

# M5-brane indices from 5d gauge theories

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Hee-Cheol Kim, S.K. “M5-branes from gauge theories on  $S^5$ ” arXiv:1206.6339

Hee-Cheol Kim, Joonho Kim, S.K. “Instantons on  $S^5$  and M5-branes” arXiv:1211.0144

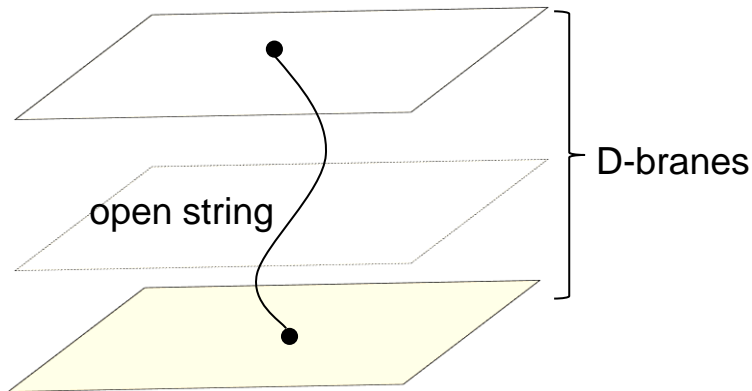
Hee-Cheol Kim, S.K., Sung-Soo Kim, Kimyeong Lee, to appear

related works: [Kallen, Zabzine] 1202.1956; [Hosomichi, Seong, Terashima] 1203.0371;  
[Kallen,Qiu,Zabzine] 1206.6008; [Kallen,Minahan,Nedeline,Zabzine] 1207.3763;  
[Imamura] 1209.0561; [Lockhart, Vafa] 1210.5909; [Imamura] 1210.6308;  
[H.-C.Kim, K.Lee] 1210.0853; [Haghighat,Iqbal,Kozcaz,Lockhart,Vafa] 1305.6322

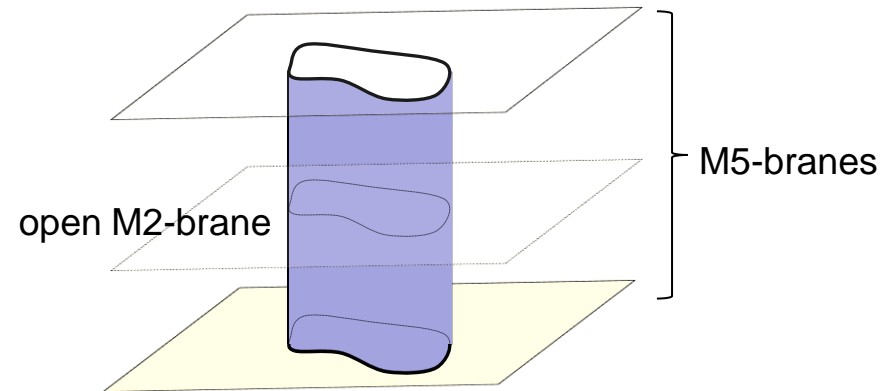
# M5-branes and 6d (2,0) SCFT

- M5-branes host 6d (2,0) SCFT: very unique quantum field theory.
- Not like the familiar Yang-Mills. It should be a “tensor gauge theory”

$$\int_{\Sigma_1} A_\mu dx^\mu$$



$$\int_{\Sigma_2} B_{\mu\nu} dx^\mu dx^\nu$$

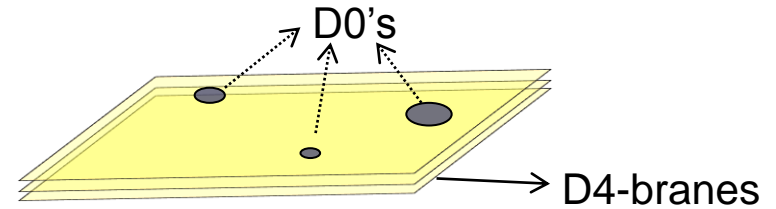


- $N^3$  light degrees of freedom should live on  $N$  M5's.
- Its compactification leads to many interesting quantum systems.
- “M-theory of QFT.” A unifying framework to understand QFT dualities
- However, we know almost nothing on its microscopic definition.

# Circle compactification and 5d SYM

- Compactify on  $S^1$ , 5d (S)YM at low E: non-perturbative study, some 6d physics.
- Instanton solitons: D0's on D4's = KK modes

$$F_{\mu\nu} = \star_4 F_{\mu\nu} \quad \text{on } \mathbb{R}^4 \quad \frac{4\pi^2}{g_{YM}^2} = \frac{1}{r_1}$$



- **Nonrenormalizable**: consistent quantum calculation possible?
- BPS quantities are often calculable with low E effective theories.
- SUSY path integrals: (secretly) Gaussian, almost trivial UV divergence
- Is it **complete**? At least, **can it be consistently used, in BPS sector**?
- Almost. Still with a few “small” ambiguities that we shall fix...
- Concrete study: 6d (2,0) theory on  $S^5 \times S^1$  from **SYM on  $S^5$**  or  **$CP^2 \times S^1$** .

Kimyeong Lee's talk (Wed) for more detailed investigation on it.

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1. Introduction
2. The index for the 6d (2,0) SCFT
3. SYM on  $S^5$  and its partition function
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## Indices for 6d (2,0) theories

- Put the theory on  $S^5 \times R$ : energy  $E$  ;  $SO(6)$   $j_1, j_2, j_3$  ;  $SO(5)_R$   $R_1, R_2$
- Choose a pair of  $Q, S$  ( $= Q^+$ )

$$Q_{(j_1, j_2, j_3)}^{(R_1, R_2)} \rightarrow Q_{-\frac{1}{2}, -\frac{1}{2}, -\frac{1}{2}}^{(\frac{1}{2}, \frac{1}{2})} : \text{BPS bound } E = 2R_1 + 2R_2 + j_1 + j_2 + j_3$$

[Kinney, Maldacena, Minwalla, Raju] [Bhattacharya, Bhattacharyya, Minwalla, Raju]

- Index partition function on  $S^5 \times S^1$ : counts local BPS operators on  $R^6$

$$I(\beta, m, \epsilon_1, \epsilon_2) = \text{Tr} \left[ (-1)^F e^{-\beta' \{Q, S\}} e^{-\beta(E - \frac{R_1 + R_2}{2})} e^{\beta m(R_1 - R_2)} e^{-\gamma_1(j_1 - j_3)} e^{-\gamma_2(j_2 - j_3)} \right]$$

- $S^5$  QFT interpretation: naïve reduction on  $S^1$  with twistings (clear in Abelian theory)

- $\beta \sim S^1$  radius  $\sim 5d$  or “type IIA” coupling:

$$\frac{4\pi^2}{g_{YM}^2} = \frac{1}{r_1} = \frac{2\pi}{r\beta}$$

- $m$ : hypermultiplet mass (Scherk-Schwarz reduction)

$$\frac{\partial}{\partial \tau} \rightarrow \frac{\partial}{\partial \tau} - ia_i \frac{\partial}{\partial \phi_i} + \frac{R_1 + R_2}{2} - m(R_1 - R_2)$$

- $a_i = (a, b, c)$ , satisfying  $a+b+c=0$ , squash  $S^5$ :

$$e^{-\gamma_1(j_1 - j_3)} e^{-\gamma_2(j_2 - j_3)} = e^{-\beta(aj_1 + bj_2 + cj_3)}$$

## Limits & unrefined indices

- Unrefined index with  $a=b=c=0$ : compute it from 5d N=1 SYM on round  $S^5$

$$I(\beta, m, \epsilon_1, \epsilon_2) = \text{Tr} \left[ (-1)^F e^{-\beta' \{Q, S\}} e^{-\beta(E - \frac{R_1 + R_2}{2})} e^{\beta m (R_1 - R_2)} e^{-\gamma_1(j_1 - j_3)} e^{-\gamma_2(j_2 - j_3)} \right]$$

- “Simplest” unrefined index: take  $m = 1/2$  &  $a=b=c=0$  ( $m = -1/2$  is similar)

$$\text{tr} [ (-1)^F e^{-\beta(E - R_1)} ] \quad Q_{(j_1, j_2, j_3)}^{(+\frac{1}{2}, R_2)}$$

- $E - R_1$  commutes with half of the 6d SUSY: maximal SYM on  $S^5$
- Vector/hyper cancelation: technically easier to study

# SYM on S<sup>5</sup>

- Fields of maximal SYM: w/ **SU(1|1)**, **SU(4|1)** (at a<sub>i</sub> = 0), **SU(4|2)** (at a<sub>i</sub> = 0, m = 1/2 or -1/2)

- Data needed to construct SYM on S<sup>5</sup>:  $n_1^2 + n_2^2 + n_3^2 = 1$

background:  $ds_5^2 = dn_i^2 + n_i^2 d\phi_i^2 + \alpha^2 (a_i n_i^2 d\phi_i)^2$        $\alpha^{-2} = 1 - a_i^2 n_i^2$        $C = i \sum_{i=1}^3 a_i n_i^2 d\phi_i$

Killing spinor eqn:  $\left[ \nabla_\mu - C_\mu \sigma_3 - \frac{i}{8\alpha} (dC)^{\nu\rho} \gamma_{\mu\nu\rho} \right] \epsilon = i\gamma_\mu \left[ \alpha \sigma_3 - \frac{1}{4\alpha} (dC)_{\nu\rho} \gamma^{\nu\rho} + \frac{i}{2\alpha} \nabla_\nu \alpha \gamma^\nu \right] \epsilon$

- Hybrid of SUGRA [**Festuccia, Seiberg**] + brutal [**Hosomichi, Seong, Terashima**] methods

- Action with off-shell SU(1|1): (bosonic)

$$D = 2(a_1^2 + a_2^2 + a_3^2)\alpha^2 \quad V_{ab} = (dC)_{ab}$$

$$g_{YM}^2 e^{-1} \mathcal{L} = \left. \begin{aligned} & \frac{1}{2} \left( \frac{3}{16\alpha^2} V^2 + \frac{1}{4} R + D \right) \alpha \phi^2 - \frac{1}{4\alpha} \phi^2 V^2 - \frac{1}{2} \phi V^{ab} F_{ab} \\ & - 2\phi \left( -\frac{1}{4} V^{ab} F_{ab} - \frac{1}{2} \partial^a \alpha D_a \phi + \frac{i}{4} \alpha^2 (\sigma^3)_{ij} D^{ij} \right) \\ & - \alpha \left( -\frac{1}{4} F_{ab} F^{ab} - \frac{1}{2} D^a \phi D_a \phi - \frac{1}{4} D_{ij} D^{ij} \right) + e^{-1} \frac{i}{8} \epsilon^{\mu\nu\lambda\rho\sigma} C_\mu F_{\nu\lambda} F_{\rho\sigma} \end{aligned} \right\} \text{vector multiplet}$$

$$+ |D_\mu q^i|^2 + \left( 4 - \frac{\alpha^2}{4} \right) |q^i|^2 - \bar{F}_i F^i + ([\bar{q}_i, \phi] - im\alpha \bar{q}_i) ([\phi, q^i] - im\alpha q^i) - \bar{q}_i (\sigma^I)^i_j ([D^I, q^i] + m\alpha^2 \delta_3^I q^j) \left. \right\} \text{adjoint hypermultiplet}$$

- A **5d ambiguity**: constant shift at inverse-powers of  $g_{YM}^2$  to be added & tuned.

$$\Delta S_0 = \underbrace{\frac{1}{g_{YM}^2} \left[ a_1 \int R^2 + \text{other background fields} \right]}_{\sim T} + \underbrace{\frac{1}{g_{YM}^6} \left[ a_3 \int R + \text{other background fields} \right]}_{\sim T^3 \text{ (maximally possible high T growth)}}$$

to account for high T asymptotics of “free energy”

## Localization of path integral

- Localization:  $Z(\beta) = \int e^{-S-tQV} : t \text{ independent} \quad (V \text{ chosen s.t. } \{Q^2, V\}=0)$
- Saddle points:  $f = \epsilon^\dagger \epsilon = \alpha(1 + a_i n_i^2) \quad \xi^\mu = \epsilon^\dagger \gamma^\mu \epsilon = (1 + a_i) \partial_{\phi_i}^\mu = (1, 1, 1) + (a_i) \equiv \zeta + \tilde{\xi}$

$$f \hat{F}_{\mu\nu} = \frac{1}{2} \epsilon_{\mu\nu\alpha\beta\gamma} \hat{F}^{\alpha\beta} \xi^\gamma, \quad \hat{F}_{\mu\nu} \xi^\nu = 0, \quad D_\mu(\alpha^{-1}\phi) = 0, \quad D = i\alpha\phi\sigma_3 \quad \text{all other fields} = 0$$

$$\hat{F}_{\mu\nu} = F_{\mu\nu} - i\alpha^{-1}\phi(d\tilde{\xi})_{\mu\nu} = F_{\mu\nu}(A - i\alpha^{-1}\phi\tilde{\xi})$$

round  $S^5$ : self-dual instantons on  $CP^2$  (base of Hopf fibration generated by  $\zeta$ )

squashed  $S^5$ :  $\xi$  doesn't yield closed orbits on  $T^3$ . Global problem restricts the saddle points

- Squashed  $S^5$ : instantons collapsed to "3 fixed points" (on squashed  $CP^2$ ).

$$(n_1, n_2, n_3) = (1, 0, 0), (0, 1, 0) \text{ or } (0, 0, 1) \quad U(1)^2 \text{ fixed points on (squashed) } CP^2$$

- solution away from fixed points:

$$\phi = \alpha\phi_0, \quad D = i\alpha^2\phi_0\sigma_3, \quad F_{\mu\nu} = \phi_0 V_{\mu\nu} = i\phi_0(d\tilde{\xi})_{\mu\nu}$$

- determinant around saddle point: use suitable index theorems



# Results

1. Classical measure: Gaussian measure & k-instanton factor  $\lambda = r\phi$

$$e^{-S_0} \quad \text{with} \quad S_0 = \frac{2\pi^2 \text{tr} \lambda^2}{\beta(1+a)(1+b)(1+c)} \quad e^{-\frac{4\pi^2 k}{\beta(1+a_i)}} \quad (\ll 1) \quad \text{for } i = 1, 2, 3$$

2. Result: determinant factorized to 3 fixed points

$$Z(\beta, m, \epsilon_1, \epsilon_2) = \frac{1}{|W|} \int_{-\infty}^{\infty} \left[ \prod_{i=1}^r d\lambda_i \right] e^{-\frac{2\pi^2 \text{tr} \lambda^2}{\beta(1+a)(1+b)(1+c)}} Z_{\text{pert}}^{(1)} Z_{\text{inst}}^{(1)} \cdot Z_{\text{pert}}^{(2)} Z_{\text{inst}}^{(2)} \cdot Z_{\text{pert}}^{(3)} Z_{\text{inst}}^{(3)}$$

(W: Weyl group, r: rank)

3.  $Z_{\text{pert}}$ 's combine to:

$$\det_V = \prod_{\alpha \in \text{root}} \prod_{p,q,r=0}^{\infty} \left( p(1+a) + q(1+b) + r(1+c) + \alpha(\lambda) \right) \left( (p+1)(1+a) + (q+1)(1+b) + (r+1)(1+c) + \alpha(\lambda) \right)$$

$$\det_H = \prod_{\mu \in \text{weight}} \prod_{p,q,r=0}^{\infty} \left( p(1+a) + q(1+b) + r(1+c) + m + \frac{3}{2} + \mu(\lambda) \right)^{-1}$$

a hyper in real rep. (e.g. adjoint)

$$\times \left( (p+1)(1+a) + (q+1)(1+b) + (r+1)(1+c) - m - \frac{3}{2} + \mu(\lambda) \right)^{-1}$$

4.  $Z_{\text{inst}} \sim Z_{\text{Nekrasov}}$  on Omega-deformed  $R^4 \times S^1$  (with careful parameter match). For  $U(N)$ ,

$$Z_{\text{inst}}^{(3)} = \sum_{k=0}^{\infty} e^{-\frac{4\pi^2 k}{\beta(1+c)}} \frac{(1+c)^{-k}}{k!} \oint \left[ \prod_{I=1}^k \frac{d\phi_I}{2\pi} \right] \prod_{I=1}^k \prod_{i=1}^N \frac{\sin \pi \frac{\phi_I - \lambda_i - m - \frac{3(1+c)}{2}}{1+c} \sin \pi \frac{\phi_I - \lambda_i + m + \frac{3(1+c)}{2}}{1+c}}{\sin \pi \frac{\phi_I - \lambda_i - \epsilon_+}{1+c} \sin \pi \frac{\phi_I - \lambda_i + \epsilon_+}{1+c}}$$

$\epsilon_1 = a_1 - a_3, \quad \epsilon_2 = a_2 - a_3$

$$\times \prod_{I \neq J} \sin \pi \frac{\phi_{IJ}}{1+c} \prod_{I,J} \frac{\sin \pi \frac{\phi_{IJ} + 3c}{1+c} \sin \pi \frac{\phi_{IJ} + m - \frac{1}{2} + a}{1+c} \sin \pi \frac{\phi_{IJ} + m - \frac{1}{2} + b}{1+c}}{\sin \pi \frac{\phi_{IJ} - a + c}{1+c} \sin \pi \frac{\phi_{IJ} - b + c}{1+c} \sin \pi \frac{\phi_{IJ} + m + \frac{3}{2}}{1+c} \sin \pi \frac{\phi_{IJ} + m + \frac{3}{2} + 3c}{1+c}}$$

Weak-coupling expansion with small  $\beta$ . Index? Expand with  $e^{-\beta}$  at strong coupling.

# Abelian 6d index

- Free in 6d, exact index known [Bhattacharya, Bhattacharyya, Minwalla, Raju]

“letter index”

$$f(\beta, m, a, b, c) = \frac{e^{-\frac{3\beta}{2}}(e^{\beta m} + e^{-\beta m}) - e^{-2\beta}(e^{\beta a} + e^{\beta b} + e^{\beta c}) + e^{-3\beta}}{(1 - e^{-\beta(1+a)})(1 - e^{-\beta(1+b)})(1 - e^{-\beta(1+c)})}$$

full Abelian index

$$I = PE[f] \equiv \exp \left[ \sum_{p=1}^{\infty} \frac{1}{p} f(p\beta, m, a, b, c) \right] \times \boxed{e^{-\beta\epsilon_0}} \rightarrow \text{0-point “energy”}$$

- Weak-coupling dual of above: [Gopakumar, Vafa]; see also [Lockhart, Vafa (2012)].

$$I = \underbrace{\left[ \frac{\beta}{2\pi} (1+a)(1+b)(1+c) \right]^{\frac{1}{2}}}_{2.} \underbrace{e^{\frac{\pi^2}{6\beta} \frac{3/4 + m^2 - \frac{a^2+b^2+c^2}{2}}{(1+a)(1+b)(1+c)}}}_{4.} \times Z_{\text{pert}} \exp \underbrace{\left[ \sum_{p=1}^{\infty} \frac{1}{p} \left( \frac{e^{-\frac{4\pi^2 p}{\beta(1+a)}}}{1 - e^{-\frac{4\pi^2 p}{\beta(1+a)}}} f(p, m, a, b, c) + (a, b, c \rightarrow b, c, a) + (a, b, c \rightarrow c, a, b) \right) \right]}_{3.}$$

- Gaussian integration factor for U(1) eigenvalue  $\lambda$   $f(p, a, b, c) \equiv \frac{\sin \frac{p\pi(m - \frac{1}{2} + b)}{1+a} \sin \frac{p\pi(m - \frac{1}{2} + c)}{1+a}}{\sin \frac{p\pi(a-b)}{1+a} \sin \frac{p\pi(a-c)}{1+a}}$

- Abelian instantons, exactly summed over: [Iqbal-Kozcaz-Shabbir]

- High T asymptotics: can't come from 5d dynamics, “fit” by **constant shift of action**

[Similar shift by Vafa-Witten (1994) to twisted 4d maximal SYM for S-duality]

## Non-abelian 6d index (w/ 16 SUSY)

- Perturbative part: (instanton parts will be  $\lambda$  independent)

$$Z_{\text{pert}} = \frac{1}{|W|} \int d\lambda \prod_{\alpha \in \Delta_+} \left( 2 \sinh \frac{\alpha \cdot \lambda}{2} \right)^2 e^{-\frac{2\pi^2}{\beta} \text{tr} \lambda^2} = \left( \frac{\beta}{2\pi} \right)^{\frac{r}{2}} e^{\frac{\beta}{6} c_2 |G|} \prod_{\alpha \in \Delta_+} (1 - e^{-\beta(\alpha \cdot \rho)})$$

( $c_2$ : dual Coxeter number,  $|G|$ : dimension of semi-simple part,  $\rho$ : Weyl vector,  $r$ : rank)

- $Z_{\text{inst}}$  also simplifies:  $U(N)$  &  $SO(2N)$  again, tune constant shift  $e^{-\Delta S_0} = e^{\frac{\pi^2 N}{6\beta}}$

$$Z_{\text{inst}} = \eta(e^{-\frac{4\pi^2}{\beta}})^{-N} = \left( \frac{2\pi}{\beta} \right)^{N/2} \eta(e^{-\beta})^{-N} = \left( \frac{2\pi}{\beta} \right)^{N/2} e^{\frac{N\beta}{24}} \prod_{n=1}^{\infty} \frac{1}{(1 - e^{-n\beta})^N}$$

- Full finite  $N$  indices (they are indices, indeed...):

$$Z^{U(N)} = e^{\beta \left( \frac{N(N^2-1)}{6} + \frac{N}{24} \right)} \prod_{n=0}^{\infty} \prod_{s=1}^N \frac{1}{1 - e^{-\beta(n+s)}}$$

$$Z^{SO(2N)} = e^{\beta \left( \frac{c_2 |G|}{6} + \frac{N}{24} \right)} \prod_{n=0}^{\infty} \left[ \frac{1}{1 - e^{-\beta(n+N)}} \prod_{s=1}^{N-1} \frac{1}{1 - e^{-\beta(n+2s)}} \right]$$

## Which states are counted?

- Full finite N indices:

$$Z^{U(N)} = e^{\beta \left( \frac{N(N^2-1)}{6} + \frac{N}{24} \right)} \prod_{n=0}^{\infty} \prod_{s=1}^N \frac{1}{1 - e^{-\beta(n+s)}}$$

$$Z^{SO(2N)} = e^{\beta \left( \frac{e_2 |G|}{6} + \frac{N}{24} \right)} \prod_{n=0}^{\infty} \left[ \frac{1}{1 - e^{-\beta(n+N)}} \prod_{s=1}^{N-1} \frac{1}{1 - e^{-\beta(n+2s)}} \right]$$

- We only know  $Z_{\text{pert}}$  for  $E_6, E_7, E_8$ . But we conjecture  $Z_{\text{inst}}^{E_n} = \eta \left( e^{-\frac{4\pi^2}{\beta}} \right)^{-n}$

- Then...

$$Z^{ADE} = e^{\beta \left( \frac{e_2 |G|}{6} + \frac{r}{24} \right)} \prod_{n=0}^{\infty} \prod_{\text{Casimir op.}} \frac{1}{1 - e^{-\beta(n+d)}}$$

d: degree of the Casimir operator

- Counts  $\frac{1}{2}$  -BPS operators w/ one kind of holomorphic derivatives
- Analogy: similar operators in 4d N=4 SYM

$$U(N): \quad (\partial_1)^n \text{tr} Z^s \quad \text{and their multiplications}$$

$$SO(2N): \quad (\partial_1)^n \text{tr} Z^{2s}, \quad (\partial_1)^n \sqrt{\det Z} \quad \text{and their multiplications}$$

- Large N limits agree with **SUGRA indices** on  $\text{AdS}_7 \times S^4$  &  $\text{AdS}_7 \times S^4/Z_2$

## Casimir “energies”

- The overall prefactor:  $e^{-\beta \epsilon_0} \equiv e^{\beta \left( \frac{c_2 |G|}{6} + \frac{r}{24} \right)}$
- Casimir “energy”: index version (regulator/renormalization dependent)

$$\epsilon_0 = \lim_{\beta' \rightarrow 0} \text{tr} \left[ (-1)^F \frac{E}{2} e^{-\beta' E} \right] \qquad (\epsilon_0)_{\text{index}} = \lim_{\beta' \rightarrow 0} \text{tr} \left[ (-1)^F \frac{E - R_1}{2} e^{-\beta' (E - R_1)} \right]$$

- For instance, the 6d Abelian theory illustrates the difference.

$$(\epsilon_0)_{\text{index}} = -\frac{1}{24} \neq -\frac{25}{384} = \epsilon_0$$

- Also,  $G = U(N)$  at large  $N$ : both exhibits  $N^3$  scalings

$$(\epsilon_0)_{\text{index}} = -\frac{N^3}{6} \neq (\epsilon_0)_{\text{gravity}} = -\frac{5N^3}{24}$$

- **AdS<sub>7</sub> dual of the index version?** (“SUSY manifest” in holographic renormalization)

## QFT on $CP^2 \times R$

- A supersymmetric reduction along  $S^1/Z_K$  Hopf fiber of  $S^5/Z_K$ .

$2\pi/K$  rotation with  $k \equiv j_1 + j_2 + j_3 + \frac{3}{2}(R_1 + R_2) + n(R_1 - R_2)$

- The 5d theory is a low energy effective QFT for large  $K$ .
- Half-an-odd integer  $n$ : different twisted reduction, infinitely many 5d QFT
- Our interest: strong-coupling QFT at  $K=1$ . Instantons provide KK towers

- On-shell (Euclidean) action: can again make it off-shell w/ 2 SUSY

$$\begin{aligned}
 S = & \frac{1}{g_{YM}^2} \int d^5x \sqrt{g} \operatorname{tr} \left[ \frac{1}{4} F_{\mu\nu} F^{\mu\nu} + \frac{1}{2} D_\mu \phi^I D^\mu \phi^I - \frac{i}{2} \lambda^\dagger \gamma^\mu D_\mu \lambda - \frac{1}{4} [\phi^I, \phi^I]^2 - \frac{i}{2} \lambda^\dagger \hat{\gamma}^I [\lambda, \phi^I] \right. \\
 & + \frac{2}{r^2} (\phi_I)^2 - \frac{1}{2r^2} (M_n \phi^I)^2 + \frac{1}{8r} \lambda^\dagger J_{\mu\nu} \gamma^{\mu\nu} \lambda - \frac{i}{2r} \lambda^\dagger M_n \lambda - \frac{i}{r} (3 - 2n) [\phi^1, \phi^2] \phi^3 - \frac{i}{r} (3 + 2n) [\phi^4, \phi^5] \phi^3 \\
 & \left. - \frac{i}{2r} \epsilon^{\mu\nu\lambda\rho\sigma} \left( A_\mu \partial_\nu A_\lambda - \frac{2i}{3} A_\mu A_\nu A_\lambda \right) J_{\rho\sigma} \right]
 \end{aligned}$$

$$M_n \equiv \frac{3}{2}(R_1 + R_2) + n(R_1 - R_2)$$

# Index on $CP^2 \times S^1$

- The index structure is manifest. Saddle point structure is more involved.

$$D^1 = D^2 = 0, \quad \boxed{F^- = 2sJ}, \quad \phi + D = 4s$$

→ anti-self-dual instantons allowed on  $CP^2$ , proportional to Kahler 2-form

- After a localization calculation, one obtains a contour integral:  $U(N)$

$$\sum_{s_1, s_2, \dots, s_N = -\infty}^{\infty} \frac{1}{|W_s|} \oint \left[ \frac{d\lambda_i}{2\pi} \right] e^{\frac{\beta}{2} \sum_{i=1}^N s_i^2 - i \sum_i s_i \lambda_i} Z_{\text{pert}}^{(1)} Z_{\text{inst}}^{(1)} \cdot Z_{\text{pert}}^{(2)} Z_{\text{inst}}^{(2)} \cdot Z_{\text{pert}}^{(3)} Z_{\text{inst}}^{(3)}$$

sum over anti-self-dual fluxes

Integral over  $S^1$  holonomies

- $Z_{\text{pert}}$ :

$$Z_{\text{pert}}^{(1)} Z_{\text{pert}}^{(2)} Z_{\text{pert}}^{(3)} = \prod_{\alpha \in \Delta_+} \frac{\prod_{\sum_{i=1}^3 p_i = \alpha(s)} 2 \sin \frac{\alpha(\lambda + i\sigma) + \beta p_i a_i}{2} \cdot \prod_{\sum_{i=1}^3 p_i = \alpha(s) - 3} 2 \sin \frac{\alpha(\lambda + i\sigma) + \beta p_i a_i}{2}}{\prod_{\sum_{i=1}^3 p_i = \alpha(s) - 1} 2 \sin \frac{\alpha(\lambda + i\sigma) + \beta p_i a_i - \beta \hbar m}{2} \cdot \prod_{\sum_{i=1}^3 p_i = \alpha(s) - 2} 2 \sin \frac{\alpha(\lambda + i\sigma) + \beta p_i a_i + \beta \hbar m}{2}}$$

- $Z_{\text{inst}}$ : product of 3 Nekrasov's  $Z_{\text{inst}}$  on  $R^4 \times S^1$ , with suitable identifications of parameters

# The contour

- Naïve localization obscures the correct contour: Why is this an issue...?
- The local determinant calculus on  $R^4 \times S^1$  partly forgot our original problem.
- Restoring the forgotten information: signs of BPS charges from 6d unitarity bounds

$$R_1 \geq 0, \quad R_2 \geq 0, \quad j_1 + j_2 \geq 0, \quad j_2 + j_3 \geq 0, \quad j_3 + j_1 \geq 0$$

- 3rd local determinant: BPS states weighted by  $\epsilon_{\pm} = \frac{\epsilon_1 \pm \epsilon_2}{2}$ ,  $\epsilon_1 = b - a$ ,  $\epsilon_2 = c - a$

$$e^{-\beta\epsilon_+} e^{\beta(R_1 + R_2 + j_2 + j_3)} e^{-\beta\epsilon_-} e^{-\beta(j_2 - j_3)} e^{\beta(m + n(1+a))} (R_1 - R_2)$$

- Expand all  $Z_{\text{pert}}^{(i)} Z_{\text{inst}}^{(i)}$  in positive powers of  $e^{-\beta\epsilon_+} = e^{\frac{3\beta a_i}{2}}$ : constrain the contour

- Example: U(2) gauge theory with 1 self-dual instantons and flux (0,0)  $\zeta \equiv e^{-i(\lambda_1 - \lambda_2)}$

$$\oint \frac{d\zeta}{2\pi i} \frac{1}{\zeta^2} (\zeta - 1)^2 e^{-\beta(1+a)} \frac{\sinh \frac{\beta(m - \frac{1}{2} + b)}{2} \sinh \frac{\beta(m - \frac{1}{2} + c)}{2}}{\sinh \frac{\beta(b-a)}{2} \sinh \frac{\beta(c-a)}{2}} \left[ 2 + \frac{\zeta(e^{-\frac{3\beta a}{2}} + e^{\frac{3\beta a}{2}})(e^{-\frac{3\beta a}{2}} + e^{\frac{3\beta a}{2}} - e^{\beta(m - \frac{1}{2} + a)} - e^{-\beta(m - \frac{1}{2} + a)})}{(\zeta - e^{3\beta a})(\zeta - e^{-3\beta a})} \right]$$

$+(a, b, c \rightarrow b, c, a) + (a, b, c \rightarrow c, a, b)$

- Vacuum comes with nonzero flux and negative “energy”.

$$s = (s_1, s_2, \dots, s_N) = (N - 1, N - 3, N - 5, \dots, -(N - 1))$$

contributes to vacuum “energy” by  $\epsilon_0 \leftarrow -\frac{N(N^2 - 1)}{6}$



# Some tests

(instanton number  $\sim$  energy)

- U(N) index agrees w/ large N gravity dual for  $k \leq N$ : checked for  $N \leq 3$
- E.g.  $k = N = 3$ : (all multiplied by vacuum energy factor &  $q^3$ )  $q = e^{-\beta}$ ,  $y_i = e^{-\beta a_i}$ ,  $y = e^{\beta(m-\frac{1}{2})}$

$$\begin{aligned}
 Z_{(2,0,-2)} &= 3 \left[ y^2(y_1 + y_2 + y_3) + y(y_1^2 + y_2^2 + y_3^2) + y^{-1}(y_1 + y_2 + y_3) - \left(1 + \frac{y_1}{y_2} + \frac{y_2}{y_1} + \dots\right) + y^3 \right] \\
 &\quad + 6y \left[ y(y_1 + y_2 + y_3) - (y_1^{-1} + y_2^{-1} + y_3^{-1}) + y^{-1} + y^2 \right] + y^3 \\
 Z_{(2,-1,-1)} + Z_{(1,1,-2)} &= -2y \left[ y(y_1 + y_2 + y_3) - (y_1^{-1} + y_2^{-1} + y_3^{-1}) + y^{-1} + y^2 \right] \\
 &\quad - 2y \left[ y(y_1 + y_2 + y_3) - (y_1^{-1} + y_2^{-1} + y_3^{-1}) + y^{-1} + y^2 \right] \\
 &\quad - 4y^3 - 4y^2(y_1 + y_2 + y_3) - 2y \left( y_1^2 + y_2^2 + y_3^2 - \frac{1}{y_1} - \frac{1}{y_2} - \frac{1}{y_3} \right) + 2 \left( \frac{y_1}{y_2} + \frac{y_2}{y_3} + \dots \right) - 2y^{-1}(y_1 + y_2 + y_3) \\
 Z_{(1,0,-1)} &= y^3 + y^2(y_1 + y_2 + y_3) - y(y_1^{-1} + y_2^{-1} + y_3^{-1}) + 1 \\
 Z_{SUGRA} &= 3y^3 + 2y^2(y_1 + y_2 + y_3) + y \left( y_1^2 + y_2^2 + y_3^2 - \frac{1}{y_1} - \frac{1}{y_2} - \frac{1}{y_3} \right) - \left( \frac{y_1}{y_2} + \frac{y_2}{y_1} + \dots \right) + y^{-1}(y_1 + y_2 + y_3)
 \end{aligned}$$

} add all

- General U(N) index up to  $k \leq 2$ . Large N agrees w/ SUGRA: e.g.  $k=2$  example

Contributions from various anti-self-dual fluxes

$$\left[ \begin{aligned}
 & q^2 \left[ \frac{N(N+1)}{2} y^2 + N y (y_1 + y_2 + y_3) - N (y_1^{-1} + y_2^{-1} + y_3^{-1}) + N y^{-1} \right] \\
 & - (N-1)(N-2) q^2 y^2 - (N-1) q^2 \left[ y^2 + y (y_1 + y_2 + y_3) - (y_1^{-1} + y_2^{-1} + y_3^{-1}) + y^{-1} \right] \\
 & + \frac{(N-2)(N-3)}{2} q^2 y^2 \qquad \qquad \qquad = q^2 \left[ 2y^2 + y (y_1 + y_2 + y_3) - (y_1^{-1} + y_2^{-1} + y_3^{-1}) + y^{-1} \right]
 \end{aligned} \right.$$

**SUGRA index on AdS<sub>7</sub> x S<sup>4</sup>**

- New predictions of spectrum at  $k > N$  beyond SUGRA.

## Concluding remarks

- So the QFT on  $S^5$  and  $CP^2 \times S^1$  can be used to study the  $S^5 \times S^1$  index.
  - Learn more about the (2,0) theory from this index.
  - Study (2,0) theory on other manifolds with  $S^1$  factor. (→ perhaps Sungjay Lee's talk)
- $CP^2 \times R$  approach will be useful to study 6d (1,0) SCFT's & their indices.
- Still, I feel we seemed to have obtained an inefficient expression for a simple result (division into 3 factors, etc.): improvement from different approaches...?
- $Z[S^5]$  could have different applications (with different field contents):
  - Study of 5d SCFT on  $S^5$ :  $\log Z[S^5] \sim N^{5/2}$  [Jafferis, Pufu] [Assel, Estes, Yamazaki] (2012)
  - Relation to q-deformed 2d CFTs' correlators [Nieri, Pasquetti, Passerini] (2013)