

Fluctuations and the QCD Phase Structure from Effective Theories

Chihiro Sasaki

Frankfurt Institute for Advanced Studies

based on:

- C.S. and K. Redlich, Phys. Rev. D **86**, 014007 (2012).
- C.S., I. Mishustin and K. Redlich, arXiv:1308.3635 [hep-ph].
- P. M. Lo, B. Friman, O. Kaczmarek, K. Redlich and C.S., Phys. Rev. D **88**, 014506 (2013); arXiv:1307.5958 [hep-lat], to appear in PRD.

- QCD phase diagram? modeling QCD thermodynamics?



- good effective theories \Leftarrow good symmetries; p, N_c, N_f, T, μ_B
- effective theories, toy models \Leftarrow lattice QCD (pure YM, zero μ_B)

Outline

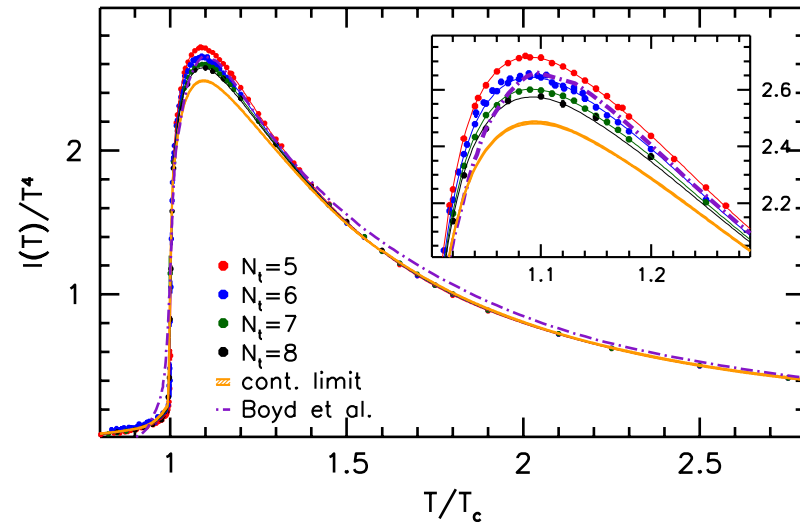
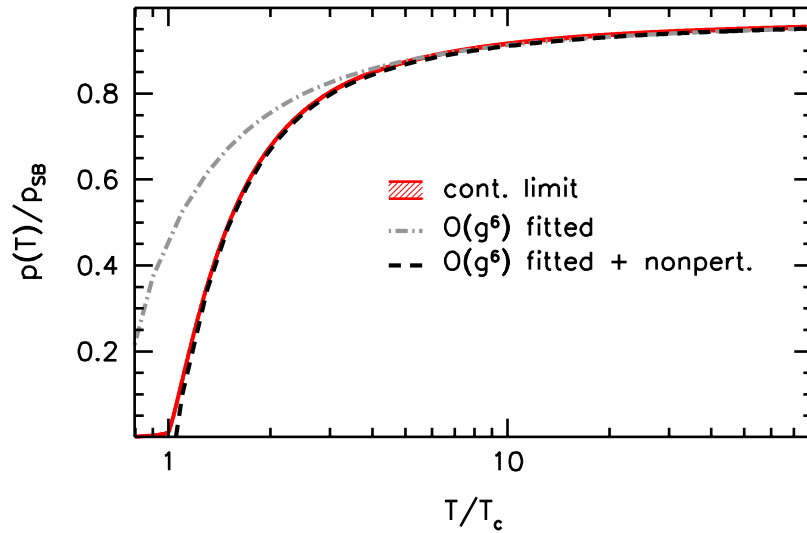
- Yang-Mills thermodynamics
- interplay between color-electric and color-magnetic gluons
- how to characterize (de)confinement in QCD?

I. Modeling Yang-Mills Thermodynamics

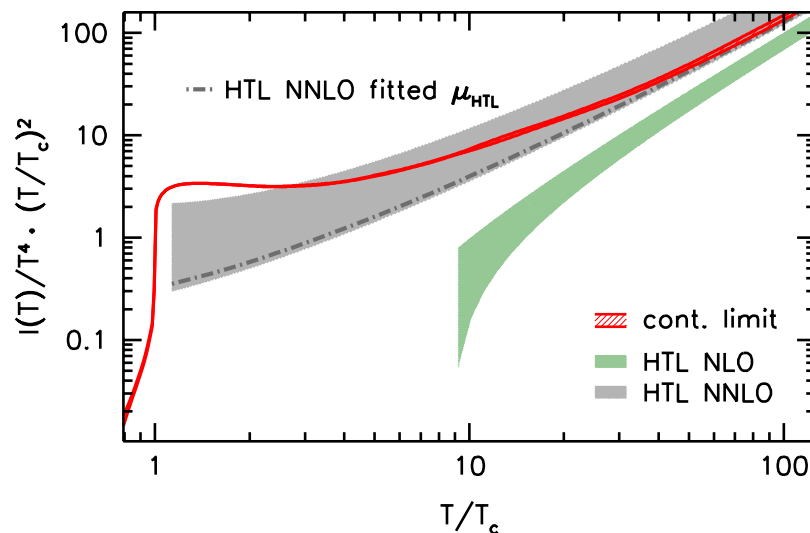
Yang-Mills thermodynamics from Lattice QCD

[Borsanyi et al. (12)]

- EoS does not reach SB limit. $I = \mathcal{E} - 3P$ does not vanish.



- $I/T^2 T_c^2 \sim \text{constant}$ in intermediate temperatures.



cf. $I^{\text{pert}} \propto (gT)^4$
 $\Rightarrow I^{\text{pert}}/T^2 \propto (gT)^2$

hot gluon matter in deconf. phase:
still nontrivial!

Residual interaction above T_{dec}

- quasi-particle approach: $m_g(T) \sim g_{\text{eff}}(T)T$ [Peshier et al., (96), Levai-Heinz (97)]
interaction measure (if $m_g/T \ll 1$): constant m_g ? what is g_{eff} ?

$$I \sim I_0 + Cm_g^2 T^2 + \dots \quad \Rightarrow \quad I/T^2 \sim Cm_g^2.$$

- how to model these aspects? \Rightarrow effective theory approach
... $Z(3)$ center symmetry and Polyakov loops Φ

- **Polyakov loop model** [Pisarski (00)]

made based on $Z(3)$ symmetry:

$$\mathcal{U} = a(T)\bar{\Phi}\Phi + b(T)\left(\bar{\Phi}^3 + \Phi^3\right) + c(T)\left(\bar{\Phi}\Phi\right)^2 + \dots$$

- T-dep. coefficients *unconstrained* by $Z(3)$
 \Rightarrow putting T-dep. by hand & fitting Lattice EoS **not unique!**
- drawback: insufficient \mathcal{U} for fluctuations [CS et al. (06), Lo et al. (13)]
- where T-dep. comes from? ... thermal gluon excitations $\sim \mathcal{L}_{\text{YM}}$
 \Rightarrow closer contact with the underlying theory

Deriving partition function from YM Lagrangian

- background field method, a constant uniform background \bar{A}_0

$$A_\mu = \bar{A}_\mu + g\check{A}_\mu$$

$$\bar{A}_\mu^a = \bar{A}_0^a \delta_{\mu 0}, \quad \bar{A}_0 = \bar{A}_0^3 T^3 + \bar{A}_0^8 T^8$$

$$\sum_n \ln \det \left(D^{-1} \right) = \ln \det \left(1 - \hat{L}_A e^{-|\vec{p}|/T} \right)$$

[Gross-Pisarski-Yaffe (81)]

- Polyakov loop matrix in adjoint representation (8x8 matrix)

$$\hat{L}_A = \text{diag} \left(1, 1, e^{i(\phi_1 - \phi_2)}, e^{-i(\phi_1 - \phi_2)}, e^{i(2\phi_1 + \phi_2)}, e^{-i(2\phi_1 + \phi_2)}, e^{i(\phi_1 + 2\phi_2)}, e^{-i(\phi_1 + 2\phi_2)} \right)$$

rank of SU(3) group = 2 \Rightarrow elements expressed in 2 variables

- express in terms of

$$\Phi = \text{tr} \hat{L}_F / 3, \quad \bar{\Phi} = \text{tr} \hat{L}_F^\dagger / 3, \quad \hat{L}_F = \text{diag} \left(e^{i\phi_1}, e^{i\phi_1}, e^{-i(\phi_1 + \phi_2)} \right).$$

- full thermodynamic potential:

$$\Omega = \underbrace{\Omega_g}_{\sim a(T) \bar{\Phi} \Phi?} + \underbrace{\Omega_{\text{Haar}}}_{\text{responsible for } Z(3) \text{ breaking}}$$

- full thermodynamics potential: $\Omega = \Omega_g + \Omega_{\text{Haar}}$ [CS-Redlich (2012)]

$$\Omega_g = 2T \int \frac{d^3p}{(2\pi)^3} \ln \left(1 + \sum_{n=1}^8 C_n(\Phi, \bar{\Phi}) e^{-n|\vec{p}|/T} \right),$$

$$\Omega_{\text{Haar}} = -a_0 T \ln \left[1 - 6\bar{\Phi}\Phi + 4 \left(\Phi^3 + \bar{\Phi}^3 \right) - 3 (\bar{\Phi}\Phi)^2 \right],$$

$$C_1 = C_7 = 1 - 9\bar{\Phi}\Phi, \quad C_2 = C_6 = 1 - 27\bar{\Phi}\Phi + 27 \left(\bar{\Phi}^3 + \Phi^3 \right),$$

$$C_3 = C_5 = -2 + 27\bar{\Phi}\Phi - 81 (\bar{\Phi}\Phi)^2,$$

$$C_4 = 2 \left[-1 + 9\bar{\Phi}\Phi - 27 \left(\bar{\Phi}^3 + \Phi^3 \right) + 81 (\bar{\Phi}\Phi)^2 \right], \quad C_8 = 1$$

⇒ energy distributions solely determined by group characters of SU(3)

– no free parameter in Ω_g

– one parameter in Ω_{Haar} : $a_0 \Leftrightarrow T_c^{\text{lat}} = 270 \text{ MeV}$

all the phenomenological potentials deduced from Ω_g !

$$\Omega \rightarrow \alpha(T)\bar{\Phi}\Phi + \Omega_{\text{Haar}}, \quad \Omega \rightarrow \text{polynomials}$$

Character expansion of Ω_g

- effective gluon mass: $M_g(T) \sim g(T)T$ *nonperturbative!*

$$M_g/T \gg 1 \quad \Leftrightarrow \quad g(T) \gg 1$$

$$\Omega_g \sim \frac{T^2 M_g^2}{\pi^2} \sum_{n=1}^8 \frac{C_n}{n} K_2(nM_g/T) .$$

- effective action in the strong coupling exp. [Wozar-Kaestner-Wipf-Heinzl-Pozsgay (06)]

$$\Omega_{\text{eff}}^{(\text{SC})} = \lambda_{10} S_{10} + \lambda_{20} S_{20} + \lambda_{11} S_{11} + \lambda_{21} S_{21}$$

Ω_g vs. $\Omega^{(\text{SC})}$: one-to-one correspondence!

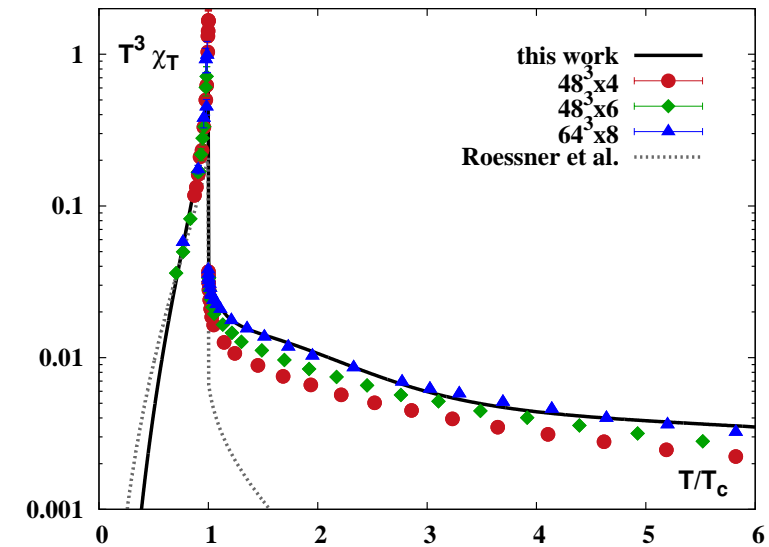
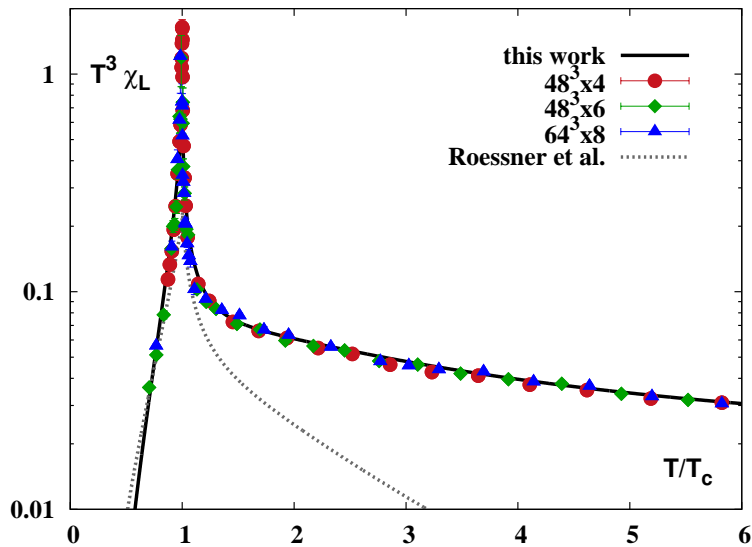
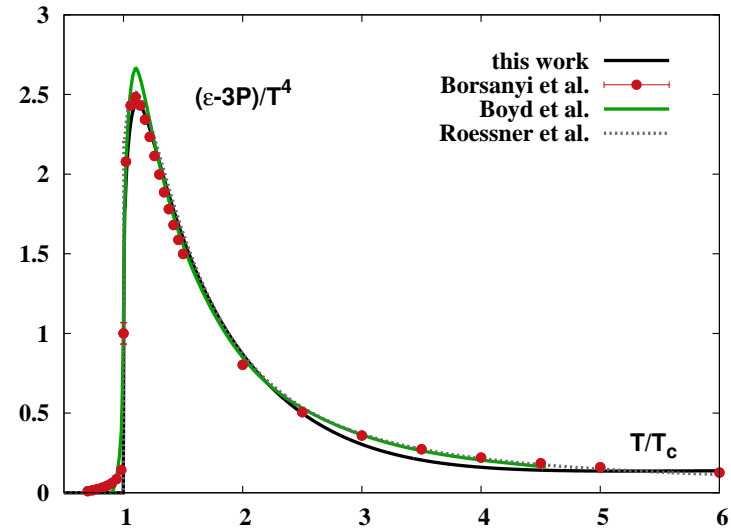
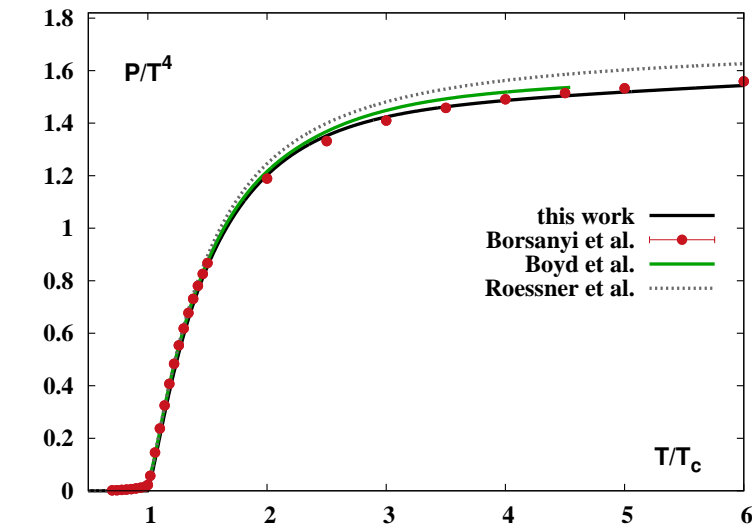
$$C_{1,7} = S_{10}, \quad C_{2,6} = S_{21}, \quad C_{3,5} = S_{11}, \quad C_4 = S_{20}$$

- T-dep. coefficients λ_{pq}
 - SC: derived from the underlying action
 - extracted from $\Omega_g \Rightarrow$ 1st-order phase transition with $\langle \Phi \rangle$

Truncation?

$$\frac{\Omega}{T^4} = -a(T)\bar{\Phi}\Phi + b(T)\ln M_{\text{Haar}}(\bar{\Phi}, \Phi) + c(T)(\bar{\Phi}^3 + \Phi^3) + d(T)(\bar{\Phi}\Phi)^2.$$

[Lo-Friman-Kaczmarek-Redlich-CS (2013)]



Thermodynamics

- any finite temperature in confined phase: $\Phi = 0$ thus $\Omega_{\text{Haar}} \sim 0$

$$\Omega_g(\Phi = \bar{\Phi} = 0) \sim 2T \int \frac{d^3p}{(2\pi)^3} \ln \left(1 + e^{-|\vec{p}|/T} \right)$$

wrong sign! \Rightarrow unphysical EoS $p, s, \epsilon < 0$

Glueons are NOT correct dynamical variables below T_c !

- ★ “conventional” potential $\Omega_{\text{polynomial}} \Rightarrow$ gluon confinement NOT seen
- ★ correct physics from the terms obtained in character expansion.
- cf. PNJL/PQM: quarks are suppressed but exist at any T.

[Meisinger-Ogilvie (96), Fukushima (03), Ratti et al. (06)]

$$\mathcal{L} = \bar{q} (i\cancel{D} - A) q + G (\bar{q}q)^2 - \mathcal{U}(\bar{\Phi}, \Phi), \quad A_\mu = \delta_{\mu 0} A^0$$

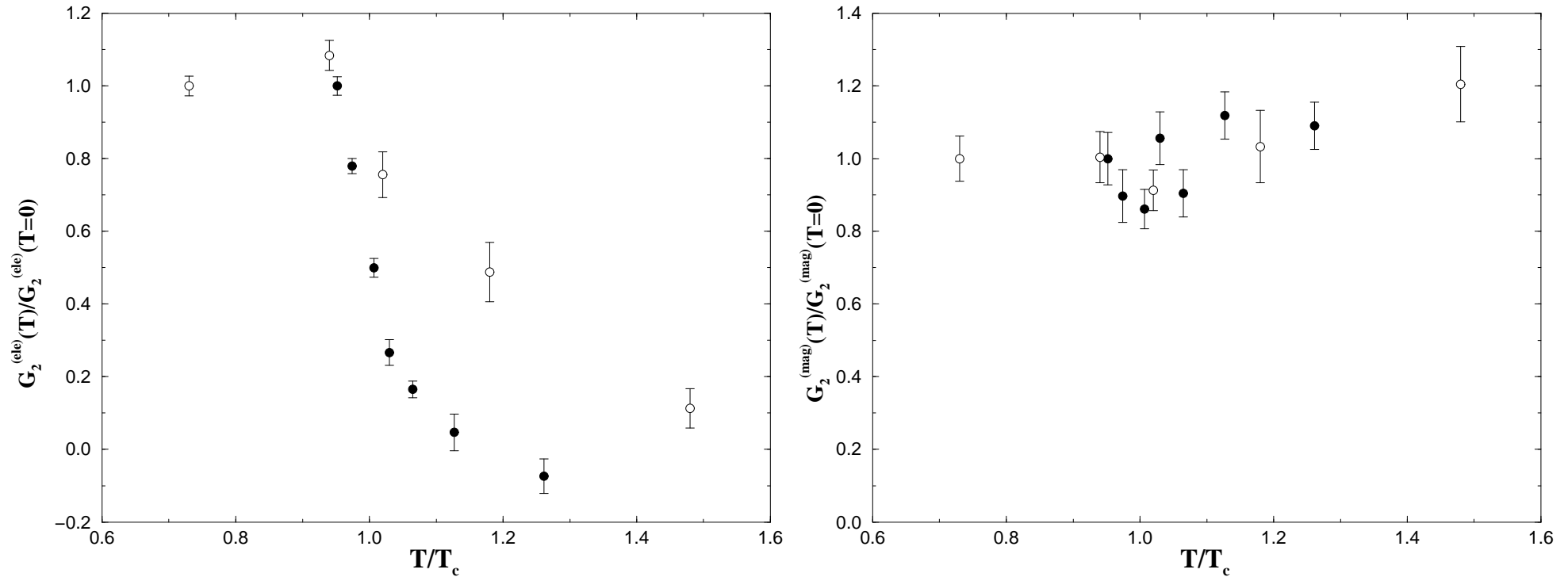
$$\Omega_q = -d_q T \int \frac{d^3p}{(2\pi)^3} \ln \left[1 + 3\Phi e^{-E_+/T} + 3\bar{\Phi} e^{-2E_+/T} + e^{-3E_+/T} \right]$$

$\langle \Phi \rangle \simeq 0$ at low T: 1- and 2-quark states *thermodynamically* irrelevant
 \Rightarrow *mimicking confinement*

II. Chromoelectric and Chromomagnetic Dynamics

Glueball condensate from LQCD

[D'Elia-Di Giacomo-Meggiolaro (02)]



$$D_{\mu\nu\sigma\lambda}(x) = \langle g^2 \text{tr} G_{\mu\nu}(x) \Phi(x, 0) G_{\sigma\lambda}(0) \Phi(0, x) \rangle ,$$
$$\Phi(x, y) = \mathcal{P} \exp \left[ig \int_x^y dx^\mu A_\mu(x) \right] .$$

Chromoelectric vs. chromomagnetic gluons

- $\langle \Phi \rangle \rightarrow 1$ limit: $P \rightarrow P_{\text{ideal}}, I \rightarrow 0 \dots$ QCD trace anomaly?
 \Rightarrow scale symmetry breaking and a dilaton [Schechter (80)]

$$V_\chi = \frac{B}{4} \left(\frac{\chi}{\chi_0} \right)^4 \left[\ln \left(\frac{\chi}{\chi_0} \right)^4 - 1 \right]$$

- *electric sector* ($G_{0i}^2 \sim E^2$) changes qualitatively with T :
deconfinement phase transition driven by electric gluons
- *magnetic sector* ($G_{ij}^2 \sim B^2$) unaffected:
string tension non-vanishing at any T [Borgs (85), Manousakis-Polonyi (87)]

dilatons can survive above T_c !

$\Phi \Leftrightarrow A_0$: electric $\chi \Leftrightarrow G_{\mu\nu}G^{\mu\nu}$: electric plus magnetic

cf. $\langle E^2 \rangle_T, \langle B^2 \rangle_T$ from D'Elia-Di Giacomo-Meggiolaro (02)

non-vanishing $\langle \chi \rangle \Leftrightarrow$ broken scale symmetry \Leftrightarrow magnetic confinement

An effective theory

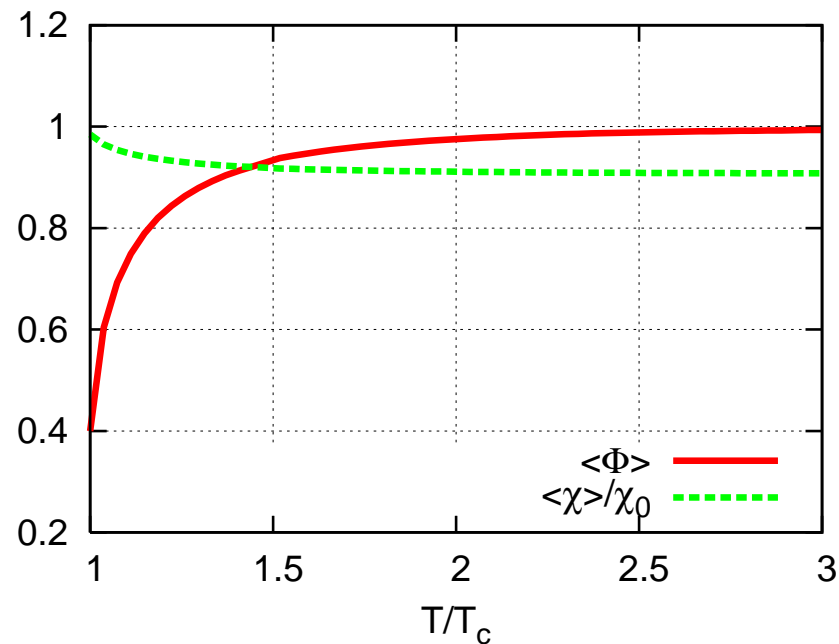
[CS-Mishustin-Redlich (13)]

- effective potential

$$\Omega(\Phi, \chi; T) = \Omega_g(\Phi; T) + \Omega_{\text{Haar}}(\Phi; T) + V_\chi(\chi) + V_{\text{mix}}(\Phi, \chi),$$

$$V_{\text{mix}}(\Phi, \chi) = G_{\phi\chi} \left(\frac{\chi}{\chi_0} \right)^4 \bar{\Phi}\Phi : \text{invariant under } Z(3) \text{ and scale sym.}$$

- two condensates: $\chi/\chi_0 = \exp[-G_{\phi\chi}\bar{\Phi}\Phi/B]$



- $\langle\chi\rangle$ at higher temperature? ... magnetic scale $g^2(T)T!$

- residual interaction at high temperature: *magnetic confinement*

- matching to 3d YM theory (high T effective theory)

$$\mathcal{D} \sim \langle B^2 \rangle e^{-|\vec{x}|/\xi}, \quad \sigma_s \sim \langle B^2 \rangle \xi^2 \quad \Leftrightarrow \quad \text{3-dim YM: } \sqrt{\sigma_s} = c_s g^2(T) T$$

$$\langle B^2 \rangle = c_B \left(g^2(T) T \right)^4 \quad c_B = \frac{6}{\pi} c_s^2 c_m^2, \quad m_{\text{mag}} = c_m g^2(T) T.$$

[Agasian (03)]

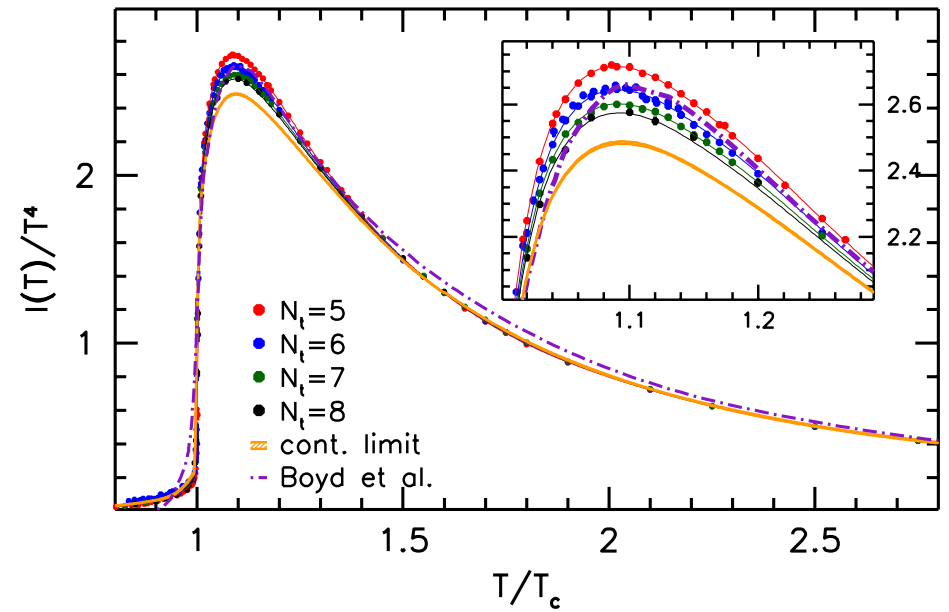
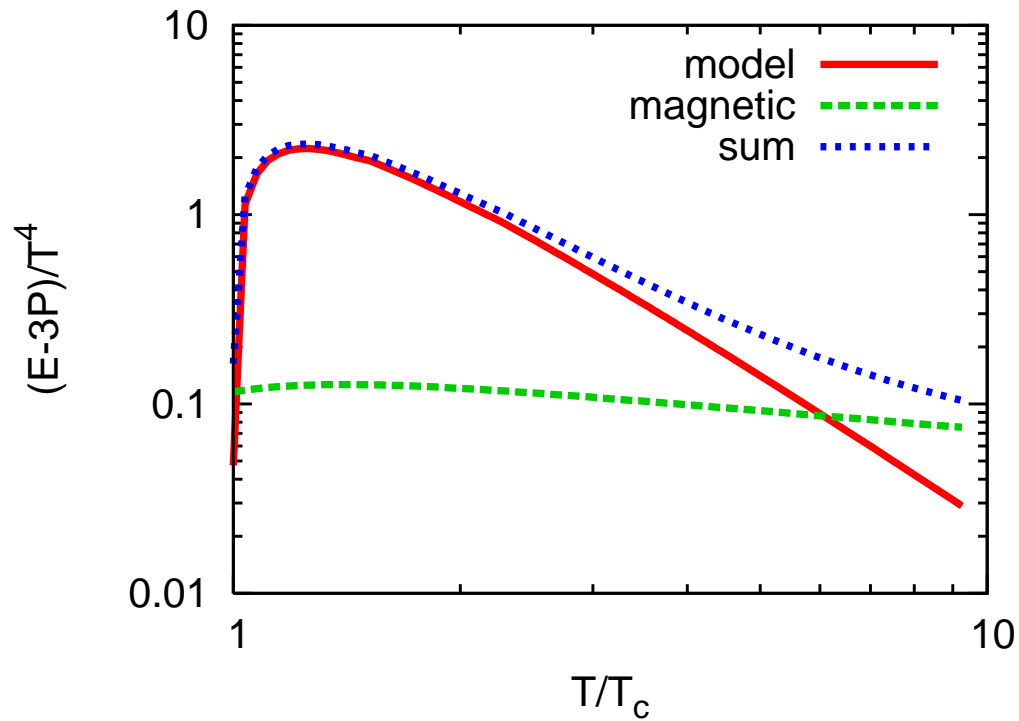
- identify $\langle B^2 \rangle$ with $\langle \chi \rangle^4$ at “high” T: $V(\chi) \rightarrow V(g^2(T) T)$

$$\Omega = \underbrace{\Omega_g}_{\sim T^4} + \underbrace{\Omega_{\text{Haar}}}_{\sim T} + \underbrace{V_{\chi+\text{mix}}}_{\sim \text{const} \Rightarrow \sim (g^2 T)^4}$$

$$I = \mathcal{E} - 3P$$

$$= \underbrace{3\Omega_g - 2 \int \frac{d^3 p}{(2\pi)^3} \frac{p \sum_n n C_n e^{-np/T}}{1 + \sum_n C_n e^{-np/T}}}_{\sim T^4 \Rightarrow 0 \times T^4} + \underbrace{3\Omega_{\text{Haar}}}_{\sim T} + \underbrace{4(V_\chi + V_{\text{mix}})}_{\sim \text{const} \Rightarrow \sim (g^2 T)^4}$$

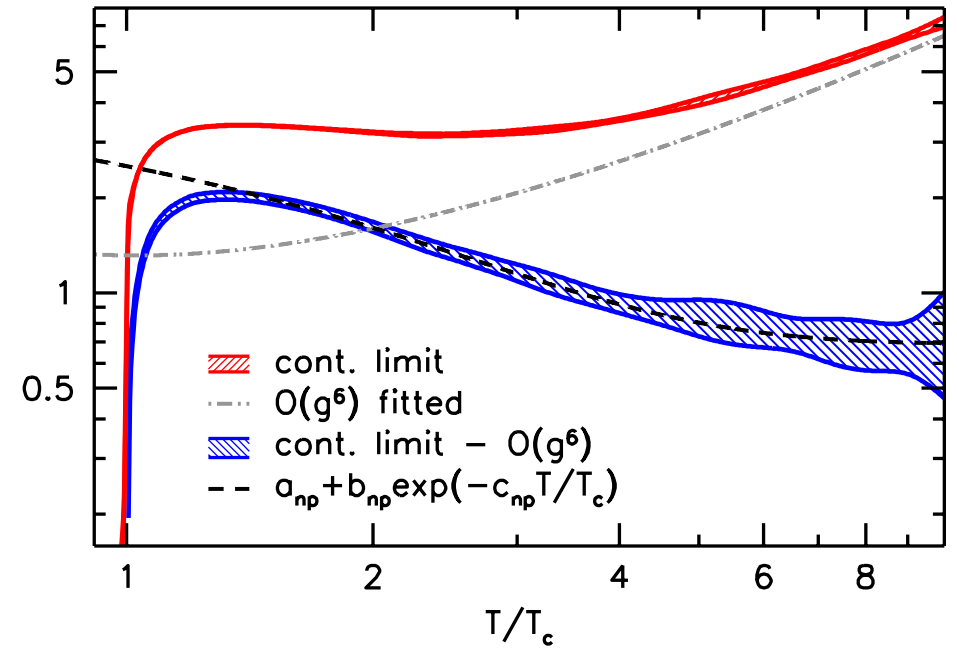
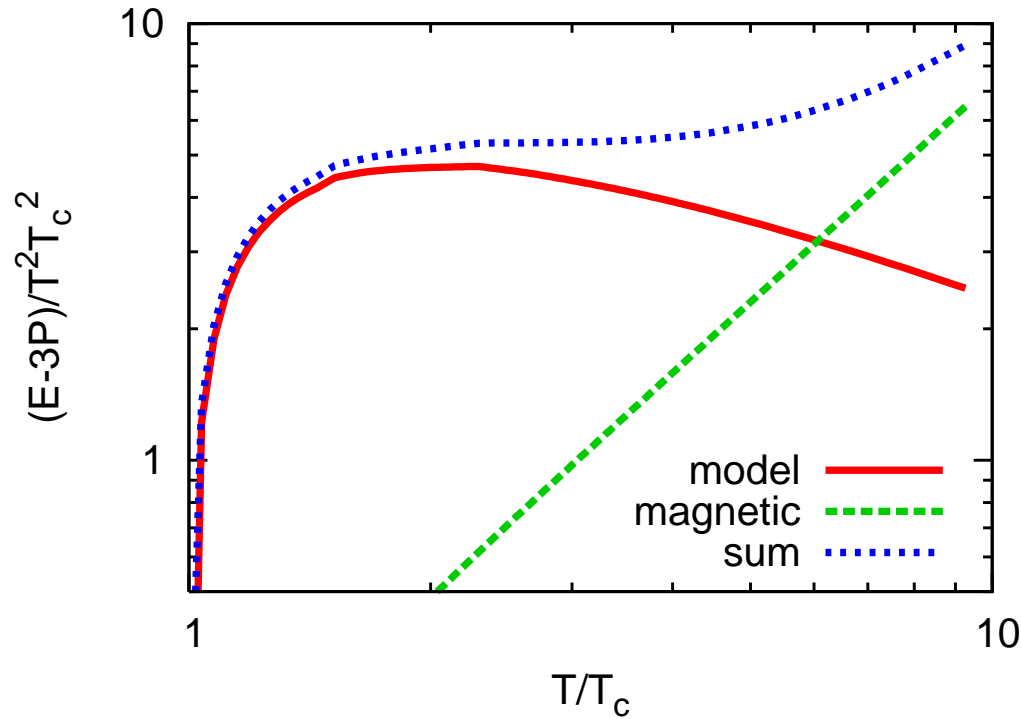
- interaction measure: this model (L) & lattice [Borsanyi et al. (12)] (R)



$$\Omega = \underbrace{\Omega_g}_{\sim T^4} + \underbrace{\Omega_{\text{Haar}}}_{\sim T} + \underbrace{V_{\chi+\text{mix}}}_{\sim \text{const} \Rightarrow \sim (g^2 T)^4}$$

$$I_1(T < T_0) : V_{\chi+\text{mix}} \sim \text{const} \Rightarrow I_2(T_0 < T) : V_{\chi+\text{mix}} \sim \#T^4$$

- interaction measure: this model (L) & lattice [Borsanyi et al. (12)] (R)



$$\Omega = \underbrace{\Omega_g}_{\sim T^4} + \underbrace{\Omega_{\text{Haar}}}_{\sim T} + \underbrace{V_{\chi+\text{mix}}}_{\sim \text{const} \Rightarrow \sim (g^2 T)^4}$$

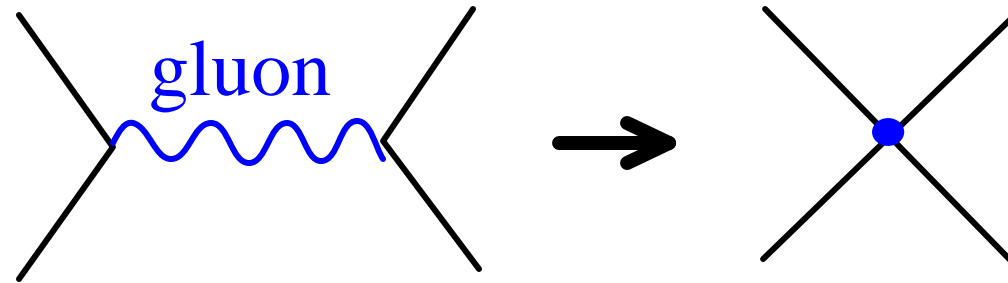
$$I_1(T < T_0) : V_{\chi+\text{mix}} \sim \text{const} \Rightarrow I_2(T_0 < T) : V_{\chi+\text{mix}} \sim \#T^4$$

– decreasing I_1/T^2 + increasing $I_2/T^2 \Rightarrow I/T^2 \sim \text{constant}$

– tendency of I^{lat}/T^2 is reproduced. *alternative to HTL!*

How to introduce quarks?

- non-pert. part \sim **non-local** (T, Φ) effective 4-fermi interaction



ref1. Kondo (2010):

SU(2) formulation using Faddeev-Niemi decomposition and FRG
 \Rightarrow SU(3)???

ref2. Haas et al. (2013):

quark back-reaction to the gluon potential in PQM plus FRG

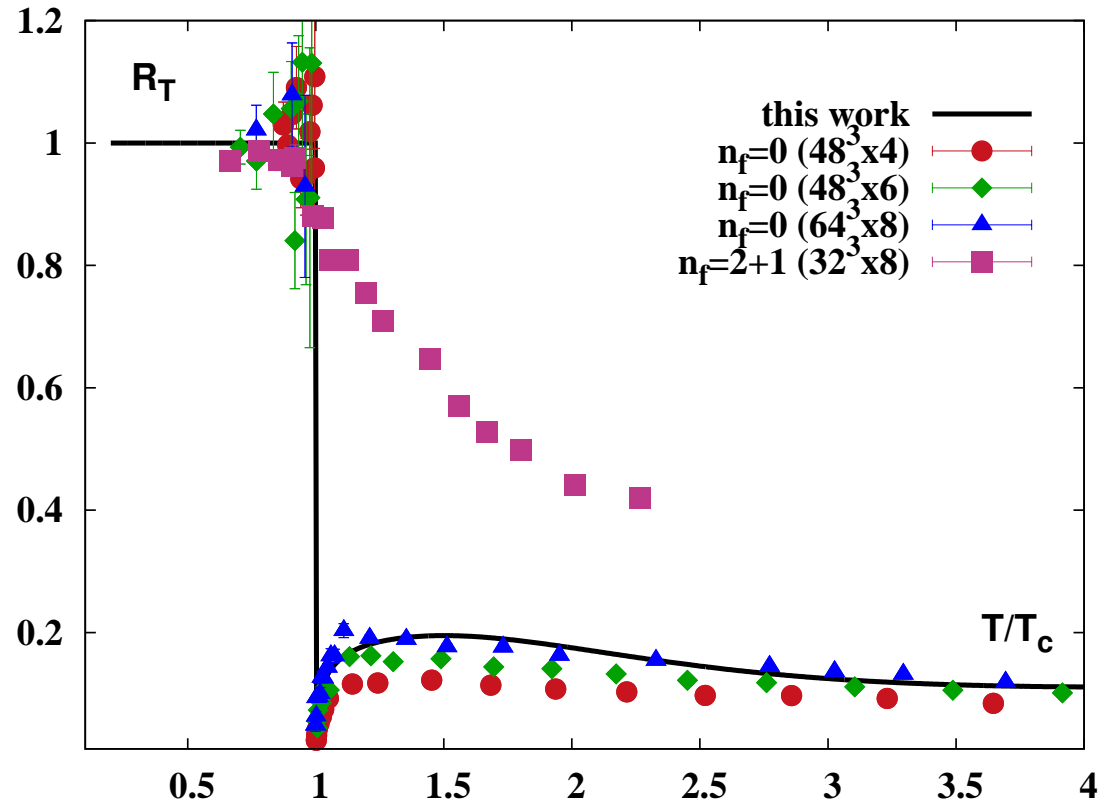
- NP/microscopic derivation w/ RG needs to be done in future.

III. Fluctuations of Φ and n_B

characterizing deconfinement of quarks and gluons in QCD?

⇒ Polyakov loop ...?

⇒ fluctuations!

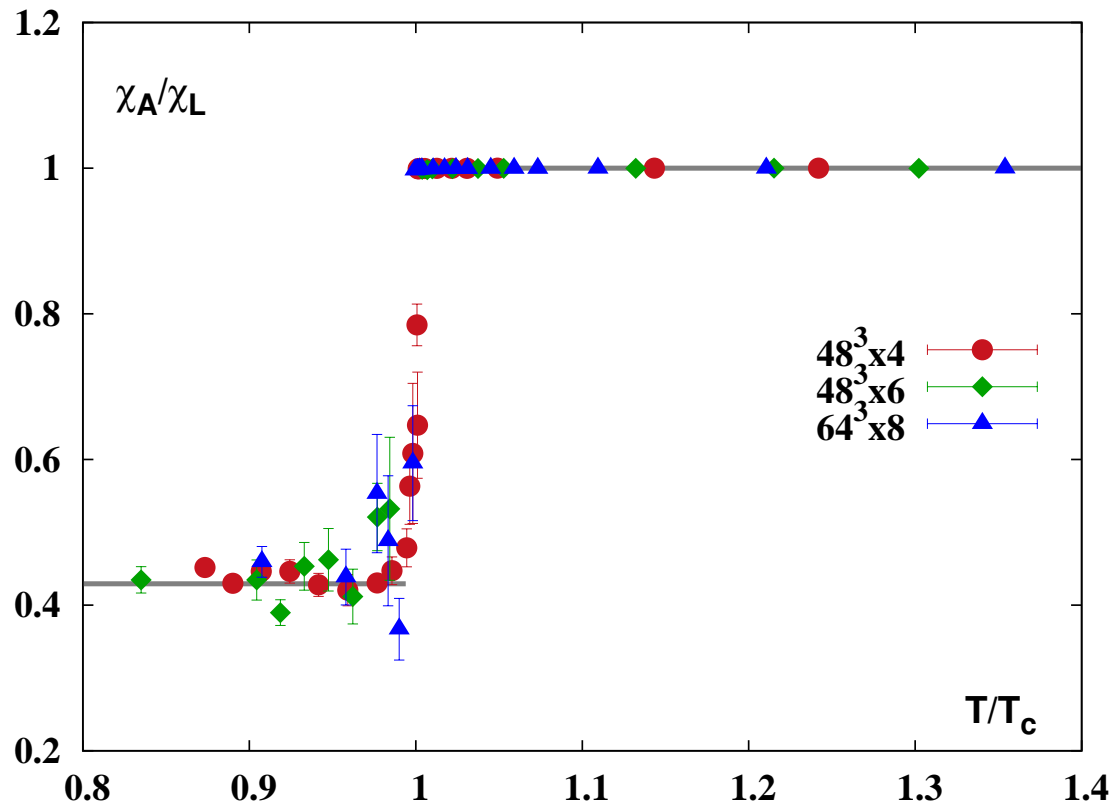


... but not all of them usefull.

⇒ What needs to be looked at?

Probing deconfinement with Polyakov loop susceptibilities (YM)

[Lo-Friman-Kaczmarek-Redlich-CS (2013)]



$N_c = 3 : L = L_R + iL_I$
 susceptibilities of modulus,
 real and imaginary part of L :

$$T^3 \chi_A = \frac{N_\sigma^3}{N_\tau^3} (\langle |L|^2 \rangle - \langle |L| \rangle^2) ,$$

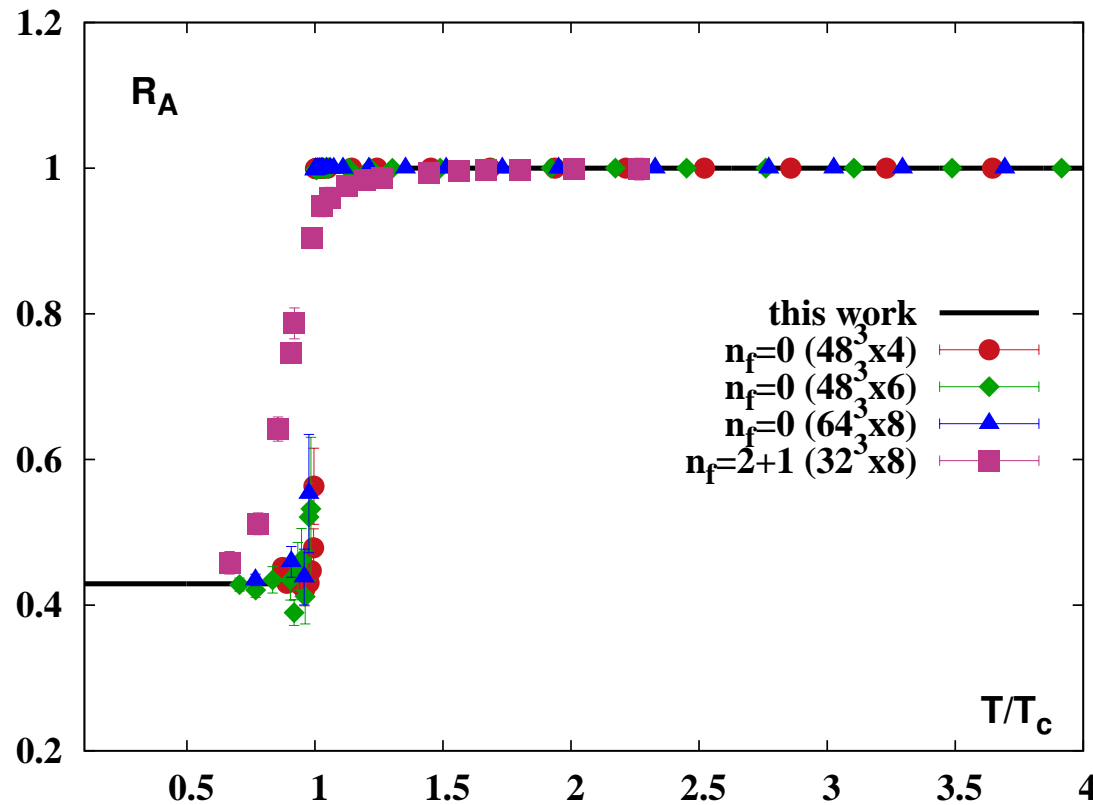
$$T^3 \chi_{R,I} = \frac{N_\sigma^3}{N_\tau^3} (\langle L_{R,I}^2 \rangle - \langle L_{R,I} \rangle^2) .$$

ratio $R_A = \chi_A/\chi_R$

- deconf. phase: $R_A \simeq 1$ from spontaneous $Z(3)$ breaking with $\langle L_I \rangle = 0$
- conf. phase: $R_A \simeq 0.43$ from unbroken $Z(3) \Leftarrow R_A^{\text{Gaussian}} = 2 - \frac{\pi}{2}$

Probing deconfinement with Polyakov loop susceptibilities (QCD)

[Lo-Friman-Kaczmarek-Redlich-CS (2013)]



$N_f = 2 + 1$: HISQ

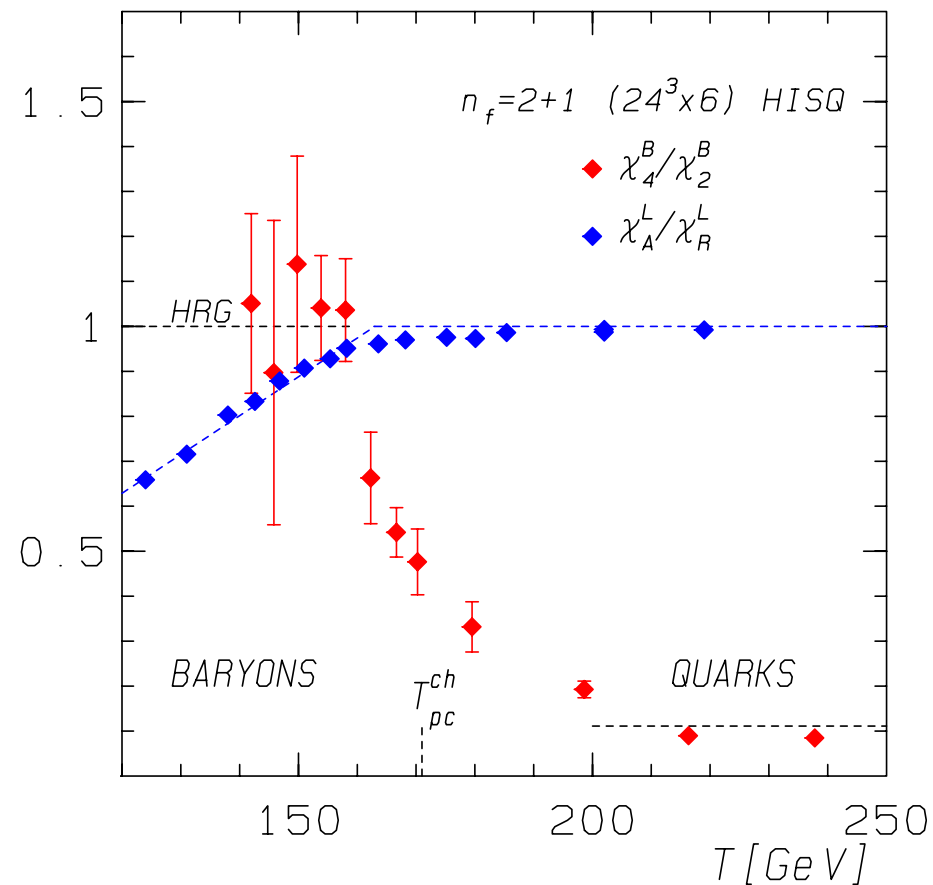
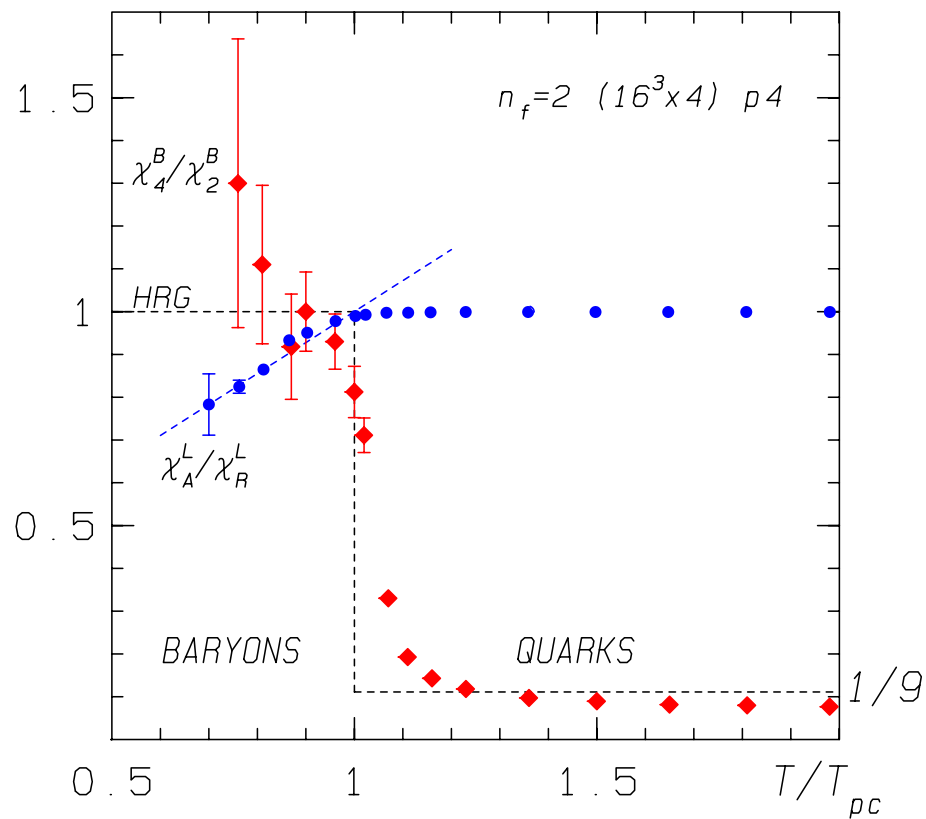
[Bazavov et al. ('12)]

$T_c = T_{\text{ch}} \sim 155 \text{ MeV}$

- dynamical quarks smoothen susceptibilities.
- a clear remnant of $Z(3)$ in R_A
- R_A characterizing gluon deconfinement: $T_{\text{ch}} \simeq T_{\text{g-deconf}}$!

How to characterize deconfinement?

[Friman-Kaczmarek-Karsch-Lo-Redlich-CS]



- quark number susceptibilities: kurtosis measures fermion number B^2
- ratios χ_4^B / χ_2^B and $R_A = \chi_A / \chi_R \Rightarrow$ quark and gluon conf.
- $T_{ch} \simeq T_{q-deconf} \simeq T_{g-deconf}$ at $\mu = 0!$

Summary

- **derivation of gluon partition function from YM Lagrangian**
 - Polyakov loops naturally appear representing group character.
 - gluons are forbidden below T_c dynamically.
- **interplay between chromoelectric and chromomagnetic gluon dynamics**
 - identify $\langle B^2 \rangle$ with $\langle \chi^4 \rangle$
 - matching to 3-dim theory: magnetic scale $g^2(T)T$ comes in.
 - consistent with I/T^2 in LQCD

strong gauge dynamics: fluctuations and correlations for better discrimination