

# Higgs or Amplitude Modes in Condensed Matter Physics

Based On

Littlewood and CMV (1981,1982)

CMV (2001)

Barlas and CMV (2012) ; and Unpublished

Raman Experiments on NbSe<sub>2</sub>:

Klein et al. (1980's).

**Recent Review article : Pekker and Varma, arXiv**

Recent Experiments:

Raman: M-A. Mèasson, A. Sacuto et al. (2012)

Terahertz Pulse excitation: Matsunaga et al. (2013)

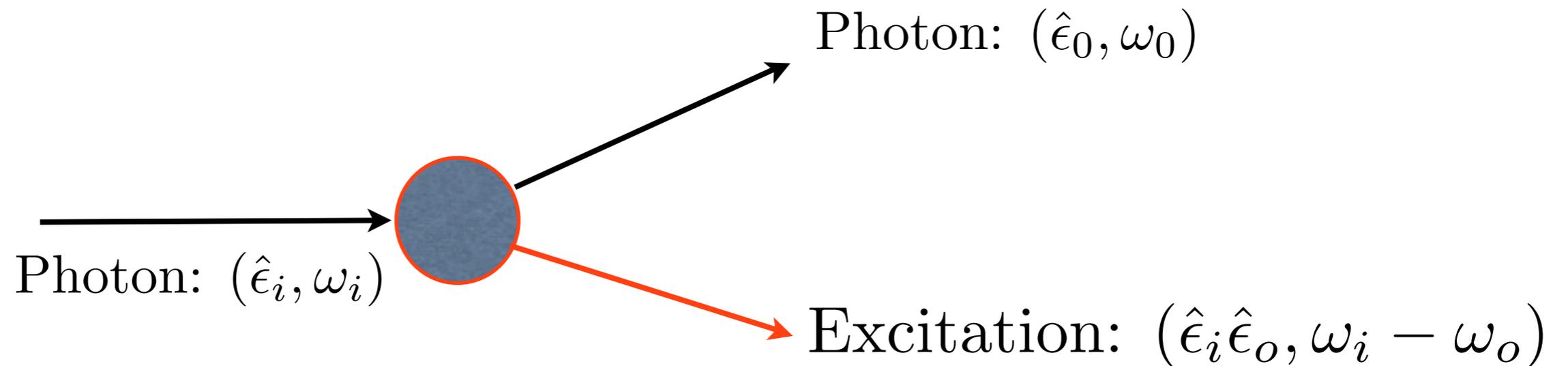
With some Nomenclatural reservations:

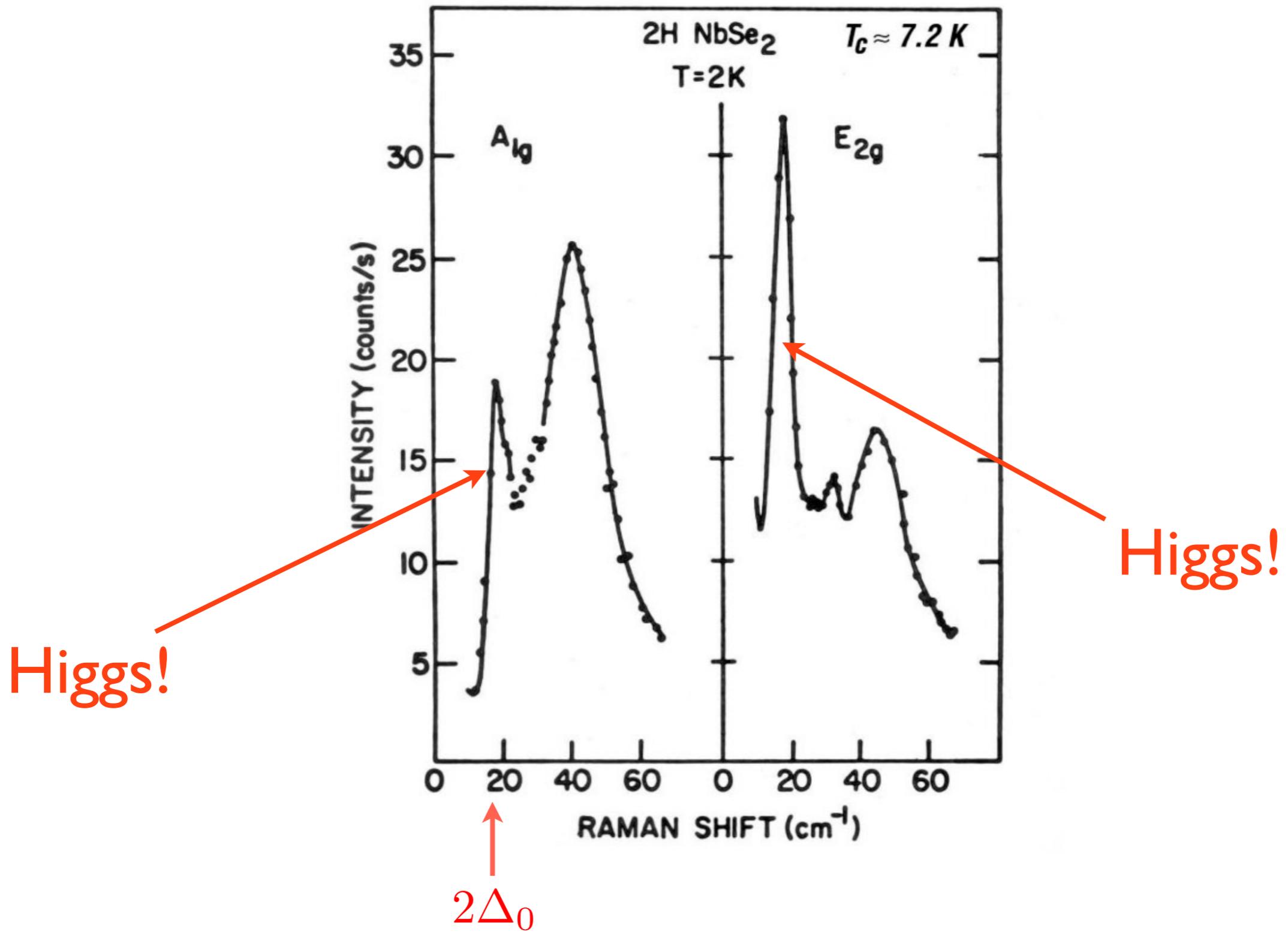
Cold Atom superfluids : I. Bloch et al. (2012).

Neutron Scatt. on AFM's C. Ruegg et al. (2008)

## Urbana Raman Scattering group (1979-80)

Unusual Modes detected in NbSe(2) in Raman Scattering on entering the superconducting phase.





## Organization of this lecture:

1. Basic Phenomenology of Superconductors.  
Phase and Amplitude co-ordinates.
2. Derivation of Higgs using Nambu identities.
3. How to couple to Higgs? Necessity of breaking number conservation. Necessity of particle-hole symmetry.
4. New Experimental Results.
5. Higgs in cold atoms.

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Contrasting theory of superconductivity with electro-weak gauge theory : Can CMP say anything helpful?

Phenomenological understanding of Superconductivity:

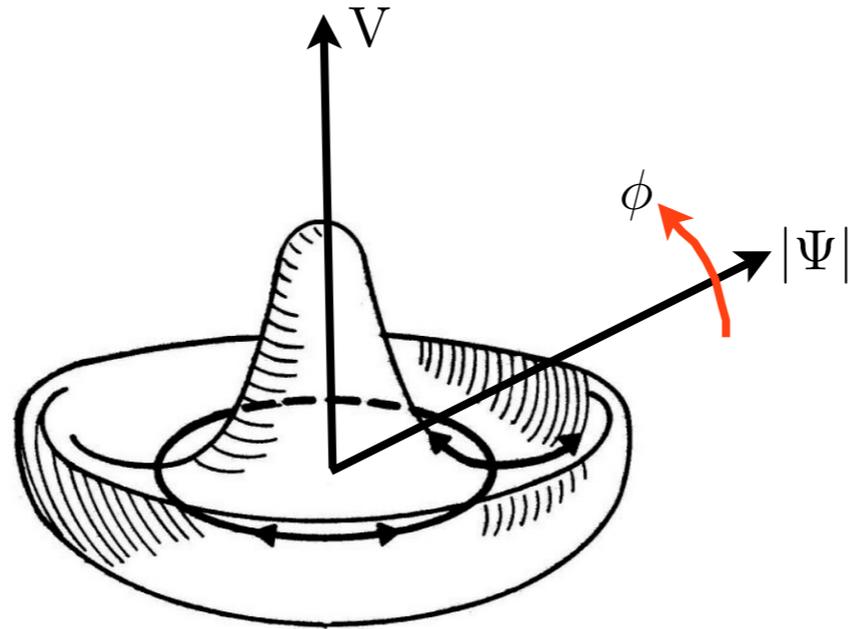
London(s) (1935), Ginzburg-Landau (1950).

Following the Meissner-Ochsenfeld Effect.

Superconductivity is  
a **MACROSCOPIC COHERENT QUANTUM STATE** in  
which the metallic electrons develop a **STIFFNESS**.

$$H = \int d^d(r) \left( \frac{1}{2m} |(\nabla - e^*/c\mathbf{A})\Psi|^2 + r|\Psi|^2 + u|\Psi|^4 \right)$$

$$\Psi = |\Psi|e^{i\phi}$$



The Ginzburg Landau Model was used to calculate various properties of superconductors.

It did not give Higgs particle to condensed matter theorists.

But it did to Higgs (1964).

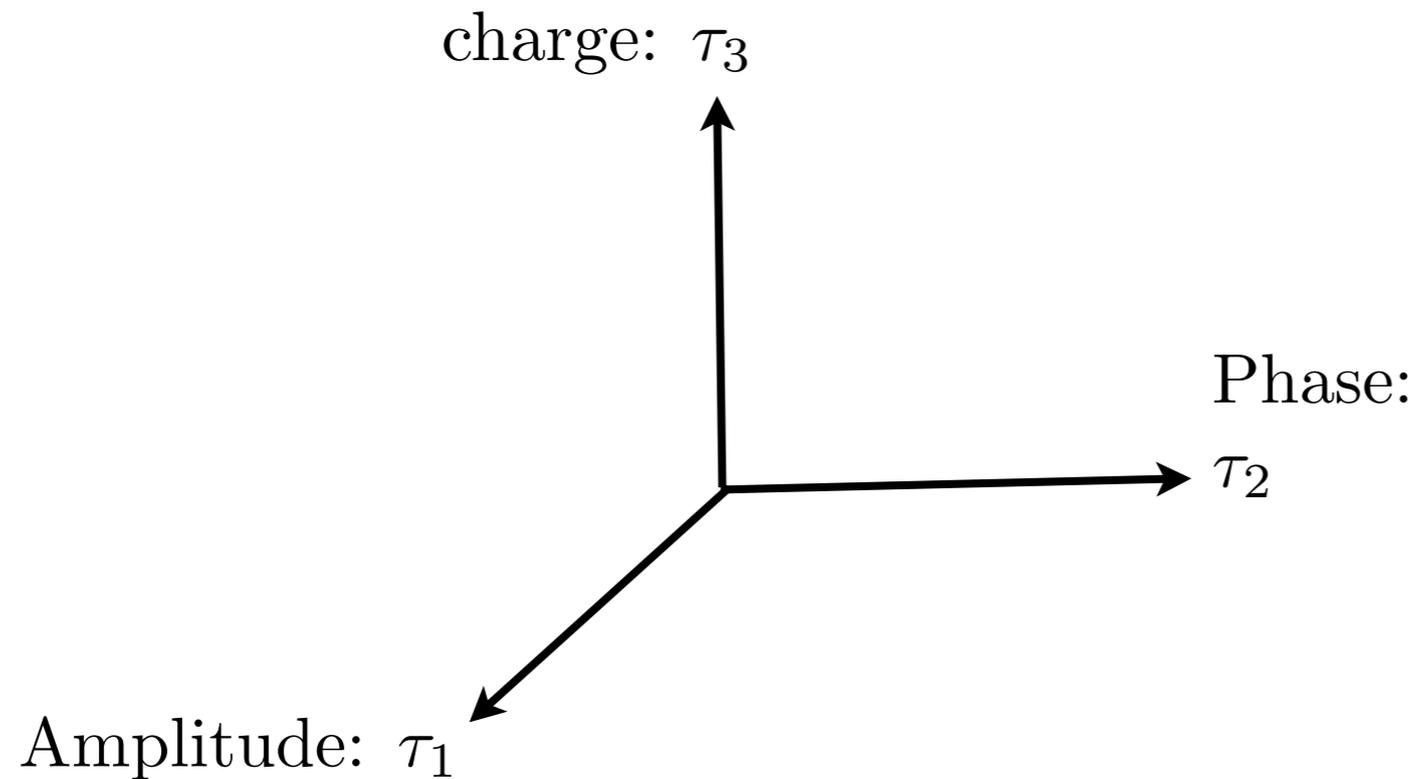
And

There is also no Higgs in Superfluid Helium(4).



BCS:

$$\Psi_{\mathbf{k}} = \begin{pmatrix} c_{\mathbf{k}\uparrow} \\ c_{-\mathbf{k}\downarrow}^\dagger \end{pmatrix} \quad \Psi_{\mathbf{k}}^\dagger = (c_{\mathbf{k}\uparrow}^\dagger \quad c_{-\mathbf{k}\downarrow})$$



Y. Nambu

$$\mathcal{H} = \sum_{\mathbf{k}} \Psi_{\mathbf{k}}^\dagger \tau_3 \epsilon_{\mathbf{k}} \Psi_{\mathbf{k}} + \sum_{\mathbf{k}, \mathbf{k}', \mathbf{q}} V(\mathbf{k}, \mathbf{k}', \mathbf{q}) \Psi_{\mathbf{k}+\mathbf{q}}^\dagger \tau_3 \Psi_{\mathbf{k}} \Psi_{\mathbf{k}'-\mathbf{q}}^\dagger \tau_3 \Psi_{\mathbf{k}'}$$

$$\mathcal{H} = \mathcal{H}_{BCS} + \mathcal{H}_1,$$

$$\mathcal{H}_{BCS} = \sum_{\mathbf{k}} \Psi_{\mathbf{k}}^\dagger (\epsilon_{\mathbf{k}} \tau_3 + \Delta_{\mathbf{k}} \tau_1) \Psi_{\mathbf{k}}.$$

Note: BCS Hamiltonian bears a one to one Correspondence with Dirac Hamiltonian. **Y. Nambu's important observation (1960)**

Local Gauge Invariance:

$$\Psi \rightarrow \exp(i\alpha(\mathbf{r}, t)\tau_3)\psi$$

➔ Continuity Equation

$$\frac{\partial}{\partial t}(\psi^\dagger \tau_3 \psi) + \nabla \cdot \Psi^\dagger \frac{\mathbf{P}}{m} \Psi = 0.$$

BCS Theory does not satisfy this, yet they got right answers for things they calculated !

Need to do one loop calculations (RPA) using

$$\mathcal{H} - \mathcal{H}_{BCS}$$

Gorkov (1959): From BCS theory to Ginzburg-Landau

Anderson (1959), and some others did such a calculation:  
Obtained the “Goldstone mode” for oscillations of phase:

$$\tau_2 \text{ oscillation : } \omega \propto k$$

But this couples to Longitudinal fluctuations of EM field:  
Showed that the oscillation is at the plasmon frequency as  
in the normal metallic state:

$$\omega = \omega_P = \sqrt{\frac{4\pi n e^2}{m}} - O(\Delta^2 / \omega_P)$$

“Anderson mechanism”.

$\omega_P^{-1}$  sets also the scale for  
the London penetration depth.

Or of the mass of W or the range  
of weak interactions.

# Amplitude Mode: in $\tau_1$ sector: Chargeless, Spin-less

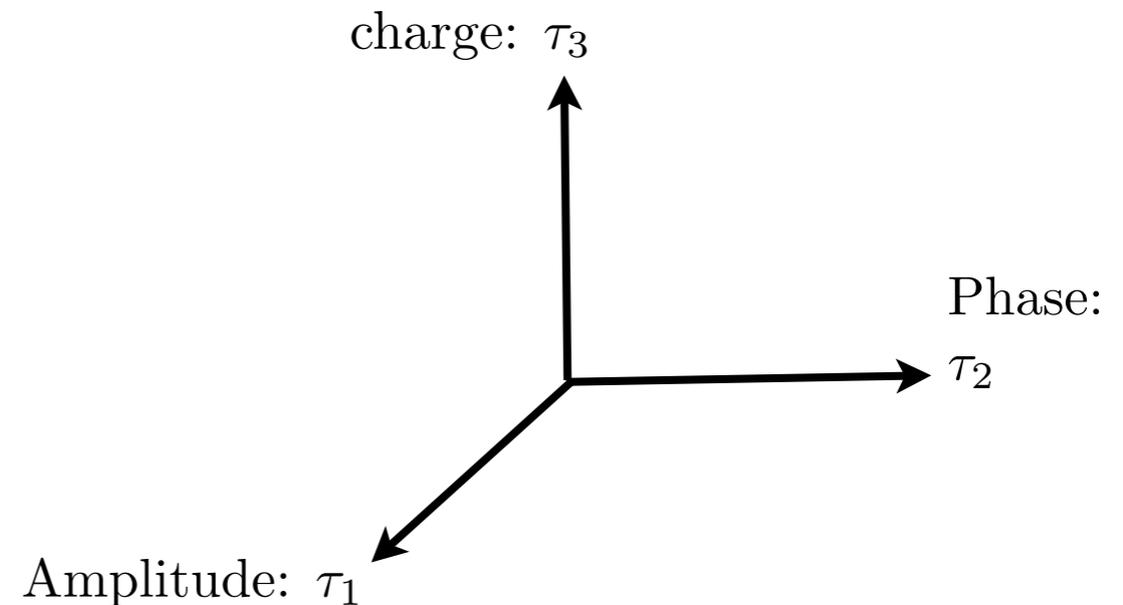
No coupling to electromagnetic field !

$\mathcal{H}$ , unlike  $\mathcal{H}_{BCS}$  is also invariant to

Y. Nambu (1960):

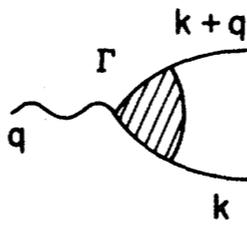
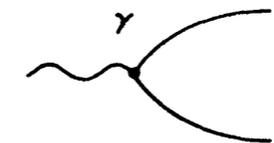
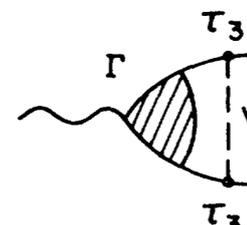
$$\Psi \rightarrow \exp(\alpha(\mathbf{r}, t)\tau_1)\Psi$$

$$\nabla \rightarrow \nabla + \alpha(\mathbf{r}, t)\tau_1,$$



leading to a "continuity" equation:

$$i\Psi^\dagger \tau_1 \left( \frac{\overleftarrow{\partial}}{\partial t} - \frac{\vec{\partial}}{\partial t} \right) \Psi + \nabla \cdot \Psi^\dagger \tau_2 \left( \frac{\overleftarrow{\mathbf{P}}}{m} + \frac{\vec{\mathbf{P}}}{m} \right) \Psi = 0.$$

To satisfy this, solve  =  + 

with  $\gamma \propto \tau_1$ .

Note: No renormalization due to Coulomb interaction.

Littlewood, CMV (1981): Calculation consistent with this invariance yields an excitations in the

$$\tau_1 \text{ or amplitude sector with}$$
$$\nu_q^2 \approx 4\Delta^2 + \frac{1}{3}v_F^2 q^2 + i\frac{\pi^2}{12}\Delta v_F q.$$

At  $q=0$ , the integral Eqn. solved is the same as the gap Eqn. for supercond. All superconds. have this mode. Also, no other scale in the problem.

This does not help at all with the observed sharp mode

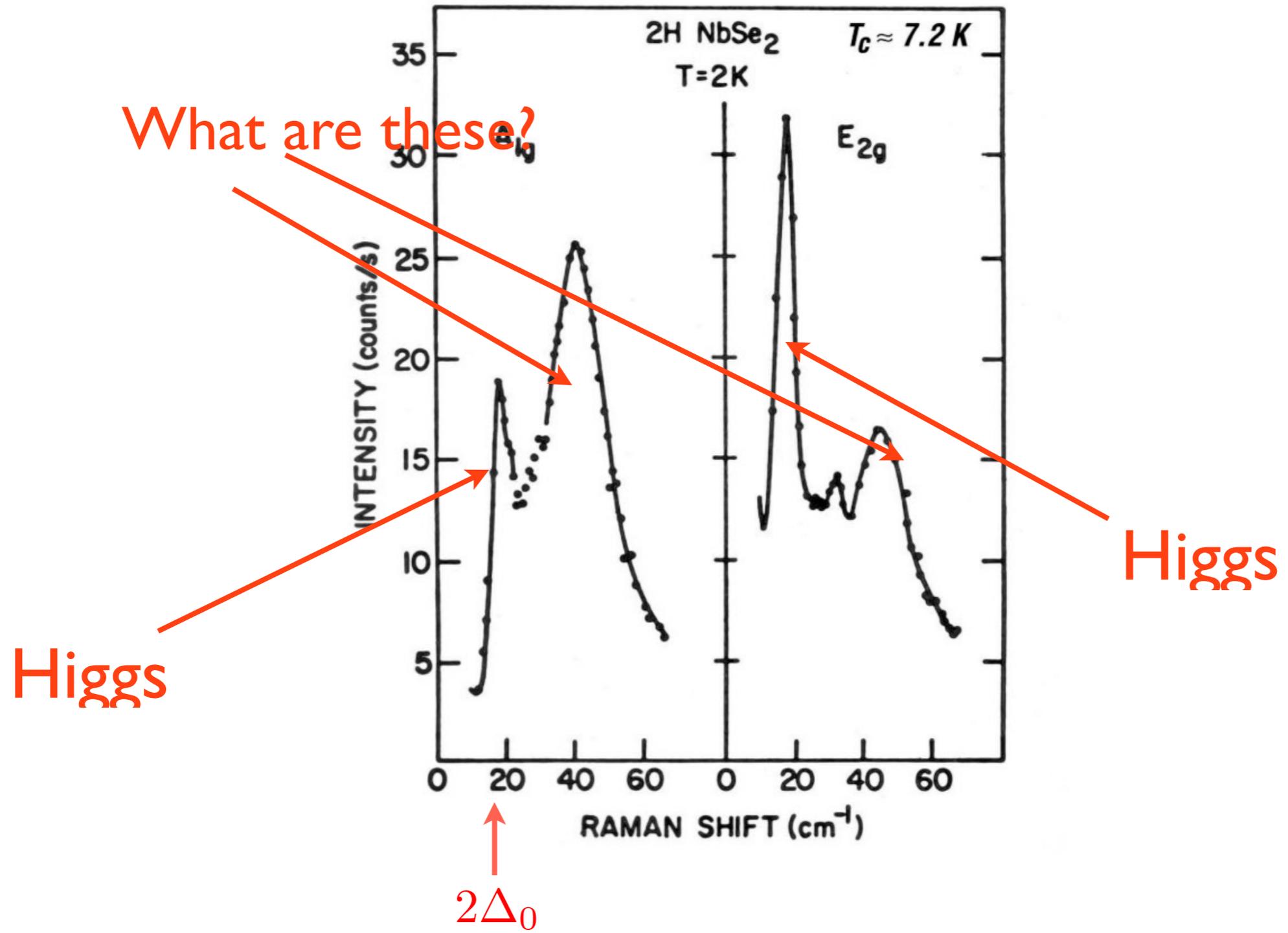
How would one couple to it anyway?

It has no charge, no dipole moment, no magnetic moment, etc.

to which we couple excitations with external probes.

**It is a SCALAR**

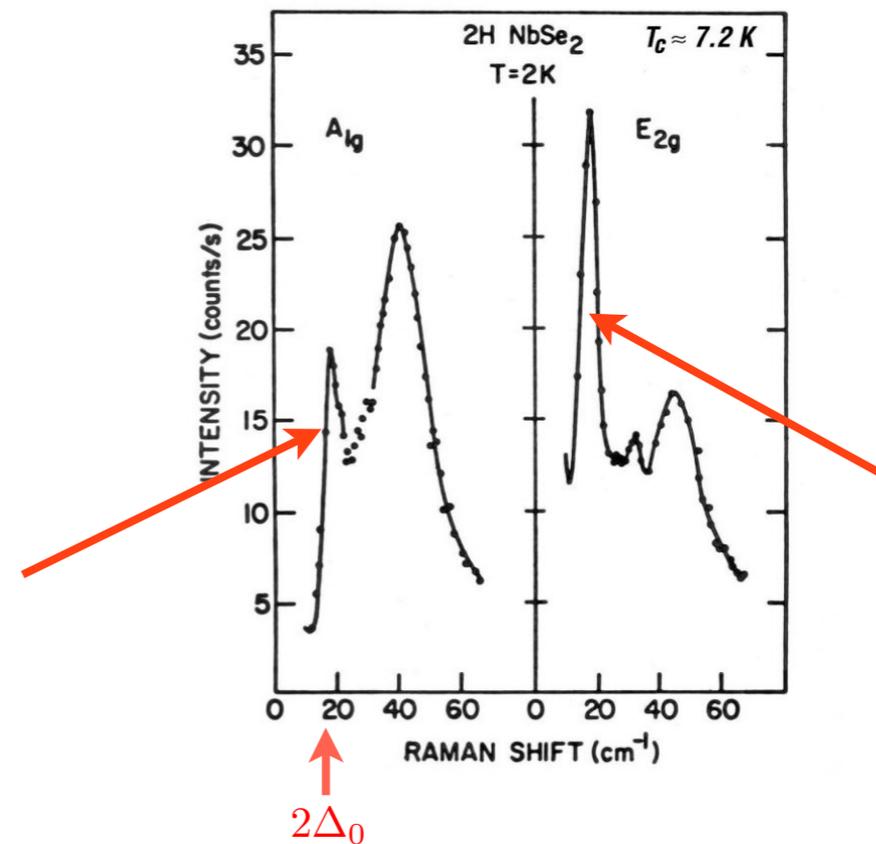
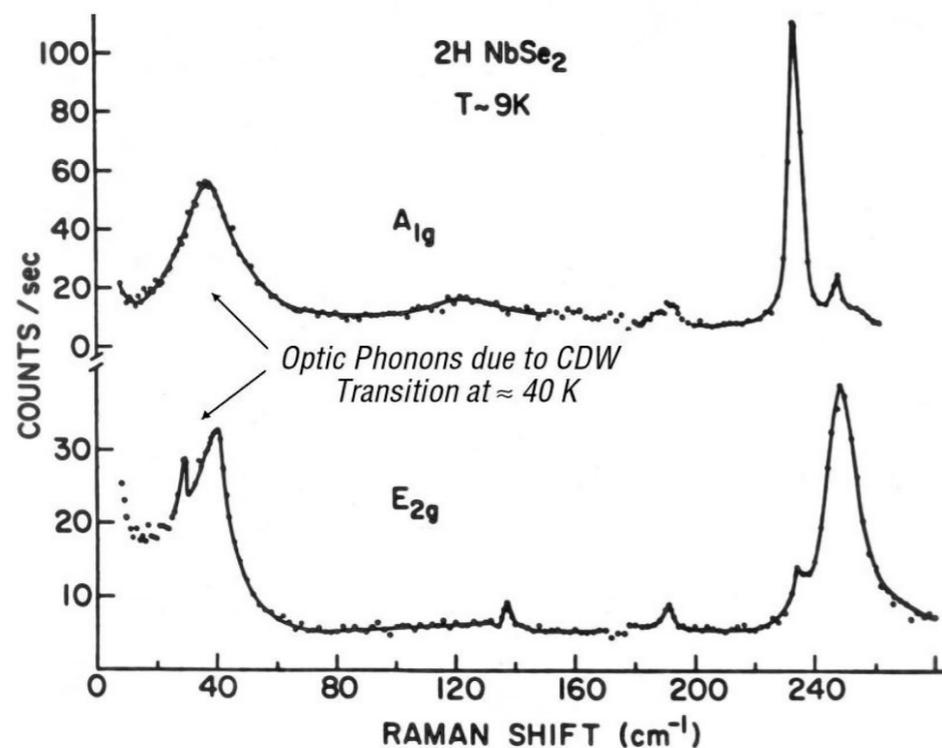
To excite this mode, one must shake the condensate!



**NbSe<sub>2</sub>** has a charge density wave transition at 33 K.

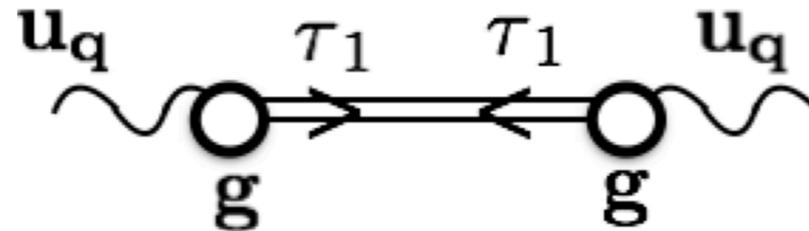
This is a structural transition which gaps part of the Fermi-surface.

In the low T phase, altered periodicity gives new optical phonons **at relatively low energies.**



Looking at the data suggested to us that the weight in the new peak plus that in what remains of the old peak is the same as the weight of the peak above T<sub>c</sub>.

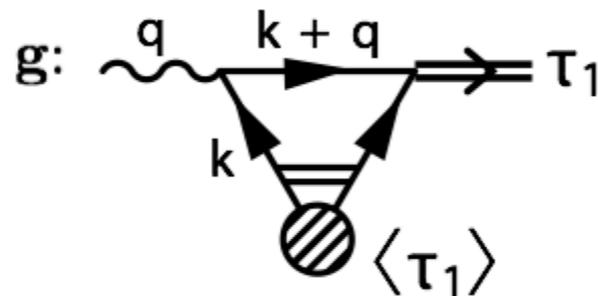
This conservation of weight implies a linear coupling between the excitation above  $T_c$  and the daughter peak below  $T_c$ .



$$H' = \sum_{\lambda, \mathbf{q}} g_{\lambda} u_{\lambda, \mathbf{q}} (\Psi^{\dagger} \tau_1 \Psi)(-\mathbf{q}) + H.C.$$

**But this violates conservation laws!**

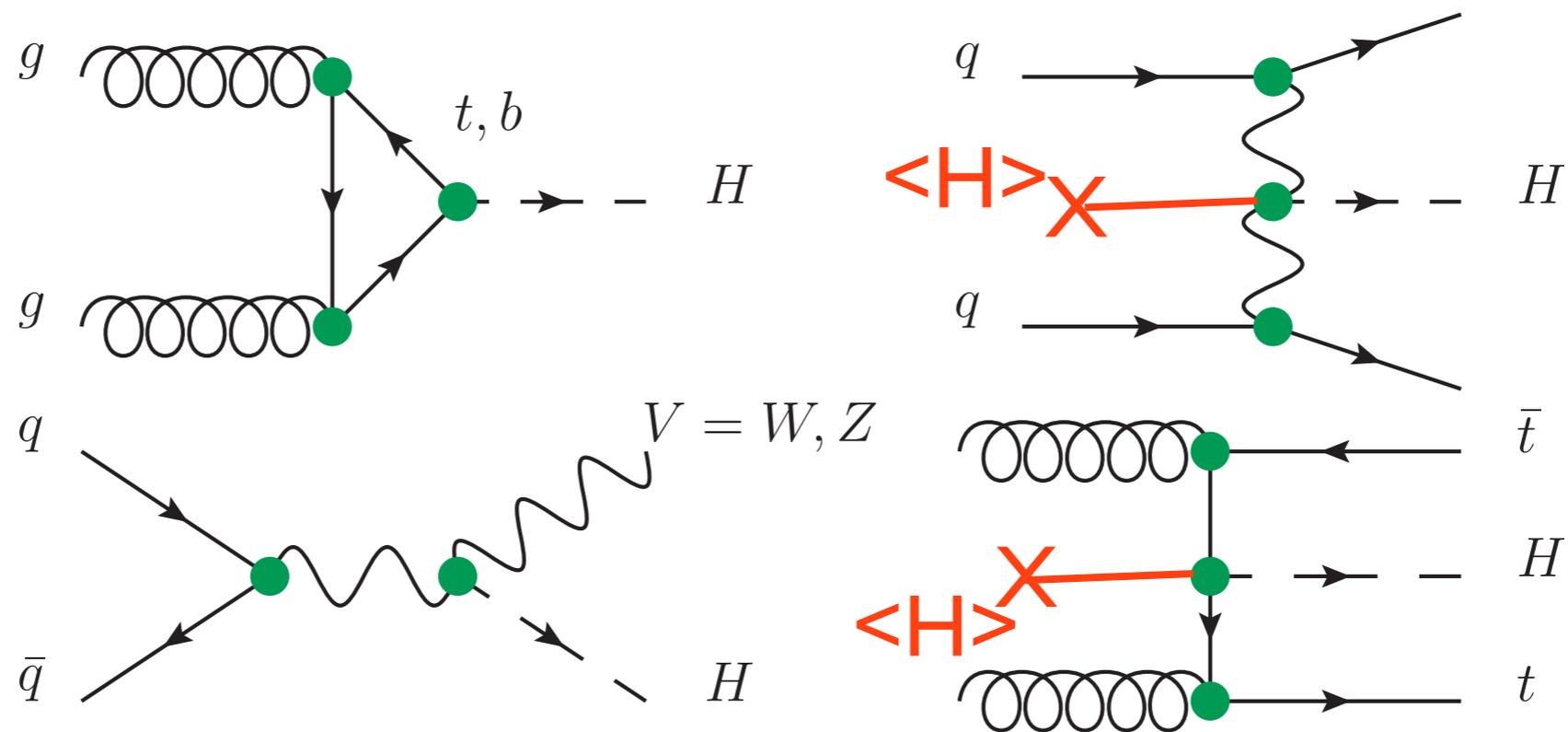
To understand how this is permitted, look closer at  $g$ .



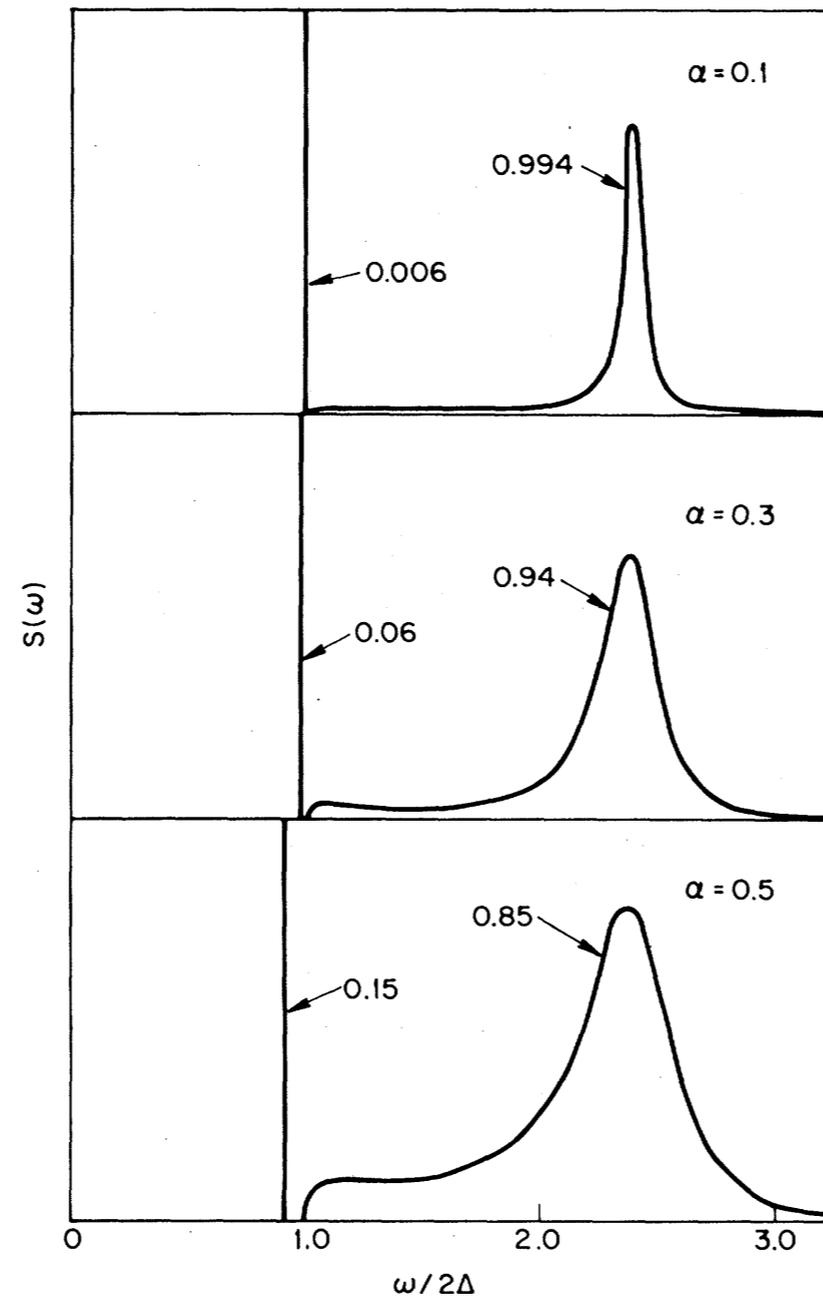
**Existence of a condensate allows the detection of the Higgs particle.**

The same is true of some of the processes by which Higgs is detected at LHC.

How is Higgs being discovered at LHC?  
 (From J. Baglio, Thesis (2011), Orsay)



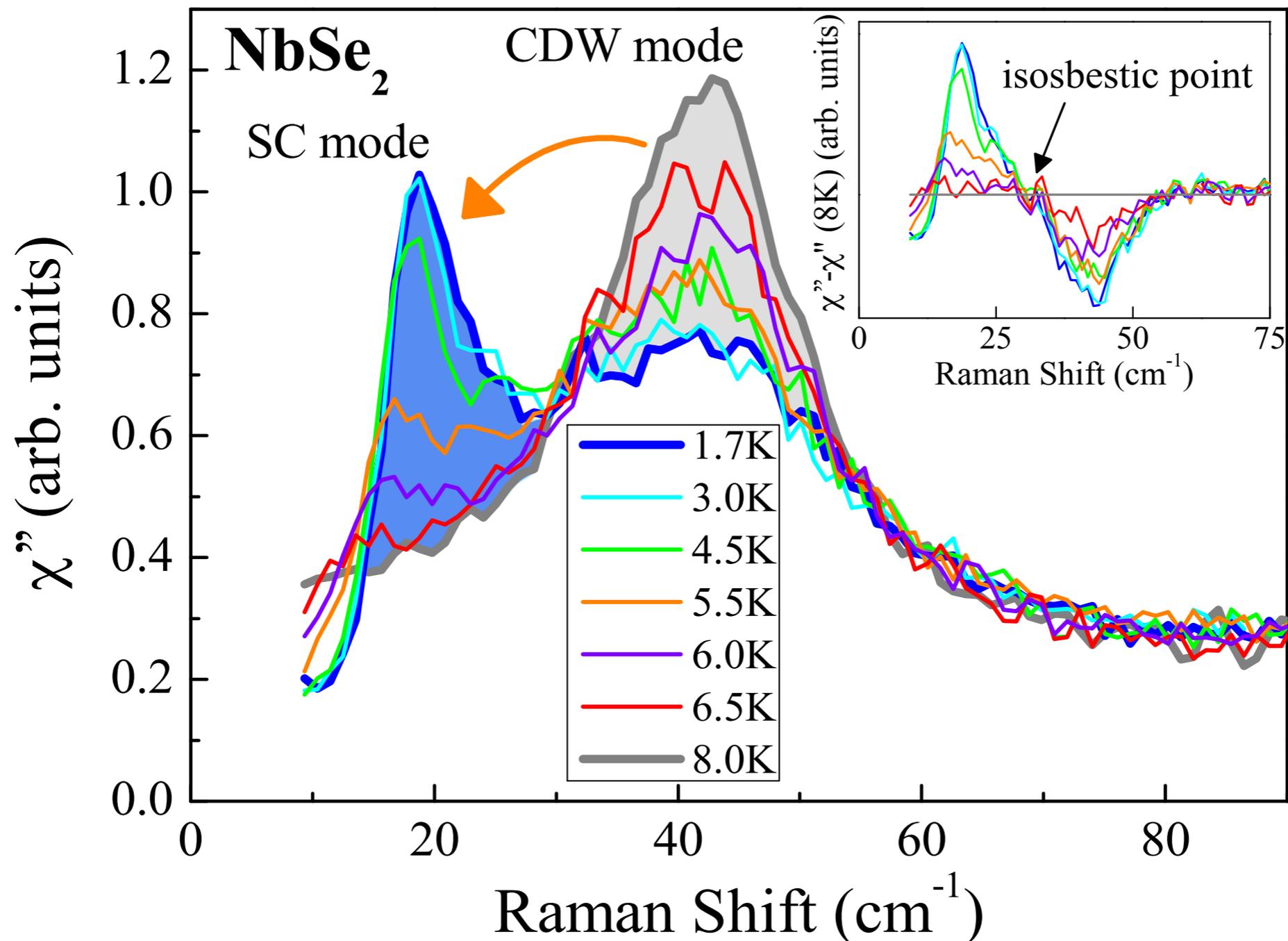
# Calculations: (Littlewood, cmv 81)



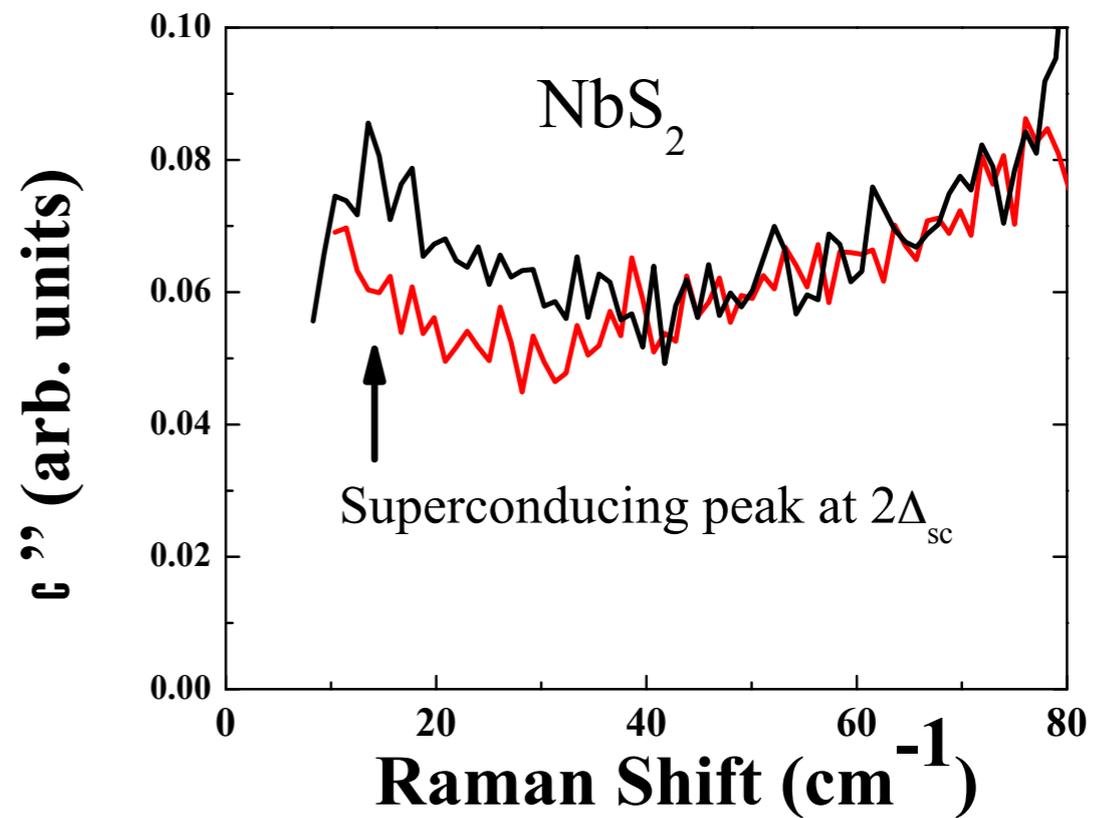
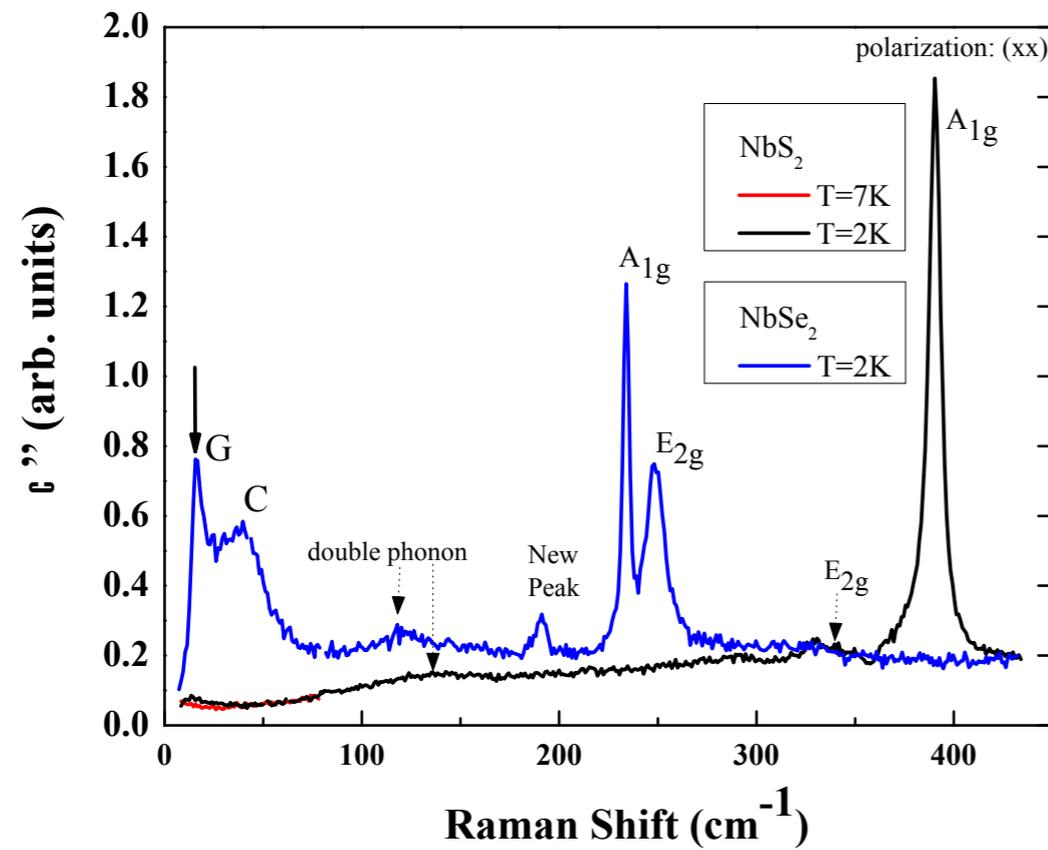
# True test of theory: Conservation of Weight.

New Experiments: (M-A. Méasson, A. Sacuto, Paris)

Phys. Rev. B 2013 ( and preprint)



Just as interesting  
NbS<sub>2</sub> - Same structure, similar T<sub>c</sub>, no CDW.



# Higgs Amplitude Mode in BCS Superconductors Nb<sub>1-x</sub>Ti<sub>x</sub>N induced by Terahertz Pulse Excitation

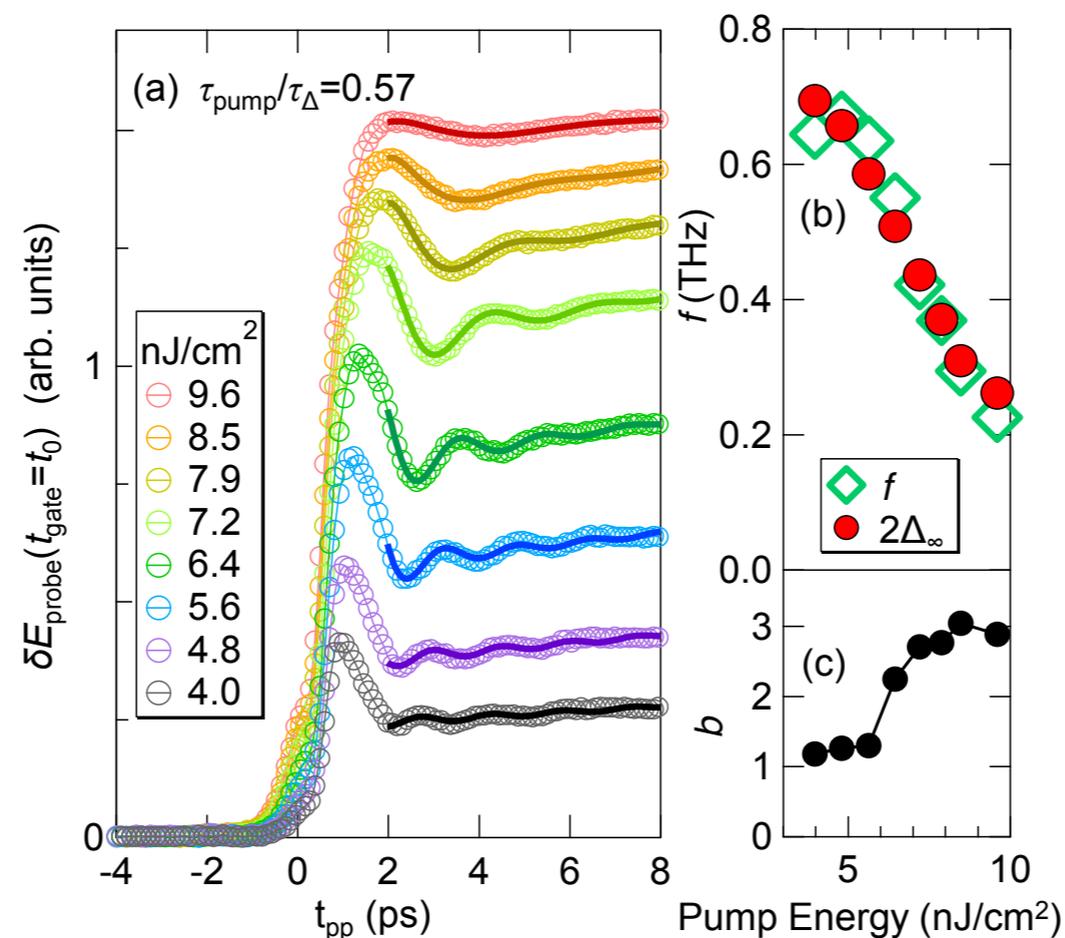
Ryusuke Matsunaga et al. (2013)

Other ways of Shaking:

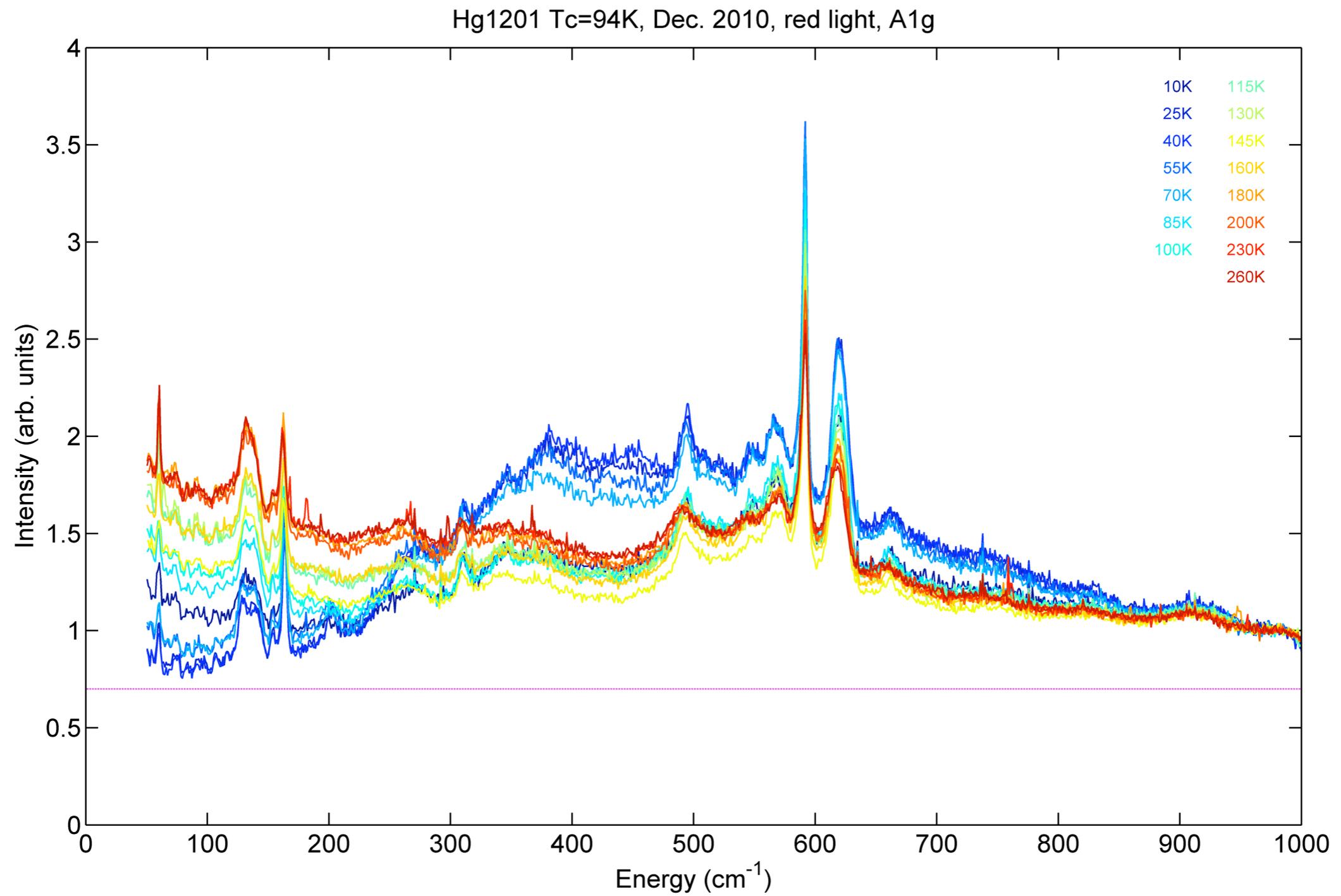
Hit the supercond. with femotsec. pulse of Terahz. radiation and probe

the recovery of the gap by another optical pulse.

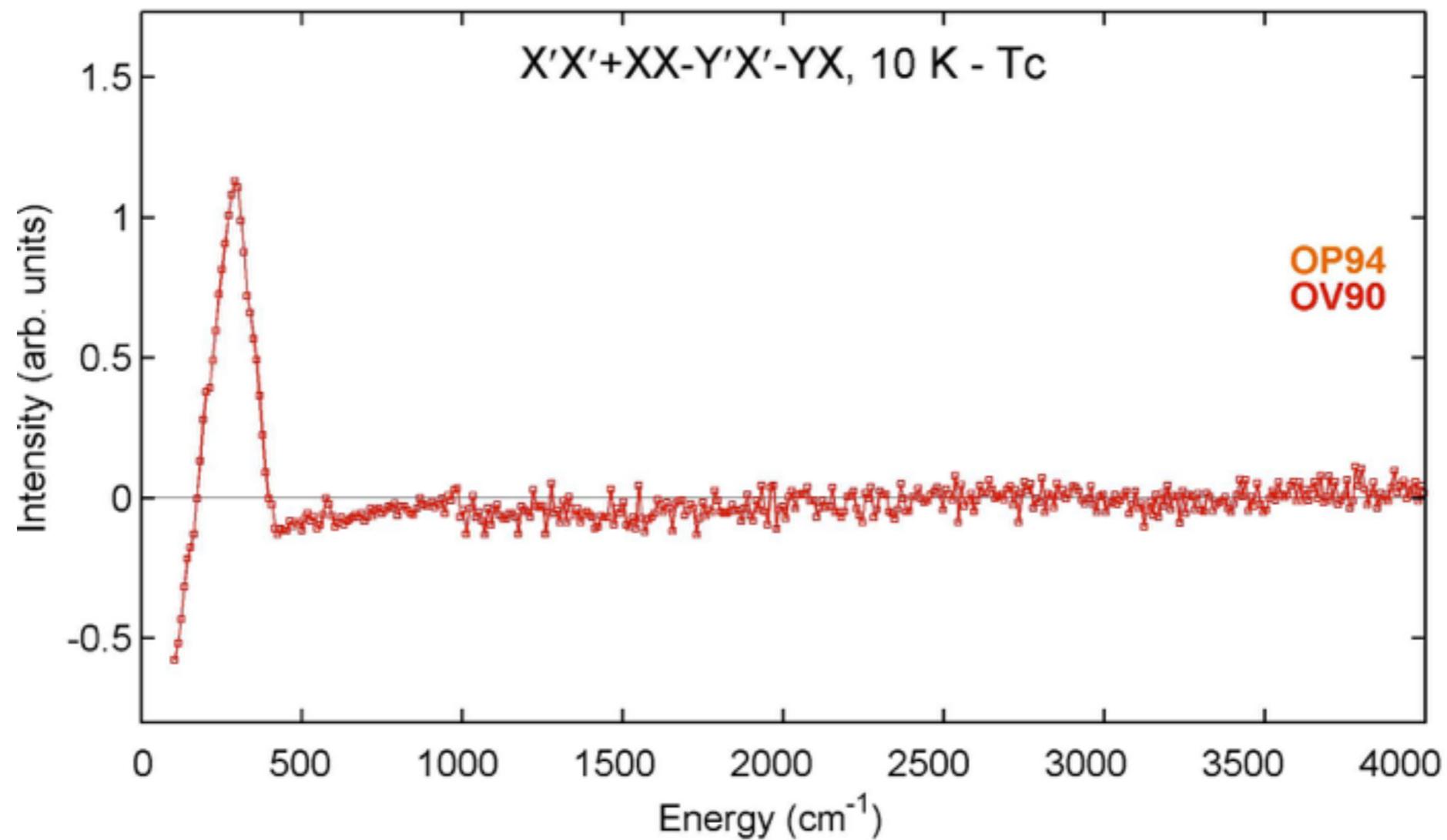
Watch oscillations as function of time at the Higgs freq.



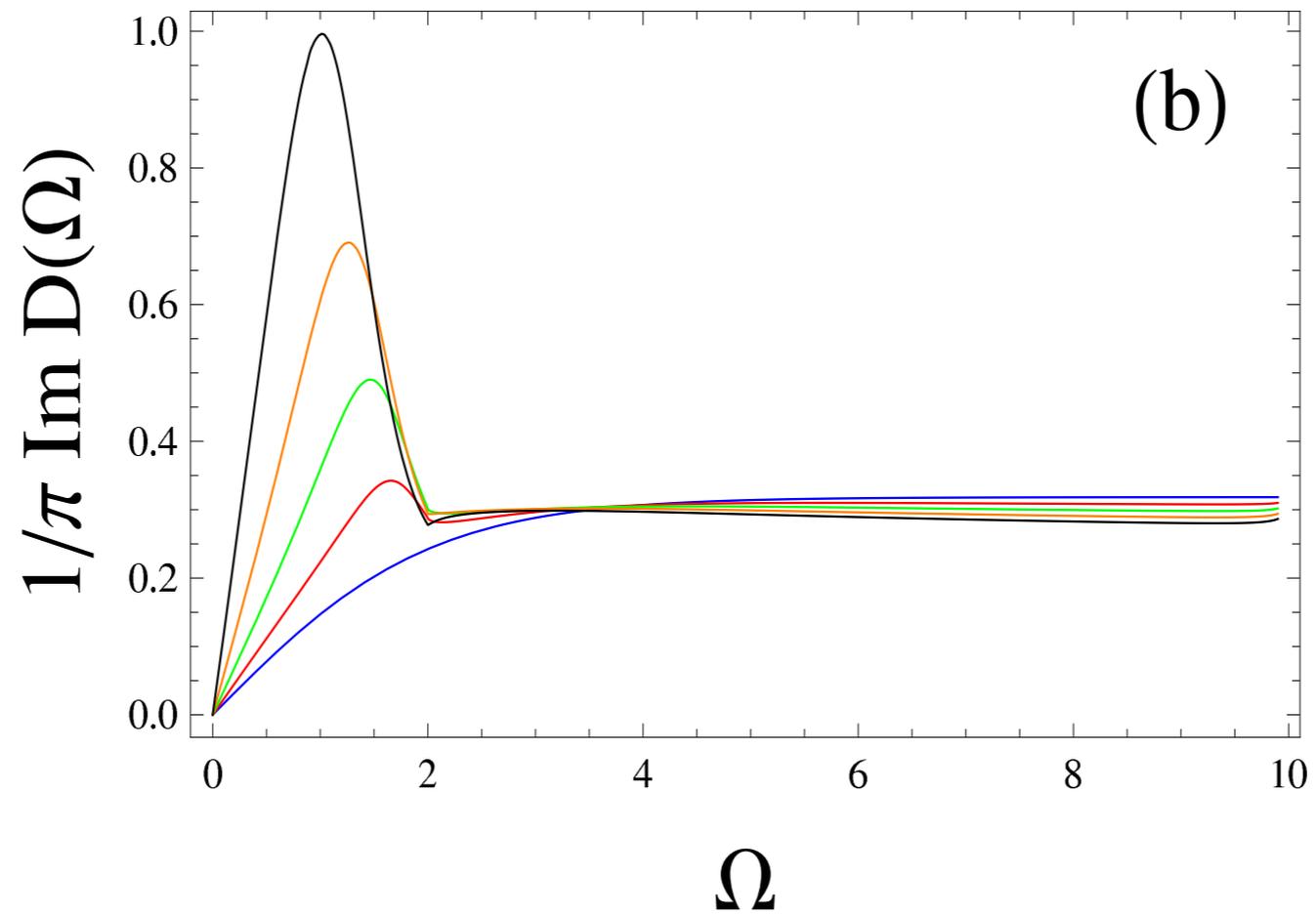
# Possible Higgs in a Cuprate Superconductor.



Data at 10K - at Tc after correcting for phonon shifts.



Barlas-cmv (unpublished)  
Breathing Higgs in a d-wave superconductor.

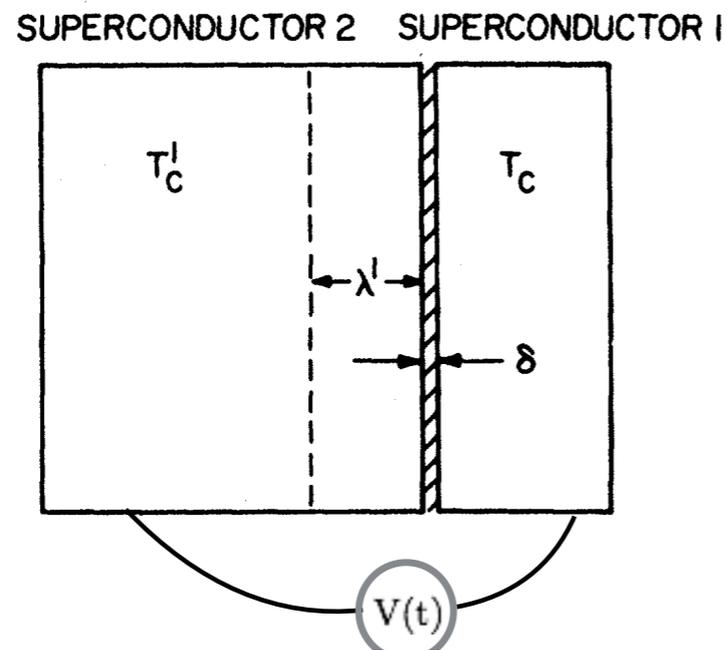


## Josephson type coupling to Higgs:

Not quite true that one cannot couple to the Higgs.

One can couple through a Field conjugate to the superconducting amplitude. Such a field is provided by proximity to another superconductor.

Some peculiar unexplained results in such  
Experiments by Goldman et al. (1975-1980)



$$\text{Coupling: } J \Psi_1^* \Psi_2 + H.C.$$

Why was Higgs missed in CMP over the years?

Suppose we try Ginzburg-Landau Lagrangian:

$$H = \int d\mathbf{r} \left( |\nabla\psi|^2 + r|\psi|^2 + u|\psi|^4 \right).$$

$$\langle \psi \rangle = [-r/2u]^{1/2} \equiv \rho_0^{1/2}$$

$$\psi(\mathbf{r}, t) = [\rho_0 + \delta\rho(\mathbf{r}, t)]^{1/2} \exp(i\phi(\mathbf{r}, t))$$

Suppose now one calculates dynamics by linear in time term in the Lagrangian :  $\gamma \frac{\partial \Psi}{\partial t}$  or  $i \frac{\partial \Psi}{\partial t}$

$$\partial\phi/\partial t = (\nabla^2\delta\rho + V\delta\rho)/2\rho_0$$

$$(1/2\rho_0) \partial\delta\rho/\partial t = \nabla^2\phi$$

$$\frac{\partial^2(\phi, \delta\rho/\rho_0)}{\partial t^2} = \nabla^2(\phi, \delta\rho/\rho_0) + \nabla^4(\phi, \rho/\rho_0),$$

$$\omega^2 = k^2 + k^4$$

No Higgs! as in superfluid  $^4\text{He}$ .

Try 'Lorentz-invariant' theory:

$$\mathcal{L} = |\partial_\mu \psi|^2 + r|\psi|^2 + u|\psi|^4 ; \quad \mu = (it, \mathbf{r})$$

Higgs Immediately :  $\Omega_{Higgs} = \sqrt{-2r/u}$

(Results with both first and second time-derivatives in Pekker-cmv)

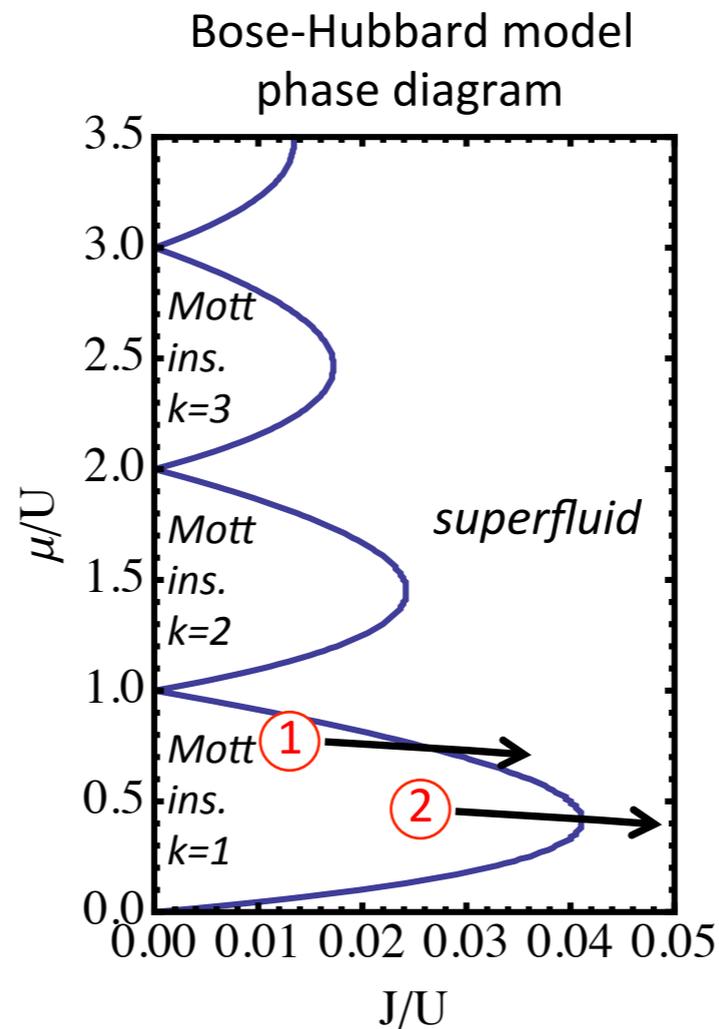
**Essential Physical Point** (CMV -2001)

Weak-coupling equations for superconductivity are mathematically identical to the Dirac equation, i.e. they are particle-hole symmetric, although the normal metallic state (and the state just below  $T_c$ ) is not. Then first order time-derivatives are zero.

This point is being displayed in the "Higgs" observations in the cold-atom experiments (Bloch-et al. 2012).

# Cold Bosons in a Lattice: (I. Bloch et al., 2012)

Approximate particle-hole symm. at near some fillings

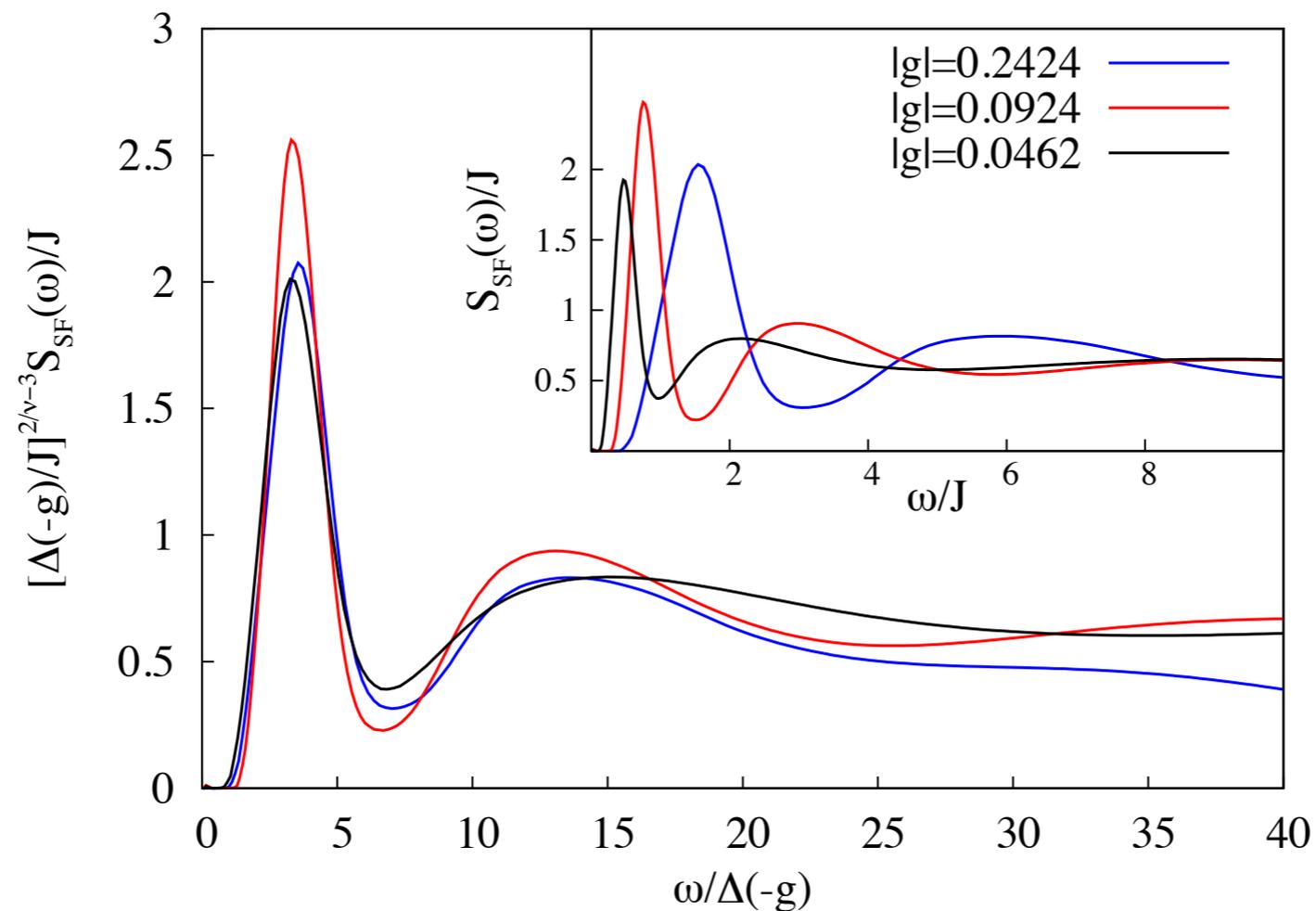


Similarly, also amplitude (and phase) modes  
in incomm. CDW's and AFM's (Ruegg et al. 2008).

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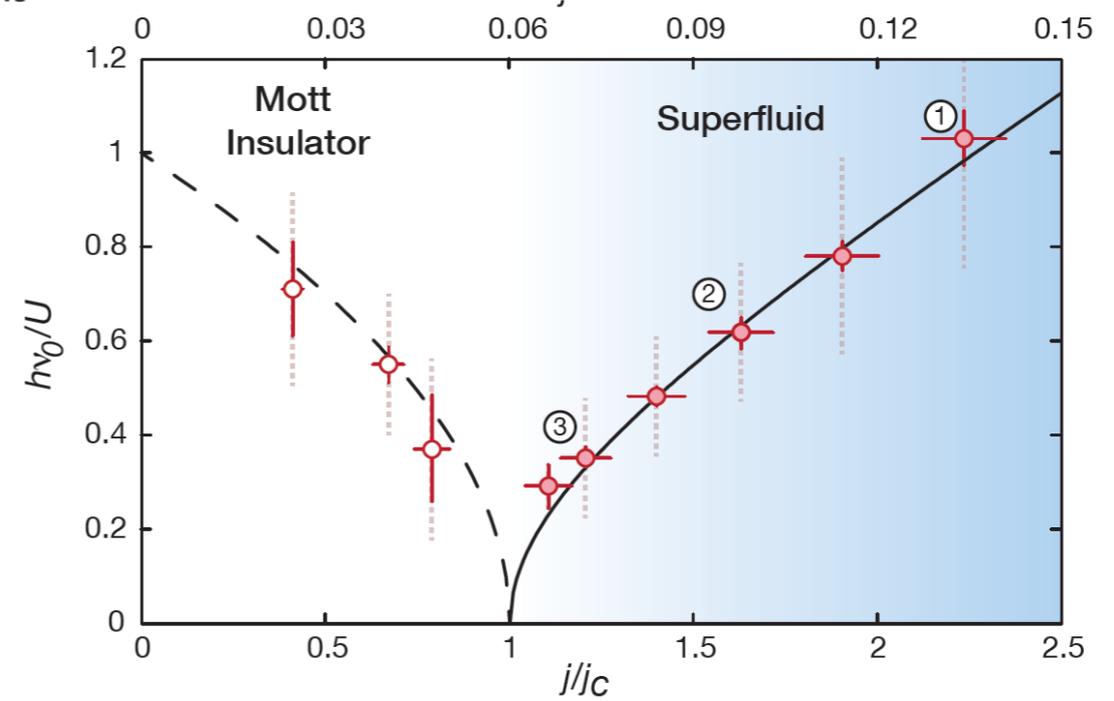
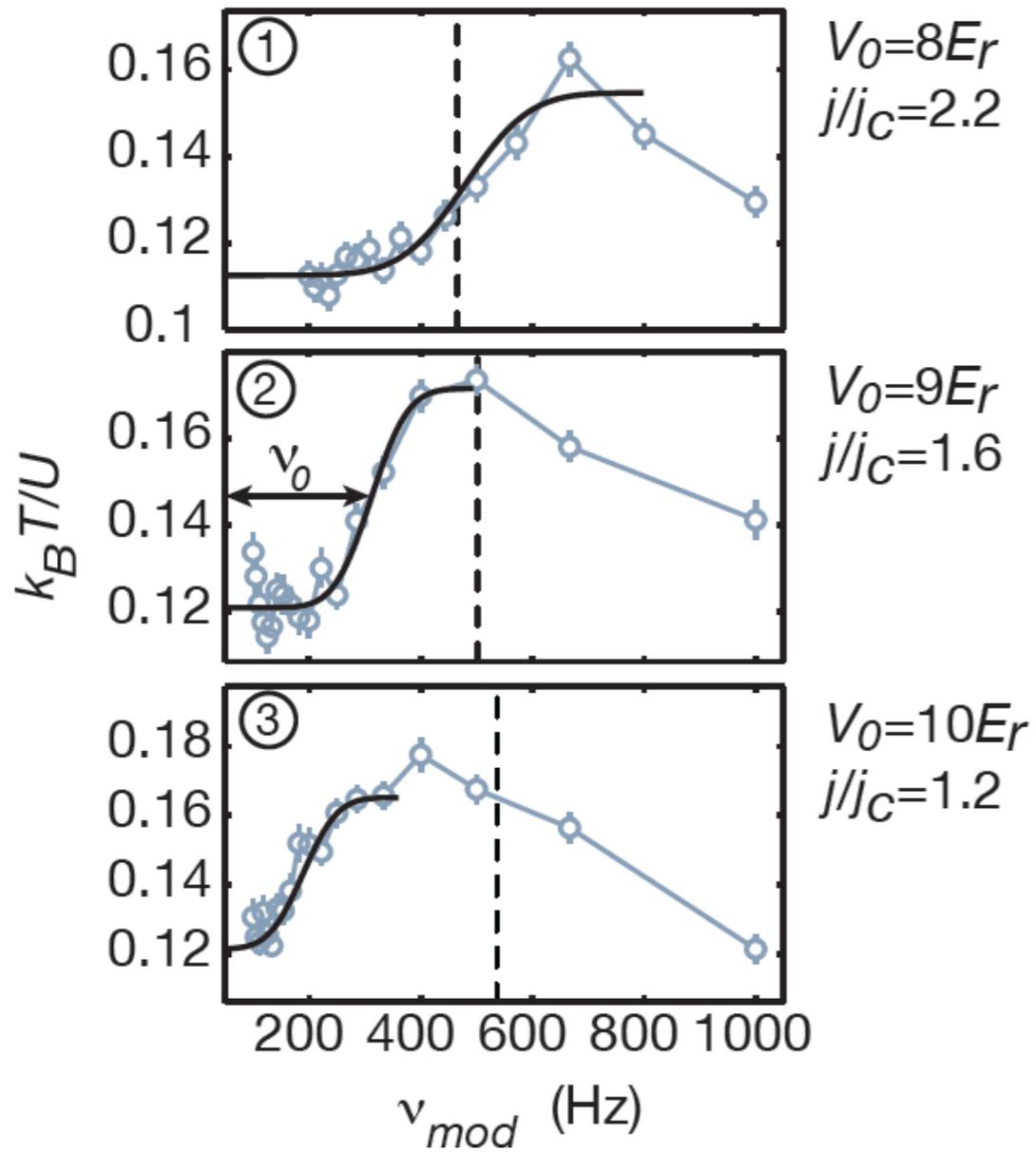
Experiments are done by shaking the lattice which modulates the kinetic energy and by somewhat complicated processes, measuring the Long wave-length correlations of Kinetic energy as a function of time.

From Monte-Carlo calculations of KE-KE correlations



K. Chen, L. Liu, Y. Deng, L. Pollet, and N. Prokof'ev, Phys. Rev. Lett. **112**, 030402 (2014).

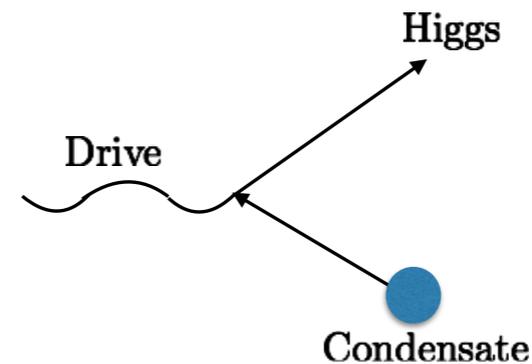
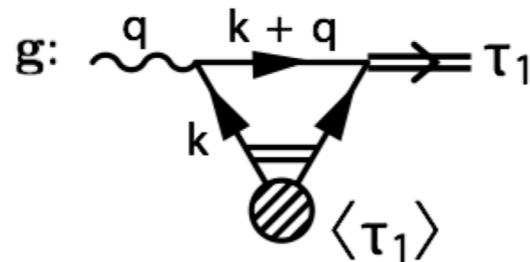
M. Endres, T. Fukuhara, D. Pekker, M. Cheneau, P. Schauss, C. Gross, E. Demler, S. Kuhr, and I. Bloch, Nature **487**, 454 (2012).



Difficulty with the technique.

By shaking the lattice to modulate KE,  
one sees all kinds of multiple-particle-hole excitations  
besides the Higgs.

There ought to be ways of gently exciting the Higgs in  
cold-bosons in a lattice which is the analog of what is  
done in superconductors:



## Summary:

1. All superconductors are (almost) p-h symmetric.

So Amplitude mode or Higgs exists in all superconductors

Theorists: use second order time-derivatives in a p-h symmetric problem.

2. It can be detected in several ways in CMP.

So far, they involve shaking the condensate, to be “free” from conservation laws.

They are a proof of the existence of a condensate.

3. Josephson Effect ways of Detecting the Condensate?

Any HEP expts. similar to Josephson Effect?



