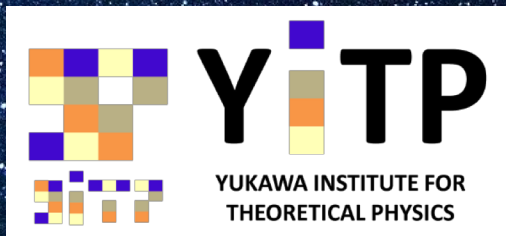


Primordial black holes in canonical single field inflation

Hayato Motohashi (YITP)

2019.02.11 Asian-Pacific Winter School and Workshop on
Gravitation and Cosmology

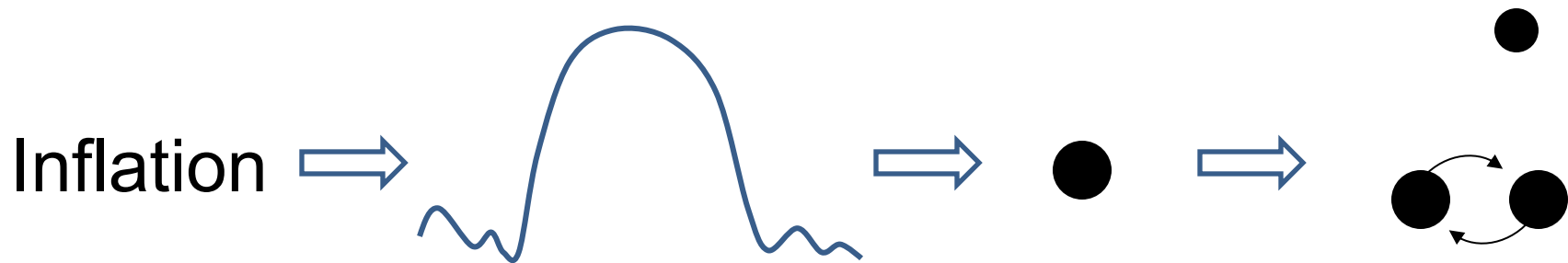


Primordial black holes (PBHs)

Zel'dovich, Novikov (1966)

Hawking (1971)

Carr (1975)



- DM

- GW source

Reviews

Carr et al, 0912.5297

Carr et al, 1607.06077

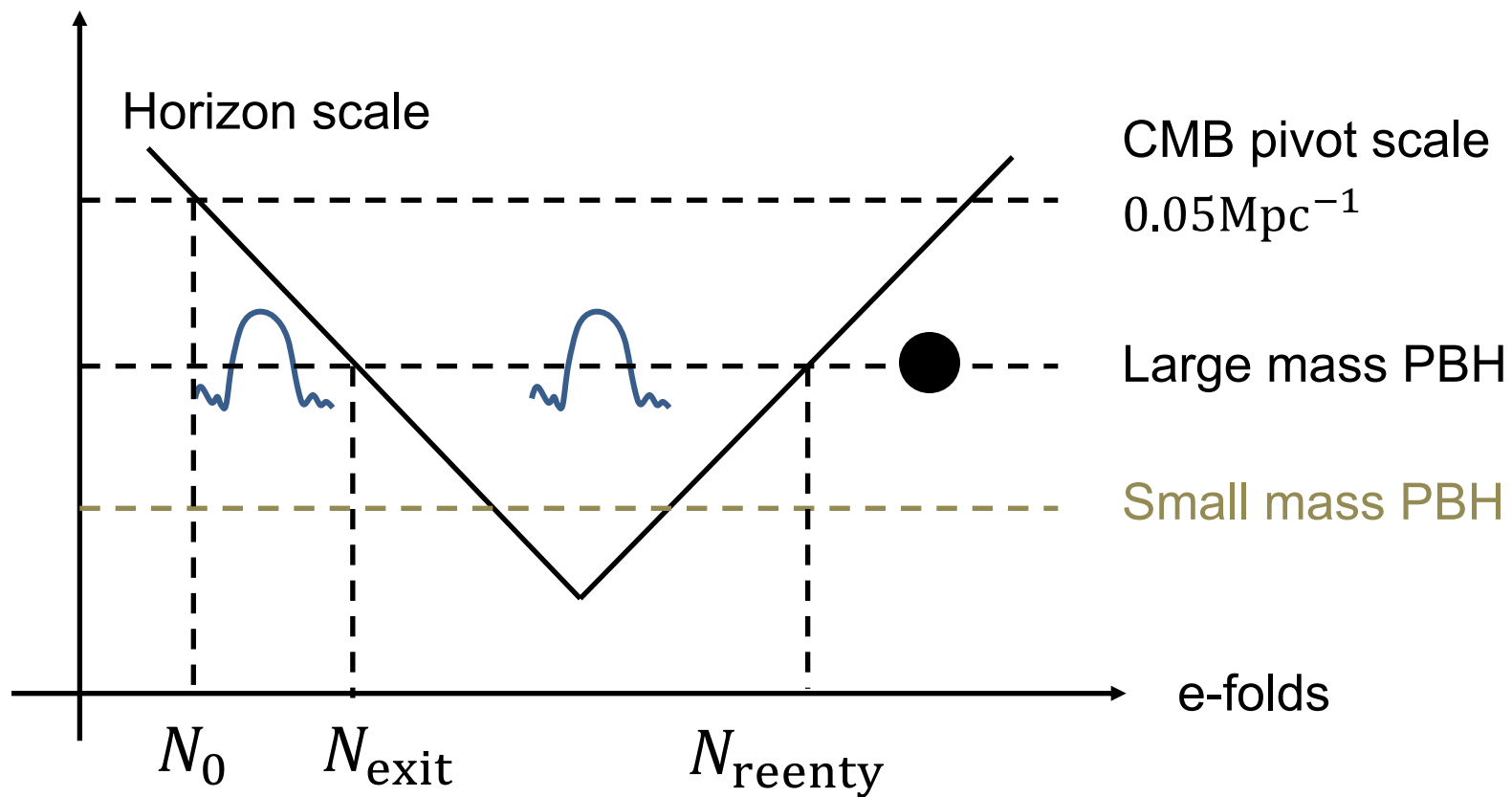
Sasaki et al, 1801.05235

Bird et al, 1603.00464

Clesse et al, 1603.05234

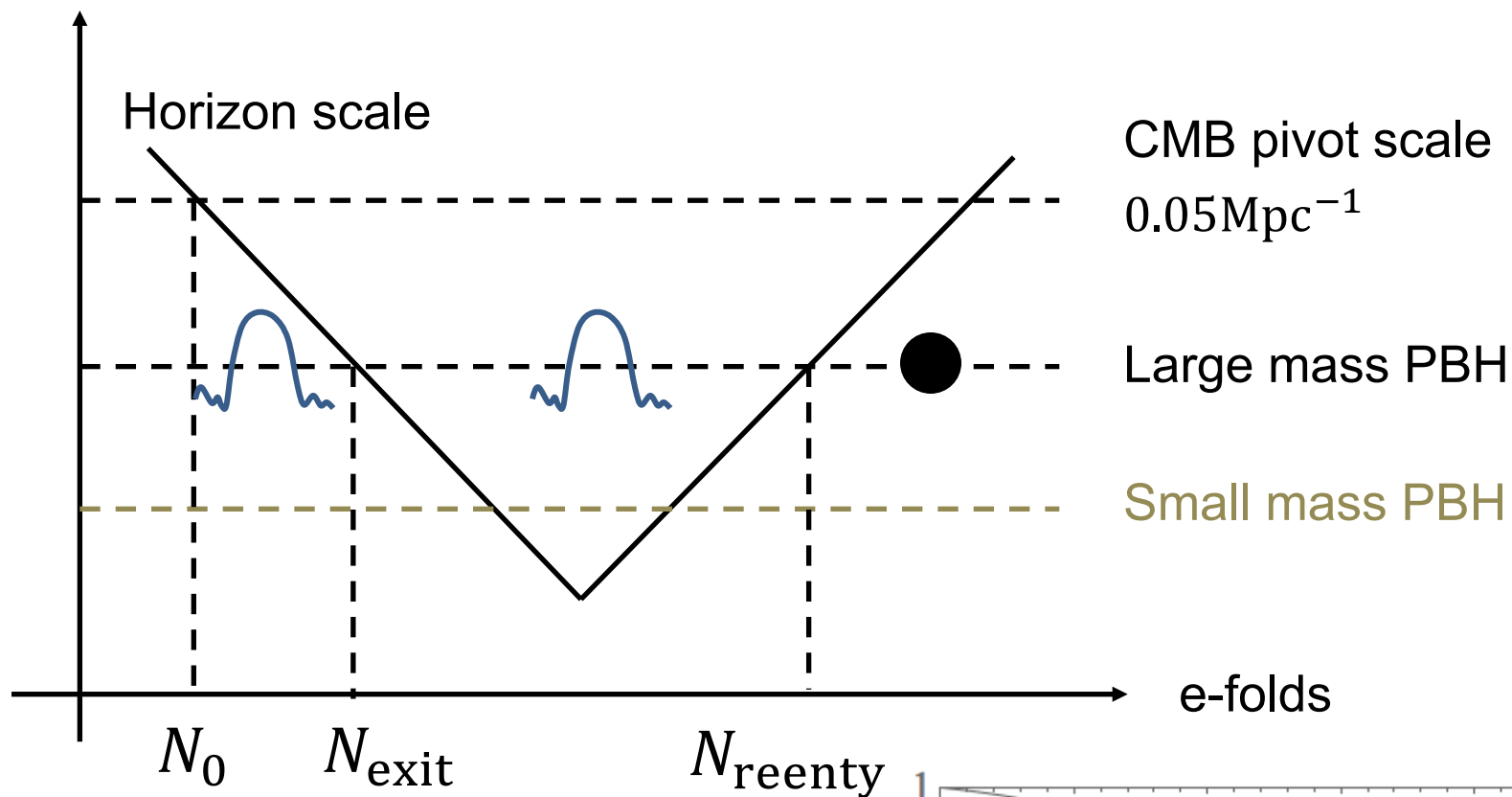
Sasaki et al, 1603.08338

Comoving scale



$$\Delta N \approx 18 - \frac{1}{2} \ln \frac{M}{M_{\odot}}$$

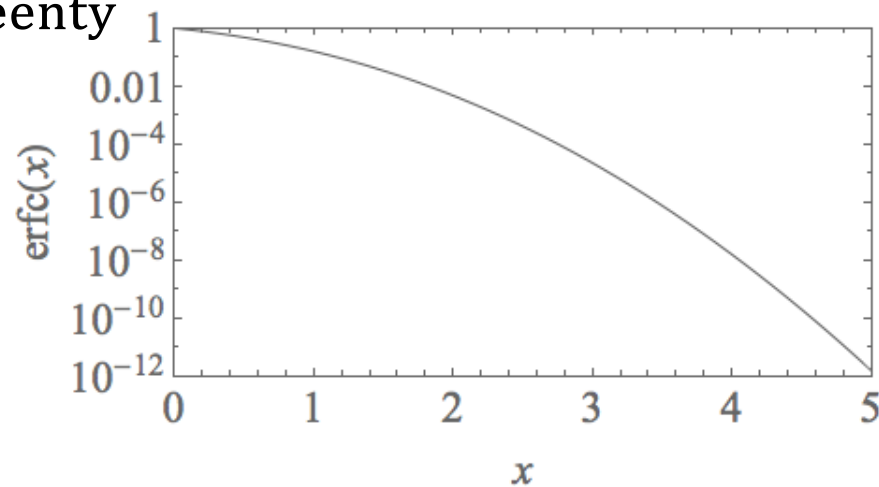
Comoving scale

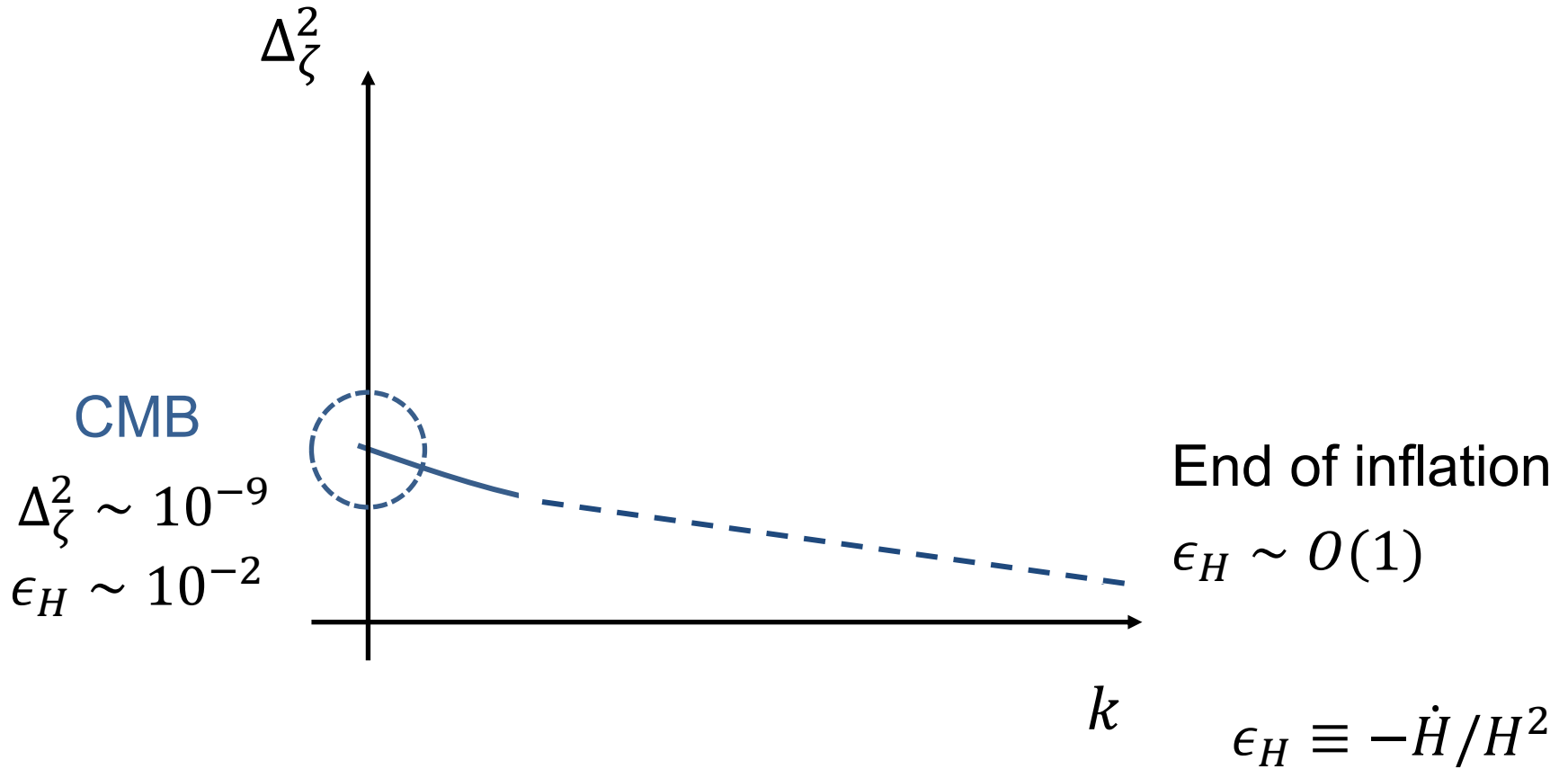


PBH abundance

$$\beta \equiv \frac{\rho_{\text{PBH}}}{\rho_{\text{tot}}} \Big|_{\text{reentry}} \approx \text{erfc} \left(\frac{\zeta_c}{\sqrt{2}\Delta\zeta} \right)$$

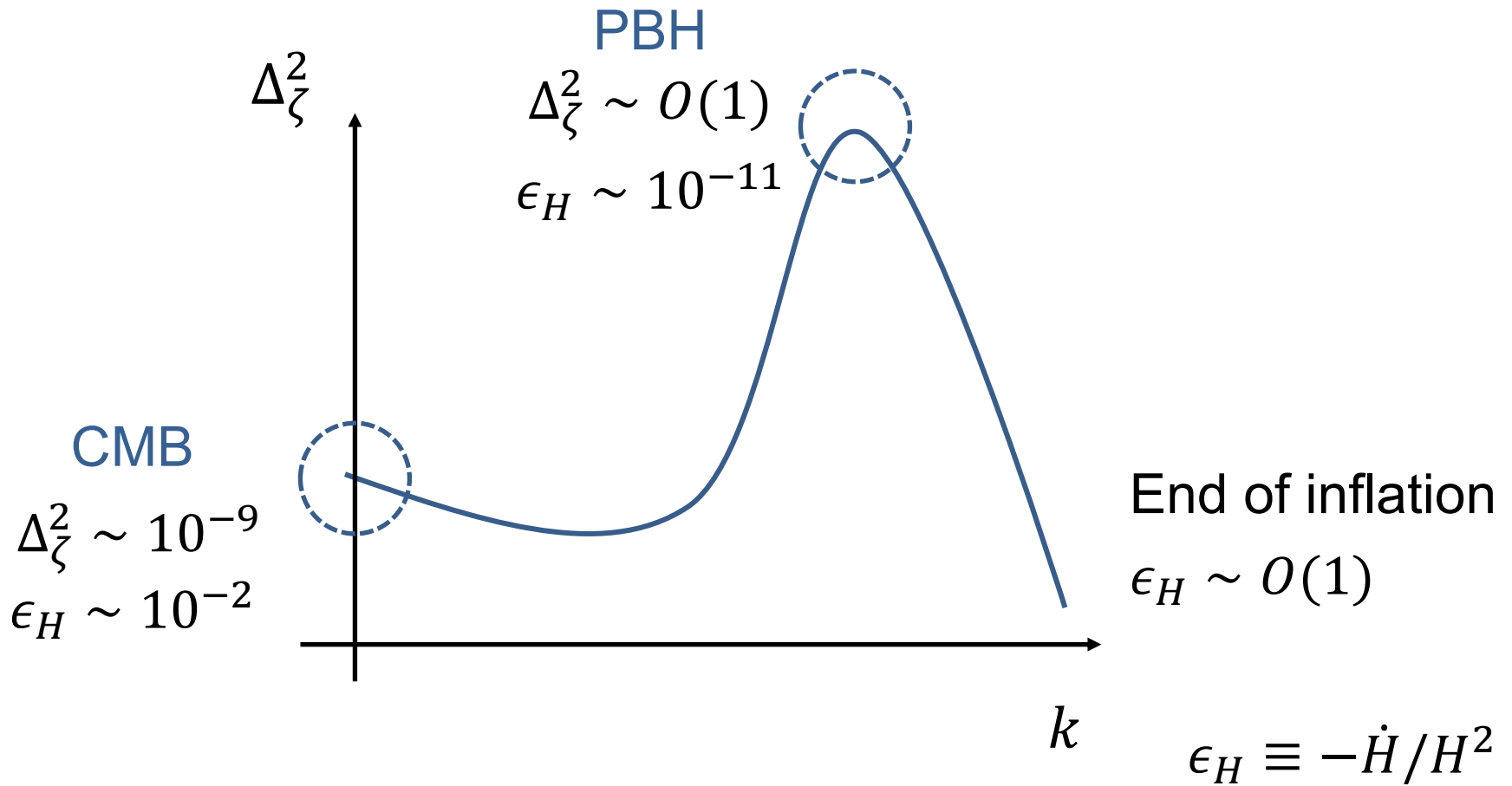
A large peak of $\Delta\zeta^2$ is required.





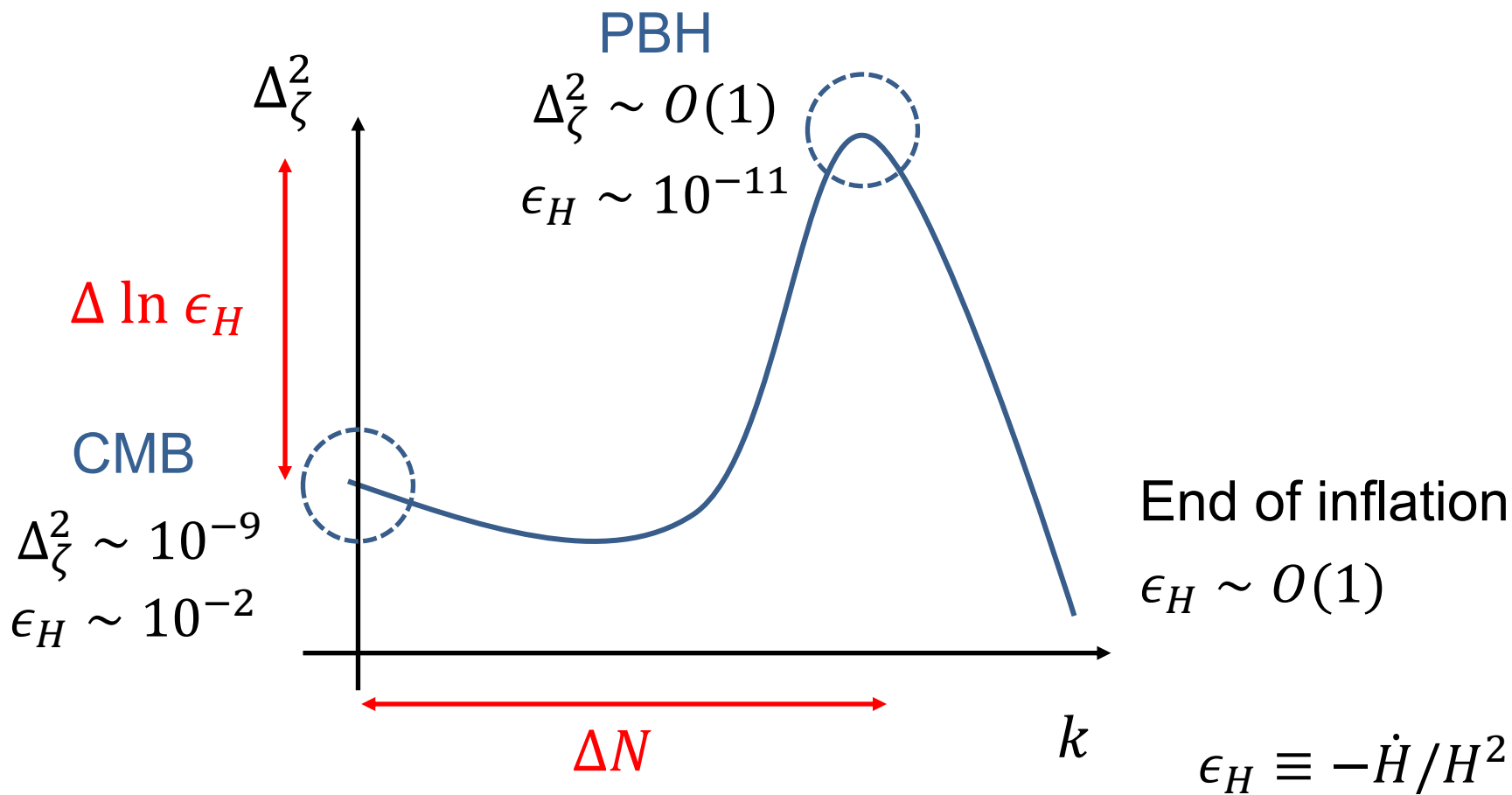
Leading-order slow-roll approx.

$$\Delta_{\zeta}^2 \approx \frac{H^2}{8\pi^2 \epsilon_H}$$



Leading-order slow-roll approx.

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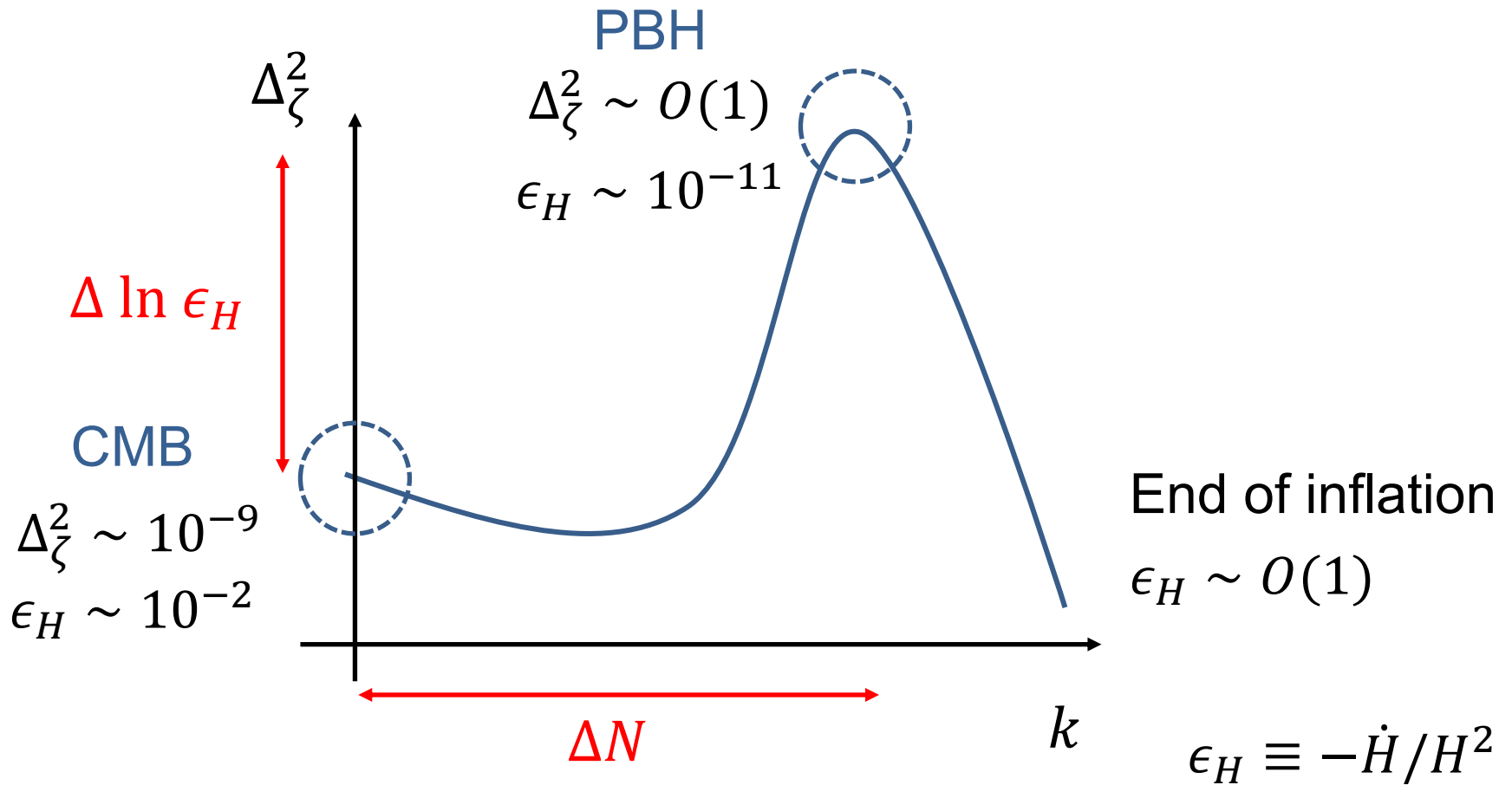


Leading-order slow-roll approx.

$$\Delta_{\zeta}^2 \approx \frac{H^2}{8\pi^2 \epsilon_H}$$

$\Leftrightarrow \epsilon_H \ll 1$ and

$$\left| \frac{d \ln \epsilon_H}{dN} \right| \ll 1 ?$$



$$\beta \approx \text{erfc} \left(\frac{\zeta_c}{\sqrt{2} \Delta_{\zeta}} \right) \approx 10^{-9} \left(\frac{\Omega_{\text{PBH}} h^2}{0.12} \right) \left(\frac{M}{M_{\odot}} \right)^{1/2}$$

$$\Delta N \approx 18 - \frac{1}{2} \ln \frac{M}{M_{\odot}}$$

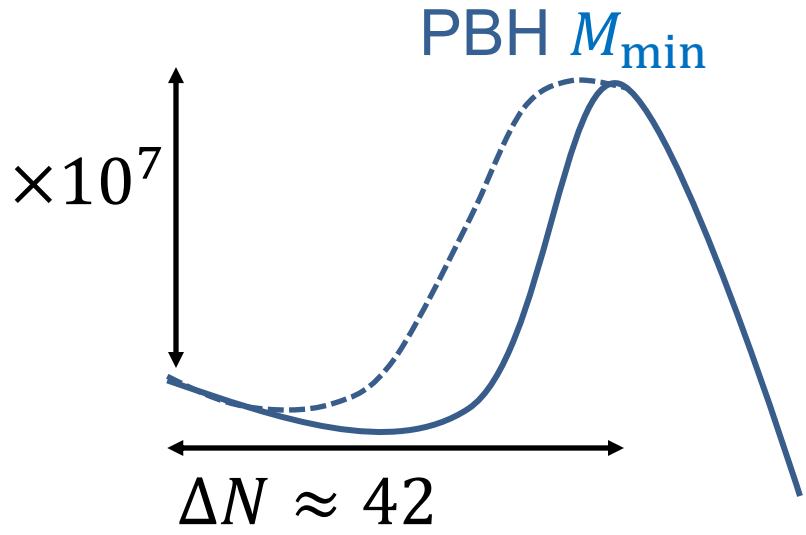
$\epsilon_H \ll 1$ and

$$\left| \frac{d \ln \epsilon_H}{dN} \right| \ll 1 ?$$

No go for slow roll

$$(\Omega_{\text{PBH}}, M) = \left\{ \begin{array}{l} (\Omega_{\text{DM}}, M_{\text{min}}) \end{array} \right. \Rightarrow \left| \frac{\Delta \ln \epsilon_H}{\Delta N} \right| > 0.38$$

Slow-roll violation
 $\left| \frac{\Delta \ln \epsilon_H}{\Delta N} \right| > 0.38$
 for any single-field
 canonical inflation



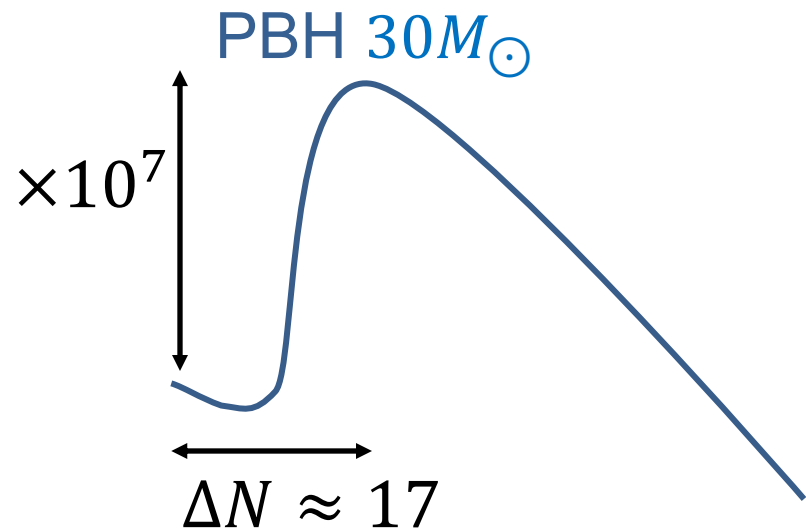
$M_{\text{min}} \approx 10^{-21} M_{\odot}$: Smallest PBH mass that does not evaporate by matter-radiation equality barring merging and accretion \Rightarrow Lower bound on SR violation

No go for slow roll

$$(\Omega_{\text{PBH}}, M) = \begin{cases} (\Omega_{\text{DM}}, M_{\text{min}}) & \Rightarrow \left| \frac{\Delta \ln \epsilon_H}{\Delta N} \right| > 0.38 \\ (10^{-3} \Omega_{\text{DM}}, M_{\text{min}}) & \Rightarrow \left| \frac{\Delta \ln \epsilon_H}{\Delta N} \right| > 0.37 \\ (10^{-3} \Omega_{\text{DM}}, 30M_{\odot}) & \Rightarrow \left| \frac{\Delta \ln \epsilon_H}{\Delta N} \right| > 0.99 \end{cases}$$

PBH = GW events scenario

Sasaki, Suyama,
Tanaka, Yokoyama, 1603.08338



Various approximations

- Standard SR : $\Delta_{\zeta}^2 \approx \frac{H^2}{8\pi^2 \epsilon_H} \Big|_{k\eta=1} \implies \text{Not good}$

Various approximations

- **SR-V** : $\Delta_{\zeta}^2 \approx \frac{V}{24\pi^2 \epsilon_V} \Big|_{k\eta=1} \Rightarrow$ **Particularly bad**
 $\epsilon_H \approx \epsilon_V, \frac{d\phi}{dN} = -\frac{V'}{V}$
- **Standard SR** : $\Delta_{\zeta}^2 \approx \frac{H^2}{8\pi^2 \epsilon_H} \Big|_{k\eta=1} \Rightarrow$ **Not good**

Exact relation

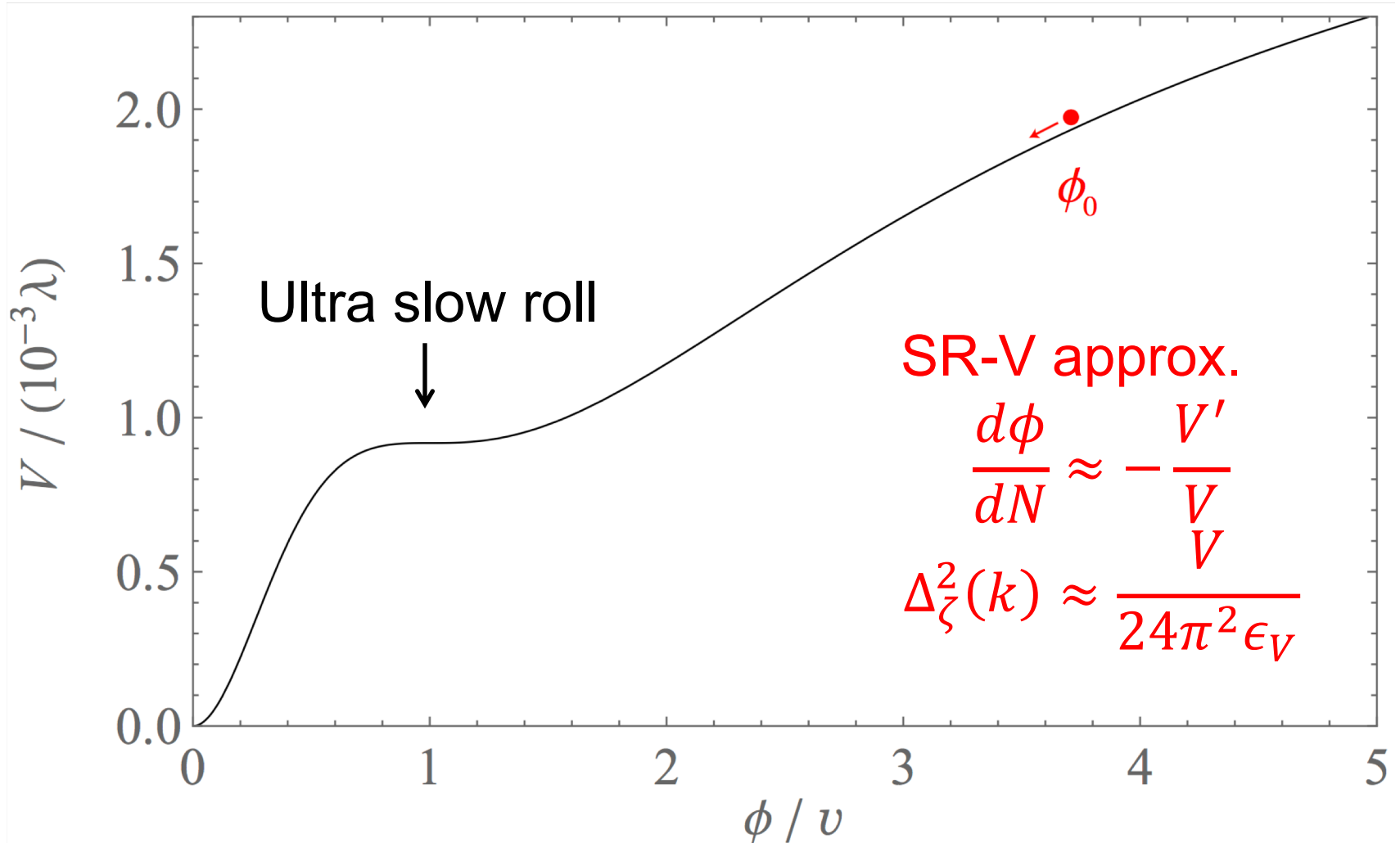
$$\frac{\epsilon_V}{\epsilon_H} = \left(1 + \frac{1}{2(3 - \epsilon_H)} \frac{d \ln \epsilon_H}{dN} \right)^2$$

$$\begin{aligned} \epsilon_H &\equiv -\dot{H}/H^2 \\ \epsilon_V &\equiv (V'/V)^2/2 \end{aligned}$$

Case study 1: Inflection model

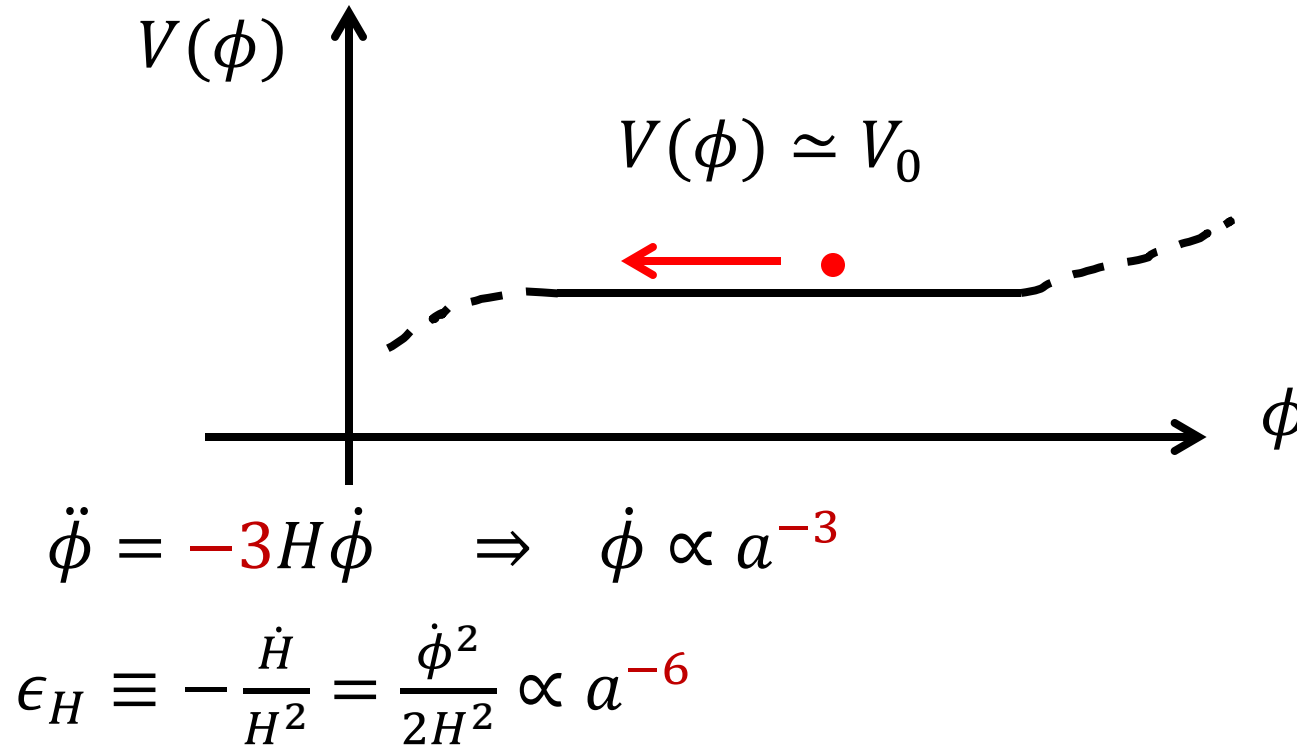
Garcia-Bellido, Morales, 1702.03901

Ezquiaga, Garcia-Bellido, Morales, 1705.04861



Ultra slow-roll inflation

Kinney, gr-qc/0503017

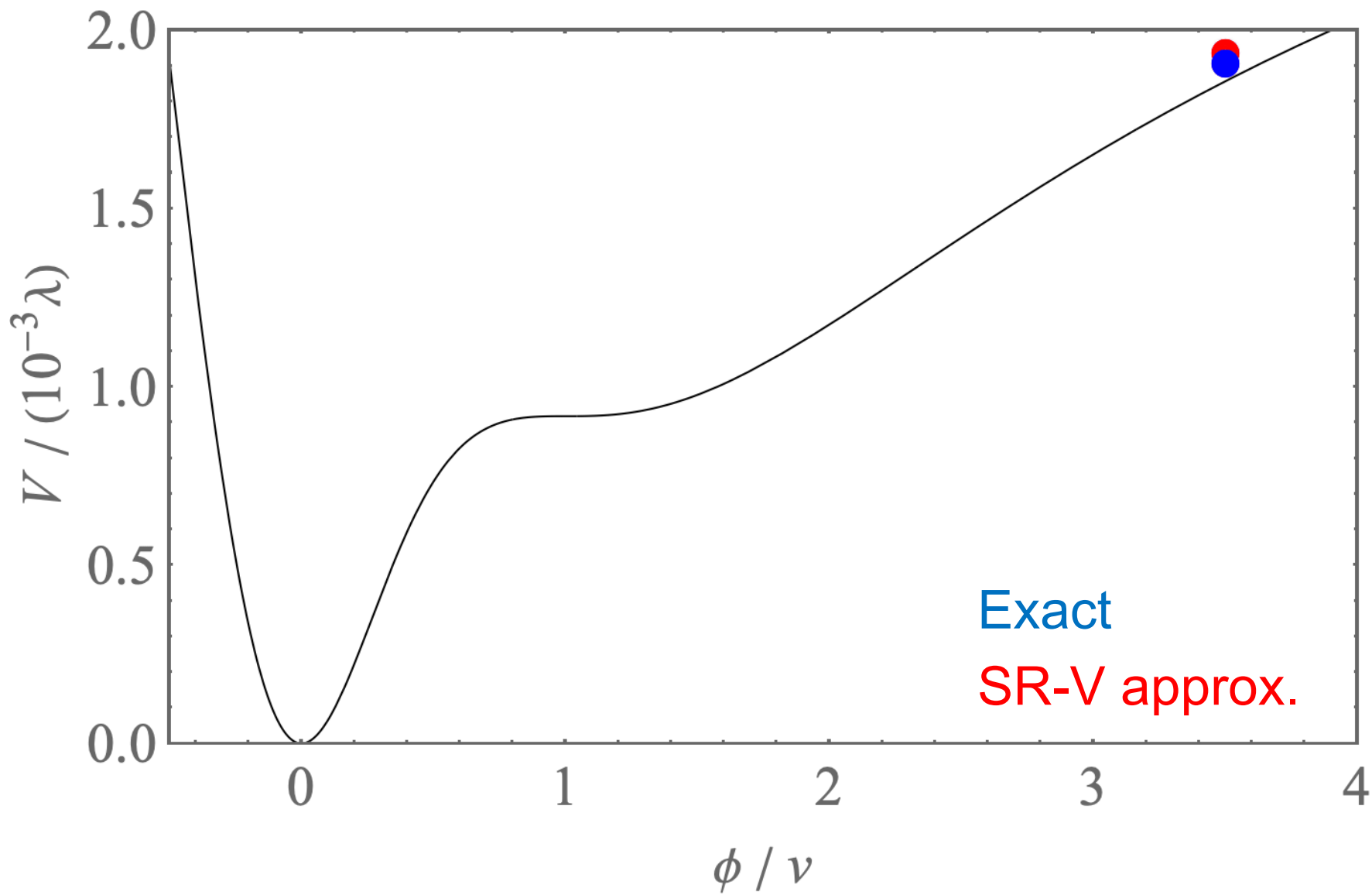


SR Violation: $\frac{d \ln \epsilon_H}{dN} = -6$

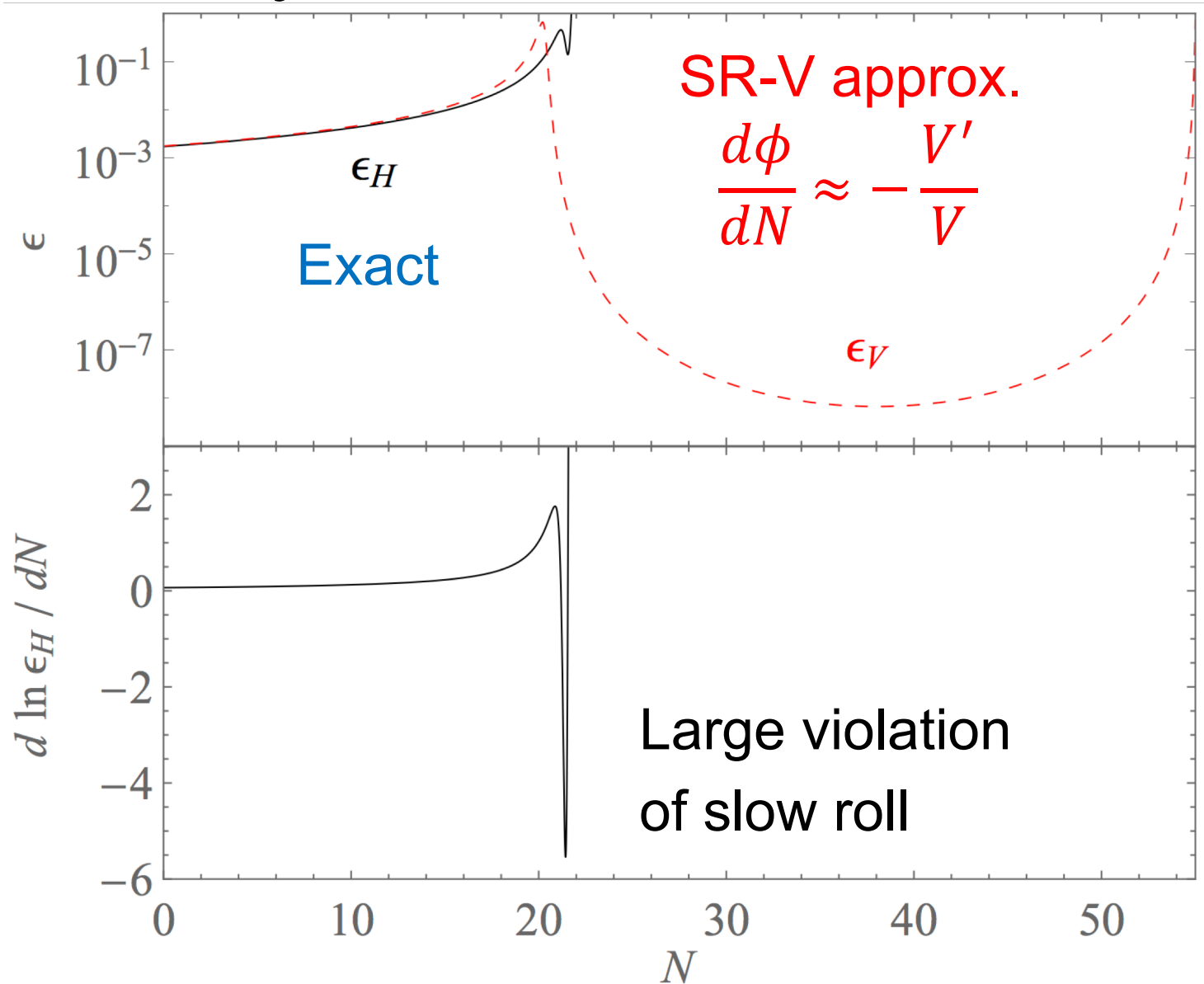
Namjoo, Hassan, Sasaki, 1210.3692
Martin, HM, Suyama, 1211.0083

- Violation of non-Gaussianity consistency relation
- Growing mode of ζ_k on superhorizon scales

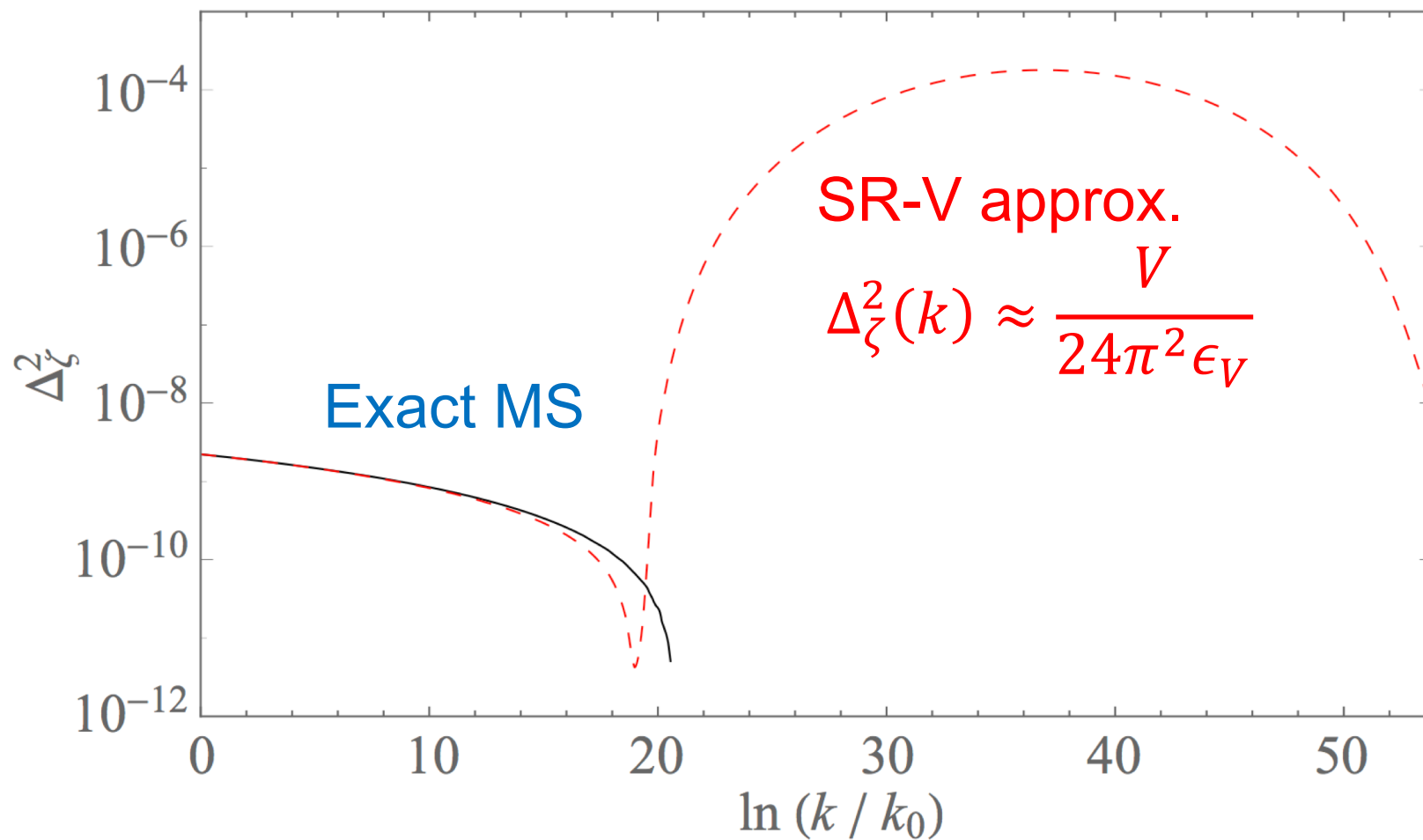
$N = 0.00$



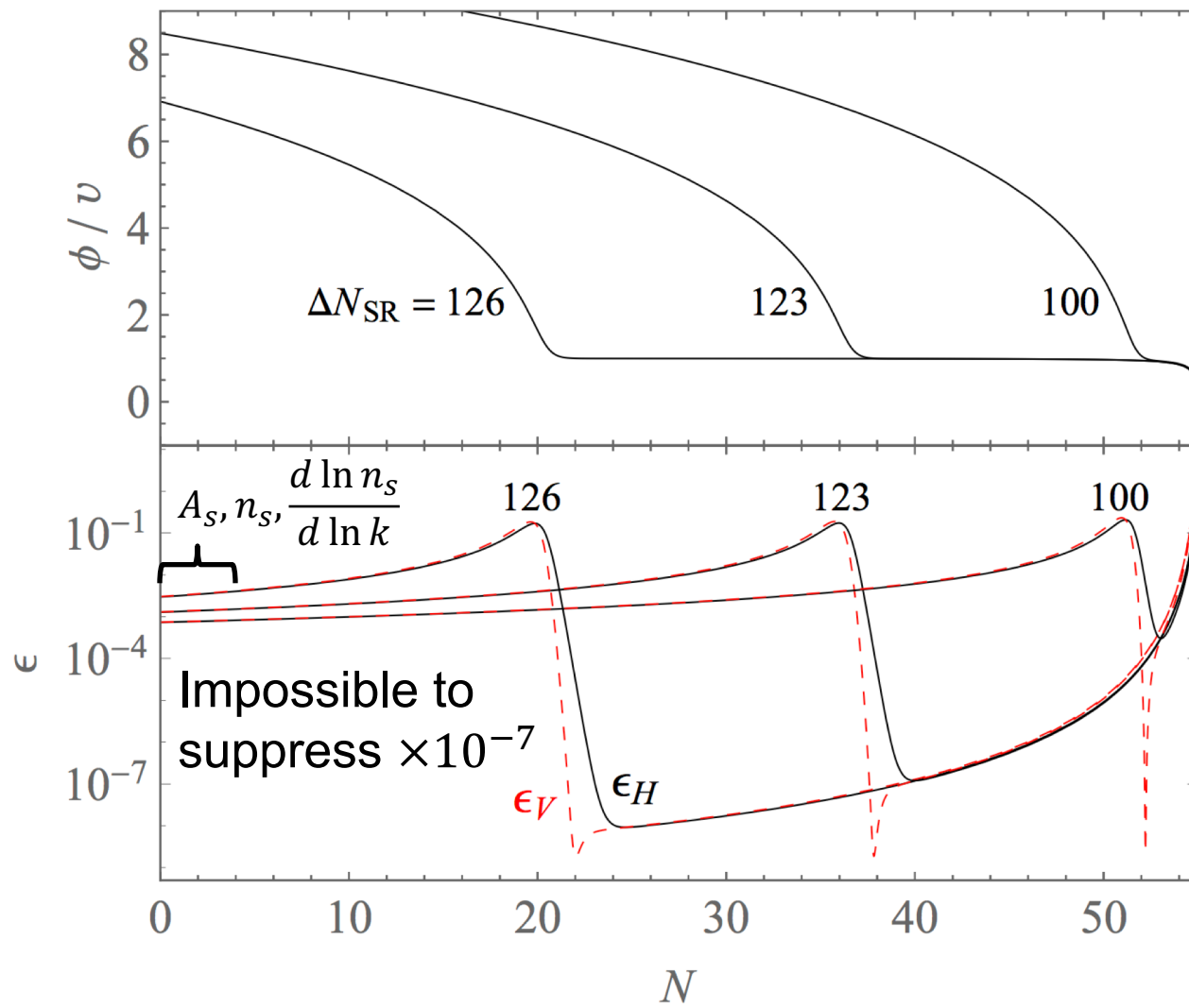
Case study 1: Inflection model



Case study 1: Inflection model



Case study 1: Inflection model



For sufficient suppression in inflection model, a fine-tuned shape of the potential is required.

Various approximations

- **SR-V** : $\Delta_{\zeta}^2 \approx \frac{V}{24\pi^2 \epsilon_V} \Big|_{k\eta=1} \Rightarrow$ **Particularly bad**
 $\epsilon_H \approx \epsilon_V, \frac{d\phi}{dN} = -\frac{V'}{V}$
- **Standard SR** : $\Delta_{\zeta}^2 \approx \frac{H^2}{8\pi^2 \epsilon_H} \Big|_{k\eta=1} \Rightarrow$ **Not good**

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■ **Standard SR** : $\Delta_{\zeta}^2 \approx \frac{H^2}{8\pi^2 \epsilon_H} \Big|_{k\eta=1} \Rightarrow$ **Not good**

■ **Optimized SR** : $\Delta_{\zeta}^2 \approx \frac{H^2}{8\pi^2 \epsilon_H} \Big|_{k\eta=x_1} \Rightarrow$ **Works well**

HM, Hu, 1503.04810, 1704.01128

- Minimize truncation error by optimization $k\eta = x_1$
- $x_1 = \frac{7}{3} - \ln 2 - \gamma_E \approx 2.89$: model independent
- Apply to general class of single-field inflation
e.g. Horndeski, GLPV, subclass of DHOST

Optimized slow-roll approximation

1. Formal solution of Mukhanov-Sasaki equation by using Green function (Generalized SR) [Stewart, astro-ph/0110322](#)

2. First order iteration

$$\ln \Delta^2(k) = - \int_0^\infty \frac{dx}{x} \underset{\substack{\uparrow \\ \text{Model-indep window function}}}{W'(x)} G(\ln x) \quad \begin{array}{l} x \equiv ks \\ \uparrow \\ \text{Sound horizon} \end{array}$$

\uparrow Source function (model)

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3. Taylor expand $G(\ln x)$ around the evaluation point $\ln x_f$

$$\ln \Delta^2(k) = G(\ln x_f) + \sum_{p=1}^{\infty} \underset{\uparrow}{q_p(\ln x_f)} G^{(p)}(\ln x_f)$$

Model-indep. polynomial

SR: $\ln x_f = 0$ horizon exit

Optimized slow-roll approximation

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$$\ln \Delta^2(k) = G(\ln x_f) + \sum_{p=1}^{\infty} \underset{\substack{\uparrow \\ \text{Model-indep. polynomial}}}{q_p(\ln x_f)} G^{(p)}(\ln x_f)$$

SR: $\ln x_f = 0$ horizon exit

4. Truncate at p and optimize $\ln x_f$ so that $q_{p+1}(\ln x_f) = 0$

OSR: $\ln x_f \neq 0$

Optimized slow-roll approximation

Consider general inflation model with feature $\sim \Delta N$

$$\ln \Delta^2(k) = G(\ln x_f) + \sum_{p=1}^{\infty} q_p(\ln x_f) G^{(p)}(\ln x_f)$$

\uparrow
 $\sim 1/\Delta N^p$

$x \equiv ks$

Optimized slow-roll approximation

Consider general inflation model with feature $\sim \Delta N$

$$\ln \Delta^2(k) = G(\ln x_f) + \sum_{p=1}^{\infty} q_p(\ln x_f) G^{(p)}(\ln x_f)$$

\uparrow
 $\sim 1/\Delta N^p$ $x \equiv ks$

■ Standard SR

$$\ln \Delta^2 = G(0) : \text{correction} = O(q_1(0)G'(0))$$

$\ln x_f = 0$: horizon exit

$$q_1(0) \approx 1.06 \quad \swarrow 1/\Delta N$$

$\simeq 0.35$ for $\Delta N \sim 3$

Optimized slow-roll approximation

Consider general inflation model with feature $\sim \Delta N$

$$\ln \Delta^2(k) = G(\ln x_f) + \sum_{p=1}^{\infty} q_p(\ln x_f) G^{(p)}(\ln x_f)$$

\uparrow
 $\sim 1/\Delta N^p$ $x \equiv ks$

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$$q_1(0) \approx 1.06 \quad \swarrow 1/\Delta N$$

$\simeq 0.35$ for $\Delta N \sim 3$

■ Optimized SR

$$\ln \Delta^2 = G(\ln x_1) : \text{correction} = O(q_2(\ln x_1)G''(\ln x_1))$$

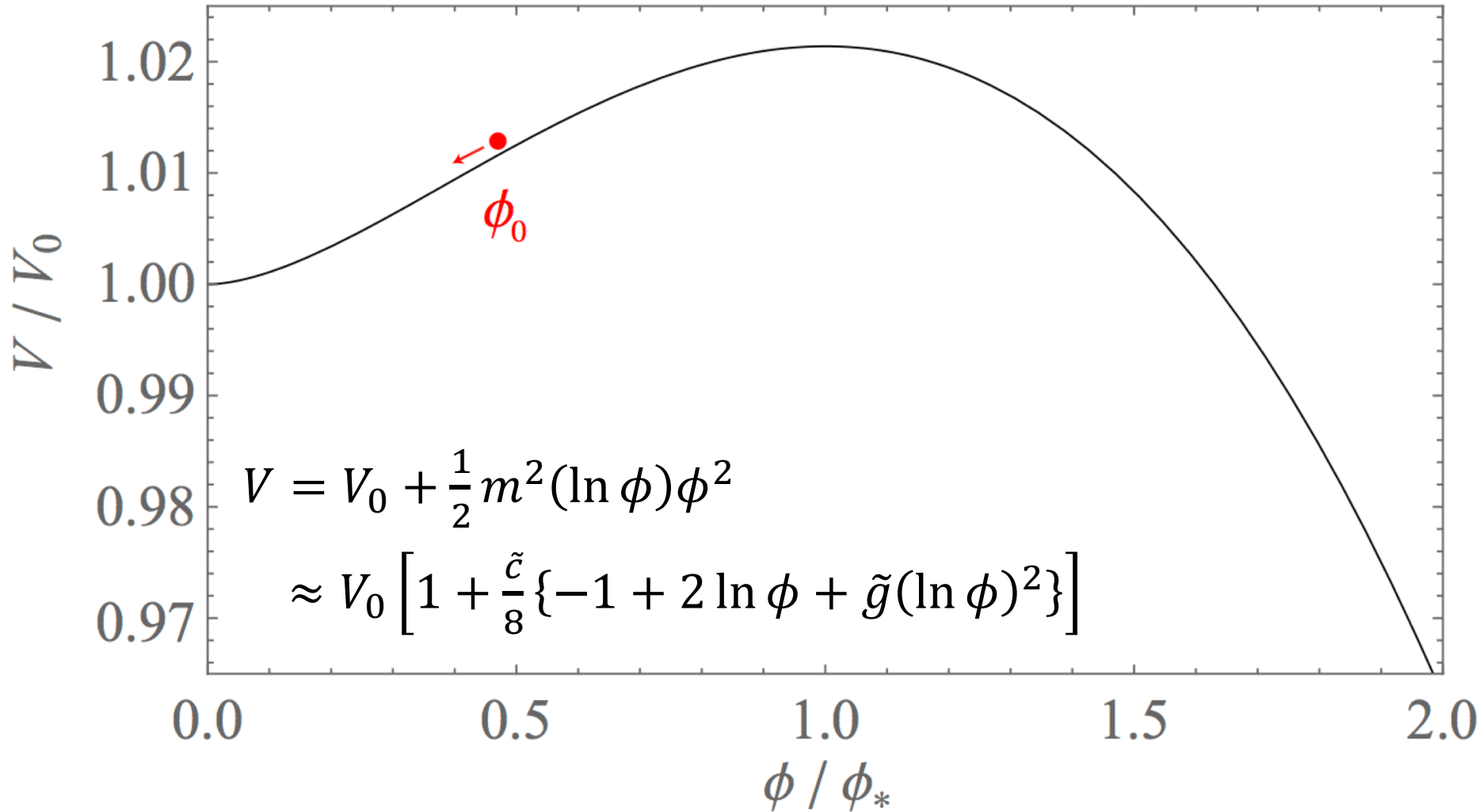
$\ln x_f = \ln x_1 \approx 1.06$ with $q_1(\ln x_1) = 0$

~ 1 efold before horizon exit

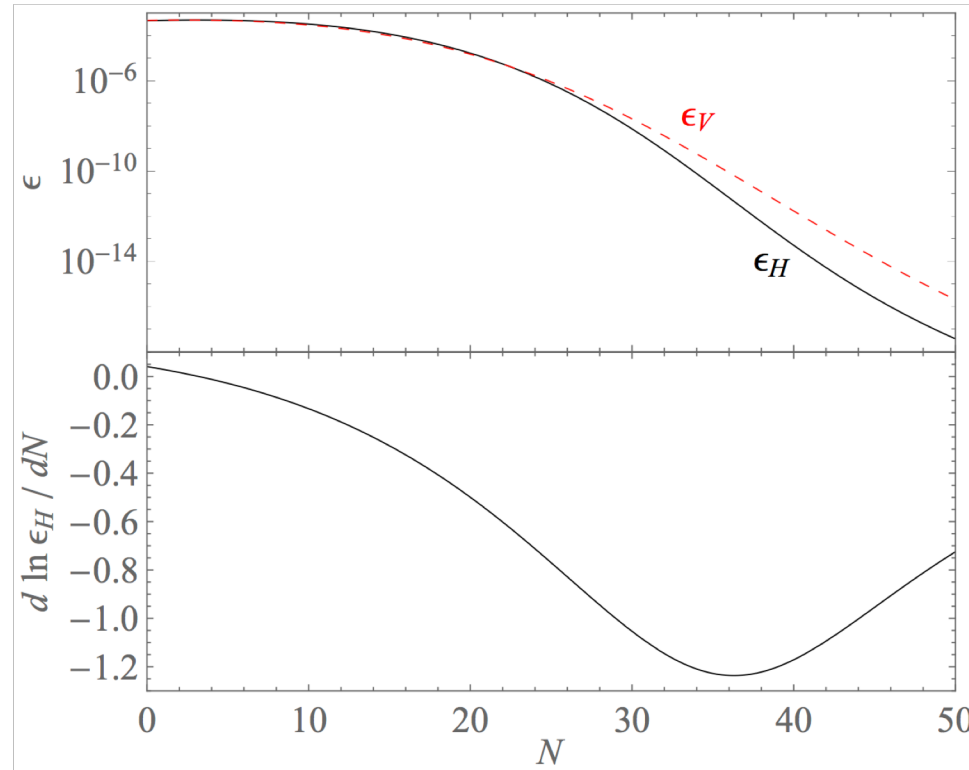
$$q_2(\ln x_1) \approx -0.36 \quad \swarrow 1/\Delta N^2$$

$\simeq 0.04$ for $\Delta N \sim 3$

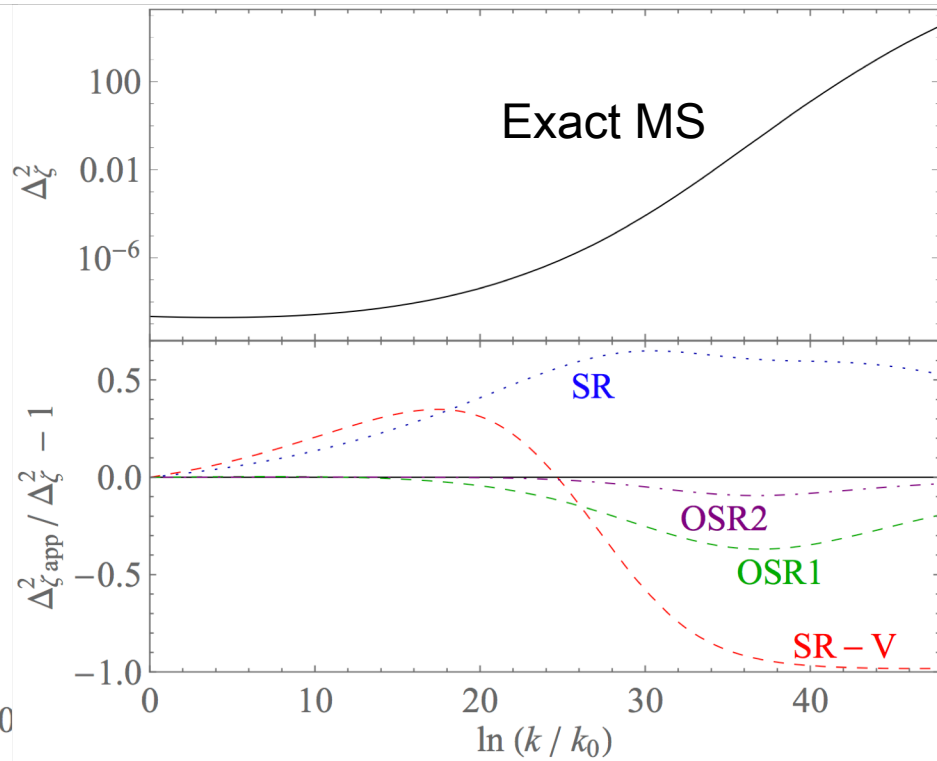
Case study 2: Running mass model



Case study 2: Running mass model



Slow-roll violation

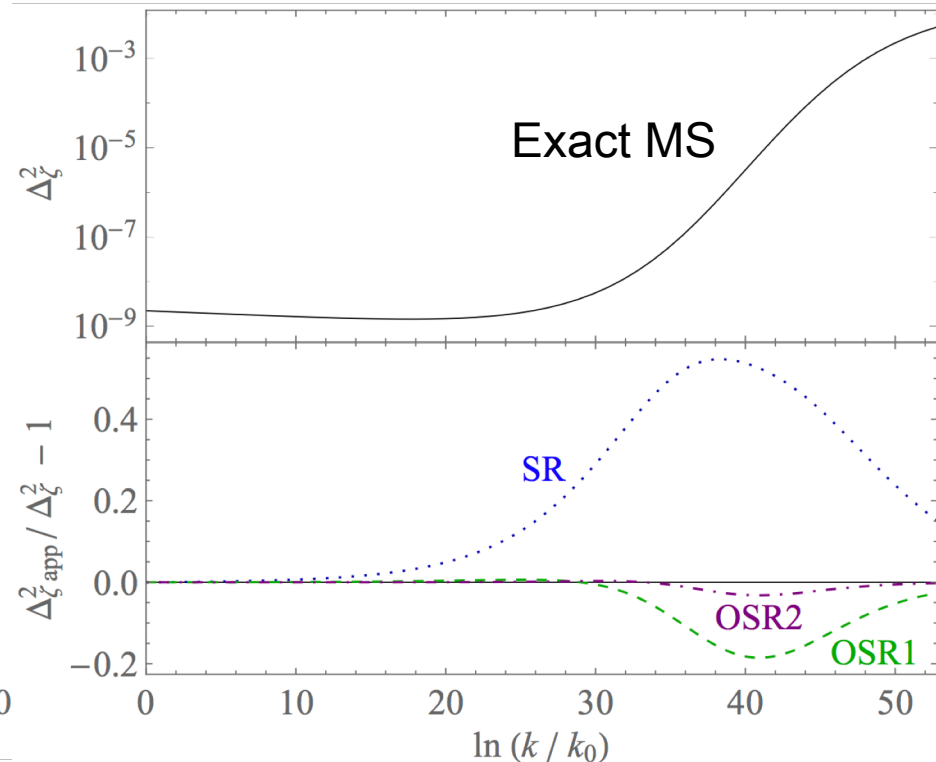
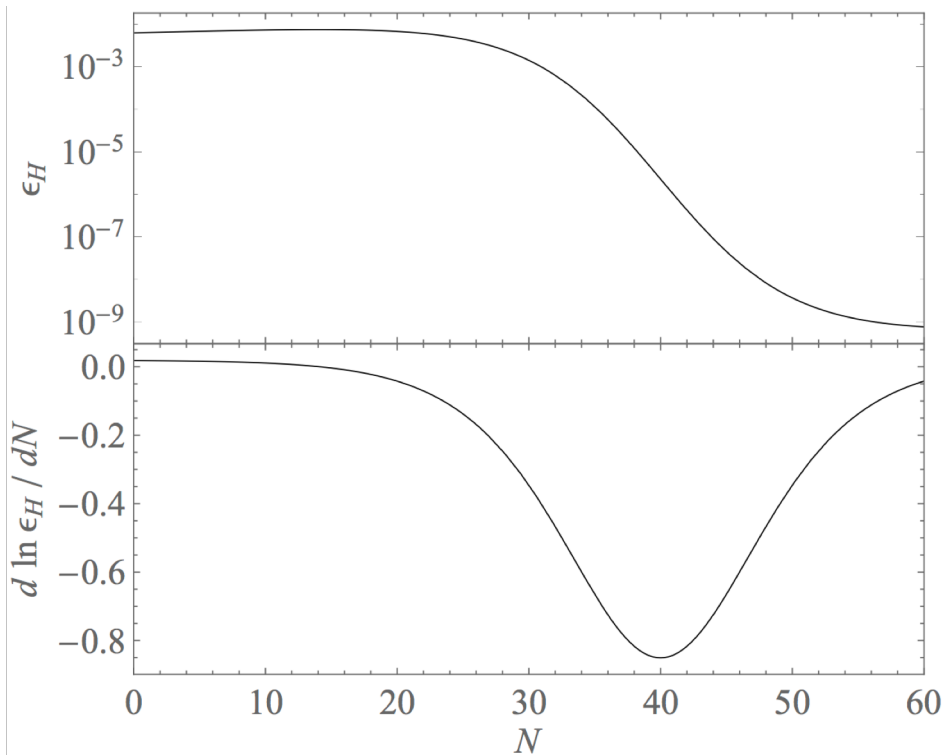


OSR still works well

Case study 3: Slow roll step model

Parametrize $\ln \epsilon_H$ directly

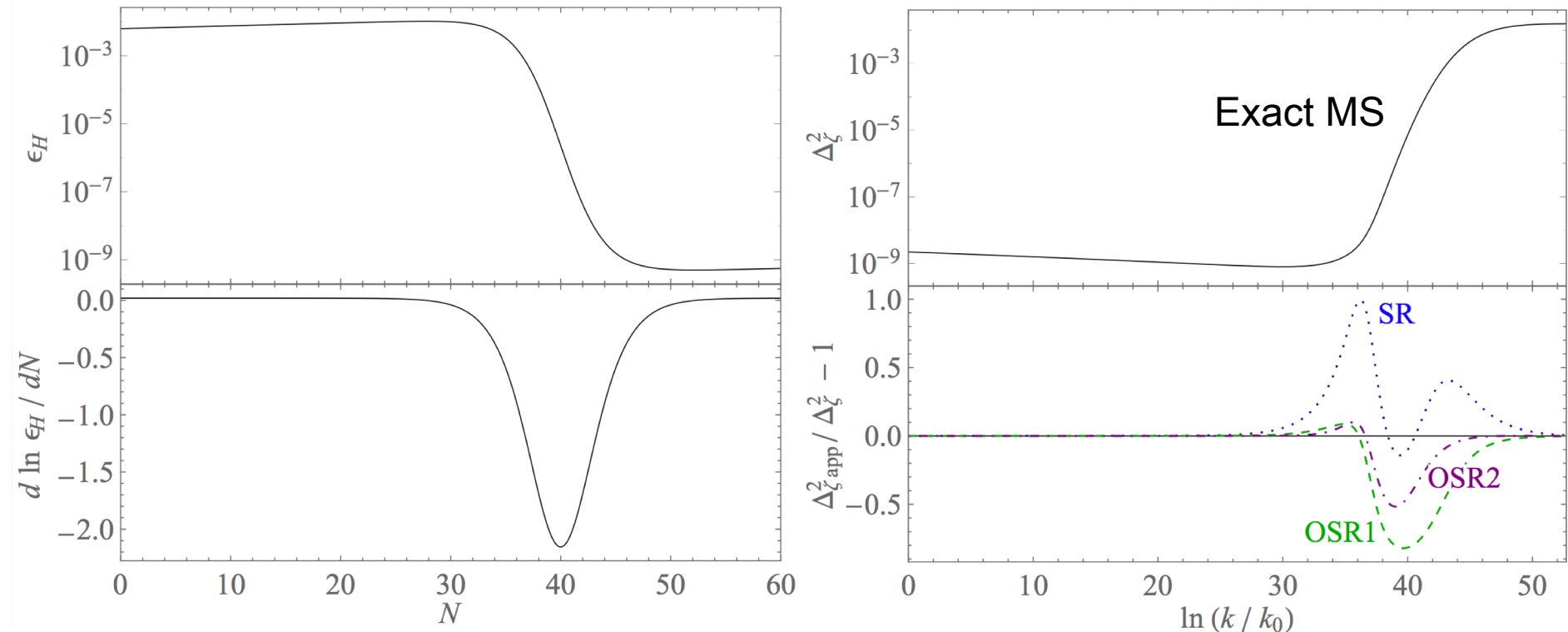
$$\ln \epsilon_H = C_1 + C_2 N - C_3 \left[1 + \tanh \left(\frac{N - N_s}{d} \right) \right]$$



Case study 3: Slow roll step model

Parametrize $\ln \epsilon_H$ directly

$$\ln \epsilon_H = C_1 + C_2 N - C_3 \left[1 + \tanh \left(\frac{N - N_s}{d} \right) \right]$$



For $\Delta N < 10$, all approximations do not work.

Summary

- No go for slow roll: HM, Hu, 1706.06784
PBH production requires **$O(1)$ slow-roll violation**
 \Rightarrow Previous analyses based on slow-roll approx.
need reconsideration.
HM, Hu, 1503.04810
1704.01128
- Improved approximation: **Optimized slow roll**
 \Rightarrow Remains a good description for models
with 10^7 amplification of Δ_{ζ}^2 in $\Delta N > 10$.
 \supset PBH = (part of) DM or GW events scenarios
Passaglia, Hu, HM, 1812.08243
- No go is robust even with **non-Gaussianity**
 \Leftarrow *Next talk by Samuel Passaglia*