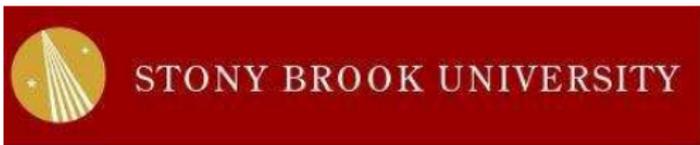


Constraints on the Dense Matter Equation of State from Experiments, Theory and Observations

J. M. Lattimer



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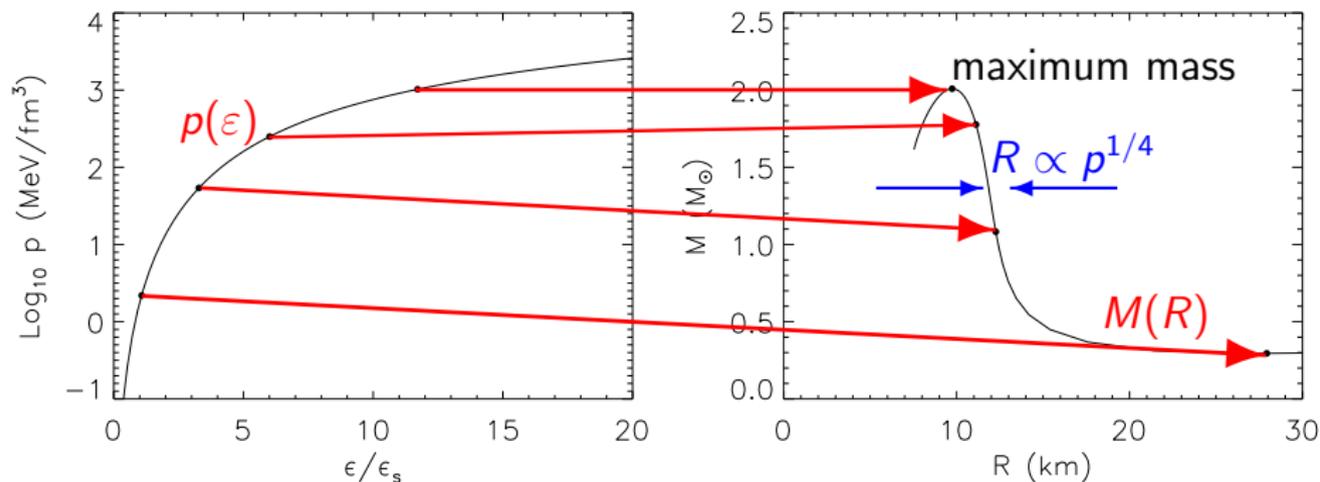
Supernovae and Gamma Ray Bursts in Kyoto 2013
Yukawa Institute, Supernova Conference, 28 October - 1 November, 2013

- ▶ General Relativity Constraints on Neutron Star Structure
 - ▶ Maximally Compact Equation of State
 - ▶ Radius Limits from the Neutron Star Maximum Mass and Causality
 - ▶ Constraints on Quark Matter in Hybrid Star Interiors
- ▶ The Neutron Star Radius and the Nuclear Symmetry Energy
- ▶ Nuclear Experimental Constraints on the Symmetry Energy
 - ▶ Binding Energies
 - ▶ Heavy ion Collisions
 - ▶ Neutron Skin Thicknesses
 - ▶ Dipole Polarizabilities and Giant Dipole Resonances
 - ▶ Isobaric Analog States
- ▶ Constraints from Pure Neutron Matter
- ▶ Astrophysical Constraints
 - ▶ Pulsar and X-ray Binary Mass Measurements
 - ▶ Photospheric Radius Expansion Bursts
 - ▶ Thermal Emission from Isolated and Quiescent Binary Sources
 - ▶ Other Proposed Mass and Radius Constraints

Neutron Star Structure

Tolman-Oppenheimer-Volkov equations

$$\frac{dp}{dr} = -\frac{G}{c^4} \frac{(mc^2 + 4\pi pr^3)(\epsilon + p)}{r(r - 2Gm/c^2)}$$
$$\frac{dm}{dr} = 4\pi \frac{\epsilon}{c^2} r^2$$

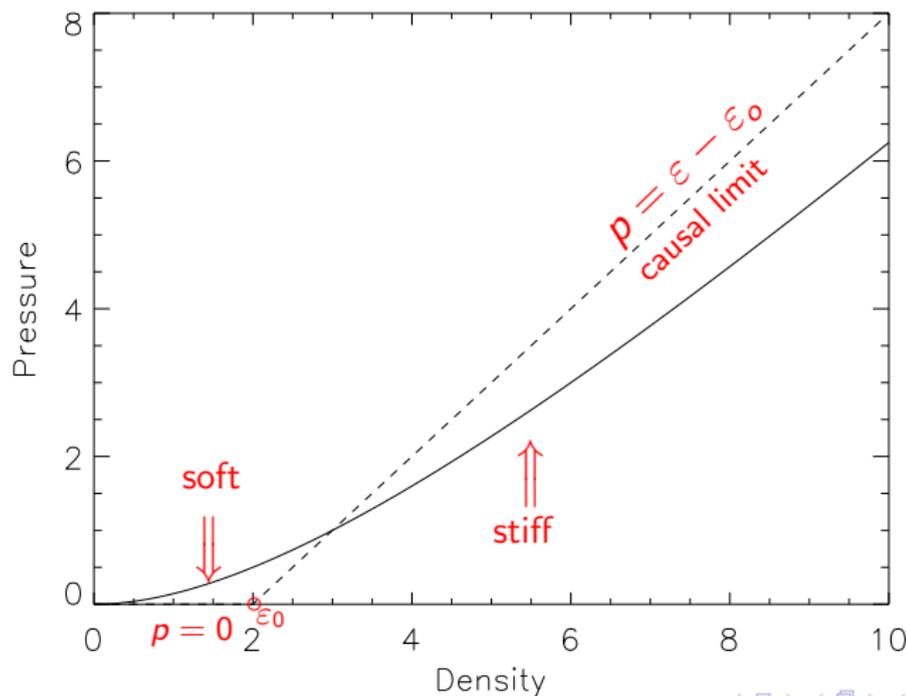


Equation of State

Observations

Extremal Properties of Neutron Stars

- ▶ The most compact and massive configurations occur when the low-density equation of state is "soft" and the high-density equation of state is "stiff" (Koranda, Stergioulas & Friedman 1997).



ε_0 is the only EOS parameter

The TOV solutions scale with ε_0

$$w = \varepsilon/\varepsilon_0$$

$$y = p/\varepsilon_0$$

$$x = r\sqrt{G\varepsilon_0}/c^2$$

$$z = m\sqrt{G^3\varepsilon_0}/c^2$$

Extremal Properties of Neutron Stars

The maximum mass configuration is achieved when
 $x_R = 0.2404$, $w_c = 3.034$, $y_c = 2.034$, $z_R = 0.08513$.

A useful reference density is the nuclear saturation density
(interior density of normal nuclei):

$$\rho_s = 2.7 \times 10^{14} \text{ g cm}^{-3}, \quad n_s = 0.16 \text{ baryons fm}^{-3}, \quad \varepsilon_s = 150 \text{ MeV fm}^{-3}$$

- ▶ $M_{\max} = 4.1 (\varepsilon_s/\varepsilon_0)^{1/2} M_\odot$ (Rhoades & Ruffini 1974)
- ▶ $M_{B,\max} = 5.41 (m_B c^2/\mu_o)(\varepsilon_s/\varepsilon_0)^{1/2} M_\odot$
- ▶ $R_{\min} = 2.82 GM/c^2 = 4.3 (M/M_\odot) \text{ km}$
- ▶ $\mu_{b,\max} = 2.09 \text{ GeV}$
- ▶ $\varepsilon_{c,\max} = 3.034 \varepsilon_0 \simeq 51 (M_\odot/M_{\text{largest}})^2 \varepsilon_s$
- ▶ $p_{c,\max} = 2.034 \varepsilon_0 \simeq 34 (M_\odot/M_{\text{largest}})^2 \varepsilon_s$
- ▶ $n_{B,\max} \simeq 38 (M_\odot/M_{\text{largest}})^2 n_s$
- ▶ $BE_{\max} = 0.34 M$
- ▶ $P_{\min} = 0.74 (M_\odot/M_{\text{sph}})^{1/2} (R_{\text{sph}}/10 \text{ km})^{3/2} \text{ ms} =$
 $0.20 (M_{\text{sph,max}}/M_\odot) \text{ ms}$

Causality and the Maximum Mass

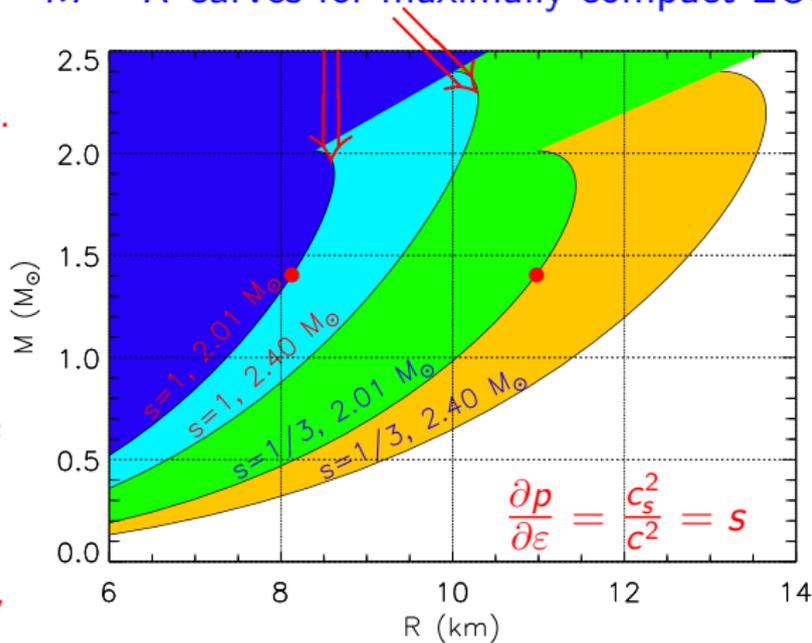
A lower limit to the maximum mass sets a lower limit to the radius for a given mass.

Similarly, a precise (M, R) measurement sets an upper limit to the maximum mass.

$1.4M_{\odot}$ stars must have $R > 8.15M_{\odot}$.

$1.4M_{\odot}$ strange quark matter stars (and likely hybrid quark/hadron stars) must have $R > 11$ km.

$M - R$ curves for maximally compact EOS



Mass-Radius Diagram and Theoretical Constraints

GR:

$$R > 2GM/c^2$$

$P < \infty$:

$$R > (9/4)GM/c^2$$

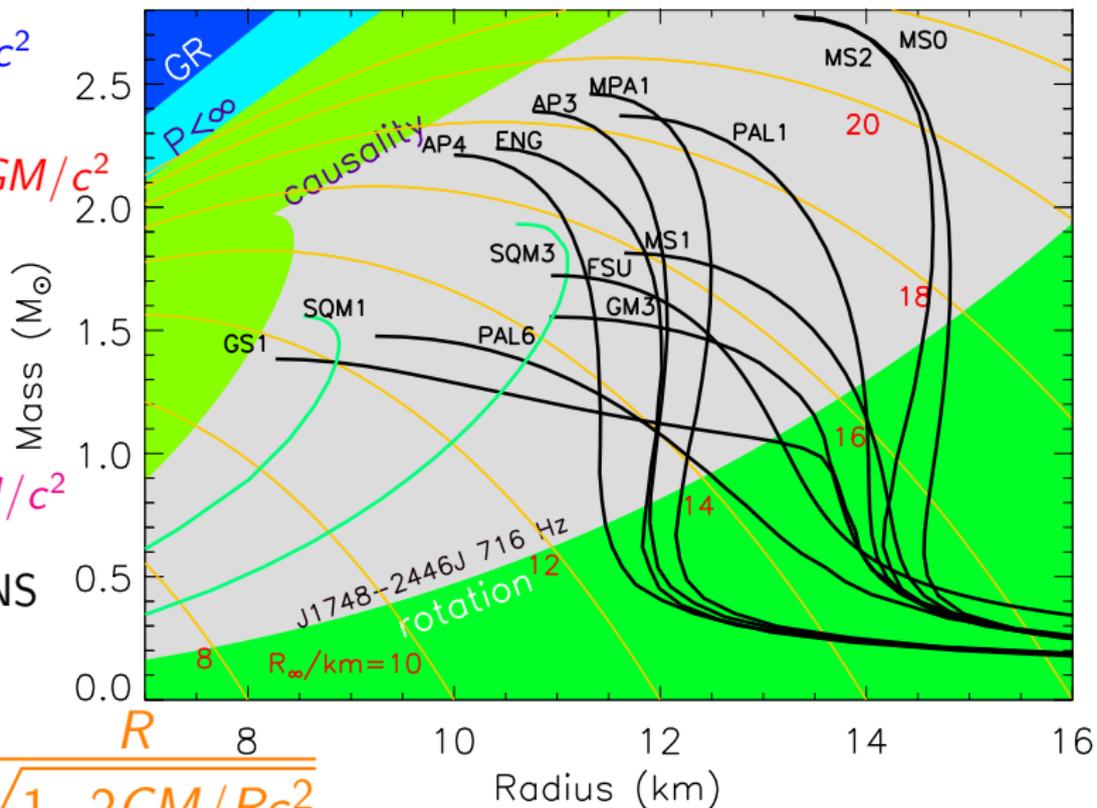
causality:

$$R \gtrsim 2.9GM/c^2$$

— normal NS

— SQS

$$R_\infty = \frac{R}{\sqrt{1 - 2GM/Rc^2}}$$



Nuclear Symmetry Energy

Defined as the difference between energies of pure neutron matter ($x = 0$) and symmetric ($x = 1/2$) nuclear matter.

$$S(\rho) = E(\rho, x = 0) - E(\rho, x = 1/2)$$

Expanding around the saturation density (ρ_s) and symmetric matter ($x = 1/2$)

$$E(\rho, x) = E(\rho, 1/2) + (1-2x)^2 S_2(\rho) + \dots$$

$$S_2(\rho) = S_v + \frac{L}{3} \frac{\rho - \rho_s}{\rho_s} + \dots$$

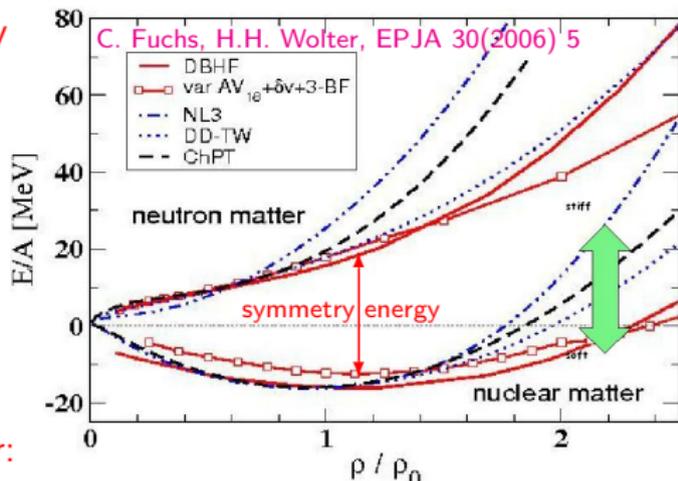
$$S_v \simeq 31 \text{ MeV}, \quad L \simeq 50 \text{ MeV}$$

Connections to pure neutron matter:

$$E(\rho_s, 0) \approx S_v + E(\rho_s, 1/2) \equiv S_v - B, \quad \rho(\rho_s, 0) = L\rho_s/3$$

Neutron star matter (in beta equilibrium):

$$\frac{\partial(E + E_e)}{\partial x} = 0, \quad \rho(\rho_s, x_\beta) \simeq \frac{L\rho_s}{3} \left[1 - \left(\frac{4S_v}{\hbar c} \right)^3 \frac{4 - 3S_v/L}{3\pi^2 \rho_s} \right]$$



Determining Symmetry Parameters from Nuclear Masses

From liquid drop model:

$$E_{\text{sym}}(N, Z) = (S_v A - S_s A^{2/3}) I^2$$

$$\chi^2 = \sum_i (E_{\text{ex},i} - E_{\text{sym},i})^2 / N \sigma_D^2$$

$$\chi_{vv} = \frac{2}{N \sigma_D^2} \sum_i I_i^4 A_i^2$$

$$\chi_{ss} = \frac{2}{N \sigma_D^2} \sum_i I_i^4 A_i^{4/3}$$

$$\chi_{vs} = \frac{2}{N \sigma_D^2} \sum_i I_i^4 A_i^{5/3}$$

$$\sigma_{S_v} = \sqrt{\frac{2\chi_{ss}}{\chi_{vv}\chi_{ss} - \chi_{sv}^2}} \simeq 2.3 \sigma_D$$

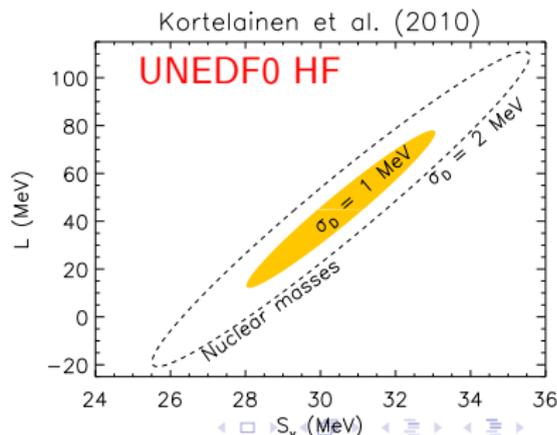
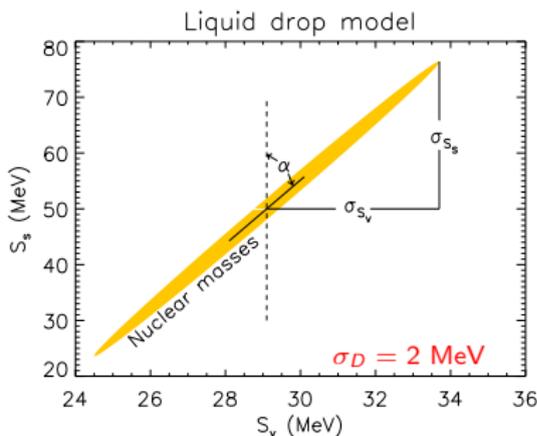
$$\sigma_{S_s} = \sqrt{\frac{2\chi_{vv}}{\chi_{vv}\chi_{ss} - \chi_{sv}^2}} \simeq 13.2 \sigma_D$$

$$\alpha = \frac{1}{2} \tan^{-1} \frac{2\chi_{vs}}{\chi_{vv} - \chi_{ss}} \simeq 9^\circ.8$$

$$r_{vs} = -\frac{\chi_{vs}}{\sqrt{\chi_{vv}\chi_{ss}}} \simeq 0.997$$

Liquid droplet model:

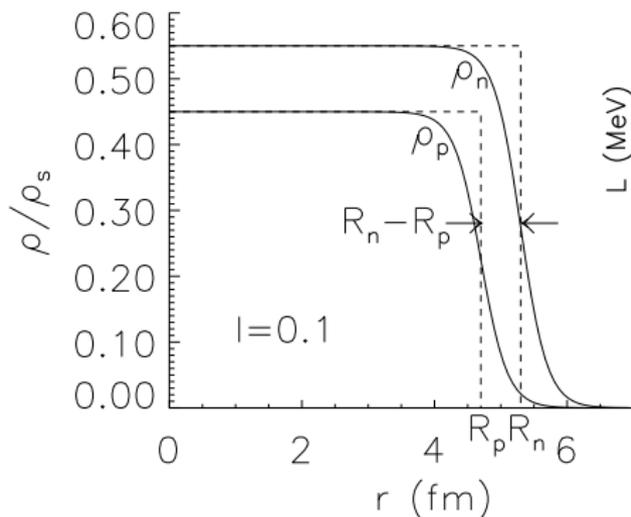
$$E_{\text{sym}}(N, Z) = \frac{S_v A I^2}{1 + (S_s/S_v) A^{-1/3}}$$



Neutron Skin Thickness

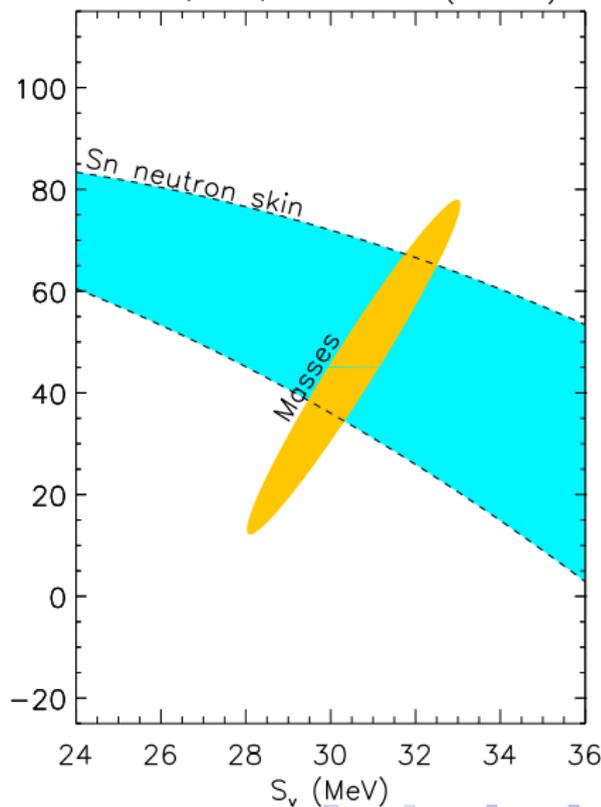
$$\frac{r_{np}}{r_o} \approx \sqrt{\frac{4}{15} \frac{S_s l}{S_v + S_s A^{-1/3}}}$$

$$r_o = \left(\frac{3}{4\pi\rho_s}\right)^{1/3} \approx 1.14 \text{ fm}$$

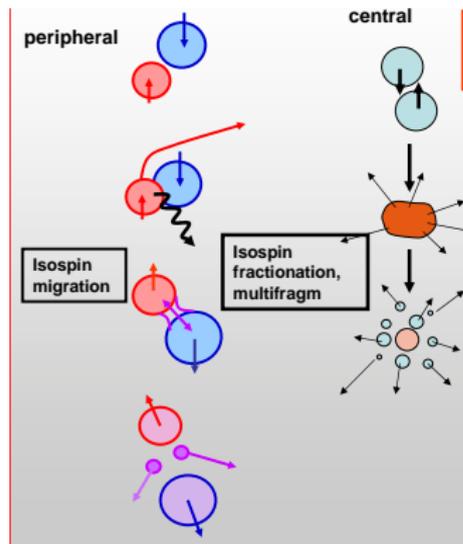


$$(r_{np})_{208} = 0.175 \pm 0.020 \text{ fm}$$

Chen, Ko, Li & Xu (2010)

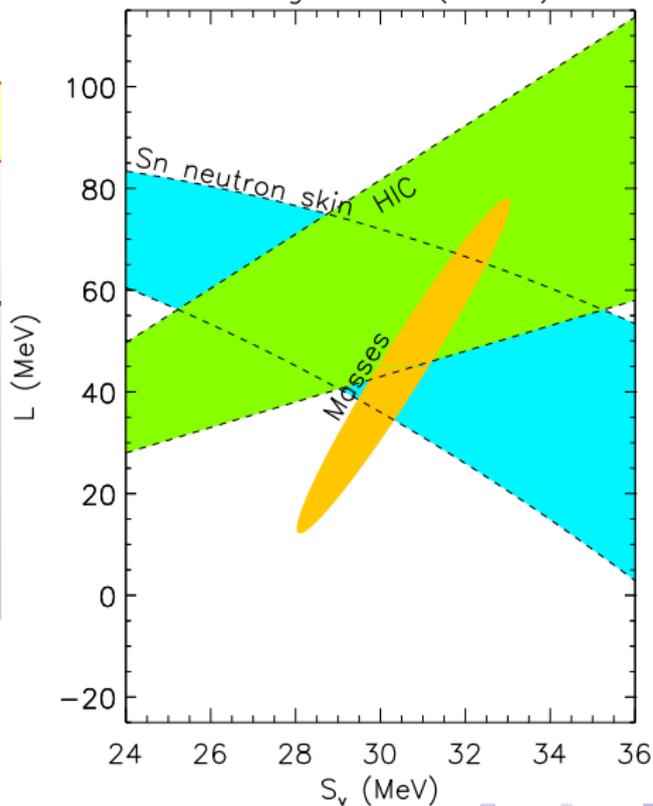


Heavy Ion Collisions

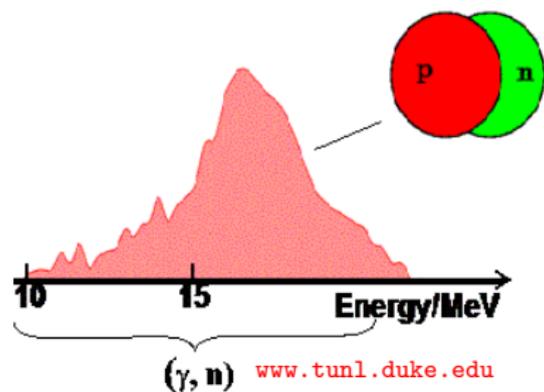


Wolter, NuSYM11

Tsang et al. (2009)



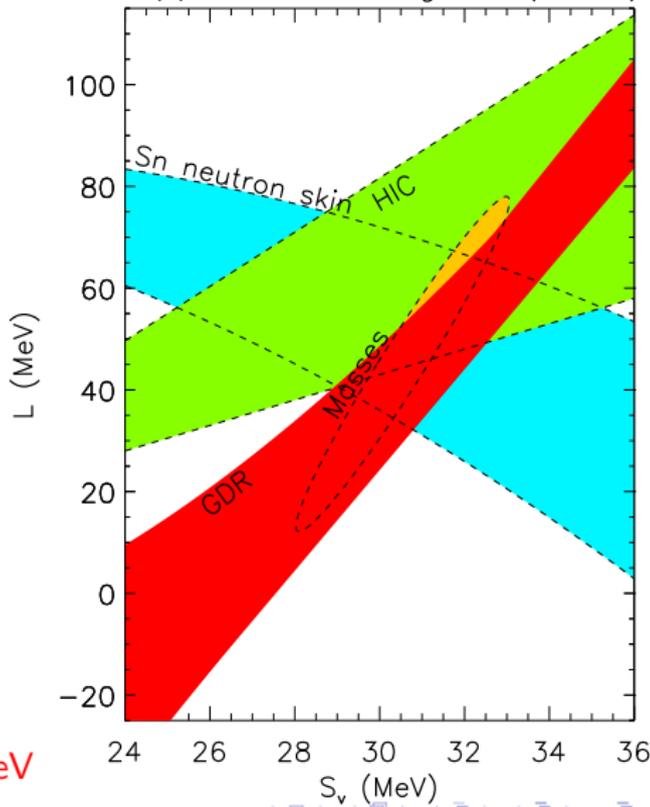
Giant Dipole Resonances



$$E_{-1} \propto \sqrt{\frac{S_V}{1 + \frac{5S_S}{3S_V} A^{-1/3}}}$$

$$23.3 \text{ MeV} < S_2(0.1 \text{ fm}^{-3}) < 24.9 \text{ MeV}$$

Trippa, Colo & Vigezzi (2008)



Dipole Polarizability

The linear response, or dynamic polarizability, of a nuclear system excited from its ground state to an excited state, due to an external oscillating dipolar field.

Liquid droplet model:

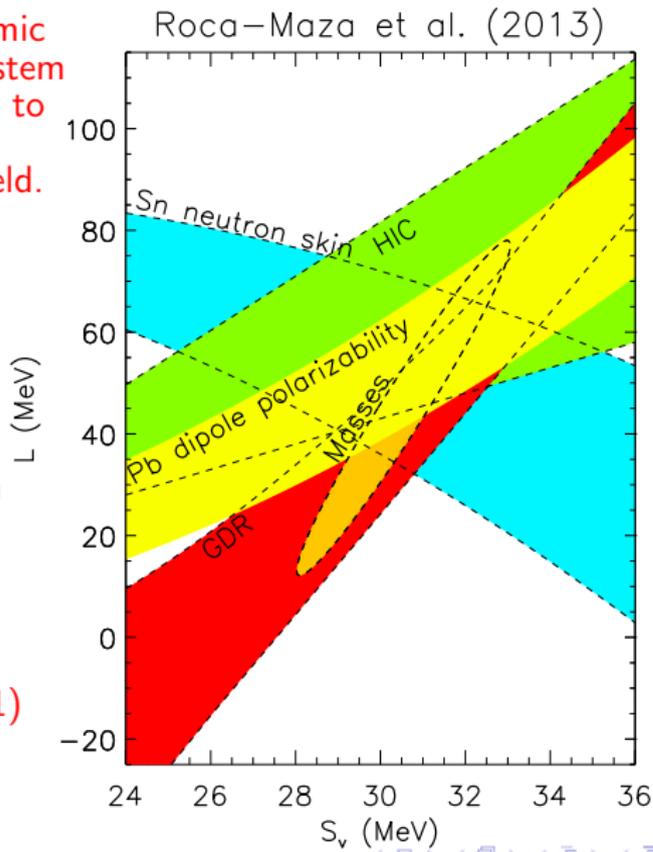
$$\alpha_D = \frac{AR^2}{20S_v} \left(1 + \frac{5}{3} \frac{S_s}{S_v} A^{-1/3} \right)$$

Roca-Maza et al. found an empirical correlation between

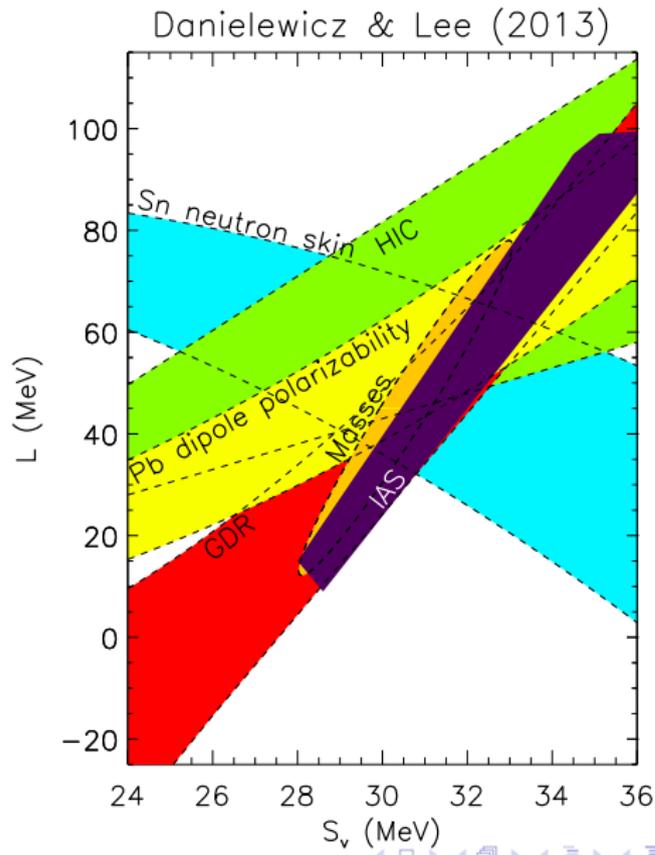
$$\alpha_D S_v \text{ and } r_{np}$$

Data from Tamii et al. (2011)

$$\alpha_{D,208} = 20.1 \pm 0.6 \text{ fm}^2$$



Isobaric Analog States

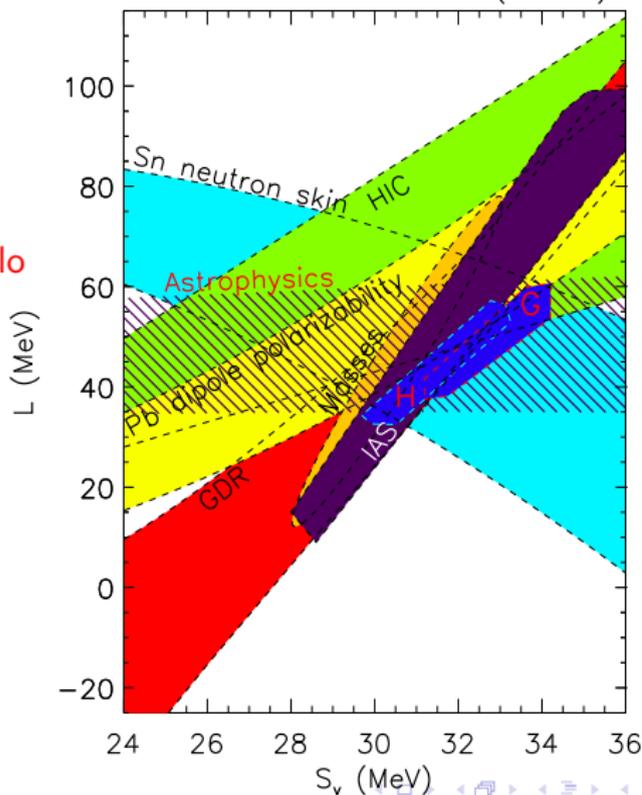


Theoretical Neutron Matter Calculations

Gandolfi, Carlson & Reddy (2011);
Hebeler & Schwenk (2011)

H&S: Chiral Lagrangian

GC&R: Quantum Monte Carlo



Consensus Experimental Constraints

H&S: Chiral Lagrangian

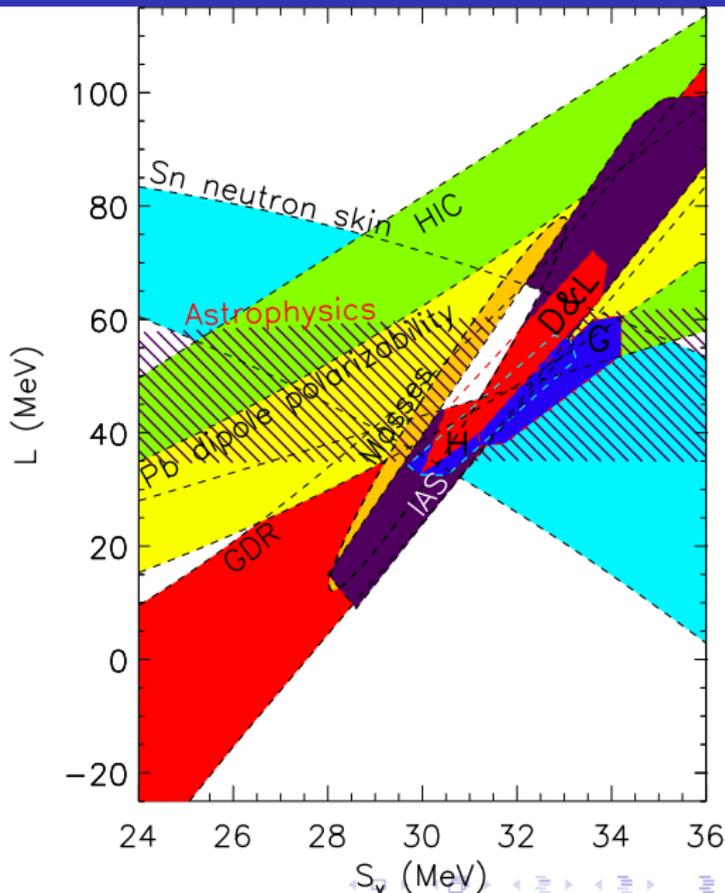
GC&R: Quantum Monte Carlo

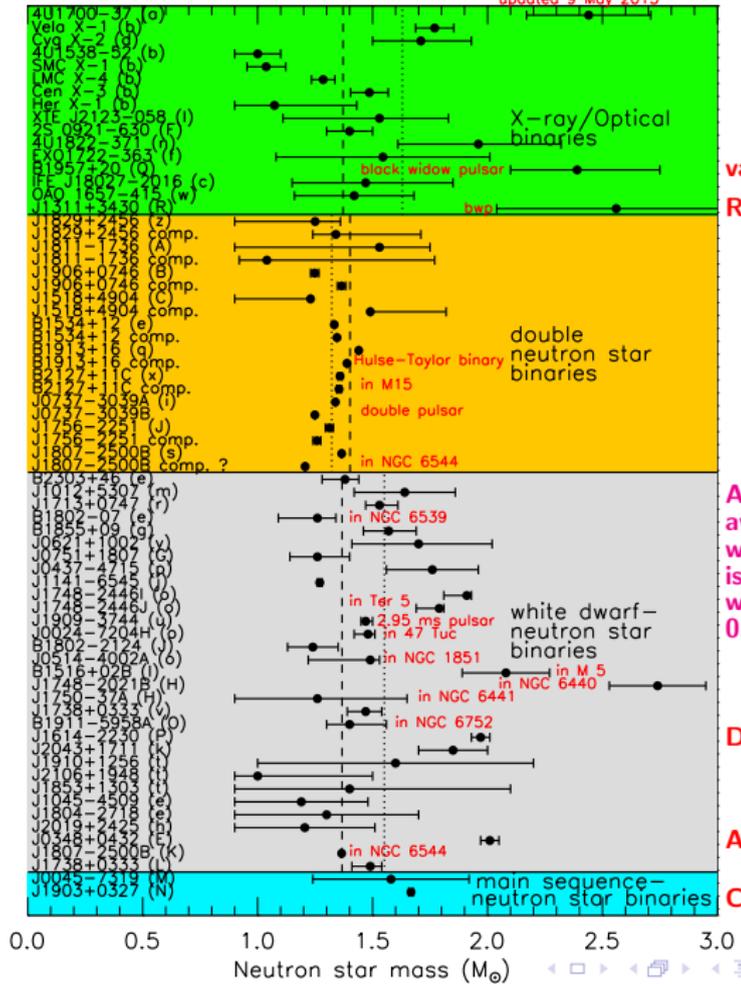
D&L: IAS + neutron skin

$$r_{np} = 0.179 \pm 0.023 \text{ fm}$$

white: all experimental

$$r_{np} = 0.175 \pm 0.020 \text{ fm}$$





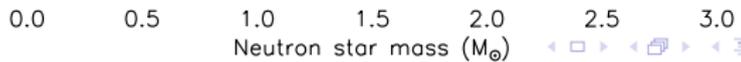
vanKerkwijk 2010
Romani et al. 2012

Although simple average mass of w.d. companions is $0.23 M_{\odot}$ larger, weighted average is $0.04 M_{\odot}$ smaller

Demorest et al. 2010

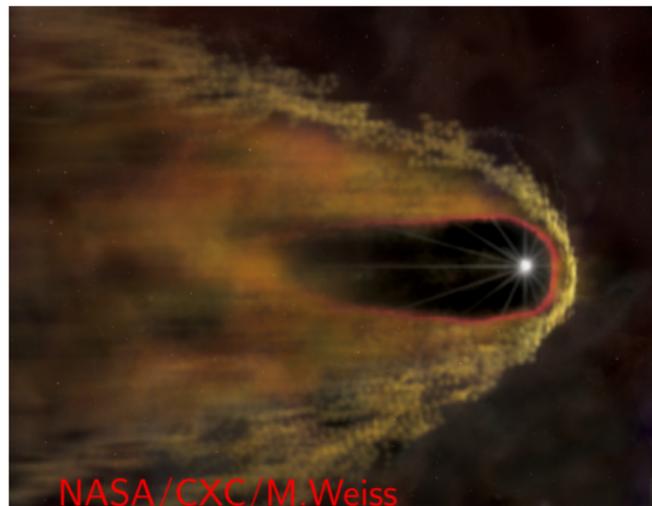
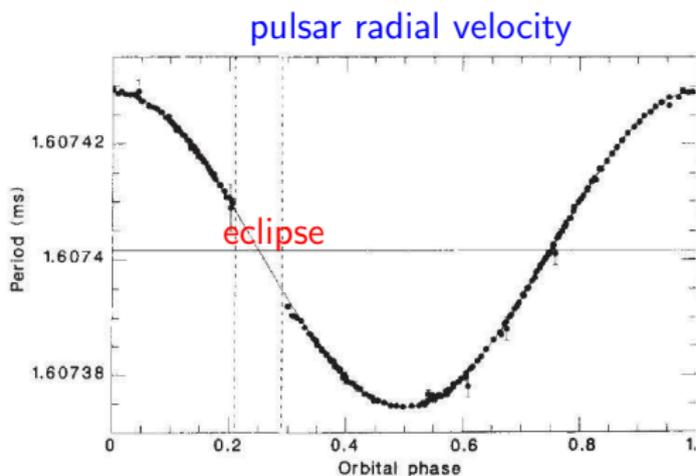
Antoniadis et al. 2013

Champion et al. 2008



Black Widow Pulsar PSR B1957+20

1.6ms pulsar in circular 9.17h orbit with a $\sim 0.03 M_{\odot}$ companion. Pulsar is eclipsed for 50-60 minutes each orbit; eclipsing object has a volume much larger than the companion or its Roche lobe. It is believed the companion is ablated by the pulsar leading to mass loss and an eclipsing plasma cloud. Companion nearly fills its Roche lobe. Ablation by pulsar leads to eventual disappearance of companion. The optical light curve does not represent the center of mass of the companion, but the motion of its irradiated hot spot.



Black Widow Pulsar PSR B1957+20

$$K_i = 2\pi \frac{a_i \sin i}{P}$$

$$q = \frac{M_P}{M_*} = \frac{a_*}{a_P} = \frac{K_*}{K_P}$$

$$K_* = K_{obs} \left(1 + \frac{R_*}{a_*}\right)$$

Radiated area of companion has a smaller orbit than the center of mass.

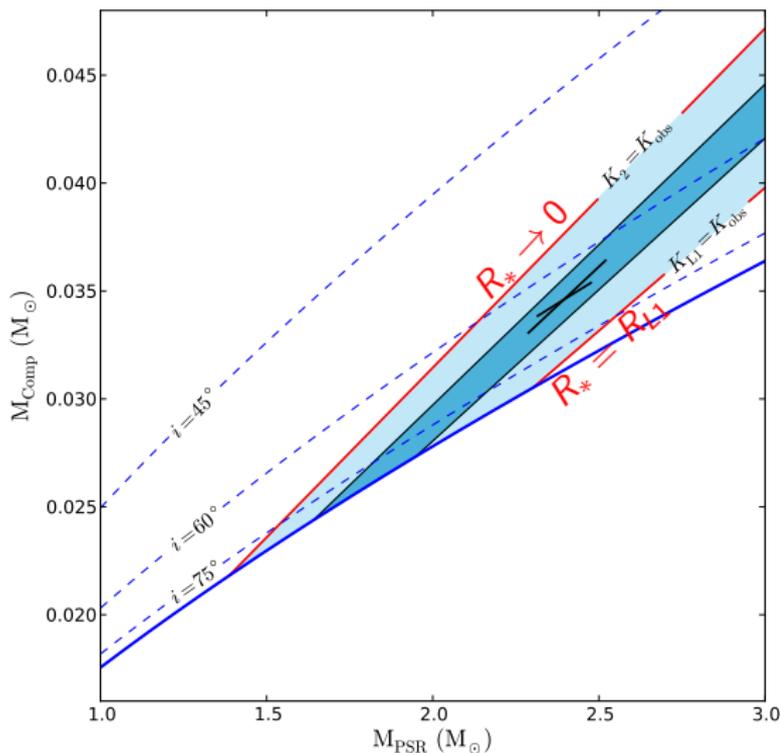
$$M_P = q(1+q)^2 \frac{P}{2\pi G} \left(\frac{K_P}{\sin i}\right)^3$$

Modeling of light curve shape suggests that

$$M_P > 1.8 M_\odot, \sin i < 66^\circ$$

Most probable values:

$$2.10 M_\odot < M_P < 2.75 M_\odot$$



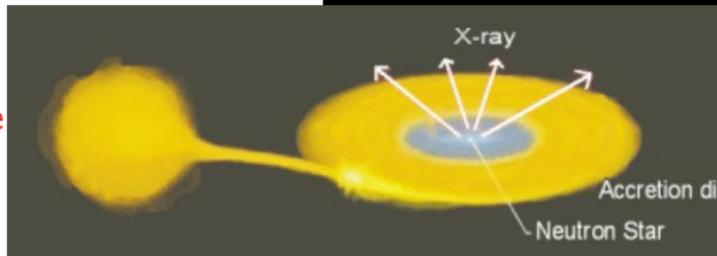
van Kerkwijk 2010

Simultaneous Mass/Radius Measurements

- ▶ Measurements of flux $F_\infty = \left(\frac{R_\infty}{D}\right)^2 \sigma T_{\text{eff}}^4$ and color temperature $T_c \propto \lambda_{\text{max}}^{-1}$ yield an apparent angular size (pseudo-BB):

$$\frac{R_\infty}{D} = \frac{R}{D} \frac{1}{\sqrt{1 - 2GM/Rc^2}}$$

- ▶ Observational uncertainties include distance D , interstellar absorption N_H , atmospheric composition

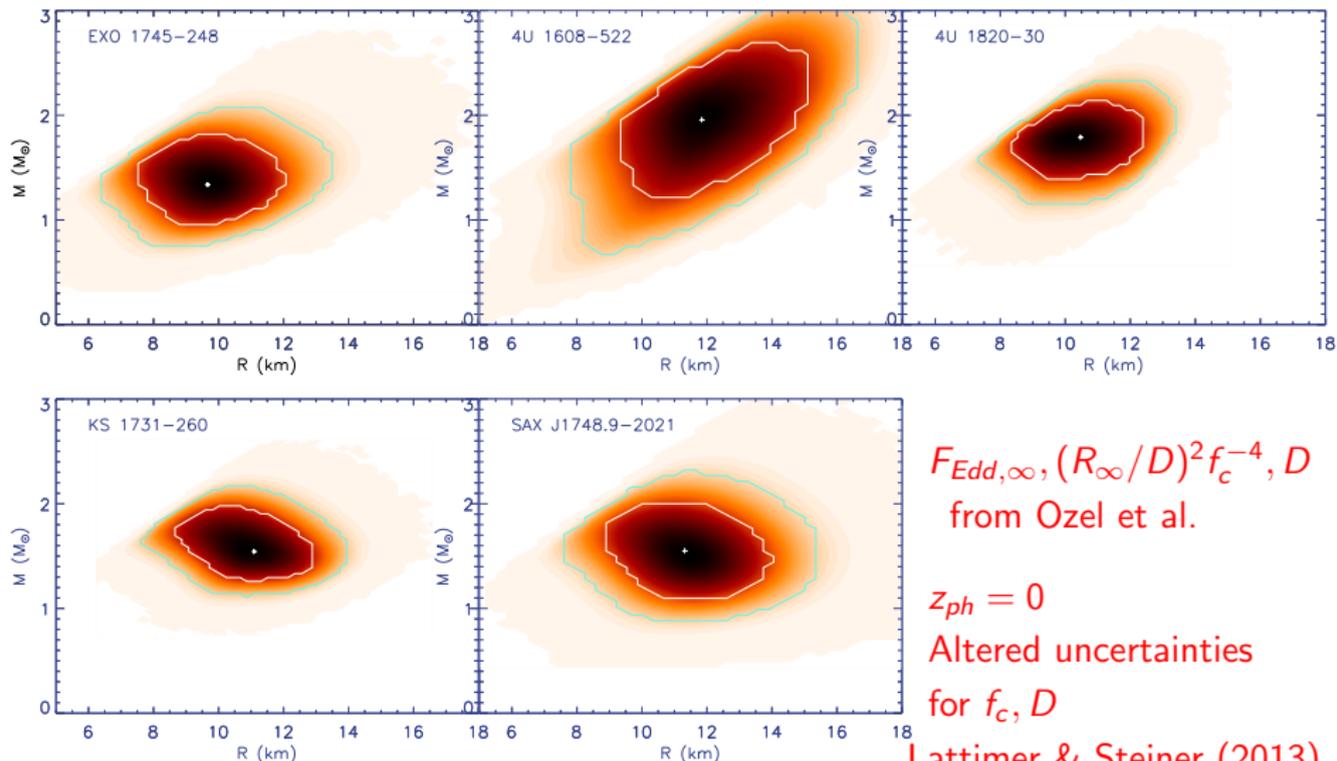


Best chances for accurate radius measurement:

- ▶ Nearby isolated neutron stars with parallax (uncertain atmosphere)
- ▶ Quiescent low-mass X-ray binaries (QLMXBs) in globular clusters (reliable distances, low B H-atmospheres)
- ▶ Bursting sources (XRBs) with peak fluxes close to Eddington limit (where gravity balances radiation pressure)

$$F_{\text{Edd}} = \frac{cGM}{\kappa D^2} \sqrt{1 - 2GM/Rc^2}$$

M – R PRE Burst Estimates



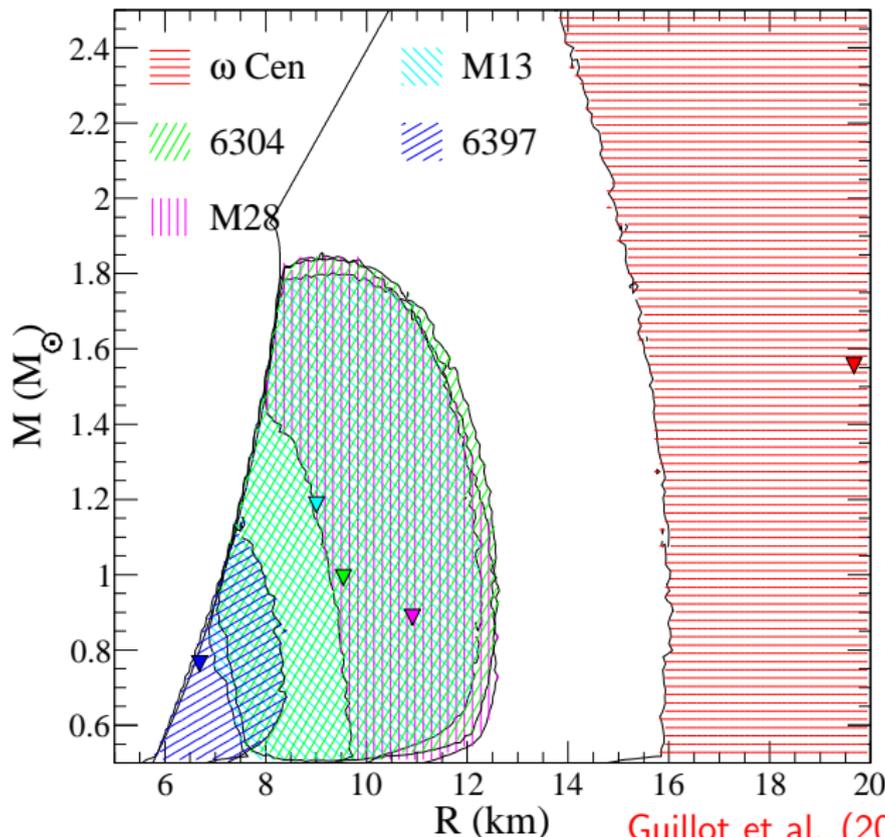
$F_{Edd,\infty}, (R_{\infty}/D)^2 f_c^{-4}, D$
from Özel et al.

$z_{ph} = 0$

Altered uncertainties
for f_c, D

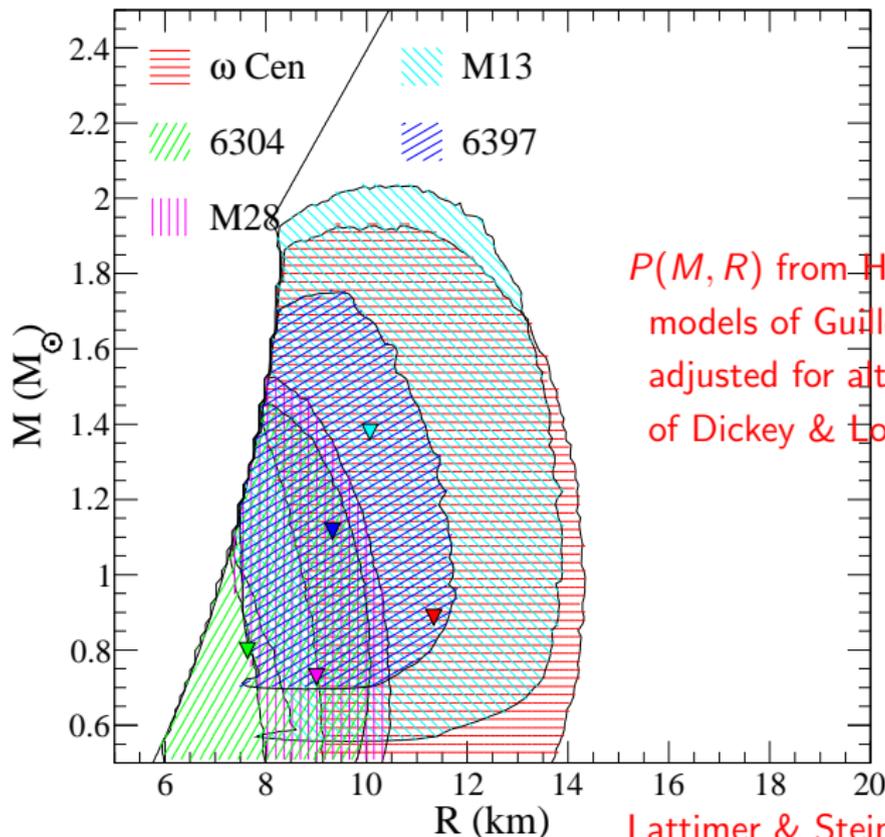
Lattimer & Steiner (2013)

M – R QLMXB Estimates



Guillot et al. (2013)

M – R QLMXB Estimates

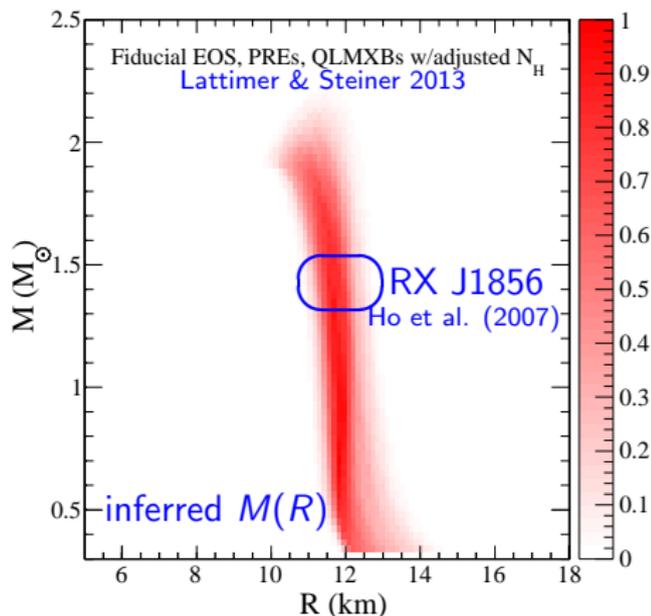
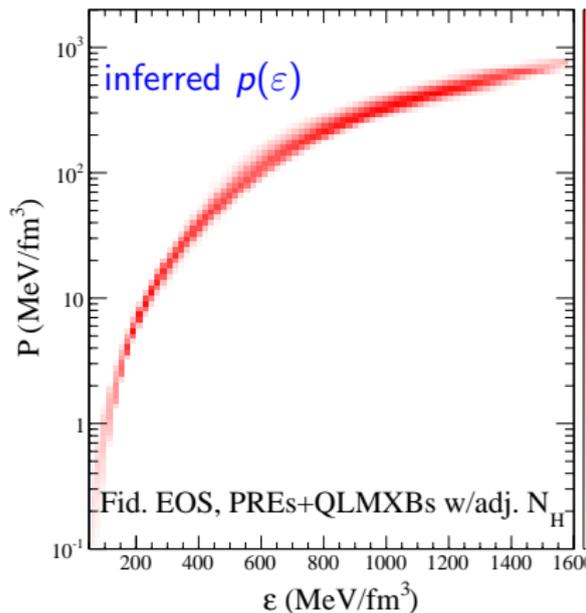


$P(M, R)$ from H atmosphere models of Guillot et al. (2013), adjusted for alternate N_H values of Dickey & Lockman (1990)

Lattimer & Steiner (2013)

Bayesian TOV Inversion

- ▶ $\varepsilon < 0.5\varepsilon_0$: Known crustal EOS
- ▶ $0.5\varepsilon_0 < \varepsilon < \varepsilon_1$: EOS parametrized by K, K', S_V, γ
- ▶ Polytropic EOS: $\varepsilon_1 < \varepsilon < \varepsilon_2$: n_1 ; $\varepsilon > \varepsilon_2$: n_2
- ▶ EOS parameters $K, K', S_V, \gamma, \varepsilon_1, n_1, \varepsilon_2, n_2$ uniformly distributed
- ▶ $M_{\max} \geq 1.97 M_{\odot}$, causality enforced
- ▶ All 10 stars equally weighted



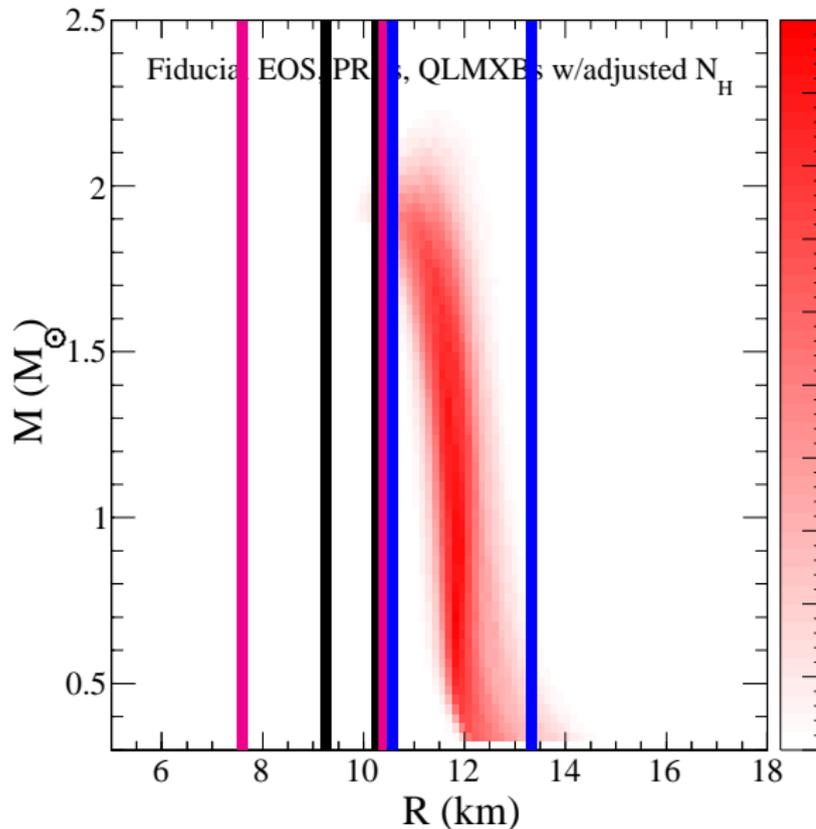
Astronomy vs. Astronomy vs. Physics

Ozel et al., $z_{ph} = z$:
 $R = 9.74 \pm 0.50$ km.

Guillot et al. (2013):
All stars have same radius:
 $R = 9.1^{+1.3}_{-1.5}$ km.

Lattimer & Steiner (2013):
TOV, crust EOS, causality,
maximum mass $> 2M_{\odot}$,
but no $S_v - L$ constraints.

Lattimer & Lim (2013):
Nuclear experiments:
 $29 \text{ MeV} < S_v < 33 \text{ MeV}$,
 $40 \text{ MeV} < L < 65 \text{ MeV}$:
 $R_{1.4} = 12.0 \pm 1.4$ km.



Additional Proposed Radius and Mass Constraints

▶ Pulse profiles

Hot or cold regions on rotating neutron stars alter pulse shapes: NICER and LOFT will enable timing and spectroscopy of thermal and non-thermal emissions. Light curve modeling $\rightarrow M/R$; phase-resolved spectroscopy $\rightarrow R$.

▶ Moment of inertia

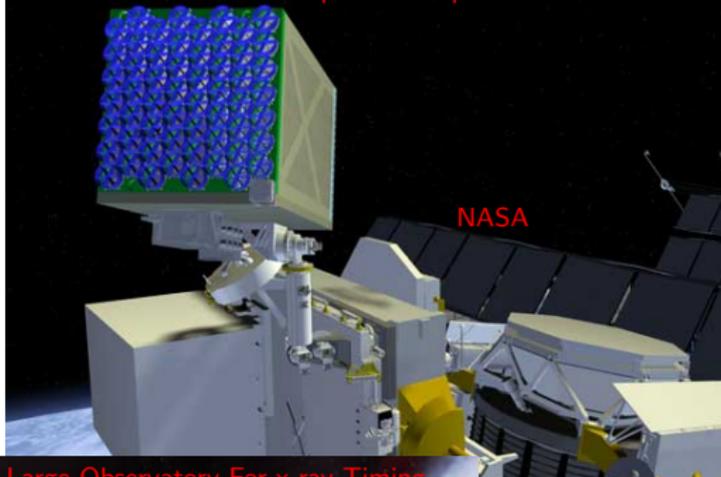
Spin-orbit coupling of ultra-relativistic binary pulsars (e.g., PSR 0737+3039) vary i and contribute to $\dot{\omega}$: $I \propto MR^2$.

▶ Supernova neutrinos

Millions of neutrinos detected from a Galactic supernova will measure $BE = m_B N - M, \langle E_\nu \rangle, \tau_\nu$.

▶ QPOs from accreting sources ISCO and crustal oscillations

Neutron star Interior Composition Explorer



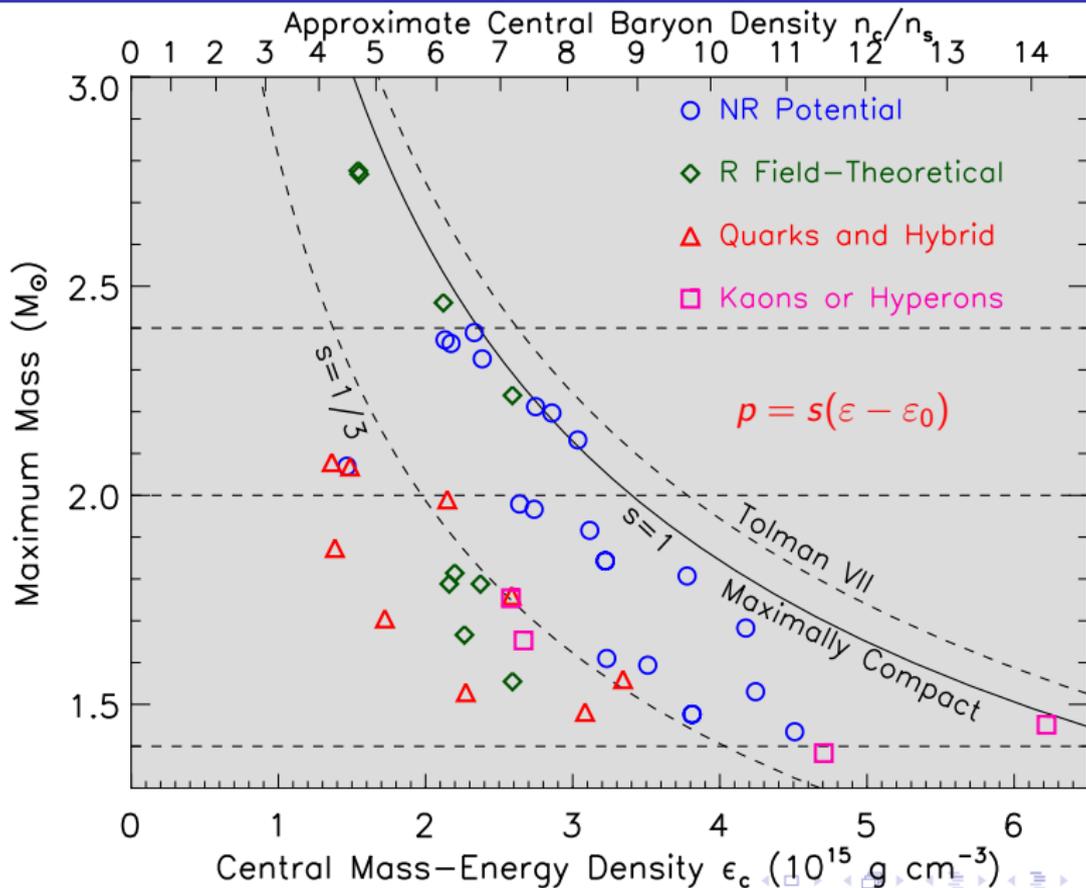
Large Observatory For x-ray Timing



Conclusions

- ▶ Nuclear experiments set reasonably tight constraints on symmetry energy parameters and the symmetry energy behavior near the nuclear saturation density.
- ▶ Theoretical calculations of pure neutron matter predict very similar symmetry constraints.
- ▶ These constraints predict neutron star radii $R_{1.4}$ in the range 12.0 ± 1.4 km.
- ▶ Combined astronomical observations of photospheric radius expansion X-ray bursts and quiescent sources in globular clusters suggest $R_{1.4} \sim 12.1 \pm 0.6$ km.
- ▶ The nearby isolated neutron star RX J1856-3754 appears to have a radius near 12 km, assuming a solid surface with thin H atmosphere (Ho et al. 2007).
- ▶ The observation of a $1.97 M_{\odot}$ neutron star, together with the radius constraints, implies the EOS above the saturation density is relatively stiff; any phase transition is small.

Maximum Energy Density in Neutron Stars



Constraints from Observations of Gravitational Radiation

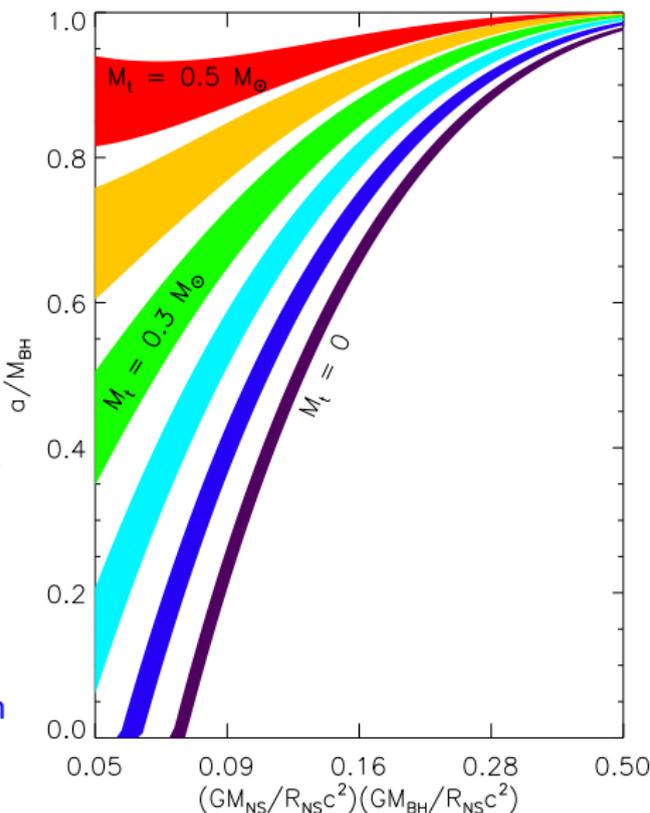
Mergers:

Chirp mass $\mathcal{M} = (M_1 M_2)^{3/5} M^{-1/5}$ and tidal deformability $\lambda \propto R^5$ (Love number) are potentially measurable during inspiral.

$\bar{\lambda} \equiv \lambda M^{-5}$ is related to $\bar{I} \equiv I M^{-3}$ by an EOS-independent relation (Yagi & Yunes 2013). Both $\bar{\lambda}$ and \bar{I} are also related to M/R in a relatively EOS-independent way (Lattimer & Lim 2013).

- ▶ Neutron star - neutron star: M_{crit} for prompt black hole formation, f_{peak} depends on R .
- ▶ Black hole - neutron star: $f_{\text{tidal disruption}}$ depends on R, a, M_{BH} . Disc mass depends on a/M_{BH} and on $M_{\text{NS}} M_{\text{BH}} R^{-2}$.

Rotating neutron stars: r-modes



Consistency with Neutron Matter and Heavy-Ion Collisions

