

---

# *Phase diagram and a sign problem in lattice QCD at strong coupling*

**A. Ohnishi (YITP)**

**in collaboration with**

**T. Ichihara (Kyoto U.), T.Z.Nakano (KKE),  
K. Miura (Nagoya U.), N. Kawamoto (Hokkaido U.)**

**NFQCD 2013, Nov.18-Dec.20, 2013, YITP, Kyoto, Japan**

**New Frontiers in QCD 2013**

*--- Insight into QCD matter from heavy-ion collisions ---*



# Contents

---

## ■ Introduction

- Finite density QCD matter and Sign problem

## ■ Phase diagram in strong coupling lattice QCD

- Strong coupling limit

- Finite coupling effects

*K. Mura, T. Z. Nakano, AO, N. Kawamoto, PRD80(2009), 074034*

*T. Z. Nakano, K. Miura, AO, PRD83(2011),016014*

- Fluctuations

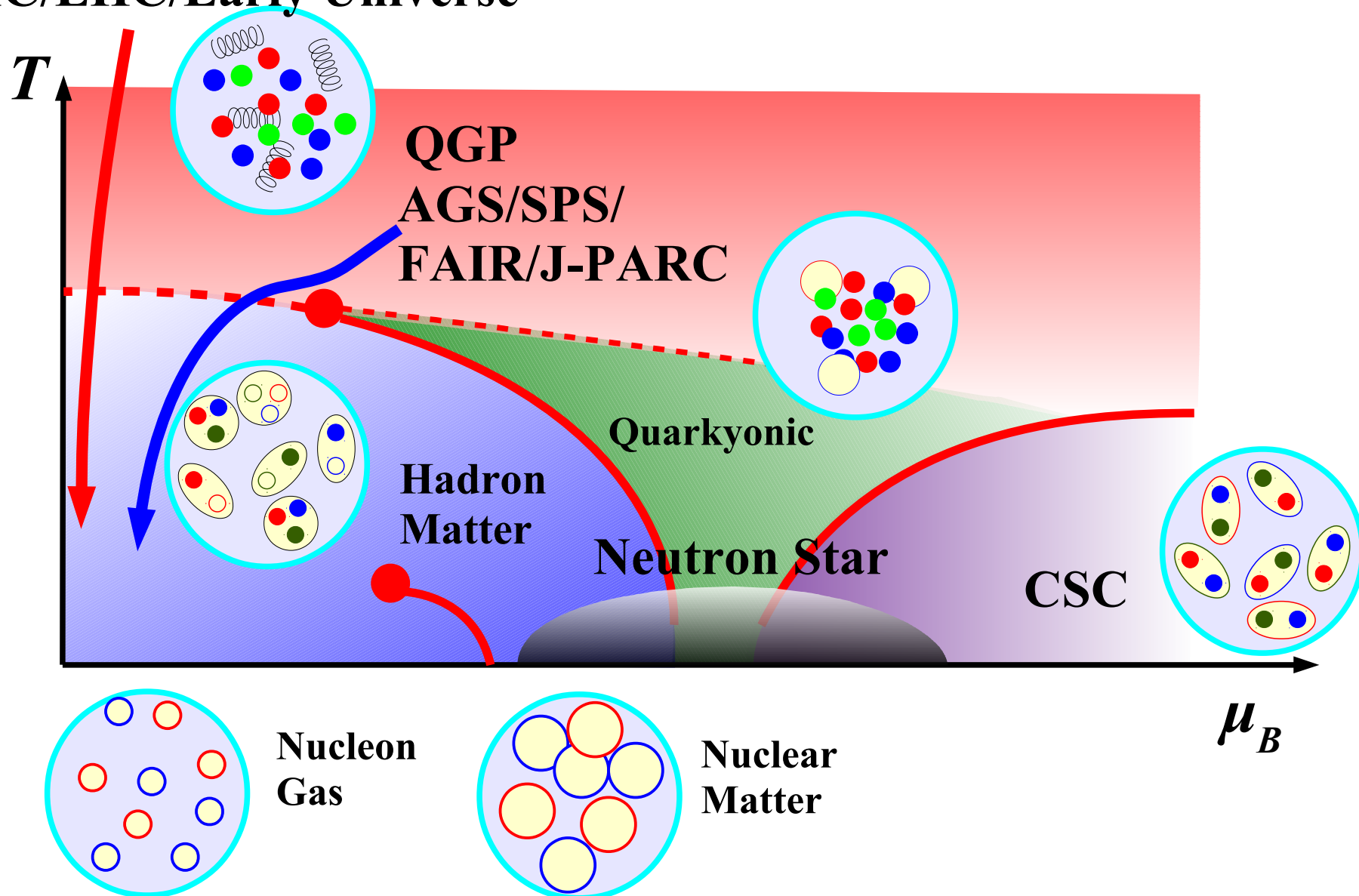
*AO, T. Ichihara, T.Z. Nakano, PoS Lattice2012 (2012), 088*

*T. Ichihara, T. Z. Nakano, AO, PoS Lattice2013 (2013), to appear*

## ■ Summary

# QCD Phase Diagram

RHIC/LHC/Early Universe



# *How can we investigate QCD phase diagram ?*

---

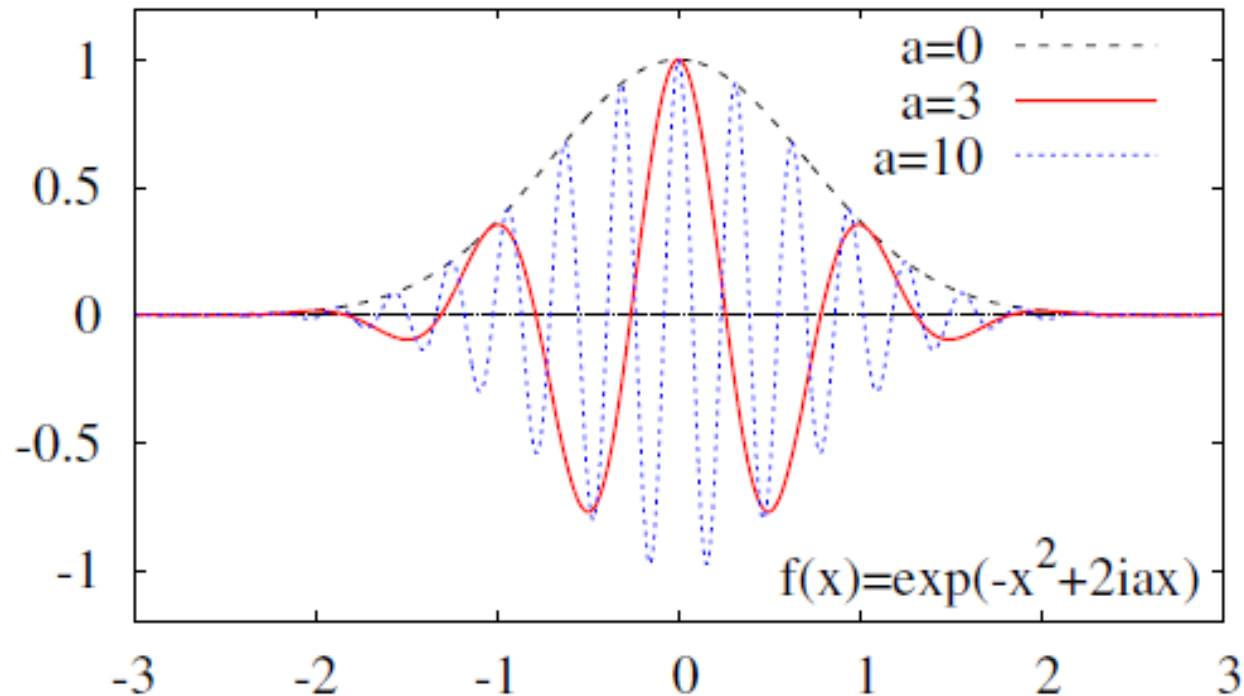
- **Non-pert. & ab initio approach**  
= Monte-Carlo simulation of lattice QCD  
but lattice QCD at finite  $\mu$  has the sign problem.

# Sign Problem

## ■ Monte-Carlo integral of oscillating function

$$Z = \int dx \exp(-x^2 + 2iax) = \sqrt{\pi} \exp(-a^2)$$

$$\langle O \rangle = \frac{1}{Z} \int dx O(x) e^{-x^2 + 2iax}$$



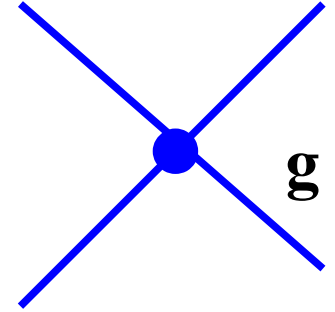
*Easy problem for human is not necessarily easy for computers.*

# Sign Problem (cont.)

## ■ Generic problem in quantum many-body problems

### ● Example: Euclid action of interacting Fermions

$$S = \sum_{x,y} \bar{\psi}_x D_{x,y} \psi_y + g \sum_x (\bar{\psi} \psi)_x (\bar{\psi} \psi)_x$$



### ● Bosonization and MC integral ( $g > 0 \rightarrow$ repulsive)

$$\exp(-g M_x M_x) = \int d\sigma_x \exp(-g \sigma_x^2 - 2i g \sigma_x M_x) \quad (M_x = (\bar{\psi} \psi)_x)$$

$$Z = \int D[\psi, \bar{\psi}, \sigma] \exp \left[ -\bar{\psi} (D + 2i g \sigma) \psi - g \sum_x \sigma_x^2 \right]$$

$$= \int D[\sigma] \underline{\text{Det}(D + 2i g \sigma)} \exp \left[ -g \sum_x \sigma_x^2 \right]$$

*complex Fermion det.*

*→ complex stat. weight*

*→ sign problem*

# Sign problem in lattice QCD

- Fermion determinant (= stat. weight of MC integral) becomes complex at finite  $\mu$  in LQCD.
  - $\gamma_5$  Hermiticity

$$\begin{aligned} Z &= \int D[U, q, \bar{q}] \exp(-\bar{q} D(\mu, U) q - S_G(U)) \\ &= \int D[U] \text{Det}(D(\mu, U)) \exp(-S_G(U)) \end{aligned}$$

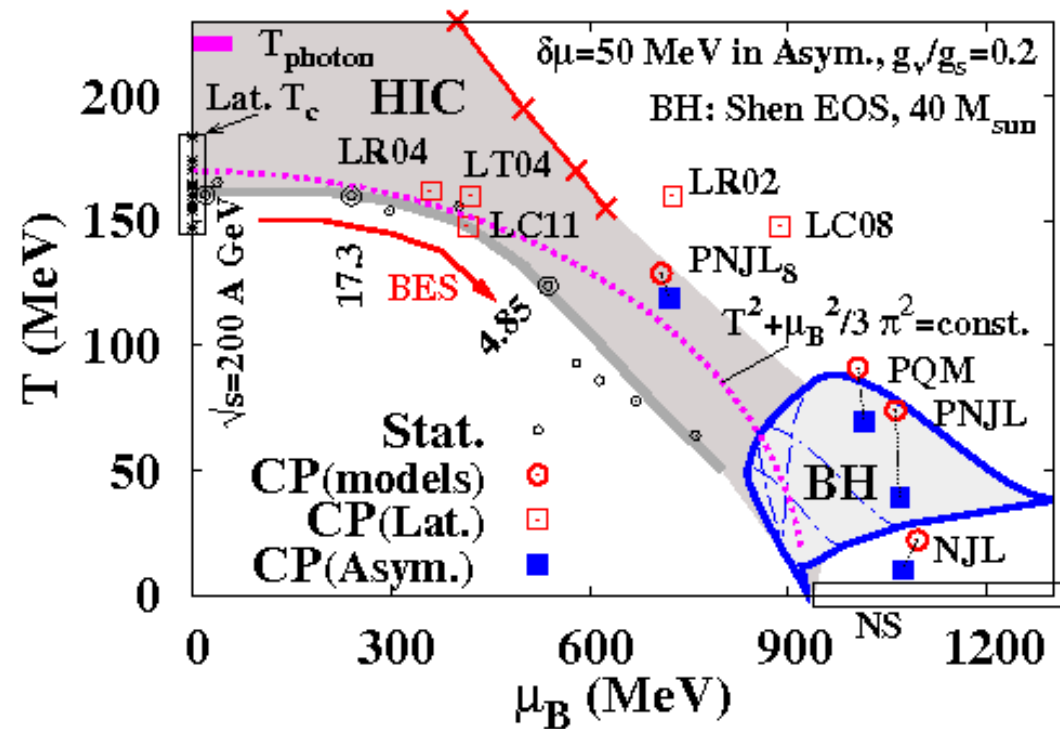
$$\begin{aligned} \gamma_5 D(\mu, U) \gamma_5 &= [D(-\mu, U^+)]^+ \\ \rightarrow \text{Det}(D(\mu, U)) &= [\text{Det}(D(-\mu, U^+))]^* \end{aligned}$$

- Fermion det. (Det D) is real for zero  $\mu$  (and pure imag.  $\mu$ )
- Fermion det. is complex for finite real  $\mu$ .

# How can we investigate QCD phase diagram ?

- Non-pert. & ab initio approach  
= Monte-Carlo simulation of lattice QCD  
but lattice QCD at finite  $\mu$  has the sign problem.
- Effective model and/or Approximations are necessary.

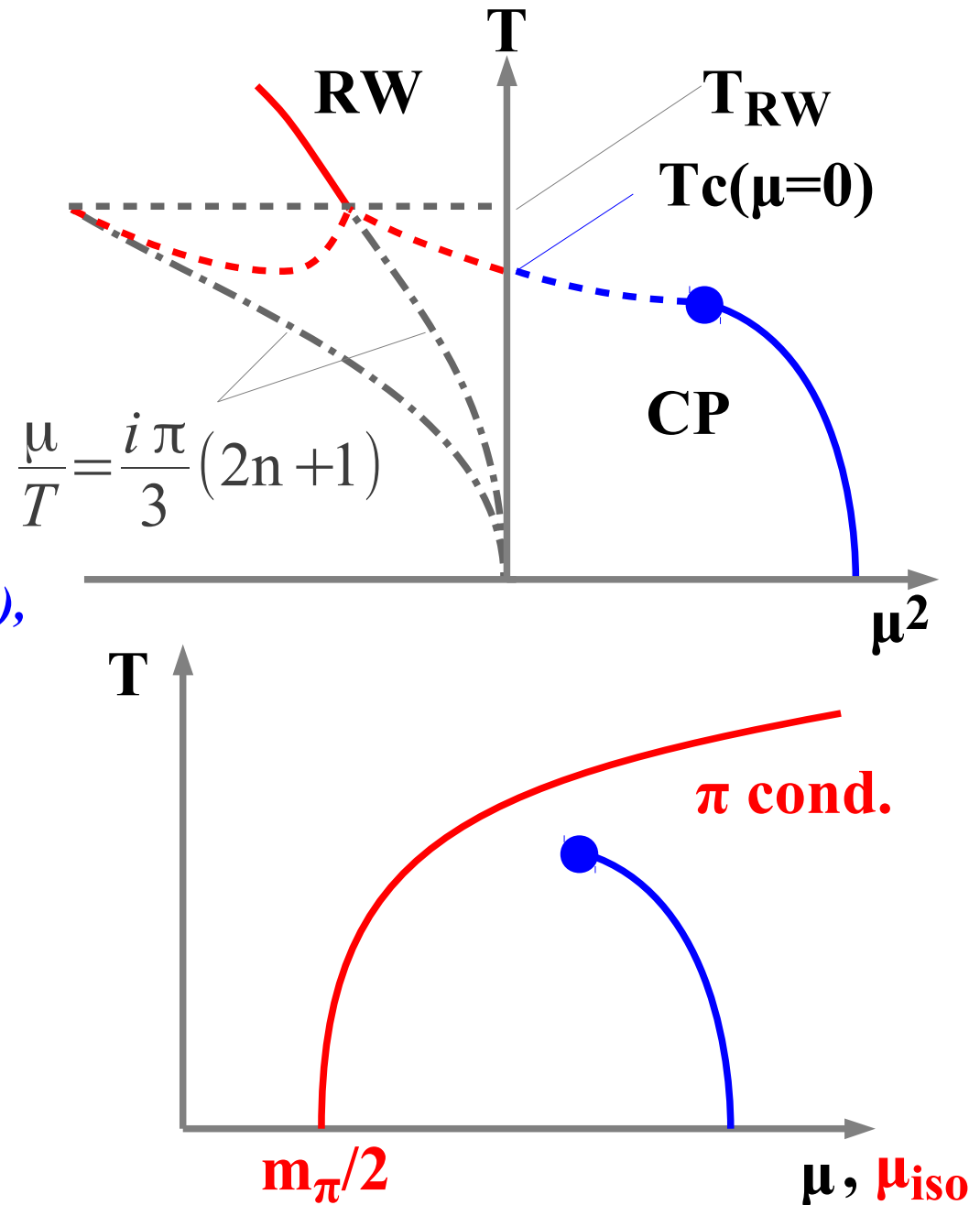
- Effective models:  
NJL, PNJL, PQM, ...  
Model dependence is large.
- Approximate methods:  
Taylor expansion,  
Imag.  $\mu$ , Canonical,  
Re-weighting,  
Fugacity expansion,  
Histogram method,  
Complex Langevin,  
Strong coupling lattice QCD





# Lattice QCD at finite $\mu$

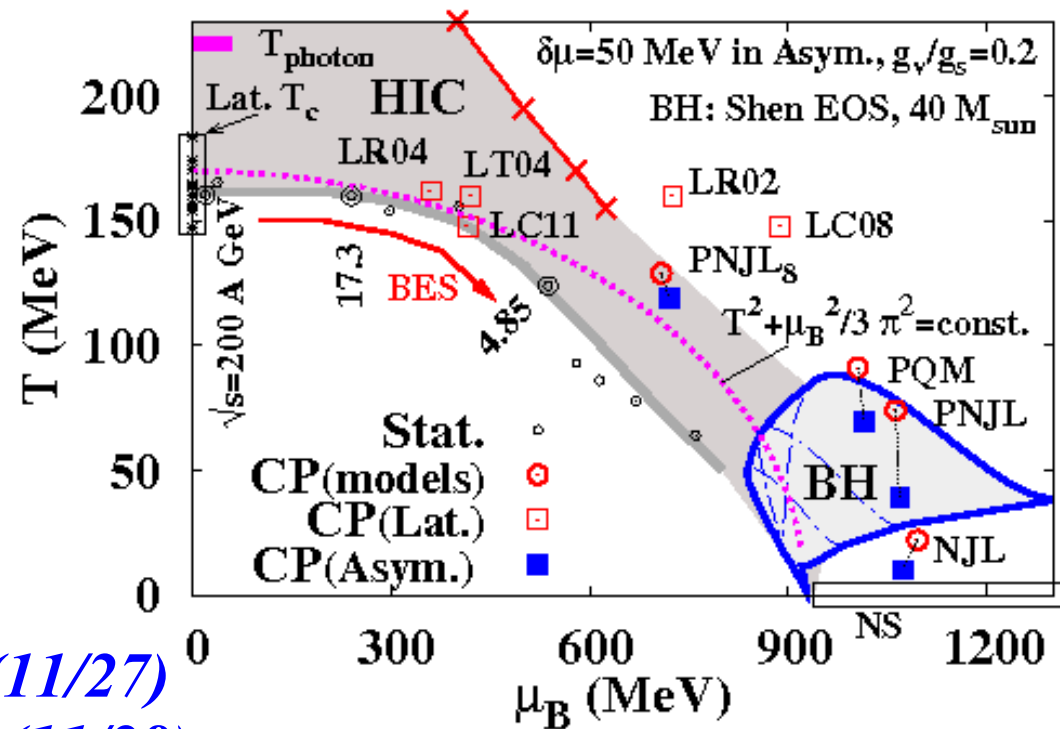
- Various method work at small  $\mu$  ( $\mu/T < 1$ ).
- Large  $\mu$ 
  - Roberge-Weiss transition  
 $\rightarrow$  Conv. rad. of  $\mu/T < \pi/3$   
 at  $T > T_{RW}$
  - No go theorem  
*Splittorff ('06), Han, Stephanov ('08), Hanada, Yamamoto ('11), Hidaka, Yamamoto ('11)*
    - ◆ Phase quenched sim.  
 $\sim$  Isospin chem. pot.
    - ◆ CP would be hidden in  $\pi$  cond.



# How can we investigate QCD phase diagram ?

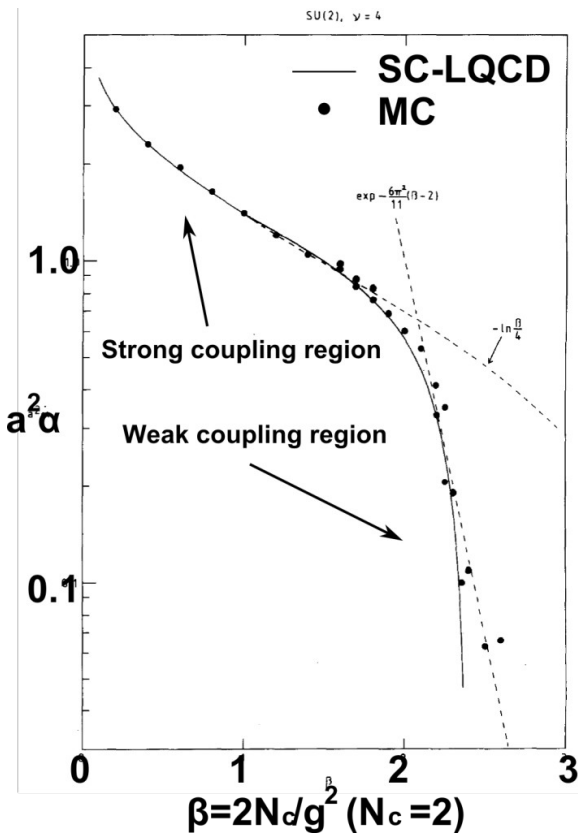
- Non-pert. & ab initio approach  
= Monte-Carlo simulation of lattice QCD  
but lattice QCD at finite  $\mu$  has the sign problem.
- Effective model and/or Approximations are necessary.

- Effective models:  
NJL, PNJL, PQM, ...  
Model dependence is large.
- Approximate methods:  
Taylor expansion,  
Imag.  $\mu$ , Canonical,  
Re-weighting,  
Fugacity expansion,  
Histogram method, *Ejiri (11/27)*  
Complex Langevin, *Aarts (11/28)*  
**Strong coupling lattice QCD** **In this talk**



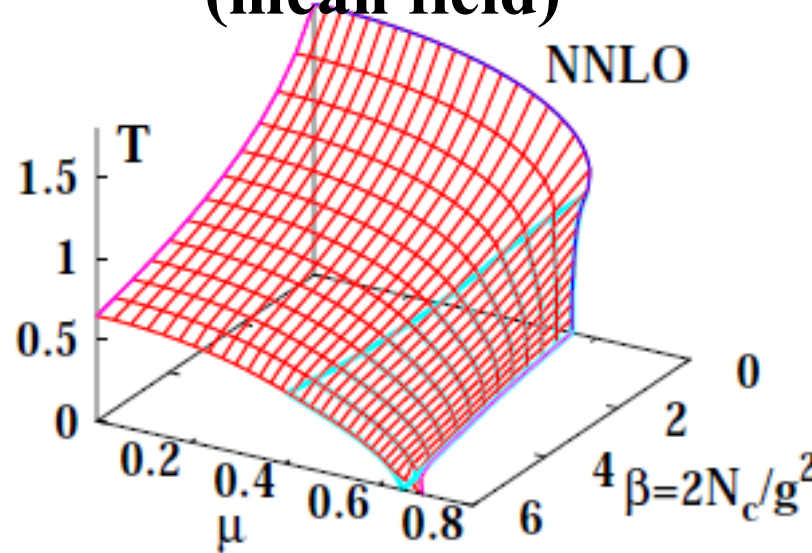
# Strong Coupling Lattice QCD

## Pure YM



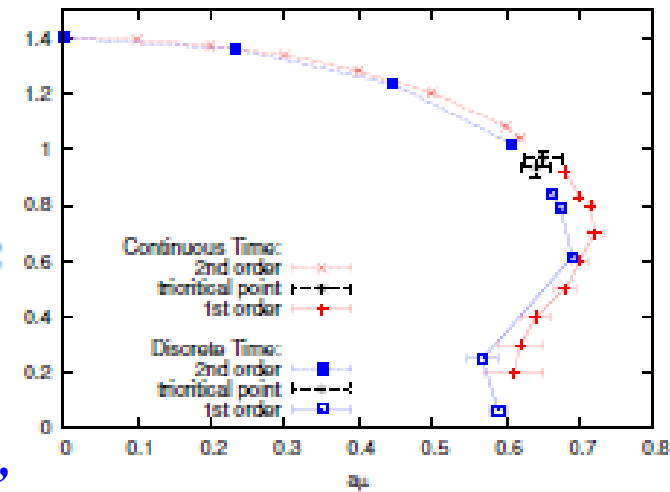
*Wilson ('74), Creutz ('80), Munster ('80, '81), Lottini, Philipsen, Langelage's ('11)*

## Phase diagram (mean field)



*Kawamoto ('80), Kawamoto, Smit ('81), Damagaard, Hochberg, Kawamoto ('85), Bilic, Karsch, Redlich ('92), Fukushima ('03); Nishida ('03), Kawamoto, Miura, AO, Ohnuma ('07). Miura, Nakano, AO, Kawamoto ('09) Nakano, Miura, AO ('10)*

## Fluctuations

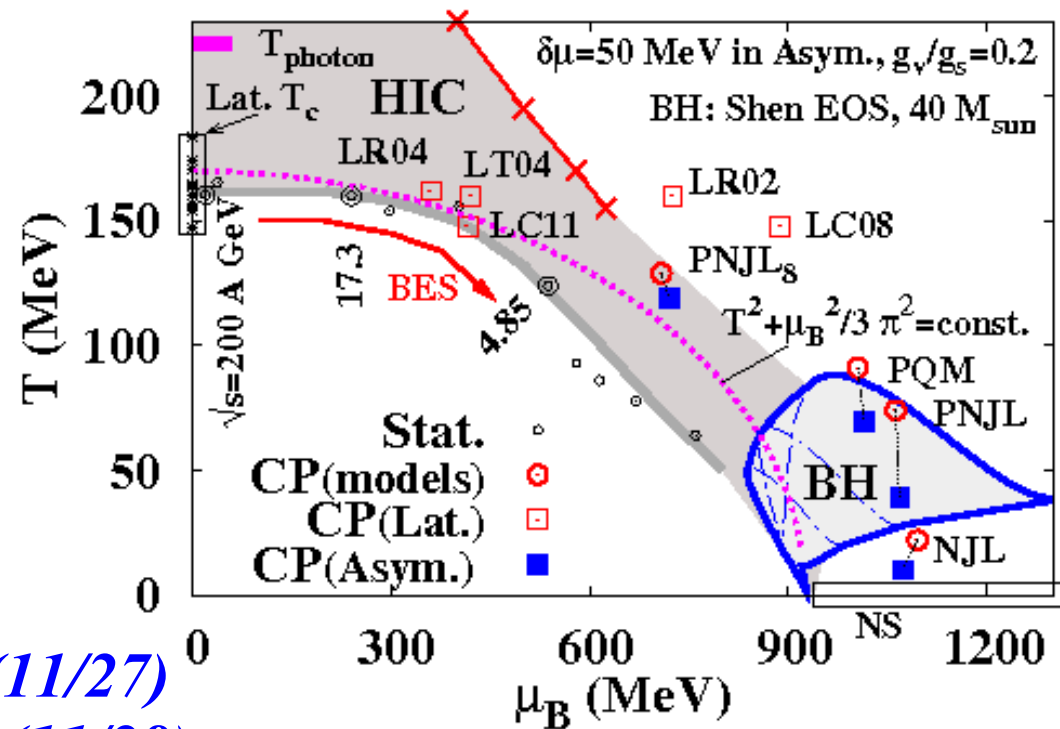


*Mutter, Karsch ('89), de Forcrand, Fromm ('10), de Forcrand, Unger ('11), AO, Ichihara, Nakano ('12), Ichihara, Nakano, AO ('13)*

# How can we investigate QCD phase diagram ?

- Non-pert. & ab initio approach  
= Monte-Carlo simulation of lattice QCD  
but lattice QCD at finite  $\mu$  has the sign problem.
- Effective model and/or Approximations are necessary.

- Effective models:  
NJL, PNJL, PQM, ...  
Model dependence is large.
- Approximate methods:  
Taylor expansion,  
Imag.  $\mu$ , Canonical,  
Re-weighting,  
Fugacity expansion,  
Histogram method, *Ejiri (11/27)*  
Complex Langevin, *Aarts (11/28)*  
**Strong coupling lattice QCD**



**In this talk**

*We discuss the phase diagram and a sign problem in SC-LQCD*

---

# *Strong coupling lattice QCD*

# SC-LQCD: Setups & Disclaimer

- We investigate the phase diagram and try to understand nuclear matter based on the strong-coupling lattice QCD (SC-LQCD).
  - Effective potential (free E. density) → phase boundary & EOS
- Setups & Disclaimer
  - Effective action in SCL ( $1/g^0$ ), NLO ( $1/g^2$ ), NNLO ( $1/g^4$ ) terms and Polyakov loop.
    - NLO: Faldt-Petersson ('86), Bilic-Karsch-Redlich ('92)*
    - Conversion radius  $> 6$  in pure YM? Osterwalder-Seiler ('78)*
  - **One species of unrooted staggered fermion** ( $N_f=4$  @ cont.)
    - Moderate  $N_f$  deps. of phase boundary: BKR92, Nishida('04), D'Elia-Lombardo ('03)*
  - Leading order in  $1/d$  expansion ( $d=3$ =space dim.)
    - Min. # of quarks for a given plaquette configurations, no spatial B prop.
  - Different from “strong coupling” in “large  $N_c$ ”

*Still far from “Realistic”, but SC-LQCD would tell us useful qualitative features of the phase diagram and EOS.*

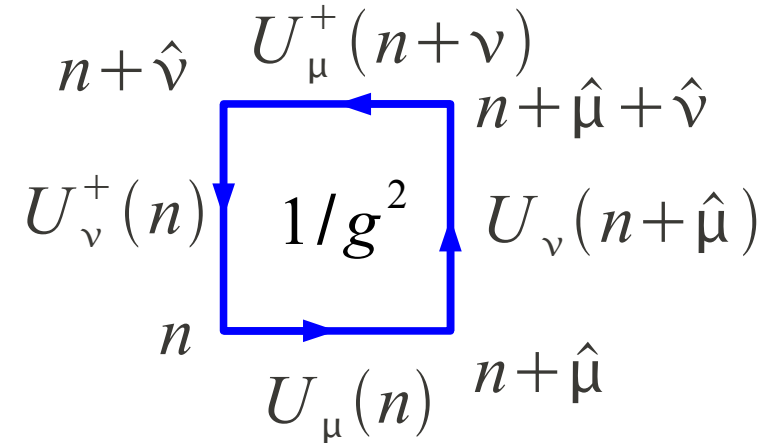


# Lattice QCD action

■ Gluon field → Link variables  $U_\mu(x) \simeq \exp(i g A_\mu)$

■ Gluon action → Plaquette action

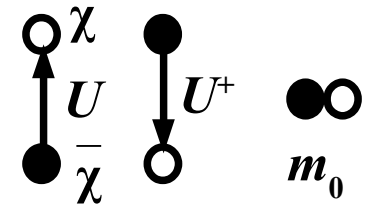
$$S_G = \frac{2 N_c}{g^2} \sum_{\text{plaq.}} \left[ 1 - \frac{1}{N_c} \text{Re tr } U_{\mu\nu}(n) \right]$$



● Loop → surface integral of “rotation”  $F_{\mu\nu}$  in the U(1) case.

■ Quark kinetic term (staggered fermion)

$$S_F = \frac{1}{2} \sum_x \left[ \bar{\chi}_x e^\mu U_{0,x} \chi_{x+\hat{0}} - \bar{\chi}_{x+\hat{0}} e^{-\mu} U_{0,x}^+ \chi_x \right]$$



$$+ \frac{1}{2} \sum_{x,j} \eta_j(x) \left[ \bar{\chi}_x U_{x,j} \chi_{x+\hat{j}} - \bar{\chi}_{x+\hat{j}} U_{x,j}^+ \chi_x \right] + \sum_x m_0 M_x$$

$$= S_F^{(t)} + S_F^{(s)} + \sum_x m_0 M_x$$

# Link integral $\rightarrow$ Area Law

## ■ One-link integral

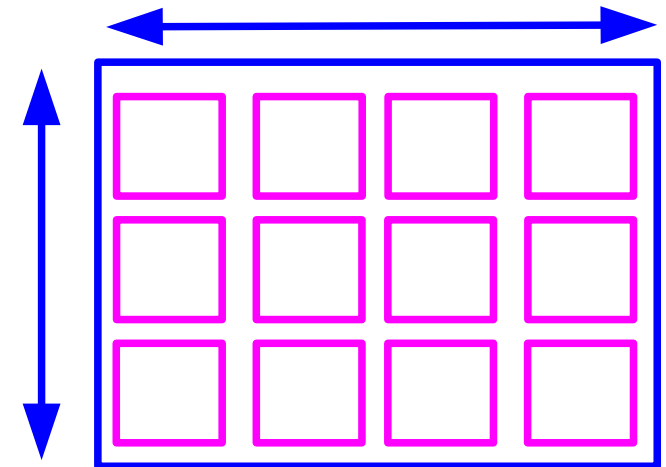
$$\int dU U_{ab} U_{cd}^+ = \frac{1}{N_c} \delta_{ad} \delta_{bc}$$

## ■ Wilson loop in pure Yang-Mills theory

$$\begin{aligned} \langle W(C=L \times N_\tau) \rangle &= \frac{1}{Z} \int DU W(C) \exp \left[ \frac{1}{g^2} \sum_P \text{tr}(U_P + U_P^+) \right] \\ &= \exp(-V(L) N_\tau) \end{aligned}$$

in the strong coupling limit

$$\begin{aligned} \langle W(C) \rangle &= N \left( \frac{1}{g^2 N} \right)^{LN_\tau} \\ \rightarrow V(L) &= L \log(g^2 N) \end{aligned}$$



$$\square = 1/N_c g^2$$

*Linear potential between heavy-quarks  
 $\rightarrow$  Confinement (Wilson, 1974)*



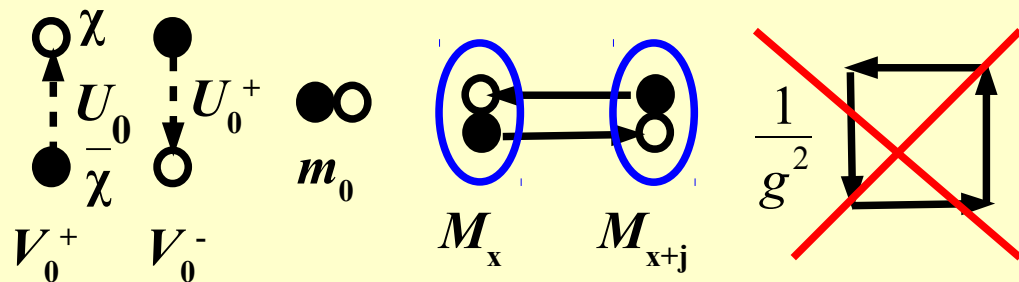
# Link integral $\rightarrow$ Effective action

## Effective action in the strong coupling limit (SCL)

- Ignore plaquette action ( $1/g^2$ )  
 $\rightarrow$  We can integrate each link independently !
- Integrate out *spatial* link variables of min. quark number diagrams (1/d expansion)

$$S_{\text{eff}} = S_F^{(t)} - \frac{1}{4N_c} \sum_{x,j} M_x M_{x+\hat{j}} + m_0 \sum_x M_x \quad (M_x = \bar{\chi}_x \chi_x)$$

*Damgaard, Kawamoto, Shigemoto ('84)*

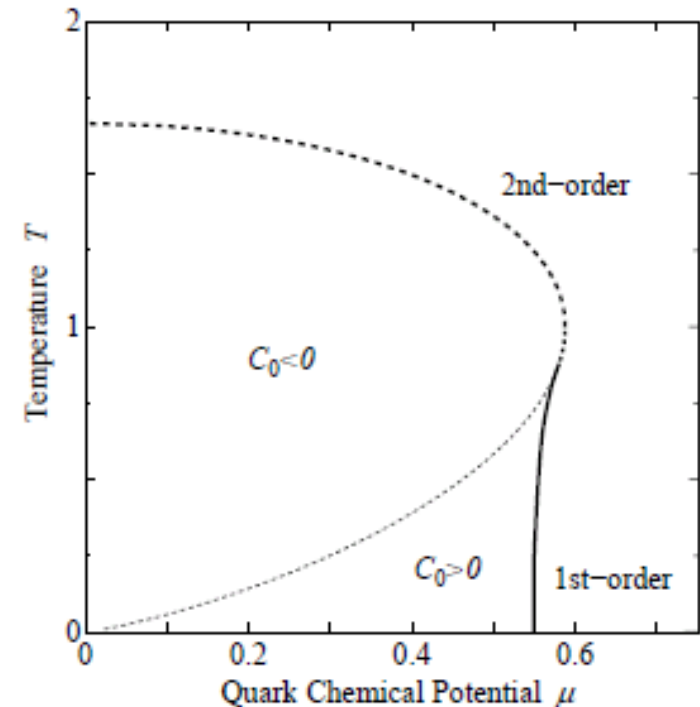


$$\int dU U_{ab} U_{cd}^+ = \delta_{ad} \delta_{bc} / N_c$$

*Lattice QCD in SCL*  
 $\rightarrow$  *Fermion action*  
*with nearest neighbor*  
*four Fermi interaction*

# Phase diagram in SC-LQCD (mean field)

- “Standard” simple procedure in Fermion many-body problem
  - Bosonize interaction term (Hubbard-Stratonovich transformation)
  - Mean field approximation (constant auxiliary field)
  - Fermion & temporal link integral  
*Damgaard, Kawamoto, Shigemoto ('84); Faldt, Petersson ('86); Bilic, Karsch, Redlich ('92); Fukushima ('04); Nishida ('04)*



*Fukushima, 2004*

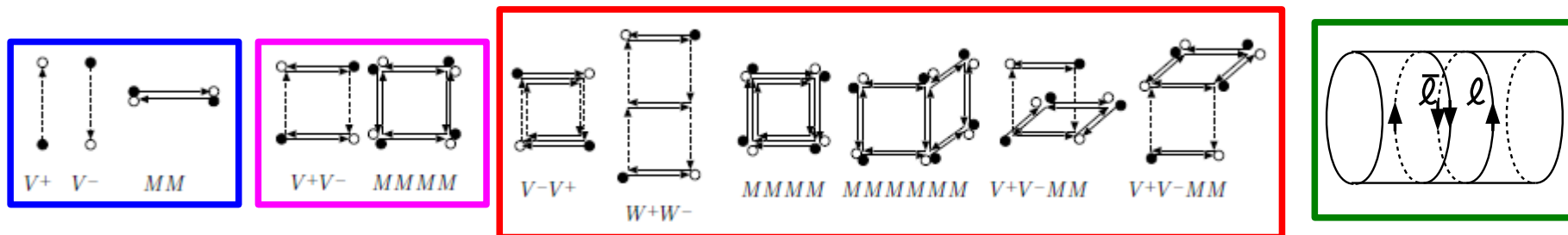
# Finite Coupling Effects

## Effective Action with finite coupling corrections

Integral of  $\exp(-S_G)$  over spatial links with  $\exp(-S_F)$  weight  $\rightarrow S_{\text{eff}}$

$$S_{\text{eff}} = S_{\text{SCL}} - \log \langle \exp(-S_G) \rangle = S_{\text{SCL}} - \sum_{n=1} \frac{(-1)^n}{n!} \langle S_G^n \rangle_c$$

$\langle S_G^n \rangle_c = \text{Cumulant (connected diagram contr.)}$  *c.f. R.Kubo('62)*



$$S_{\text{eff}} = \frac{1}{2} \sum_x (V_x^+ - V_x^-) - \frac{b_\sigma}{2d} \sum_{x,j>0} [MM]_{j,x}$$

*SCL (Kawamoto-Smit, '81)*

$$+ \frac{1}{2} \frac{\beta_\tau}{2d} \sum_{x,j>0} [V^+V^- + V^-V^+]_{j,x} - \frac{1}{2} \frac{\beta_s}{d(d-1)} \sum_{x,j>0,k>0,k \neq j} [MMMM]_{jk,x}$$

*NLO (Faldt-Petersson, '86)*

$$- \frac{\beta_{\tau\tau}}{2d} \sum_{x,j>0} [W^+W^- + W^-W^+]_{j,x} - \frac{\beta_{ss}}{4d(d-1)(d-2)} \sum_{\substack{x,j>0,|k|>0,|l|>0 \\ |k| \neq j, |l| \neq j, |l| \neq |k|}} [MMMM]_{jk,x} [MM]_{j,x+l}$$

*NNLO (Nakano, Miura, AO, '09)*

$$+ \frac{\beta_{\tau s}}{8d(d-1)} \sum_{x,j>0,|k| \neq j} [V^+V^- + V^-V^+]_{j,x} \left( [MM]_{j,x+\hat{k}} + [MM]_{j,x+\hat{k}+\hat{0}} \right)$$

*Polyakov loop (Gocksch, Ogilvie ('85), Fukushima ('04)  
Nakano, Miura, AO ('11))*

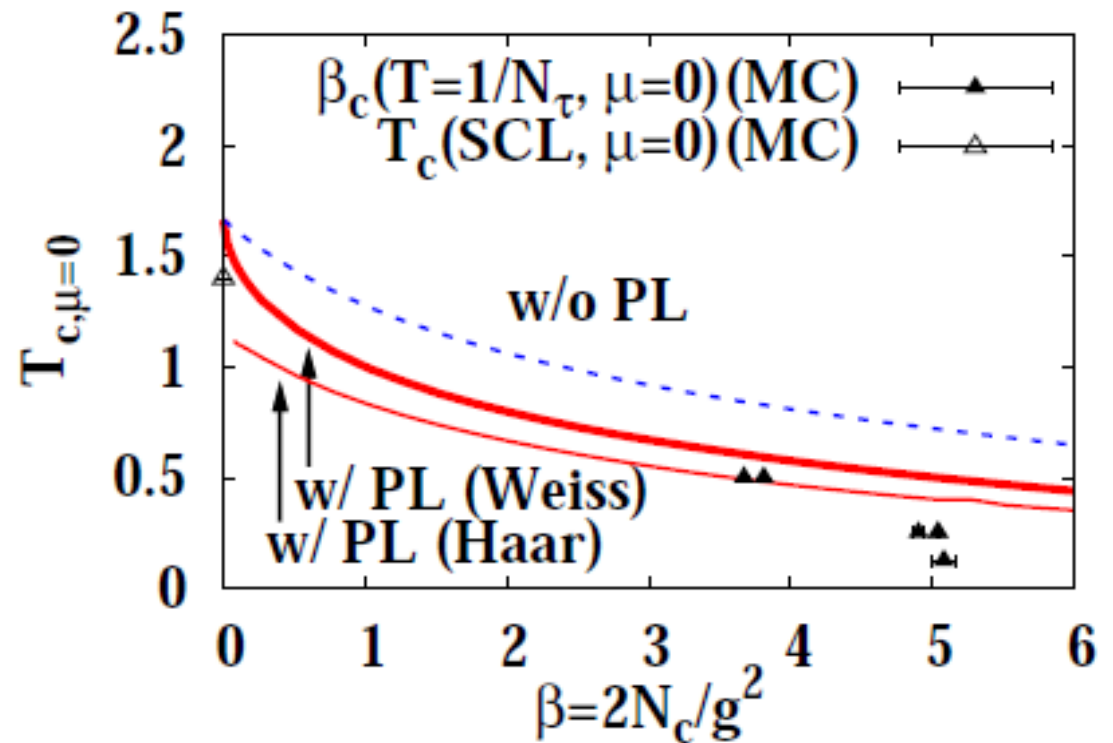
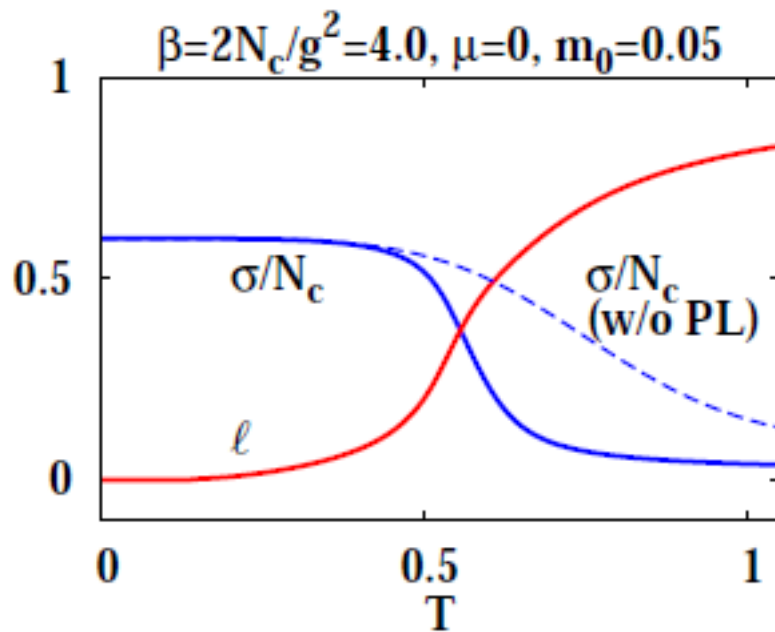
$$- \left( \frac{1}{g^2 N_c} \right)^{N_\tau} N_c^2 \sum_{\mathbf{x}, j>0} \left( \bar{P}_\mathbf{x} P_{\mathbf{x}+\hat{j}} + h.c. \right)$$

# SC-LQCD with Polyakov Loop Effects at $\mu=0$

T. Z. Nakano, K. Miura, *AO, PRD 83 (2011), 016014 [arXiv:1009.1518 [hep-lat]]*

- **P-SC-LQCD reproduces MC results of  $T_c(\mu=0)$  ( $\beta=2N_c/g^2 \leq 4$ )**

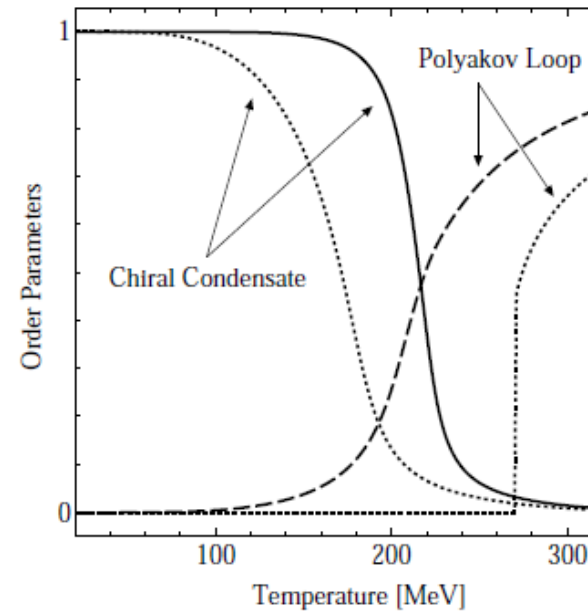
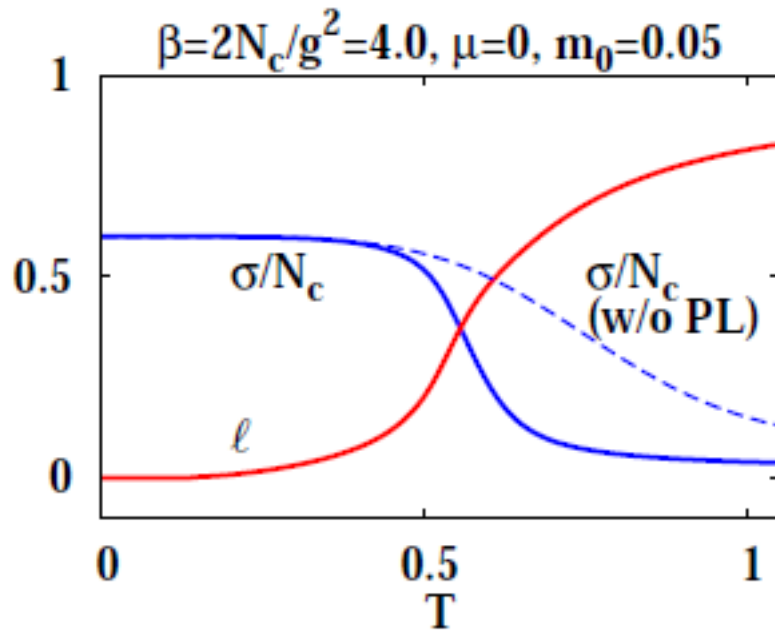
*MC data: SCL (Karsch et al. (MDP), de Forcrand, Fromm (MDP)),  $N_\tau=2$  (de Forcrand, private),  $N_\tau=4$  (Gottlieb et al.('87), Fodor-Katz ('02)),  $N_\tau=8$  (Gavai et al.('90))*



Lattice Unit

# Polyakov loop effects on $T_c$

- Comparison of Polyakov loop in SC-LQCD and PNJL
  - SC-LQCD:  $T_c$  decreases with Polyakov loop (Polyakov loop deconfines hadrons)
  - PNJL:  $T_c$  increases with Polyakov loop (Polyakov loop confine quarks)

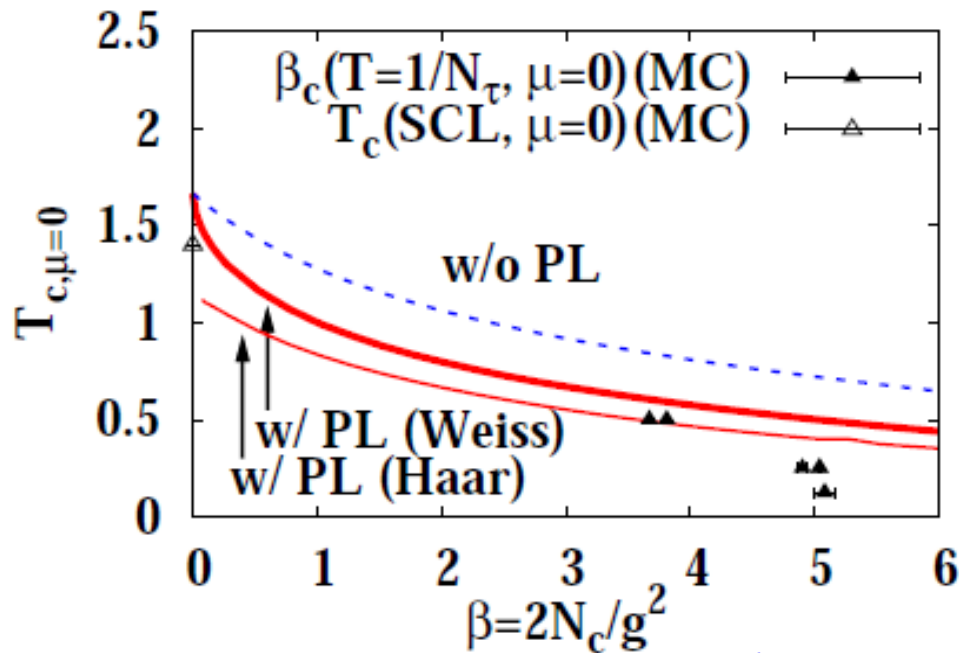


*Fukushima ('04)*

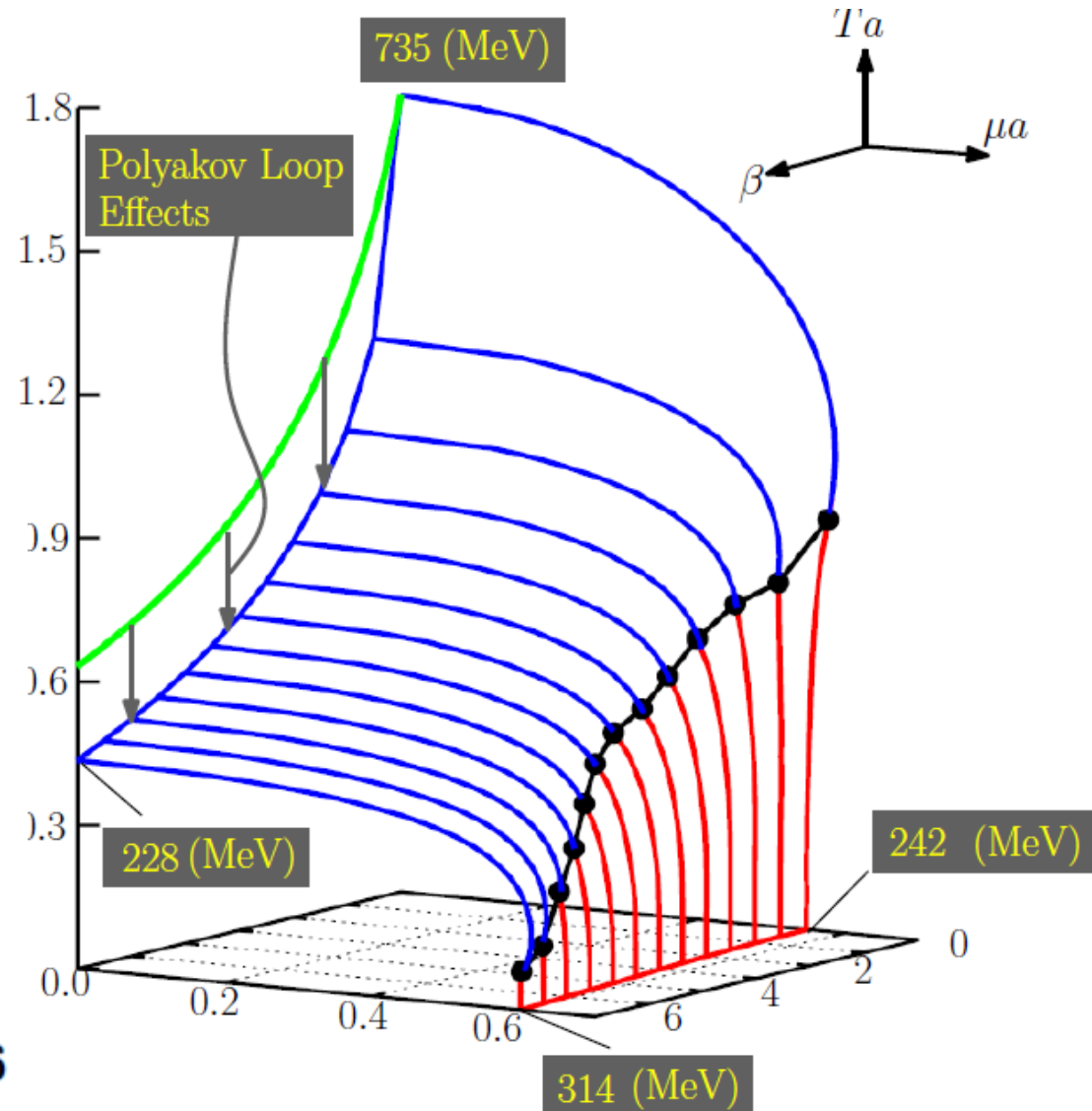
# Finite Coupling and Polyakov Loop Effects

Miura, Nakano, AO ('09), Miura, Nakano, AO, Kawamoto ('09),  
Nakano, Miura, AO ('10), Nakano, Miura, AO, Kawamoto ('11)

- Finite coupling & Pol. loop reduces  $T_c$  while  $\mu_c$  is stable.
  - MC results of  $T_c$  at  $\mu=0$  are explained at  $\beta_g=2 N_c/g^2 < 4$ .
  - Compatible with empiricals.



Nakano et al. ('11)



Miura et al., in prep.

# Beyond the mean field approximation

- Constant auxiliary field → Fluctuating auxiliary field

$$S_{\text{eff}} = S_F^{(t)} + \sum_x m_x M_x + \frac{L^3}{4N_c} \sum_{\mathbf{k}, \tau} f(\mathbf{k}) \left[ |\sigma_{\mathbf{k}, \tau}|^2 + |\pi_{\mathbf{k}, \tau}|^2 \right]$$

$$m_x = m_0 + \frac{1}{4N_c} \sum_j \left( (\sigma + i\varepsilon\pi)_{x+\hat{j}} + (\sigma + i\varepsilon\pi)_{x-\hat{j}} \right)$$

$$f(\mathbf{k}) = \sum_j \cos k_j \quad \varepsilon = (-1)^{x_0+x_1+x_2+x_3}$$

- Auxiliary fields can be integrated out using MC technique (Auxiliary Field Monte-Carlo (AFMC) method)

- ◆ Another method: Monomer-Dimer-Polymer simulation

*Mutter, Karsch ('89), de Forcrand, Fromm ('10), de Forcrand, Unger ('11)*

- Bosonization of “repulsive” mode: Extended HS transf.

→ Introducing “ $i$ ” leads to the complex Fermion determinant.

*Miura, Nakano, AO (09), Miura, Nakano, AO, Kawamoto (09)*

- Weight cancellation from low momentum modes is small, due to the  $\varepsilon$  factor.

# Origin of the sign problem in AFMC

## Extended Hubbard-Stratonovich transformation

*Miura, Nakano, AO ('09), Miura, Nakano, AO, Kawamoto ('09)*

$$\begin{aligned} e^{\alpha AB} &= \int d\phi d\varphi e^{-\alpha [(\phi + (A+B)/2)^2 + (\varphi + i(A-B)/2)^2 - AB]} \\ &= \int d\phi d\varphi e^{-\alpha [\phi^2 + \varphi^2 + \phi(A+B) + i\varphi(A-B)]} \end{aligned}$$

Complex

We need “i” to bosonize product of different kind.  
→ Fermion determinant becomes complex.

## Bosonization in AFMC in the strong coupling limit

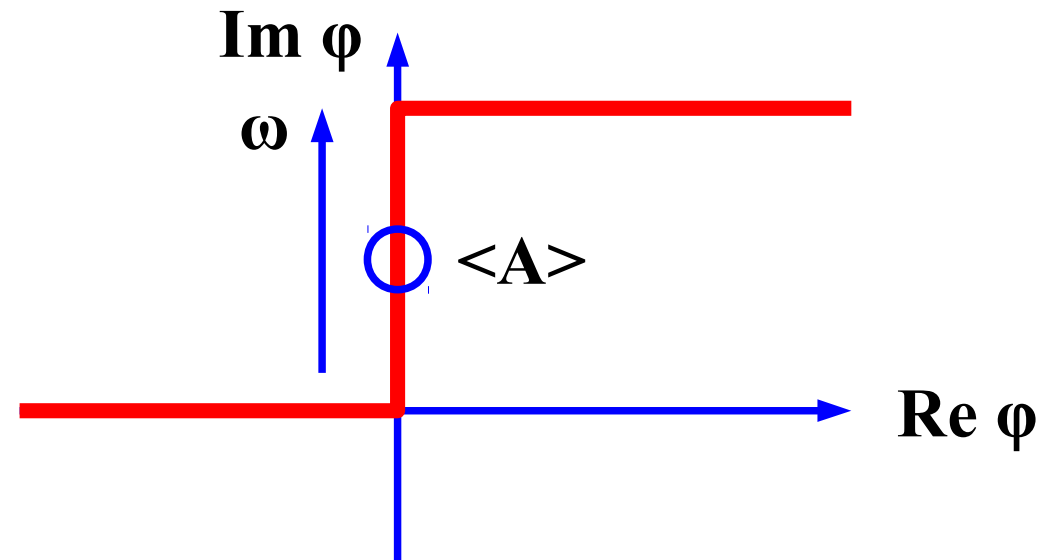
$$\begin{aligned} &\exp \{ \alpha f(\mathbf{k}) [M_{-\mathbf{k},\tau} M_{\mathbf{k},\tau} - M_{-\bar{\mathbf{k}},\tau} M_{\bar{\mathbf{k}},\tau}] \} \\ &= \int d\sigma_{\mathbf{k},\tau} d\sigma_{\mathbf{k},\tau}^* d\pi_{\mathbf{k},\tau} d\pi_{\mathbf{k},\tau}^* \exp \{ -\alpha f(\mathbf{k}) [|\sigma_{\mathbf{k},\tau}|^2 + |\pi_{\mathbf{k},\tau}|^2 \\ &\quad + \sigma_{\mathbf{k},\tau}^* M_{\mathbf{k},\tau} + M_{-\mathbf{k},\tau} \sigma_{\mathbf{k},\tau} - i\pi_{\mathbf{k},\tau}^* M_{\bar{\mathbf{k}},\tau} - iM_{-\bar{\mathbf{k}},\tau} \pi_{\mathbf{k},\tau}] \} \end{aligned}$$



# Repulsive interaction in Mean Field Approximation

## ■ Mean field treatment of repulsive interaction

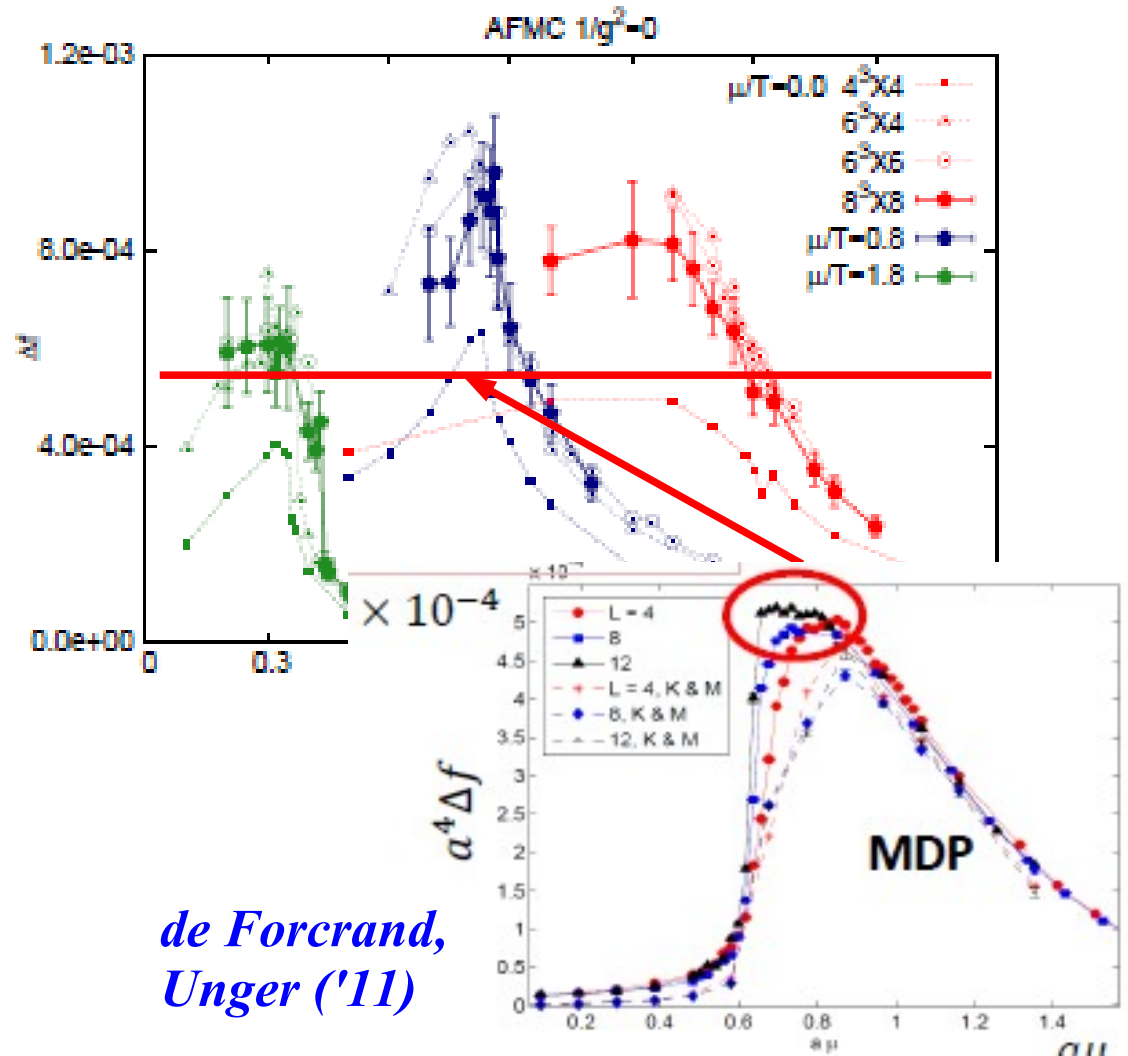
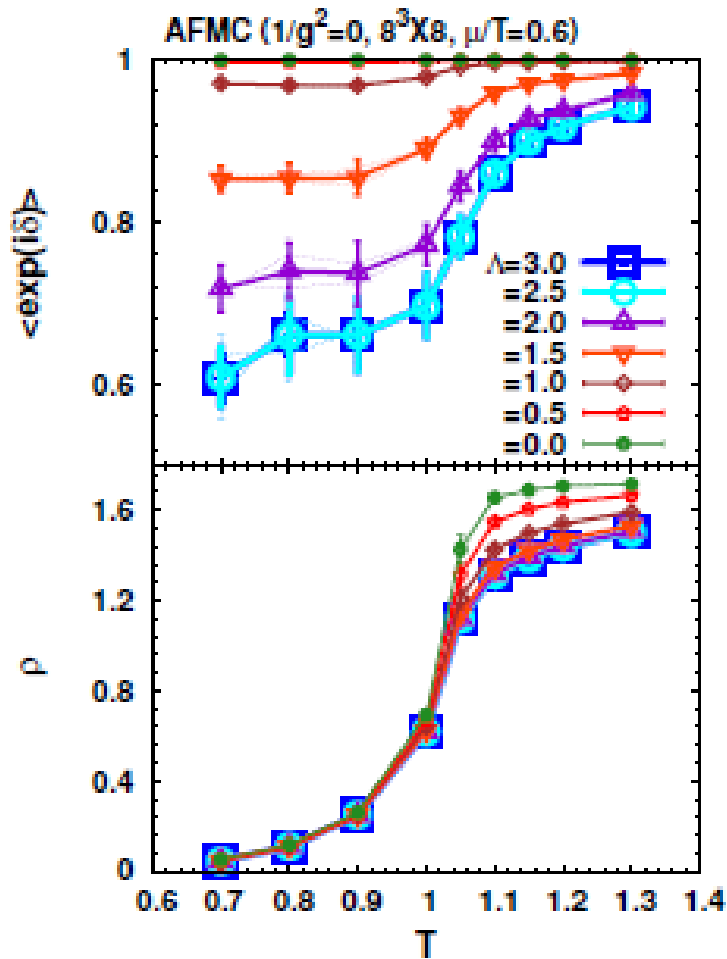
$$e^{-\alpha A^2} = \int d\varphi \exp\left(-\alpha[\varphi^2 + 2i\varphi A]\right)$$
$$\simeq \exp\left(\alpha[\omega^2 - 2\omega A]\right) \quad (\varphi = i\omega, \quad \omega = \langle A \rangle)$$



# How serious is the weight cancellation ?

## Statistical weight cancellation in AFMC

$$\langle \exp(i\delta) \rangle \equiv \exp(-\Omega \Delta f) \quad , \quad \Omega = \text{space-time volume}$$



de Forcrand,  
Unger ('11)

$$\text{cut-off } \sum \sin^2 k_j > \Lambda$$

Ichihara, Nakano, AO, PoS Lattice2013 (2013), to appear

# Monomer-Dimer-Polymer simulation

- The partition function of LQCD can be given as the sum of monomer-dimer-polymer (MDP) configuration weight.

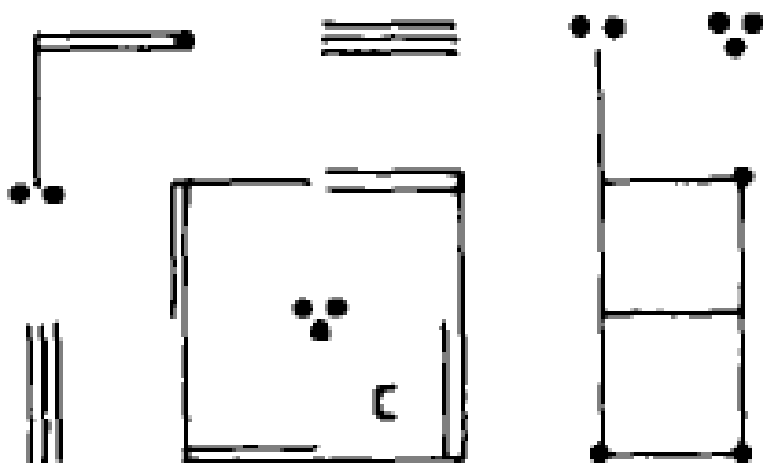
The sign problem is mild.

*Karsch, Mutter ('89)*

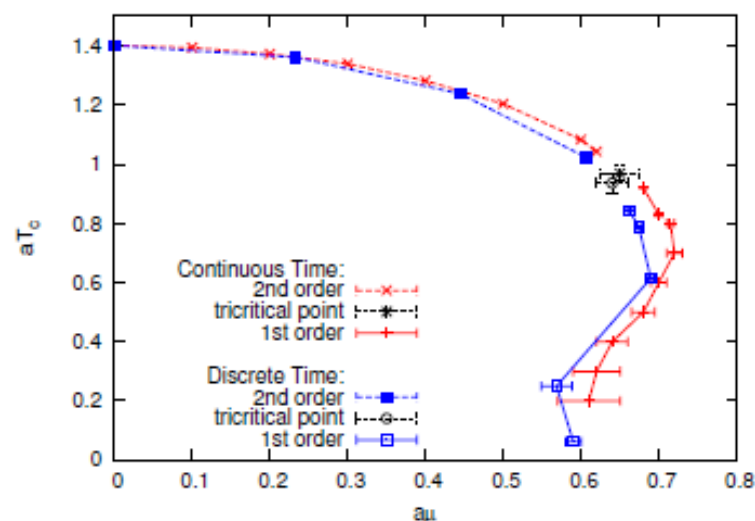
$$Z(2ma, \mu, r) = \sum_K w_K$$

$$w_K = (2ma)^{N_M} r^{2N_t} (1/3)^{N_1 N_2} \prod_x w(x) \prod_C w(C)$$

- MDP with worm algorithm is applied to study the phase diagram *de Forcrand, Fromm ('10), de Forcrand, Unger ('11)*



*Karsch, Mutter ('89)*



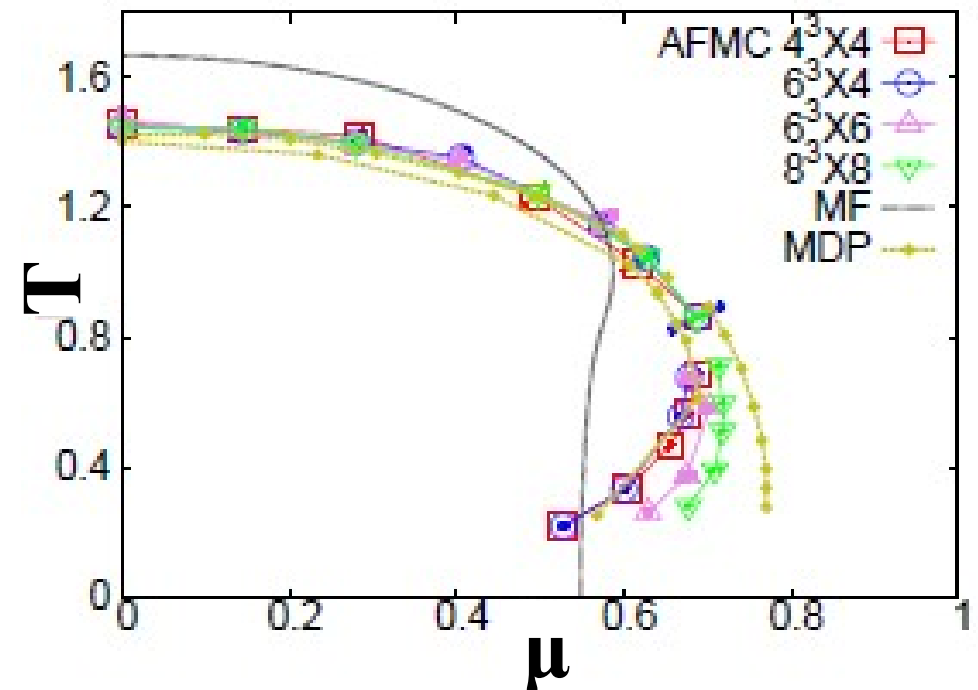
*de Forcrand, Unger ('11)*

# Phase diagram

## ■ AFMC phase diagram

- Reduction of  $T_c$  at  $\mu=0$  and enlarged hadron phase at medium  $T$  compared with the mean field results.
- Quantitatively consistent with MDP simulation, if extrapolated to  $N\tau \rightarrow \infty$   
*de Forcrand, Fromm ('09); de Forcrand, Unger ('11)*
- Spatial size dependence is small.

→ Close to the final answer to the phase boundary in the strong coupling limit !



*Ichihara, Nakano, AO, PoS Lattice2013 (2013), to appear*

# Discussion: Comparison with Brute Force Simulation

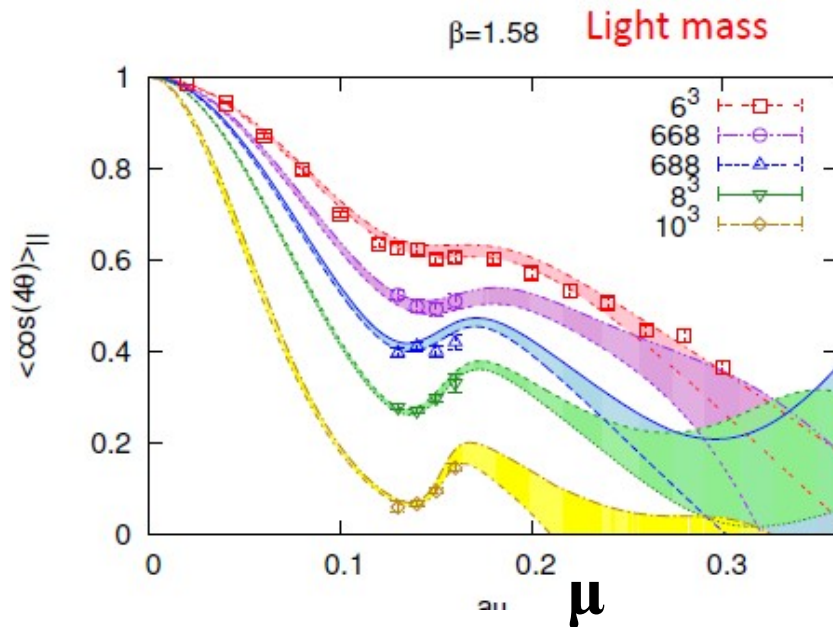
## ■ Lattice MC simulation at finite $\mu$ and **finite $\beta$** with $N_f=4$

*Takeda et al. ('13)*

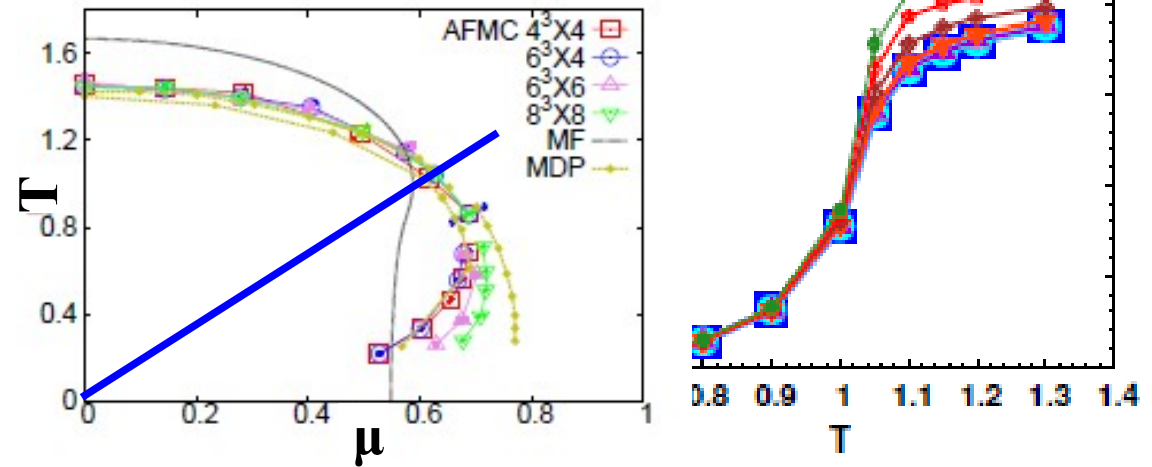
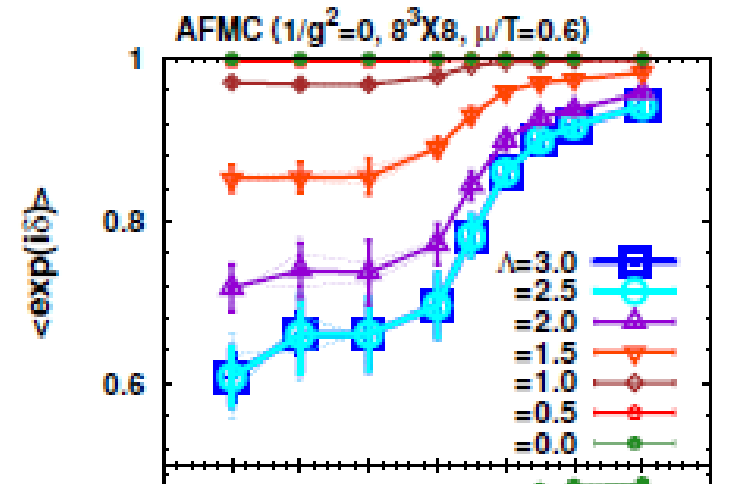
- Ave. Phase Factor  $\sim 0.3$  at  $a\mu \sim 0.15$  ( $8^3 \times 4$ ,  $a\mu_c = am_\pi/2 \sim 0.7$ )

## ■ AFMC

- Ave. Phase Factor  $\sim 0.6$  around the transition ( $8^4$ , **SCL**)



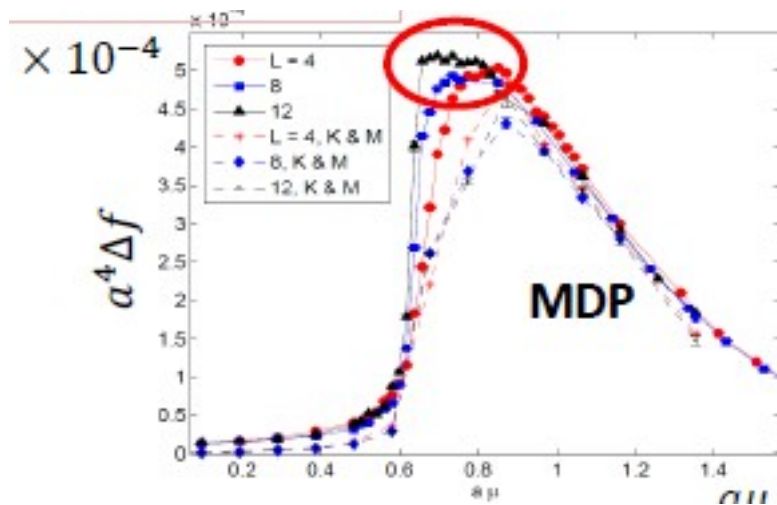
*Takeda, Jin, Kuramashi, Y.Nakamura, Ukawa, Lattice 2013*  $a\mu_c = am_\pi/2 \sim 0.7$



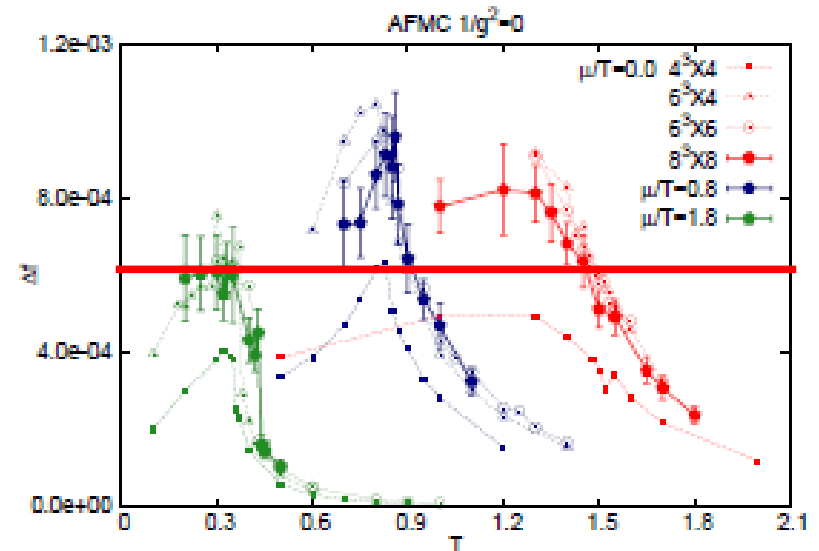
*Ichihara, Nakano, AO, Lattice 2013*

# Discussion: Comparison with MDP

- MDP simulation on anisotropic lattice at finite  $T$  and  $\mu$   
*de Forcrand, Fromm ('10), de Forcrand, Unger ('11)*
  - Strong coupling limit.  
Including finite coupling effects is not straightforward.
  - Includes higher-order terms in  $1/d$  expansion  
(spatial baryon hopping, meson-meson interaction)
  - No sign problem in the continuous time limit ( $N\tau \rightarrow \infty$ ).



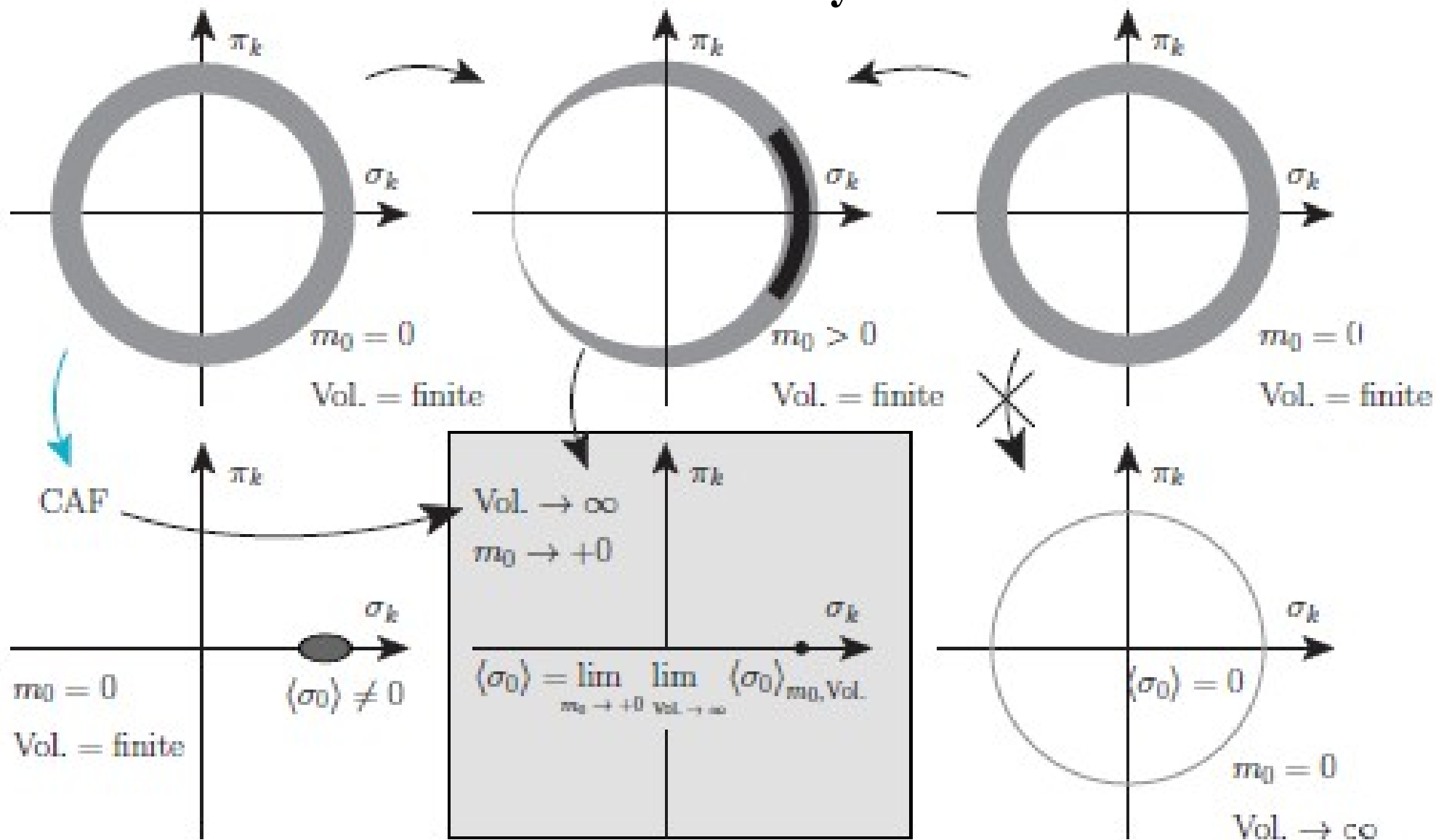
*de Forcrand, Unger ('11)*



*Ichihara, Nakano, AO ('13)*

# Chiral Angle Fixing

How can we simulate correct thermodynamic chiral limit ?



# Summary

---

- **Strong coupling lattice QCD is a promising tool in finite density lattice QCD.**
  - **Strong coupling limit + finite coupling correction + Polyakov loop → MC results of  $T_c$  is roughly reproduced.**
  - **Sign problem could be solved in the strong coupling limit. Two independent methods show the same phase boundary, and the spatial size dependence is small.**  
(Monomer-dimer-polymer simulation, Auxiliary field MC)
- **Challenge**
  - **Finite coupling + Fluctuations** *Unger et al. ('13)*  
**Different type of Fermion**  
*Minimally doubled fermion, Misumi, Kimura, AO ('12)*  
**Higher order terms in  $1/d$  expansion,**  
....