

# Exceptional Field Theory

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- O.H., H. Samtleben, reformulation of DFT, arXiv:1307.0039
- U-duality covariant gravity, arXiv:1307.0509
- Exceptional field theory, arXiv:1308.1673 [PRL]  
 $E_{6(6)}$ , arXiv:1312.0614 ,  $E_{7(7)}$ , arXiv:1312.4542,  
Ehlers group and  $E_{8(8)}$  [to appear]

Extension of double field theory:

- O.H., Hull & Zwiebach, 1003.5027, 1006.4823; W. Siegel hep-th/9305073

Kyoto, March 2014

## Plan of the talk:

- Review of double field theory
- Exceptional field theory for  $E_{6(6)}$  : M-theory and type IIB
- Exceptional field theory for  $E_{7(7)}$ : new fields and dual graviton
- Exceptional field theory for  $E_{8(8)}$  &  $SL(2, \mathbb{R})$  Ehlers group  
in  $D = 4$  Einstein gravity

# Review Double Field Theory

Reformulation (Extension?) of spacetime action for massless string fields:

$$S_{\text{NS}} = \int d^D x \sqrt{-g} e^{-2\phi} \left[ R + 4(\partial\phi)^2 - \frac{1}{12} H^{ijk} H_{ijk} + \frac{1}{4} \alpha' R^{ijkl} R_{ijkl} + \dots \right]$$

generalized metric and doubled coordinates  $X^M = (\tilde{x}_i, x^i)$ ,

$$\mathcal{H}_{MN} = \begin{pmatrix} g^{ij} & -g^{ik}b_{kj} \\ b_{ik}g^{kj} & g_{ij} - b_{ik}g^{kl}b_{lj} \end{pmatrix} \in O(D, D)$$

DFT Action (dilaton density  $e^{-2d} = e^{-2\phi}\sqrt{-g}$ ):

$$S_{\text{DFT}} = \int d^{2D} X e^{-2d} \mathcal{R}(\mathcal{H}, d) \xrightarrow{\tilde{\partial}^i = 0} S_{\text{NS}}|_{\alpha'=0}$$

generalized curvature scalar

$$\begin{aligned} \mathcal{R} \equiv & 4 \mathcal{H}^{MN} \partial_M \partial_N d - \partial_M \partial_N \mathcal{H}^{MN} - 4 \mathcal{H}^{MN} \partial_M d \partial_N d + 4 \partial_M \mathcal{H}^{MN} \partial_N d \\ & + \frac{1}{8} \mathcal{H}^{MN} \partial_M \mathcal{H}^{KL} \partial_N \mathcal{H}_{KL} - \frac{1}{2} \mathcal{H}^{MN} \partial_M \mathcal{H}^{KL} \partial_K \mathcal{H}_{NL} \end{aligned}$$

# Gauge transformations and generalized Lie derivatives

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In DFT gauge invariance governed by generalized Lie derivatives

$$\hat{\mathcal{L}}_\xi \mathcal{H}_{MN} = \xi^P \partial_P \mathcal{H}_{MN} + (\partial_M \xi^P - \partial^P \xi_M) \mathcal{H}_{PN} + (\partial_N \xi^P - \partial^P \xi_N) \mathcal{H}_{MP}$$

$$\hat{\mathcal{L}}_\xi (e^{-2d}) = \partial_M (\xi^M e^{-2d})$$

Invariance and closure,  $[\hat{\mathcal{L}}_{\xi_1}, \hat{\mathcal{L}}_{\xi_2}] = \hat{\mathcal{L}}_{[\xi_1, \xi_2]_C}$ , modulo strong constraint

$$\eta^{MN} \partial_M \partial_N = 2 \tilde{\partial}^i \partial_i = 0 \quad \eta_{MN} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

C-bracket

$$[\xi_1, \xi_2]_C^M = \xi_1^N \partial_N \xi_2^M - \frac{1}{2} \xi_{1N} \partial^M \xi_2^N - (1 \leftrightarrow 2)$$

non-trivial Jacobiator non-zero but ‘trivial’

$$[[\xi_1, \xi_2]_C, \xi_3]_C^M + \text{cycl.} = \frac{1}{6} \partial^M \left( [\xi_1, \xi_2]_C^N \xi_{3N} + \text{cycl.} \right)$$

# Kaluza-Klein rewriting of Double Field Theory

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$D = n + d$  split  $X^{\hat{M}} = (\tilde{x}_\mu, x^\mu, Y^M)$ ,  $M = 1, \dots, 2d$ , setting  $\tilde{\partial}^\mu = 0$

$$\mathcal{H}_{\hat{M}\hat{N}}, \quad \hat{d} \quad \rightarrow \quad \{ g_{\mu\nu}, \quad B_{\mu\nu}, \quad \phi, \quad \mathcal{H}_{MN}, \quad A_\mu{}^M \}$$

Gauge structure given by D-bracket  $[\Lambda, \cdot]_D \equiv \hat{\mathcal{L}}_\Lambda$ :

$$\delta_\Lambda A_\mu{}^M \equiv \partial_\mu \Lambda^M + [\Lambda, A_\mu]_D^M$$

Naive curvature *not gauge covariant*,

$$F_{\mu\nu}{}^M = 2\partial_{[\mu}A_{\nu]}{}^M - [A_\mu, A_\nu]_C^M \quad \Rightarrow \quad \delta_\Lambda F_{\mu\nu}{}^M = \hat{\mathcal{L}}_\Lambda F_{\mu\nu}{}^M + \partial^M (\partial_{[\mu} \Lambda^N A_{\nu]}{}_N)$$

→ compensating 2-form potential    [‘tensor hierarchy’, de Wit, Samtleben ]

$$\mathcal{F}_{\mu\nu}{}^M = F_{\mu\nu}{}^M - \partial^M B_{\mu\nu}$$

Covariant field strength for 2-form  $[D_\mu = \partial_\mu - A_\mu{}^M \partial_M + \dots]$

$$\mathcal{H}_{\mu\nu\rho} = 3 \left( D_{[\mu} B_{\nu\rho]} + A_{[\mu}{}^N \partial_\nu A_{\rho]}{}_N - \frac{1}{3} A_{[\mu N} [A_\nu, A_\rho]_C^N \right)$$

satisfying Bianchi identity

$$3D_{[\mu} \mathcal{F}_{\nu\rho]}^M + \partial^M \mathcal{H}_{\mu\nu\rho} = 0$$

Complete DFT action in Kaluza-Klein variables:

$$\begin{aligned} S = & \int d^n x d^{2d} y e^{-2\phi} \left( \hat{R} + 4g^{\mu\nu} D_\mu \phi D_\nu \phi - \frac{1}{12} \mathcal{H}^{\mu\nu\rho} \mathcal{H}_{\mu\nu\rho} \right. \\ & \left. + \frac{1}{8} g^{\mu\nu} D_\mu \mathcal{H}^{MN} D_\nu \mathcal{H}_{MN} - \frac{1}{4} \mathcal{H}_{MN} \mathcal{F}^{\mu\nu M} \mathcal{F}_{\mu\nu}{}^N - V \right) \end{aligned}$$

with ‘potential’

$$V(\phi, \mathcal{H}, g) = -\mathcal{R}(\phi, \mathcal{H}) - \frac{1}{4} \mathcal{H}^{MN} \partial_M g^{\mu\nu} \partial_N g_{\mu\nu}$$

c.f. Maharana-Schwarz [1993]; but includes ‘internal’  $Y^M = (\tilde{y}_m, y^m)$

## Analogue for 11-dimensional supergravity/M-theory?

Cremmer-Julia [1979]: torus reduction of  $D = 11$  SUGRA

$\rightarrow E_{6(6)} [D = 5], E_{7(7)} [D = 4], E_{8(8)} [D = 3]$

Larger mathematical framework that explains/makes it manifest?

Hillmann [2009]: truncation of  $D = 11$  SUGRA in  $4 + 7$  split,  
keeping only 'internal' field components and coordinates,

$$G_{MN} = \begin{pmatrix} e^{2\Delta} \eta_{\mu\nu} & 0 \\ 0 & g_{mn}(y) \end{pmatrix}, \quad \text{etc.}$$

extending coordinates to fundamental 56  $\Rightarrow E_{7(7)}$  covariant action

more recently: other groups, geometry, covariant section constraints, etc.

[Berman & Perry (2010), Coimbra, Strickland-Constable & Waldram (2011), etc.]

Complete  $D = 11$  SUGRA?? duality transformations in  $D = 11$ ??

# Exceptional Field Theory I: $E_{6(6)}$

Coordinates  $(x^\mu, Y^M)$ ,  $\mu = 0, \dots, 4$ ,  $M$  : fundamental **27** of  $E_{6(6)}$

Invariant tensors under **27** and dual  $\overline{\text{27}}$ :  $d_{MNK}$ ,  $d^{MNK}$

Section constraint: [Coimbra et.al. (2011), Berman et.al. (2012)]

$$d^{MNK} \partial_N \otimes \partial_K = 0$$

Generalized Lie derivative

$$\mathbb{L}_\Lambda V^M \equiv \Lambda^K \partial_K V^M - 6 \mathbb{P}^M{}_N{}^K{}_L \partial_K \Lambda^L V^N + \lambda \partial_P \Lambda^P V^M$$

with projector  $\mathbb{P}^M{}_N{}^K{}_L$  onto adjoint;  $\lambda(V)$  density weight.

Closure,  $[\mathbb{L}_\Lambda_1, \mathbb{L}_\Lambda_2] = \mathbb{L}_{[\Lambda_1, \Lambda_2]_\Lambda}$ , according to ‘ $\mathbb{E}$ -bracket’

$$[\Lambda_1, \Lambda_2]_\Lambda^M = 2 \Lambda_1^K \partial_K \Lambda_2^M - 10 d^{MNP} d_{KLP} \Lambda_1^K \partial_N \Lambda_2^L$$

Non-trivial (but ‘trivial’) Jacobiator

$$J^M(\Lambda_1, \Lambda_2, \Lambda_3) \propto d^{MKR} \partial_K \left( d_{RPL} ([\Lambda_1, \Lambda_2]_\Lambda^P \Lambda_3^L + \text{cycl.}) \right)$$

Fields transform with  $E_{6(6)}$  gen. Lie derivatives w.r.t.  $\Lambda^M(x, Y)$

$$A_\mu{}^M \text{ gauge field for } local \Lambda^M: \quad \delta A_\mu{}^M \equiv \mathcal{D}_\mu \Lambda^M$$

Covariant curvature

$$\mathcal{F}_{\mu\nu}{}^M \equiv 2\partial_{[\mu} A_{\nu]}{}^M - [A_\mu, A_\nu]_E^M + 10 d^{MNK} \partial_K B_{\mu\nu N}$$

3-form field strength  $\mathcal{H}_{(3)M}$  of 2-forms by generalized Bianchi identity

$$3\mathcal{D}_{[\mu}\mathcal{F}_{\nu\rho]}{}^M = 10 d^{MNK} \partial_K \mathcal{H}_{\mu\nu\rho N}$$

Complete action for fields  $(e_\mu{}^a, \mathcal{M}_{MN}, A_\mu{}^M, B_{\mu\nu M})$ ,  $\mathcal{M} \in E_{6(6)}$ :

$$\begin{aligned} S = \int d^5x d^{27}Y e & \left( \widehat{R} + \frac{1}{24} g^{\mu\nu} \mathcal{D}_\mu \mathcal{M}^{MN} \mathcal{D}_\nu \mathcal{M}_{MN} \right. \\ & \left. - \frac{1}{4} \mathcal{M}_{MN} \mathcal{F}^{\mu\nu M} \mathcal{F}_{\mu\nu}{}^N + e^{-1} \mathcal{L}_{\text{top}} - V(\mathcal{M}, e) \right) \end{aligned}$$

Topological CS-like term:

$$S_{\text{top}} = \kappa \int d^{27}Y \int_{\mathcal{M}_6} \left( d_{MNK} \mathcal{F}^M \wedge \mathcal{F}^N \wedge \mathcal{F}^K - 40 d^{MNK} \mathcal{H}_M \wedge \partial_N \mathcal{H}_K \right)$$

‘Potential’ term:

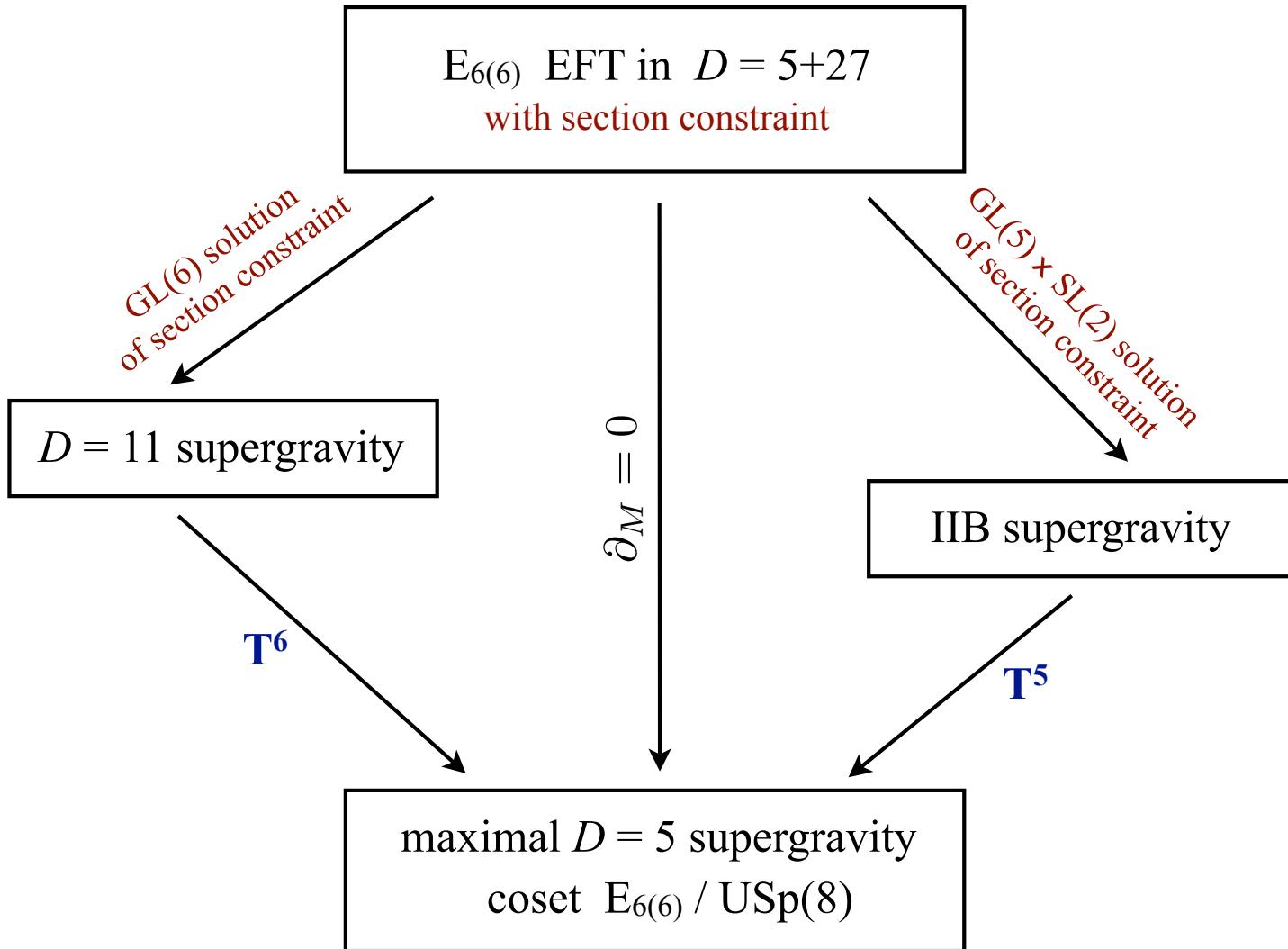
$$\begin{aligned} V = & \frac{1}{2} \mathcal{M}^{MN} \partial_M \mathcal{M}^{KL} \partial_L \mathcal{M}_{NK} - \frac{1}{24} \mathcal{M}^{MN} \partial_M \mathcal{M}^{KL} \partial_N \mathcal{M}_{KL} \\ & - e^{-1} \partial_M e \partial_N \mathcal{M}^{MN} - \frac{1}{8} \mathcal{M}^{MN} e^{-1} \partial_M e e^{-1} \partial_N e - \frac{1}{32} \mathcal{M}^{MN} \partial_M g^{\mu\nu} \partial_N g_{\mu\nu} \end{aligned}$$

Solving section constraint: reduce  $E_{6(6)}$  under  $SL(6) \times SL(2)$ :

$$\mathbf{27} \rightarrow (15, 1) + (6, 2)$$

- 1) 6 coordinates from  $SL(2)$  doublet  $\Rightarrow D = 11$  supergravity
- 2) break to  $SL(5) \Rightarrow 5$  coordinates from 15  $\Rightarrow$  unbroken  $SL(2)$ : IIB sugra  
‘unification’ of M-theory and type IIB, c.f. type II DFT

[Hohm, Ki Kwak, Zwiebach (2011)]



## Exceptional Field Theory II: $E_{7(7)}$

Coordinates  $(x^\mu, Y^M)$ ,  $\mu = 0, \dots, 3$ ,  $M$  : fundamental **56** of  $E_{7(7)}$

$$(t_\alpha)^{MN} \partial_M \otimes \partial_N = 0, \quad \Omega^{MN} \partial_M \otimes \partial_N = 0$$

with  $\Omega^{MN}$  symplectic form of  $E_{7(7)} \subset \mathrm{Sp}(56)$

Generalized Lie derivatives  $\mathbb{L}_\Lambda \rightarrow E_{7(7)}$  bracket

$$[\Lambda_1, \Lambda_2]_E^M = 2\Lambda_{[1}^K \partial_K \Lambda_{2]}^M + 12(t_\alpha)^{MN} (t_\alpha)_{KL} \Lambda_{[1}^K \partial_N \Lambda_{2]}^L - \frac{1}{4} \Omega^{MN} \Omega_{KL} \partial_N (\Lambda_1^K \Lambda_2^L)$$

Jacobiator

$$J^M(\Lambda_1, \Lambda_2, \Lambda_3) = (t_\alpha)^{MN} \partial_N \chi_\alpha(\Lambda) + \Omega^{MN} \chi_N(\Lambda),$$

where

$$\chi_\alpha = -\frac{1}{2} (t_\alpha)_{PQ} \Lambda_1^P [\Lambda_2, \Lambda_3]_E^Q + \dots, \quad \chi_N = \frac{1}{12} \Omega_{PQ} (\Lambda_1^P \partial_N [\Lambda_2, \Lambda_3]_E^Q + [\Lambda_2, \Lambda_3]_E^P)$$

$\chi_M$  ‘covariantly constrained’, satisfying the same constraints as  $\partial_M$

→ covariant curvature involves two 2-forms

$$\mathcal{F}_{\mu\nu}{}^M \equiv F_{\mu\nu}{}^M - 12 (t^\alpha)^{MN} \partial_N B_{\mu\nu\alpha} - \frac{1}{2} \Omega^{MN} B_{\mu\nu N}$$

where  $B_{\mu\nu N}$  is *covariantly constrained* compensator field

Twisted (electric-magnetic) self-duality relations

$$\mathcal{F}_{\mu\nu}{}^M = -\frac{1}{2} e \varepsilon_{\mu\nu\rho\sigma} \Omega^{MN} \mathcal{M}_{NK} \mathcal{F}^{\rho\sigma K}$$

gauge vectors  $A_\mu{}^M$  include 7 Kaluza-Klein vectors from  $D = 11$  metric

→ also 7 dual gauge vectors → dual graviton in *non-linear* duality relation

[no-go theorems: Bekaert, Boulanger, Henneaux (2002)]

‘Resolution’: dual graviton & compensating gauge field

[Boulanger, O.H. (2008)]

solving section constraint → only 7  $B_{\mu\nu N}$  survive

→ 7 ‘dual graviton’ fields pure gauge

# Exceptional Field Theory III: $E_{8(8)}$ and $3 + n$ decomposition

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‘Toy model’:  $D = 3 + 1$  Einstein gravity  $\rightarrow$  Ehlers group  $\text{SL}(2, \mathbb{R})$   
coordinates  $(x^\mu, Y^M)$ ,  $\mu = 0, 1, 2$ ,  $Y^M$ : adjoint 3 of  $\text{SL}(2, \mathbb{R})$

Section constraint:

$$\eta^{MN} \partial_M \otimes \partial_N = 0 \quad f^{MNK} \partial_N \otimes \partial_K = 0$$

with  $\eta_{MN}$  Cartan-Killing form,  $f^{MNK}$  structure constants.

Field content:

$$\{ e_\mu{}^a, A_\mu{}^M, B_{\mu M}, \mathcal{M}_{MN} \}$$

with  $B_{\mu M}$  covariantly constrained  $\text{SL}(2, \mathbb{R})$  gauge field

$\rightarrow$  full covariant derivative

$$\mathcal{D}_\mu V_M = \partial_\mu V_M - \hat{\mathcal{L}}_{A_\mu} V_M + B_\mu{}^K f_{KM}{}^L V_L$$

Gauge invariant action:

$$S = \int d^3x d^3Y \left( e \hat{R} - \frac{1}{2\sqrt{2}} \varepsilon^{\mu\nu\rho} B_{\mu M} F_{\nu\rho}{}^M + \frac{1}{16} e g^{\mu\nu} \mathcal{D}_\mu \mathcal{M}^{MN} \mathcal{D}_\nu \mathcal{M}_{MN} - e V(\mathcal{M}, g) \right),$$

with ‘potential’:

$$V(\mathcal{M}, g) = -\frac{3}{16} \left( \mathcal{M}^{KL} \partial_K \mathcal{M}^{MN} \partial_L \mathcal{M}_{MN} - 4 \mathcal{M}^{KL} \partial_K \mathcal{M}^{MN} \partial_N \mathcal{M}_{ML} \right) - \frac{1}{2} g^{-1} \partial_M g \partial_N \mathcal{M}^{MN} - \frac{1}{4} \mathcal{M}^{MN} g^{-1} \partial_M g g^{-1} \partial_N g - \frac{1}{4} \mathcal{M}^{MN} \partial_M g^{\mu\nu} \partial_N g_{\mu\nu}.$$

Solving constraints: ‘dual graviton’ component  $\varphi \subset \mathcal{M}$  pure gauge

→ integrating out  $B_\mu \subset B_{\mu M}$  yields EH in 3 + 1 decomposition

For  $E_{8(8)}$  EFT: covariantly constrained  $E_{8(8)}$  gauge field

## Outlook

- Further extension?  $E_{9(9)} = \hat{E}_{8(8)}$  for  $2 + 9$  decomposition?  
→ Gauged supergravity in  $D = 2$  [Samtleben & Weidner (2007)]
- Making remaining (external) diffeomorphisms manifest?

$$\begin{aligned}\delta_\xi \mathcal{M}_{MN} &= \xi^\mu \mathcal{D}_\mu \mathcal{M}_{MN}, & \delta_\xi e_\mu^a &= \xi^\rho D_\rho e_\mu^a + D_\mu \xi^\rho e_\rho^a, \\ \delta_\xi^{(0)} A_\mu{}^M &= \xi^\nu \mathcal{F}_{\nu\mu}{}^M + \mathcal{M}^{MN} g_{\mu\nu} \partial_N \xi^\nu\end{aligned}$$

Combining into even larger vielbein?

$$E_{\hat{M}}{}^{\hat{A}} = \begin{pmatrix} e_\mu{}^a & A_\mu{}^M \mathcal{V}_M{}^A \\ 0 & \mathcal{V}_M{}^A \end{pmatrix}$$

‘non-covariant’ term from compensating Lorentz transformation?  
underlying group structure? Kac-Moody algebras  $E_{10}$ ,  $E_{11}$ , Borcherds??  
[Aldazabal, Grana, Marques, Rosabal (December 2013)]

Problem: can't quite work naively,

- 1)  $B_{\mu\nu N}$ ,  $B_{\mu M}$ , etc. missing from  $E_{11}$  spectrum
- 2)  $\delta_\xi$  on p-forms receives non-trivial 'on-shell modification':

$$\delta_\xi A_\mu{}^M \equiv \delta_\xi^{(0)} A_\mu{}^M - \xi^\nu \mathcal{E}_{\nu\mu}^{(A)M}$$

$$\delta_\xi B_{\mu M} \equiv \delta_\xi^{(0)} B_{\mu M} + f_{KM}{}^N \partial_N \xi^\nu \mathcal{E}_{\mu\nu}^{(A)K} - \xi^\nu \mathcal{E}_{\nu\mu M}^{(B)}$$

with field equations  $\mathcal{E}_{\mu\nu}{}^M$  of  $A$  and  $B$

- Novel algebraic structure?
- Higher-derivative M-theory/type IIB corrections in a unified fashion?  
→ as in DFT deformed generalized geometric structures

[O.H., Siegel, Zwiebach (2013)]