

Weighted Langevin mechanics for potential escape problems

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Collaborator: Yuri S. Nagornov.
(UTokyo)



Ref. RA and Y. S. Nagornov, J. Phys. Soc. Jpn. **87**, 063801 (2018);
Y. S. Nagornov and RA, Physica A **528**, 121481 (2019);
Y. S. Nagornov and RA, arXiv:1907.11316.



文部科学省 ポスト「京」萌芽的課題1 基礎科学のフロンティア—極限への挑戦

複合相関が織りなす極限マテリアル—原子スケールからのアプローチ

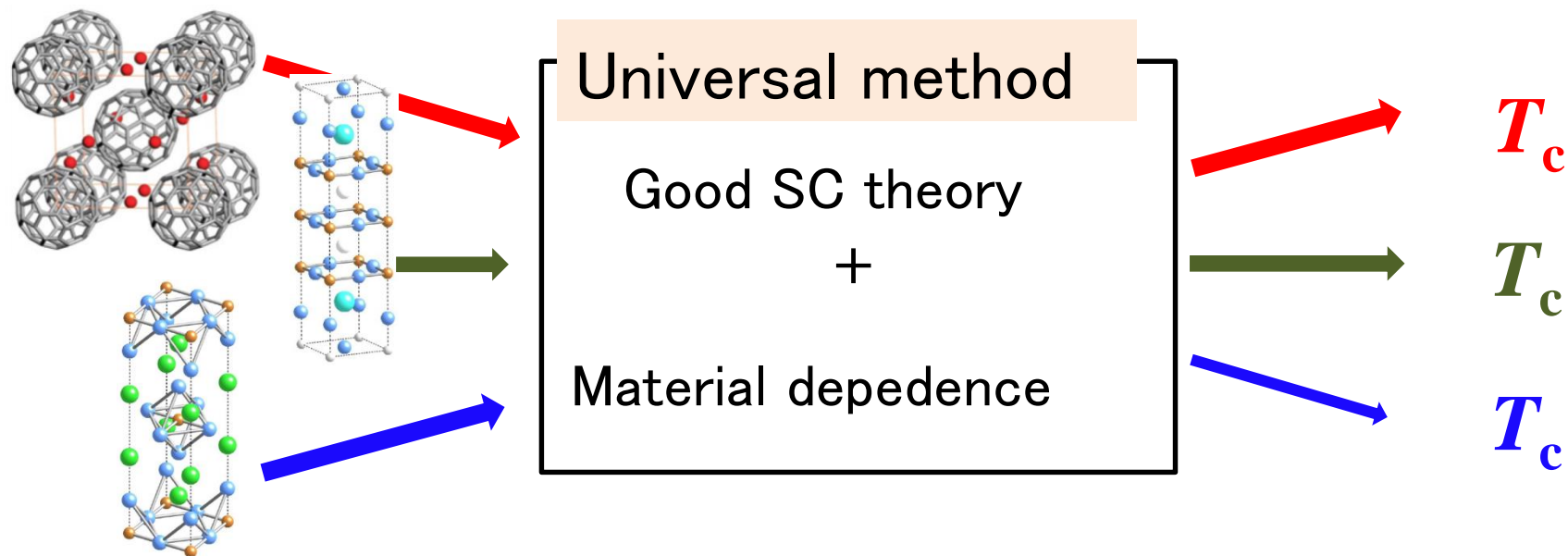


A bit on my background

03/2014 PhD of engineering, Univ. of Tokyo (Supervisor: Prof. Ryotaro Arita)
04/2014—08/2014 Postdoc at RIKEN-CEMS
09/2014—present Assistant Prof. (助教), Univ. of Tokyo (Prof. Shinji Tsuneyuki's group)

Research interest

First-principle calculation for materials (especially for superconductors)



Aim: Prediction of new materials

Unambiguous explanation of HTC superconductors

A bit on my background

Main research subjects

First principles calculation of superconducting Tc

i, Development of density functional theory for superconductors

RA and R. Arita, PRL **111**, 057006 (2013)

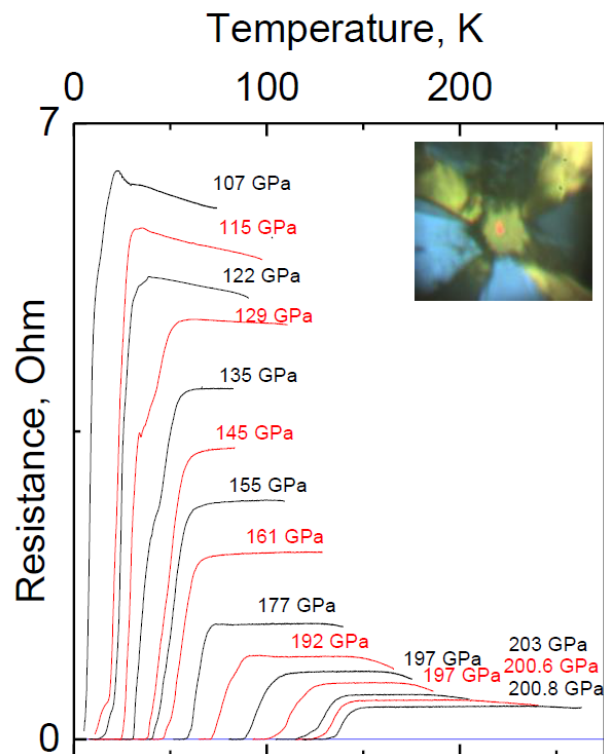
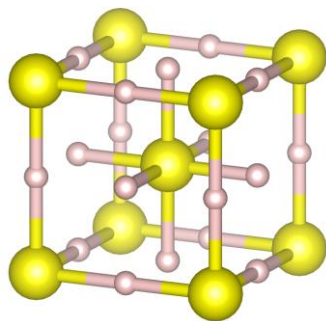
ii, 200-kelvin superconductivity in compressed H_xS

RA, M. Kawamura, Y. Nomura, S. Tsuneyuki, and R. Arita, PRB **91**, 224513 (2015);

RA, W. Sano, R. Arita and S. Tsuneyuki, PRL **117**, 075503 (2016);

RA, arXiv;1909.02956

Sulfur (super)hydride
 H_3S at extreme pressure



A bit on my background

Main research subjects

Development of DFT

i, with neural network

R. Nagai, [RA](#), S. Sasaki and S. Tsuneyuki, J. Chem. Phys. **148**, 241737 (2018);

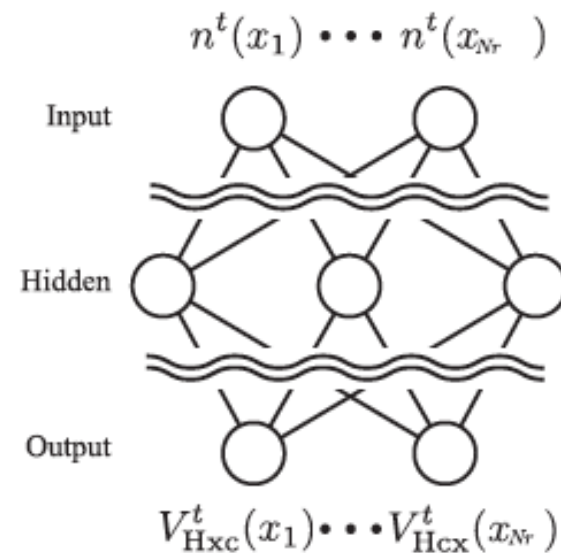
R. Nagai, [RA](#), and O. Sugino, arXiv:1903.00238

ii, with relativistic corrections

T. Naito, [RA](#), H.-Z. Liang, and S. Tsuneyuki, in prep.

iii, for nuclei

T. Naito, [RA](#), and H.-Z. Liang, PRC **97**, 044319 (2018)



Reaction path search to the metastable structures

[RA](#) and Y. S. Nagornov, J. Phys. Soc. Jpn. **87**, 063801 (2018);

Y. S. Nagornov and [RA](#), Physica A **528**, 121481 (2019);

Y. S. Nagornov and [RA](#), arXiv:1907.11316;

<https://github.com/ryosuke-akashi/AtomREM>

■ hcp

■ fcc

■ bcc

■ other



A bit on my background

Main research subjects

Development of DFT

i, with neural network

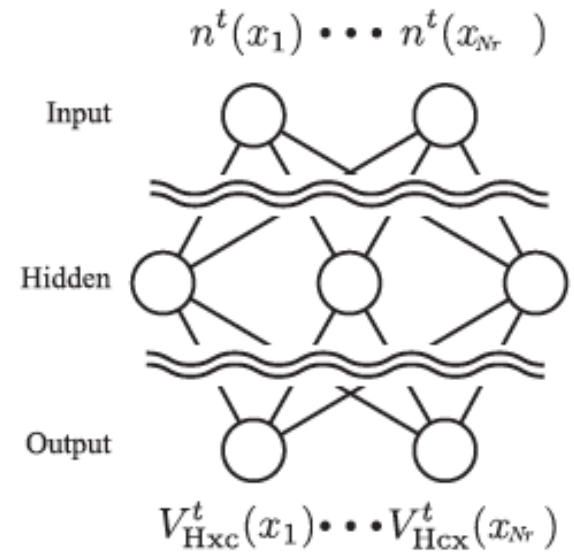
R. Nagai, RA, S. Sasaki and S. Tsuneyuki, J. Chem. Phys. **148**, 241737 (2018);
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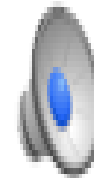
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This issue concerns an interdisciplinary problem.

Stochastic mechanics under thermal fluctuation

$$\dot{X} = \underbrace{-\partial U(X)} + \underbrace{W(X)}$$

Potential force

Random force(thermal fluctuation) $\propto T^{1/2}$

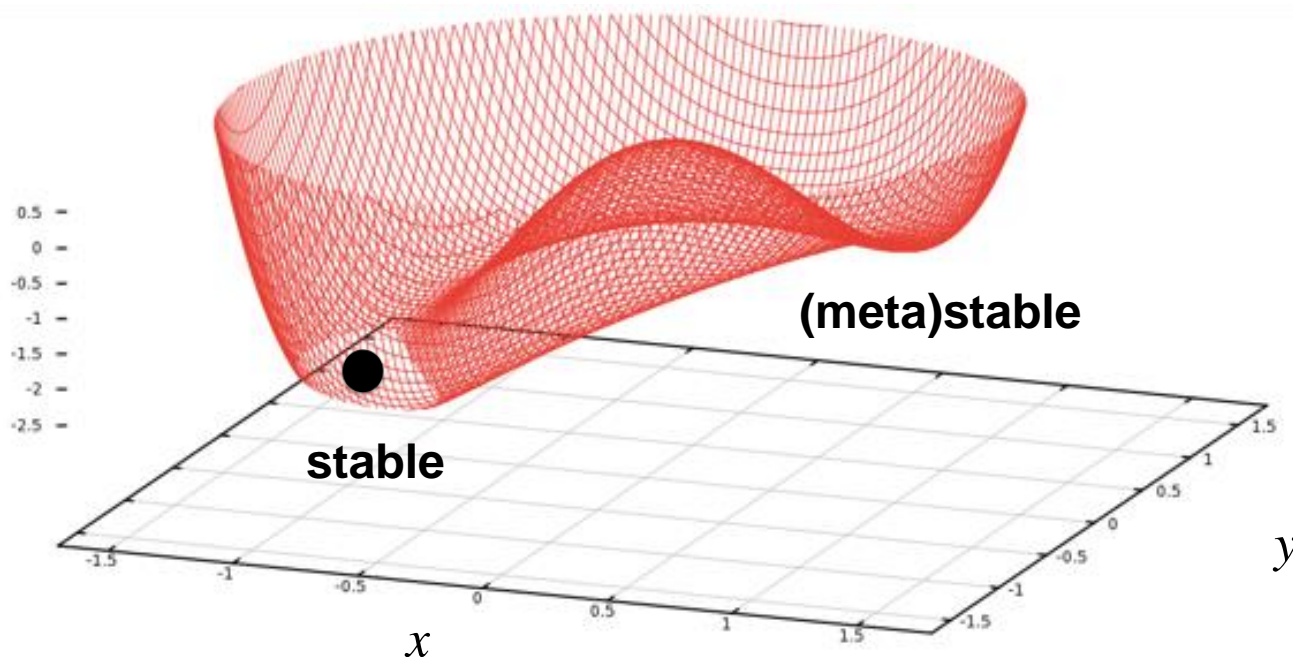
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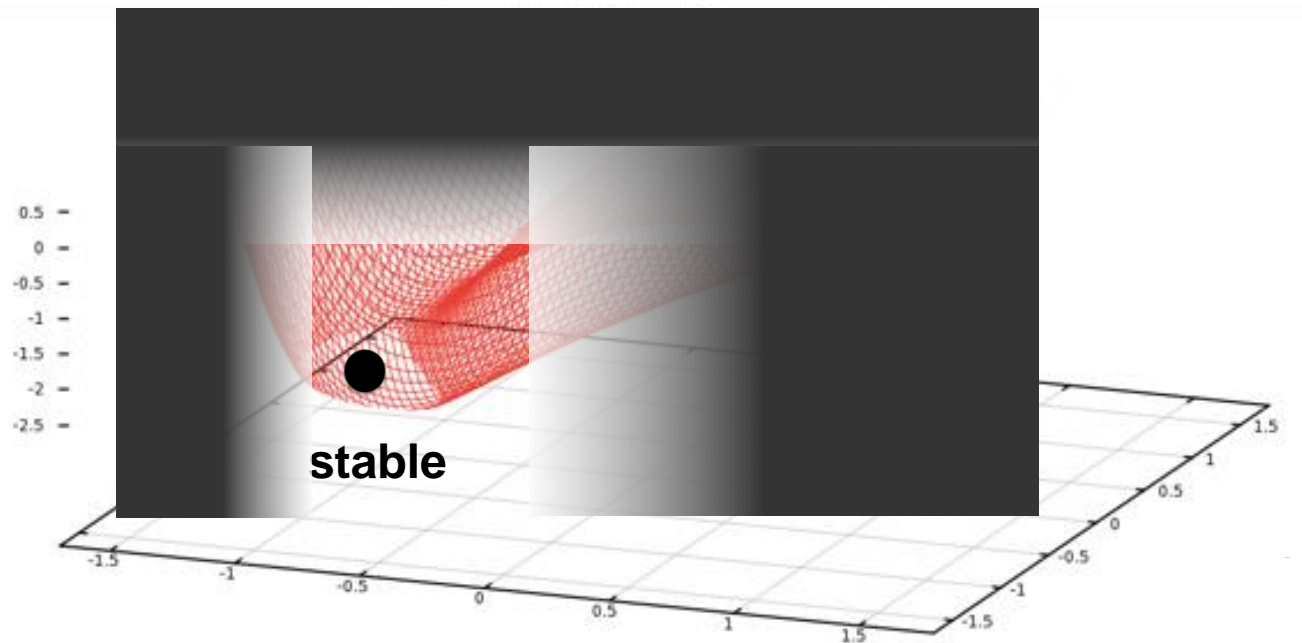
Potential surface $U(x, y)$



Task: Seek the most probable escape paths.

Stochastic mechanics under thermal fluctuation

In higher dimensions . . .



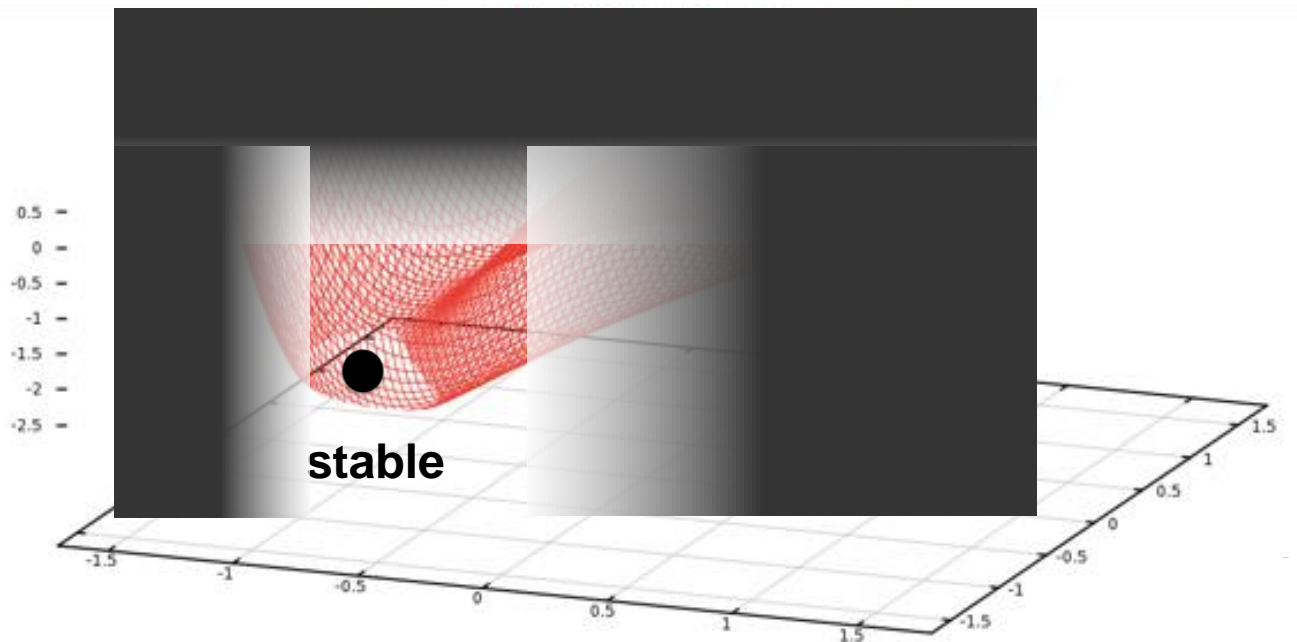
Stochastic mechanics under thermal fluctuation

In higher dimensions . . .

we usually cannot execute exhaustive search.

-Only local info of U is available.

-Even if we have analytic expression of U , we cannot locate the escape paths.



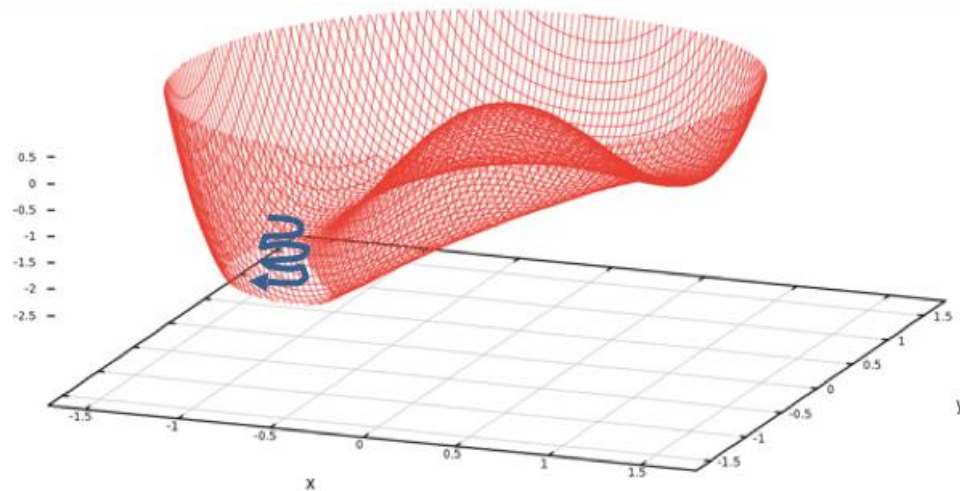
Thermally driven potential escape

$$\dot{X} = -\partial U(X) + W(X)$$

Numerical simulation of the Langevin mechanics

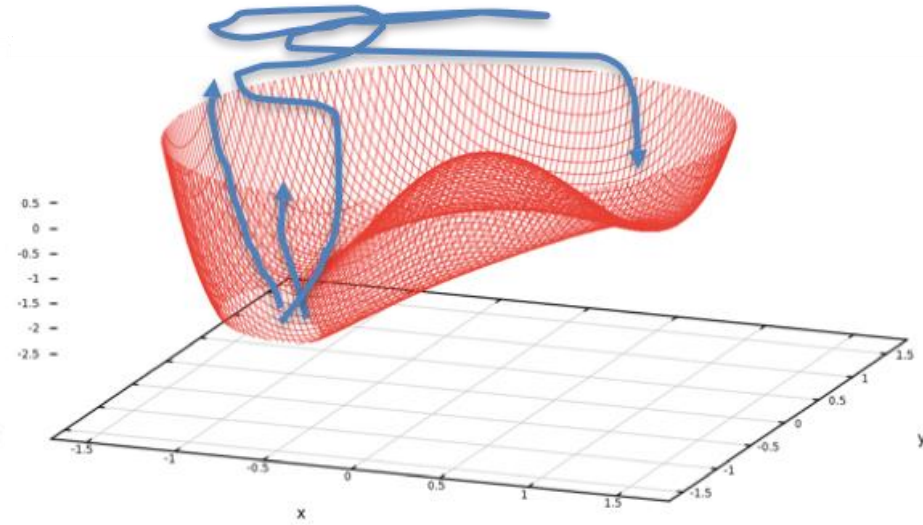
Low temperature (fluctuation)

Traverse rarely occurs.



High temperature

The system is broken, and/or the path information is lost.



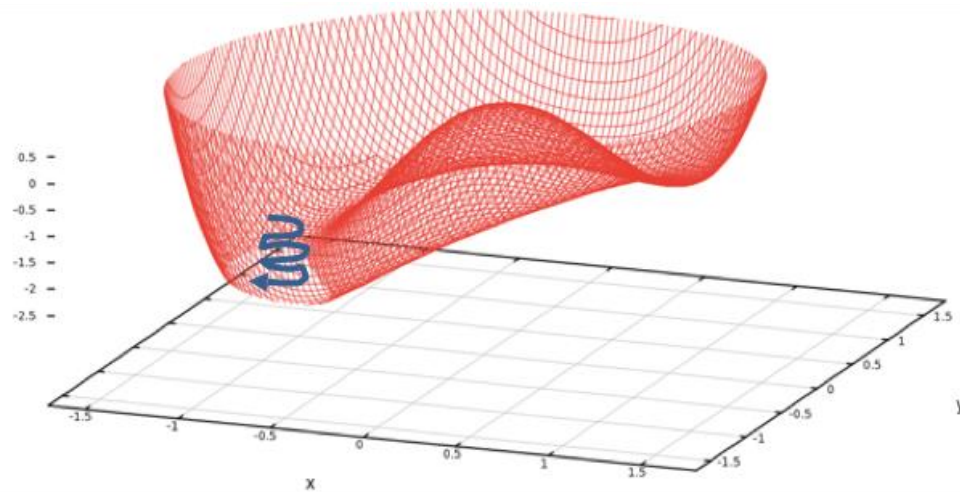
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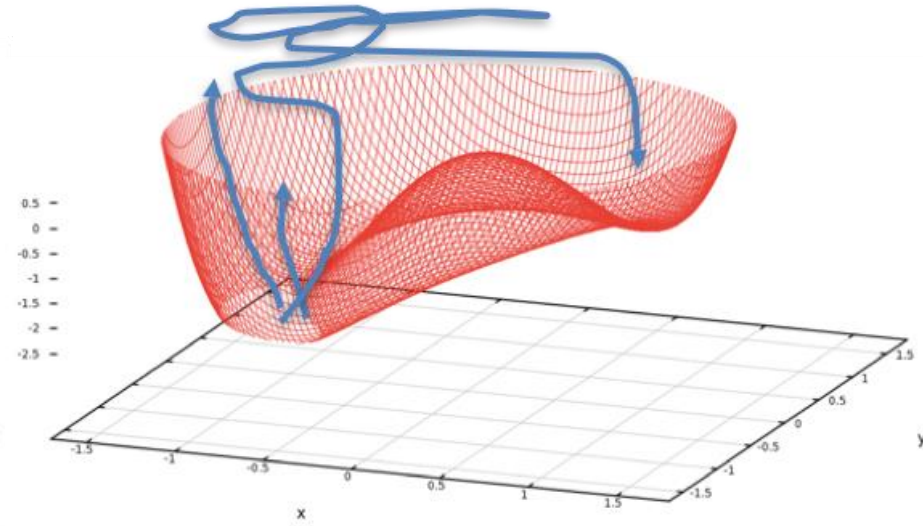
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*Protein folding, molecular reaction,
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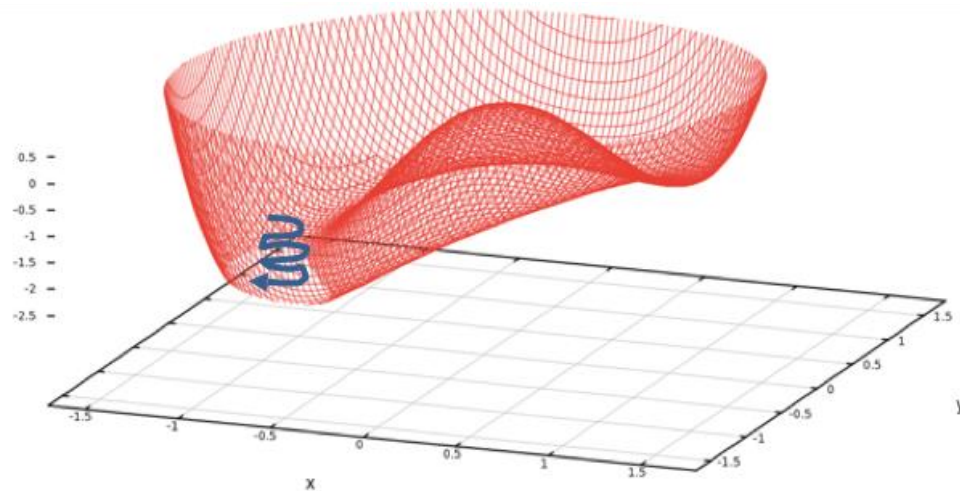
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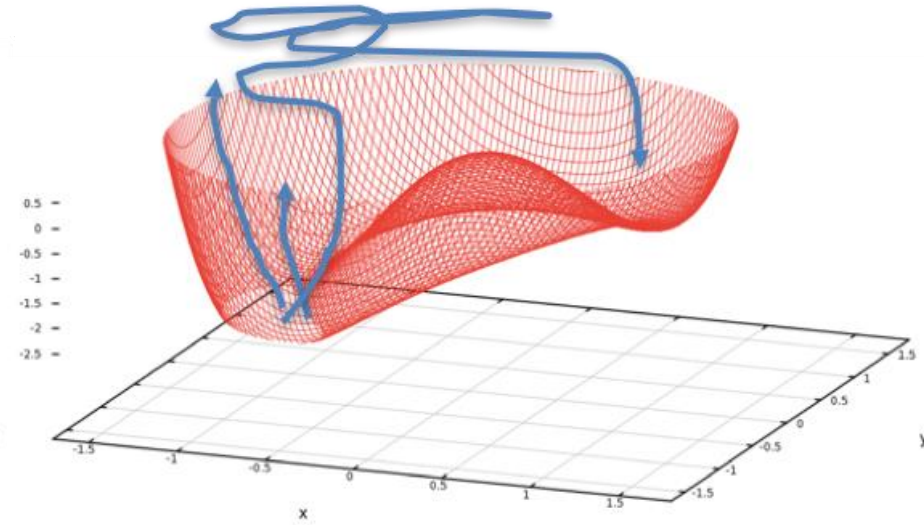
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Protein folding, molecular reaction, impurity migration, collapse of metastable phases, . . . , and also nuclear fission.

A common thread

Fission dynamics by stochastic treatment

Y. Abe *et al.*, Phys. Rep. **275**, 49 (1996)

$$\dot{X} = -\partial U(X) + \underline{W(X)}$$

$$U = U(\underline{\chi_1, \chi_2, \chi_3, \dots})$$

Diffusion force (from internal particles)

Macroscopic shape parameters

A common thread

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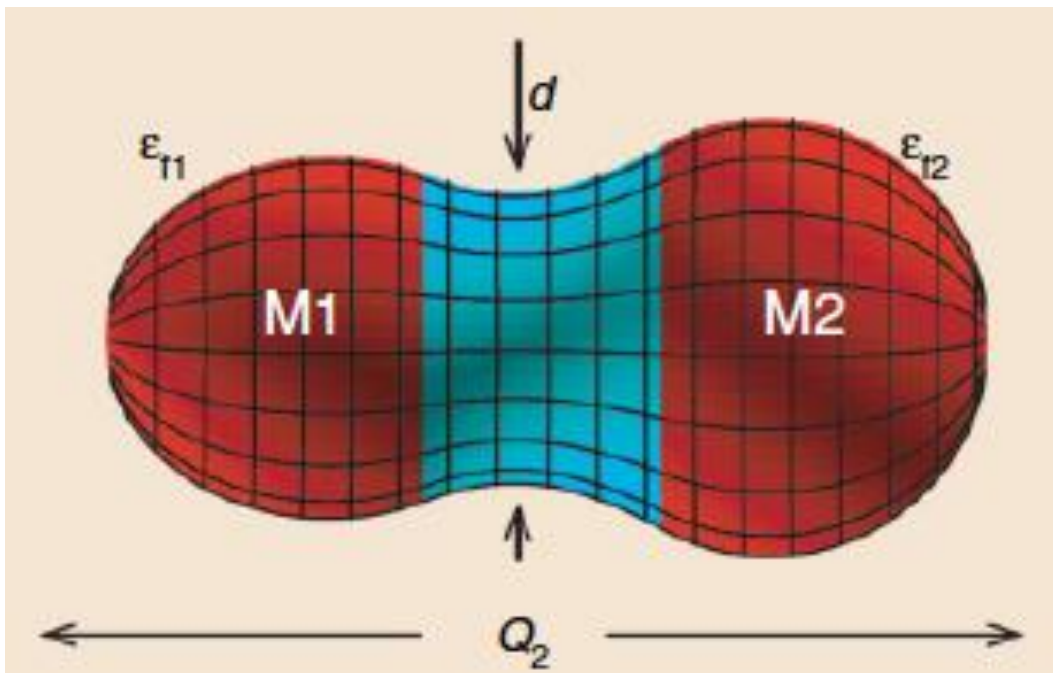
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Diffusion force (from internal particles)

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P. Moeller *et al.*, PRC **79**, 064304 (2009);

J. Randrup and P. Moeller, PRL **106**, 132503(2011).



$Q_2 \sim$ Elongation (fission direction)

$\alpha_g \sim (M1-M2)/(M1+M2)$ Mass asymmetry

$\epsilon_{11} \sim$ Left fragment deformation

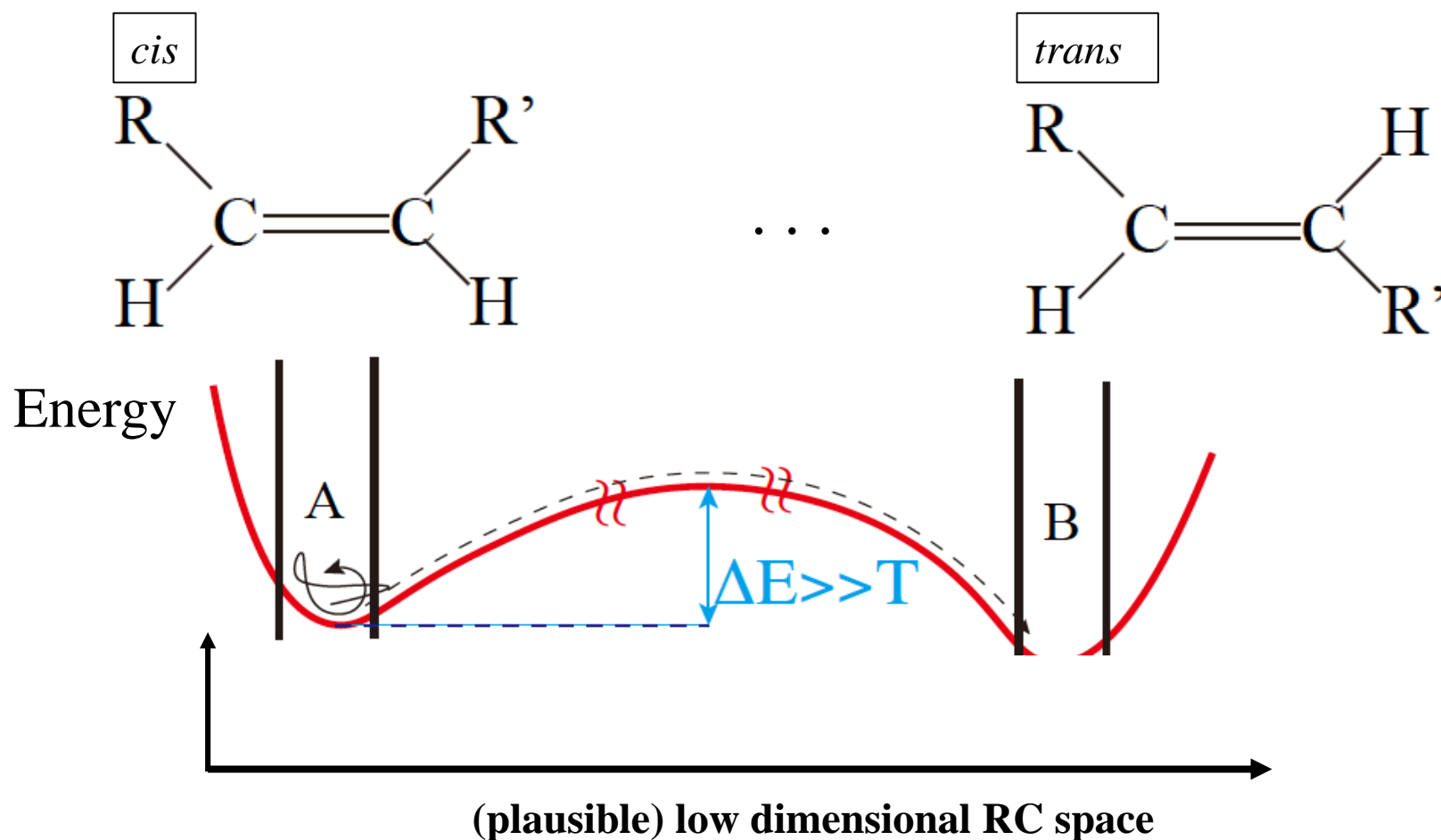
$\epsilon_{12} \sim$ Right fragment deformation

$d \sim$ Neck

Thermally driven potential escape

Typical strategy:

Define reaction coordinate (RC) and execute any biased dynamics



Thermally driven potential escape

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Potential bias:

Add artificial potential as a function of RCs

- Umbrella sampling G. Torrie and J. Valleau: J. Comput. Phys. **23** (1977) 187
- Metadynamics A. Laio and M. Parrinello: Proc. Natl. Acad. Sci. USA **99** (2002) 12562
- Hyperdynamics A. F. Voter: J. Chem. Phys. **106** (1997) 4665
- Anharmonic downward distortion following O. Maeda et al., Chem. Phys. Lett., **384**, 277 (2004).
- . . .

Sampling bias:

Selectively accept the trials which proceed in the desired RC direction

- Forward flux sampling R. J. Allen et al. : Phys. Rev. Lett. **94** (2005) 018104
- Parallel cascade sampling R. Harada and A. Kitao: J. Chem. Phys. **139** (2013) 035103
- . . .

Problems:

- Warping of the trajectory due to the artificial potential
- Unintuitive appropriate RCs

Thermally driven potential escape

Typical strategy:

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- . . .

Is any RC- and artificial potential-free algorithm possible?

Why is the escape rare?

Langevin molecular mechanics (overdamped)

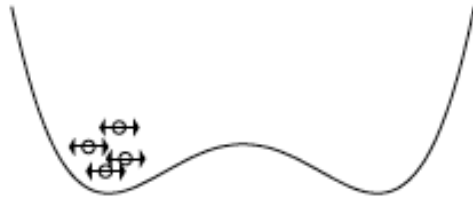
$$\dot{X} = \underbrace{-\partial U(X)} + \underbrace{W(X)}$$

Potential force

Random force (thermal fluctuation) $\propto T^{1/2}$

Why is the escape rare?

Langevin description: Eq. of motion of variables with stochastic force

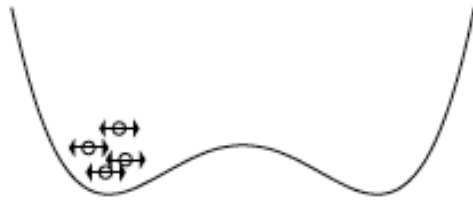


$$\dot{X} = -\partial U(X) + W(X)$$

Strong force is rarely exerted such that the potential barrier is overcome

Why is the escape rare?

Langevin description: Eq. of motion of variables with stochastic force

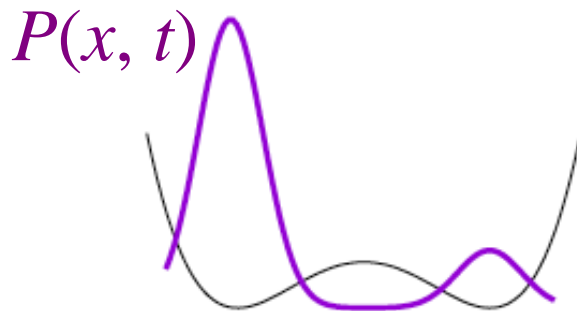


$$\dot{X} = -\partial U(X) + W(X)$$

Equiv.

Strong force is rarely exerted such that the potential barrier is overcome

Fokker-Planck description: Deterministic Eq. of motion of distribution of variables



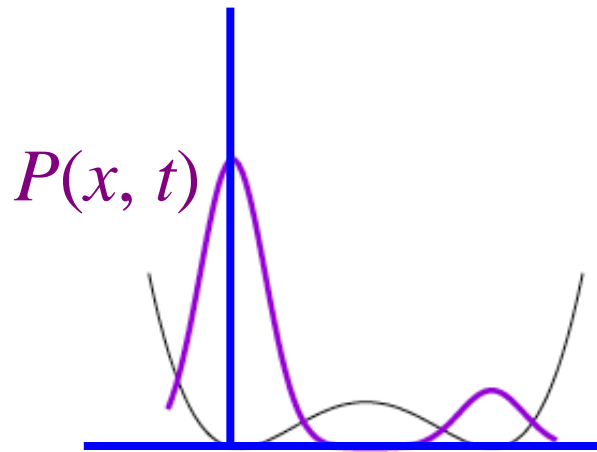
$$\partial_t P(X, t) = \hat{L}P(X, t)$$

Distribution amplitude is infinitesimally small near the potential barrier

Why is the escape rare?

Fokker-Planck description: Deterministic Eq. of motion of distribution of variables

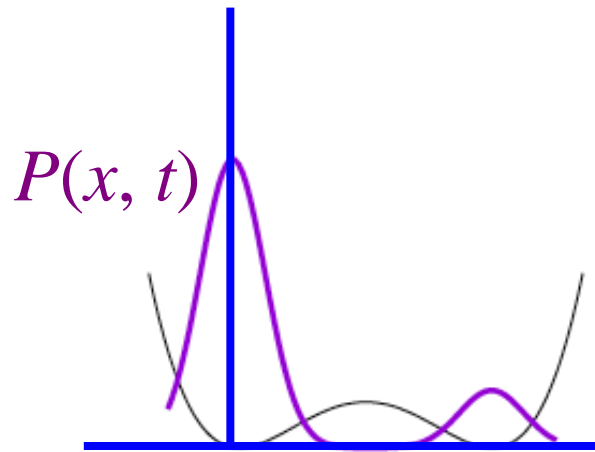
$$P(x, 0) = \delta(x - x_0)$$



Why is the escape rare?

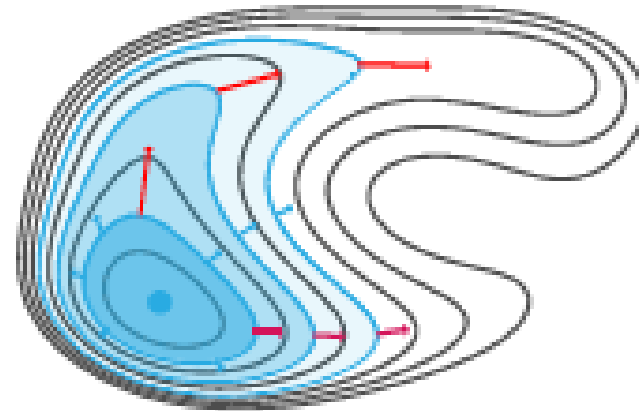
Fokker-Planck description: Deterministic Eq. of motion of distribution of variables

$$P(x, 0) = \delta(x - x_0)$$



Long time limit

$$P(X, t \rightarrow \infty) \propto \exp(-\beta U(X))$$



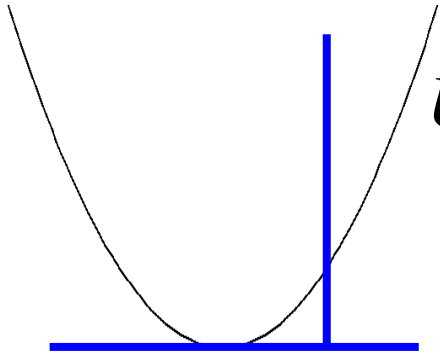
Nonzero component up the potential
→ extract it!

Ornstein-Uhlenbeck process

$$\partial_t p(x, t) = \frac{1}{\Gamma} \partial_x (\alpha x + k_B T \partial_x) p(x, t)$$

$$P(x, 0) = \delta(x - x_0)$$

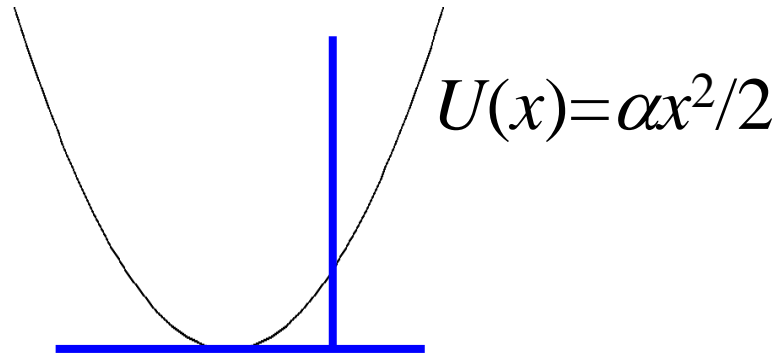
$$U(x) = \alpha x^2 / 2$$



Ornstein-Uhlenbeck process

$$\partial_t p(x, t) = \frac{1}{\Gamma} \partial_x (\alpha x + k_B T \partial_x) p(x, t)$$

$$P(x, 0) = \delta(x - x_0)$$



General solution

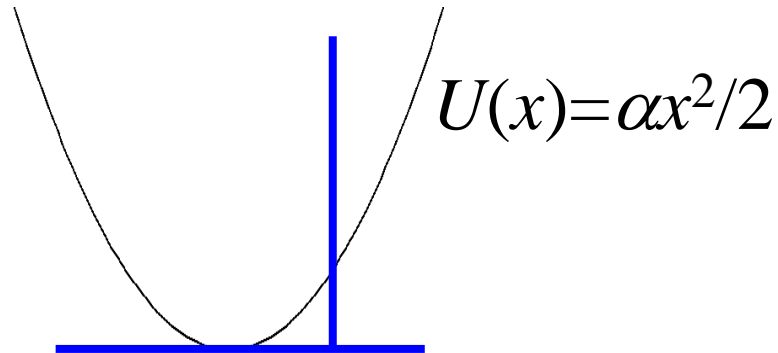
$$p(x, t) = \sqrt{\frac{\alpha}{2\pi k_B T (1 - s^2)}} \exp \left[-\frac{\alpha (x - sx_0)^2}{2k_B T (1 - s^2)} \right]$$

$$s \equiv s(t) = \exp(-\alpha t / \Gamma)$$

Ornstein-Uhlenbeck process

$$\partial_t p(x, t) = \frac{1}{\Gamma} \partial_x (\alpha x + k_B T \partial_x) p(x, t)$$

$$P(x, 0) = \delta(x - x_0)$$



Distribution center
 $\sim O(t)$

General solution

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Distribution width
 $\sim O(t^{1/2})$

In small t diffusion \gg potential drift = leakage of $p(x, t)$ up the potential $U(x)$

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Center $\sim O(t)$

Width $\sim O(t^{1/2})$

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Width $\sim O(t^{1/2})$

In small t diffusion \gg potential drift = leakage of $p(x, t)$ up the potential $U(x)$

$$\longrightarrow p(x, t) \propto p_{\text{eq}}(x) q(x, t)$$

Large at large- $U(x)$ region,
small at small- $U(x)$ region.

Ornstein-Uhlenbeck process

General solution

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$$s \equiv s(t) = \exp(-\alpha t / \Gamma)$$

$$p(x, t) = \exp[-(1 - \delta)U(x)/k_B T] q(x, t)$$

$$q(x, t) = C(t) \exp \left[-\frac{\{x - x_{max}(\delta, s)\}^2}{2\sigma^2(\delta, s)} \right]$$

q-center

$$x_{max}(\delta, s) = x_0 \frac{s}{\delta + (1 - \delta)s^2}$$

q-width

$$\sigma^2(\delta, s) = \frac{k_B T}{\alpha} \frac{1 - s^2}{\delta + (1 - \delta)s^2}$$

Ornstein-Uhlenbeck process

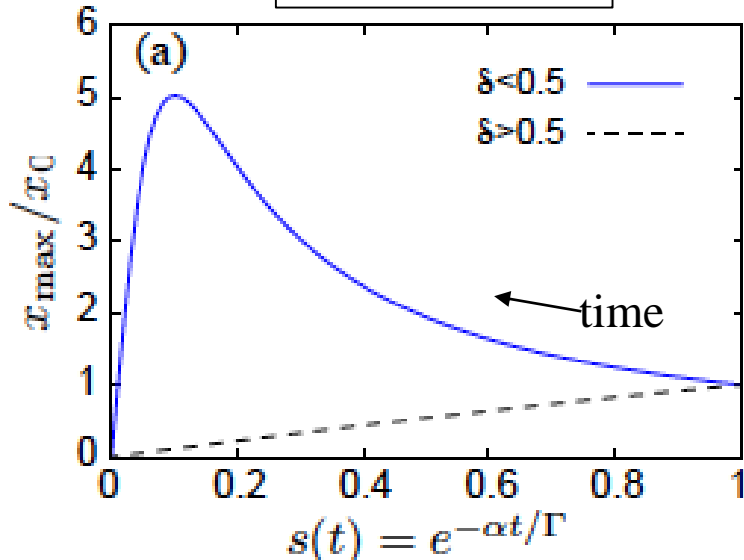
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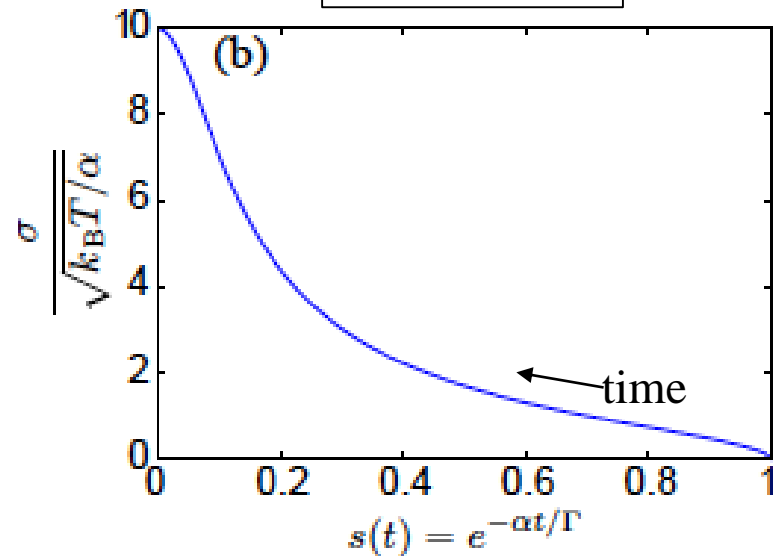
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q -center

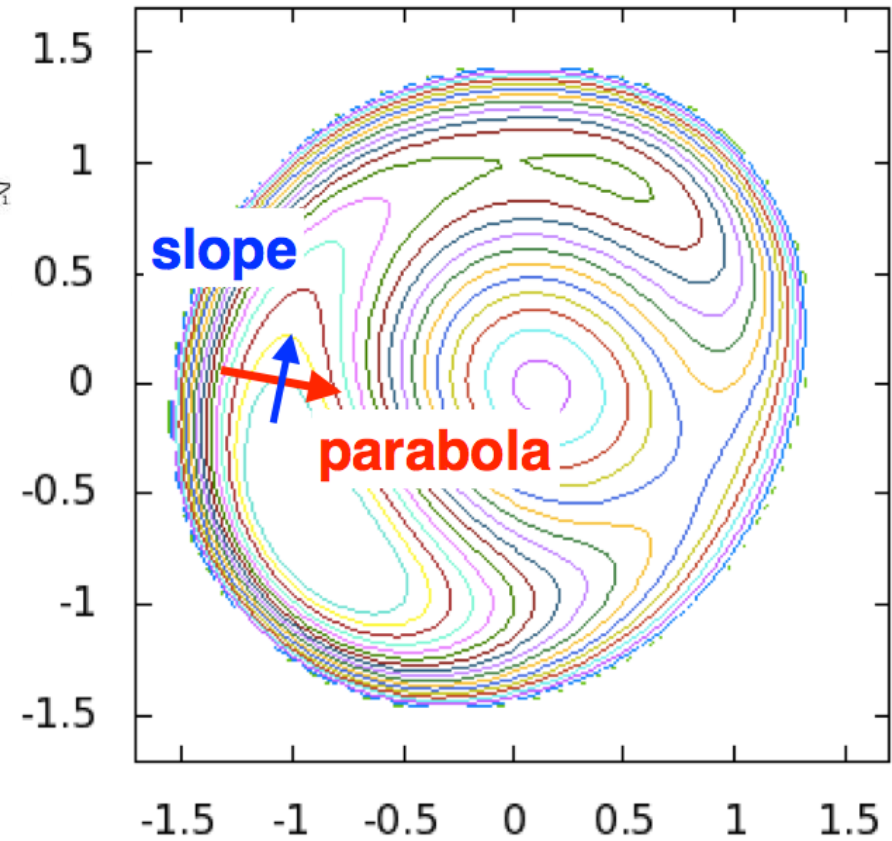
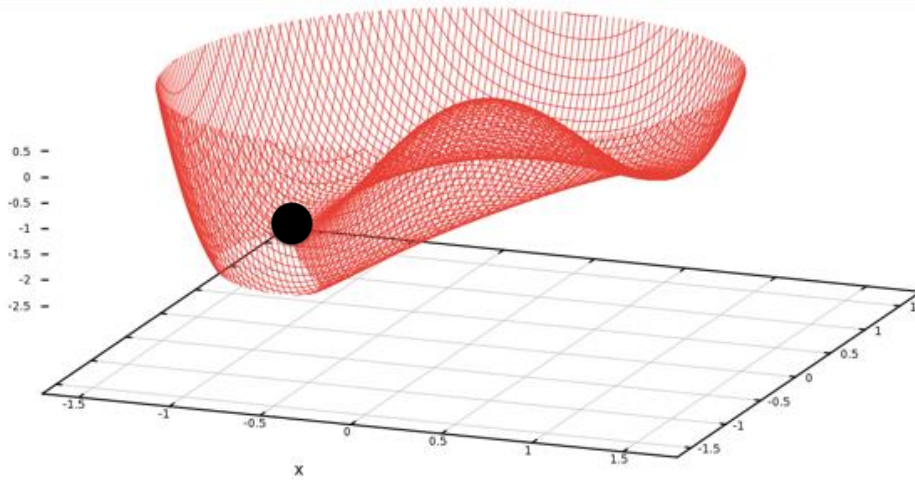


q -width



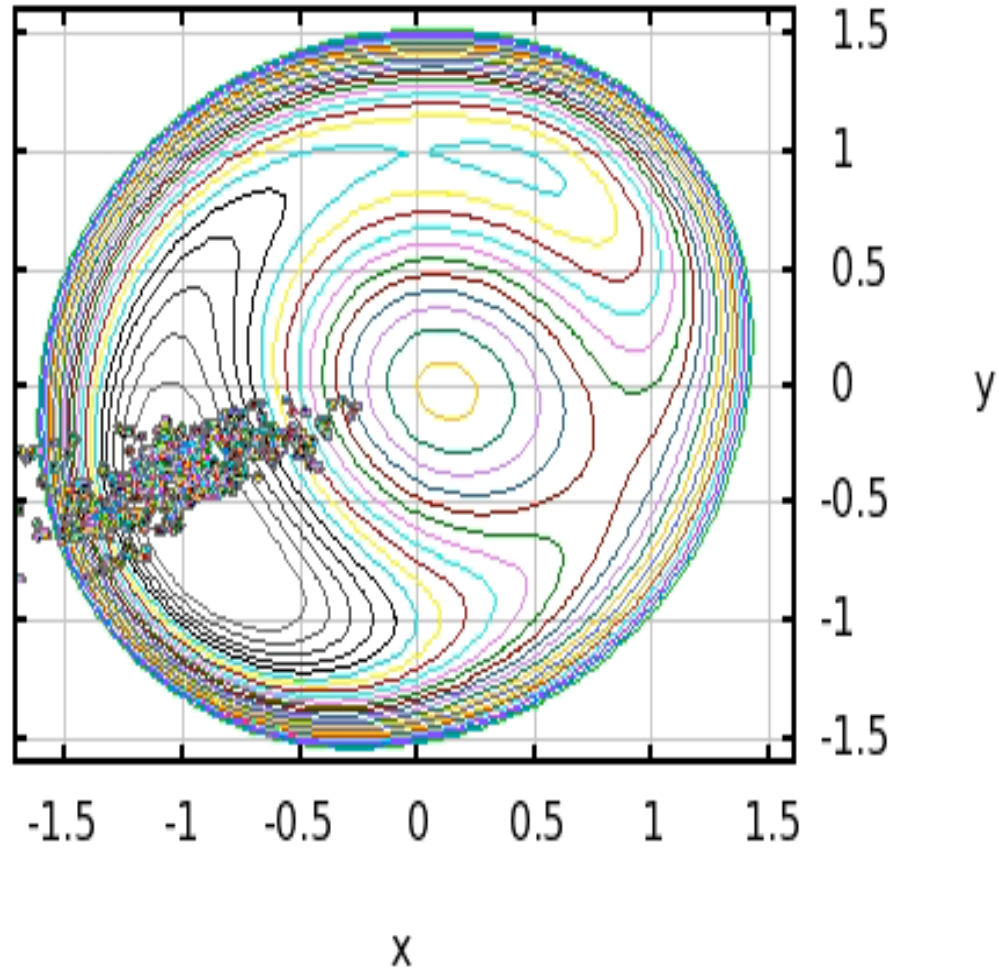
q goes up the surface if $x_0 \gg \Gamma^{1/2}$

$q(x, t)$ on high dimensional potential surfaces



Slope direction : go up;
Parabolic direction: spread

Put at the “valley line”, q goes upward the valley \rightarrow optimum escape to the saddle point?



RA and Y. S. Nagornov, J. Phys. Soc. Jpn. **87**, 063801 (2018);

Stochastic equation of x for $q(x,t)$

Langevin eq. for P

$$\dot{X} = -\partial U(X) + W(X)$$

Langevin(-like) eq. for Q ?

Fokker-Planck eq. for P

$$\partial_t P(X, t) = \hat{L}P(X, t)$$

FP(-like) eq. for Q ?

$$\partial_t Q(X, t) = \hat{L}'Q(X, t)$$

transformation

$$P(X, t) = \exp[-V(X)/k_B T]Q(X, t)$$

Formal arbitrary function

Master equation for $q(\mathbf{x}, t)$

Giardina, Kurchan, Lecomte, and Tailleur, J. Stat. Phys. **145** (2011)
787

N -dim Fokker-Planck (Smoluchowski) equation

$$\partial_t p(\mathbf{x}, t) = \frac{1}{\Gamma} \left[\partial_i (\partial_i U(\mathbf{x})) + k_B T \partial_i^2 \right] p(\mathbf{x}, t)$$

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Normalization factor

Biasing potential
(generalization of U)

biased distribution
(by def. positive definite)

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$$p(\mathbf{x}, t) = \underbrace{C(t)}_{\text{Normalization factor}} \exp[-\underbrace{V(\mathbf{x})}_{\text{Biasing potential}} / k_B T] \underbrace{q(\mathbf{x}, t)}_{\text{biased distribution}}$$

Normalization factor

Biasing potential
(generalization of U)

biased distribution
(by def. positive definite)

Master equation for q

$$\partial_t q(\mathbf{x}, t) = \frac{1}{\Gamma} \{ \partial_i [\partial_i (U(\mathbf{x}) - 2V(\mathbf{x}))] + k_B T \partial_i^2 \} q(\mathbf{x}, t) + \frac{1}{\Gamma} (F(\mathbf{x}) - \langle F \rangle_q) q(\mathbf{x}, t)$$

$$F(\mathbf{x}) = \partial_i^2 V - \beta (\partial_i V) (\partial_i (U - V)) \quad \langle F \rangle_q = \int d\mathbf{x} F(\mathbf{x}) q(\mathbf{x}, t) \quad \partial_t \ln C(t) = \langle F \rangle_q$$

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transformation

$$P(X, t) = \exp[-V(X)/k_B T]Q(X, t)$$

Formal arbitrary function


Stochastic equation of \mathbf{x} for $q(\mathbf{x},t)$

Langevin—Fokker-Planck correspondence

L.

$$dx_i = -\frac{\partial_i U(\mathbf{x})}{\Gamma} dt + \sqrt{\frac{2k_B T dt}{\Gamma}} W_i$$

Random value from
normal regular distribution



Stochastic equation of \mathbf{x} for $q(\mathbf{x},t)$

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Random value from
normal regular distribution

Exactly derived

F.-P.

$$\partial_t p(\mathbf{x}, t) = \hat{L} p(\mathbf{x}, t), \quad \hat{L} = \frac{1}{\Gamma} \partial_i [(\partial_i U(\mathbf{x})) + k_B T]$$

$$\Leftrightarrow p(\mathbf{x}, t + dt) = \exp[\hat{L} dt] p(\mathbf{x}, t) \quad (\text{integral form})$$

Stochastic equation of \mathbf{x} for $q(\mathbf{x},t)$

Langevin—Fokker-Planck correspondence

L.

$$dx_i = -\frac{\partial_i U(\mathbf{x})}{\Gamma} dt + \sqrt{\frac{2k_B T dt}{\Gamma}} W_i$$

Random value from normal regular distribution

Exactly derived

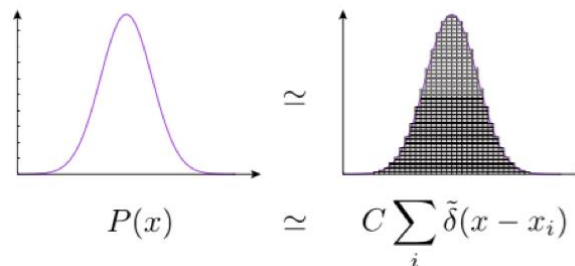
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Operation on p

=Evolution of walkers with property x
with the Langevin equation



Stochastic equation of \mathbf{x} for $q(\mathbf{x}, t)$

Master equation for q

$$\partial_t q(\mathbf{x}, t) = \frac{1}{\Gamma} \left\{ \partial_i [\partial_i (U(\mathbf{x}) - 2V(\mathbf{x}))] + k_B T \partial_i^2 \right\} q(\mathbf{x}, t) + \frac{1}{\Gamma} (F(\mathbf{x}) - \langle F \rangle_q) q(\mathbf{x}, t)$$

$$F(\mathbf{x}) = \partial_i^2 V - \beta (\partial_i V) (\partial_i (U - V)) \quad \langle F \rangle_q = \int d\mathbf{x} F(\mathbf{x}) q(\mathbf{x}, t) \quad \partial_t \ln C(t) = \langle F \rangle_q$$

$$\partial_t q(\mathbf{x}, t) = [\hat{L}' + \hat{R}] q(\mathbf{x}, t)$$

Stochastic equation of \mathbf{x} for $q(\mathbf{x}, t)$

Master equation for q

$$\partial_t q(\mathbf{x}, t) = \frac{1}{\Gamma} \{ \partial_i [\partial_i (U(\mathbf{x}) - 2V(\mathbf{x}))] + k_B T \partial_i^2 \} q(\mathbf{x}, t) + \frac{1}{\Gamma} (F(\mathbf{x}) - \langle F \rangle_q) q(\mathbf{x}, t)$$
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\hat{L} with $U \rightarrow U - 2V$

Multiply scalar $\frac{1}{\Gamma} \{ F(\mathbf{x}) - \langle F \rangle \}$

Stochastic equation of \mathbf{x} for $q(\mathbf{x}, t)$

Master equation for q

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Stochastic equation of \mathbf{x} for $q(\mathbf{x}, t)$

Master equation for q

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Multiply scalar $\frac{1}{\Gamma} \{F(\mathbf{x}) - \langle F \rangle\}$

$$\Leftrightarrow q(\mathbf{x}, t + dt) = \exp \left[(\hat{L}' + \hat{R}) dt \right] q(\mathbf{x}, t)$$

$$\approx \exp \left[\hat{L}' \frac{dt}{2} \right] \exp \left[\hat{R} dt \right] \exp \left[\hat{L}' \frac{dt}{2} \right] q(\mathbf{x}, t) + O(dt^3)$$

Suzuki-Trotter
decomposition

Stochastic equation of \mathbf{x} for $q(\mathbf{x}, t)$

Master equation for q

$$\partial_t q(\mathbf{x}, t) = \frac{1}{\Gamma} \left\{ \partial_i [\partial_i (U(\mathbf{x}) - 2V(\mathbf{x}))] + k_B T \partial_i^2 \right\} q(\mathbf{x}, t) + \frac{1}{\Gamma} (F(\mathbf{x}) - \langle F \rangle_q) q(\mathbf{x}, t)$$

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Multiply scalar $\frac{1}{\Gamma} \{F(\mathbf{x}) - \langle F \rangle\}$

$$\partial_t q(\mathbf{x}, t) = [\hat{L}'] + [\hat{R}] q(\mathbf{x}, t)$$

\hat{L} with $U \rightarrow U - 2V$

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Suzuki-Trotter decomposition

Evolve the walkers by the modified Langevin eq.

Multiply $\exp[R(x)dt]$ to the weight of the walkers

The algorithm

RA and Y. S. Nagornov, J. Phys. Soc. Jpn. **87**, 063801 (2018).

Initial distribution

$$q(\mathbf{x}, t = 0) = \delta(\mathbf{x} - \mathbf{x}_0)$$

“Entrance” to the potential valley

Time evolution

$$q(\mathbf{x}, t + dt) \approx \exp\left[\hat{L}' \frac{dt}{2}\right] \exp\left[\hat{R}dt\right] \exp\left[\hat{L}' \frac{dt}{2}\right] q(\mathbf{x}, t) + O(dt^3)$$

with $V=(1-\delta)U$ and $\delta < 0.5$

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Initial distribution

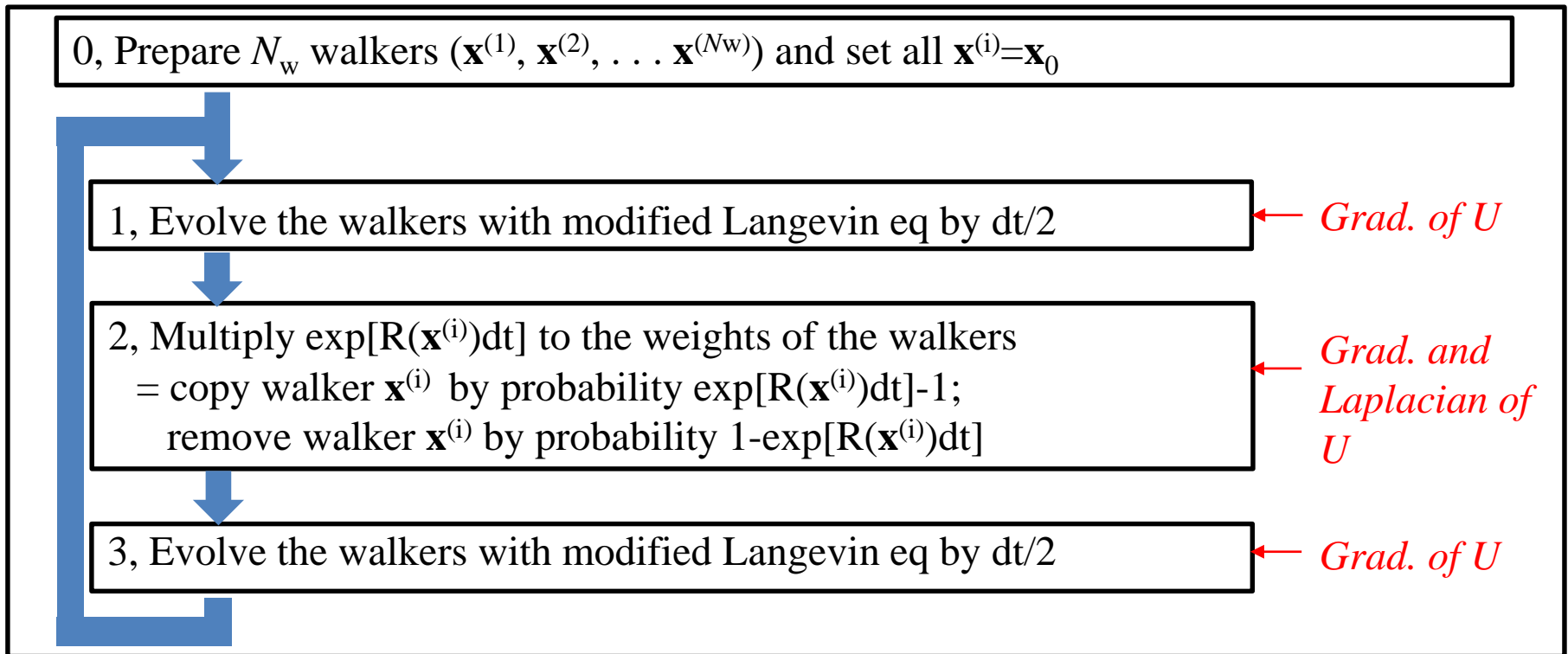
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Time evolution

$$q(\mathbf{x}, t + dt) \approx \exp\left[\hat{L}' \frac{dt}{2}\right] \exp\left[\hat{R} dt\right] \exp\left[\hat{L}' \frac{dt}{2}\right] q(\mathbf{x}, t) + O(dt^3)$$

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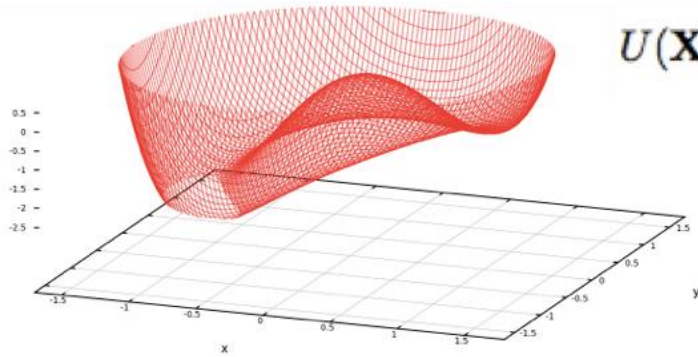
Collection of the walkers forms q tracking up the potential valley

Example: two dimensions

RA and Y. S. Nagornov, J. Phys. Soc. Jpn. **87**, 063801 (2018).

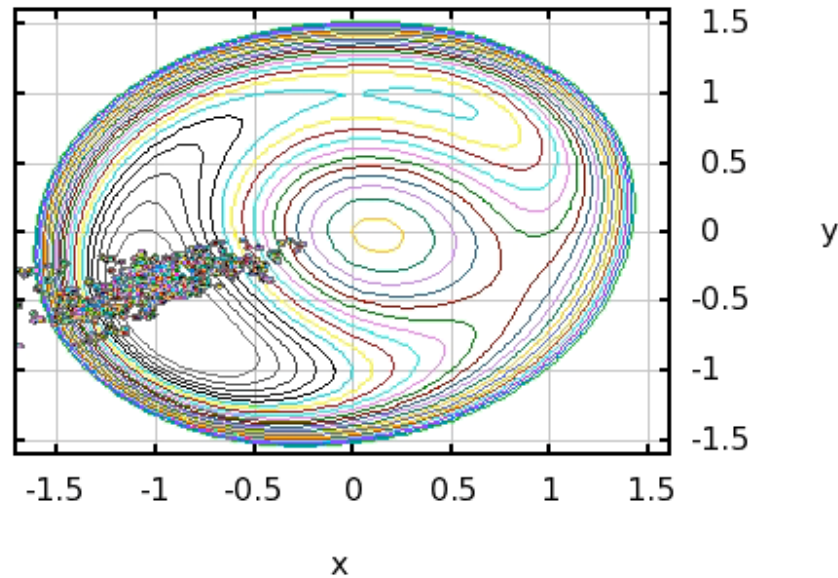
$$U(\mathbf{X}) = A(x^2 + y^2) [(x^2 - 1) + (y^2 - 1)] + B \exp(-x^2 y^2) + x - xy$$

($A=2.0$, $B=0.5$)



Simulation of the walkers

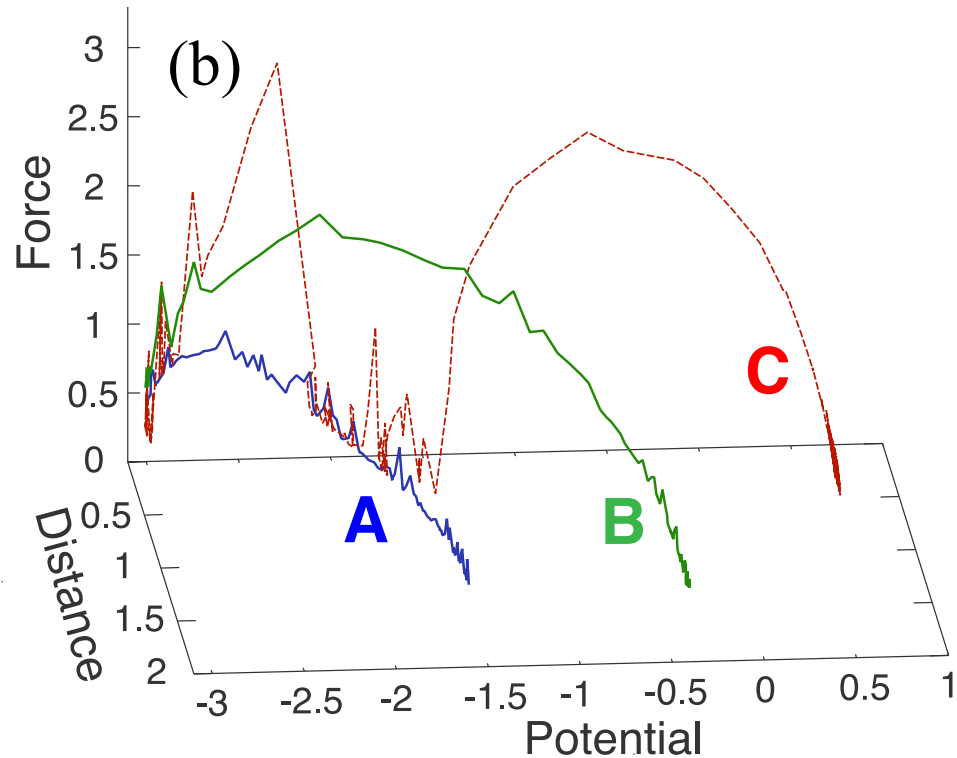
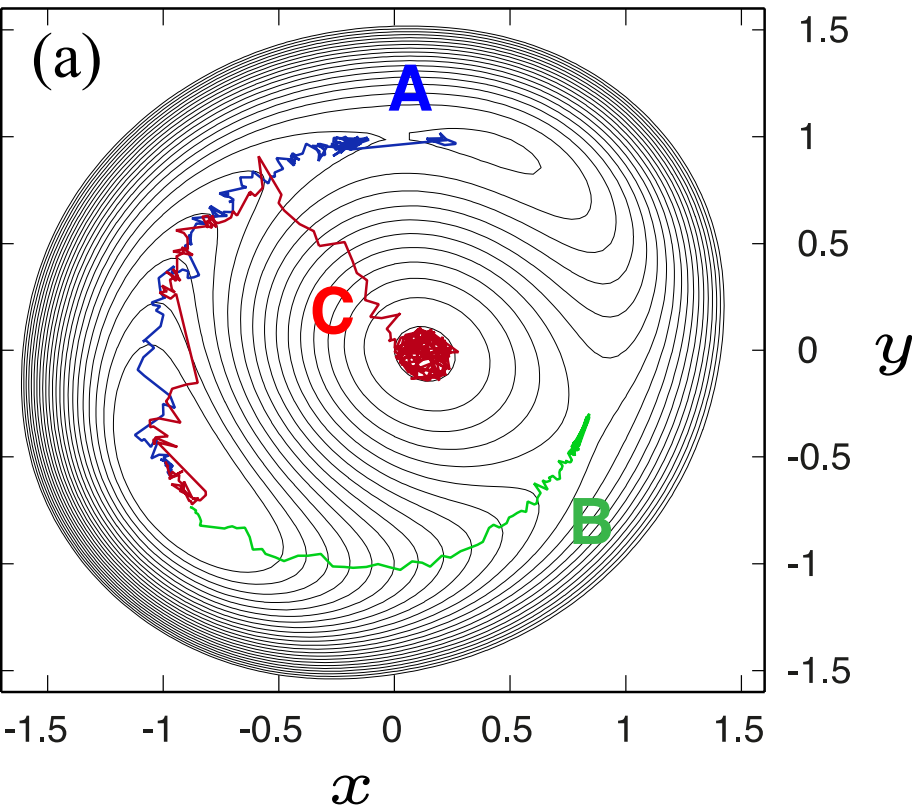
$$N_w = \sim 1000$$



Example: two dimensions

RA and Y. S. Nagornov, J. Phys. Soc. Jpn. **87**, 063801 (2018).

Time series of the walker average of (x, y)



- Paths depend on the initial position
- Accidental departure ends up to the maximum
- Practically, parameter tuning is mandatory.

$$N_w, \delta, T, dt$$

Application: Lennard-Jones clusters

Y. S. Nagornov and RA, Physica A **528**, 121481 (2019).

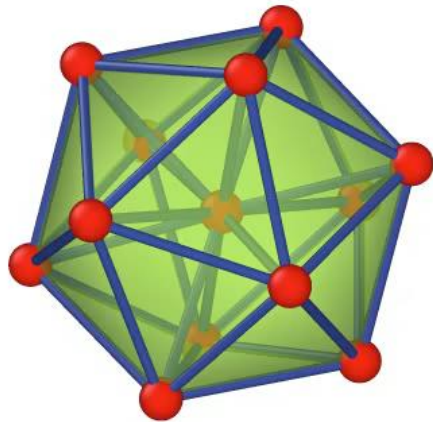
$$U = \epsilon \sum_{\langle ij \rangle} \left[-\frac{\sigma^6}{r_{ij}^6} + \frac{\sigma^{12}}{r_{ij}^{12}} \right] \quad \epsilon = 6.684; \sigma = 3.4$$

Visualize the mean value of the walker positions.

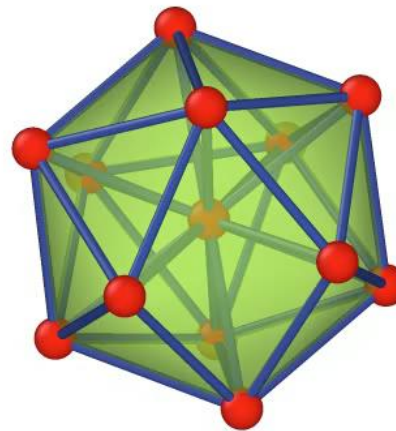
Execute the usual Langevin equation after reaching the saddle point.

LJ₁₃(39dim.) $N_w=3200$; $\delta=0.48$; $T=0.001$; $dt=0.0005$. 32000 timestep

Reaction 1: Twist



Reaction 2: Cap-vacancy formation



Application: Lennard-Jones clusters

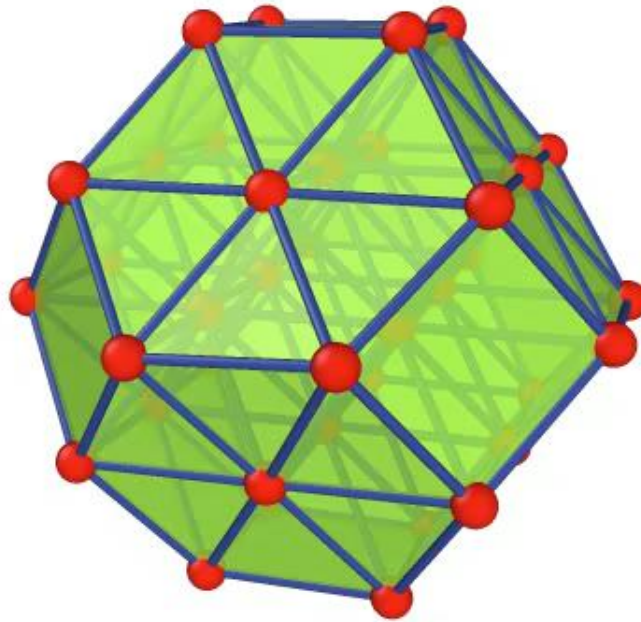
Y. S. Nagornov and RA, Physica A **528**, 121481 (2019).

$$U = \epsilon \sum_{\langle ij \rangle} \left[-\frac{\sigma^6}{r_{ij}^6} + \frac{\sigma^{12}}{r_{ij}^{12}} \right]$$

LJ₃₈(114 dim.)

$N_w=3200$; $\delta \sim 0.48$; $T=0.0002$; $dt=0.0005$. ~ 70000 step

Cap-vacancy formation

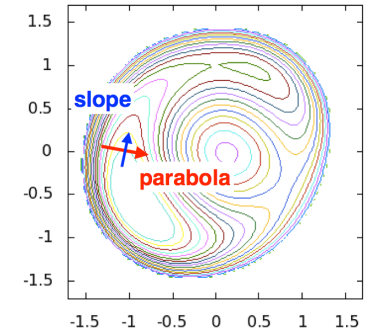


Summary

RA and Y. S. Nagornov, J. Phys. Soc. Jpn. **87**, 063801 (2018);
Y. S. Nagornov and RA, Physica A **528**, 121481 (2019).

Transformation of the Fokker–Planck eq.
→ Reaction coordinate free escape method

$$P(X, t) = C(t) \exp[-\beta(1 - \delta)U(X)] Q(X, t)$$



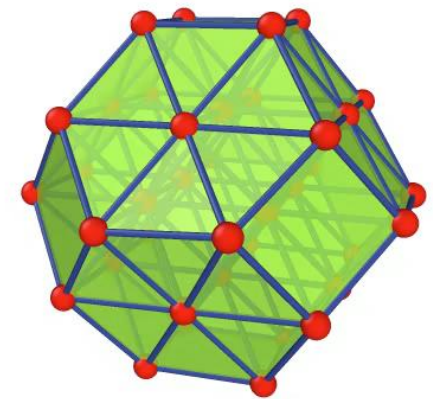
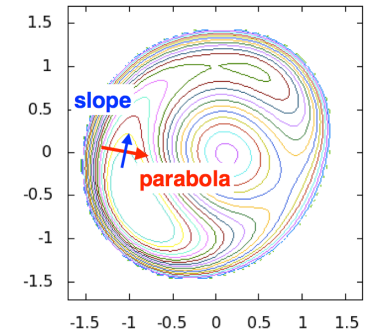
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Successful search of escape paths in more than
100 dimensions



文部科学省 ポスト「京」萌芽的課題1 基礎科学のフロンティア—極限への挑戦

複合相関が織りなす極限マテリアル—原子スケールからのアプローチ



Summary

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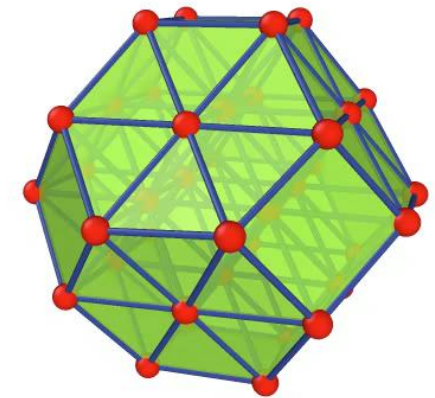
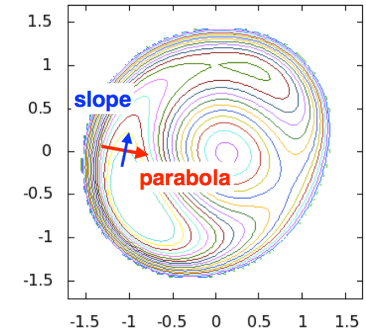
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Perspectives

- Free energy surfaces
- Non empirical extraction of good RCs
- ...

