ab} + \mathcal{B}_{ab} \dot{\mathcal{K}}^{ab}$$

- Where $\mathcal{K}^{ab} = \delta\phi^a \dot{\phi}^b - \delta\phi^b \dot{\phi}^a$ are **isocurvature perturbations** and \mathcal{A}_{ab} and \mathcal{B}_{ab} just depend on background quantities.

- \Rightarrow
- **Difference** between ζ and $\tilde{\zeta}$ a **direct consequence of isocurvature modes**
 - **In the absence of isocurvature modes, $\mathcal{K}^{ab} = 0$, the two curvature perturbations do coincide.**
 - Also find $\dot{\tilde{\zeta}} = 0 \not\leftrightarrow \dot{\zeta} = 0$, i.e. **evolutions can be very different**

Beyond linear order

- Following Gong et al. '11 (1107.1840), define metric and conformal transformation as

$$g_{ij} = a^2 e^{2\mathcal{R}} \gamma_{ij}, \quad \tilde{g}_{\mu\nu} = \Omega^2 g_{\mu\nu}, \quad \tilde{g}_{ij} = \tilde{a}^2 e^{2\tilde{\mathcal{R}}} \tilde{\gamma}_{ij}, \quad \Omega = \Omega_0 e^{\Delta\Omega}$$

$$\Rightarrow \tilde{\mathcal{R}} = \mathcal{R} + \Delta\Omega$$

\Rightarrow Curvature perturbations **same in gauge where $\Delta\Omega = 0$**

- Single field case: $\Omega = \Omega(\phi) \Rightarrow \Delta\Omega = 0 \Rightarrow \delta\phi = 0$

$\Delta\Omega = 0$ **coincides with comoving gauges** in both frames

$$\Rightarrow \tilde{\zeta} = \zeta$$

- Multi-field case: $\Delta\Omega = 0$ **does not necessarily coincide with the comoving gauges.**

$$\Rightarrow \tilde{\zeta} \neq \zeta$$

δN formalism in Jordan and Einstein frames

J. W., M. Minamitsuji & M. Sasaki 1306.6186

- Have established $\tilde{\zeta} \neq \zeta$ in multi-field case
- We **already know how to calculate $\tilde{\zeta}$ and its spectral properties**

\Rightarrow can we relate $\tilde{\zeta}$ back to ζ beyond linear order using the δN ?

- δN formalism states that $\zeta = \delta N = N(t_*, t_\diamond; x) - N_0(t_*, t_\diamond)$

No. of e-foldings between initial flat slice and final constant energy slice

Fiducial background no. of e-foldings

- δN expansions in the Jordan and Einstein frames are:

$$\zeta = \delta N = N_a \delta\phi_{\mathcal{R}}^a + \frac{1}{2} N_{ab} \delta\phi_{\mathcal{R}}^a \delta\phi_{\mathcal{R}}^b + \dots$$

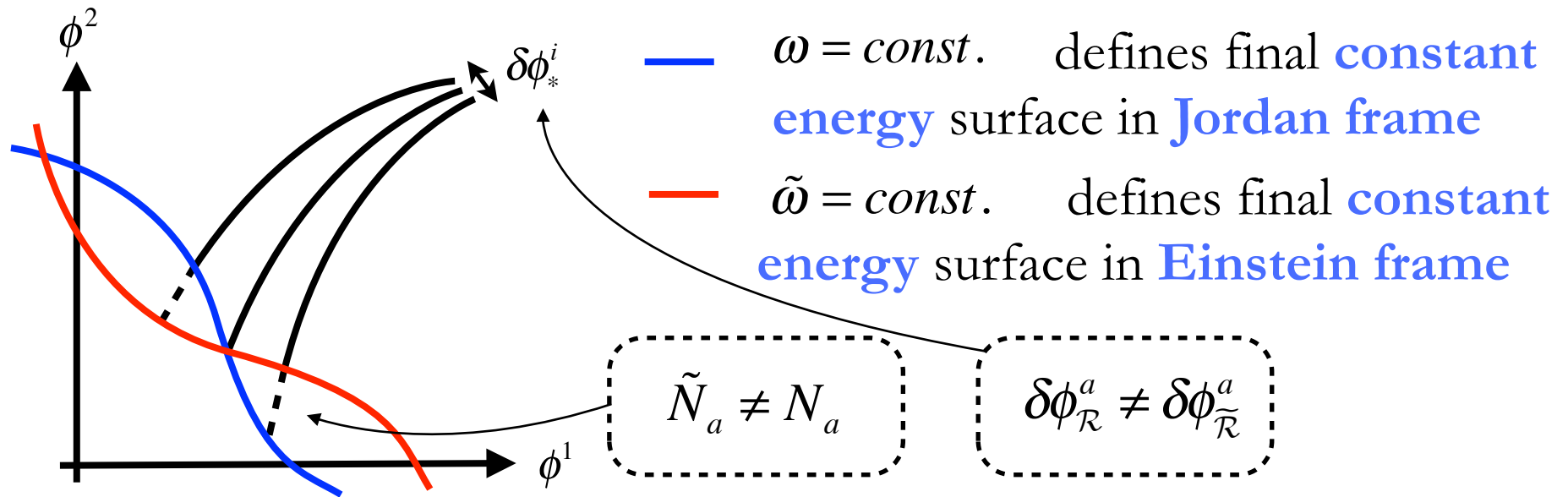
$$\tilde{\zeta} = \delta \tilde{N} = \tilde{N}_a \delta\phi_{\tilde{\mathcal{R}}}^a + \frac{1}{2} \tilde{N}_{ab} \delta\phi_{\tilde{\mathcal{R}}}^a \delta\phi_{\tilde{\mathcal{R}}}^b + \dots$$

- **Two differences:**

- Dependence on initial conditions of N and \tilde{N}
- Definition of initial flat hypersurface field perturbations

δN formalism in Jordan and Einstein frames

- Diagrammatically:



- First turn to the relation $\tilde{N}_a \leftrightarrow N_a$

$$\left. \begin{aligned}
 N &= \int_{\mathcal{R}=0}^{\omega=const.} \mathcal{H} d\eta \\
 \tilde{N} &= \int_{\tilde{\mathcal{R}}=0}^{\tilde{\omega}=const.} \tilde{\mathcal{H}} d\eta \\
 &= \int_{\tilde{\mathcal{R}}=0}^{\tilde{\omega}=const.} \mathcal{H} d\eta + \frac{1}{2} \ln \left(\frac{f_{\tilde{\omega}=const.}}{f_{\tilde{\mathcal{R}}=0}} \right)
 \end{aligned} \right\} \Rightarrow \boxed{\tilde{N}_a = N_a^{\tilde{\omega}} - \frac{f_a}{2f} \Big|_* + \frac{f_b}{2f} \Big|_{\diamond} \frac{\partial \phi_{\tilde{\omega}}^b}{\partial \phi_*^a}}$$

$$N_a^{\tilde{\omega}} = \frac{\partial}{\partial \phi_*^a} \int_*^{\tilde{\omega}=const.} \mathcal{H} d\eta$$

δN formalism in Jordan and Einstein frames

- Next turning to the relation $\delta\phi_{\mathcal{R}}^a \leftrightarrow \delta\phi_{\tilde{\mathcal{R}}}^a$
- Using the definition of **Sasaki-Mukhanov variables** to first order:

$$\left. \begin{aligned} \delta\phi_{\mathcal{R}}^a &= \delta\phi^a - \frac{\phi'^a}{\mathcal{H}} \mathcal{R} \\ \tilde{\mathcal{R}} &= \mathcal{R} + \frac{\delta f}{2f} \end{aligned} \right\} \Rightarrow \delta\phi_{\mathcal{R}}^a = \left(\delta_b^a + \frac{\phi'^a f_b}{2f\mathcal{H}} \right) \delta\phi_{\tilde{\mathcal{R}}}^b$$

- Combining with $\tilde{N}_a = N_a^{\tilde{\omega}} - \frac{f_a}{2f} \Big|_* + \frac{f_b}{2f} \Big|_{\diamond} \frac{\partial\phi_{\tilde{\omega}}^b}{\partial\phi_*^a}$
- Terms circled in red cancel and we find:

$$\zeta - \tilde{\zeta} = (N_a - N_a^{\tilde{\omega}}) \delta\phi_{\tilde{\mathcal{R}}}^a + \frac{1}{2} (N_{ab} - N_{ab}^{\tilde{\omega}}) \delta\phi_{\tilde{\mathcal{R}}(1)}^a \delta\phi_{\tilde{\mathcal{R}}(1)}^b - \frac{f_b}{2f} \Big|_{\diamond} \frac{\partial\phi_{\tilde{\omega}}^b}{\partial\phi_*^a} \delta\phi_{\tilde{\mathcal{R}}}^a - \left(\frac{f_{cd}}{2f} \Big|_{\diamond} \frac{\partial\phi_{\tilde{\omega}}^c}{\partial\phi_*^a} \frac{\partial\phi_{\tilde{\omega}}^d}{\partial\phi_*^b} - \frac{f_c f_d}{2f^2} \Big|_{\diamond} \frac{\partial\phi_{\tilde{\omega}}^c}{\partial\phi_*^a} \frac{\partial\phi_{\tilde{\omega}}^d}{\partial\phi_*^b} + \frac{f_c}{2f} \Big|_{\diamond} \frac{\partial^2\phi_{\tilde{\omega}}^c}{\partial\phi_*^a \partial\phi_*^b} \right) \delta\phi_{\tilde{\mathcal{R}}(1)}^a \delta\phi_{\tilde{\mathcal{R}}(1)}^b.$$

- In an **adiabatic limit**: $\omega = const. \Leftrightarrow \tilde{\omega} = const.$
 $\partial\phi_{\tilde{\omega}}^a / \partial\phi_*^b = 0$ } $\Rightarrow \tilde{\zeta} = \zeta$

Correlation functions

- Given the expansion for $\tilde{\zeta}$

$$\tilde{\zeta} = \delta\tilde{N} = \tilde{N}_a \delta\phi_{\tilde{\mathcal{R}}}^a + \frac{1}{2} \tilde{N}_{ab} \delta\phi_{\tilde{\mathcal{R}}}^a \delta\phi_{\tilde{\mathcal{R}}}^b + \dots$$

we can determine its correlation functions if we know those of $\delta\phi_{\tilde{\mathcal{R}}}^a$

- The fields are minimally coupled in the E.F. and we know $\langle \delta\phi_{\tilde{\mathcal{R}}}^a \delta\phi_{\tilde{\mathcal{R}}}^b \rangle$ and $\langle \delta\phi_{\tilde{\mathcal{R}}}^a \delta\phi_{\tilde{\mathcal{R}}}^b \delta\phi_{\tilde{\mathcal{R}}}^c \rangle$ (See e.g. Elliston *et al*'12)

$$\langle \delta\phi_{\tilde{\mathcal{R}}}^a(\mathbf{k}_1) \delta\phi_{\tilde{\mathcal{R}}}^b(\mathbf{k}_2) \rangle = (2\pi)^3 \delta^3(\mathbf{k}_1 + \mathbf{k}_2) \frac{\tilde{H}^2}{2k^3} S^{ab}$$

so we obtain the standard results

Einstein frame

$$\mathcal{P}_{\tilde{\zeta}}(k) = \tilde{N}_a \tilde{N}_b S^{ab} \left(\frac{\tilde{H}}{2\pi} \right)^2$$

$$\tilde{f}_{NL} = \frac{\tilde{N}_a \tilde{N}_b \nabla_c \nabla_d \tilde{N} S^{ac} S^{bd}}{[\tilde{N}_e \tilde{N}_f S^{ef}]^2}$$

Correlation functions

- In the Jordan frame we have the expansion

$$\zeta = \delta N = N_a \delta\phi_{\mathcal{R}}^a + \frac{1}{2} N_{ab} \delta\phi_{\mathcal{R}}^a \delta\phi_{\mathcal{R}}^b + \dots$$

using the relation $\delta\phi_{\mathcal{R}}^a \leftrightarrow \delta\phi_{\tilde{\mathcal{R}}}^a$ and knowing $\langle \delta\phi_{\tilde{\mathcal{R}}}^a \delta\phi_{\tilde{\mathcal{R}}}^b \rangle$ and $\langle \delta\phi_{\tilde{\mathcal{R}}}^a \delta\phi_{\tilde{\mathcal{R}}}^b \delta\phi_{\tilde{\mathcal{R}}}^c \rangle$ we can determine correlation functions of ζ

Jordan frame

$$\Rightarrow \mathcal{P}_\zeta(k) = \mathcal{N}_a \mathcal{N}_b S^{ab} \left(\frac{\tilde{H}}{2\pi} \right)^2 \quad f_{NL} = \frac{\mathcal{N}_a \mathcal{N}_b \mathcal{N}_{cd} S^{ac} S^{bd}}{[\mathcal{N}_e \mathcal{N}_f S^{ef}]^2}$$

$$\mathcal{N}_a = N_a - \frac{f_a}{2f} \quad \text{and} \quad \mathcal{N}_{ab} = \nabla_a \nabla_b N - \frac{\nabla_a \nabla_b f}{2f} + \frac{f_a f_b}{2f}$$

- Exactly the same form as E.F. but with but $\tilde{N}_a \leftrightarrow \mathcal{N}_a$ etc

- We have
$$\mathcal{N}_a - \tilde{N}_a = N_a - N_a^{\tilde{w}} - \frac{f_b}{2f} \Big|_{\diamond} \frac{\partial \phi_{\tilde{w}}^b}{\partial \phi_*^a}$$

\Rightarrow **Equivalence in adiabatic limit follows from $\mathcal{N}_a = \tilde{N}_a$**

Analytically soluble examples

- Using $dN = H dt$ and $W = V/f^2$ the slow-roll eom take the form

$$\frac{d\phi^a}{dN} = -2fh^{ab} \frac{W_b}{W}.$$

\Rightarrow **analytically soluble if** a function of only a'th field

$$2fh^{ab} \frac{W_b}{W} = \frac{g^{(a)}(\phi^a)}{F(\phi)} \quad \Rightarrow \quad \frac{1}{g^{(a)}(\phi^a)} \frac{d\phi^a}{dN} = -\frac{1}{F(\phi)}$$

a function of all the fields

- Taking $h_{ab} = \delta_{ab} \Rightarrow$ require **W is either sum or product separable**
- Introduce the new coordinates $q^a = qn^a$ satisfying

$$\ln q^a = \int \frac{d\phi^a}{g^{(a)}(\phi^a)} \quad \text{and} \quad \sum_a (n^a)^2 = 1.$$

- Eom now written as

$$\frac{d \ln q}{dN} = -\frac{1}{F(q, n^a)} \quad \text{and} \quad \frac{dn^a}{dN} = 0, \quad \Rightarrow \quad N = \int_{\diamond}^* F d \ln q$$

Non-minimally coupled “spectator” example

- Take a two field example $\phi =$ inflaton, minimally coupled
 W product separable: $\chi =$ “spectator”, non-minimally coupled
 but non-dynamical, i.e. $\chi' = 0$

- χ does contribute to the curvature perturbation due to its non-minimal coupling

- At linear order we find

$$\zeta - \tilde{\zeta} \simeq \frac{f_\chi}{2f\epsilon_\diamond} \delta\chi_{\tilde{\mathcal{R}}}$$

difference due to “spectator” field

(slow-roll parameter at final time)

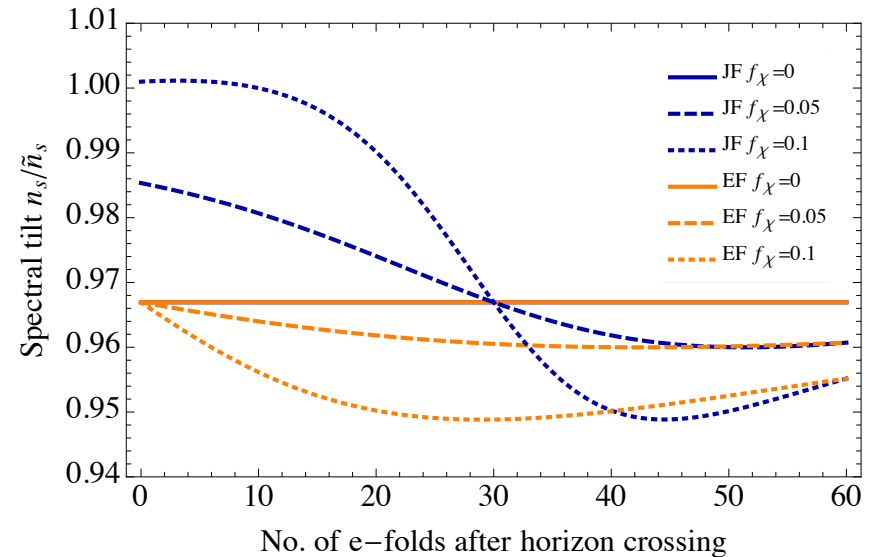
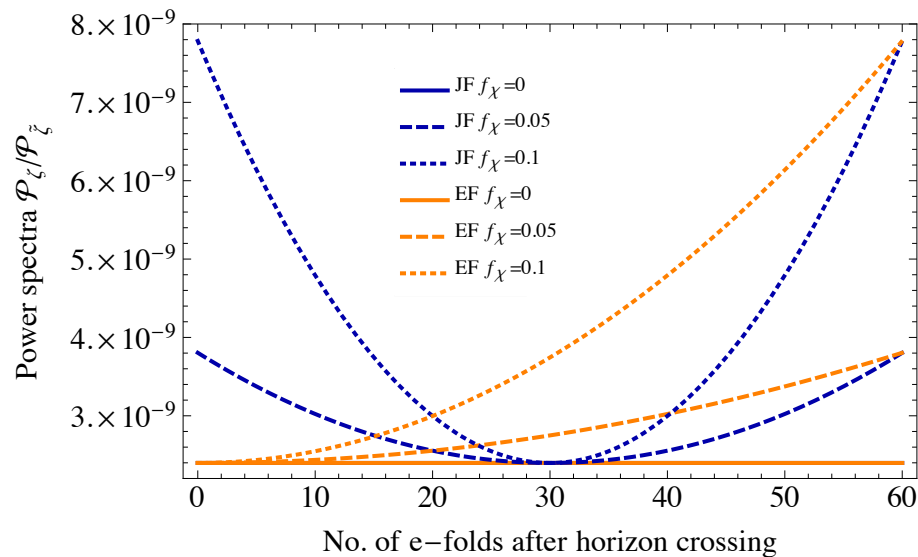
- Difference a result of the difference in definition of the final constant energy surface:

$$\text{JF: } \rho = 3H^2 = \frac{fW}{2} \qquad \text{EF: } \tilde{\rho} = 3\tilde{H}^2 = \frac{W}{4}$$

- For $|\zeta| \sim |\tilde{\zeta}|$ we consider $f_\chi/\sqrt{f} \sim \mathcal{O}(\sqrt{\epsilon})$

Non-minimally coupled “spectator” example

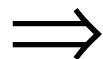
- Consider the explicit example $V = V^{(\phi)}V^{(\chi)}$ and $V^{(\phi)} = m^2\phi^{2p}$
- For $p=1$, $2f = V^{(\chi)} = 1$, $W_\chi = W_{\chi\chi} = f_{\chi\chi} = 0$, $m^2 = 1.94 \times 10^{-11}$
plot power spectra and tilts in JF and EF as functions of N:



- **Evolution** in the two frames is **very different**

- The **difference** between frames goes as $1/\epsilon_\diamond$

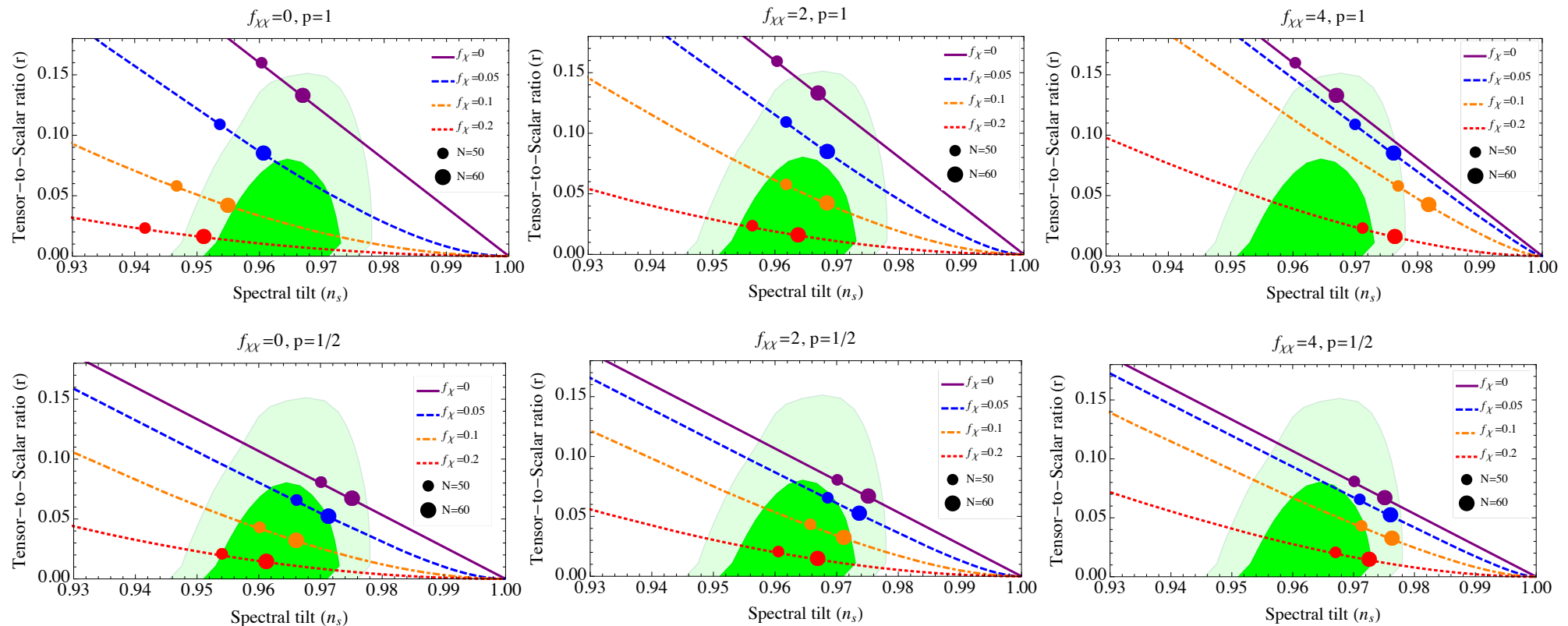
$$\zeta - \tilde{\zeta} \simeq \frac{f_\chi}{2f\epsilon_\diamond} \delta\chi_{\tilde{R}}$$



- **is initially large** as $\epsilon_\diamond \ll 1$
- **finally the frames agree to leading order** as $\epsilon_\diamond \sim 1$

Non-minimally coupled “spectator” example

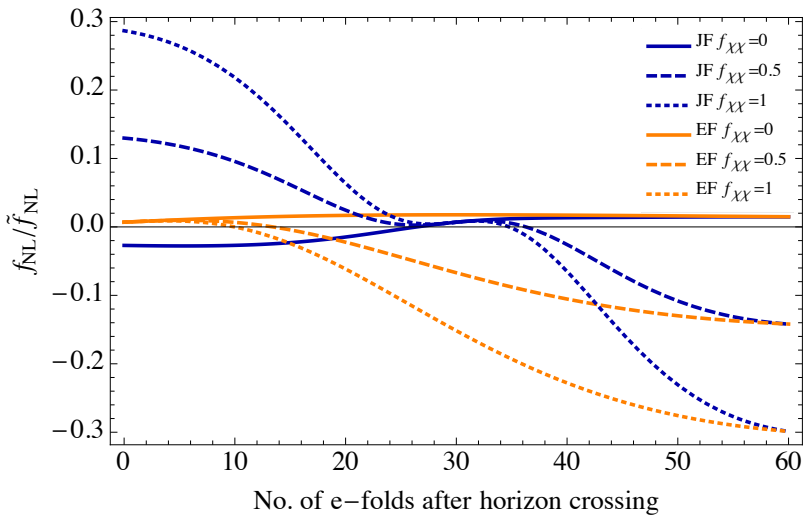
- For $p=1$, $p=1/2$ and a range of f_χ and $f_{\chi\chi}$ we plot end-of-inflation predictions for n_s and r .



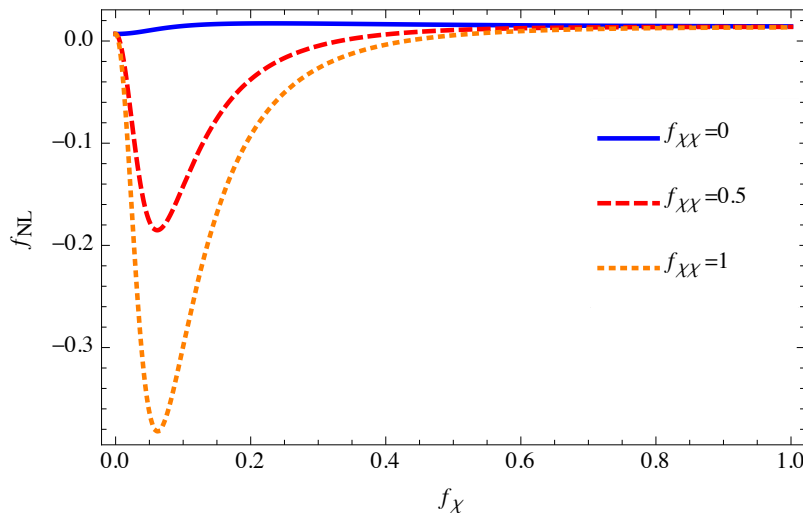
- Contribution from $\delta\chi$ suppresses tensor-to-scalar ratio and gives rise to redder tilt as f_χ is increased.
- Non-zero $f_{\chi\chi}$ gives rise to a more blue-tilted spectrum.
- Predictions can be brought within 68% CL of Planck

Non-minimally coupled “spectator” example

- Going beyond linear order we find $f_{NL}, \tilde{f}_{NL}, f_{NL} - \tilde{f}_{NL} \sim \mathcal{O}(1) \times f_{\chi\chi}$
- In our analytic calculation of f_{NL} we require the curvature of the Einstein frame field-space to be negligible, which in turn requires $f_{\chi\chi} \ll 1$



Upper plot: The evolution of f_{NL} and \tilde{f}_{NL} for $f_\chi = 0.1$ and a range of $f_{\chi\chi}$ at the limit of validity of the analytic results.



Lower plot: Dependence of final f_{NL} on f_χ for same range of $f_{\chi\chi}$.

- **Evolution** in two frames **very different**
- Both f_{NL} and \tilde{f}_{NL} are **very small**
- **Strong dependence** of f_{NL} on f_χ

Non-minimally coupled multi-brid example

M. Sasaki. '08 for original model

- Non-minimally coupled extension of the multi-brid inflation model with

$$V = V_0 \exp \left[\sum_a m_a \phi^a \right] \quad f = \sqrt{f_0} \exp \left[\sum_a \frac{z_a}{2} \phi^a \right]$$

- End of inflation determined by **tachyonic instability** of χ field:

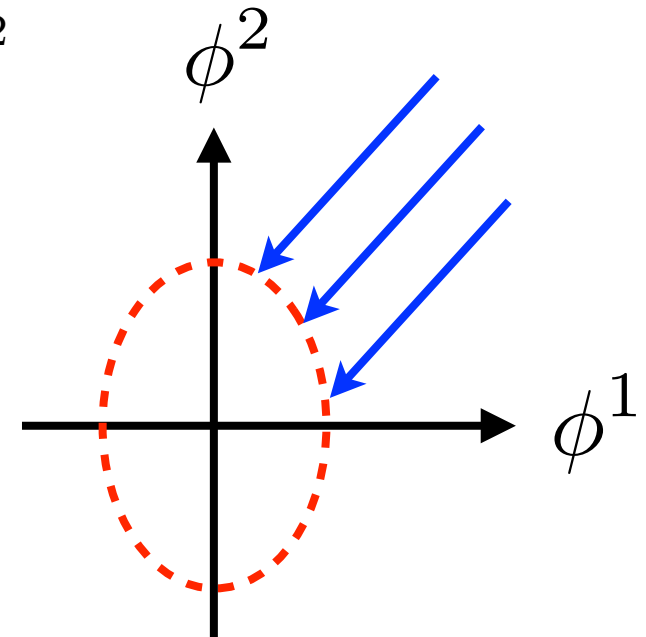
$$V_0 = \frac{1}{2} \sum_a w_a^2 (\phi^a)^2 \chi^2 + \frac{\lambda}{4} \left(\chi^2 - \frac{\sigma^2}{\lambda} \right)^2,$$

- Becomes unstable for $\sum_a w_a^2 (\phi^a)^2 < \sigma^2$

- **End of inflation** condition
frame independent

- In two field case:

$$\phi_{\diamond}^1 = \sigma \cos \gamma / w_1 \quad \phi_{\diamond}^2 = \sigma \sin \gamma / w_2.$$



Non-minimally coupled multi-brid example

- Find

$$\delta N^\sigma = \frac{1}{2f_\diamond} \frac{w_2 \sin \gamma \delta\phi_*^2 + w_1 \cos \gamma \delta\phi_*^1}{M_2 w_2 \sin \gamma + M_1 w_1 \cos \gamma} + \frac{1}{2f_\diamond} \frac{(w_1 w_2)^2}{2\sigma} \frac{(M_1 \delta\phi_*^2 - M_2 \delta\phi_*^1)^2}{(M_2 w_2 \sin \gamma + M_1 w_1 \cos \gamma)^3} - \frac{N_*}{2} (z_1 \delta\phi_*^1 + z_2 \delta\phi_*^2) + \frac{N_*}{8} (z_1 \delta\phi_*^1 + z_2 \delta\phi_*^2)^2 - \frac{\delta\mathcal{S}}{8f_\diamond (M_2 w_1 \cos \gamma + M_2 w_2 \sin \gamma)^2},$$

$$(M_1 = m_1 - z_1 \quad \text{and} \quad M_2 = m_2 - z_2)$$

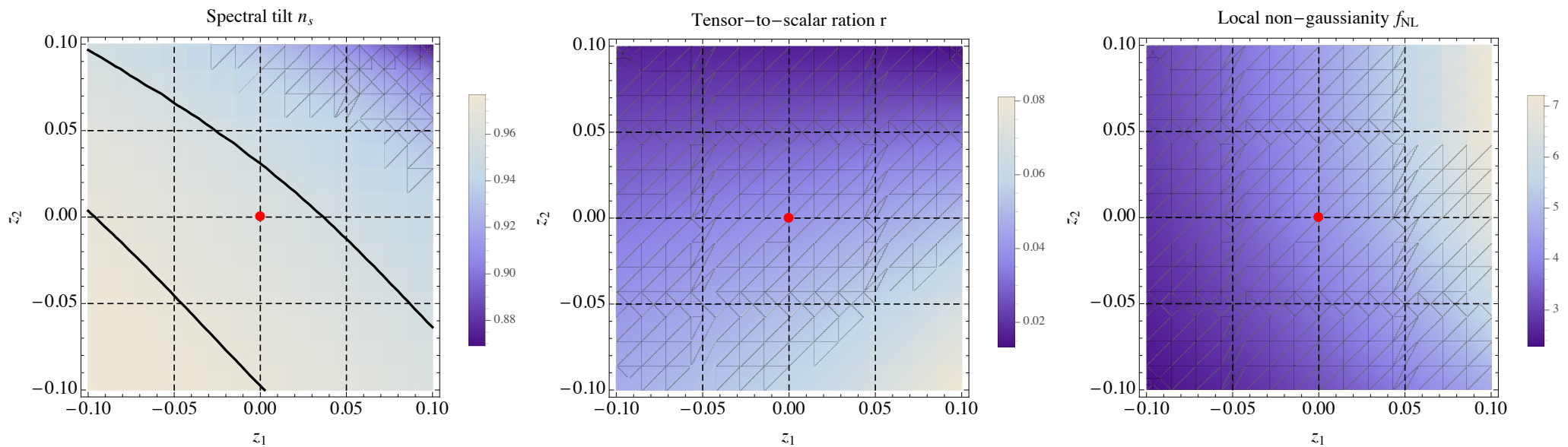
- Terms on first line are also present in minimally coupled case.
- First two terms on 2nd line are **new terms due to non-minimal coupling** and **are significant**
- $\delta\mathcal{S}$ contains **additional second-order contributions**, but they are **negligible**

Non-minimally coupled multi-brid example

- To compare with original model, set

$$M_1^2 = (m_1 - z_1)^2 = 0.005, \quad M_2^2 = (m_2 - z_2)^2 = 0.035,$$

$$\gamma \rightarrow 0, \quad \omega_1 = \omega_2 = 0.1, \quad 2\sqrt{f_0} = 1 \quad \text{and} \quad N_* = 60$$



- For $z_1 = z_2 = 0$ have $(n_s, r, f_{NL}) = (0.96, 0.04, 4.1)$ (red dots)
- r and f_{NL} within 68% CL from Planck for all z_1 and z_2 , but do vary
- Observational constraint $n_s = 0.9697 \pm 0.0073$ can be used to constrain z_1 and z_2 . (see black contours)

Aside on observational equivalence

N. Deruelle & M. Sasaki 1007.3563
T. Chiba & M. Yamaguchi '13 1308.1142

- Fact that $\zeta \neq \tilde{\zeta}$ is an indication that they are not directly observable

- Conformally related frames are **observationally indistinguishable**
- The **physical interpretation may differ** from frame to frame

e.g. Start with Einstein gravity and FLRW metric:

$$ds^2 = a^2(\eta) (-d\eta^2 + \delta_{ij} dx^i dx^j) \implies \text{Observe } \mathbf{redshift\ effect}$$

due to expansion

Make conformal transformation $\Omega = 1/a(\eta)$

$$d\tilde{s}^2 = -d\eta^2 + \delta_{ij} dx^i dx^j \implies \text{No expansion! What}$$

happened to redshift?

But, **electron masses now time dependent** $\tilde{m}(\eta) = \frac{m}{\Omega} = a(\eta)m$

\implies Atomic transition energies vary with time $\propto \tilde{m}(\eta) \implies$ **Observationally indistinguishable from redshift effect**

Conclusions

- Using the δN formalism we have determined the non-linear relation between ζ and $\tilde{\zeta}$. Found that
 - **definition of the initial flat surface does not effect $\zeta - \tilde{\zeta}$**
 - **definition of the final constant energy surface is important**
- Using the relation $\delta\phi_{\tilde{\mathcal{R}}}^a \leftrightarrow \delta\phi_{\mathcal{R}}^a$ we could also determine the **correlation functions of ζ as well as $\tilde{\zeta}$**
- Saw that **ζ and $\tilde{\zeta}$** and their spectral properties **can evolve differently**
- In the non-minimally coupled “spectator” field model we found that the additional **contribution of the spectator field to the curvature perturbation tended to reduce the tensor-to-scalar ratio and allow for a tuneable tilt**, allowing us to bring predictions into agreement with recent observations.
- In the non-minimally coupled extension of the multi-brid inflation model we found that **observational constraints on the tilt could be used to constrain the form of non-minimal coupling.**