

# Initial Conditions for Inflation in an FRW Universe

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**Swagat Saurav Mishra (SRF CSIR)  
Inter-University Centre for Astronomy and  
Astrophysics(IUCAA), Pune**

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**In Collaboration with: Prof. Varun Sahni (IUCAA), Prof.  
Aleksey Toporensky (Moscow State University)**

11 Feb, 2019

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**So Cosmic Inflation should better happen starting from generic Initial Conditions! How to realize inflation?**

# Inflationary Dynamics of a Scalar Field

Action of a scalar field minimally coupled to gravity

$$S[\phi] = \int d^4x \sqrt{-g} \mathcal{L}(F, \phi)$$

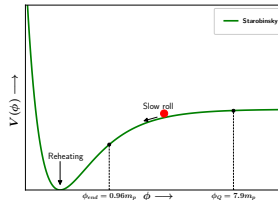
with  $F = \frac{1}{2} \partial_\mu \phi \partial^\mu \phi$  which for a canonical kinetic term leads to

$$\rho_\phi = \frac{1}{2} \dot{\phi}^2 + V(\phi), \quad p_\phi = \frac{1}{2} \dot{\phi}^2 - V(\phi)$$

And Einstein's equations imply

$$H^2 = \left( \frac{\dot{a}}{a} \right)^2 = \left( \frac{8\pi G}{3} \right) \rho_\phi = \frac{1}{3m_p^2} \left( \frac{1}{2} \dot{\phi}^2 + V(\phi) \right),$$

$$\frac{\ddot{a}}{a} = - \left( \frac{4\pi G}{3} \right) (\rho_\phi + 3p_\phi) = - \frac{1}{3m_p^2} \left( \dot{\phi}^2 - V(\phi) \right)$$



The dynamics of the scalar field is governed by

$$\ddot{\phi} + 3H\dot{\phi} + V'(\phi) = 0$$

while the equation of state is

$$w_\phi = \frac{\frac{1}{2} \dot{\phi}^2 - V(\phi)}{\frac{1}{2} \dot{\phi}^2 + V(\phi)}$$

# Inflationary Dynamics

For an extended period of inflation,

$$\epsilon_H = -\frac{\dot{H}}{H^2} < 1, \quad \eta_H = -\frac{\ddot{\phi}}{H\dot{\phi}} < 1$$

Primordial Power-spectra

$$\Delta_{\mathcal{R}}^2 = A_s \left( \frac{K}{K_*} \right)^{n_s - 1}$$

$$\Delta_t^2 = A_t \left( \frac{K}{K_*} \right)^{n_t - 1}$$

with

$$A_s = \frac{1}{8\pi^2} \left( \frac{H_*}{m_p} \right)^2 \frac{1}{\epsilon_H}, \quad A_t = \frac{2}{\pi^2} \left( \frac{H_*}{m_p} \right)^2$$



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Scalar Spectral Index

$$n_S = 1 + 2\eta_H - 4\epsilon_H$$

Tensor to Scalar Ratio

$$r = 16\epsilon_H$$

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Potential slow-roll parameters

$$\epsilon_V(\phi) = \frac{m_p^2}{2} \left( \frac{V'}{V} \right)^2$$

$$\eta_V(\phi) = m_p^2 \left( \frac{V''}{V} \right)$$

Slow-roll conditions corresponds to

$\epsilon_H, \eta_H \ll 1$ , where

$$\epsilon_H \simeq \epsilon_V, \quad \eta_H \simeq \eta_V - \epsilon_V$$

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Initial conditions for quantum fluctuations during inflation



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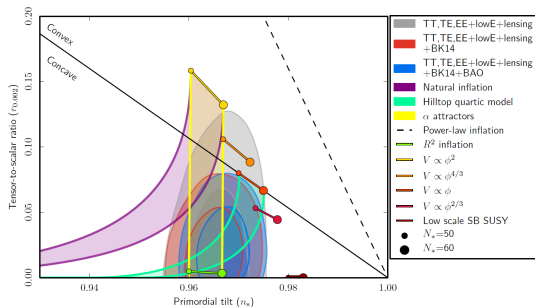
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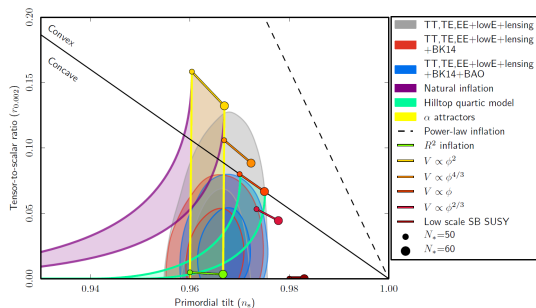
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$$n_S \in [0.953, 0.977]$$

$$r \leq 0.06$$

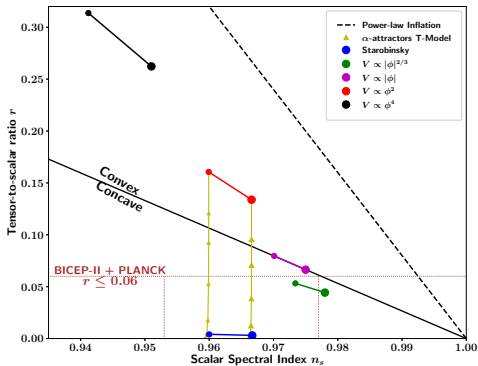
$\Rightarrow$

$$\epsilon_H \leq 0.00375$$

$$|\eta_H| < 0.02$$

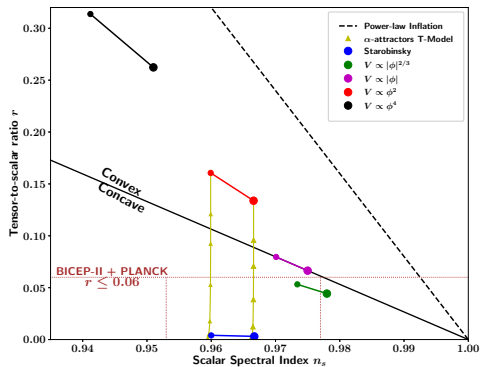
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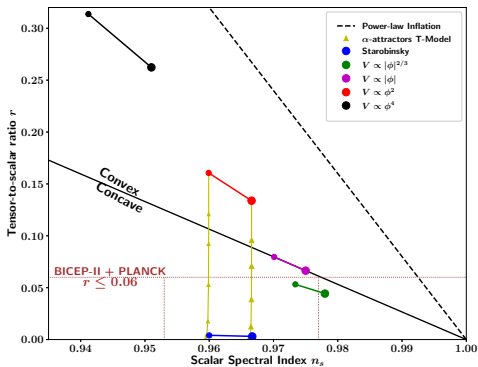


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concave potentials over convex potentials. Tight constraints on the upper bound of  $r$  imply asymptotically flat plateau like potentials are favoured. Issue of initial conditions for Inflation becomes more important!!

# Initial Conditions for Inflation in an FRW Universe

Inflation  $\Rightarrow$  Accelerated Expansion  $\Rightarrow \epsilon_H < 1$ . When the energy budget of the universe is dominated by the inflaton field, this implies,

$$w_\phi < -\frac{1}{3} \quad \text{or} \quad \dot{\phi}^2 < V(\phi)$$

(In fact recent observations of  $r \leq 0.06$  imply  $\frac{1}{2}\dot{\phi}^2 \leq 0.001 V(\phi)$ ),

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(In fact recent observations of  $r \leq 0.06$  imply  $\frac{1}{2}\dot{\phi}^2 \leq 0.001 V(\phi)$ ), Even though this condition seems restrictive, it is actually quite generic and can be quantified, at least for monotonically increasing potentials.

$$\ddot{\phi} + 3H\dot{\phi} + V'(\phi) = 0$$

**Role of Friction term  $H\dot{\phi}$  and existence of inflationary separatrix** (indicating inflationary trajectories are local attractors at least for large field inflation) [Albrecht and Brandenberger (1985)], [Brandenberger and Kung (1990)], [Remmen and Carroll (2013)]

Hamilton-Jacobi Formalism:  $H_{,\phi}^2 - \frac{3}{2}H^2 = -\frac{1}{2}V(\phi)$ ,  $\Delta N = \frac{1}{\sqrt{2\epsilon_H}} \frac{|\Delta\phi|}{m_p}$

$$\delta H(\phi) = \delta H(\phi_i) e^{-3(N-N_i)}$$

- We explicitly calculate the set of initial conditions that yield **adequate inflation** i.e  $N_e \geq 60$ , where  $a(t_e) = a(t_i)e^{N_e}$  so  $N_e = \int_{t_i}^{t_e} H dt$
- For a given fixed initial energy scale  $\rho(\phi_i)$  of inflation (or equivalently  $H_i$ ), the first Friedmann equation becomes the equation of a circle

$$R^2 = X^2 + Y^2$$

where

$$R = \sqrt{6} \frac{H}{m_p}, \quad X = \hat{\phi} \frac{\sqrt{2V(\phi)}}{m_p^2}, \quad Y = \frac{1}{m_p^2} \frac{d\phi}{dt}$$

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# Initial Conditions for Power-law Potentials: Quadratic Chaotic

We begin explaining our methodology for power-law potentials through the example of simple Quadratic Chaotic Inflation

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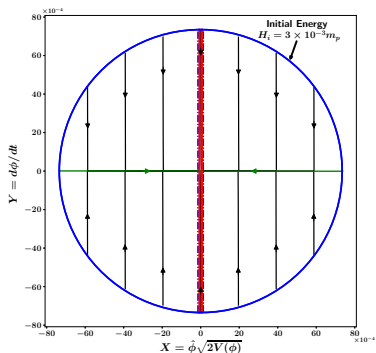
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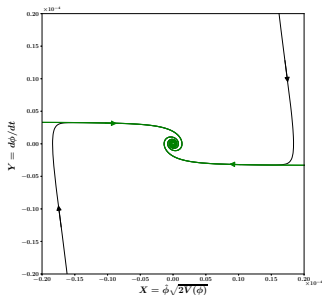
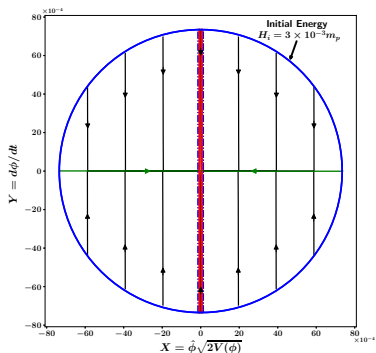


## Phase-space Analysis

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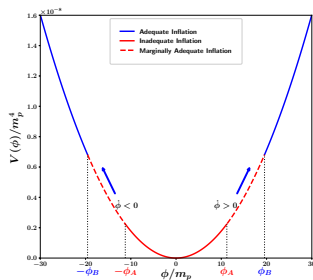
**Zoomed View: Attractor  
Slow-roll Trajectories**

**Phase-space Analysis**

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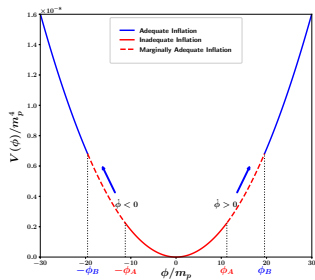
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## Range of Initial Conditions in the Field Space

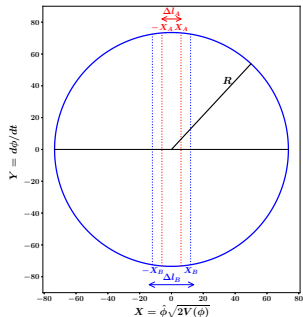


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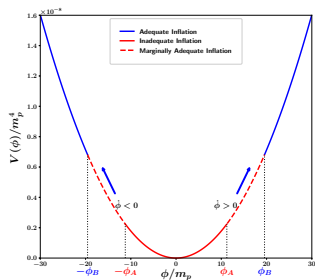


## Defining the 'Measure'

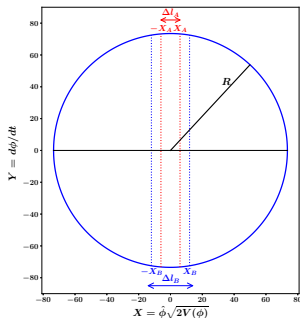


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$H_i$ (in $m_p$ )	$\phi_A$ (in $m_p$ )	$\phi_B$ (in $m_p$ )	$f_A = 2 \frac{\Delta_A}{l}$	$f_B = 2 \frac{\Delta_B}{l}$
$3 \times 10^{-3}$	11.22	19.55	$5.80 \times 10^{-3}$	$1.01 \times 10^{-2}$
$3 \times 10^{-2}$	9.33	21.38	$4.83 \times 10^{-4}$	$1.11 \times 10^{-3}$
$3 \times 10^{-1}$	7.47	23.27	$3.86 \times 10^{-5}$	$1.20 \times 10^{-4}$

# Initial Conditions for Power-law Potentials: Axion-Monodromy

Axion-Monodromy potential is given by  
[Silverstein, Westphal, McAllister  
2008-09]

$$V(\phi) = V_0 \left| \frac{\phi}{m_p} \right|^p + \Lambda^4 \left( \cos \frac{\phi}{f} - 1 \right)$$

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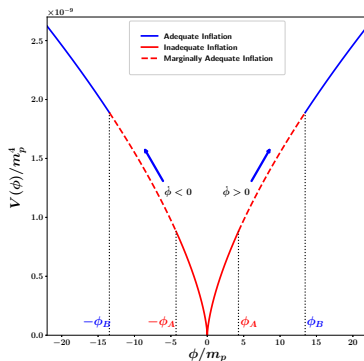
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Fractional Monodromy Inflation  
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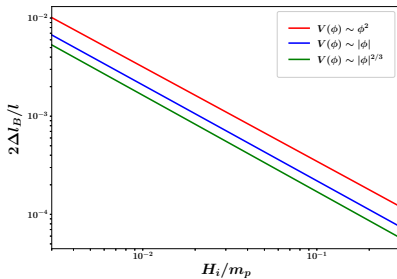
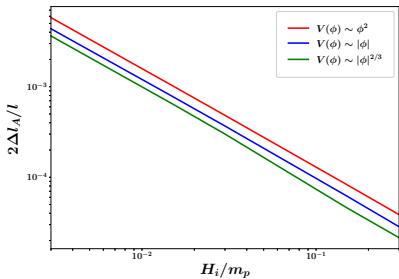


Range of Initial field values

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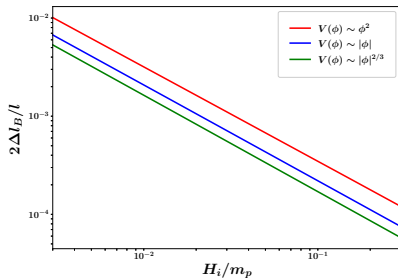
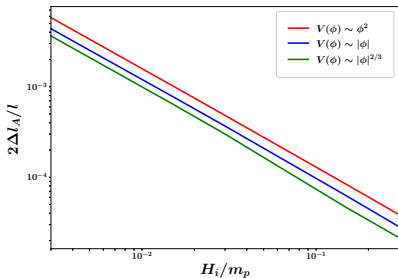
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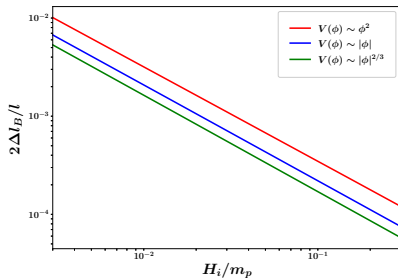
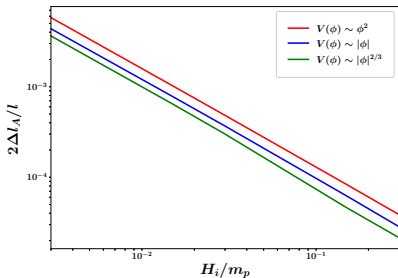


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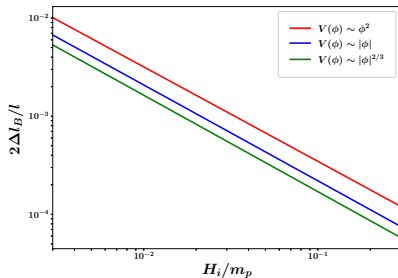
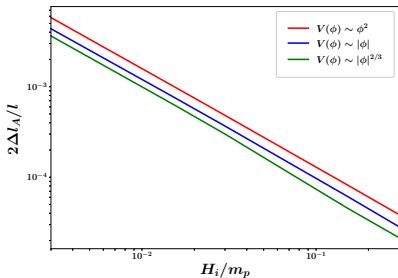


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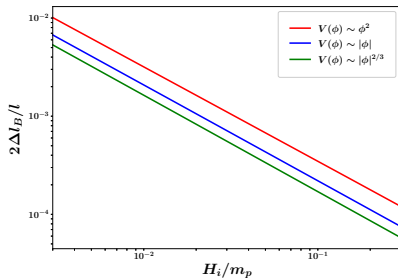
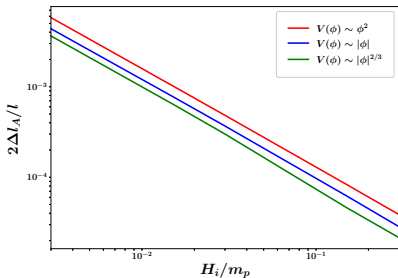


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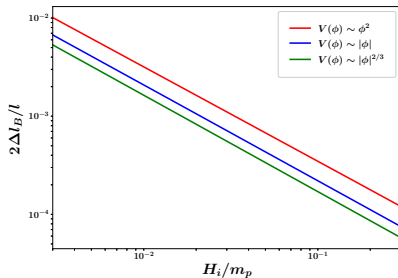
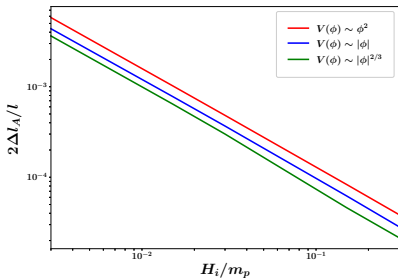
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But [Planck2018] strongly favours **Asymptotically Flat (Plateau-like)** potentials.

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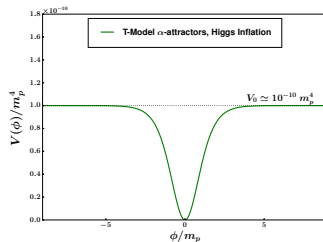
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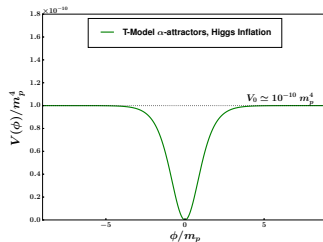


[Bezrukov, Shaposhnikov 2008] [Kallosh, Linde and Roest JCAP(2013)]

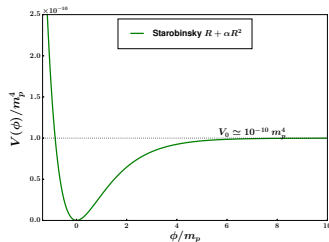


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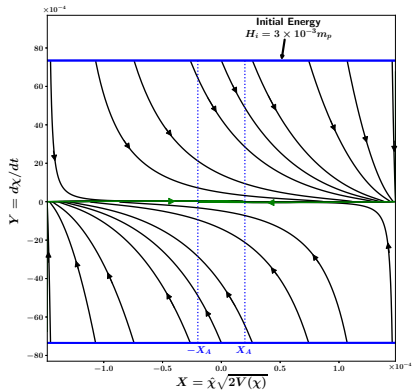


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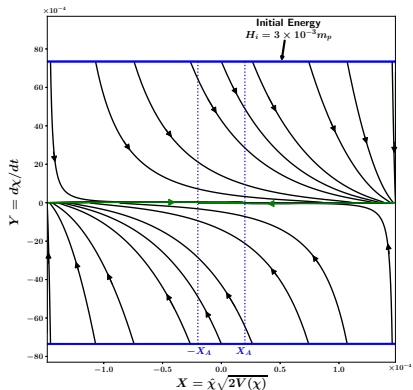


[Starobinsky 1980, Phys. Lett 91B]

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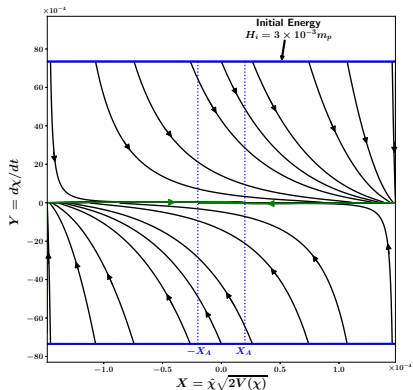


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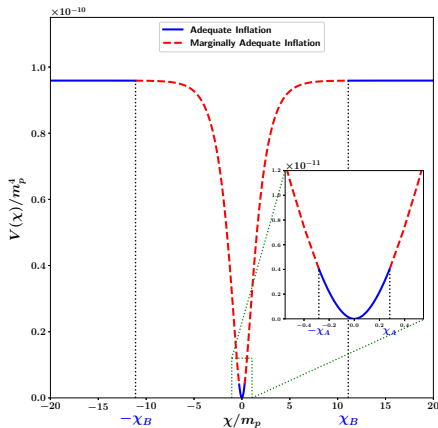


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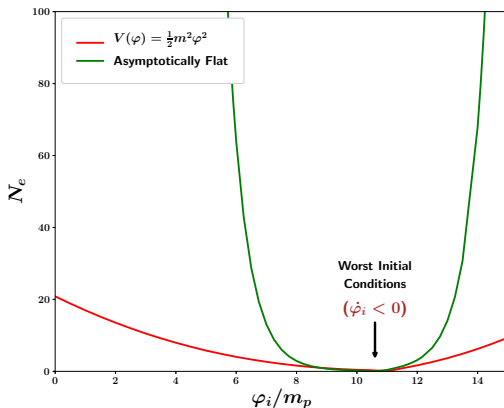
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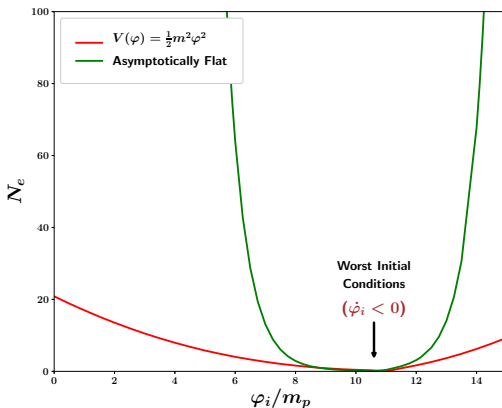
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**WHY!!**

**However Positive Spatial Curvature is a serious problem for Asymptotically Flat potentials [Steinhardt, Ijjas and Loeb 2014]**

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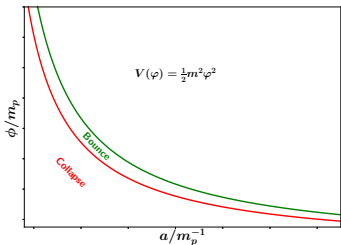
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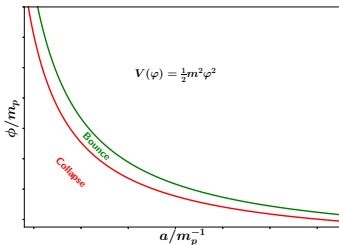
So starting from  $H_i = 0$  at some fixed initial energy scale, we have

**Collapse:**  $\frac{V(\varphi)}{m_p^4} < \frac{2}{a^2 m_p^2}$     **Bounce:**  $\frac{2}{a^2 m_p^2} < \frac{V(\varphi)}{m_p^4} \leq \frac{3}{a^2 m_p^2}$

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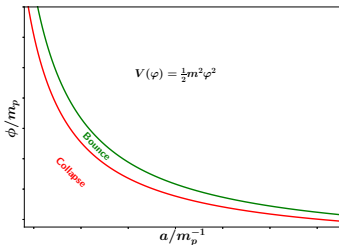


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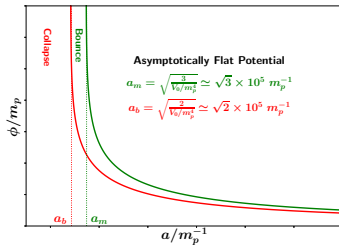
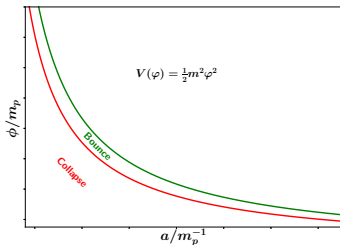


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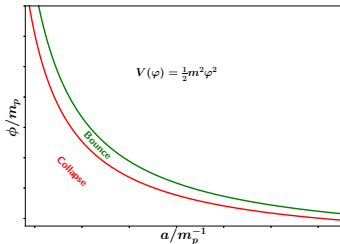
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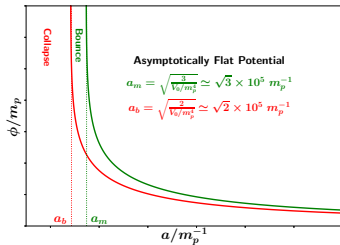
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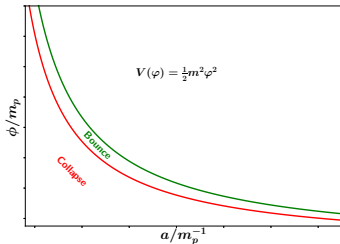
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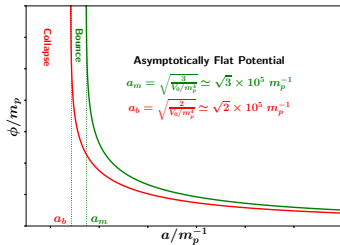
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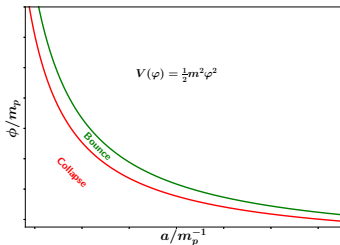
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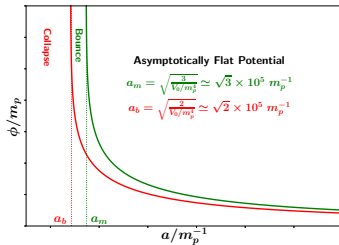
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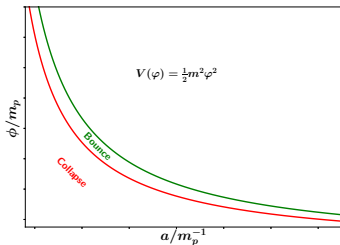
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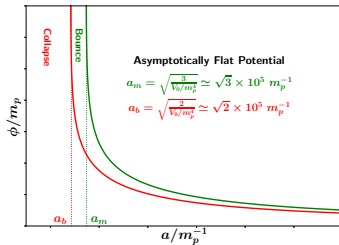
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**Not very good for Inflation!!**



At  $H_i = 0$ , for any given initial value of  $\rho_\phi$  (hence  $a$ ), there is a range of values of  $\phi$  for which universe bounces back and inflation is possible. For higher  $H_i > 0$ , probability of bounce increases (quantifiable).

**This is a serious issue and needs to be resolved!** [Mishra, Sahni and Toporensky (in preparation)]



For  $H_i = 0$ , **Collapse is inevitable**. While for  $H_i > 0$ , bounce becomes possible only if  $a_i > 1600 m_p^{-1}$  which puts  $H_i$  close to its flat universe value upto 7 decimal places

$$|H_i - H_i|_{K=0} \leq 4 \times 10^{-7}$$

**Not very good for Inflation!!**

# Possible Resolution

Consider the Margarita Potential

[Bag, Mishra and Sahni JCAP 2017]

$$V(\phi) = V_0 \tanh\left(\frac{\lambda_1 \phi}{m_p}\right) \cosh\left(\frac{\lambda_2 \phi}{m_p}\right), \quad \lambda_1 > \lambda_2$$

which has three asymptotes

Exponential wing  $V(\varphi) \simeq \frac{V_0}{2} \exp(\lambda_2 |\varphi|/m_p), \quad \frac{|\varphi|}{m_p} \gg \frac{1}{\lambda_2},$

Flat wing:  $V(\varphi) \simeq V_0 + \frac{1}{2} m_2^2 \varphi^2, \quad \frac{1}{\lambda_1} \ll \frac{|\varphi|}{m_p} \ll \frac{1}{\lambda_2},$

Oscillatory region:  $V(\varphi) \simeq \frac{1}{2} m_1^2 \varphi^2, \quad \frac{|\varphi|}{m_p} \ll \frac{1}{\lambda_1},$

where  $m_1^2 = \frac{2V_0\lambda_1^2}{m_p^2}$  and  $m_2^2 = \frac{V_0\lambda_2^2}{m_p^2}$

with  $m_1 \gg m_2$ .

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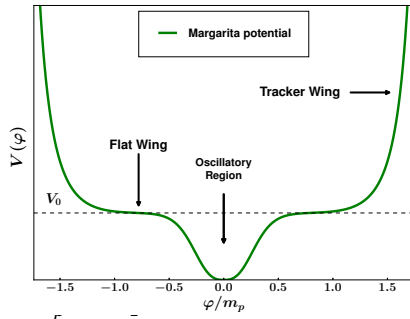
Exponential wing  $V(\phi) \simeq \frac{V_0}{2} \exp(\dots)$

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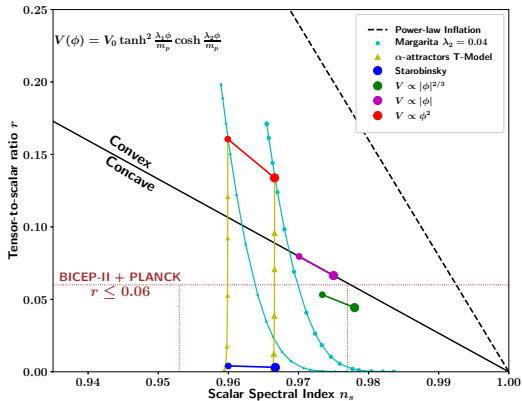
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with  $m_1 \gg m_2$ . We need  $\lambda_1 > \lambda_2$   
and  $\lambda_2 < \sqrt{2}$



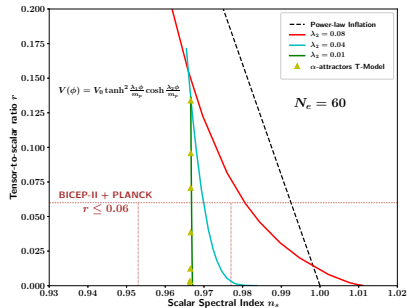
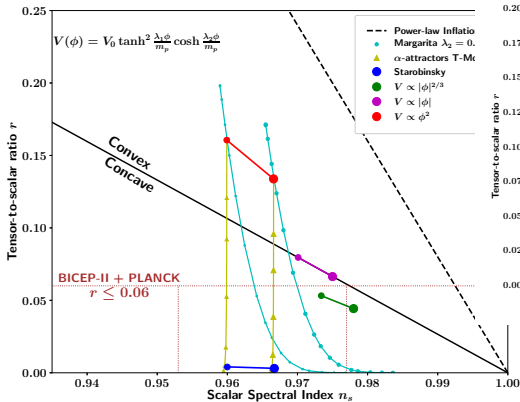
# Margarita Potential $r$ vs $n_s$

[Mishra, Sahni and Toporensky (in preparation)]



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- Initial conditions should also be thoroughly analysed for alternatives to Inflation like String Gas Cosmology, Matter Bounce Models, Ekpyrotic Models, Emergent Scenarios etc.

- 1 Initial Conditions for Inflation in an FRW Universe, [Mishra, Sahni and Toporensky, PRD 98, 083538\(2018\)](#)  
[\[arXiv:1801:04948\]](#)
- 2 Initial Conditions for inflation: A short Review, [Robert Brandenberger, Int. J. Mod. Phys. D 26, 1740002\(2017\)](#)
- 3 Beginning of Inflation in an inhomogeneous universe, [East, Kleban, Linde, Senatore, JCAP 09, \(2016\) 010](#)
- 4 [Guth and Nomura, Phys. Lett. B 733, 112 \(2014\)](#)
- 5 [Carrasco, Kallosh and Linde, PRD 92, 063519\(2015\)](#)
- 6 Initial Conditions for inflation in an FRW Universe - II [Mishra, Sahni and Toporensky \(in preparation\)](#).