Large scale configuration interaction calculations for nuclear structure

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C. W. Johnson, W. E. Ormand, and P. G. Krastev, Comp. Phys. Comm. **184**, 2761-2774 (2013)

The basic *science question* is to model detailed quantum structure of many-body systems, such the electronic structure of an atom, or structure of an atomic nucleus.

To answer this, we attempt to solve *Schrödinger's equation*:

$$\left(\sum_{i} -\frac{\hbar^2}{2m} \nabla^2 + U(r_i) + \sum_{i < j} V(\vec{r}_i - \vec{r}_j)\right) \Psi(\vec{r}_1, \vec{r}_2, \vec{r}_3...) = E \Psi$$

The basic *science question* is to model detailed quantum structure of many-body systems, such the electronic structure of an atom, or structure of an atomic nucleus.

This differential equation is too difficult to solve directly

$$\left(\sum_{i} -\frac{\hbar^2}{2m} \nabla^2 + U(r_i) + \sum_{i < j} V(\vec{r}_i - \vec{r}_j)\right) \Psi(\vec{r}_1, \vec{r}_2, \vec{r}_3 \dots) = E \Psi$$

so we use the matrix formalism

$$\hat{\mathbf{H}}|\Psi\rangle = E|\Psi\rangle$$

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so we use the matrix formalism

 $\hat{\mathbf{H}}|\Psi\rangle = E|\Psi\rangle$ Now the dimensions can be large, up to 2 x 10¹⁰!

THE GOAL OF THIS TALK...



...is to get "under the hood" of a shell-model configuration-interaction code to stimulate discussion of efficient algorithms....

THE GOAL OF THIS TALK...



In particular I will compare **"matrix storage" codes:**

- -- more straightforward
- -- requires more memory

vs. "on-the-fly" or "factorization" algorithms

- -- uses less memory
- -- more complex algorithmically

ANATOMY OF SHELL MODEL CODES

Basis: trade off between "correlated" bases which contains more correlations (physics) and thus need "fewer" basis states but are more complicated to handle and lead to slower algorithm e.g. states with good J ("J-scheme") or with known physics such as deformation

or

"uncorrelated" bases which are easier to handle -> fast algorithms but need more states to build up correlations e.g. Slater determinants with good M ("M-scheme")

ANATOMY OF SHELL MODEL CODES

Basis: trade off between "correlated" bases which contains more cor It's not our task here to but are more con and lead to slowe e.g. states with good J or with known phy

pass judgement as to which is better but to delineate strengths and weaknesses

or

"uncorrelated" bases which are easier to handle -> fast algorithms but need more states to build up correlat e.g. Slater determinants with good M ("M-sch

ANATOMY OF SHELL MODEL CODES

Hamiltonian: trade off between **"matrix storage" codes:** -- more straightforward -- requires more memory

The more correlated the basis, the more this is indicated (especially since basis dimension is smaller)

vs. "on-the-fly" or "factorization" algorithms

- -- uses less memory
- -- more complex algorithmically

Works more effectively with less correlated bases

Some Shell-Model Codes

Matrix storage: Oak Ridge-Rochester (small matrices) Glasgow-Los Alamos (M-scheme, stored on disk; introduced Lanczos) OXBASH /Oxford-MSU (J-scheme, stored on disk) MFDn/ Iowa State (M-scheme, stored in RAM; plans for J-scheme, SU(3)-scheme w/LSU) MCSM/ Tokyo (J-scheme from selected states) Importance Truncation SM/Darmstadt (M-scheme from selected states) Sym Adapted SM / LSU (J-scheme + symplectic; see T. Dytrych's talk)

Factorization: ANTOINE Strasbourg (M-scheme; originator of factorization) NATHAN Strasbourg (J-scheme) EICODE (J-scheme) NuShell/NuShellX (J-scheme) MSHELL64 / KSHELL Tokyo (M-scheme) REDSTICK+BIGSTICK/ LSU-SDSU-Livermore

THE KEY IDEAS

Basic problem: find extremal eigenvalues of very large, very sparse Hermitian matrix

Lanczos algorithm

fundamental operation is *matrix-vector multiply*

Despite sparsity, nonzero matrix elements can require TB of storage

Only a fraction of matrix elements are unique; **most are reused.** Reuse of matrix elements understood through *spectator* particles.

Reuse can be **exploited using exact factorization** enforced through *additive/multiplicative quantum numbers*

The algorithms described today are best applied to many body systems with (a)two "species" (protons and neutrons, or +1/2 and -1/2 electrons) (b)single-particle basis states with good rotational symmetry (j, m)

Find extremal eigenvalues of very large, very sparse Hermitian matrix

Lanczos algorithm

fundamental operation is *matrix-vector multiply*

we use the matrix formalism

$$\hat{\mathbf{H}} |\Psi\rangle = E |\Psi\rangle$$

$$|\Psi\rangle = \sum_{\alpha} c_{\alpha} |\alpha\rangle \qquad H_{\alpha\beta} = \langle \alpha | \hat{\mathbf{H}} |\beta\rangle$$

$$\sum_{\alpha} H_{\alpha\beta} c_{\beta} = Ec_{\alpha} \quad \text{if} \quad \langle \alpha |\beta\rangle = \delta_{\alpha\beta}$$

Find extremal eigenvalues of very large, very sparse Hermitian matrix

Lanczos algorithm

fundamental operation is *matrix-vector multiply*

$$H_{\alpha\beta} = \left\langle \alpha | \hat{\mathbf{H}} | \beta \right\rangle$$

* **H** is generally a very large matrix – dimensions up to 10^{10} have been tackled.

- * **H** is generally very sparse.
- * We usually only want a few low-lying states



Lanczos algorithm!

Find extremal eigenvalues of very large, very sparse Hermitian matrix Lanczos algorithm

fundamental operation is *matrix-vector multiply*

$$\mathbf{A}\vec{v}_{1} = \alpha_{1}\vec{v}_{1} + \beta_{1}\vec{v}_{2}$$

$$\mathbf{A}\vec{v}_{2} = \beta_{1}\vec{v}_{1} + \alpha_{2}\vec{v}_{2} + \beta_{2}\vec{v}_{3}$$

$$\mathbf{A}\vec{v}_{3} = \beta_{2}\vec{v}_{2} + \alpha_{3}\vec{v}_{3} + \beta_{3}\vec{v}_{4}$$

$$\mathbf{A}\vec{v}_{4} = \beta_{3}\vec{v}_{3} + \alpha_{4}\vec{v}_{4} + \beta_{4}\vec{v}_{5}$$

Lanczos algorithm!

Find extremal eigenvalues of very large, very sparse Hermitian matrix

Lanczos algorithm **fundamental operation is** *matrix-vector multiply*

$$\begin{aligned} \mathbf{A}\vec{v}_1 &= \alpha_1\vec{v}_1 + \beta_1\vec{v}_2 \\ \mathbf{A}\vec{v}_2 &= \beta_1\vec{v}_1 + \alpha_2\vec{v}_2 + \beta_2\vec{v}_3 \\ \mathbf{A}\vec{v}_3 &= \beta_2\vec{v}_2 + \alpha_3\vec{v}_3 + \beta_3\vec{v}_4 \\ \mathbf{A}\vec{v}_4 &= \beta_3\vec{v}_3 + \alpha_4\vec{v}_4 + \beta_4\vec{v}_5 \end{aligned}$$
matrix-vector multiply

Lanczos algorithm!

I need to quickly cover:

- How the basis states are represented
- How the Hamiltonian operator is represented
- •Why most matrix elements are zero
- Typical dimensions and sparsity

(You probably already know this, my apologies! We might still learn something.)



• How the basis states are represented

This differential equation is too difficult to solve directly $\left(\sum_{i} -\frac{\hbar^2}{2m} \nabla^2 + U(r_i) + \sum_{i < j} V(\vec{r}_i - \vec{r}_j)\right) \Psi(\vec{r}_1, \vec{r}_2, \vec{r}_3 \dots) = E\Psi$

Can only really solve 1D differential equation

$$\left(-\frac{\hbar^2}{2m}\frac{d^2}{dr^2} + U(r)\right)\phi_i(r) = \varepsilon_i\phi_i(r)$$

Usually assume spherical symmetry!

• How the basis states are represented

Can only really solve 1D differential equation

$$\left(-\frac{\hbar^2}{2m}\frac{d^2}{dr^2}+U(r)\right)\phi_i(r)=\varepsilon_i\phi_i(r) \quad \Longrightarrow \quad \left\{\phi_i(\vec{r})\right\}$$

Single-particle wave functions labeled by, *e.g.*, *n*, *j*, *l*, *m*

Atomic case: 1s, 2s, 2p, 3s, 3p, 3d etc

Nuclear: $0s_{1/2}$, $0p_{3/2}$, $0p_{1/2}$, $0d_{5/2}$, $1s_{1/2}$, $0d_{3/2}$, *etc*

• How the basis states are represented

Can only really solve 1D differential equation

$$\left(-\frac{\hbar^2}{2m}\frac{d^2}{dr^2}+U(r)\right)\phi_i(r)=\varepsilon_i\phi_i(r) \quad \Longrightarrow \quad \left\{\phi_i(\vec{r})\right\}$$

Product wavefunction ("Slater Determinant") $\Psi(\vec{r}_1, \vec{r}_2, \vec{r}_3...) = \phi_{n_1}(\vec{r}_1)\phi_{n_2}(\vec{r}_2)\phi_{n_3}(\vec{r}_3)...\phi_{n_N}(\vec{r}_N)$

- How the basis states are represented
 - Product wavefunction ("Slater Determinant")

$$\Psi(\vec{r}_{1},\vec{r}_{2},\vec{r}_{3}...) = \phi_{n_{1}}(\vec{r}_{1})\phi_{n_{2}}(\vec{r}_{2})\phi_{n_{3}}(\vec{r}_{3})...\phi_{n_{N}}(\vec{r}_{N})$$

Each many-body state can be *uniquely* determined by a list of "occupied" single-particle states = "occupation representation"

$$|\alpha\rangle = \hat{a}_{n_1}^+ \hat{a}_{n_2}^+ \hat{a}_{n_3}^+ \dots \hat{a}_{n_N}^+ |0\rangle$$

• How the basis is represented

"occupation representation"

$$|\alpha\rangle = \hat{a}_{n_1}^{+} \hat{a}_{n_2}^{+} \hat{a}_{n_3}^{+} \dots \hat{a}_{n_N}^{+} |0\rangle$$

n _i	1	2	3	4	5	6	7
α=1	1	0	0	1	1	0	1
α=2	1	0	1	0	0	1	1
α=3	0	1	1	1	0	1	0

Convenient for digital computers!

• How the basis is represented some technical details: $|\alpha\rangle = \hat{a}_{n_1}^+ \hat{a}_{n_2}^+ \hat{a}_{n_3}^+ \dots \hat{a}_{n_N}^+ |0\rangle$ the "M-scheme"

Because J_z commutes with H, we can use a basis with M fixed = "M-scheme"

For any Slater determinant, the total M = sum of the m_1 's, making construction of an M-scheme basis *easy*.

(In general, any J-scheme basis state is a sum of M-scheme states – or a projection integral which is also a sum)

• How the Hamiltonian is represented

"occupation representation"

$$\frac{|\alpha\rangle = \hat{a}_{n_1}^+ \hat{a}_{n_2}^+ \hat{a}_{n_3}^+ \dots \hat{a}_{n_N}^+ |0\rangle}{|\alpha|^2}$$

n _i	1	2	3	4	5	6	7
α=1	1	0	0	1	1	0	1
α=2	1	0	1	0	0	1	1
α=3	0	1	1	1	0	1	0

$$\hat{H} = \sum_{ij} T_{ij} \hat{a}_i^{\dagger} \hat{a}_j + \frac{1}{4} \sum_{ijkl} V_{ijkl} \hat{a}_i^{\dagger} \hat{a}_j^{\dagger} \hat{a}_l \hat{a}_k$$

• How the Hamiltonian is represented



$$\hat{a}_3^+ \hat{a}_6^+ \hat{a}_4 \hat{a}_5 |\alpha = 1\rangle = |\alpha = 2\rangle$$

• How the Hamiltonian is represented



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$$= \hat{a}_{n_1}^+ \hat{a}_{n_2}^+ \hat{a}_{n_3}^+ \dots \hat{a}_{n_N}^+ |0\rangle$$
7

$$\hat{a}_2^+ \hat{a}_4^+ \hat{a}_1 \hat{a}_7 | \alpha = 2 \rangle = | \alpha = 3 \rangle$$

α=2

α=3

•Why most matrix elements are zero



$$\hat{a}_{2}^{+}\hat{a}_{4}^{+}\hat{a}_{6}^{+}\hat{a}_{1}\hat{a}_{5}\hat{a}_{7}|\alpha=1\rangle = |\alpha=3\rangle$$
 need 3 particles to interact simultaneously!

• Typical dimensions and sparsity

Nuclide	valence space	valence Z	valence N	basis dim	sparsity (%)
20 Ne	"sd"	2	2	640	10
^{25}Mg	"sd"	4	5	44,133	0.5
⁴⁹ Cr	"pf"	4	5	6M	0.01
⁵⁶ Fe	"pf"	6	10	$500\mathbf{M}$	2x10-4
					7

This corresponds to 2 Tb of data!

A PROBLEM....

Despite sparsity, nonzero matrix elements can require TB of storage

Nuclide	Space	Basis dim	matrix store
⁵⁶ Fe	pf	501 M	3.5 Tb
⁷ Li	N _{max} =12	252 M	3.6 Tb
⁷ Li	N _{max} =14	1200 M	23 Tb
¹² C	N _{max} =6	32M	0.2 Tb
¹² C	N _{max} =8	590M	5 Tb
¹² C	N _{max} =10	7800M	111 Tb
¹⁶ O	N _{max} =6	26 M	0.14 Tb
¹⁶ O	N _{max} =8	990 M	9.7 Tb

Spread nonzero matrix elements over many MPI compute nodes:

A SPARSE MATRIX, BUT....

Despite sparsity, nonzero matrix elements can require TB of storage



(Cornelius Lanczos)

My algorithm is ideal as one can use sparse matrix-vector multiplication

That's true, but there is more to the story...



RECYCLED MATRIX ELEMENTS

Only a fraction of matrix elements are unique; **most are reused.** Reuse of matrix elements understood through *spectator* particles.



All of these have the same matrix element: V_{4538}

RECYCLED MATRIX ELEMENTS

Only a fraction of matrix elements are unique; **most are reused.**

Reuse of matrix elements understood through *spectator* particles.

of nonzero matrix elements vs. # unique matrix elements

Nuclide	valence space	valence Z	valence N	# nonzero	# unique
$^{28}\mathrm{Si}$	"sd"	6	6	$26 \ge 10^{6}$	3600
⁵² Fe	"pf"	6	6	$90 \ge 10^9$	21,500

Atom	space	# nonzero	# unique
Be	CVB3	$110x10^{6}$	521,000
В	CVB2	1.4×10^9	379,000
С	CVB1	260×10^{6}	40,751

Reuse can be **exploited using exact factorization** enforced through *additive/multiplicative quantum numbers*

A quantum number is the eigenvalue of an operator

For composite systems, one can apply the operator to each component separately:

$$\hat{O}|\Psi\rangle = (\hat{O}_1 + \hat{O}_2 + \hat{O}_3 + \ldots)(|\Psi_1\rangle \otimes |\Psi_2\rangle \otimes |\Psi_3\rangle \otimes \ldots)$$

Sometimes the total quantum number is a simple sum/product as is the case for \mathbf{J}_z or parity....

$$\hat{J}_{z}|\Psi\rangle = M|\Psi\rangle = (m_{1} + m_{2} + m_{3} + \ldots)|\Psi\rangle$$

...but in other cases the addition is complicated (e.g. for \mathbf{J}^2)

Reuse can be **exploited using exact factorization**

enforced through *additive/multiplicative quantum numbers*

I consider composite many-fermion systems, in particular those with 2 major components *protons* and *neutrons*

or

spin-up and *spin-down* electrons

$$\left|\Psi\right\rangle = \left|\Psi_{1}\right\rangle \otimes \left|\Psi_{2}\right\rangle$$

Each component itself is a Slater determinant which is composed of many particles

$$\hat{J}_{z} |\Psi\rangle = M |\Psi\rangle \qquad M = M_{1} + M_{2}$$
$$M_{1} = m_{1}^{(1)} + m_{1}^{(2)} + m_{1}^{(2)} + \dots$$

Reuse can be **exploited using exact factorization**

enforced through *additive/multiplicative quantum numbers*

Because the M values are discrete integers or half-integers (-3, -2, -1, 0, 1, 2, ... or -3/2, -1/2, +1/2, +3/2...) we can organize the basis states in discrete *sectors*

Example: 2 protons, 4 neutrons, total M = 0

$$M_{z}(\pi) = -4 \qquad M_{z}(v) = +4$$

$$M_{z}(\pi) = -3 \qquad M_{z}(v) = +3$$

$$M_{z}(\pi) = -2 \qquad M_{z}(v) = +2$$

Reuse can be **exploited using exact factorization**

enforced through *additive/multiplicative quantum numbers*

In fact, we can see an example of factorization here because all proton Slater determinants in one M-sector *must* combine with all the conjugate neutron Slater determinants

Example: 2 protons, 4 neutrons, total M = 0

$$M_z(\pi) = -4: 2 \text{ SDs}$$
 $M_z(v) = +4: 24 \text{ SDs}$
 48 combined

 $M_z(\pi) = -3: 4 \text{ SDs}$
 $M_z(v) = +3: 39 \text{ SDs}$
 156 combined

 $M_z(\pi) = -2: 9 \text{ SDs}$
 $M_z(v) = +2: 60 \text{ SDs}$
 540 combined
Reuse can be **exploited using exact factorization**

enforced through *additive/multiplicative quantum numbers*

In fact, we can see an example of factorization here because all proton Slater determinants in one M-sector *must* combine with all the conjugate neutron Slater determinants

M _z (π) = -4: 2 SDs	M _z (v) = +4: 24 SDs	48 combined
$egin{array}{c} \pi_1 angle \ \pi_2 angle ightarrow ightarrow $	$egin{array}{c c} v_1 angle \ v_2 angle \ v_3 angle \ v_4 angle \ dots \ v_{24} angle \end{array}$	$egin{aligned} & \pi_1 angle & u_1 angle \ & \pi_2 angle & u_1 angle \ & \pi_1 angle & u_2 angle \ & \pi_2 angle & u_2 angle \ &dots &d$

37

Reuse can be **exploited using exact factorization**

enforced through *additive/multiplicative quantum numbers*

$ \alpha\rangle = \alpha_p\rangle \times \alpha_n\rangle$		Exa	Example N = Z nuclei		
		Nuclide	Basis dim	<u># pSDs (=#nS</u>	<u>Ds)</u>
	Neutron SDs	²⁰ Ne	640	66	
		²⁴ Mg	28,503	495	
•		²⁸ Si	93,710	924	
on SDs		⁴⁸ Cr	1,963,461	4895	
Prote		⁵² Fe	109,954,620	38,760	
		⁵⁶ Ni	1,087,455,228	125,970	

Reuse can be **exploited using exact factorization**

enforced through *additive/multiplicative quantum numbers*

Factorization allows us to keep track of all basis states without writing out every one explicitly -- we only need to write down the proton/neutron components

The same trick can be applied to matrix-vector multiply



Reuse can be **exploited using exact factorization**

enforced through *additive/multiplicative quantum numbers*



There are potentially 48×48 matrix elements But for H_{pp} at most 4×24 are nonzero and we only have to look up 4 matrix elements

Advantage: **we can store 98 matrix elements as 4 matrix elements** and avoid 2000+ zero matrix elements.

Reuse can be **exploited using exact factorization**

enforced through *additive/multiplicative quantum numbers*

 $M_{z}(\pi) = -4: 2 \text{ SDs} \qquad M_{z}(v) = +4: 24 \text{ SDs} \qquad 48 \text{ combined}$ $\begin{vmatrix} v_{1} \rangle \\ |v_{2} \rangle \\ |\pi_{2} \rangle \qquad H_{pp} = \begin{pmatrix} H_{11} & H_{12} \\ H_{21} & H_{22} \end{pmatrix} \qquad \begin{vmatrix} v_{3} \rangle \\ |v_{4} \rangle \\ \vdots \\ |v_{24} \rangle \end{vmatrix}$

Advantage: **we can store 98 matrix elements as 4 matrix elements** and avoid 2000+ zero matrix elements.

Reuse can be **exploited using exact factorization**

enforced through *additive/multiplicative quantum numbers*

M _z (π) = -4: 2 SI	Ds	$M_{z}(v) = +4:$	24 SDs	48 combined	
$ \begin{aligned} \left \boldsymbol{\pi}_{1} \right\rangle \\ \left \boldsymbol{\pi}_{2} \right\rangle \end{aligned} \qquad H_{pp} = \begin{pmatrix} H_{11} \\ H_{21} \end{pmatrix} \end{aligned} $	$ \begin{array}{c} H_{12} \\ H_{22} \end{array} \end{array} $	$egin{array}{c c} v_1 & H \ v_2 & H \ v_3 & H \ v_4 & H \ v_{24} & H \ h \ h \end{array}$	$egin{aligned} & V_{pp} \pi_1 angle u_1 angle = \ & V_{pp} \pi_2 angle u_1 angle = \ & V_{pp} \pi_1 angle u_2 angle = \ & V_{pp} \pi_2 angle u_2 angle = \ & V_{pp} \pi_2 angle u_2 angle = \ & V_{pp} u_2 ang$	$= H_{11} \pi_1\rangle \nu_1\rangle + H_{12}$ $= H_{12} \pi_1\rangle \nu_1\rangle + H_2$ $= H_{11} \pi_1\rangle \nu_2\rangle + H_1$ $= H_{12} \pi_1\rangle \nu_2\rangle + H_2$ $= H_{11} \pi_1\rangle \nu_2\rangle + H_2$	$egin{aligned} & \pi_2 angle & u_1 angle \ & _2 \pi_2 angle & u_1 angle \ & _2 \pi_2 angle & u_2 angle \ & u_2 angle & u_2 angle \ & u_2 angle & $

Advantage: we can store 98 matrix elements as 4 matrix elements and avoid 2000+ zero matrix elements.

Reuse can be **exploited using exact factorization**

enforced through *additive/multiplicative quantum numbers*

Comparison of nonzero matrix storage with factorization

Nuclide	Space	Basis dim	matrix store	factorization
⁵⁶ Fe	pf	501 M	3500 Gb	0.72 Gb
⁷ Li	N _{max} =12	252 M	3800 Gb	61 Gb
⁷ Li	N _{max} =14	1200 M	23 Tb	624 Gb
¹² C	N _{max} =6	32M	196 Gb	3.3 Gb
¹² C	N _{max} =8	590M	5000 Gb	65 Gb
¹² C	N _{max} =10	7800M	111 Tb	1.4 Tb
¹⁶ O	N _{max} =6	26 M	142 Gb	3.0 Gb
¹⁶ O	N _{max} =8	990 M	9700 Gb	130 Gb

Comparison of nonzero matrix storage with factorization

⁷Li

Space	Basis dim	matrix store (2-body)	factorization (2-body)	matrix store (3-body)	factorization (3-body)
N _{max} =8	6 M	36 Gb	1.5 Gb	1 Tb	26 Gb
N _{max} =10	43 M	430 Gb	10 Gb	170 Tb	250 Gb
N _{max} =12	250 M	4 Tb	60 Gb		

Space	Basis dim	matrix store (2-body)	factorization (2-body)	matrix store (3-body)	factorization (3-body)
N _{shell} =3	0.4 M	0.8 Gb	6 Mb	10 Gb	44 Mb
N _{shell} =4	45 M	330 Gb	0.3 Gb	9 Tb	4 Gb
N _{shell} =5	2 G	38 Tb	16 Gb	2 Pb	140 Gb
N _{shell} =6	50 G	2 Pb	87 Gb	170 Pb	3 Tb

PARALLEL IMPLEMENTATION

Factorization makes it easier to compute workload and distribute across multiple nodes



EXECUTIVE SUMMARY ON THE BIGSTICK CODE

Many-fermion code: 2nd generation after REDSTICK code (started in *Baton Rouge, La*.)

Uses "factorization" algorithm: Johnson, Ormand, and Krastev, Comp. Phys. Comm. **184**, 2761(2013)

Arbitrary single-particle radial waveforms Allows local or nonlocal two-body interaction **Three-body forces implemented and validated** Applies to both nuclear and atomic cases

Runs on both desktop and parallel machines <u>--can run at least dimension 300M+ on desktop</u> <u>--has done *dimension 20 billion*+ on supercomputers</u>

Inline calculations of one-body density matrices, single-particle occupations,

(+ options to compute strength functions via Lanczos trick, etc.) Will add 2-body non-scalar transition operators later this year

45 kilolines of code Fortran 90 + MPI + OpenMP

PARALLEL IMPLEMENTATION – LATEST DEVELOPMENTS

Over the past year we have dramatically improved our parallel performance (mostly through better use of MPI) due to Ken McElvain, UC Berkeley grad student



PARALLEL IMPLEMENTATION – LATEST DEVELOPMENTS Strong scaling



PARALLEL IMPLEMENTATION – LATEST DEVELOPMENTS



pf shell nuclides (200 iterations) 800 MPI procs x 12 OpenMP threads

LLNL Sierra

V 7.4.3 Feb 2015

PARALLEL IMPLEMENTATION – LATEST DEVELOPMENTS



PARALLEL IMPLEMENTATION – LATEST DEVELOPMENTS Strong scaling



PARALLEL IMPLEMENTATION – LATEST DEVELOPMENTS



PARALLEL IMPLEMENTATION – LATEST DEVELOPMENTS

Science runs! Dark matter scattering cross-sections



RECENT WORK

Pushing to larger cases

We have gone to dim 20 billion on 512 MPI nodes!

 112 Ba with 100 Sn core: $2s_{1/2}$ -1d_{3/2}-1d_{5/2}-0g_{7/2}-0h_{11/2} valence space LLNL Sierra 512 MPI processes with 24 Gb & 12 OpenMP threads/proc 2 Lanczos iterations took < 1 hr

Nonzero matrix elements require ~ 130 Tb = 5400 nodes

We plan (hope?) to go to dim ~ 100 billion in the next year

In general shell-model configuration interaction codes have three components:

- Set-up
- Matrix-vector multiply
- Linear algebra (reorthogonalization) (Lanczos algorithm)

The Lanczos part has fixed costs due to floating point operations and one can only distribute the work efficiently

The set-up can be expensive; in MFDn and related codes it takes a large fraction of the total time, as finding 10^{-6} nonzeros is nontrivial

What takes time are *sorts* and *searches* Factorization speeds this up by reducing the lengths of lists to sorted and searched



We can combine these half Slater determinants into a "full" Slater determinant, in the same way that we combined proton and neutron Slater determinants into the final many-body basis.

<u>Nuclide</u>	Basis dim	<u> </u>	# half Slater Determinants
²⁰ Ne	640	66	22
²⁴ Mg	28,503	495	57
²⁸ Si	93,710	924	64
⁴⁸ Cr	1,963,461	4895	386
⁵² Fe	109,954,620	38,760	848
⁵⁶ Ni	1,087,455,228	125,970	1,013

Sample numbers:

Nuclide	Basis dim	# pSDs	<u># half Slater Determin</u>	<u>ants</u>
¹² C (4hw)	1.1 M	33,475	5448	
¹² C (6hw)	32.6 M	381,159	40,247	
¹² C (8hw)	594 M	2.9 M	232,553	
¹⁶ O (8hw)	996 M	5M	497,493	

Note that while all proton and neutron SDs have the same particle number, we build SDs from half Slaters with differing # of particles (but the sum is fixed—just another quantum number).

This leads to another innovation.

The fundamental operation on half-Slaters is not jumps but "hops" which are single-particle creation/annihilation.

This turns out to be natural, easy, and quick.

Half-Slaters are generated recursively:

$N_{h} = 0:$	000000			
$N_{h} = 1:$	100000	010000	001000	000100
N _h = 2:	110000 101000 100100 100010 100001	011000 010100 010010 010001	001100 001010 001001	000110 000101

Each half-Slater has a fixed number of *destruction hops*: it takes only a very short search to find the final half-Slater:

 $N_{h} = 0$: $N_{\rm h} = 1$: 001000 000100 001100 000110 $N_{\rm h} = 2$: 001010 000101

Finding all the *creation hops* is even easier, because we just reverse the destruction hops:

Like the number of half-Slaters, the number of hops is small

²⁸Si: 192 hops

⁵²Fe: 3820 hops

¹²C (6hw): 171,409 hops

¹²C (8hw): 1,061,255 hops

Using hops we can build arbitrary operations : 1-body jumps, 2-body jumps, 3-body jumps, spectroscopic factors, etc, all using the same underlying structure.

Using half-Slater determinants speeds up basis construction by 3x-4x, and jump construction by 10x



"It's not enough to just show up. You have to have a business plan."

APPLICATIONS

ab initio Gamow-Teller transitions:

the search for quenching

Part IIb: *ab initio* Gamow-Teller transitions

- Gamow-Teller important for weak physics, astrophysics
- Avoids dependence on radial wavefunctions (at lowest order); mostly SU(4) irreps; Ikeda sum rule strong constraint
- Consistent quenching of coupling—exchange currents, or what?
- What about 0-neutrino double-beta decay?

Two recent highlights:

Anomalously long ¹⁴C half-life (Maris, Vary, Navratil, Ormand, Nam, Dean) Phys. Rev. Lett. 106, 202502 (2011): 'accidental' cancellation of matrix elements driven by 3-body force

Exchange current corrections from EFT (quenching of about 0.8): S. Vaintraub, N. Barnea, and D. Gazit, Phys. Rev. C **79**, 065501 (2009); J. Menendez, D. Gazit, and A. Schwenk, Phys. Rev. Lett **107**, 062501 (2011)



Preliminary!

Chiral 2-body forces SRG evolved to λ =2 f



Preliminary!

(Run on desktop machine with BIGSTICK)



Preliminary!



Preliminary!

Need to run higher N_{max} (on supercomputers) but ...

Despite being a "simple" operator, transition matrix elements of Gamow-Teller ($\sigma\tau$) do not have simple behavior:

- Some transitions quickly converge as we go up in N_{max}, others not
- Should be investigated by doing L-S/SU(4) decomposition
- Effect of 3-body forces likely important
- More work on chiral EFT exchange forces should be done
- Likely strong implications for $0\nu \beta\beta$ matrix elements...

APPLICATIONS

Ab initio E1 response

and

the Brink-Axel hypothesis

Transitions and the Brink-Axel hypothesis

+ Michael K. G. Kruse (LLNL), W. Erich Ormand (LLNL), and Micah Schuster (SDSU)

Brink-Axel hypothesis (D. Brink, D. Phil. thesis, Oxford University (unpublished), 1955; P. Axel, Phys. Rev. **126**, 671 (1962)):

If the ground state has a giant dipole resonance (GDR), then excited states should have GDR

and

because the GDR is a collective proton-versus-neutrons oscillations, the GDR should be insensitive to the initial state.

$$S(E_i, E_x) = \sum_f |\langle f | \hat{T} | i \rangle | \delta(E_x - E_f + E_i)$$

"Transition strength function"

Brink-Axel: "S(E_i, E_x) independent of E_i "
Kruse, Ormand, and Johnson: arXiv:1502:03464



B(E1) strength with increasing basis size





Kruse, Ormand, and Johnson: arXiv:1502:03464







* Some evidence to the contrary (with Gamow-Teller operator): Frazier, Brown, Millener, and Zelevinsky, Phys. Lett B **414**, 7 (1997); Misch, Fuller, and Brown, PRC 90, 065808 (2014)

The total strength (or non-energy-weighted sum rule) can be computed as a simple expectation value

Looks like large fluctuations about the average; can we characterize / quantify this?

$$S_0(E_i) = \int S(E_i, E_x) dE_x = \sum_f |\langle f | \hat{T} | i \rangle| = \langle i | \hat{T}^+ T | i \rangle$$

The total strength (or non-energy-weighted sum rule) $\int S(E_i, E_x) dE_x = \sum |\langle f | \hat{T} | i \rangle| = \langle i | \hat{T}^+ T | i \rangle$ $^{23}Na < s^2 >$ 6 5 total strength 3 2 1 0 -80 E_i (MeV) -60 -20

Average expectation value is just a trace!

$$\langle \mathcal{O} \rangle = \frac{1}{N} \sum_{i} \langle i | \mathcal{O} | i \rangle = \frac{1}{N} tr \left(\mathcal{O} \right)$$



Average expectation value is just a trace!

$$\langle \hat{O} \rangle = \frac{1}{N} \sum_{i} \langle i | O | i \rangle = \frac{1}{N} tr (\hat{O})$$

(Linear) energy dependence is *also* a trace!

$$\frac{1}{N}\sum_{i}E_{i}\langle i|O|i\rangle = \frac{1}{N}\sum_{i}\langle i|OH|i\rangle = \frac{1}{N} tr \left(\mathcal{O}H\right)$$

Slope is given by < OH > - < O > < H >



Average expectation value is just a trace!

$$\langle \hat{O} \rangle = \frac{1}{N} \sum_{i} \langle i | O | i \rangle = \frac{1}{N} tr (\hat{O})$$

(Linear) energy dependence is *also* a trace!

$$\frac{1}{N}\sum_{i}E_{i}\langle i|O|i\rangle = \frac{1}{N}\sum_{i}\langle i|OH|i\rangle = \frac{1}{N} tr (OH)$$

From this we can derive the secular behavior of expectation values

N





sd shell, Gamow-Teller



p-s $d_{5/2}$ shell, isovector E1



What about as we go to extreme isospin?



sd shell, isoscalar M1



sd shell, isovector M1



p-sd_{5/2} shell, isovector E1



What we do learn from this?

The generalized Brink-Axel hypothesis (for arbitrary operators) is *wrong*!

- -- total strength evolves with initial (parent) energy
- -- significant fluctuations even for nearby parent states

We can understand this through *spectral distribution theory*,

that is,

traces of operators (weighted by the energy);

A lack of energy dependence can occur *only* if

< O H > - < O > < H > = 0



Also (unsurprisingly) isovector transitions show more evolution as we go to extreme isospin The generalized Brink-Axel hypothesis (for arbitrary operators) is *wrong*! -- total strength evolves with initial (parent) energy -- significant fluctuations even for nearby parent states

We can understand this through *spectral distribution theory*,

that is,

traces of operators (weighted by the energy);

A lack of energy dependence can occur *only* if

< O H > - < O > < H > = 0



APPLICATIONS

Spin-orbit decomposition of *ab initio* nuclides C. W. J, Phys. Rev. C **91**, 034313 (2015).









(Niels Bohr) (E. Schrodinger)

...but crucial in nuclear physics...



(Maria Goeppert-Mayer)

<u>j-j versus L-S</u>

Nuclei:						
Nuclide	Model space	Interaction	g.s. =	J=5/2		
⁴⁸ Ca	pf	KB3G	90 % (Of _{7/2}) ⁸	J=1/2		
²⁴ O	sd	USDB	91% (0d $_{5/2}$) ⁶ (1s $_{\frac{1}{2}}$) ²	J=3/2		
²² O	sd	USDB	75% (0d _{5/2}) ⁶			
⁸ He	р	Cohen-	53 % (0p _{3/2}) ⁴	J=1/2		
		Kurath		Oh no! I guess there		
Nuclide	Model space	Interaction	g.s. =	is a lot of		
³² S	sd	USDB	29 % (Od $_{5/2}$) ¹² (1s $_{\frac{1}{2}}$) ⁴	configuration mixing!		
²⁸ Si	sd	USDB	21% (0d _{5/2}) ¹²			
¹² C	р	Cohen- Kurath	37% (0p _{3/2}) ⁸			

(Maria Goeppert-Mayer)

Let's see if there is a simpler picture, such as L-S coupling.

Nuclide	Model space	Interaction	g.s. =	g.s. =
⁴⁸ Ca	pf	KB3G	90 % (Of _{7/2}) ⁸	20% L = 0
²⁴ O	sd	USDB	91% (0d _{5/2}) ⁶ (1s _½) ²	34% L = 0
²² O	sd	USDB	75% (0d _{5/2}) ⁶	38% L = 0
⁸ He	р	Cohen-Kurath	53 % (0p _{3/2}) ⁴	96% L = 0
³² S	sd	USDB	29 % (0d _{5/2}) ¹² (1s _½) ⁴	34% L = 0
²⁸ Si	sd	USDB	21% (0d _{5/2}) ¹²	36% L = 0
¹² C	р	Cohen-Kurath	37% (0p _{3/2}) ⁸	82% L = 0

This illustrates a (once) well-known fact: that L-S coupling is a better approximation in the *p*-shell than *j*-*j* coupling.



Let's now do L-S decomposition of *ab initio p*-shell wavefunctions

Why?

-- To see if this pattern holds for *ab initio* interactions-- How well do phenomenological interactions match *ab initio*?

-- Crucially, we know the 3-body forces strongly affects the spin-orbit force. Can we see this happen directly? *Note:* In this talk I only give 2-body results. 3-body forces later...

$^{11}\mathbf{B}$

Phenomenological Cohen-Kurath *m*-scheme dimension: 62

NCSM: N3LO chiral 2-body force SRG evolved to $\lambda = 2.0 \text{ fm}^{-1}$, $N_{\text{max}} = 6$, $\hbar\omega=22 \text{ MeV}$ *m*-scheme dimension: 20 million







^{12}C

Phenomenological Cohen-Kurath force (1965) in 0p shell m-scheme dimension: 51

NCSM: N3LO chiral 2-body force SRG evolved^{*} to $\lambda = 2.0$ fm⁻¹, N_{max} = 6, $\hbar\omega$ =22 MeV *m*-scheme dimension: 35 million







⁹Be

Phenomenological Cohen-Kurath *m*-scheme dimension: 62

NCSM: N3LO chiral 2-body force SRG evolved to $\lambda = 2.0 \text{ fm}^{-1}$, $N_{\text{max}} = 6$, $\hbar\omega=22 \text{ MeV}$ *m*-scheme dimension: 5.2 million



9Be ground state band



9Be excited state band





Since these are rotational bands, why not look at SU(3) structure?

SU(3) Casimir = $\frac{1}{4}$ (Q_{Ell} .Q_{Ell} + 3 L²)

 Q_{Ell} = Elliott quadrupole = $(r^2+p^2)Y_2$; does not contain cross-shell matrix elements

(symplectic operators couple across h.o. shells; will address in future work)








⁸Be g.s. band – SU(3) decomposition



sd-shell nuclei: ²⁰Ne and ²⁴Mg

$$N_{max}$$
=2
hw=16 MeV
 λ_{SRG} = 2.0 fm⁻¹



²⁰Ne g.s. band – SU(3) decomposition

Preliminary!





²⁰Ne excited band – SU(3) decomposition







How are those decompositions calculated?



Naïve method: Solve eigenpair problems, e.g.

 $\mathbf{H} \mid \Psi_n > = \mathbf{E}_n \mid \Psi_n >$

and

 L^2 | 1; a > = 1(1+1) | 1; a >

...and then take overlaps, $| < l; a | \Psi_n > |^2$

PROBLEM: the spectrum of L^2 is highly degenerate (labeled by a); Need to sum over all a not orthogonal to $| \Psi_n > !$



There is another way

(Cornelius Lanczos)



(Cornelius Lanczos)

There is another way

The Lanczos Algorithm!



(Cornelius Lanczos)

There is another way

$$\mathbf{A}\vec{v}_{1} = \alpha_{1}\vec{v}_{1} + \beta_{1}\vec{v}_{2}$$

$$\mathbf{A}\vec{v}_{2} = \beta_{1}\vec{v}_{1} + \alpha_{2}\vec{v}_{2} + \beta_{2}\vec{v}_{3}$$

$$\mathbf{A}\vec{v}_{3} = \beta_{2}\vec{v}_{2} + \alpha_{3}\vec{v}_{3} + \beta_{3}\vec{v}_{4}$$

$$\mathbf{A}\vec{v}_{4} = \beta_{3}\vec{v}_{3} + \alpha_{4}\vec{v}_{4} + \beta_{4}\vec{v}_{5}$$

Starting from some initial vector (the "pivot") v_1 , the Lanczos algorithm iteratively creates a new basis (a "Krylov space") in which to diagonalize the matrix **A**.

Eigenvectors are then expressed as a linear combination of the "Lanczos vectors": $|\Psi\rangle = c_1 |v_1\rangle + c_2 |v_2\rangle + c_3 |v_3\rangle + ...$



(Cornelius Lanczos)

There is another way

Eigenvectors are expressed as a linear combination of the "Lanczos vectors":

$$\Psi > = c_1 |v_1> + c_2 |v_2> + c_3 |v_3> + ...$$

It is easy to read off the overlap of an eigenstate with the "pivot" :

 $| < v_1 | \psi > |^2 = c_1^2$

Furthermore, the only eigenvectors (of **A**) that are contained in the Krylov space are those with nonzero overlap with the pivot $|v_1>$.

If **A** is say L^2 then we can efficiently expand any state $|v_1\rangle$ into its components with good L.



(Cornelius Lanczos)

There is another way

This trick has been applied before

Computing strength functions

Caurier, Poves, and Zuker, Phys. Lett. B252, 13 (1990); PRL 74, 1517 (1995) Caurier *et al*, PRC 59, 2033 (1999) Haxton, Nollett, and Zurek, PRC 72, 065501 (2005)

Decomposition of wavefunction into SU(3) components, looking at effect of spin-orbit force: V. Gueorguiev, J. P Draayer, and C. W. J., PRC 63, 014318 (2000).

Present calculations carried out using BIGSTICK shell-model code: Johnson, Ormand, and Krastev, Comp. Phys. Comm. 184, 2761 (2013).

Large scale configuration interaction calculations for nuclear structure Summary and looking forward

Bigstick is a powerful configuration-interaction shell model code coming into maturity. We can now reach the largest dimensions of other CI codes, using significantly less computational resources. (Still work to be done to fully optimize for N_{max} calculations and three-body forces.) We hope to make the code publically available in the near future.

As a sample application, we can decompose wave functions using operators, usually Casimirs of groups. This gives us an "x-ray" into the wavefunctions and illustrate (a) overall similarity with phenomenological calculations and (b) clearly show the fingerprint of "intrinsic states."

"More work to be done!"