

# Dynamics of Non-Gaussianity in Heavy Ion Collisions

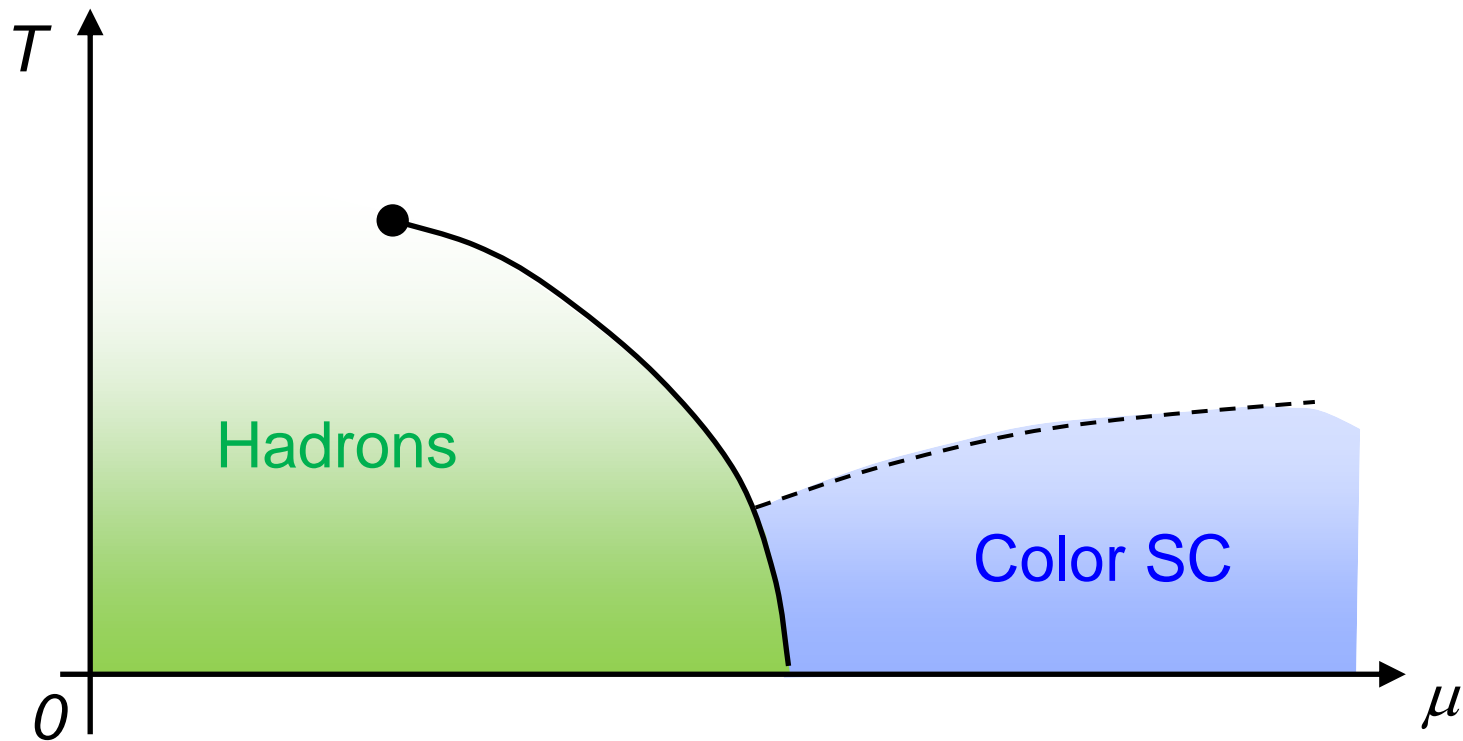
Masakiyo Kitazawa  
(Osaka U.)

MK, Asakawa, PRC85,021901C(2012); PRC86, 024904(2012)

MK, Asakawa, Ono, arXiv:1307.2978

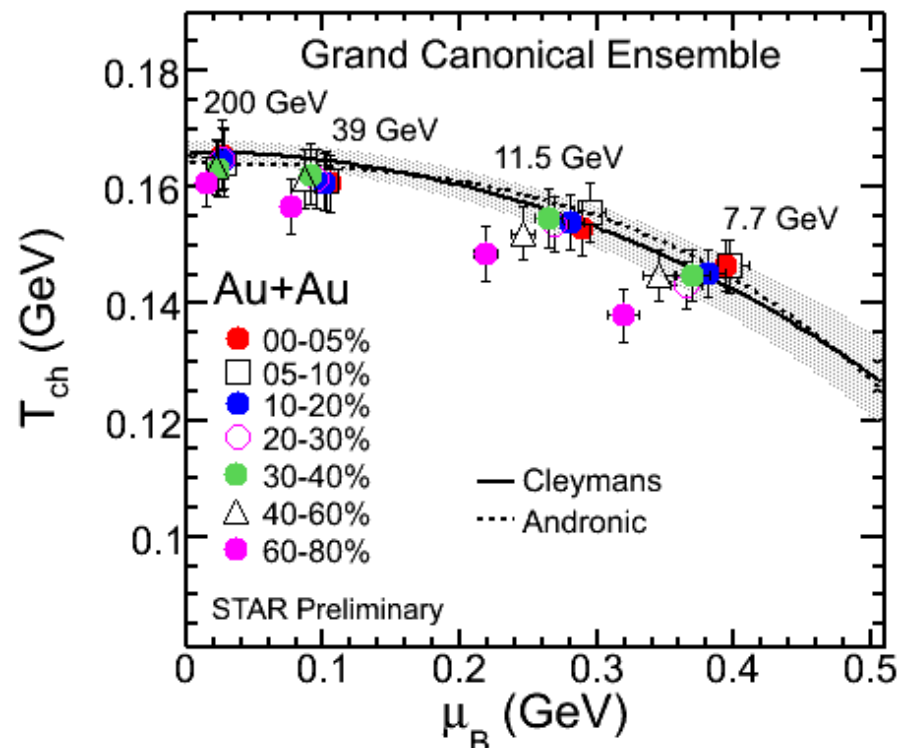
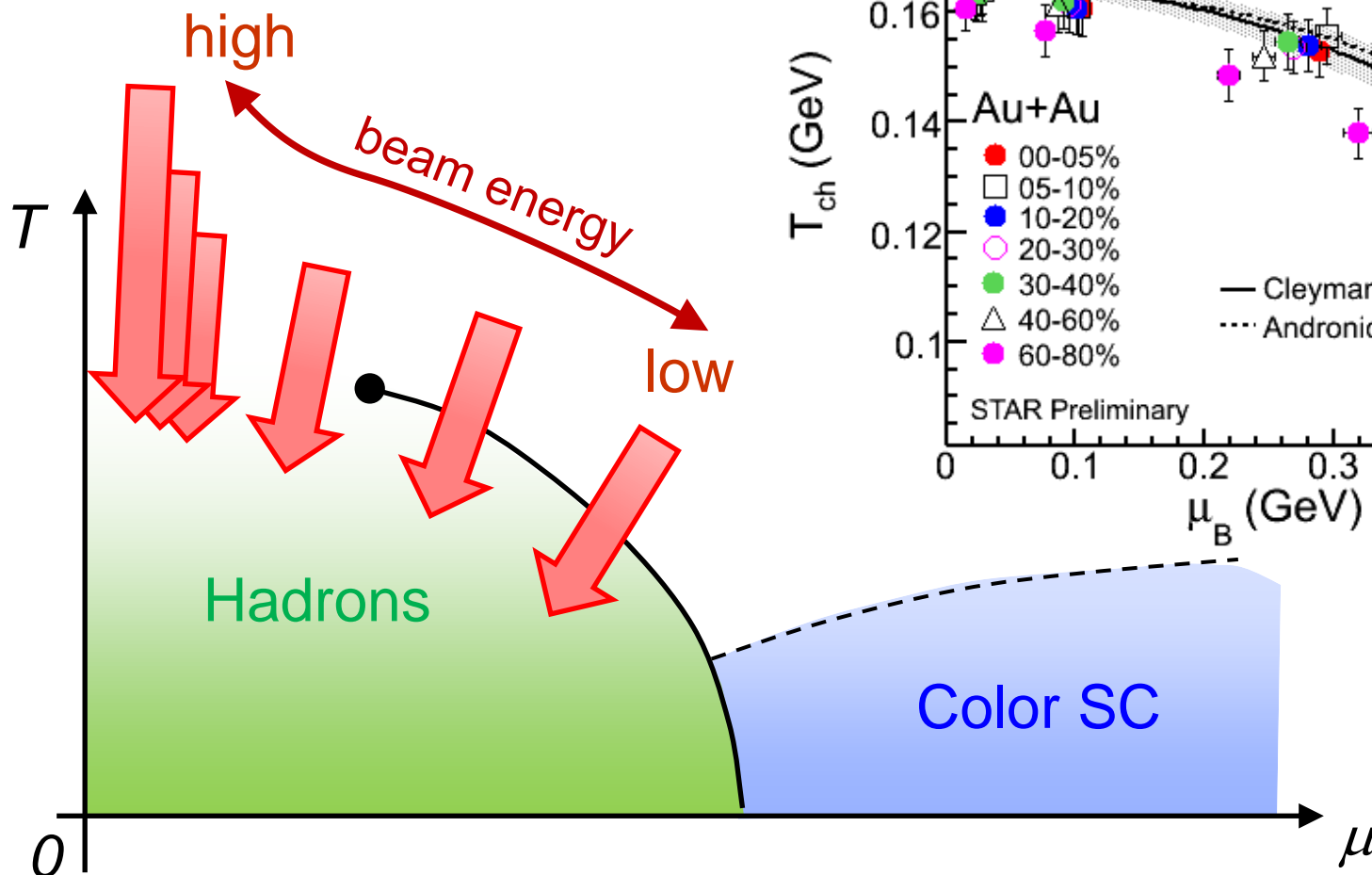
NFQCD, YITP, Kyoto, 27/Nov./2013

# Beam-Energy Scan



# Beam-Energy Scan

STAR 2012



# Fluctuations

- Fluctuations reflect properties of matter.

- Enhancement near the critical point

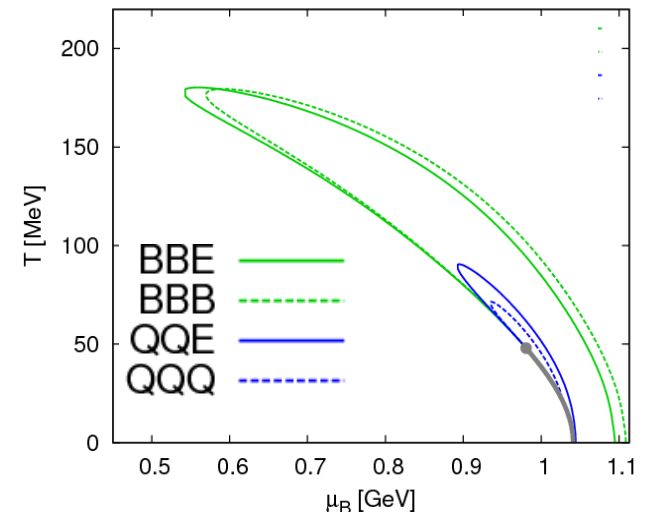
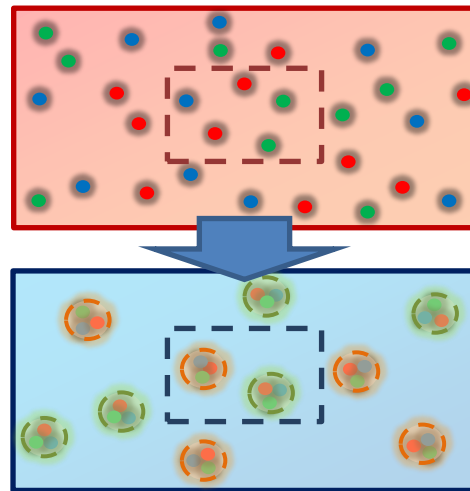
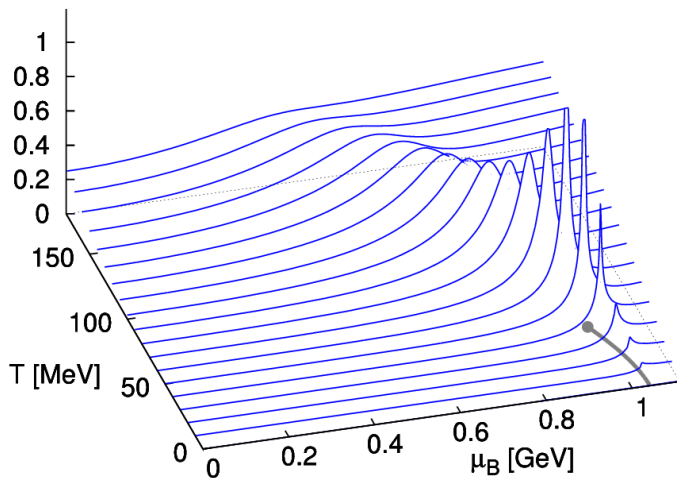
Stephanov,Rajagopal,Shuryak('98); Hatta,Stephanov('02); Stephanov('09);...

- Ratios between cumulants of conserved charges

Asakawa,Heinz,Muller('00); Jeon, Koch('00); Ejiri,Karsch,Redlich('06)

- Signs of higher order cumulants

Asakawa,Ejiri,MK('09); Friman,et al.('11); Stephanov('11)

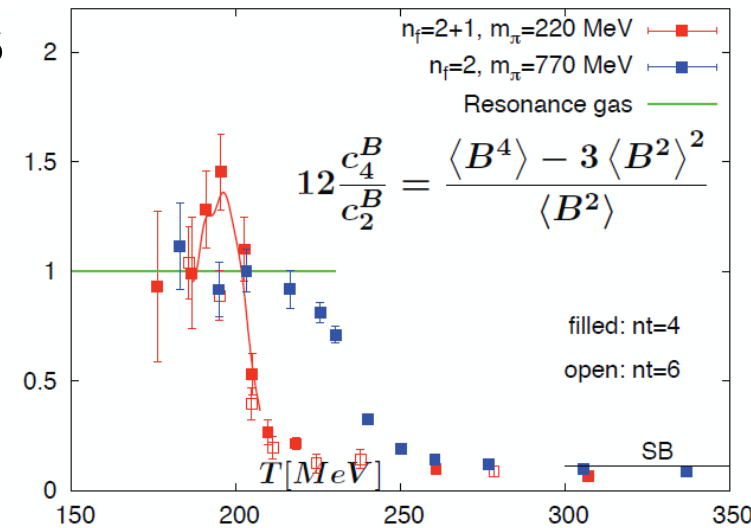
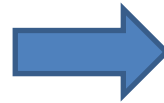


# Conserved Charges : Theoretical Advantage

## □ Definite definition for operators

- as a Noether current
- calculable on any theory

ex: on the lattice

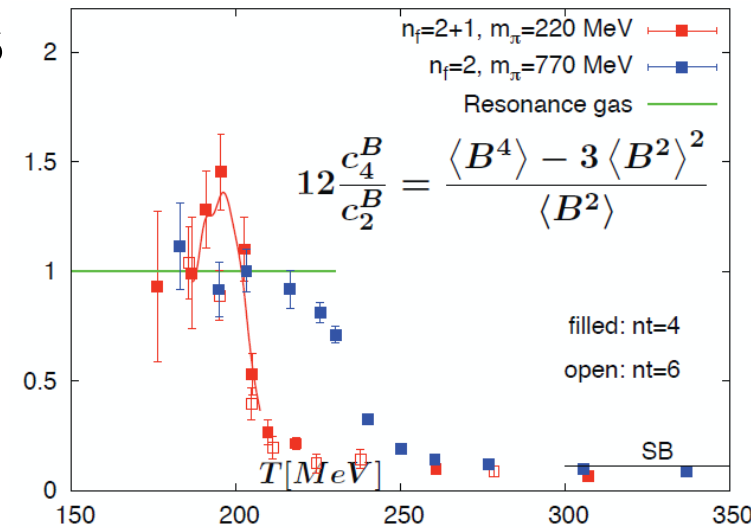


# Conserved Charges : Theoretical Advantage

## □ Definite definition for operators

- as a Noether current
- calculable on any theory

ex: on the lattice →



## □ Simple thermodynamic relations

$$\langle \delta N_c^n \rangle = \frac{1}{VT^{n-1}} \frac{\partial^n \Omega}{\partial \mu_c^n}$$

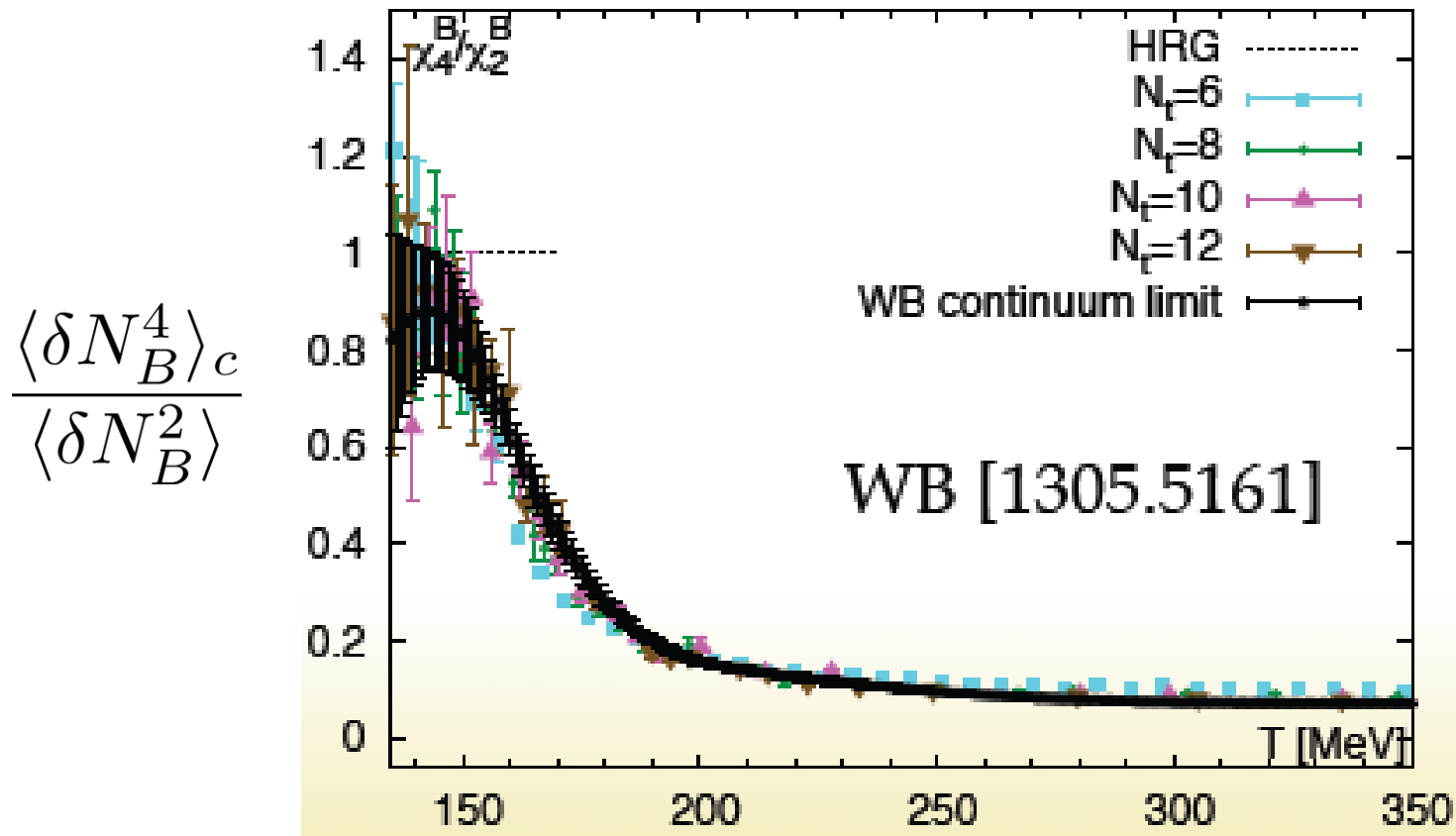
- Intuitive interpretation for the behaviors of cumulants

ex:  $\langle \delta N_B^3 \rangle = \frac{1}{VT^2} \frac{\partial \langle \delta N_B^2 \rangle}{\partial \mu_B}$



Asakawa, Ejiri, MK, 2009

# Conserved Charge Fluctuations

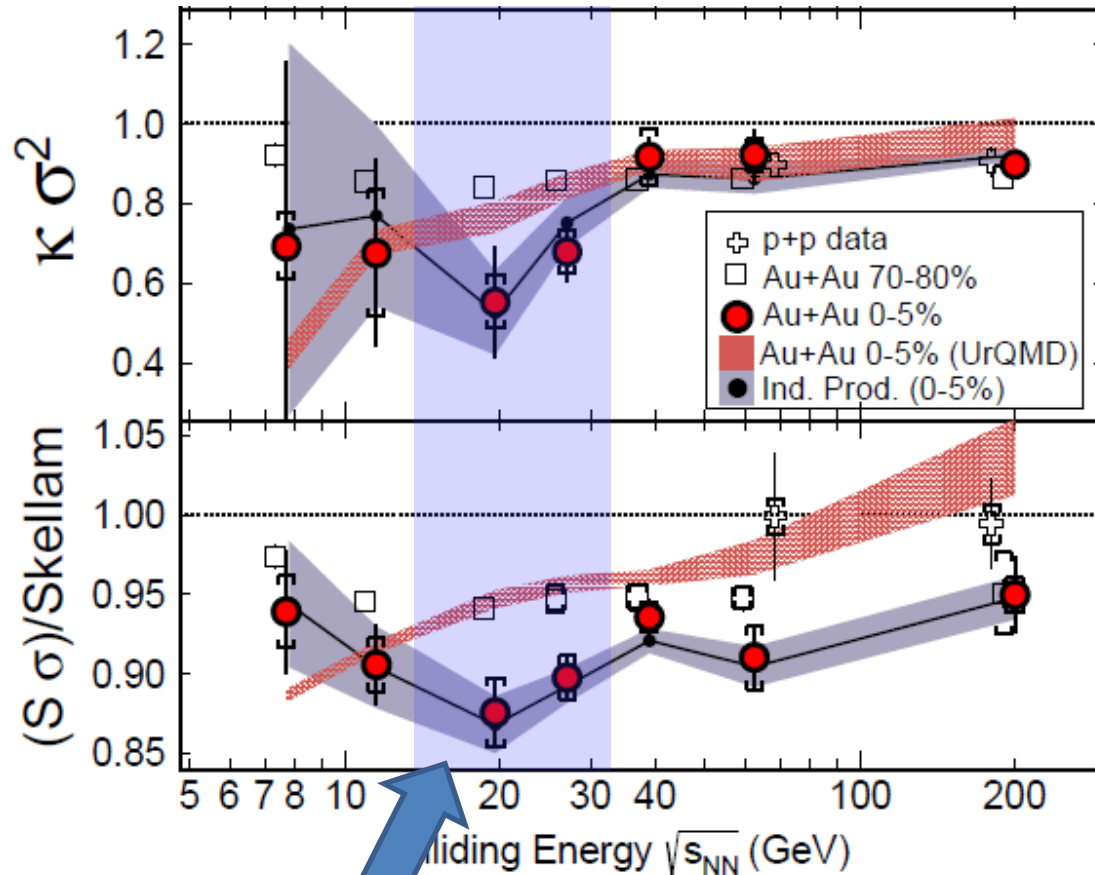


Cumulants of  $N_B$  and  $N_Q$  are **suppressed** at high  $T$ .

Asakawa, Heinz, Muller, 2000; Jeon, Koch, 2000;  
 Ejiri, Karsch, Redlich, 2006; Asakawa, Ejiri, MK, 2009;  
 Friman, et al., 2011; Stephanov, 2011

# Proton # Cumulants @ STAR-BES

STAR, 1309.5681



$$\frac{C_4}{C_2}$$

$$\frac{C_3}{C_1} = \frac{C_3/C_2}{\text{Poissonian}}$$

Something interesting??



**CAUTION!**

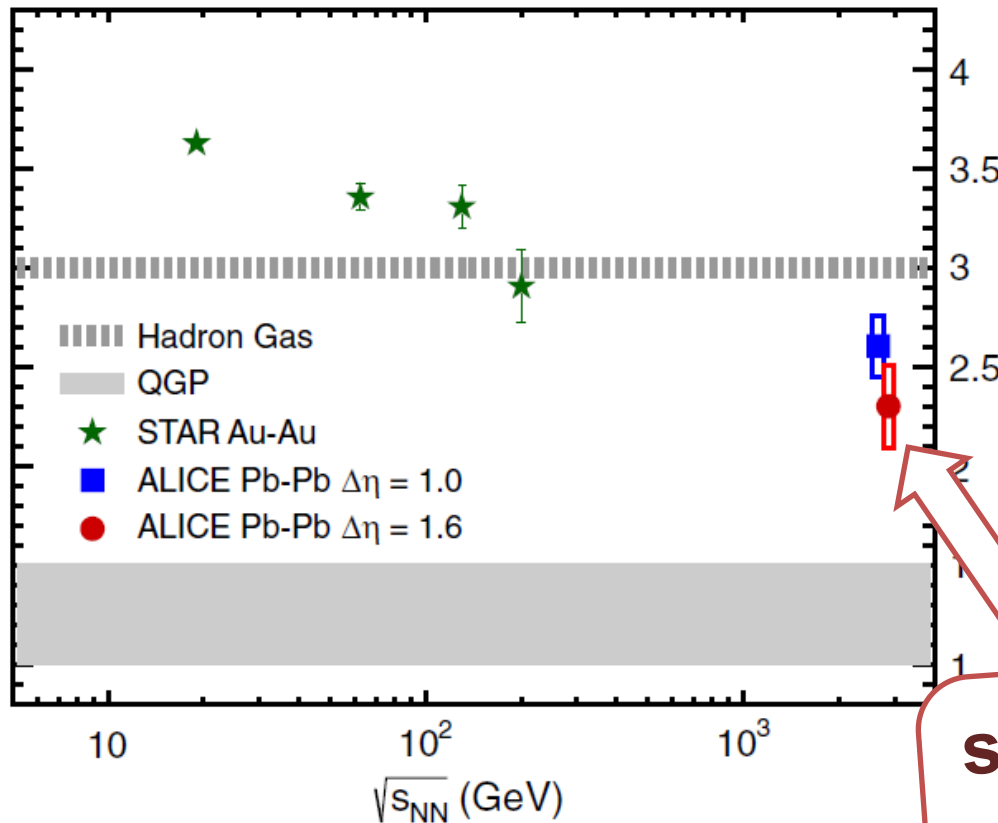
proton number  $\neq$  baryon number

MK, Asakawa, 2011;2012



# Charge Fluctuation @ LHC

ALICE, PRL110,152301(2013)



D-measure

$$D = 4 \frac{\langle \delta N_Q^2 \rangle}{\langle N_Q^+ + N_Q^- \rangle}$$

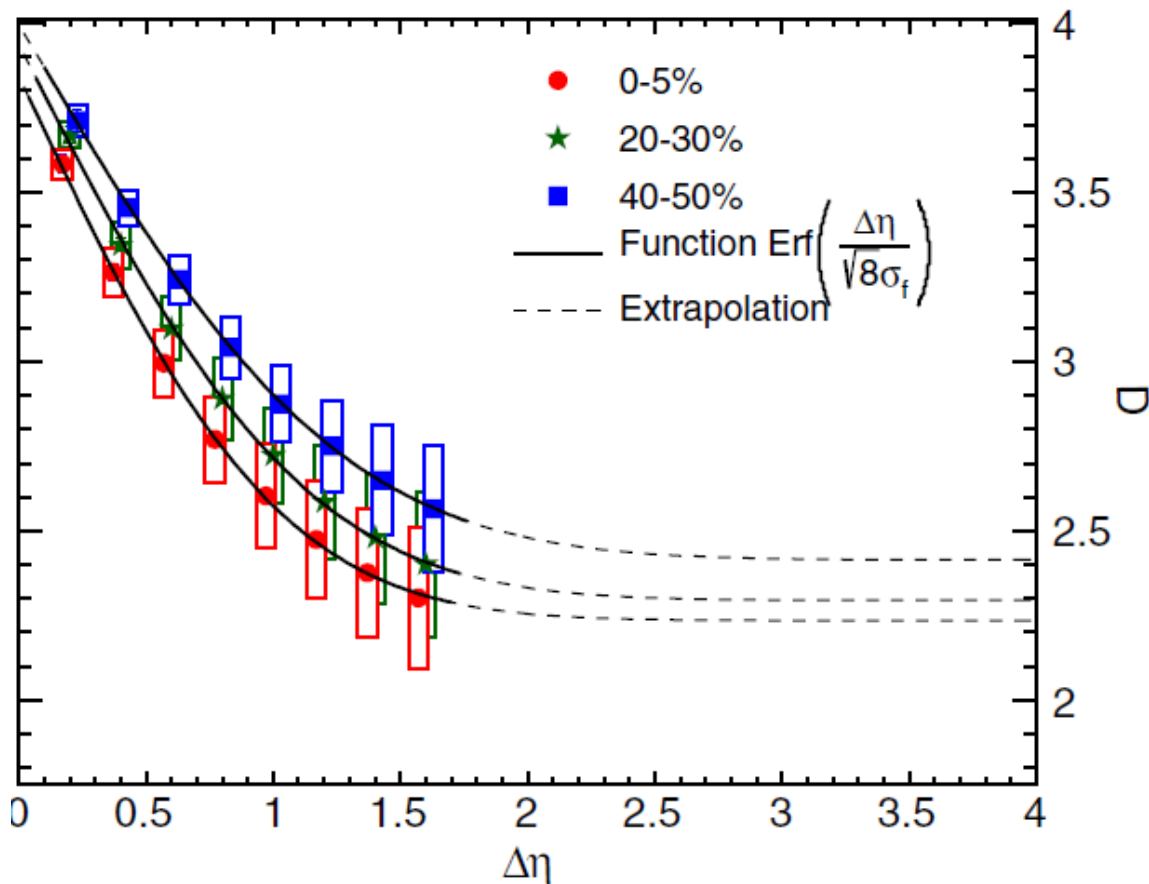
- $D \sim 3-4$  Hadronic
- $D \sim 1-1.5$  Quark

**significant suppression  
from hadronic value  
at LHC energy!**

$\langle \delta N_Q^2 \rangle$  is not equilibrated at freeze-out at LHC energy!

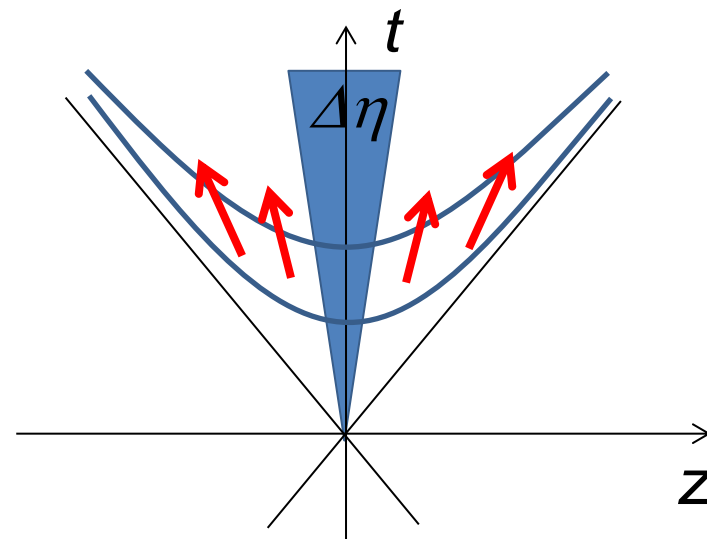
# $\Delta\eta$ Dependence @ ALICE

ALICE  
PRL 2013

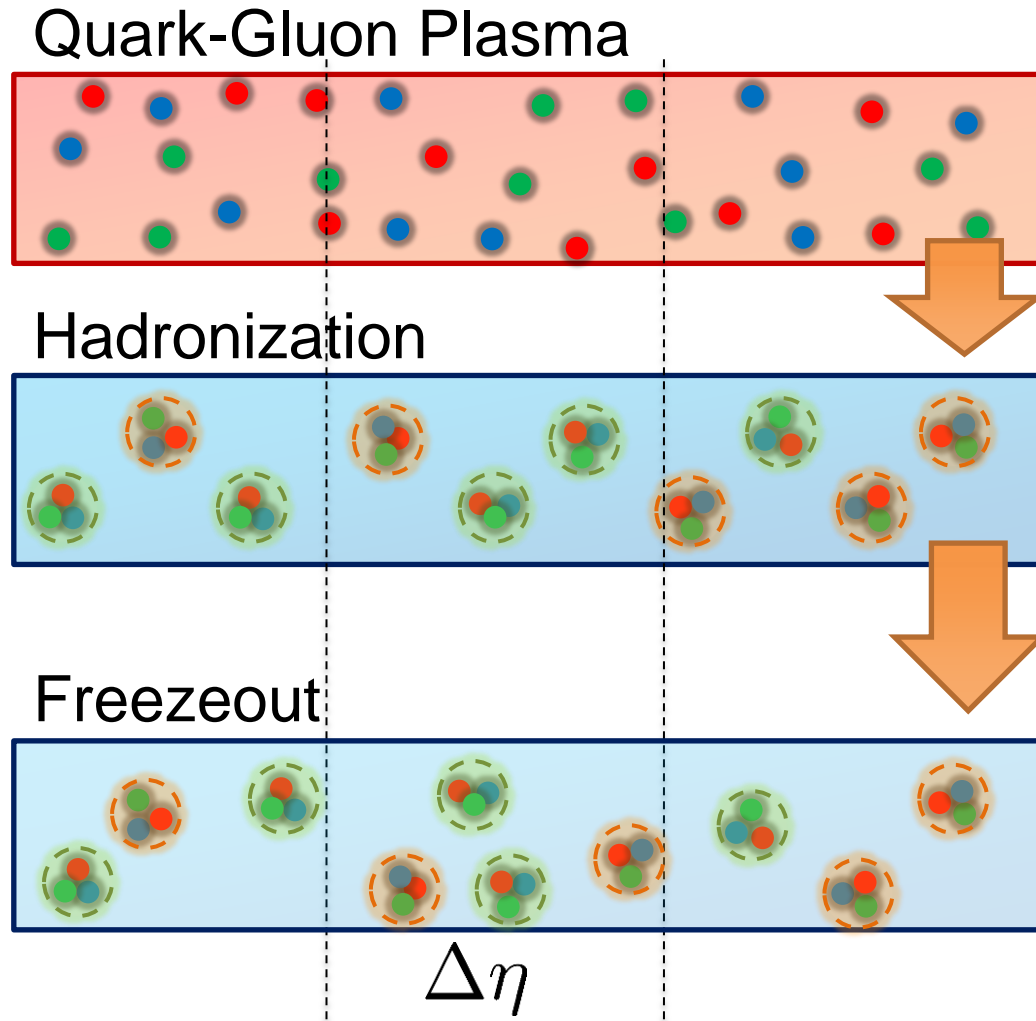


$\Delta\eta$

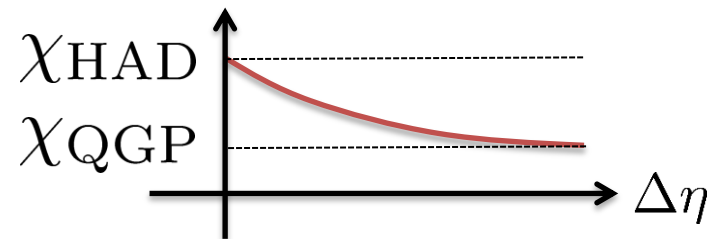
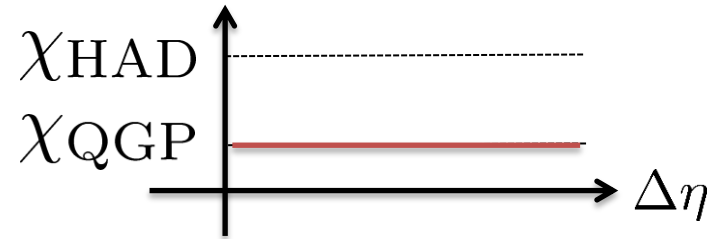
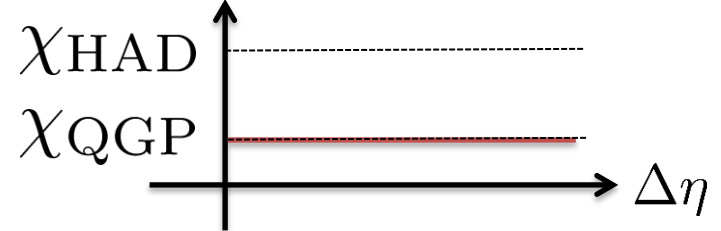
rapidity window



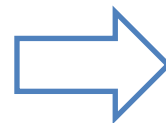
# Time Evolution of Fluctuations



$$\frac{\langle \Delta N^2 \rangle}{\Delta\eta}$$



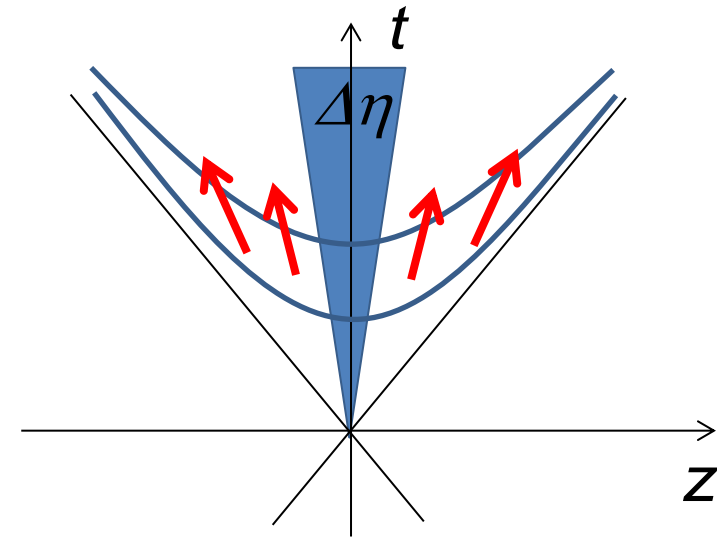
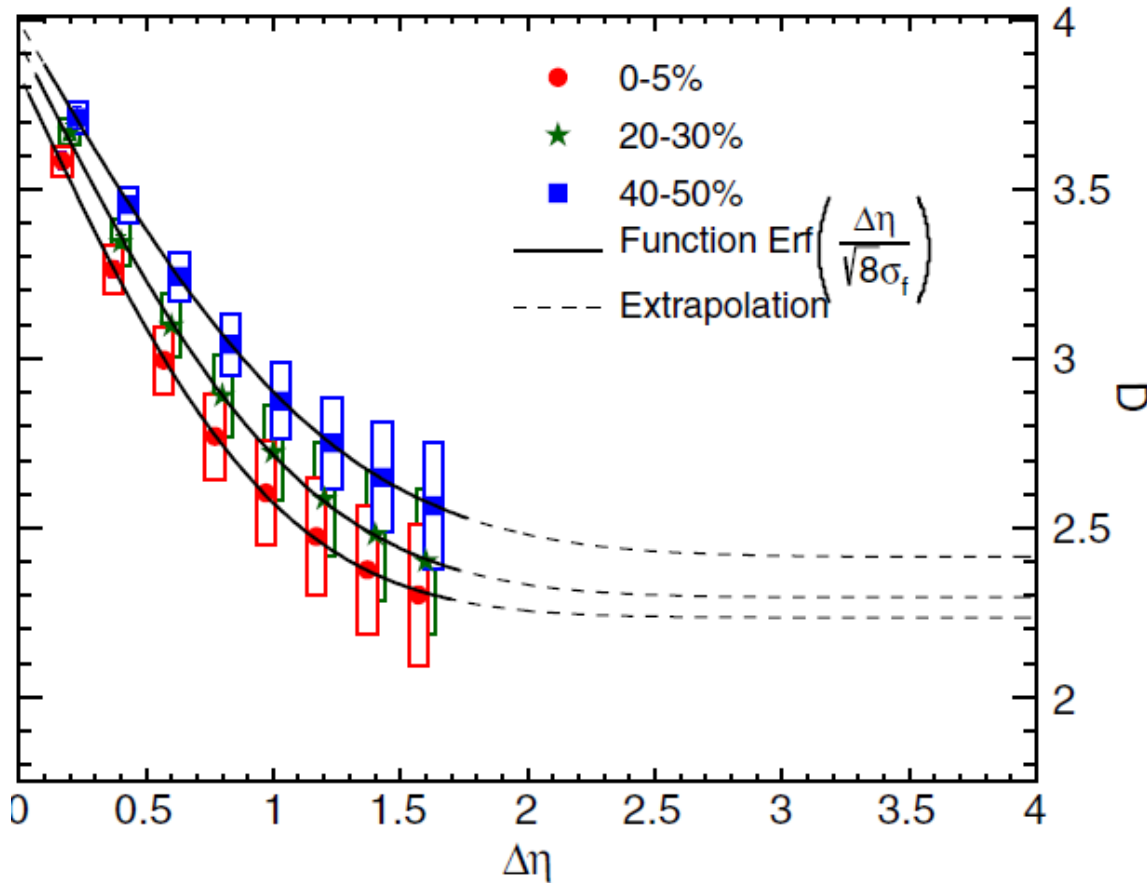
Variation of a conserved charge is achieved only through diffusion.



The larger  $\Delta\eta$ , the slower diffusion

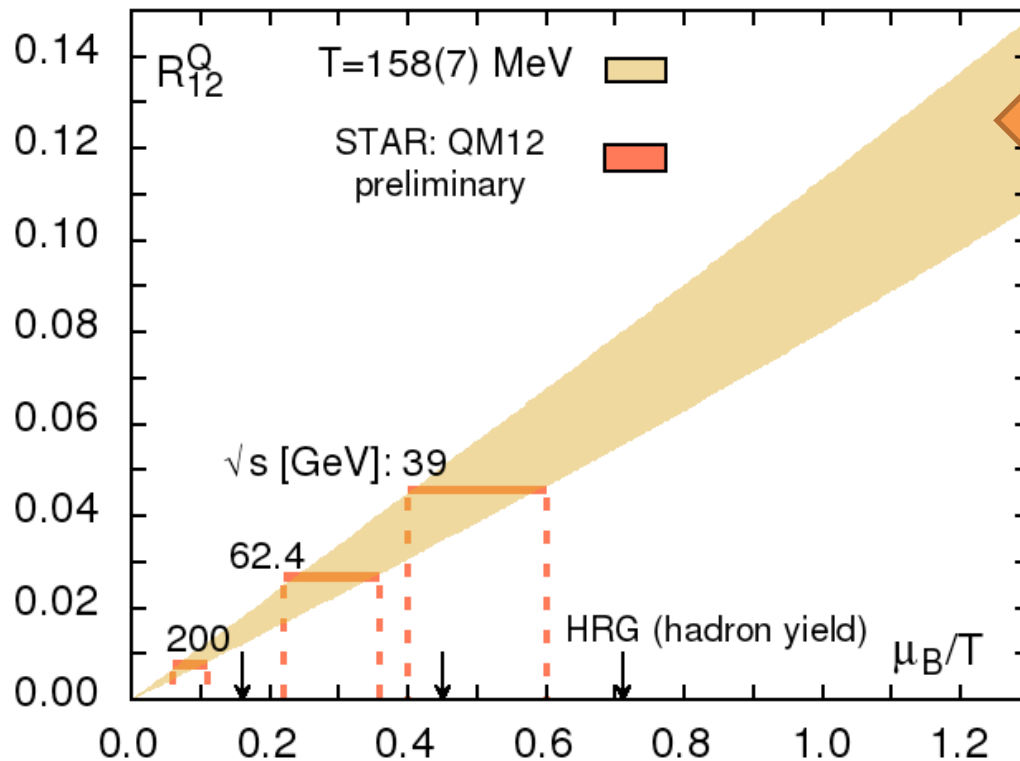
# $\Delta\eta$ Dependence @ ALICE

ALICE  
PRL 2013



$\Delta\eta$  dependences of fluctuation observables  
encode history of the hot medium!

# Cumulants : HIC@RHIC vs Lattice



parameter window  
constrained by lattice

HotQCD,  
LATTICE2013

fluctuations  
“exp + lattice”

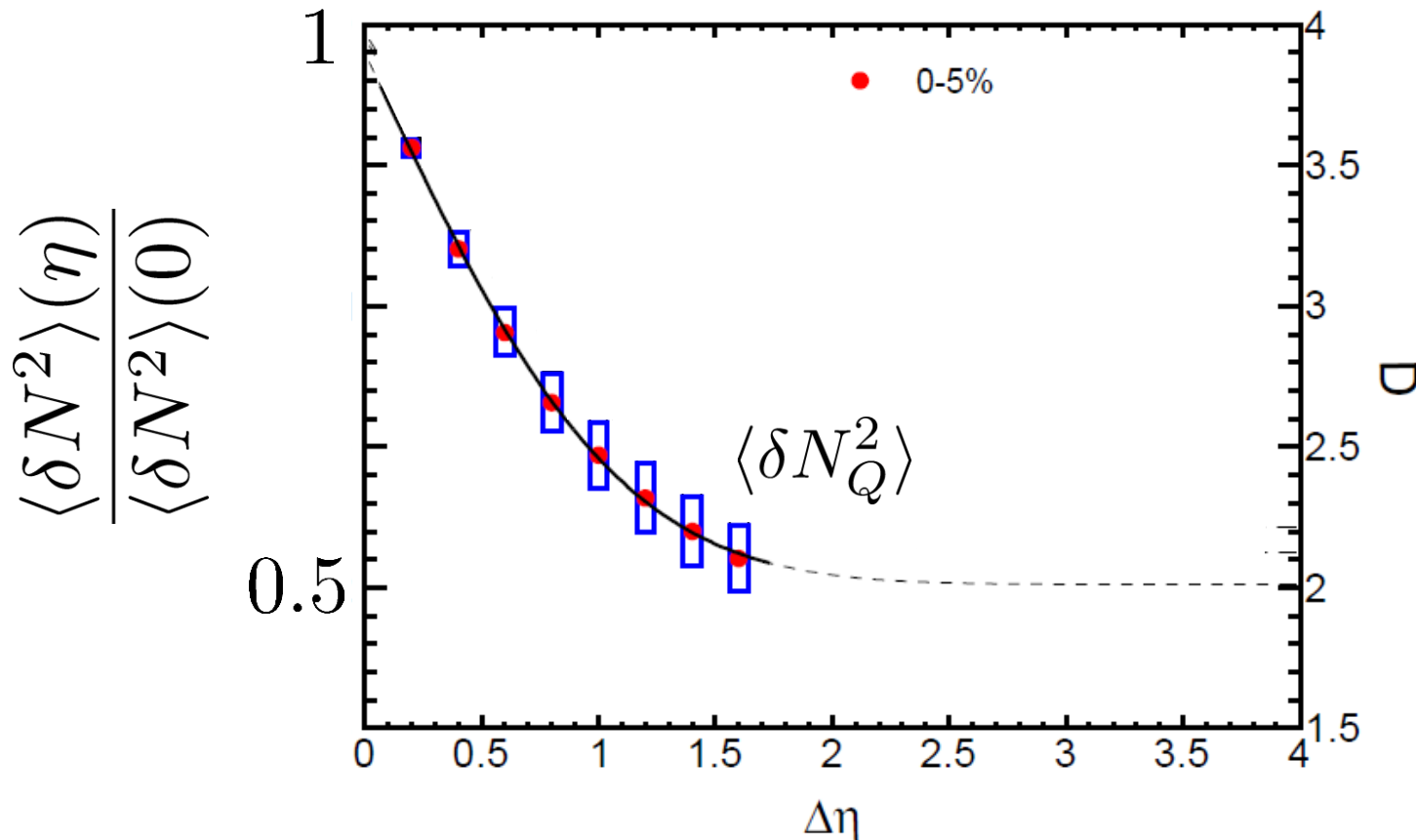
$\mu/T$   
discrepancy

particle abundance  
(chem. freezeout  $T$ )

# $\langle \delta N_B^2 \rangle$ and $\langle \delta N_p^2 \rangle$ @ LHC ?

$$\langle \delta N_Q^2 \rangle, \langle \delta N_B^2 \rangle, \langle \delta N_p^2 \rangle$$

should have different  $\Delta\eta$  dependence.

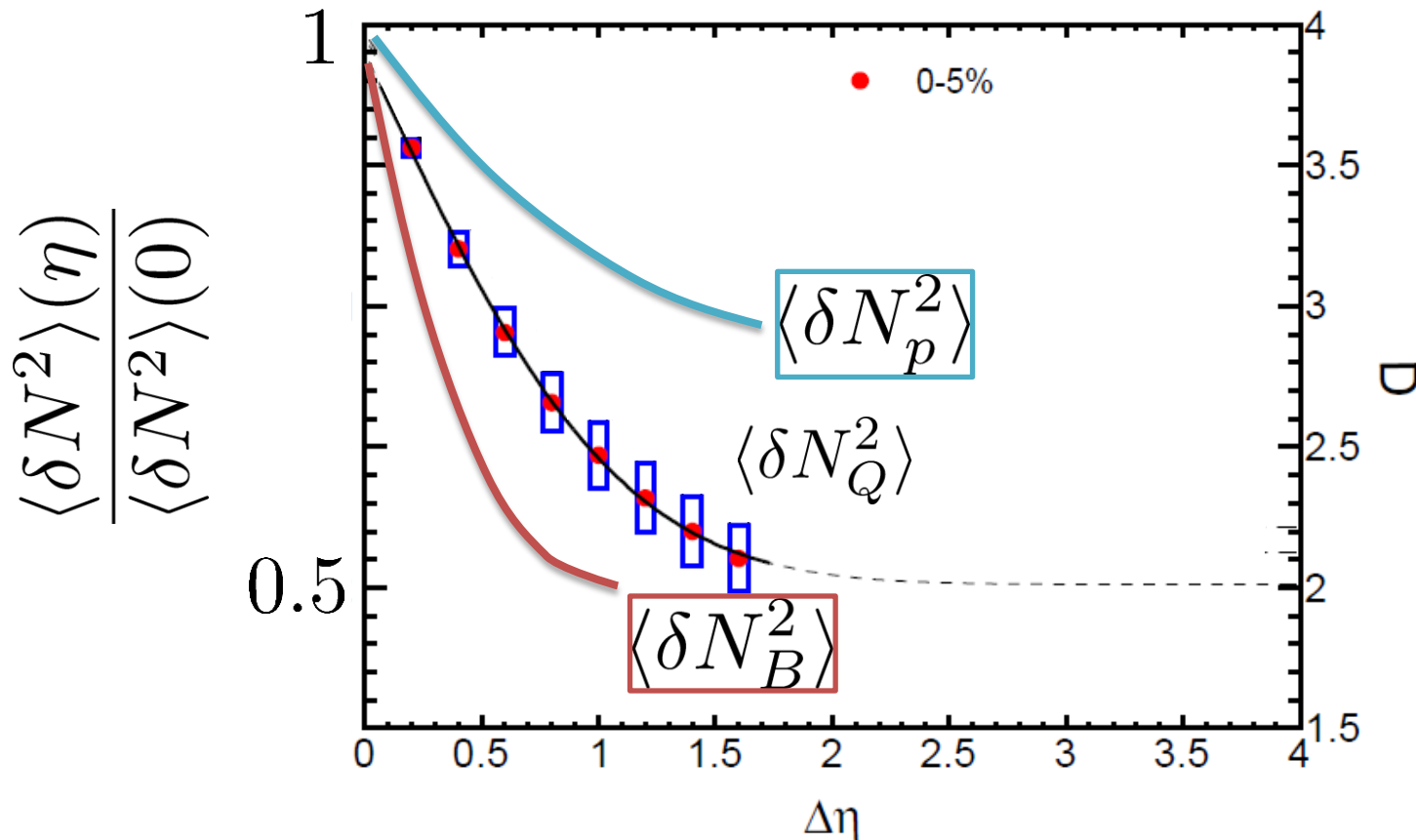


Baryon # cumulants are experimentally observable! [MK, Asakawa, 2011;2012](#)

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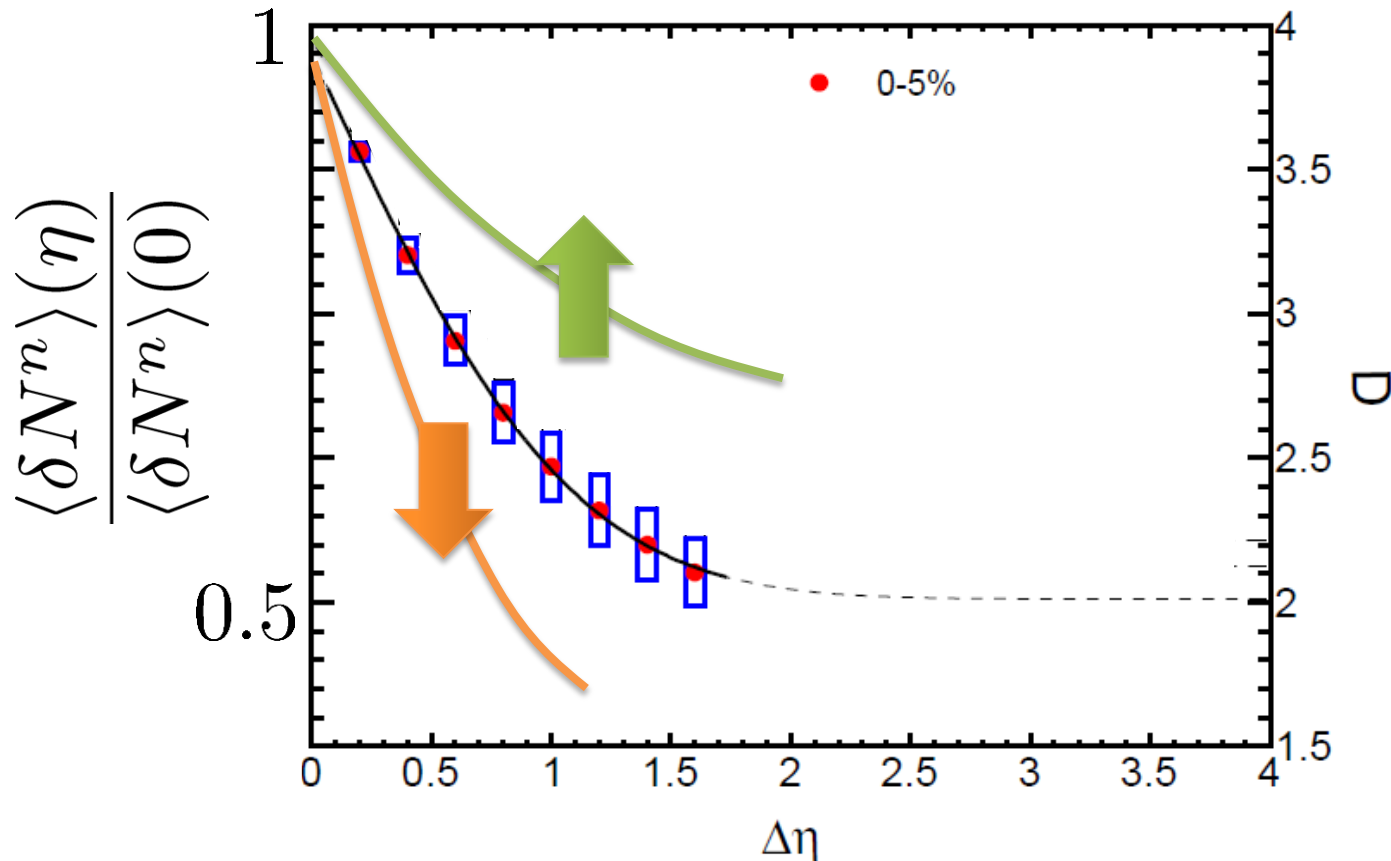
# $\langle \delta N_Q^4 \rangle$ @ LHC ?

How does  $\langle \delta N_Q^4 \rangle_c$  behave as a function of  $\Delta\eta$ ?

suppression

or

enhancement



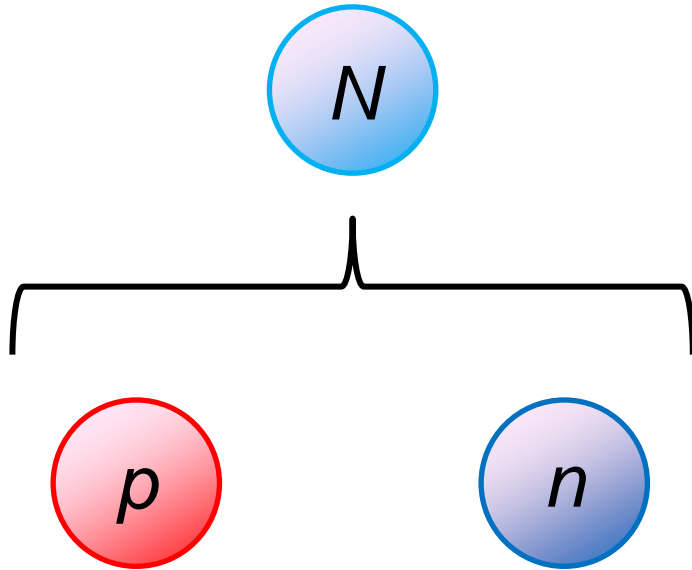


# Baryon vs Proton Number Fluctuations

MK, Asakawa, PRC85,021901C(2012); PRC86, 024904(2012)

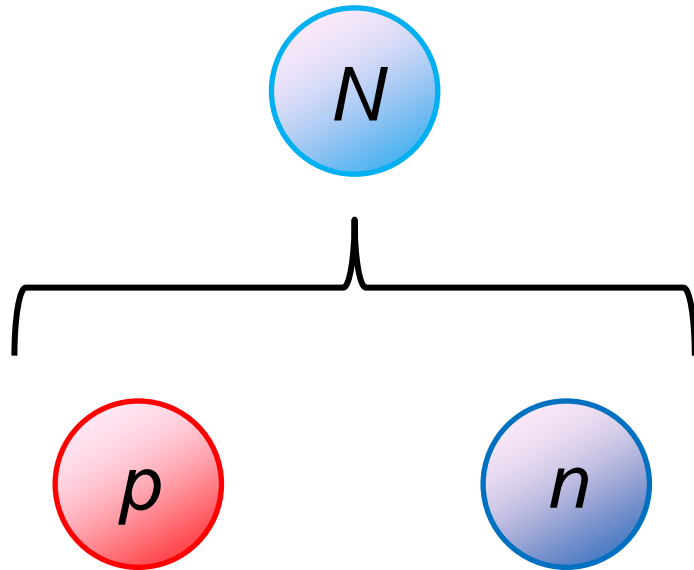
- $\frac{\langle \delta N_B^n \rangle_c}{\langle \delta N_B^m \rangle_c} \neq \frac{\langle \delta N_p^n \rangle_c}{\langle \delta N_p^m \rangle_c}$
- $\langle \delta N_B^n \rangle_c$  are experimentally observable

# Nucleon Isospin as Two Sides of a Coin

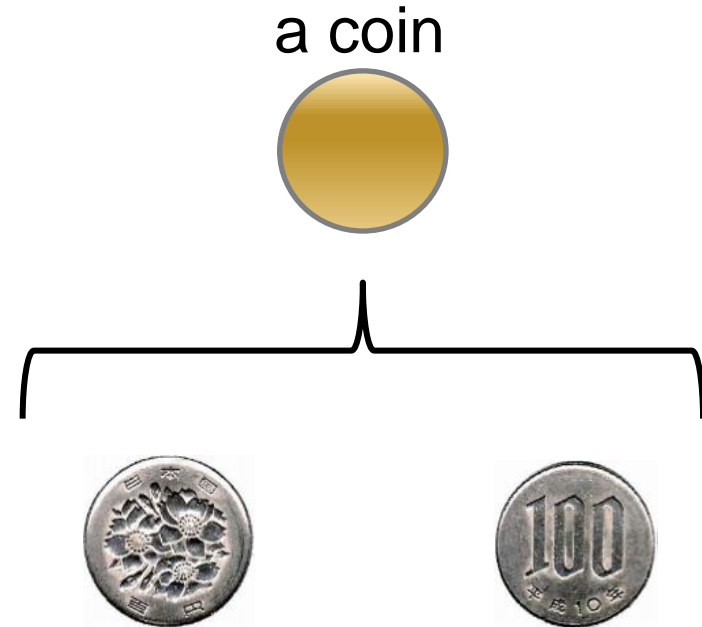


Nucleons have  
two isospin states.

# Nucleon Isospin as Two Sides of a Coin

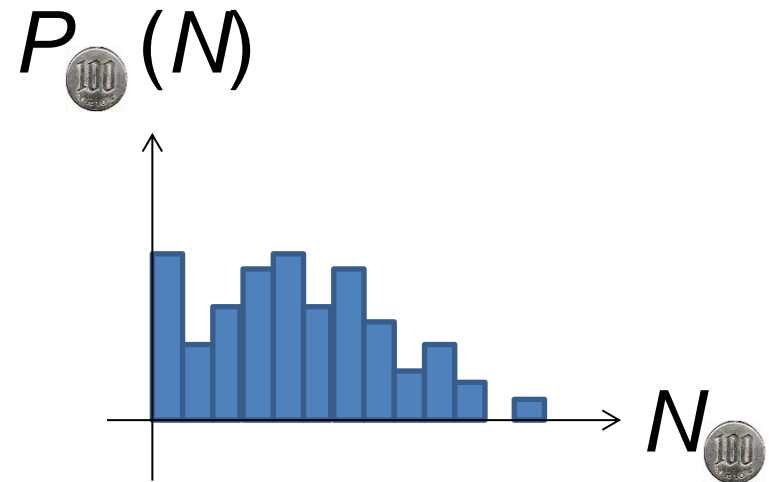
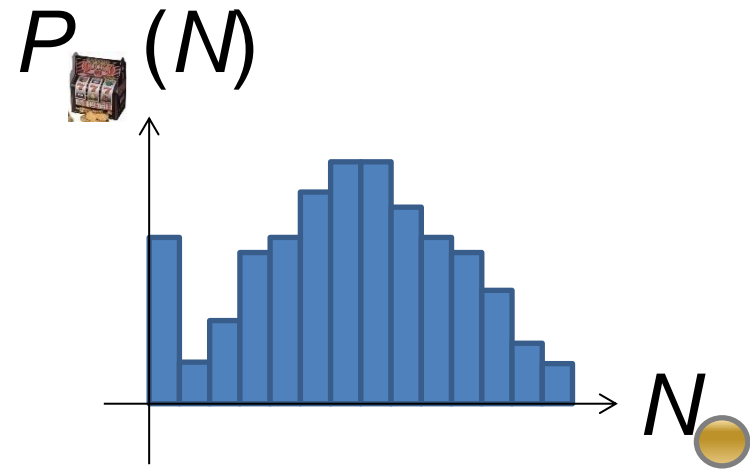


Nucleons have  
two isospin states.



Coins have two sides.

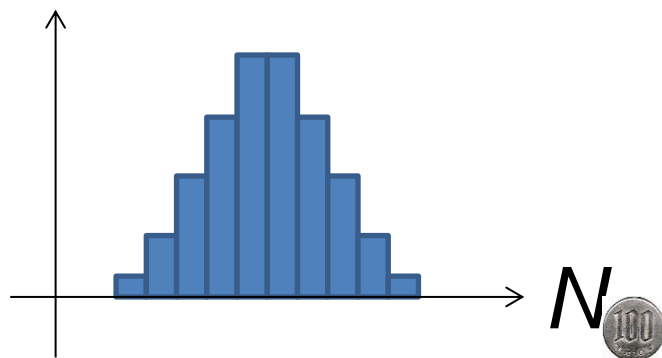
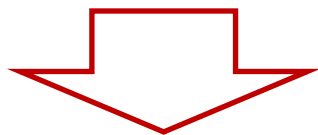
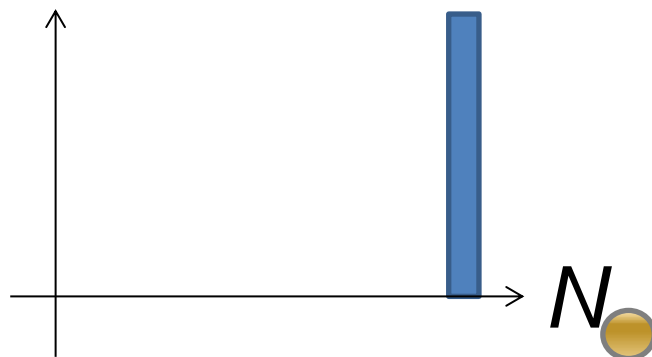
# Slot Machine Analogy



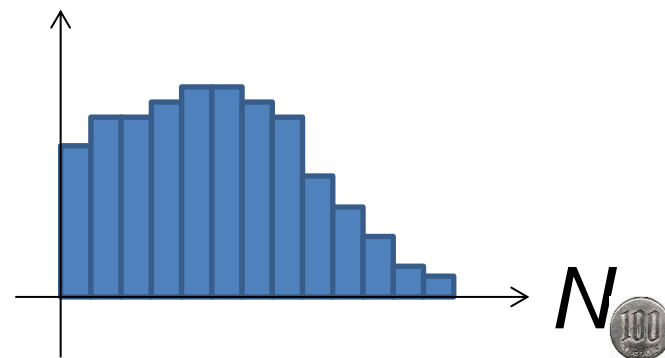
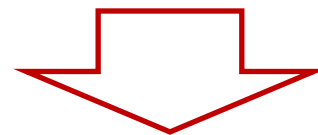
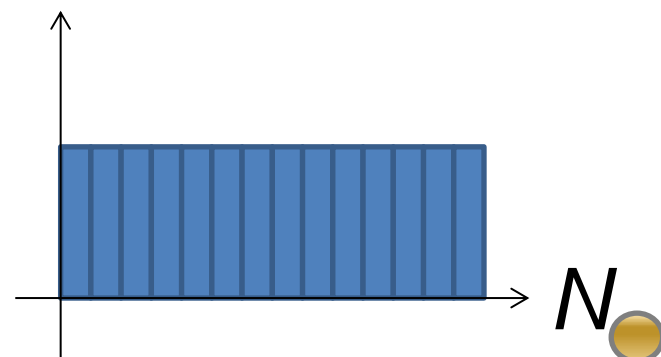
# Extreme Examples



Fixed # of coins

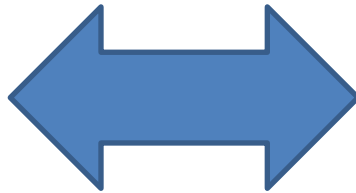


Constant probabilities



# Reconstructing Total Coin Number

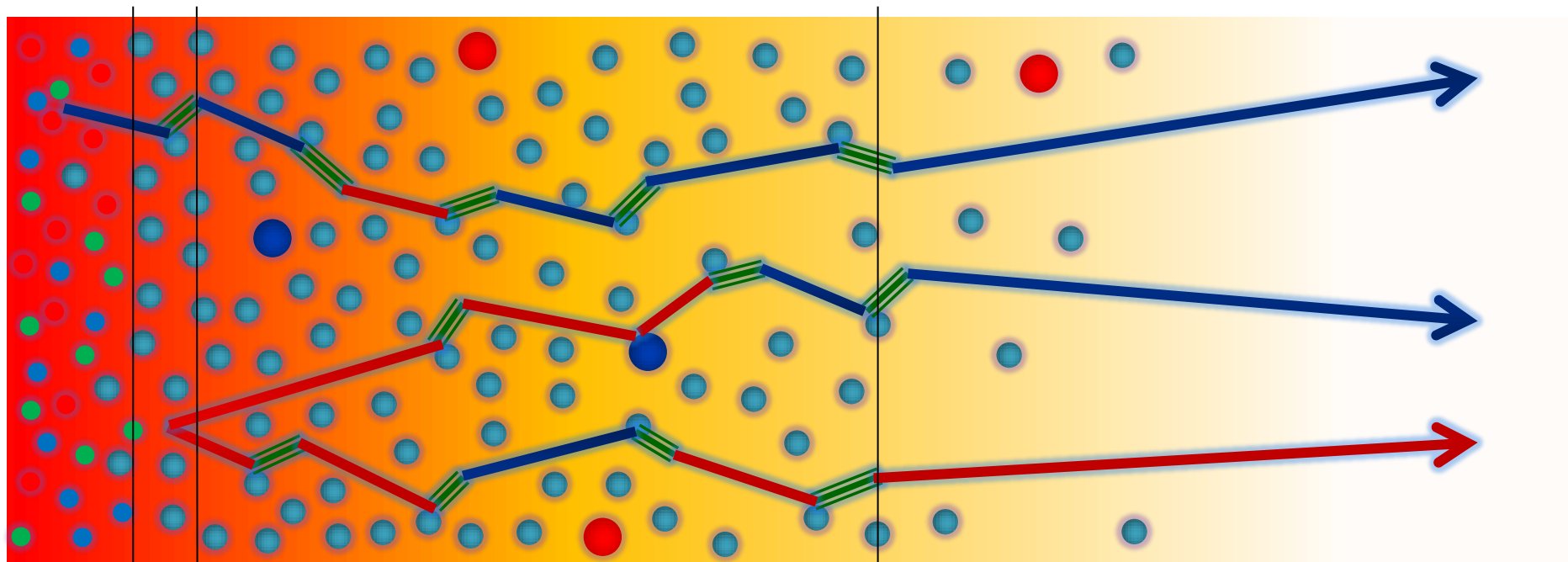
$$P_{\text{100}}(N_{\text{100}}) = \sum_{\text{100}} P_{\text{slot}}(N_{\text{100}}) B_{1/2}(N_{\text{100}}; N_{\text{100}})$$



$$B_p(k; N) = p^k (1 - p)^{N-k} {}_k C_N \quad \text{:binomial distr. func.}$$

# Nucleons in Hadronic Phase

time →



hadronize  
chem. f.o.

10~20fm

kinetic f.o.

—  $p, \bar{p}$       ● mesons  
—  $n, \bar{n}$       ● baryons  
≡  $\Delta(1232)$

$$m_{\pi} \simeq T \ll m_N - \mu_N$$

$$n_N \ll 1$$

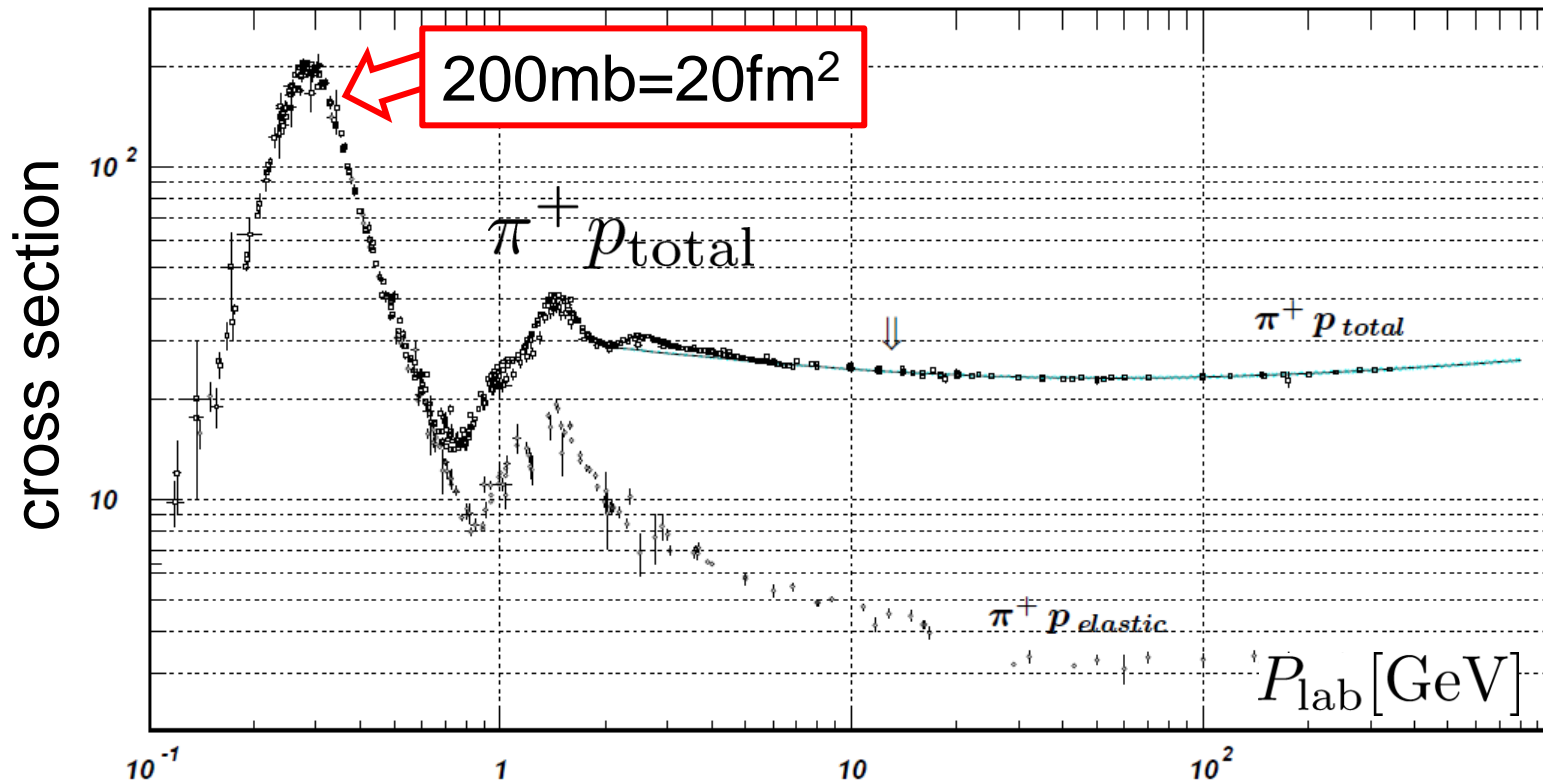
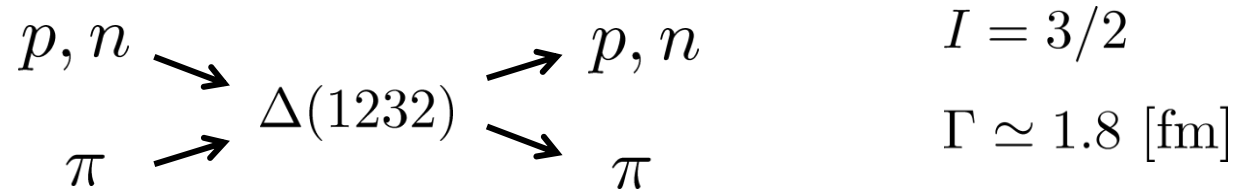
- rare NN collisions
- no quantum corr.

$$n_N \ll n_{\pi}$$

- many pions

# Nucleon Isospin in Hadronic Medium

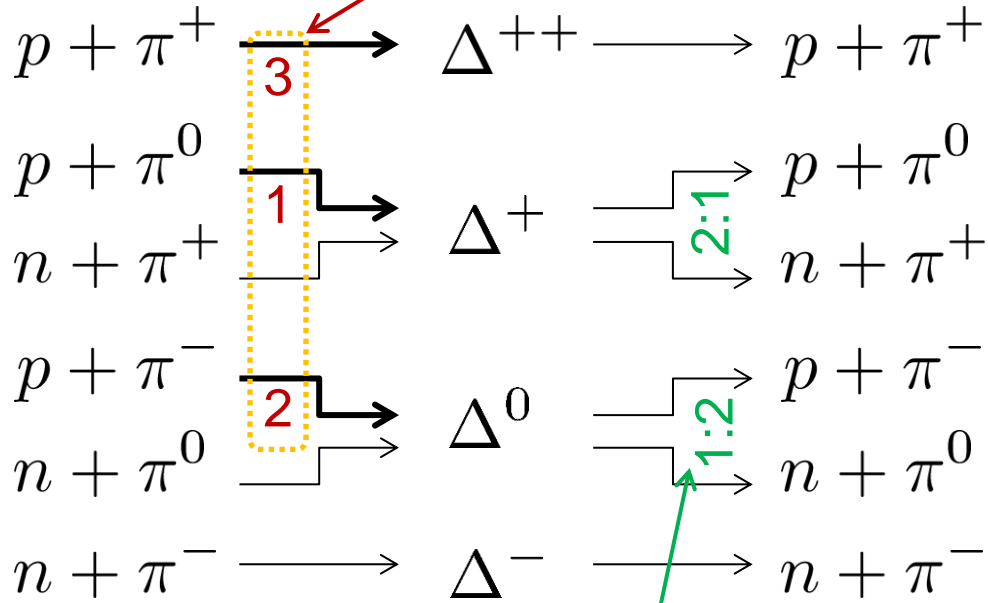
- Isospin of baryons can vary after chemical freezeout via charge exchange reactions mediated by  $\Delta(1232)$ :





# $\Delta(1232)$

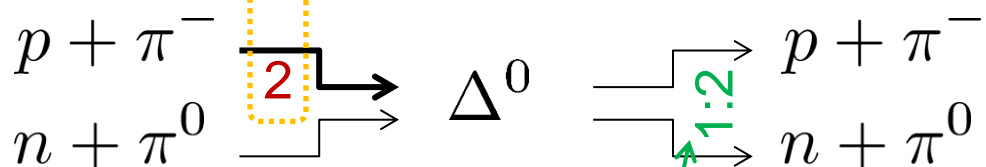
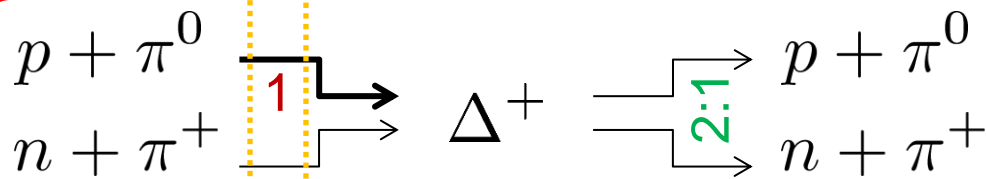
cross sections of  $p$



decay rates of  $\Delta$

# $\Delta(1232)$

cross sections of  $p$

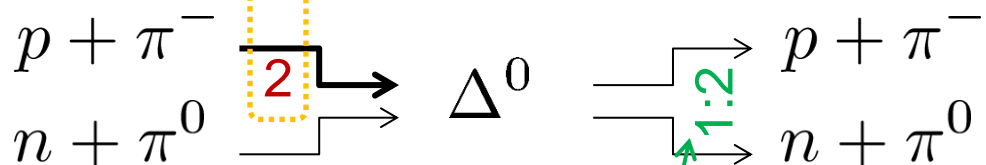
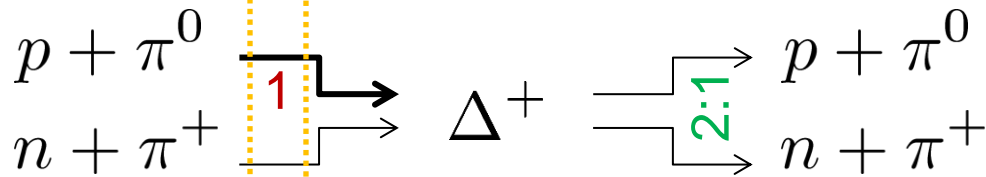


decay rates of  $\Delta$

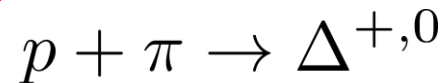
$$\begin{aligned} p + \pi &\rightarrow \Delta^{+,0} \\ &\rightarrow p : n \\ &= 5 : 4 \end{aligned}$$

# $\Delta(1232)$

cross sections of  $p$



decay rates of  $\Delta$



$$\rightarrow p : n$$

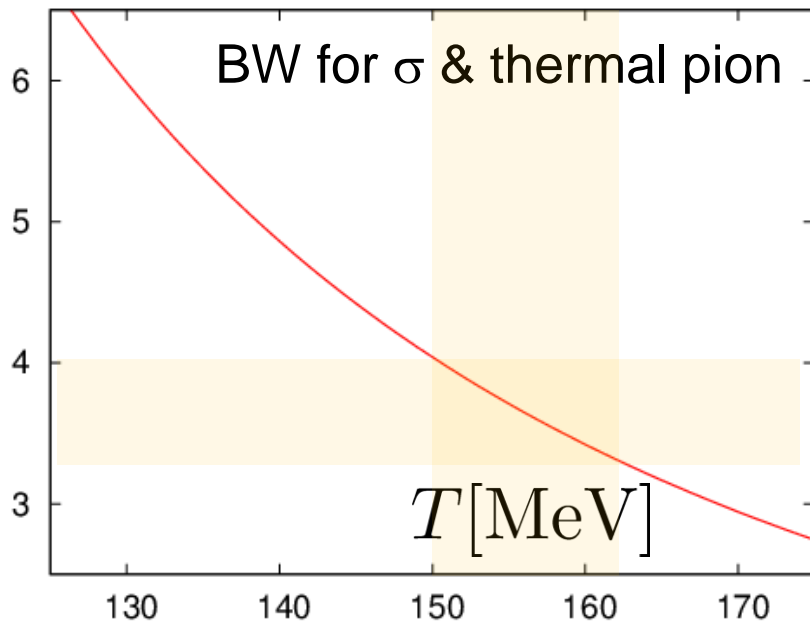
$$= 5 : 4$$

Lifetime to create  $\Delta^+$  or  $\Delta^0$

$$\tau^{-1} = \int \frac{d^3 k_\pi}{(2\pi)^3} \sigma(E_{\text{cm}}) v_\pi n(E_\pi)$$

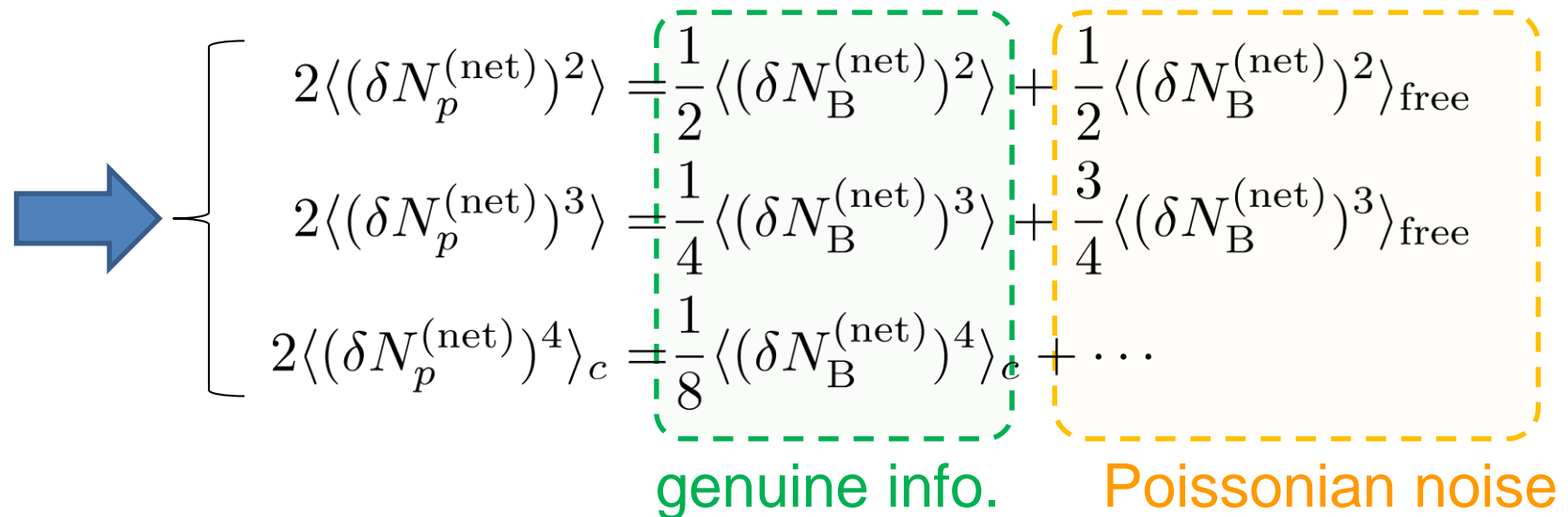
$\tau$  [fm]

(freezeout time)  $\simeq 20$  [fm]



# Difference btw Baryon and Proton Numbers

- (1)  $N_B^{(\text{net})} = N_B - N_{\bar{B}}$  deviates from the equilibrium value.
- (2) Boltzmann (Poisson) distribution for  $N_B, N_{\bar{B}}$ .



A blue arrow points from the left towards a set of three equations. The equations are grouped by a large curly bracket on the left. Each equation is split into two parts by a plus sign. The first part of each equation is enclosed in a green dashed box, and the second part is enclosed in a yellow dashed box. Below the green dashed box is the text 'genuine info.' in green, and below the yellow dashed box is the text 'Poissonian noise' in orange. A large blue arrow points downwards from the equations towards the final text.

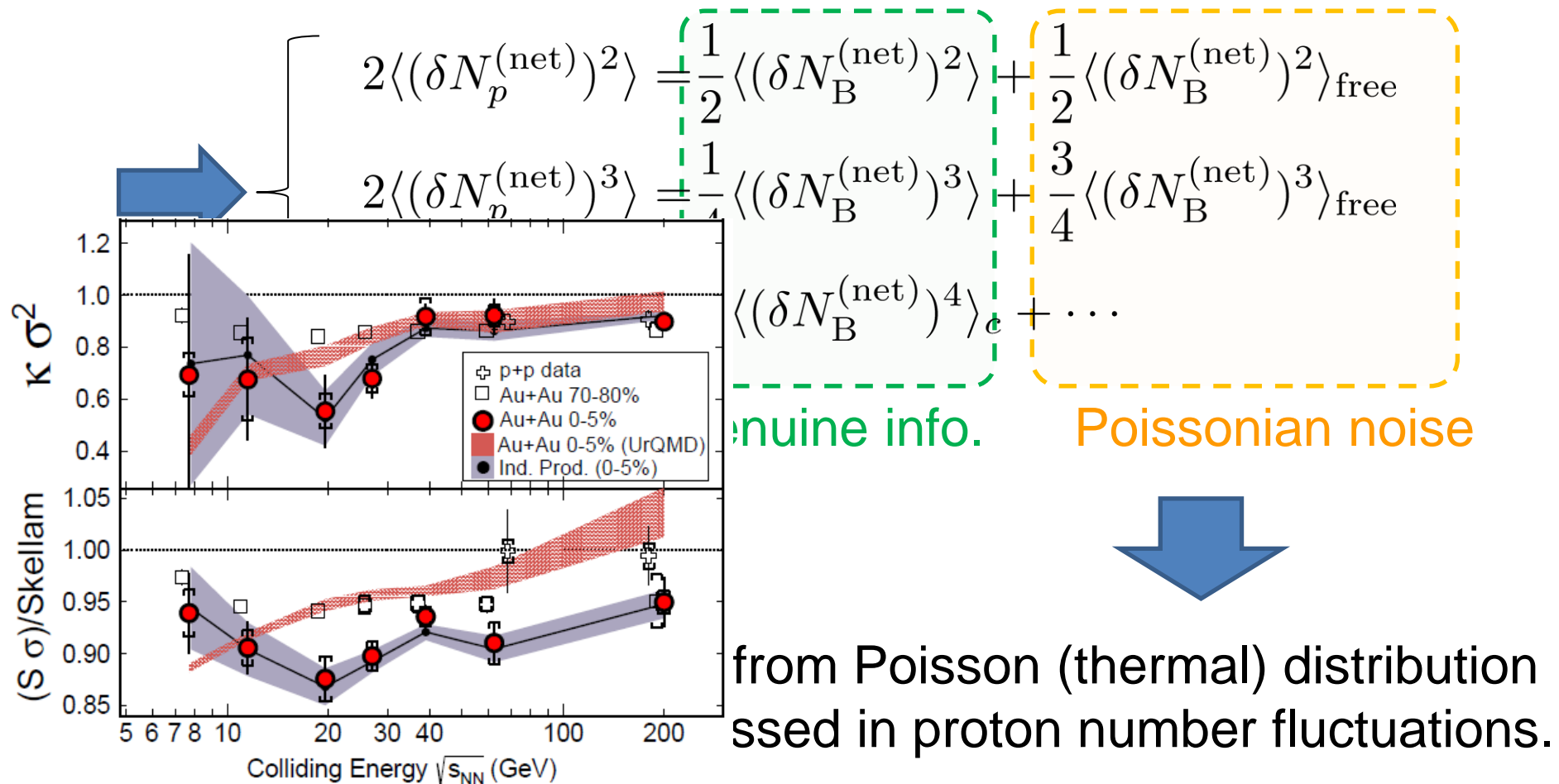
$$\begin{aligned} 2\langle(\delta N_p^{(\text{net})})^2\rangle &= \frac{1}{2}\langle(\delta N_B^{(\text{net})})^2\rangle + \frac{1}{2}\langle(\delta N_B^{(\text{net})})^2\rangle_{\text{free}} \\ 2\langle(\delta N_p^{(\text{net})})^3\rangle &= \frac{1}{4}\langle(\delta N_B^{(\text{net})})^3\rangle + \frac{3}{4}\langle(\delta N_B^{(\text{net})})^3\rangle_{\text{free}} \\ 2\langle(\delta N_p^{(\text{net})})^4\rangle_c &= \frac{1}{8}\langle(\delta N_B^{(\text{net})})^4\rangle_c + \dots \end{aligned}$$

genuine info.      Poissonian noise

Difference from Poisson (thermal) distribution  
is suppressed in proton number fluctuations.

# Difference btw Baryon and Proton Numbers

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# Time Evolution of Higher Order Cumulants

MK, Asakawa, Ono, arXiv:1307.2978

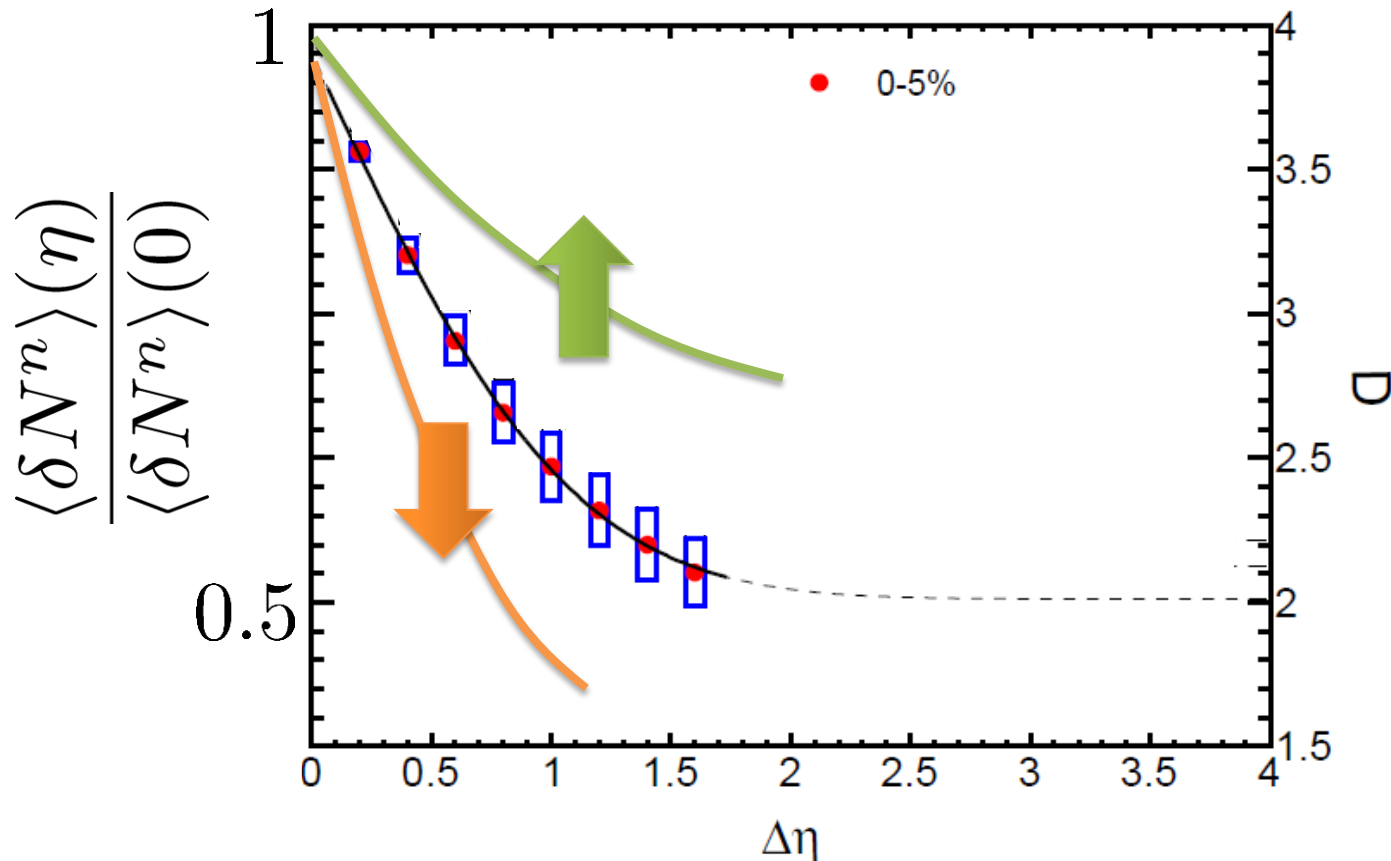
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How does  $\langle \delta N_Q^4 \rangle_c$  behave as a function of  $\Delta\eta$ ?

suppression

or

enhancement

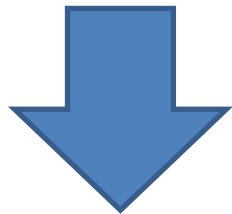


# Hydrodynamic Fluctuations

Landau, Lifshitz, Statistical Mechanics II  
Kapusta, Muller, Stephanov, 2012  
Stephanov, Shuryak, 2001

**Stochastic** diffusion equation

$$\partial_\tau n = D \partial_\eta^2 n + \partial_\eta \xi(\eta, \tau)$$



Fluctuation of  $n$  is  
Gaussian in equilibrium

Markov (white noise)  
+  
continuity



Gaussian noise

cf) Gardiner, "Stochastic Methods"



# How to Introduce Non-Gaussianity?

**Stochastic** diffusion equation

$$\partial_{\tau} n = D \partial_{\eta}^2 n + \partial_{\eta} \xi(\eta, \tau)$$

- ▣ Choices to introduce non-Gaussianity in equil.:
  - ▣  $n$  dependence of diffusion constant  $D(n)$
  - ▣ colored noise
  - ▣ discretization of  $n$

# How to Introduce Non-Gaussianity?

**Stochastic** diffusion equation

$$\partial_{\tau} n = D \partial_{\eta}^2 n + \partial_{\eta} \xi(\eta, \tau)$$

▣ Choices to introduce non-Gaussianity in equil.:

▣  $n$  dependence of diffusion constant  $D(n)$

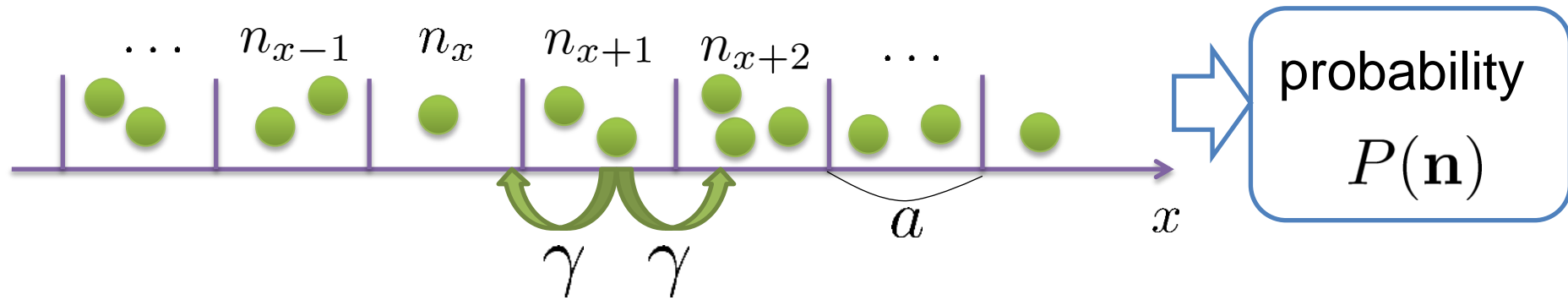
▣ colored noise

▣ discretization of  $n$  ← **our choice**

**REMARK:** Fluctuations measured in HIC are almost Poissonian.

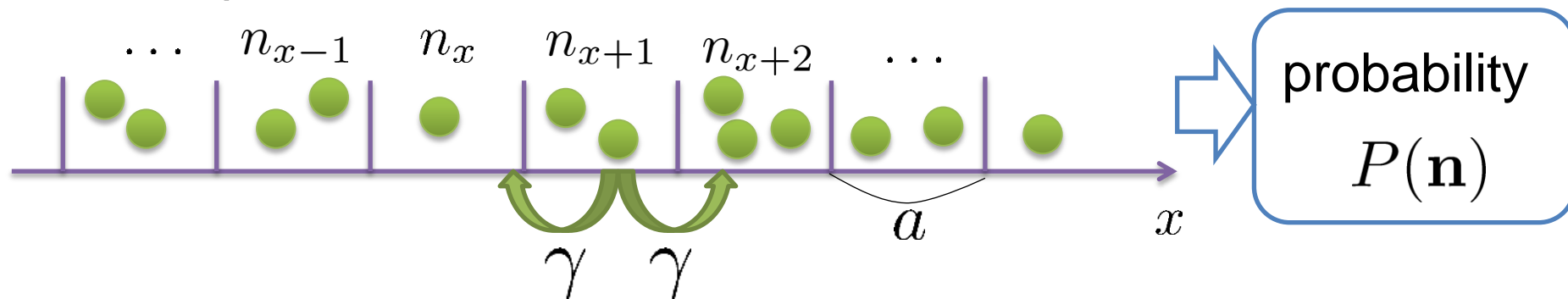
# Diffusion Master Equation

Divide spatial coordinate into discrete cells



# Diffusion Master Equation

Divide spatial coordinate into discrete cells



Master Equation for  $P(n)$

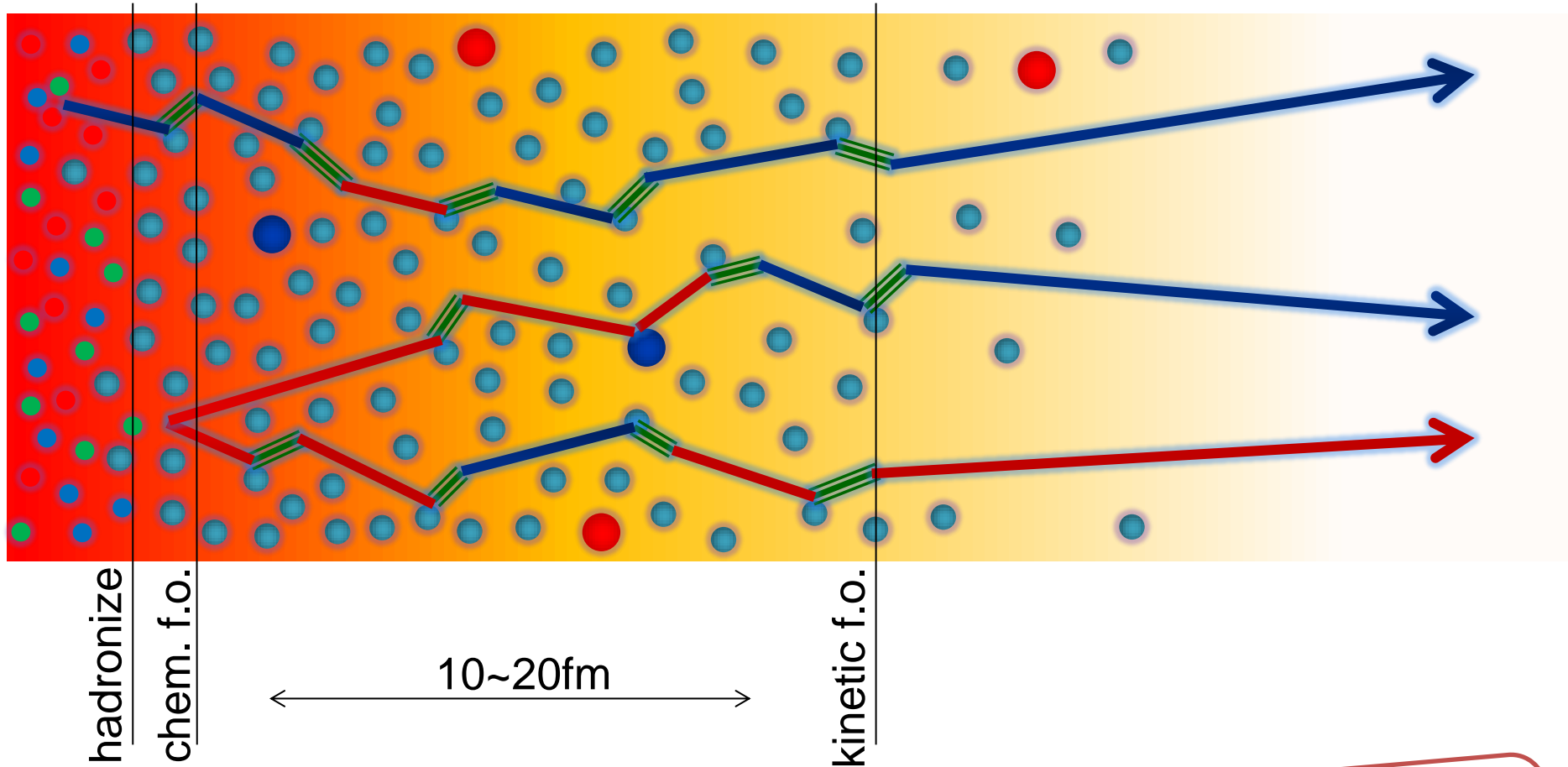
$$\frac{\partial}{\partial t} P(\mathbf{n}) = \gamma \sum_x [(n_x + 1) \{P(\mathbf{n} + \mathbf{e}_x - \mathbf{e}_{x+1}) + P(\mathbf{n} + \mathbf{e}_x - \mathbf{e}_{x-1})\} - 2n_x P(\mathbf{n})]$$

Solve the DME **exactly**, and take  $a \rightarrow 0$  limit

No approx., ex. van Kampen's system size expansion

# Baryons in Hadronic Phase

time →

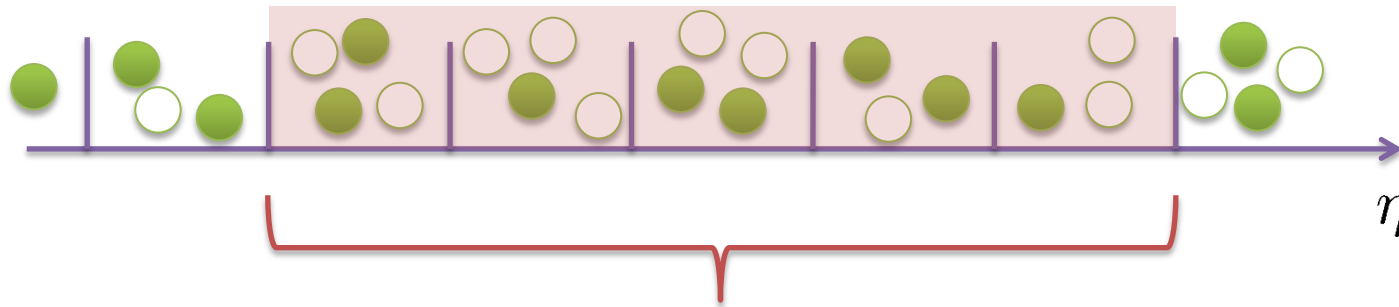


- $p, \bar{p}$
- $n, \bar{n}$
- $\Delta(1232)$
- mesons
- baryons

Baryons behave like  
Brownian pollens in water

# Net Charge Number

Prepare 2 species of (non-interacting) particles



$$\bar{Q}(\tau) = \int_0^{\Delta\eta} d\eta (n_1(\eta, \tau) - n_2(\eta, \tau))$$

Let us investigate

$$\langle \bar{Q}^2 \rangle_c \quad \langle \bar{Q}^4 \rangle_c \quad \text{at freezeout time } t$$

# Solution of DME in $a \rightarrow 0$ Limit

## 1st order (deterministic) $\langle n \rangle$

- consistent with diffusion equation with  $D = \gamma a^2$



Continuum limit with fixed  $D = \gamma a^2$

## 2nd order $\langle \delta n^2 \rangle$

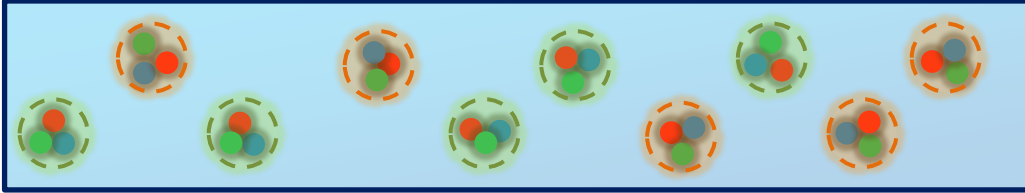
- consistent with stochastic diffusion eq.  
(for sufficiently smooth initial conditions)

Shuryak, Stephanov, 2001

Nontrivial results for non-Gaussian fluctuations

# Time Evolution in Hadronic Phase

Hadronization (initial condition)



- Boost invariance / infinitely long system
- Local equilibration / local correlation

$$\langle \bar{Q}^2 \rangle_c \quad \langle \bar{Q}^4 \rangle_c$$

$$\langle \bar{Q}^2 Q_{(\text{tot})} \rangle_c$$

$$\langle Q_{(\text{tot})}^2 \rangle_c$$

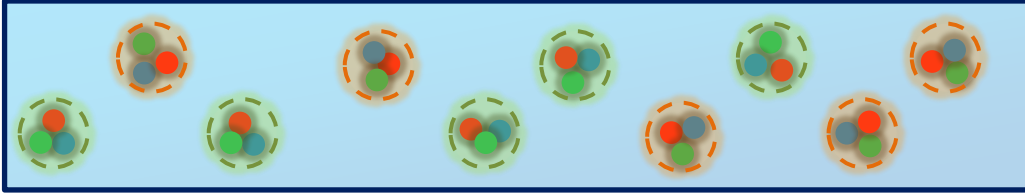
suppression owing to  
local charge conservation

strongly dependent on  
hadronization mechanism



# Time Evolution in Hadronic Phase

Hadronization (initial condition)



- Time evolution via DME
- Boost invariance / infinitely long system
  - Local equilibration / local correlation

$$\langle \bar{Q}^2 \rangle_c \quad \langle \bar{Q}^4 \rangle_c$$

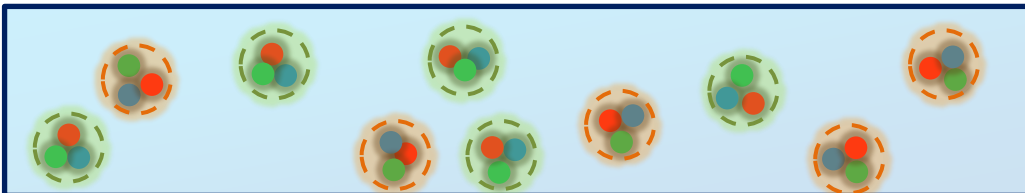
$$\langle \bar{Q}^2 Q_{(\text{tot})} \rangle_c$$

$$\langle Q_{(\text{tot})}^2 \rangle_c$$

suppression owing to  
local charge conservation

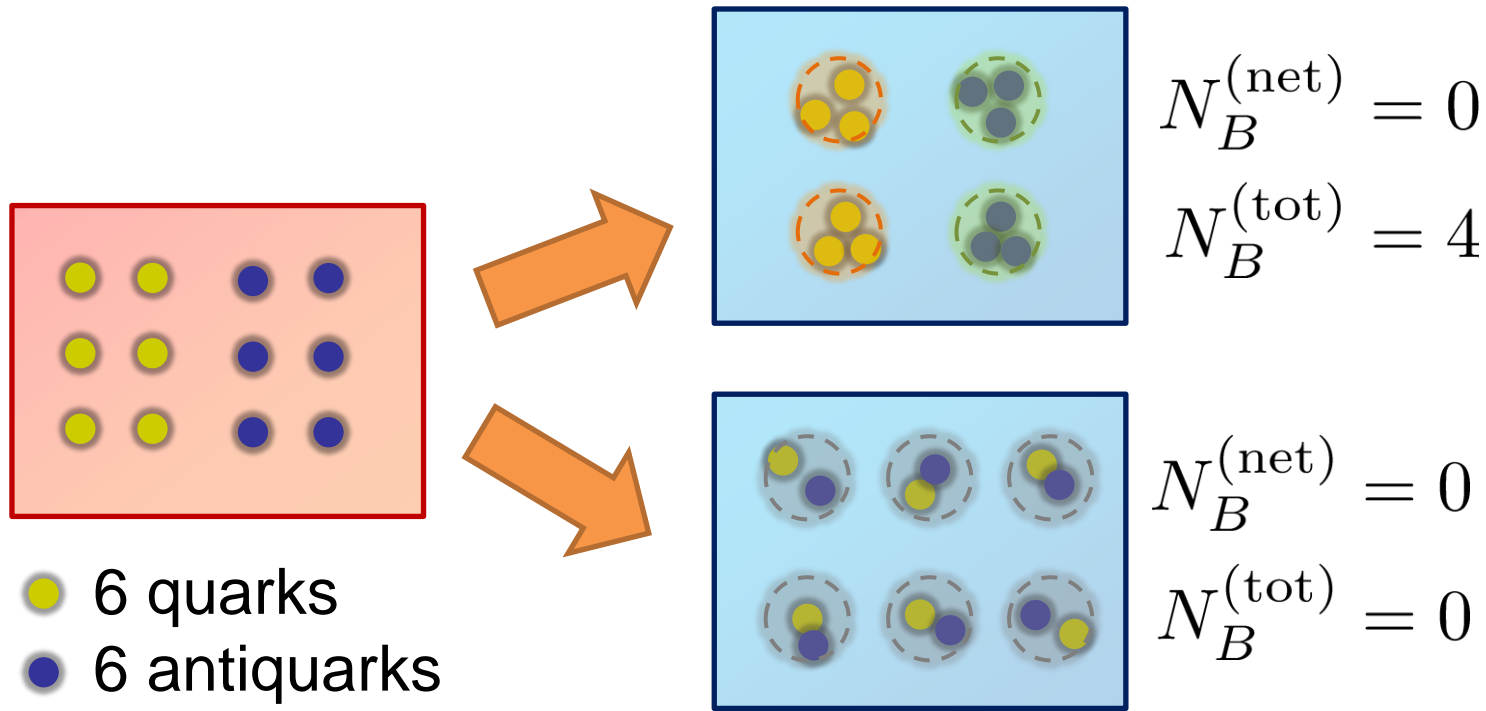
strongly dependent on  
hadronization mechanism

Freezeout



# Total Charge Number

In recombination model,

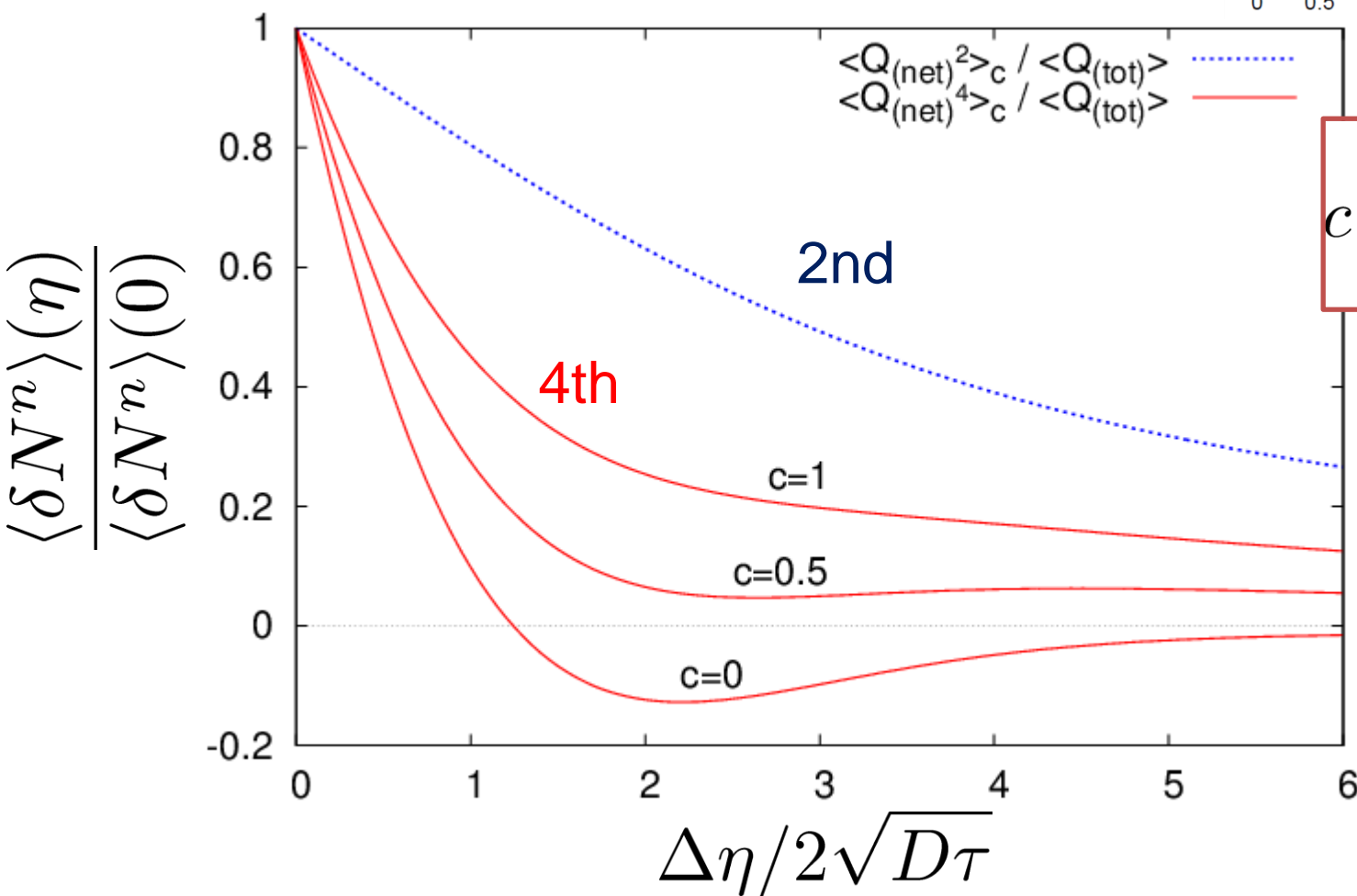
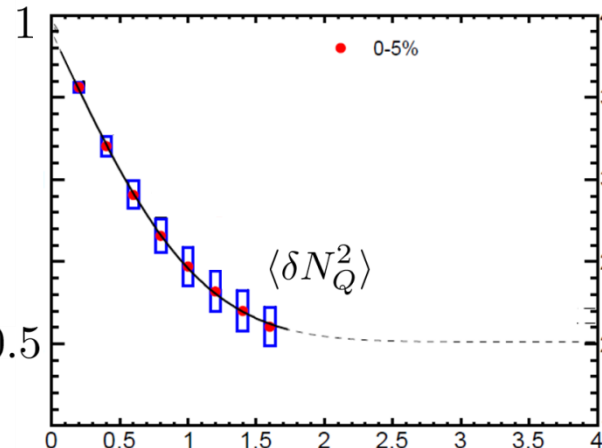


□  $N_B^{(\text{tot})}$  can fluctuate, while  $N_B^{(\text{net})}$  does not.

# $\Delta\eta$ Dependence at Freezeout

Initial fluctuations:

$$\langle \bar{Q}^2 \rangle_c = \langle \bar{Q}^4 \rangle_c = \langle \bar{Q}^2 Q_{(\text{tot})} \rangle_c = 0$$



$$c = \frac{\langle Q_{(\text{tot})}^2 \rangle_c}{\langle Q_{(\text{tot})} \rangle}$$

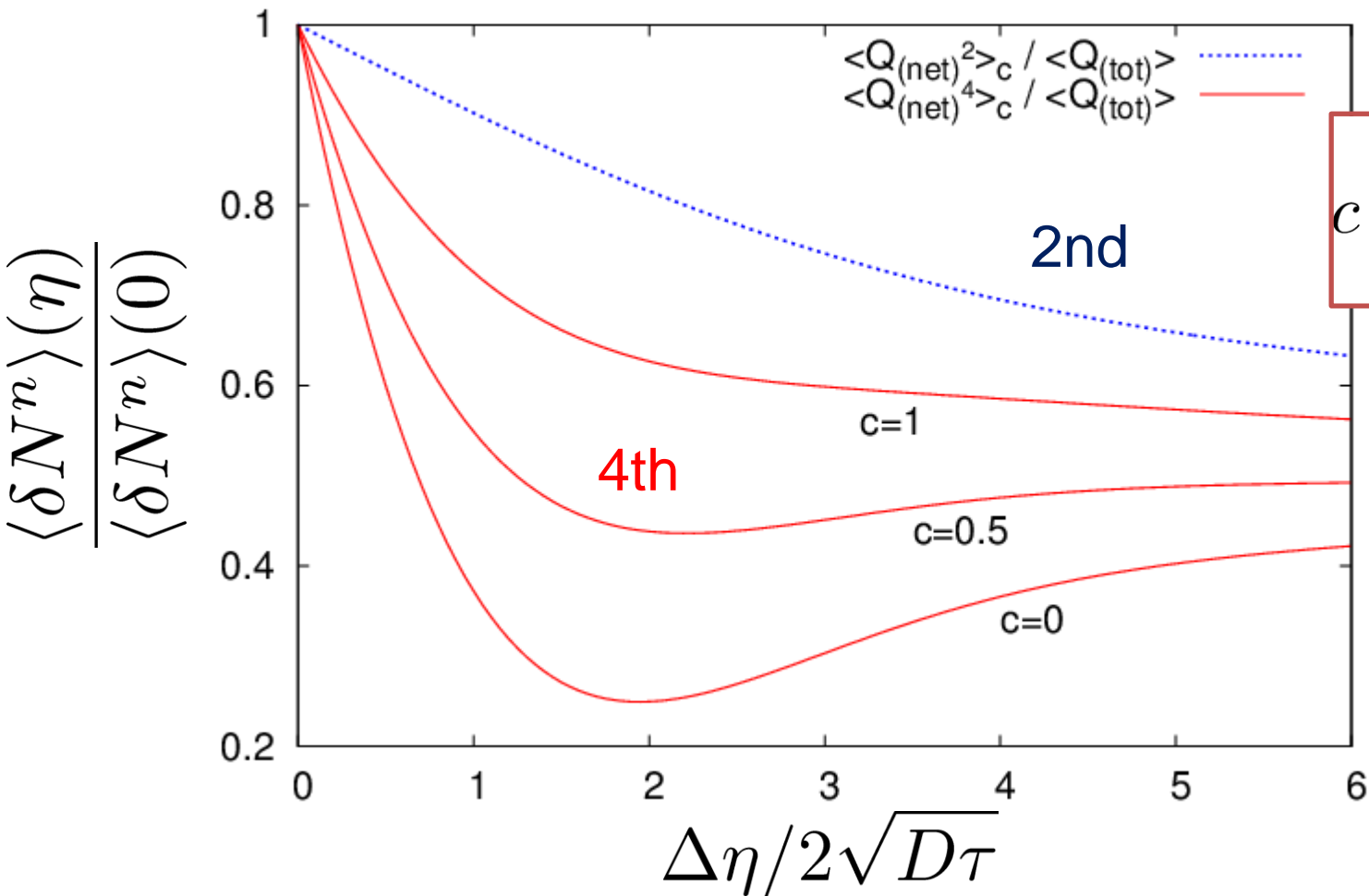


parameter  
sensitive to  
hadronization

# $\Delta\eta$ Dependence at Freezeout

Initial fluctuations:

$$\langle \bar{Q}^2 \rangle_c = \langle \bar{Q}^4 \rangle_c = \langle \bar{Q}^2 Q_{(\text{tot})} \rangle_c = 0.5 \langle Q_{(\text{tot})} \rangle$$



$$c = \frac{\langle Q_{(\text{tot})}^2 \rangle_c}{\langle Q_{(\text{tot})} \rangle}$$

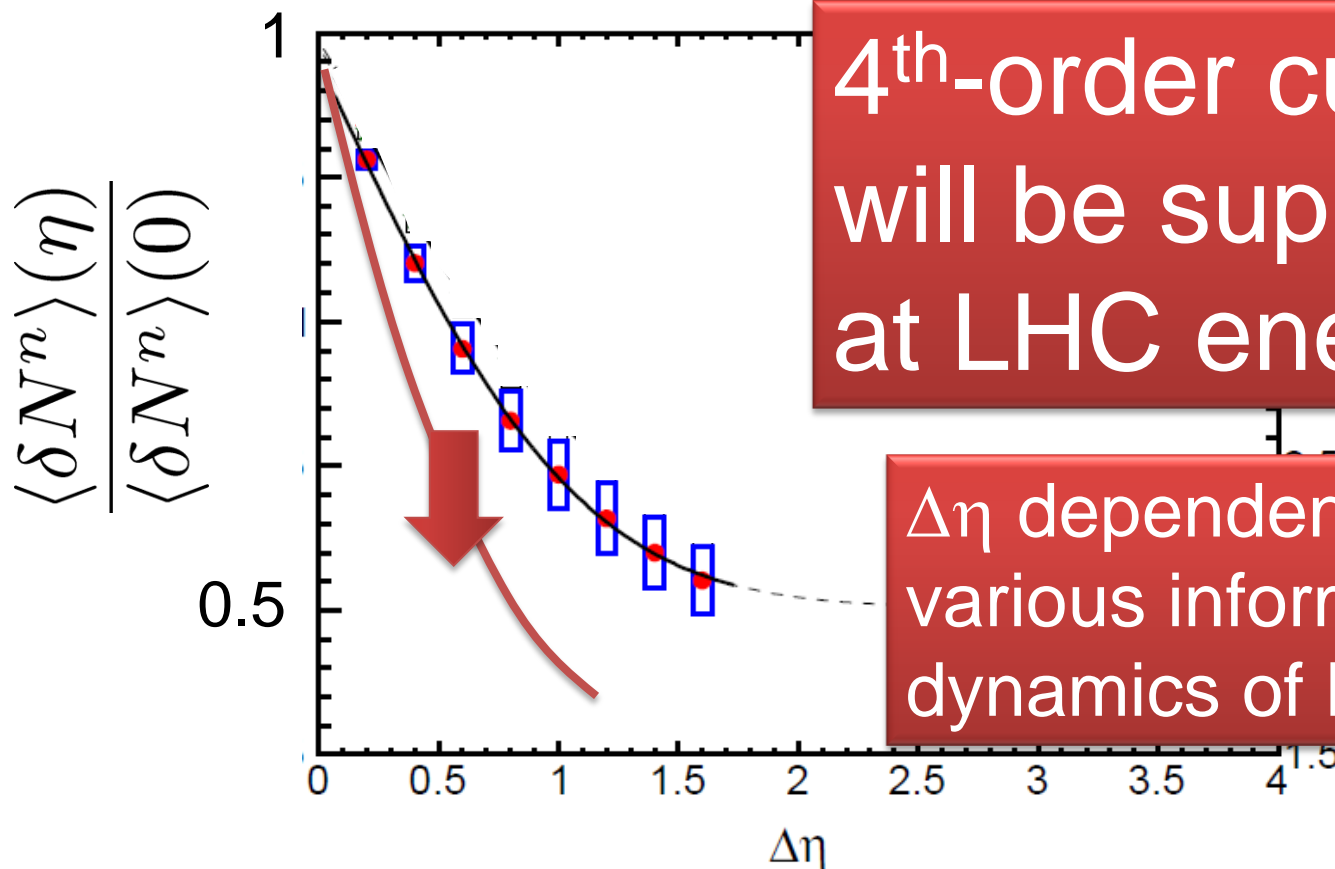


parameter  
sensitive to  
hadronization

# $\langle \delta N_Q^4 \rangle @ \text{LHC}$

Assumptions

- boost invariant system
- small fluctuations of CC at hadronization
- short correlation in hadronic stage

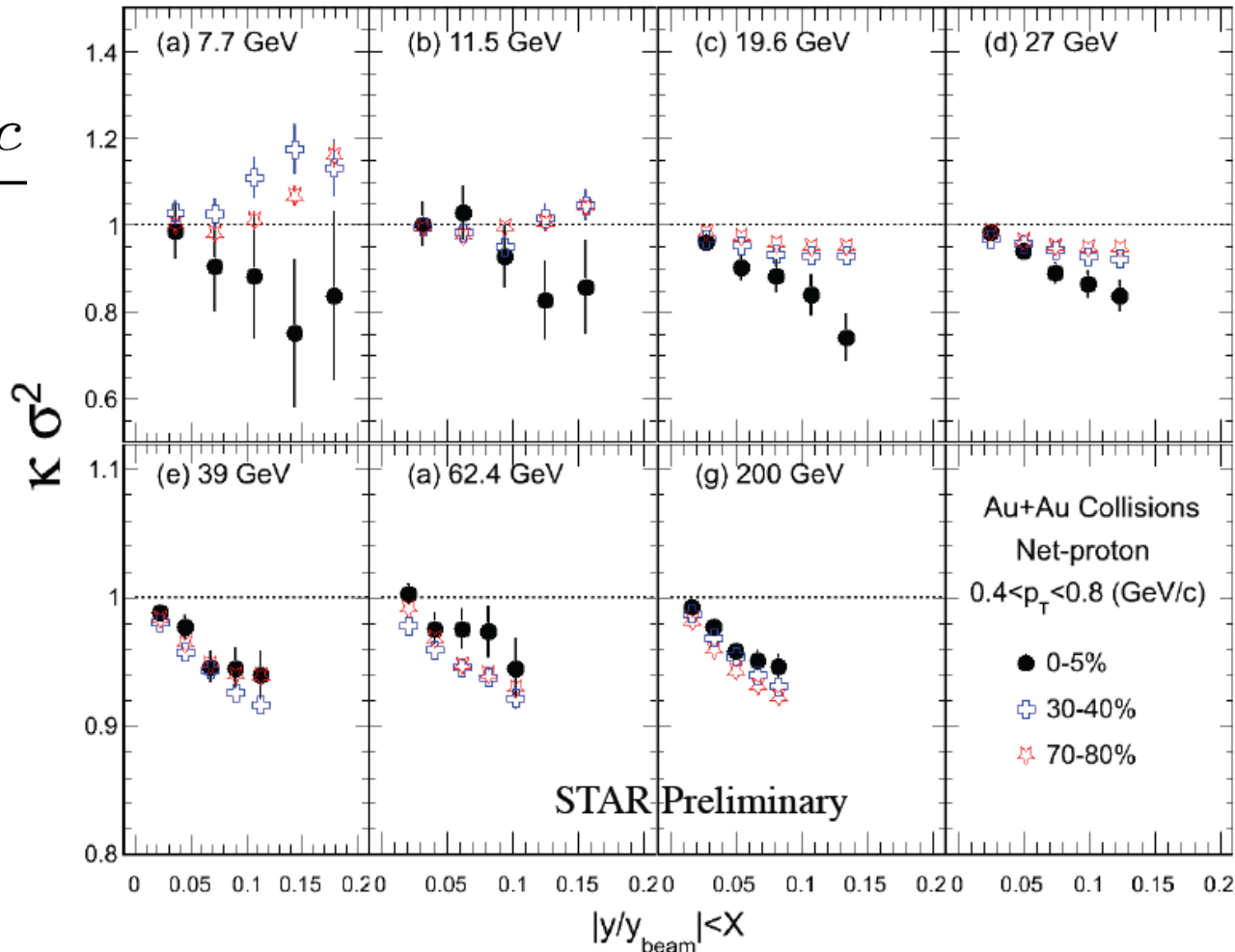


4<sup>th</sup>-order cumulant  
will be suppressed  
at LHC energy!

$\Delta\eta$  dependences encode  
various information on the  
dynamics of HIC!

# $\Delta\eta$ Dependence at STAR

STAR, QM2012



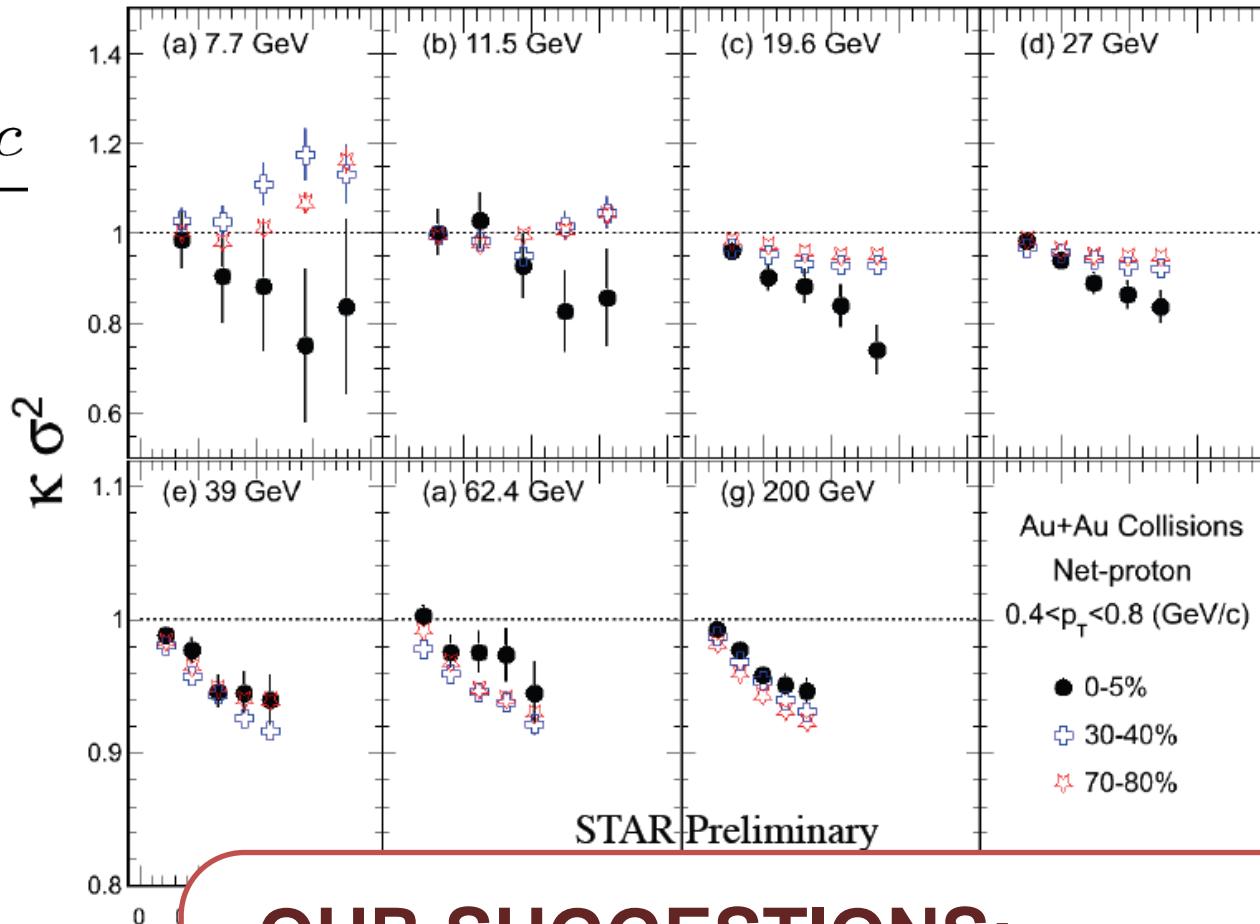
$$\frac{\langle \delta N_p^4 \rangle_c}{\langle \delta N_p^2 \rangle}$$

decreases as  $\Delta\eta$  becomes larger at RHIC energy.

# $\Delta\eta$ Dependence at STAR

STAR, QM2012

$$\frac{\langle \delta N_p^4 \rangle_c}{\langle \delta N_p^2 \rangle}$$



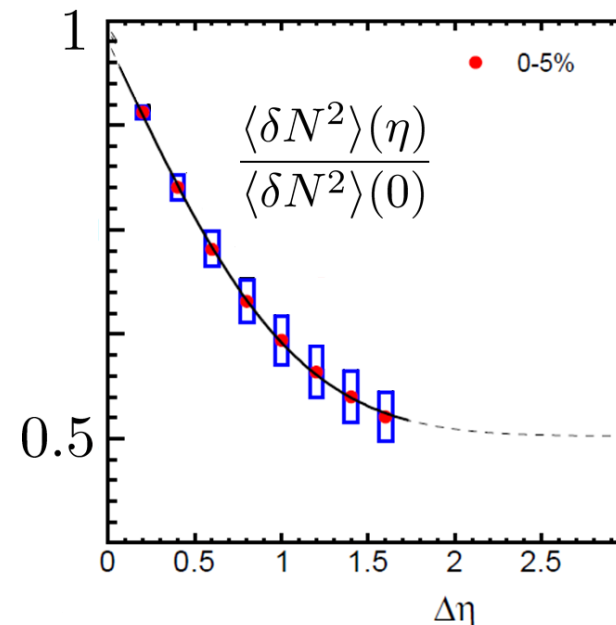
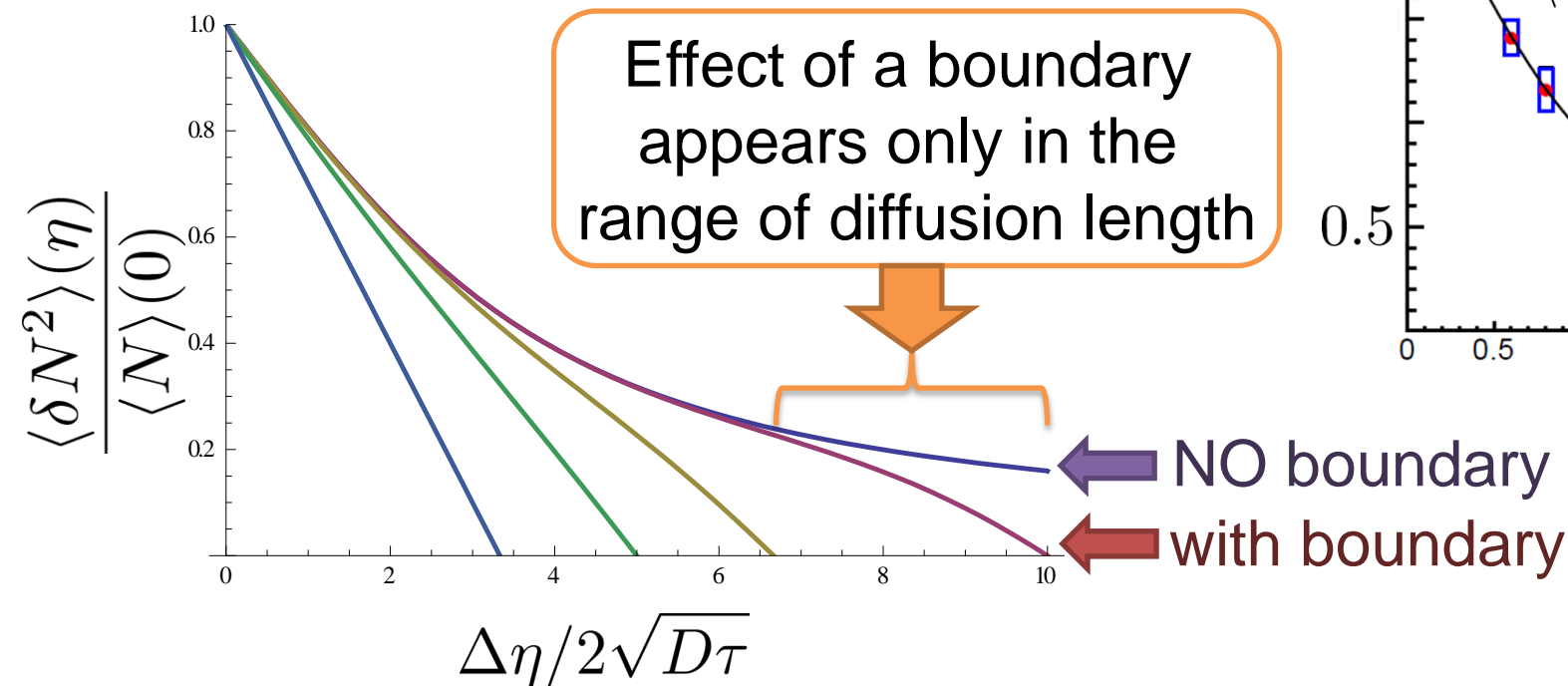
## OUR SUGGESTIONS:

- Plot  $\langle \delta N^2 \rangle$  and  $\langle \delta N^4 \rangle$  separately
- Plot baryon number cumulants

# Global Charge Conservation

Sakaida,  
poster session (3<sup>rd</sup> week)

Solve SDE or DME in a finite volume



- Effect of GCC can be read off from  $\Delta\eta$  dependence.
- No GCC effect in ALICE experiments!



# Summary

Fluctuations in HIC are nonthermal!

Plenty of physics in  $\Delta\eta$  dependences of various cumulants

$$\langle N_Q^2 \rangle_c, \langle N_B^2 \rangle_c, \langle N_Q^4 \rangle_c, \langle N_B^4 \rangle_c, \\ \langle N_{ch}^2 \rangle_c, \dots$$

Physical meanings of fluctuation obs. in experiments.

## Diagnosing dynamics of HIC

- history of hot medium
- mechanism of hadronization
- diffusion constant

LATTICE  
inputs

# Summary

Fluctuations in HIC are nonthermal!

Plenty of physics in  $\Delta\eta$  dependences of various cumulants

$$\langle N_Q^2 \rangle_c, \langle N_B^2 \rangle_c, \langle N_Q^4 \rangle_c, \langle N_B^4 \rangle_c, \\ \langle N_{ch}^2 \rangle_c, \dots$$

Physical meanings of fluctuation obs. in experiments.

**Diagnosing dynamics of HIC**

- ☐ history of hot medium
- ☐ mechanism of hadronization
- ☐ diffusion constant

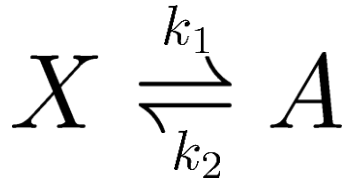
**Search of QCD Phase Structure in HIC**

LATTICE  
inputs

# Open Questions & Future Work

- ❑ Why the primordial fluctuations are observed only at LHC, and not RHIC ?
- ❑ Extract more information on each stage of fireballs using fluctuations
  
- ❑ Model refinement
  - ❑ Including the effects of  
nonzero correlation length / relaxation time  
global charge conservation
  
  - ❑ Non Poissonian system ← interaction of particles

# Chemical Reaction 1



x: # of X

a: # of A (**fixed**)

Master eq.: 
$$\frac{\partial}{\partial t} P(x, t) = k_2 a P(x-1, t) + k_1 (x+1) P(x+1, t) - (k_1 x + k_2 a) P(x, t)$$



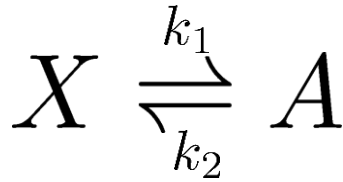
Cumulants with fixed initial condition  $P(x, 0) = \delta_{x, N_0}$

$$\langle x(t) \rangle = N_0 e^{-k_1 t} + N_{eq} (1 - e^{-k_1 t})$$

$$\langle \delta x(t)^2 \rangle = N_0 (e^{-k_1 t} - e^{-2k_1 t}) + N_{eq} (1 - e^{-k_1 t})$$

$$\langle \delta x(t)^3 \rangle = \underbrace{N_0 (e^{-k_1 t} - 3e^{-2k_1 t} + 2e^{-3k_1 t})}_{\text{initial}} + \underbrace{N_{eq} (1 - e^{-k_1 t})}_{\text{equilibrium}}$$

# Chemical Reaction 2



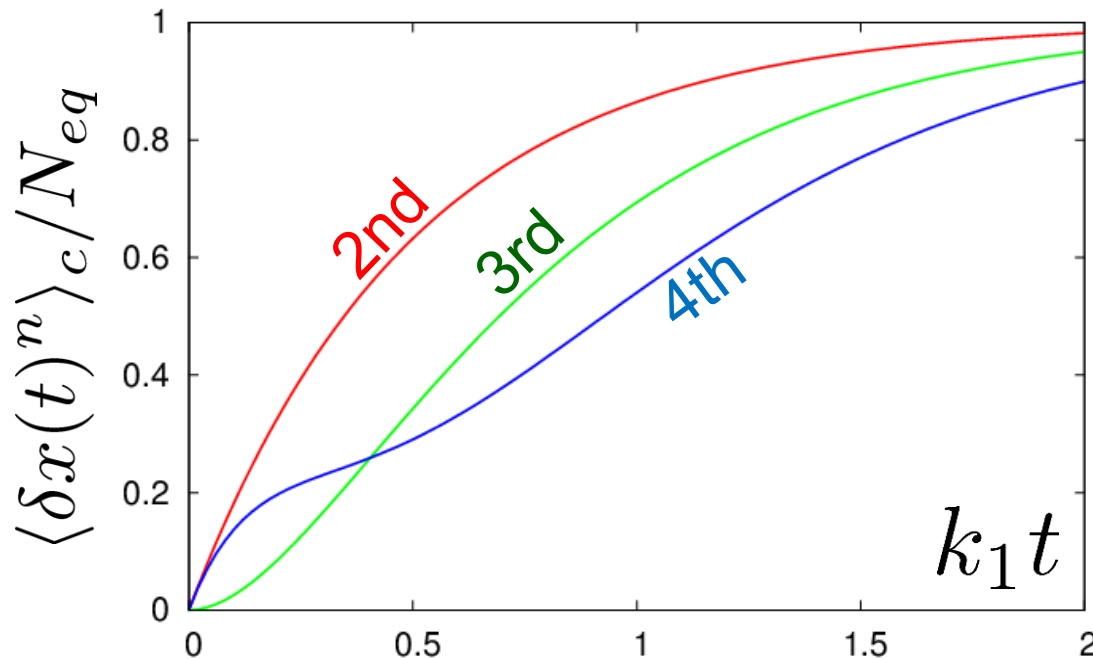
$$N_0 = N_{eq}$$



$$\langle x(t) \rangle = N_{eq}$$

$$\langle \delta x(t)^2 \rangle = N_{eq}(1 - e^{-2k_1 t})$$

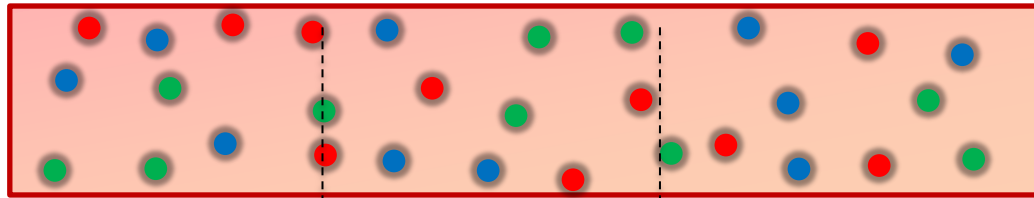
$$\langle \delta x(t)^3 \rangle = N_{eq}(1 - 3e^{-2k_1 t} + 2e^{-3k_1 t})$$



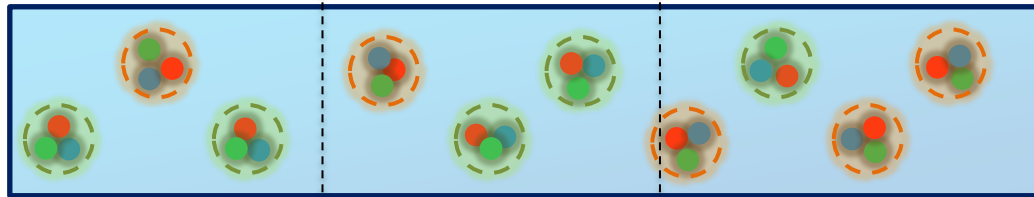
Higher-order  
cumulants  
grow slower.

# Time Evolution in HIC

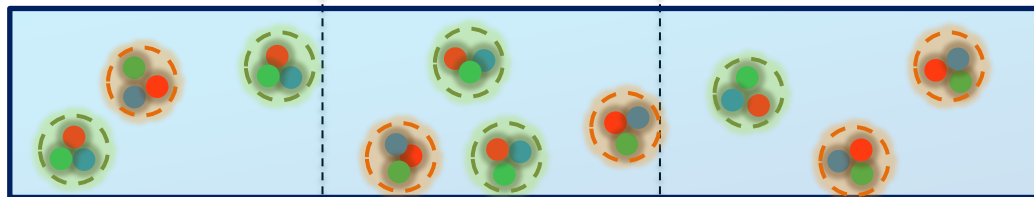
Quark-Gluon Plasma



Hadronization

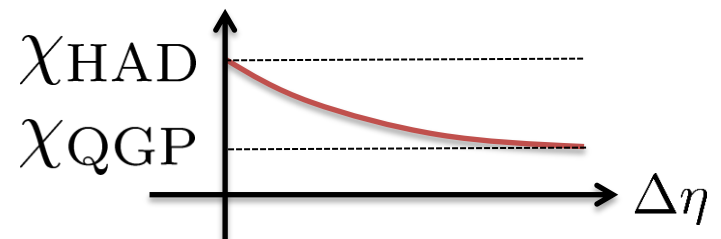
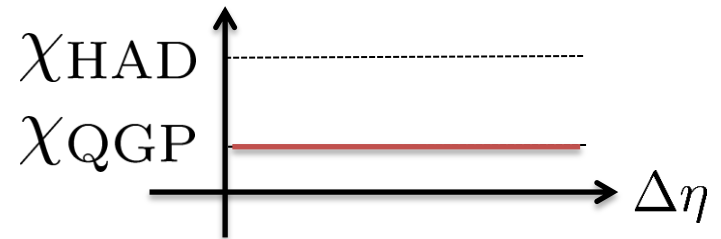
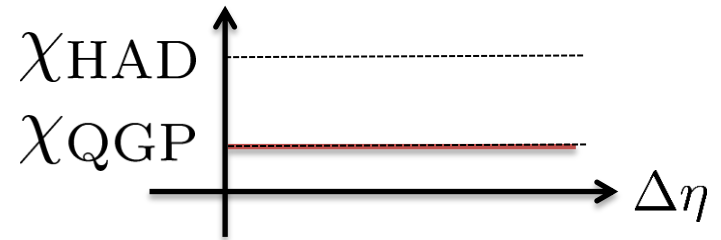


Freezeout



$\Delta\eta$

$$\frac{\langle \Delta N^2 \rangle}{\Delta\eta}$$



# Hydrodynamic Fluctuations

Landau, Lifshitz, Statistical Mechanics II  
Kapusta, Muller, Stephanov, 2012

Diffusion equation

$$\partial_\tau n = D \partial_\eta^2 n$$



**Stochastic** diffusion equation

$$\partial_\tau n = D \partial_\eta^2 n + \partial_\eta \xi(\eta, \tau)$$



**Stochastic Force**

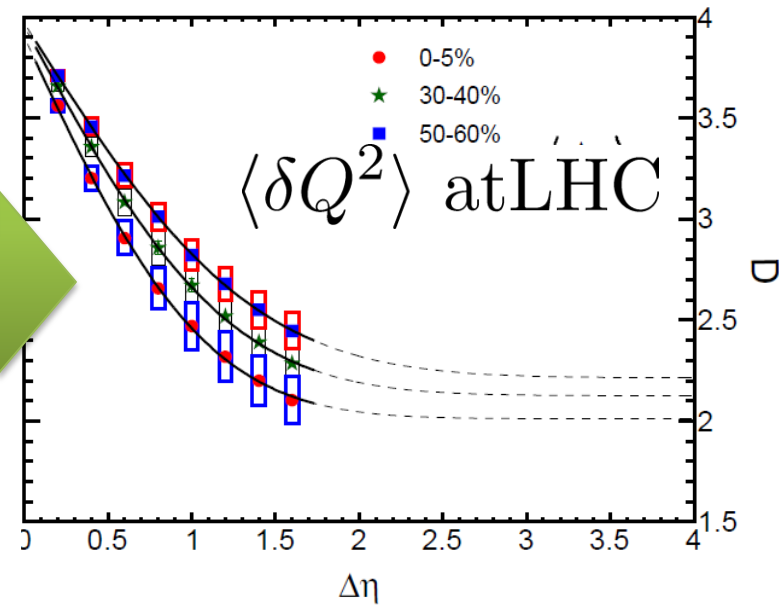
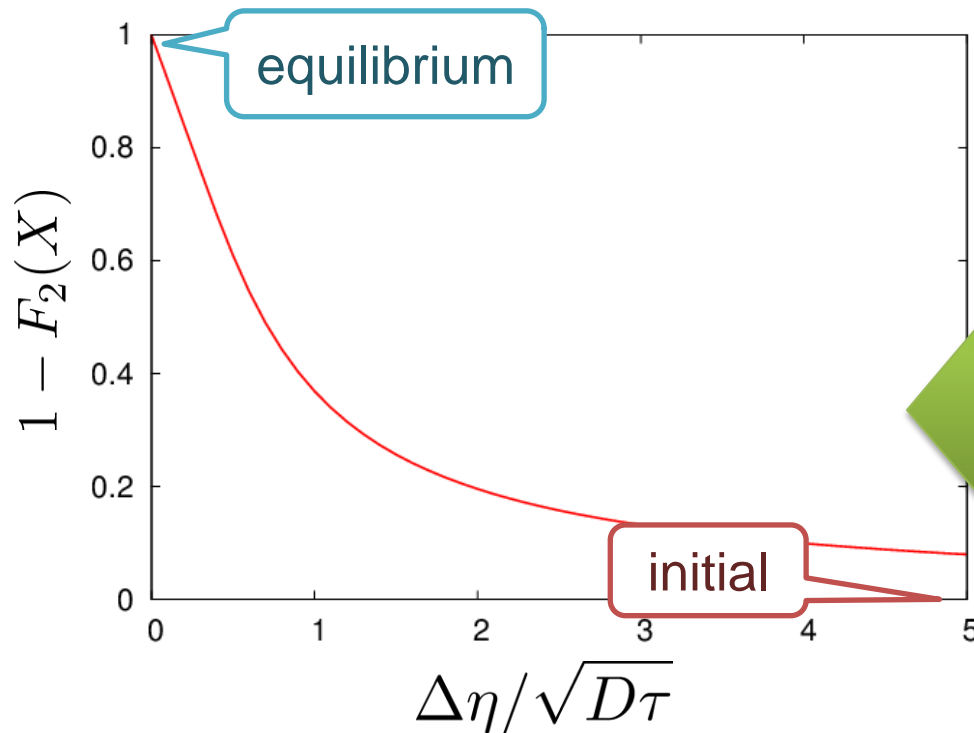
determined by fluctuation-dissipation relation

# $\Delta\eta$ Dependence

Shuryak, Stephanov, 2001

- Initial condition:  $\langle \delta n(\eta_1, 0) \delta n(\eta_2, 0) \rangle = \sigma_2 \delta(\eta_1 - \eta_2)$
- Translational invariance

$$Q(\tau) = \int_0^{\Delta\eta} d\eta n(\eta, \tau) \quad \Rightarrow \quad \langle \delta Q(\tau)^2 \rangle = \underbrace{\sigma_2 F_2(X)}_{\text{initial}} + \underbrace{\chi_2 (1 - F_2(X))}_{\text{equilibrium}}$$





# Non-Gaussianity in Fluctuating Hydro?

It is **impossible** to directly extend the theory of hydro fluctuations to treat higher orders.

□ No a priori extension of FD relations to higher orders

□ **Theorem**

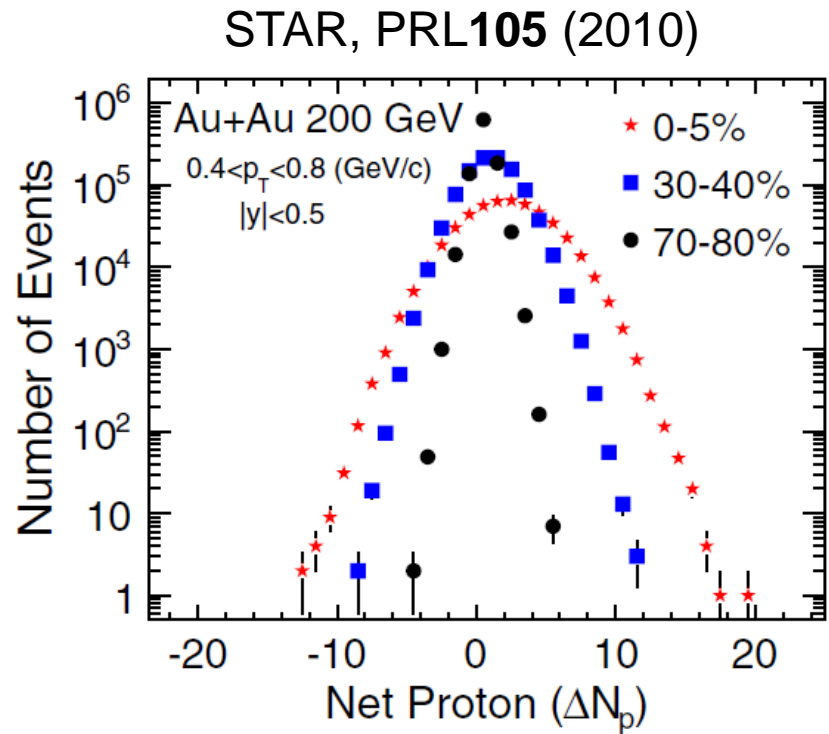
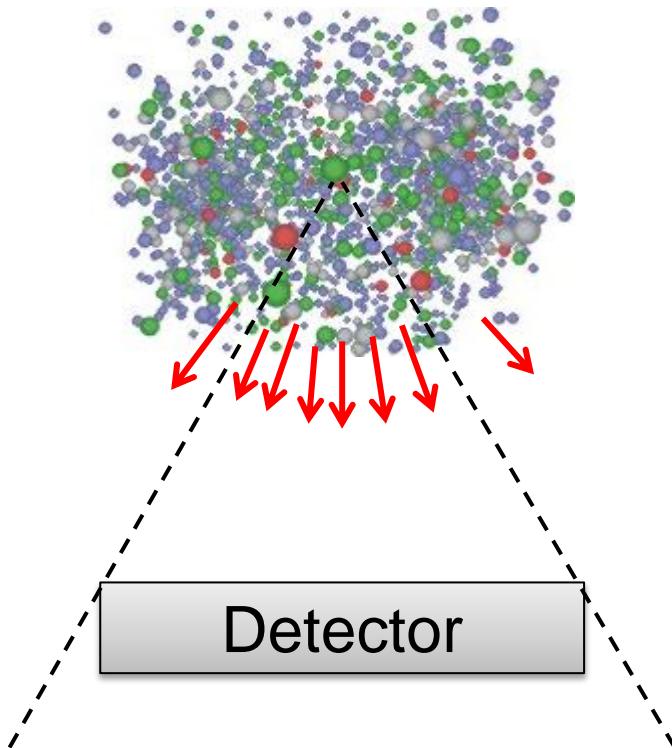
Markov process + continuous variable

→ Gaussian random force

cf) Gardiner, “Stochastic Methods”

# Event-by-Event Analysis @ HIC

Fluctuations can be measured by e-by-e analysis in HIC.



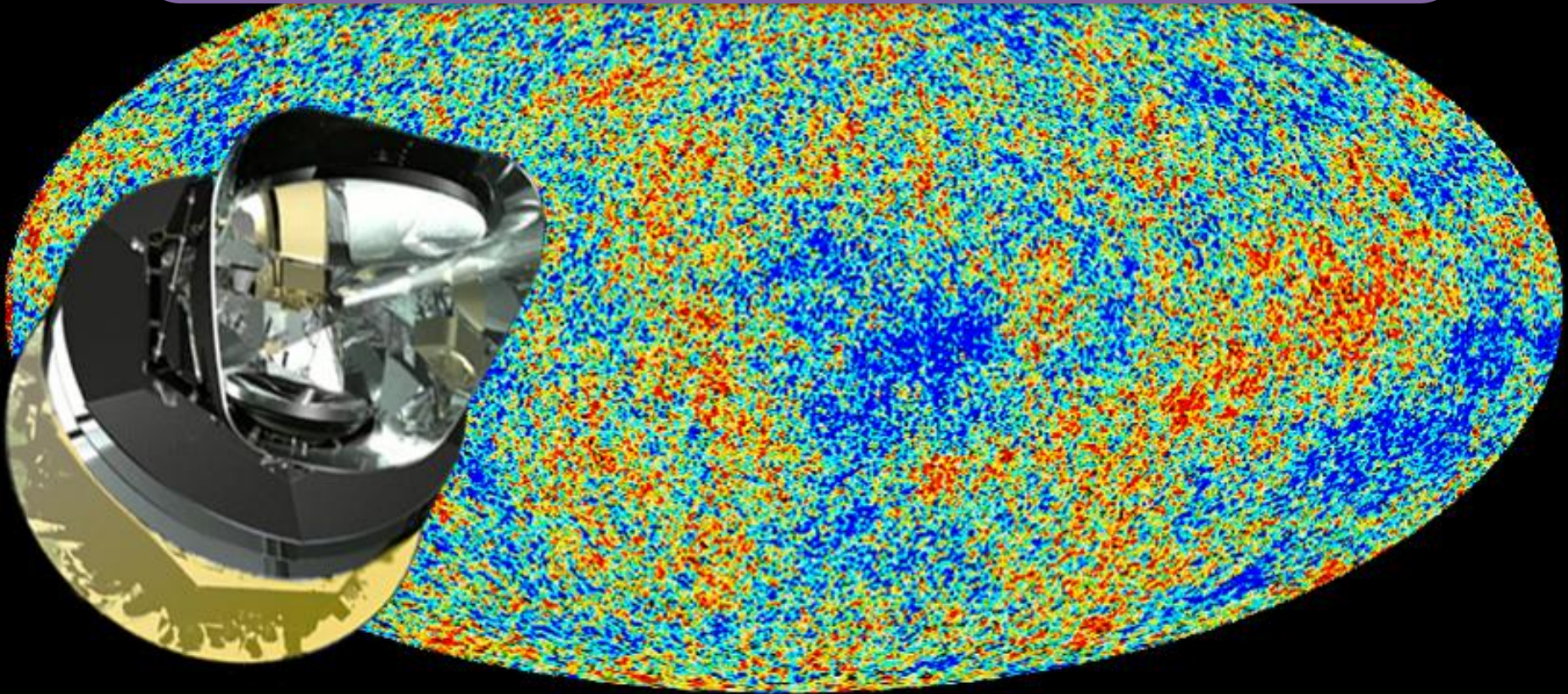
$$\langle \delta N^2 \rangle, \langle \delta N^3 \rangle, \langle \delta N^4 \rangle_c, \dots$$

# Non-Gaussianity

fluctuations (correlations)

$$\langle \delta n_1 \delta n_2 \rangle, \langle \delta n_1 \delta n_2 \delta n_3 \rangle, \langle \delta n_1 \delta n_2 \delta n_3 \delta n_4 \rangle_c, \dots$$

→ Non-Gaussianity



PLANCK : statistics insufficient to see non-Gaussianity...(2013)