

# General Relativity in AdS

Akihiro Ishibashi

3 July 2013

KIAS-YITP joint workshop 1-5 July 2013, Kyoto

Based on work 2012 w/ Kengo Maeda

w/ Norihiro Iizuka, Kengo Maeda - work in progress

# Plan

## 1. Classical General Relativity:

- A brief overview of basic results about asymptotically flat black holes in general relativity

## 2. General Relativity in AdS:

- Generalizations to asymptotically AdS

## 3. Singularities in AdS:

- Motivations and attempts towards a generic theorem



# **1. A TRIUMPH OF CLASSICAL GENERAL RELATIVITY**

## BH Uniqueness (No hair) theorem

Stationary electro-vacuum BH solution is uniquely specified by mass  $M$ , angular momentum  $J$ , and charge  $Q$

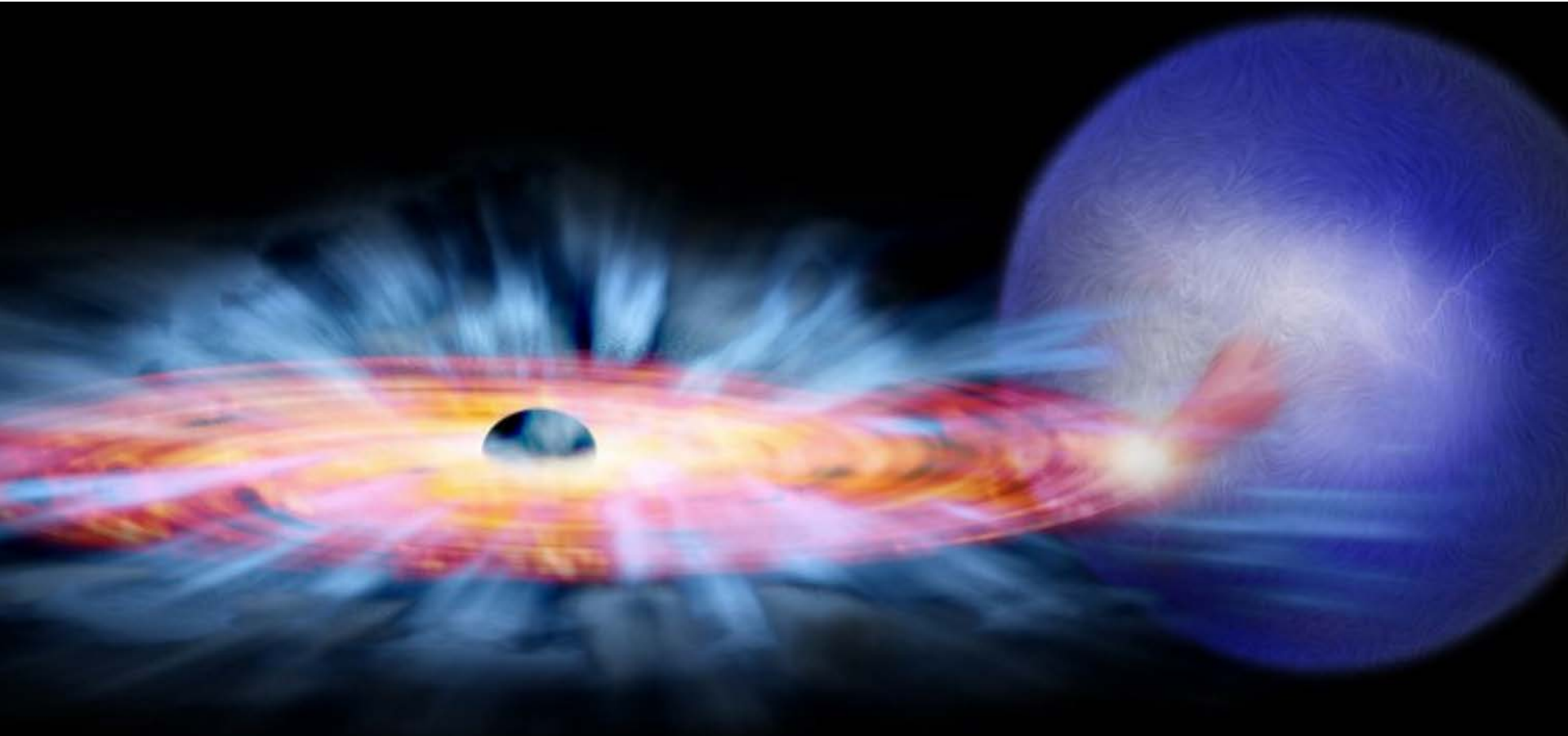
Remark: Vacuum rotating BH  **Kerr metric**

### Physical implication:

- **Singularity Theorem** (Hawking-Penrose)  
+ **Weak Cosmic Censorship** (Penrose)  
 gravitational collapse always forms a black hole
- **Stability of the Kerr metric** (Press-Teukolsky 73 Whiting 89)  
 final state of dynamics



A tremendous number of BHs  
in our observable universe --



-- can be accurately described by the Kerr metric,  
which possesses only two parameters.

Uniqueness theorem is based on a number of results, in particular:

## 1. Topology theorem Hawking 1972

X-section of the event horizon is topologically 2-sphere

## 2. Symmetry (Rigidity) theorem Hawking 1972

The event horizon of a stationary BH must be a Killing horizon.  
If rotating, the BH spacetime must be axisymmetric

**Theorem 1.** helps to show **Theorem 2.**

- Reduce the Einstein equations to a **2D nonlinear sigma model**
- Uniqueness proof is cast into a **boundary value problem**

These results also give us deep insights into thermodynamic aspects of BHs

## Stationary BHs in 4-dim. Asympt. Flat spacetimes

- **Exact solutions** --- Kerr family
- **Stability** --- Stable  $\Rightarrow$  final state of dynamics
- **Topology** --- Horizon cross-section = 2-sphere
- **Symmetry** --- Static or axisymmetric (rigid rotation)
- **Uniqueness** --- Vacuum rotating  $\Rightarrow$  Kerr metric

### Related results/hypothesis

- **Singularity theorem** --- Singularity must form under strong gravity
- **Cosmic censorship** --- Horizon should form  $\Rightarrow$  Predictable
- **Positive energy theorem** --- An isolated system must be stable

Which properties fail / to be modified for asympt. AdS spacetimes?

What are possible consequences in dual theory on AdS boundary?

## **2. GENERAL RELATIVITY IN ADS**



## BHs in AdS

- **Exact solutions** --- Kerr-AdS family
- **Stability** --- Linear vs Nonlinear instability
- **Topology** --- Need not be spherical in  $D > 4$  even if compact
- **Symmetry** --- Rigid
- **Uniqueness** --- does not appear to hold

### Related results/hypothesis

- **Singularity theorem** --- holds in wider circumstances?
- **Cosmic censorship** --- fails ?
- **Positive energy theorem** --- generalized [Abott–Deser 82](#), [Gibbons et al 83](#)  
--- also depend **on spacetime dimensions**

- **TOPOLOGY IN ADS**

# ● Topology in AdS

Combine **variational analysis**  $\delta\theta/\delta\lambda$  and **fact that outer-trapped surface must be inside BH**, to show

$$\int_{\Sigma} \mathcal{R} > 0 \quad \mathcal{R}: \text{scalar curvature of } \Sigma: \text{cross-section}$$

$\Rightarrow \Sigma \approx S^2$  in  $4D$  via Gauss-Bonnet Theorem

and **Dominant Energy Conditions** in  $D \geq 4$  Galloway – Schoen 05

AdS violates the dominant energy conditions

$\Rightarrow$  Hawking's and Galloway-Schoen's proof does not work. In 4D other method (appealing to topological censor) applies

## Topology and Entropy bound:

(Gibbons - Woolgar - Cai & Galloway)

Under appropriate circumstances

If  $\Lambda \geq 0$ , then  $\sigma(\Sigma) > 0$

If  $\sigma(\Sigma) < 0$  and  $\Lambda < 0$ ,  $\text{Area}(\Sigma) \geq \left| \frac{\sigma(\Sigma)}{2\Lambda} \right|^{(D-2)/2}$

Let  $[q]$  be conformal class of  $q$  of  $(D - 2)$ -dim.  $(\Sigma, q)$ :

Yamabe constant:  $Y(\Sigma : [q]) \equiv \inf_{q \in [q]} \frac{\int d\Sigma_q \mathcal{R}_q}{(\int d\Sigma_q)^{(D-4)/2}}$

Yamabe invariant:  $\sigma(\Sigma) \equiv \sup_{[q]} Y(\Sigma : [q])$

- **SYMMETRY IN ADS**

# ● Symmetry (Rigidity) in AdS

Topology theorem does not hold as it stands

⇒ Hawking's proof of the rigidity relies on spherical topology, hence does not work

Appeal to a method used ergodic theory

Hollands - AI - Wald 07

-- can apply to any compact horizon in  $D \geq 4$

# Symmetry in AdS/CMP

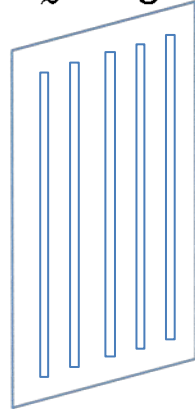
## Charged AdS BH (w/ scalar hair) and DC-conductivity

$z = 1$



Planar horizon

$z = 0$



Ionized lattice

lizuka - Maeda 2012  
Horowitz-Santos-Tong 2012

No translational symmetry on bulk & boundary

Nevertheless, for superfluid component,

Current is conserved → **No dissipation**

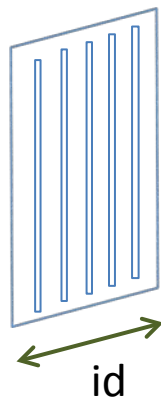
Horowitz-Santos 2013

$$A_t = \mu(1 + A_0 \cos(k_0 x)) - \rho z + O(z^2)$$

## What if charged AdS BH w/ compact horizon is rotating?



Compact rotating horizon  
should have symmetry



Periodic lattice on the boundary:  
No translational symmetry

Apparent discrepancy with BH rigidity

→ **Need dissipation effects**

lizuka - Maeda - AI work in progress

- **STABILITY IN ADS**

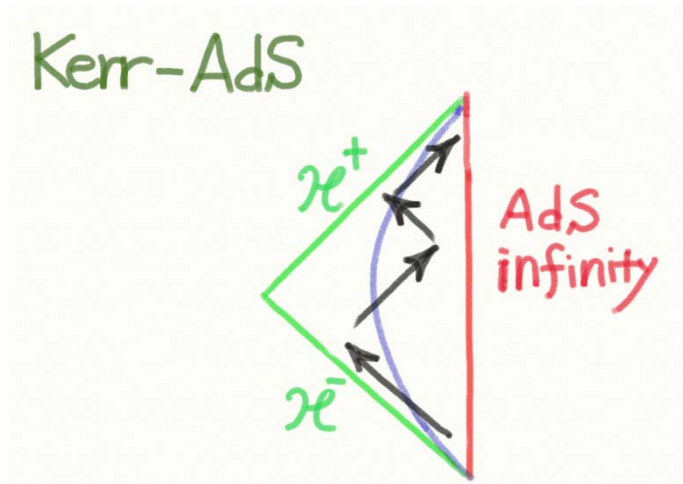


# ● Stability in AdS

- Static (Schwarzschild-)AdS BHs → linear stable  
 -- depend on the choice of boundary conditions

Kodama - AI 04

- Rotating AdS BHs → Superradiant instability



Hawking-Reall 99, Cardoso-Dias 04  
 Cardoso-Dias-Yoshida 06,  
 Kodama 07 Murata-Soda 08  
 Uchikata-Yoshida-Futamase 09

$$E := - \int_S dS n^a \chi^b T_{ab} \quad \chi^a: \text{co-rotate Killing vector}$$

Note:  $\chi^a$  can be non-spacelike if  $a^2 \leq r_H^4 / \ell^2 \Rightarrow E \geq 0$

**How about the stability of asymptotically  
AdS spacetimes without horizons ?**

# Global AdS

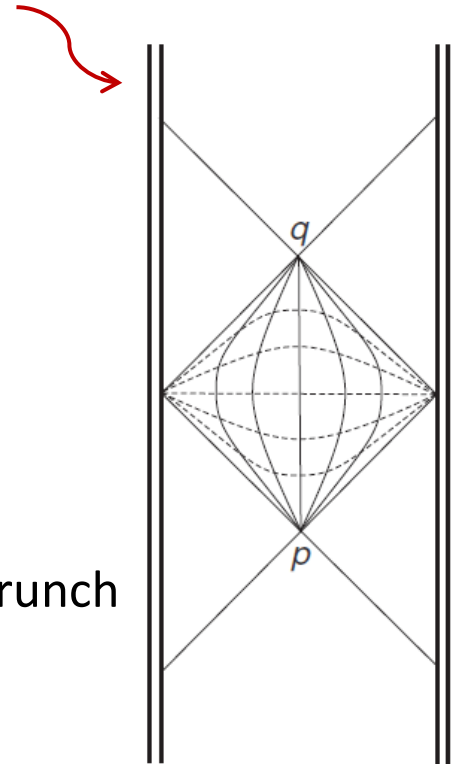
- AdS is maximally symmetric, geodesically complete, but *non-globally hyperbolic*.
- AdS conformal infinity plays a role of a confining box: Waves bounce off at AdS-infinity

e.g. cosmological chart:

$$ds^2 = -d\tau^2 + \cos^2 \tau (d\chi^2 + \sinh^2 \chi d\Omega_{(D-2)}^2)$$

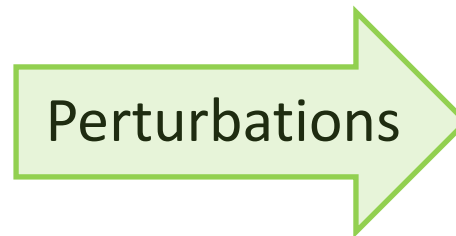
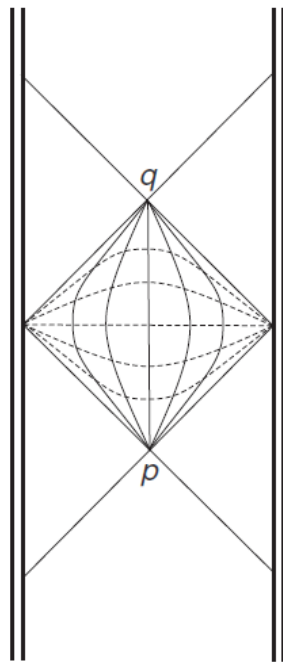
Points  $p$ ,  $q$ , are conjugate, corresponding to Big-bang/crunch

AdS conformal infinity

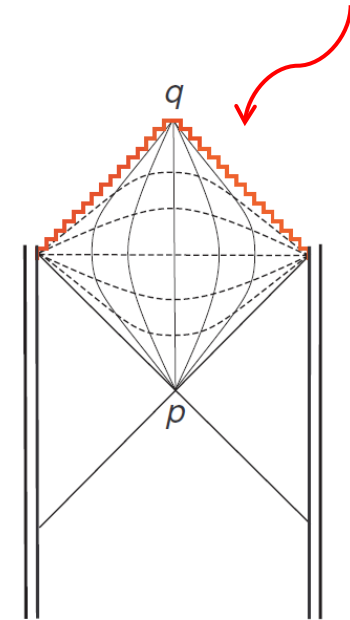


**All timelike geodesics** emanating from  $p$  re-converge to  $q$

Does a perturbation make the conjugate points true curvature singularities?



Curvature singularity ?



Global AdS is **stable** at least for **linear perturbations**

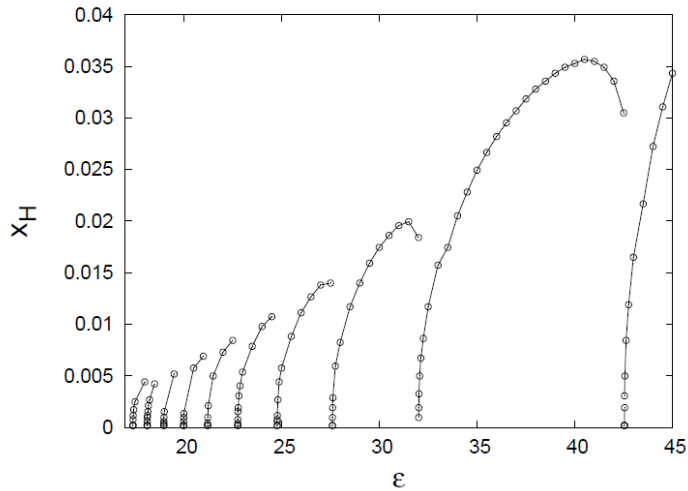
e.g. AI - Wald 04

--- but **nonlinearly unstable**

Bizon, Rostworowski 2011

# Turbulent instability

The energy cascades from low frequency to high frequency



Initial small perturbations grow by repeating bounce off by AdS infinity



Black hole forms even starting from **arbitrarily small initial perturbations**

Massless scalar Spherical symmetry

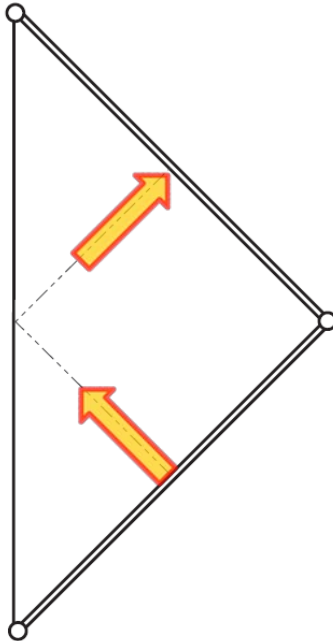
Bizon, Rostworowski 2011 Jalmuzna, Rostworowski, Bizon 2011

Vacuum, gravitational waves

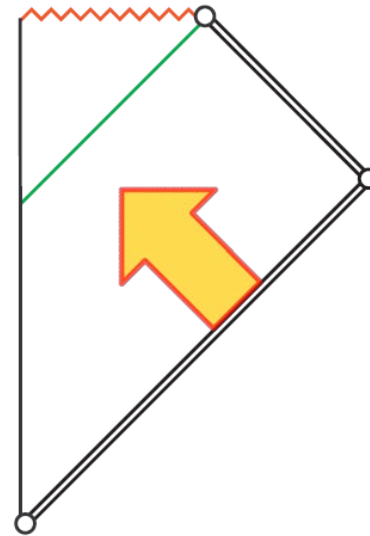
Dias, Horowitz, Santos 2011

## c.f. Collapse in flat space

- Scalar field collapse in asymptotically Flat spacetime  
Critical phenomena: [Choptuik 93](#)

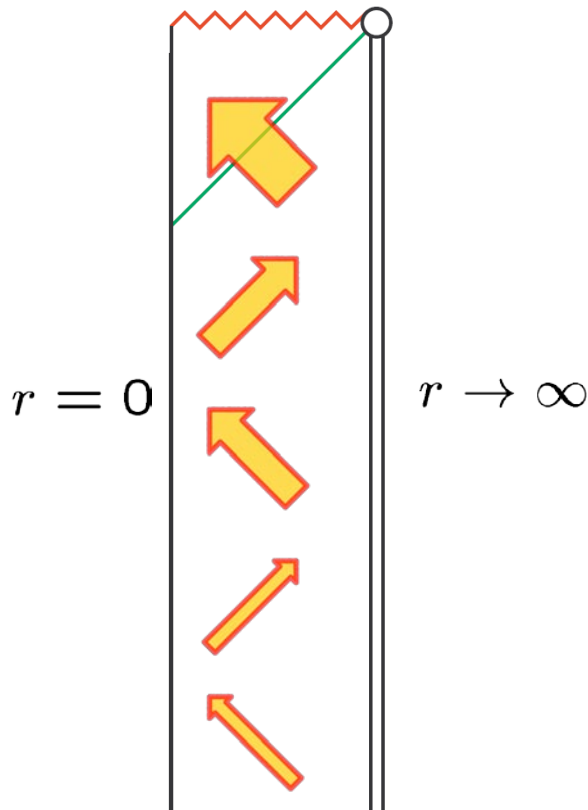


**Subcritical initial data:**  
Wave packet bounces off  
the center and escapes to infinity



**Supercritical initial data:**  
Wave packet collapses  
to form a black hole

# Collapse in AdS spacetimes



Wave packet cannot disperse in AdS as it bounces off at AdS infinity

Subcritical initial data gets amplified and becomes supercritical after reflecting several times at AdS infinity, and finally collapses to form a black hole

A single **Poincare patch** is **not** enough

Boundary perspective:

If a black hole always forms



CFT on the boundary of *global* AdS always thermalizes...  
(... even starting from a pure state \_? )



# Is AdS generically singular ?

No!

Some asymptotically AdS are nonlinearly **stable**

Dias-Horowitz-Marolf-Santos 2012

Buchel-Liebling-Lehner 2013

Maliborski-Rostworowski 2013

e.g. geons, boson stars in AdS

-- indicating there exist generic initial data  
in strongly coupled CFT that never thermalize

Under what kind of circumstances can asymptotically  
global AdS spacetimes be singular ?

## **3. SINGULARITIES IN ADS**

Some cases that guarantee  
instability/singularity formation

# A singularity theorem for spherically symmetric perfect fluid in AdS

Maeda - AI 2012

If the following averaged convergence holds

$$\int ds \left( 4\pi(\mu + 3P) + |\Lambda| + \sigma^2 + \frac{1}{n}\theta^2 - \nabla_a \dot{V}^a \right) \geq c > 0$$

then a singularity must form.

$\mu$  : Energy density     $P$  : Pressure

$$P = (\gamma - 1)\mu : 1 < \gamma < 2$$

Gravitational contraction > Repulsive force by Pressure



Energy density at the origin grows indefinitely!

Attempts toward  
a more generic  
singularity theorem

# Hawking-Penrose singularity theorems

- **Singularity (incomplete causal geodesic)**  
must form under the conditions of
  1. **Convergence (generic & energy conditions)**
  2. **Global structure (causality or Cauchy surface)**
  3. **Strong-gravity (trapped set)**



World line of a particle

# 1. Convergence (generic & energy conditions)

Generic condition:  $V_{[a}R_{b]cd[e}V_{f]}V^cV^d \neq 0$

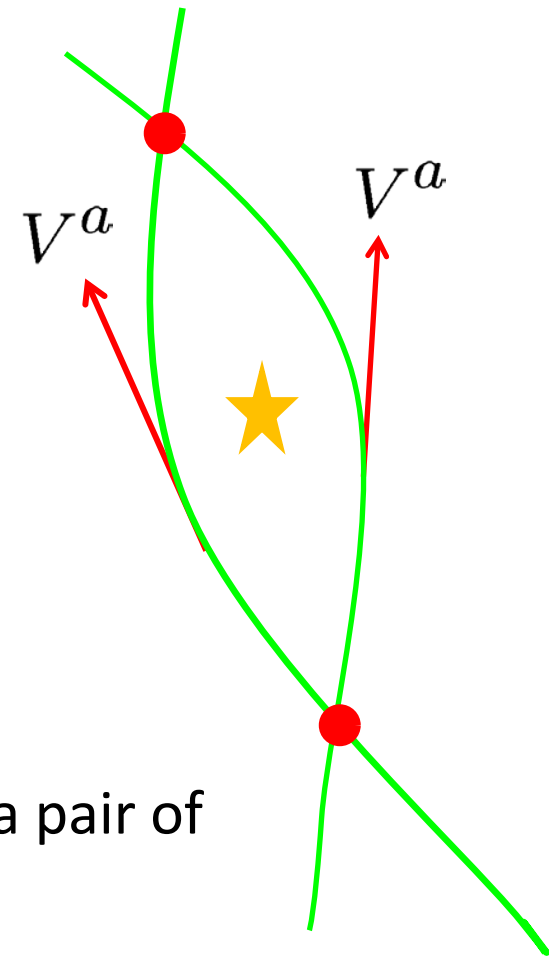
Raychaudhuri equation:

$$\frac{d}{d\lambda}\theta = -\frac{1}{n}\theta^2 - \sigma^2 - R_{ab}V^aV^b$$



$$\theta := \frac{1}{A} \frac{dA}{d\lambda}$$

Every (endless) timelike / null geodesic contains a pair of **conjugate points** due to gravitational pull

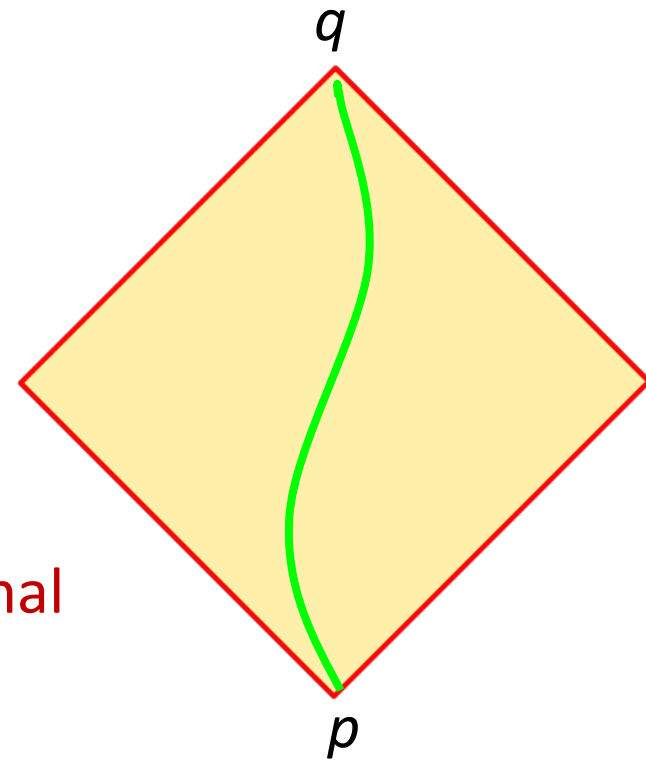


Negative  $\Lambda < 0$  enhances the **timelike convergence**  
 — does **not** affect null convergence

## 2. Global structure (causality or Cauchy surface)

- **Globally hyperbolic sub-region**  
i.e., covered by diamond shape  
(causally convex) subsets
- **Importance in singularity theorem:**

guarantee the existence of the **maximal length curve** among all causal curves from  $p \rightarrow q$

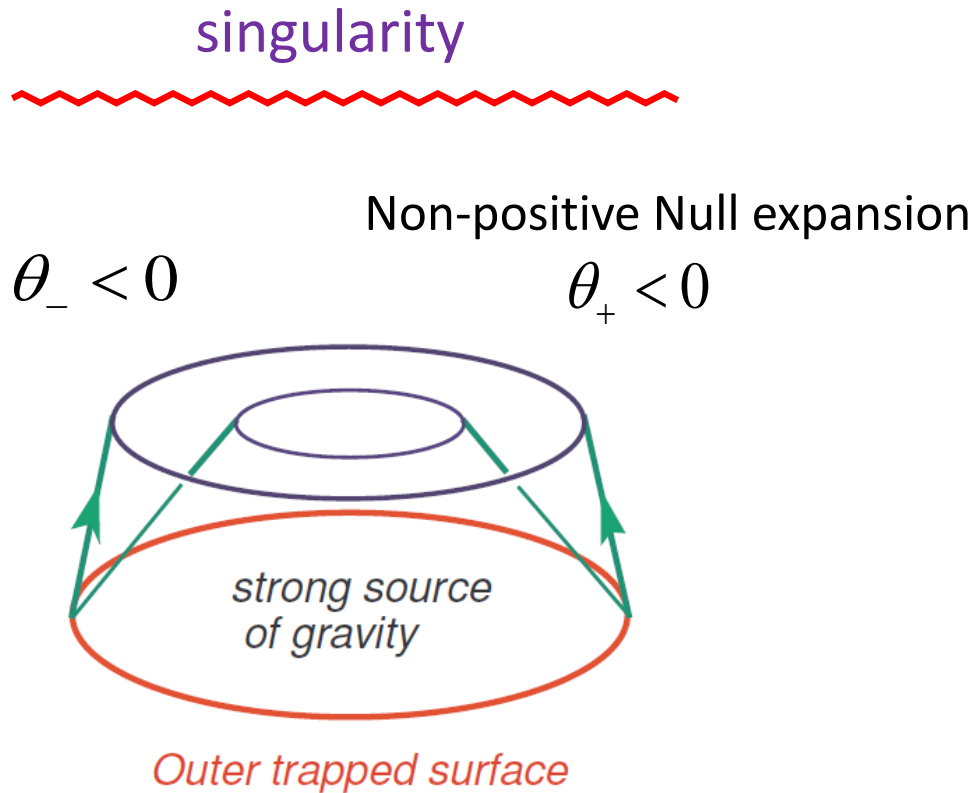


The maximal length is attained by a timelike geodesic curve

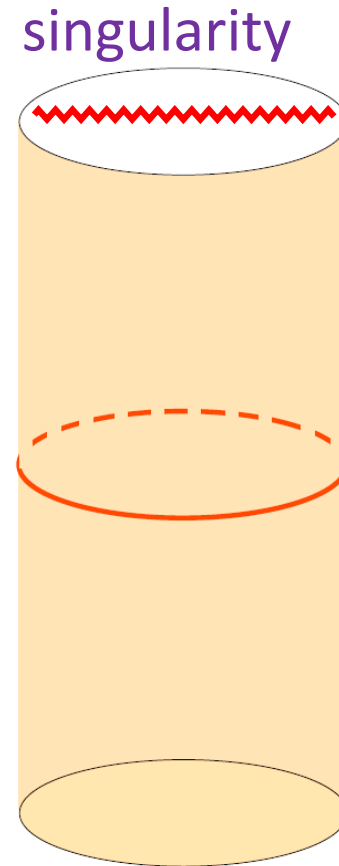


### 3. Strong-gravity (trapped set)

If no singularity  $\rightarrow$  light cone from a trapped set would be closed



**Ex. 1** Closed outer trapped surface



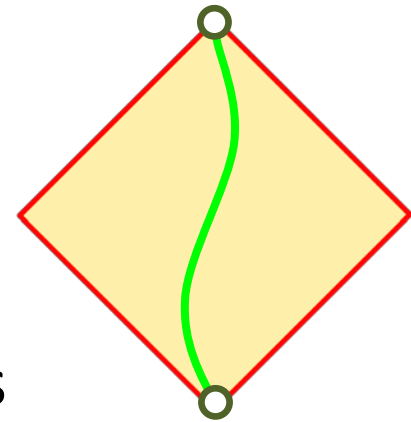
**Ex. 2** Compact surface without edge

# Sketch of proof

(S) Suppose all causal geodesics would be **complete**

(A) **Conditions 1. 2. 3.** imply  
existence of an **endless** timelike geodesic  
in a globally hyperbolic subset

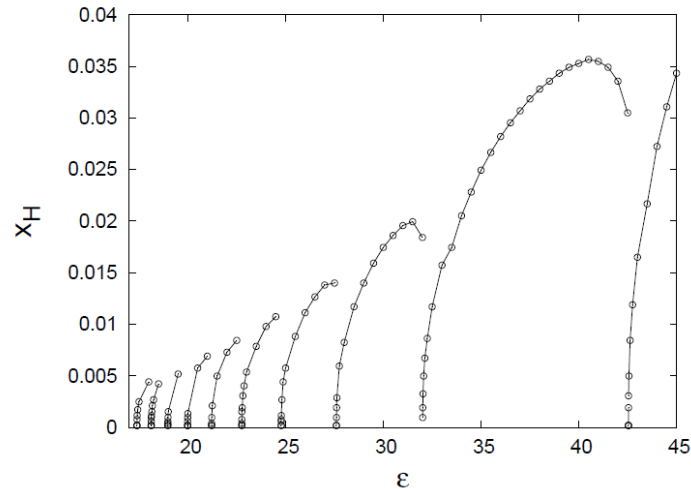
(B) The **maximal length timelike geodesic** does  
not allow a pair of conjugate points on it



(A) & (B) lead a contradiction under (S)

➔ (S) must be **false**

# However ...



According to the result of turbulent instability, a black hole -- hence singularity -- forms even starting from **arbitrarily small initial perturbations**

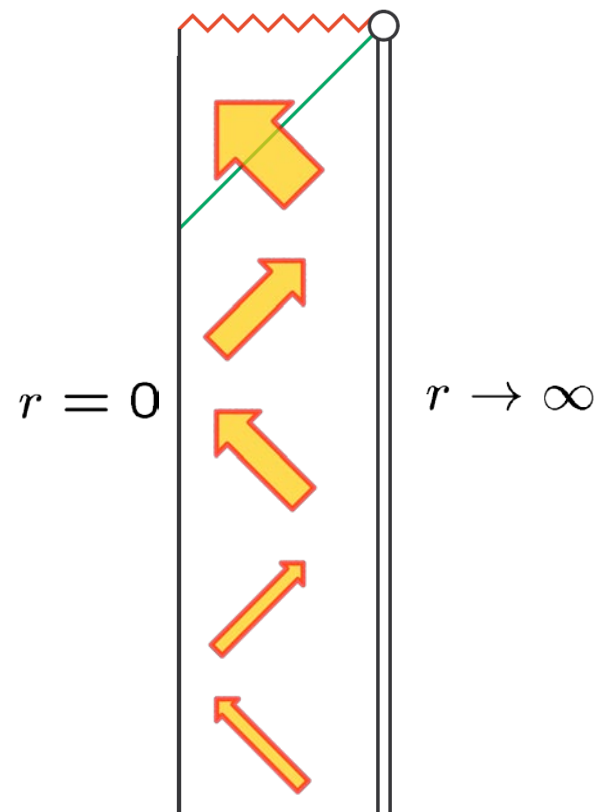
-- wish to remove the **strong gravity condition** (i.e. a closed trapped surface)

Remember:

For turbulent instability, the process of bouncing-off at AdS infinity many times has to be taken into account: Need to deal with a **non-globally hyperbolic region**

However, in **non-globally hyperbolic region**, there is no guarantee for the existence of maximal length causal curves, which is the key point of the proof

## Collapse in AdS

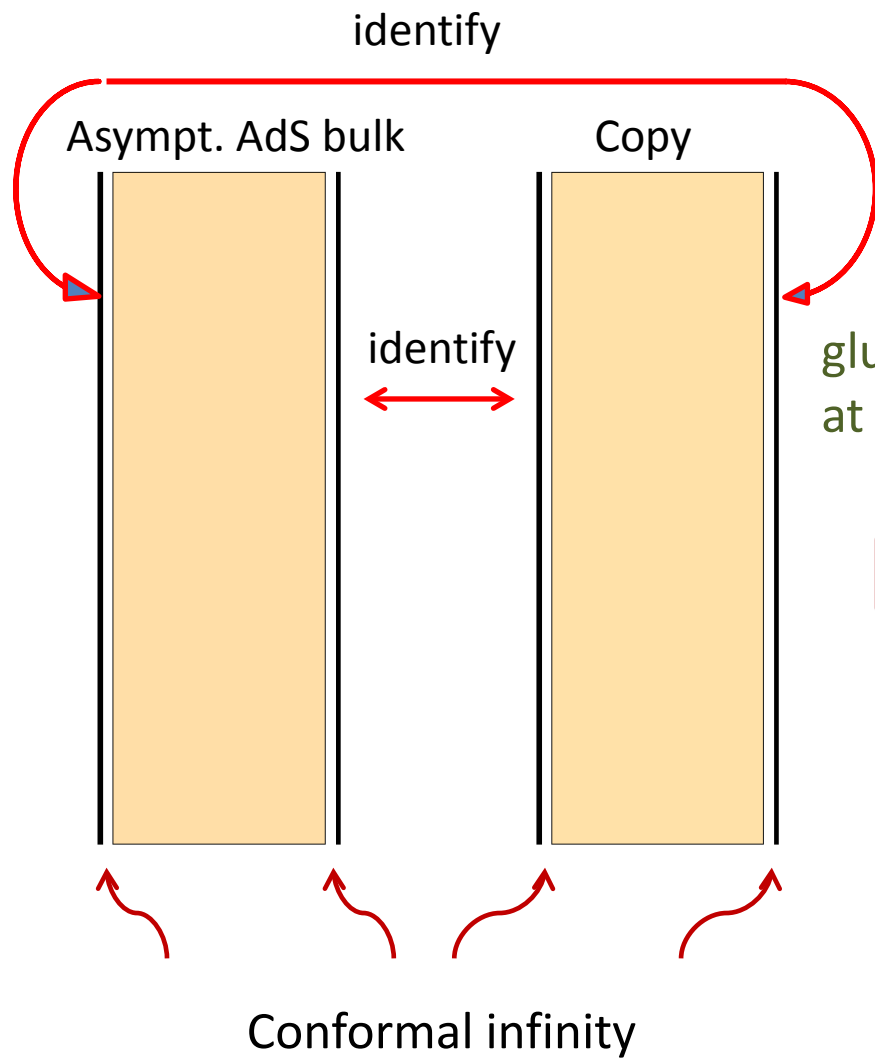


To get a desired maximal length curve, one may think of

**double covering**

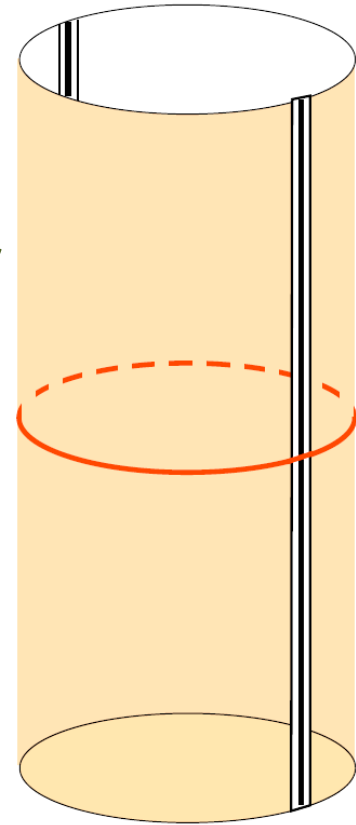
of the physical, asymptotically AdS spacetime to construct a *globally hyperbolic* unphysical spacetime w/ compact Cauchy surface.

Attempt to show a singularity theorem in the unphysical spacetime rather than in the physical spacetime.



*Globally hyperbolic* spacetime  
w/ compact Cauchy surface

glue them together  
at conformal boundary




-- can apply the argument of maximum  
length causal curve?

However :

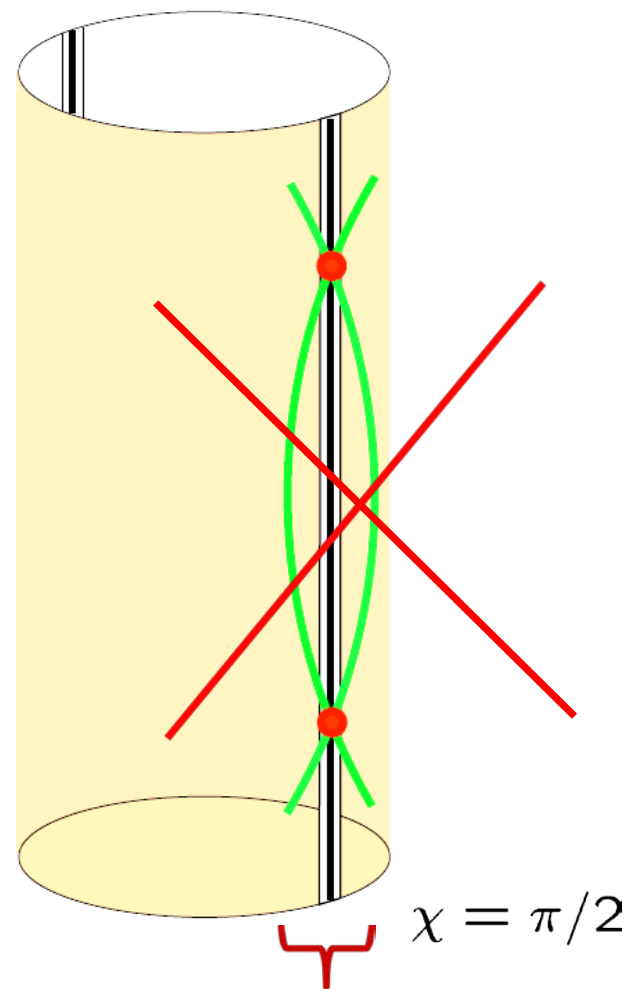
Under the standard boundary conditions  
(e.g. Dirichlet conditions)

The convergence (generic) condition is  
**NOT** satisfied for timelike geodesics  
at  $\chi = \pi/2$ , corresponding to AdS infinity



Geodesic congruence   
at  $\chi = \pi/2$  does **NOT** converge

-- cannot lead a contradiction!



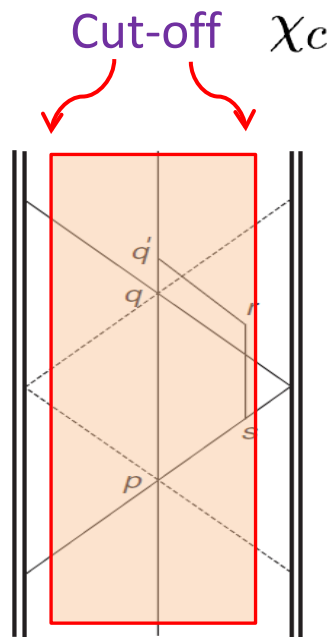
isometric to an open set of  
the Einstein-Static Universe

This indicates that for a generic singularity theorem (without any strong gravity) , one may need to impose **dynamical boundary conditions** (that guarantee generic convergence for boundary timelike geodesics).

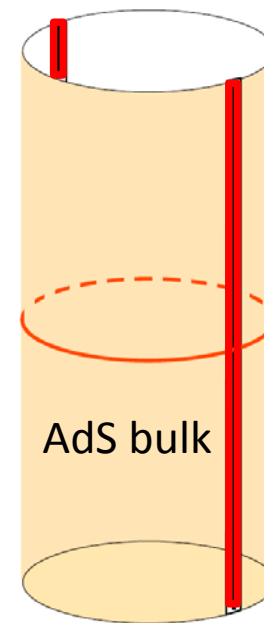


To get a desired maximal length curve, one may introduce a **cut-off** to make *compact* the space of all causal curves from  $p \rightarrow q'$

- physically implies that any causal, non-null curve has a *bounded acceleration* and *cannot reach AdS infinity*
- with cut-off, turbulent instability can still occur [Buchel-Lehner-Liebling 12](#)



Copy and glue them together at Cut-off



Convergence condition on the braneworld helps to show bulk AdS singularity theorem

Einstein-static universe as Randall-Sundrum type brane-world

# Summary

- Timelike nature of AdS-conformal infinity makes AdS spacetimes a theoretical laboratory, modifies some of the standard results about basic properties of black holes and global structure.
- Some asymptotically AdS solutions are nonlinearly unstable.
- A singularity theorem holds for a specific case: Spherically symmetric perfect fluid -- needed to impose the averaged convergence conditions which seem too restrictive.
- Attempted to show a generic singularity theorem for asymptotically AdS without imposing strong gravity conditions. → Not successful
- Seems possible if imposing dynamical boundary conditions at AdS-infinity or cut-off, which however break AdS symmetry exploited in AdS/CFT context.