

# From EFTs to Nuclei

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# Collaborators

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# Energy scales and relevant degrees of freedom

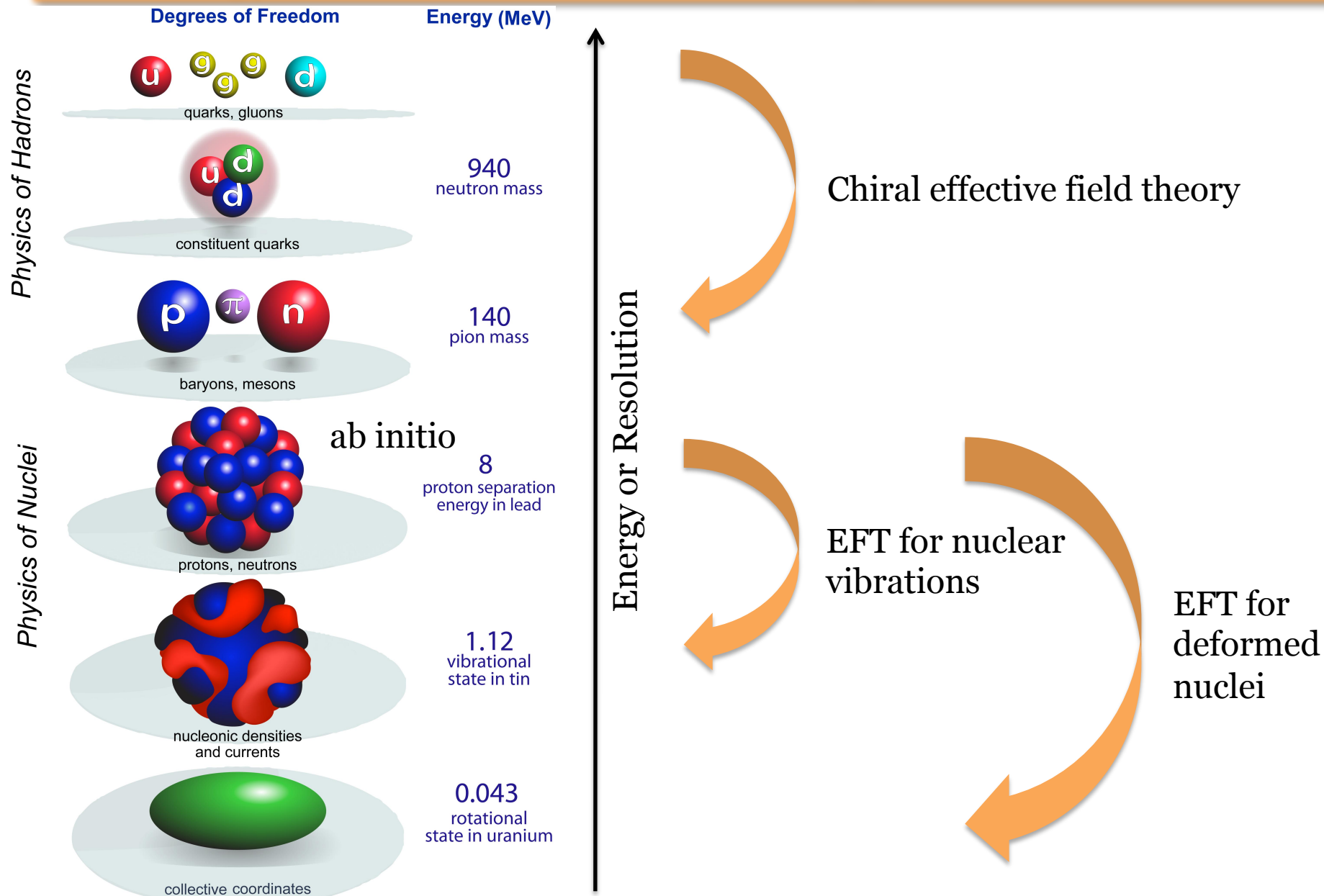
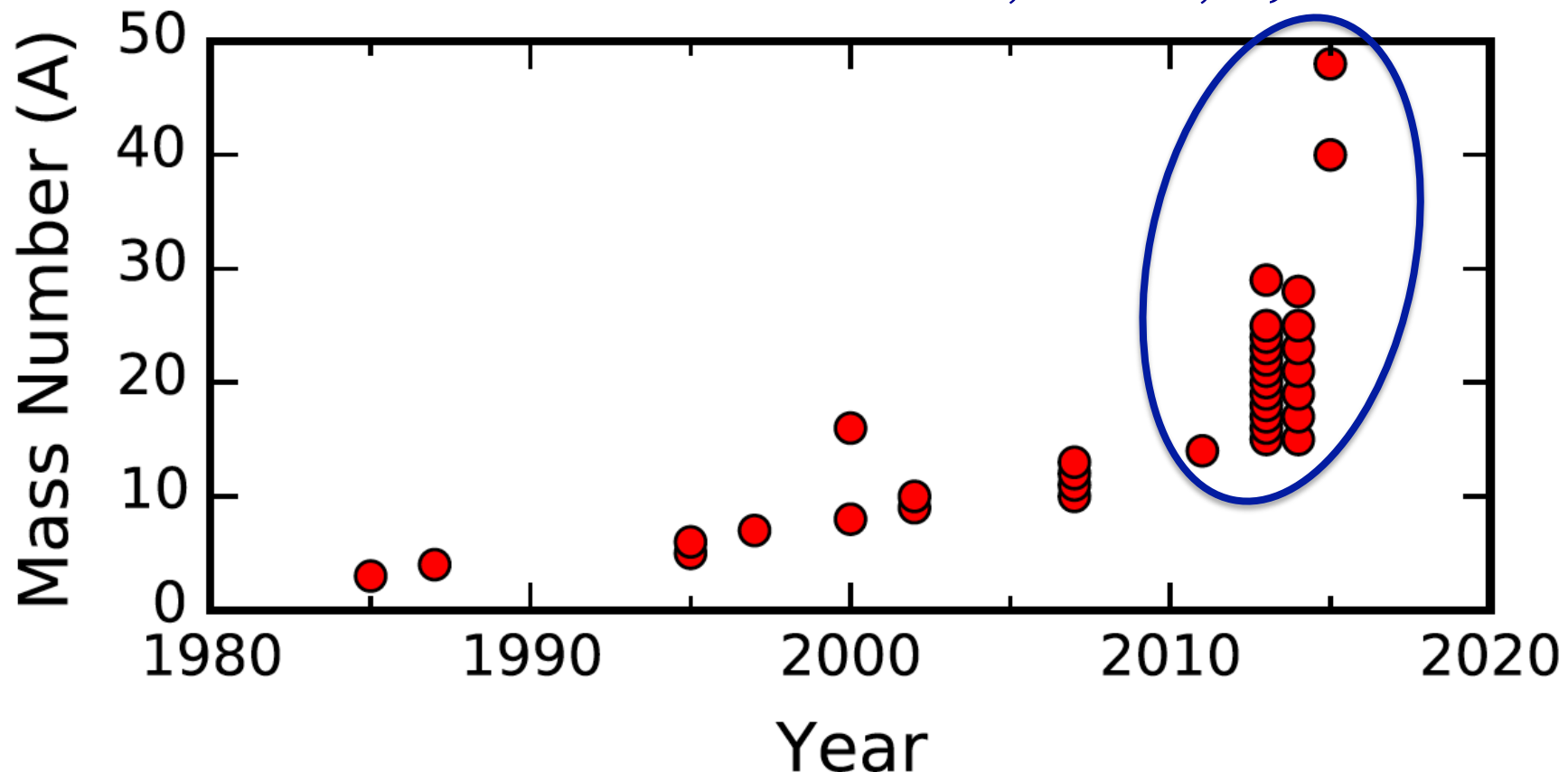


Fig.: Bertsch, Dean, Nazarewicz, SciDAC review (2007)

# Trend in realistic *ab initio* calculations

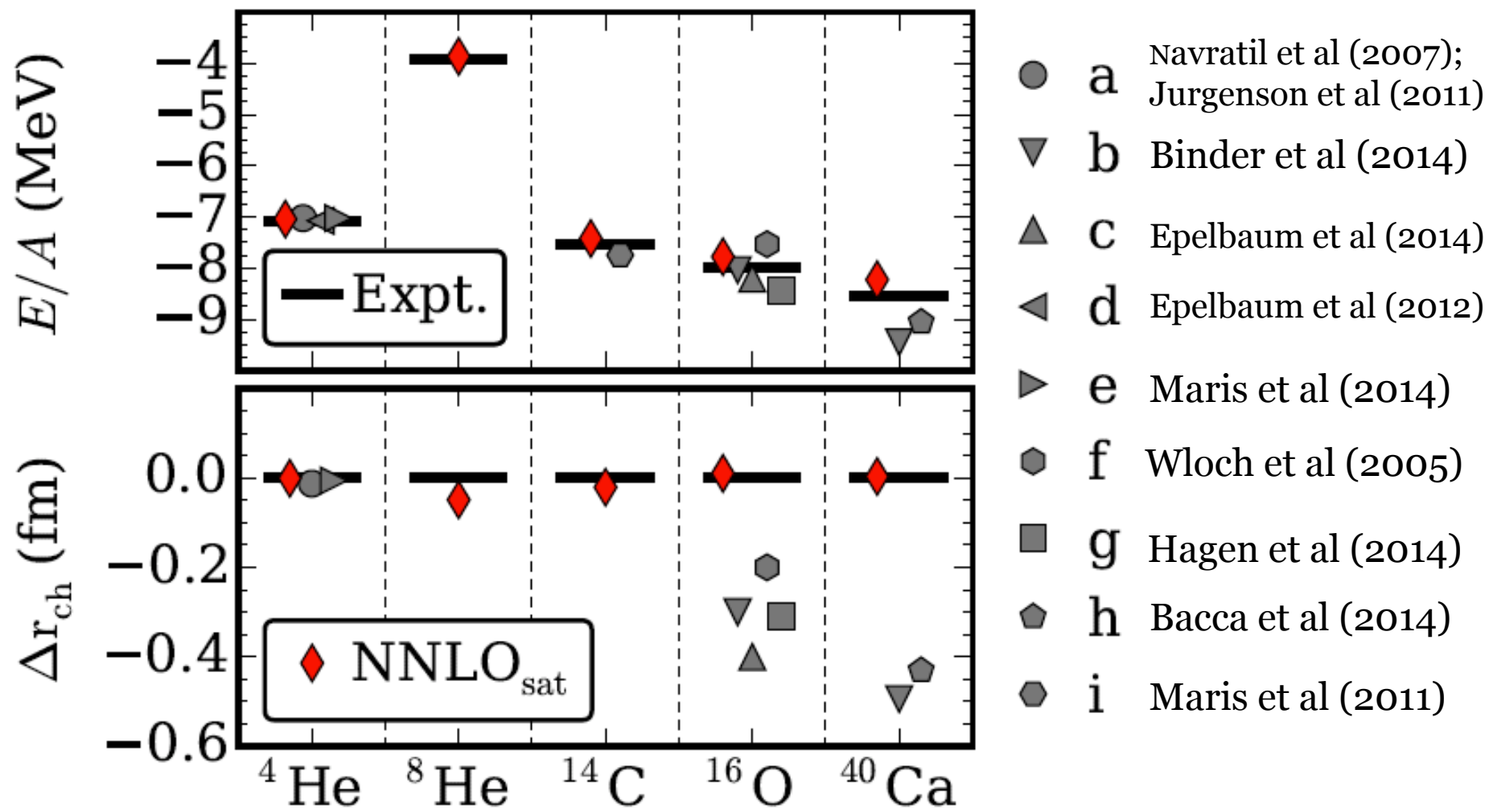
## Explosion of many-body methods

(Coupled clusters, Green's function Monte Carlo, In-Medium SRG, Lattice EFT, No-Core Shell Model, Self-Consistent Green's Function, UMOA, ...)



Computational capabilities exceed accuracy of available interactions  
[Binder *et al*, Phys. Lett. B 736 (2014) 119]

# Chiral interactions fail to saturate accurately: too much binding and too small radii



# Chiral EFT interaction NNLO<sub>sat</sub>

## New approach:

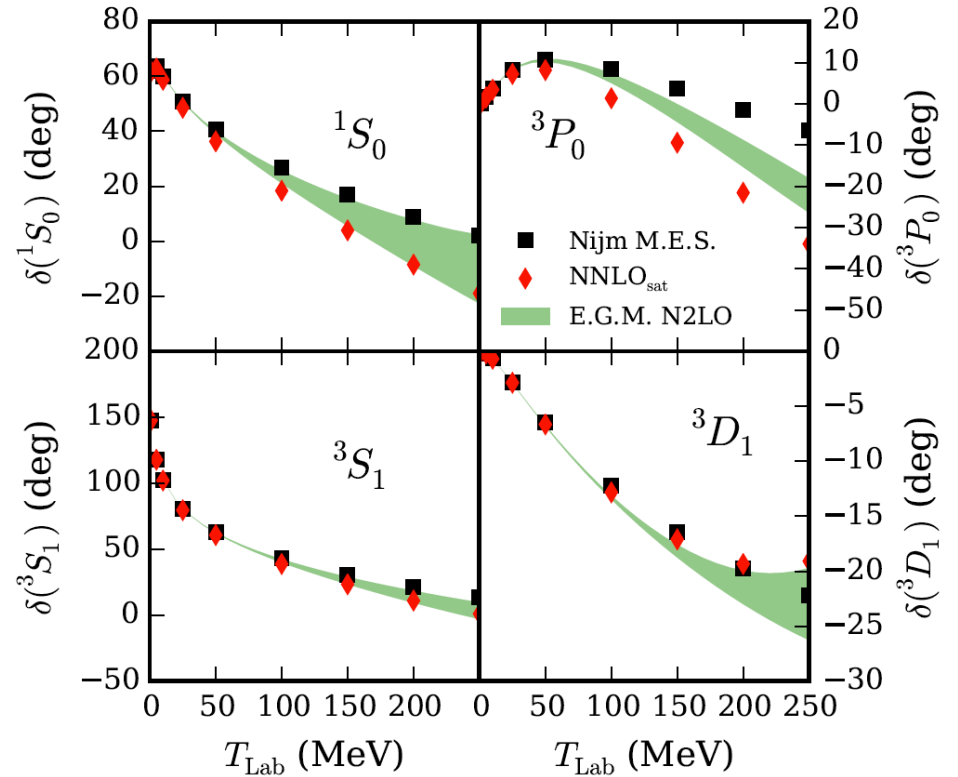
1. Simultaneous optimization of NN and 3NF at NNLO
2. Input:
  - NN scattering below 35 MeV, deuteron properties
  - Ground-state energies and radii of  $^3\text{H}$ ,  $^3\text{He}$ ,  $^4\text{He}$ ,  $^{14}\text{C}$ ,  $^{16}\text{O}$
  - Ground-state energies of  $^{22,24,25}\text{O}$

## Rationale:

- Nuclear saturation an emergent phenomenon
- 3NF in  $T=3/2$  not constrained in  $A \leq 4$  nuclei
- No interaction yet achieves saturation from fit to  $A \leq 4$  nuclei alone
- Binding energies and radii are low-energy data
- ... give up (for the moment) on predicting saturation.

# Results in $NN$ sector

|            | NNLO <sub>sat</sub> | N <sup>3</sup> LO <sub>EM</sub> | Exp.        |
|------------|---------------------|---------------------------------|-------------|
| $a_{pp}^C$ | -7.8258             | -7.8188                         | -7.8196(26) |
| $r_{pp}^C$ | 2.855               | 2.795                           | 2.790(14)   |
| $a_{nn}$   | -18.929             | -18.900                         | -18.9(4)    |
| $r_{nn}$   | 2.911               | 2.838                           | 2.75(11)    |
| $a_{np}$   | -23.728             | -23.732                         | -23.740(20) |
| $r_{np}$   | 2.798               | 2.725                           | 2.77(5)     |
| $E_D$      | 2.22457             | 2.22458                         | 2.224566    |
| $r_D$      | 1.978               | 1.975                           | 1.97535(85) |
| $Q_D$      | 0.270               | 0.275                           | 0.2859(3)   |



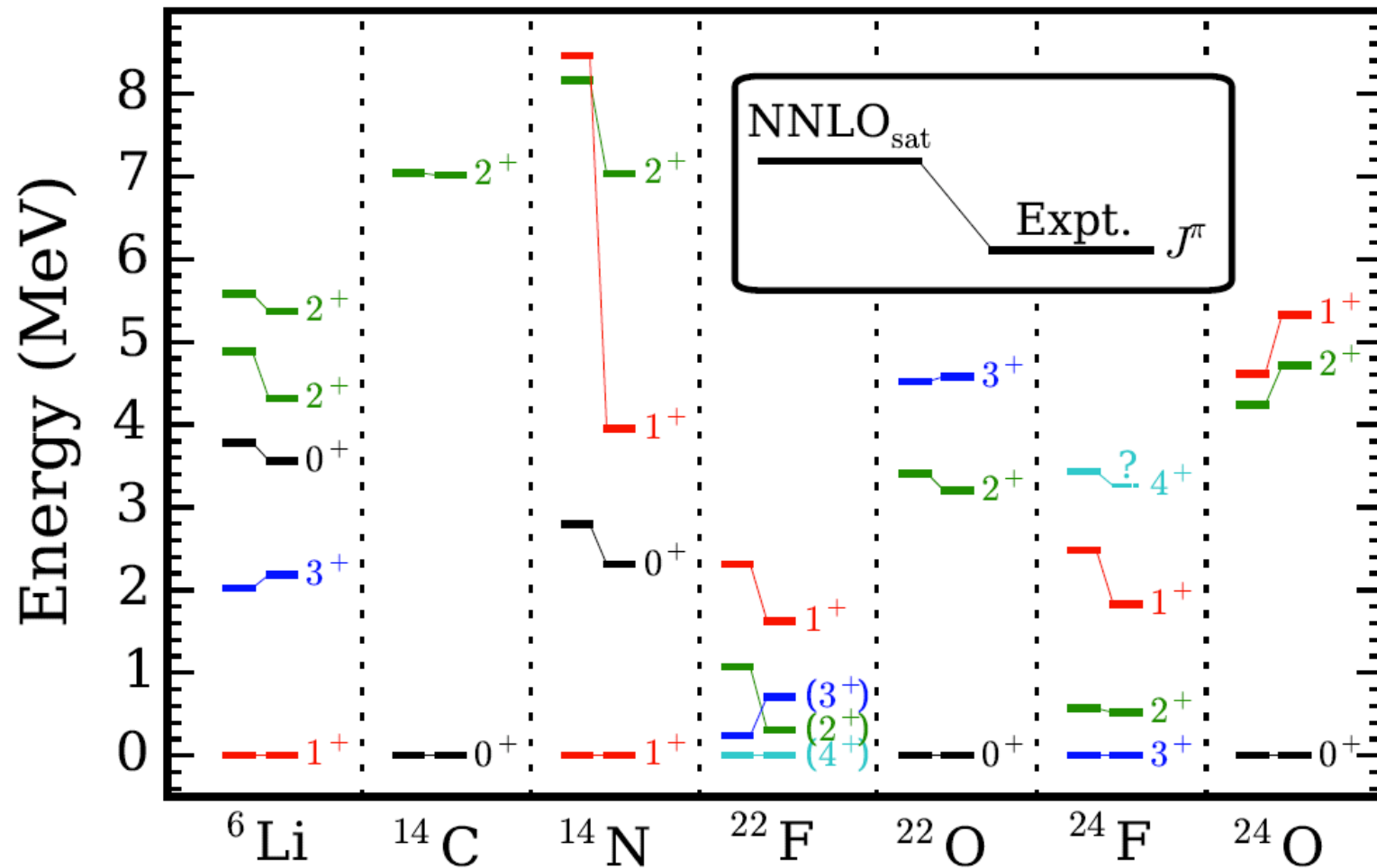
Deviations above 35 MeV probably at limit of one would expect at NNLO.

# Results for nuclei employed in optimization

|                 | $E_{\text{gs}}$ | Exp.        | $r_{\text{ch}}$ | Exp.        |
|-----------------|-----------------|-------------|-----------------|-------------|
| $^3\text{H}$    | 8.52            | 8.482       | 1.78            | 1.7591(363) |
| $^3\text{He}$   | 7.76            | 7.718       | 1.99            | 1.9661(30)  |
| $^4\text{He}$   | 28.43           | 28.296      | 1.70            | 1.6755(28)  |
| $^{14}\text{C}$ | 103.6           | 105.285     | 2.48            | 2.5025(87)  |
| $^{16}\text{O}$ | 124.4           | 127.619     | 2.71            | 2.6991(52)  |
| $^{22}\text{O}$ | 160.5           | 162.028(57) |                 |             |
| $^{24}\text{O}$ | 167.8           | 168.96(12)  |                 |             |
| $^{25}\text{O}$ | 167.1           | 168.18(10)  |                 |             |



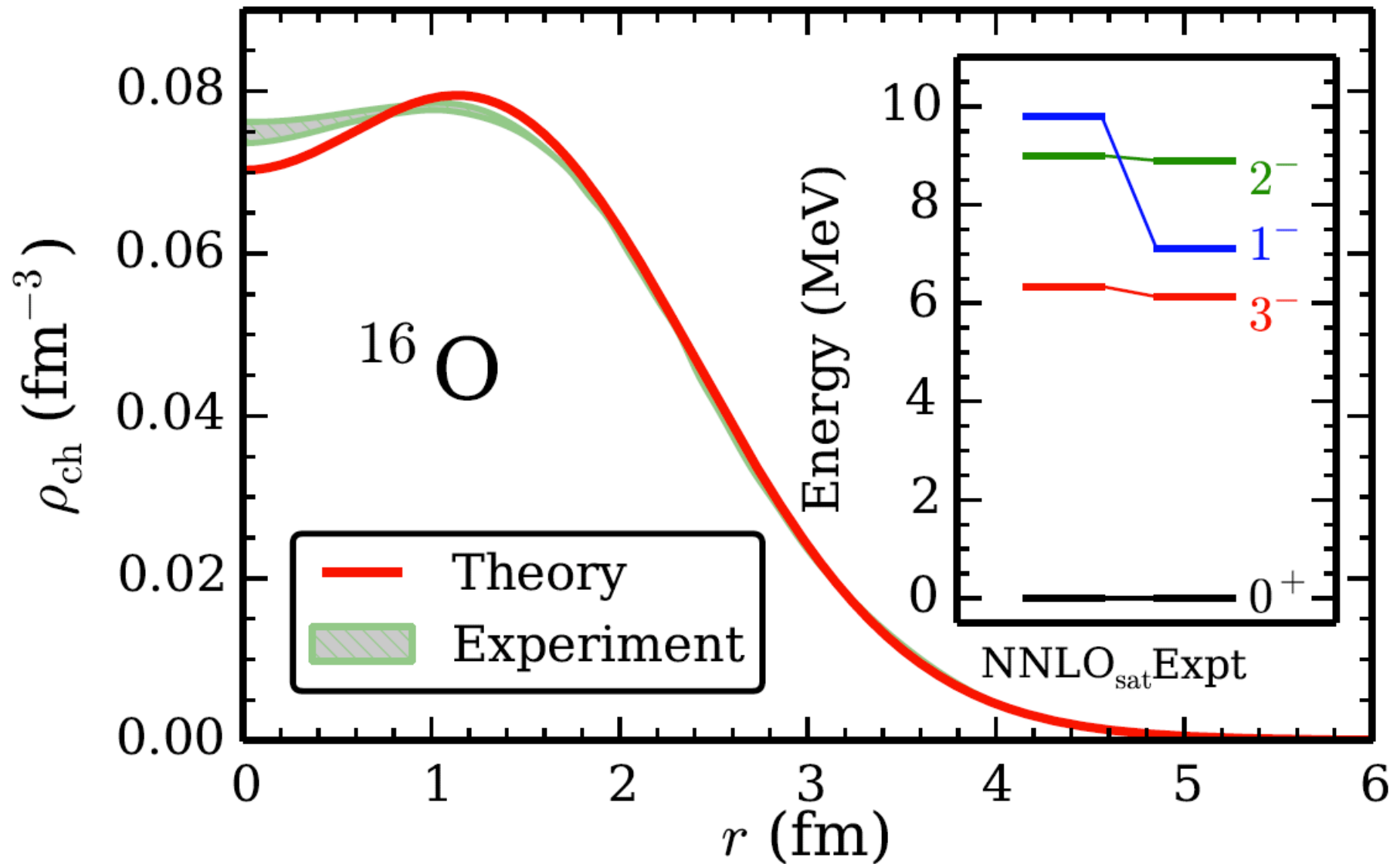
# NNLO<sub>sat</sub> spectra



- Other deficiencies:  ${}^{17,18}\text{O}$  ( $s_{1/2}$  and  $d_{3/2}$  too high,  $2^+$  too low)
- Overall NNLO<sub>sat</sub> spectra comparable to other chiral interactions

A. Ekström, G. Jansen, K. Wendt et al, Phys. Rev. C91, 051301(R) (2015)

# Charge density and excitations of $^{16}\text{O}$

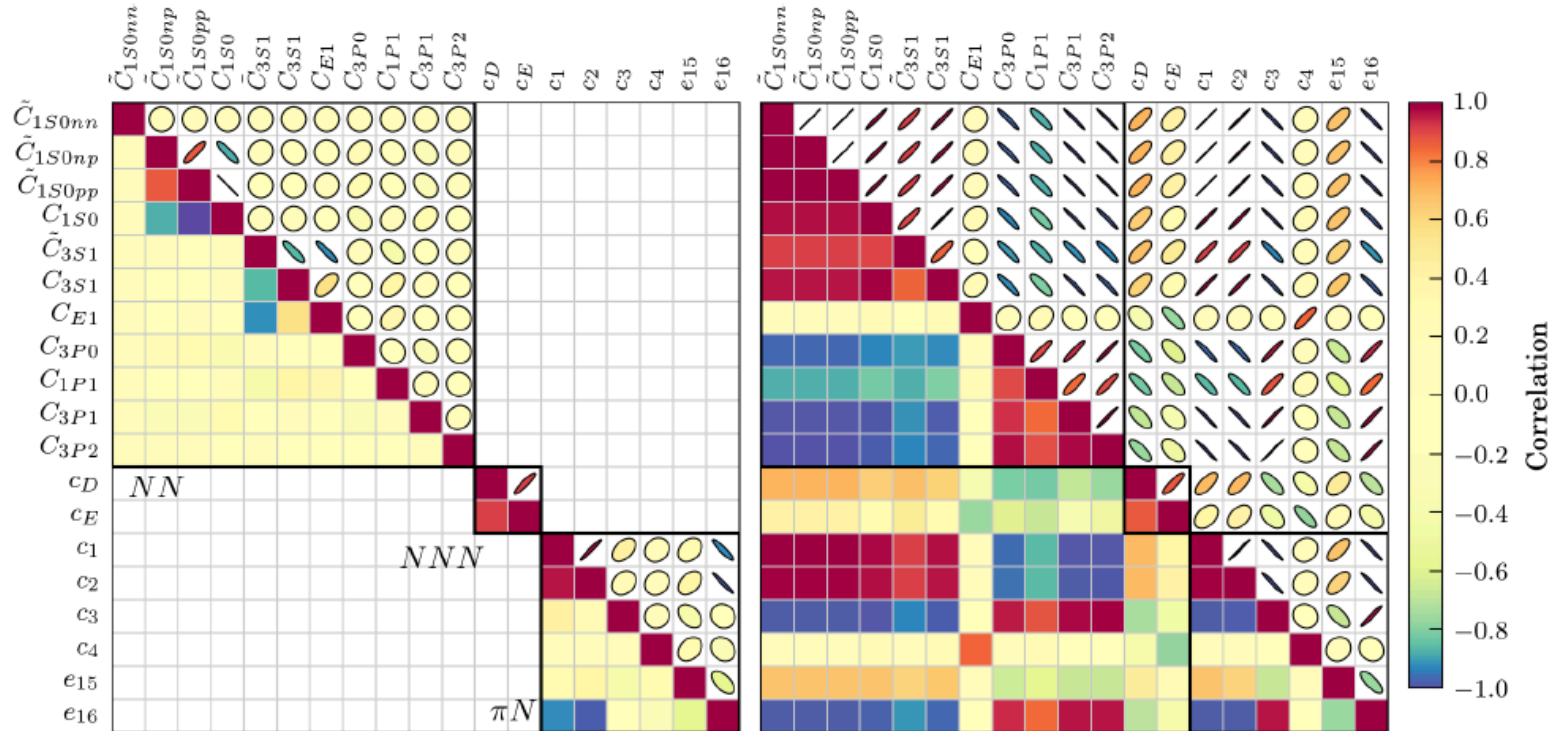


A. Ekström, G. Jansen, K. Wendt et al, Phys. Rev. C91, 051301(R) (2015)

# Simultaneous (NN, NNN, $\pi N$ ) vs. separate optimization: Correlations in LECs and uncertainties

NNLO<sub>sep</sub> : separate optimization

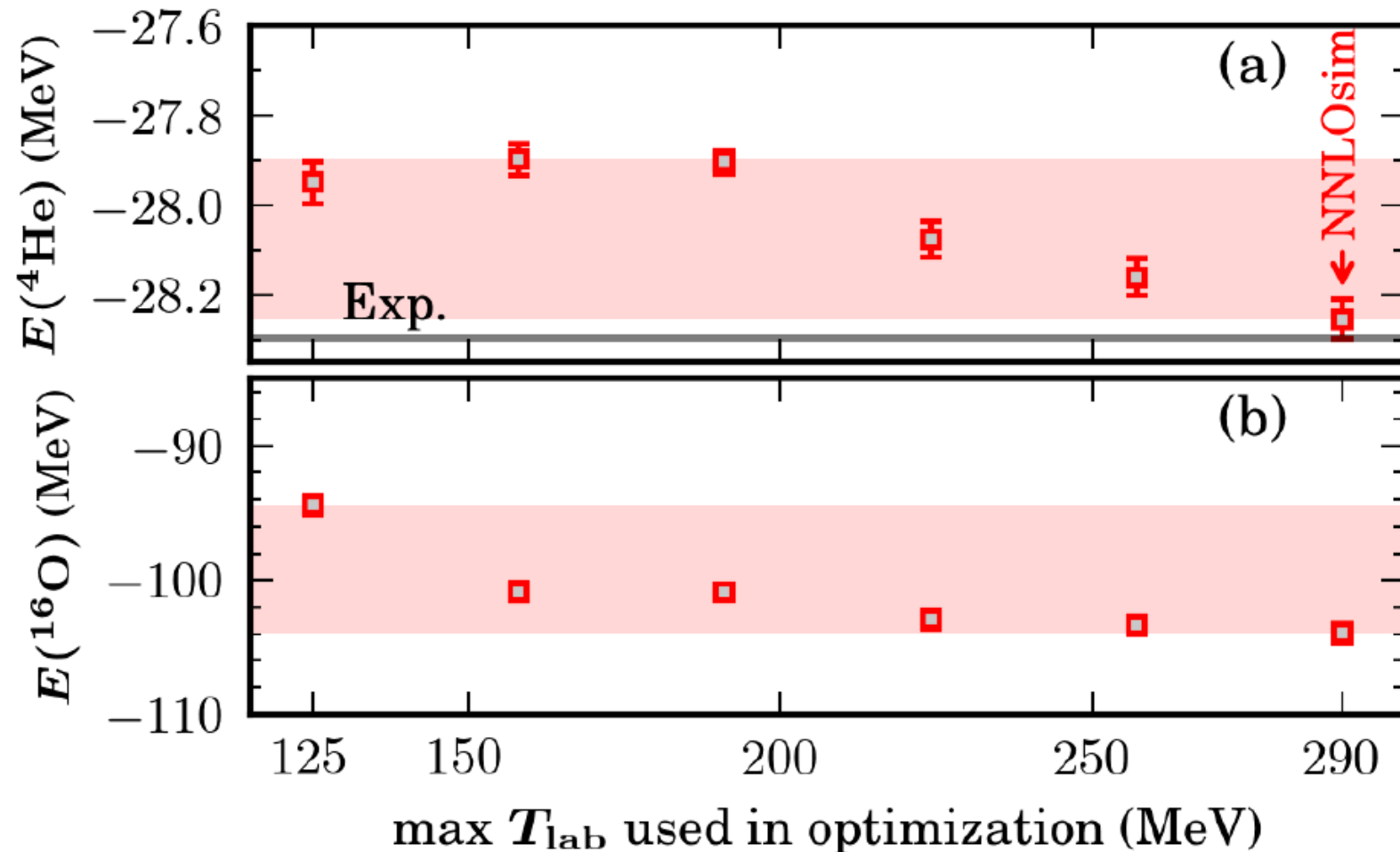
NNLO<sub>sim</sub> : simultaneous optimization



|                  | LOsep      | NLOsep                                   | NNLOsep                                | LOsim     | NLOsim                                   | NNLOsim                                | Exp.      |
|------------------|------------|------------------------------------------|----------------------------------------|-----------|------------------------------------------|----------------------------------------|-----------|
| $E(^2\text{H})$  | -2.211(15) | -2.163 <sup>(+9)</sup> <sub>(-16)</sub>  | -2.2 <sup>(+12)</sup> <sub>(-25)</sub> | -2.225    | -2.225 <sup>(+1)</sup> <sub>(-6)</sub>   | -2.225 <sup>(+0)</sup> <sub>(-1)</sub> | -2.225    |
| $E(^3\text{H})$  | -11.40(4)  | -8.220 <sup>(+27)</sup> <sub>(-43)</sub> | -8.5 <sup>(+31)</sup> <sub>(-64)</sub> | -11.44    | -8.268 <sup>(+27)</sup> <sub>(-38)</sub> | -8.482 <sup>(+2)</sup> <sub>(-5)</sub> | -8.482(3) |
| $E(^3\text{He})$ | -10.39(4)  | -7.474 <sup>(+29)</sup> <sub>(-45)</sub> | -7.7 <sup>(+30)</sup> <sub>(-62)</sub> | -10.44    | -7.528 <sup>(+20)</sup> <sub>(-31)</sub> | -7.718 <sup>(+2)</sup> <sub>(-6)</sub> | -7.718(4) |
| $E(^4\text{He})$ | -40.27(13) | -27.56 <sup>(+14)</sup> <sub>(-18)</sub> | -28 <sup>(+8)</sup> <sub>(-18)</sub>   | -40.39(1) | -27.44 <sup>(+13)</sup> <sub>(-15)</sub> | -28.26 <sup>(+4)</sup> <sub>(-5)</sub> | -28.30(1) |

B. Carlsson, A. Ekström, C. Forssén *et al*, arXiv:1506.02466

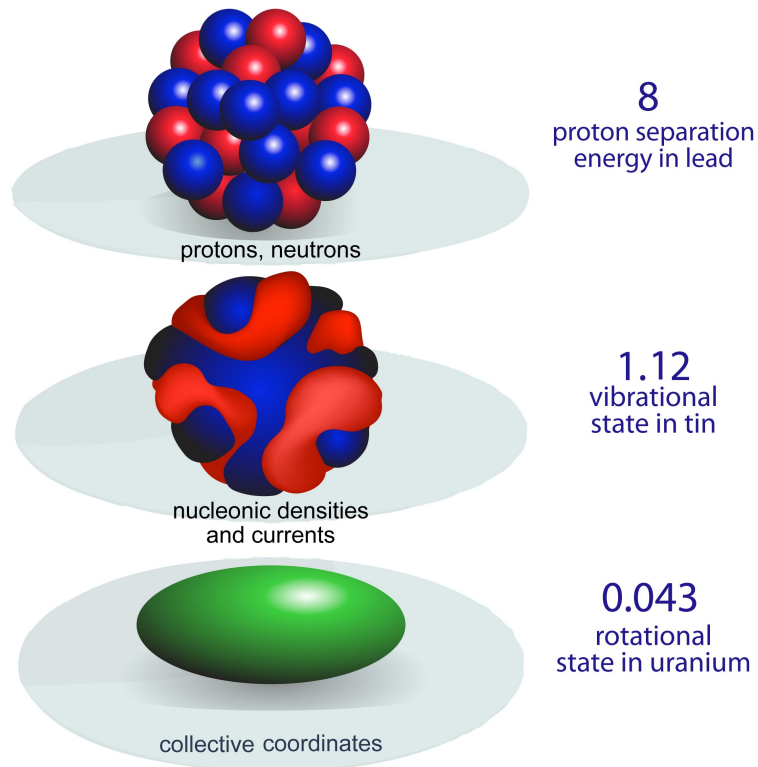
# Sensitivity analysis for NNLO<sub>sim</sub>



**A 1% change in the binding energy of  ${}^4\text{He}$  yields a 10% change in  ${}^{16}\text{O}$**

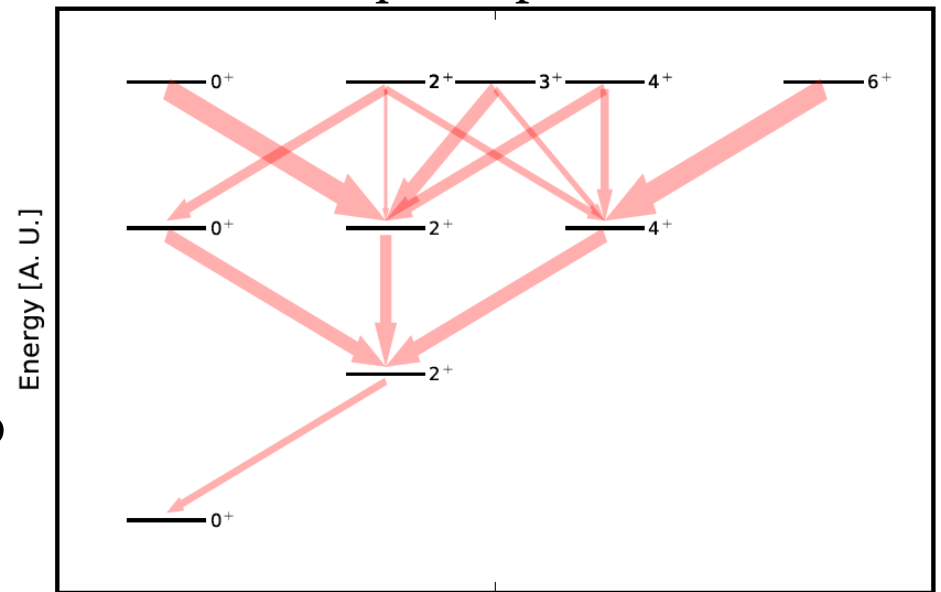
# EFT for nuclear vibrations

[with E. A. Coello Pérez, arXiv:1510.02401]



EFT for nuclear vibrations

Harmonic quadrupole oscillator

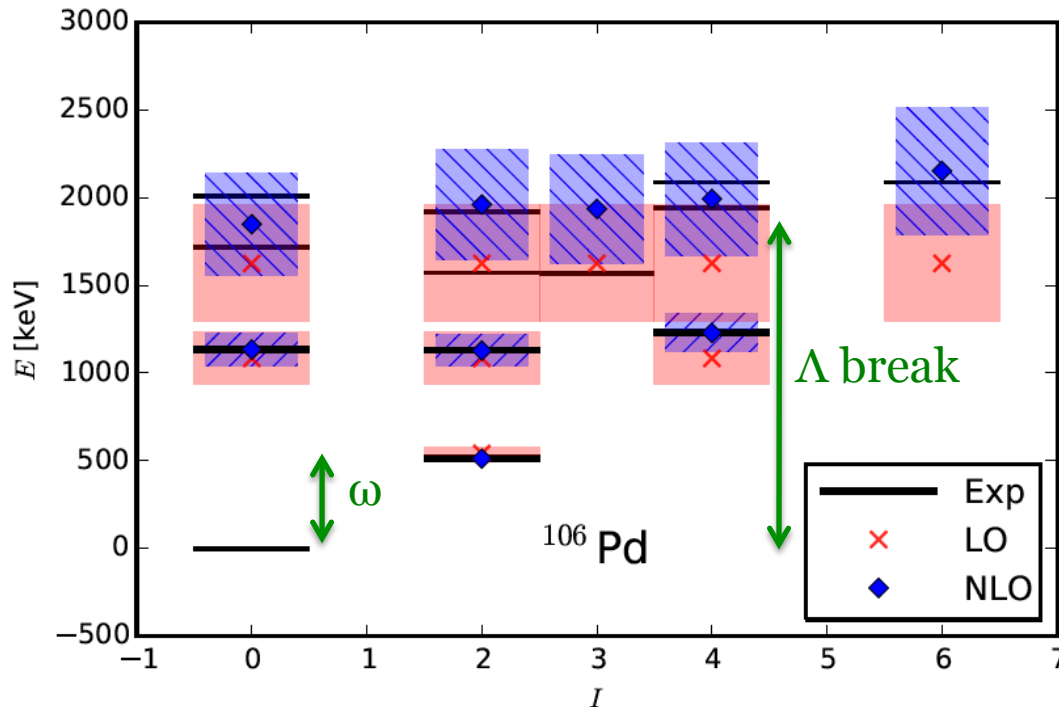


Spectrum and B(E2) transitions

While spectra of certain nuclei appear to be harmonic, B(E2) transitions do not.

Garrett & Wood (2010): “Where are the quadrupole vibrations in atomic nuclei?”

# EFT for nuclear vibrations



EFT ingredients:

- quadrupole degrees of freedom
- breakdown scale around three-phonon levels
- “small” expansion parameter: ratio of vibrational energy to breakdown scale:  $\omega/\Lambda \approx 1/3$

- Uncertainties show 68% DOB intervals from Bayesian analysis of EFT truncation effects, following [Cacciari & Houdeau (2011); Bagnaschi et al (2015); Furnstahl, Klco, Phillips & Wesolowski (2015)]
  - Expand observables according to power counting
  - Employ “naturalness” assumptions as log-normal priors in Bayes’ theorem
  - Compute distribution function of uncertainties due to EFT truncation
  - Compute degree-of-believe (DOB) intervals.

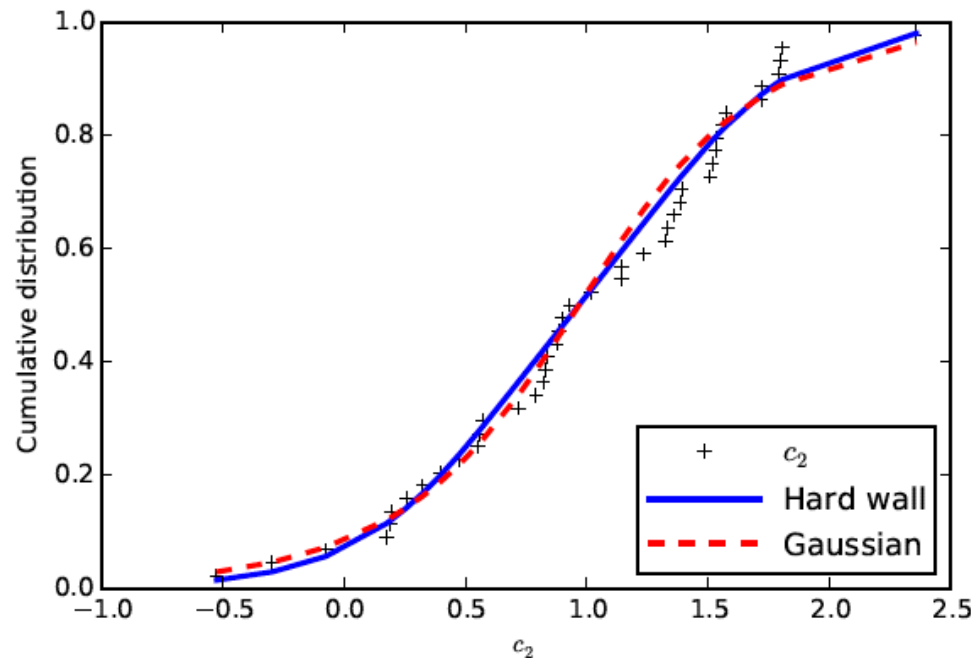
# Uncertainty quantification

$$E_{\text{NLO}} = \omega N + g_\omega N + g_N N^2 + g_v v(v+3) + g_I I(I+1)$$

$$c_2 \equiv c_2(N, v, I)$$

$$= \frac{g_\omega N + g_N N^2 + g_v v(v+3) + g_I I(I+1)}{\varepsilon^2 \omega}$$

$$X = X_0 \sum_{n=0}^{\infty} c_n \varepsilon^n$$



Linear combinations of LECs enter observables. LECs are random, but with EFT expectations, i.e. log-normal distributed. Making assumptions about these distributions then allows one to quantify uncertainties. The assumptions can be tested.

$$\Delta_k^{(M)} = \sum_{n=k+1}^{k+M} c_n \varepsilon^n$$

$$p_M(\Delta|c_0, \dots, c_k) = \frac{\int_0^\infty dc \, \text{pr}(c) p_M(\Delta|c) \prod_{m=0}^k \text{pr}(c_m|c)}{\int_0^\infty dc \, \text{pr}(c) \prod_{m=0}^k \text{pr}(c_m|c)}$$

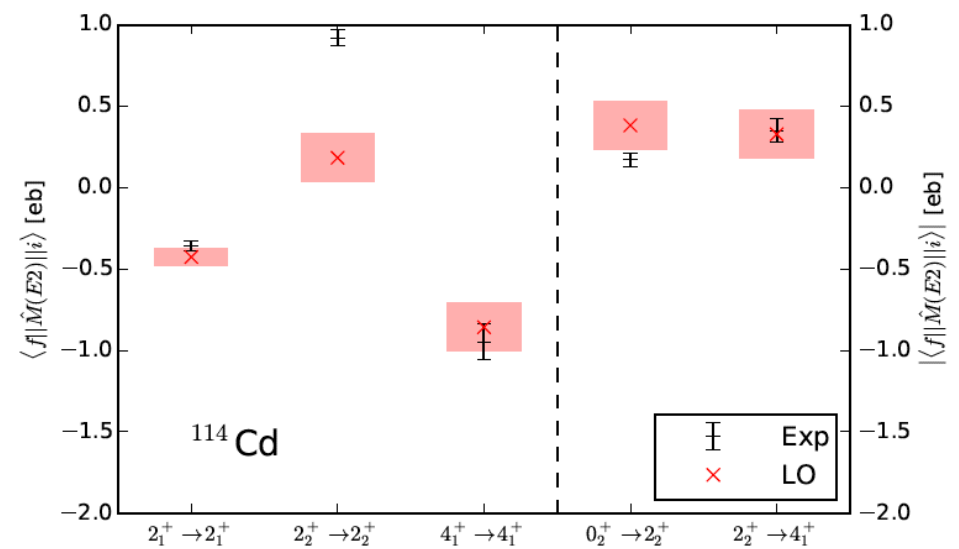
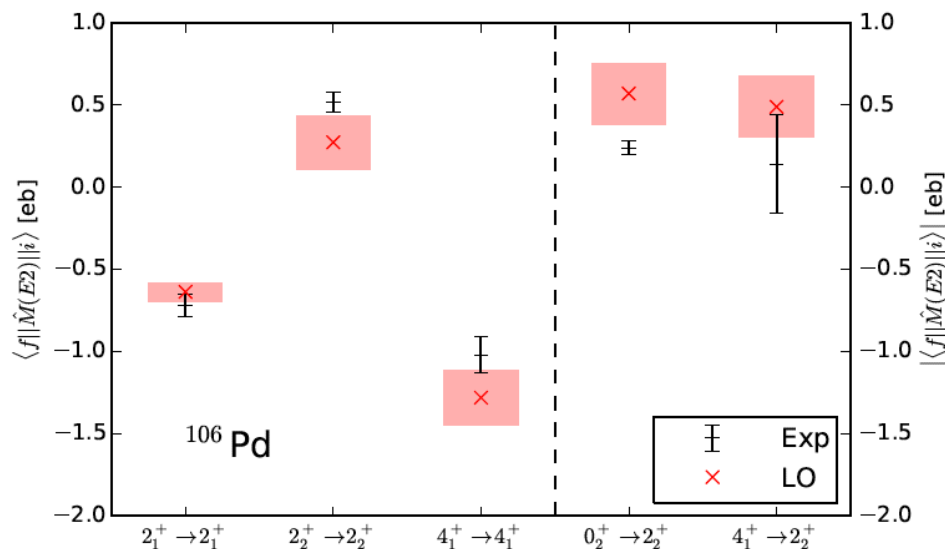
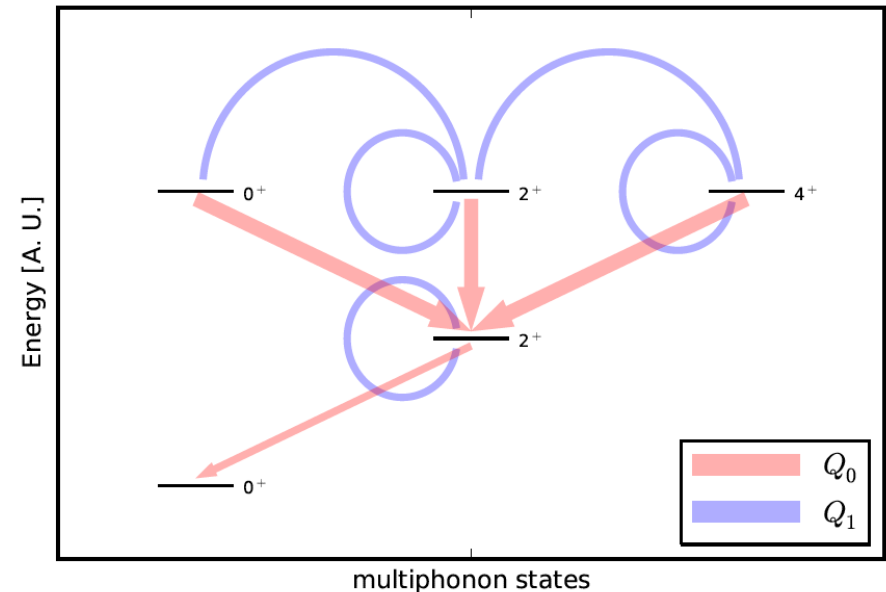
# New EFT result: sizeable quadrupole matrix elements

In the EFT, the quadrupole operator is also expanded:

$$\hat{Q}_\mu = Q_0 \left( d_\mu^\dagger + \tilde{d}_\mu \right) + Q_1 \left( d^\dagger \times d^\dagger + \tilde{d} \times \tilde{d} + 2d^\dagger \times \tilde{d} \right)_\mu^{(2)}$$

Subleading corrections are sizable:

$$Q_1 \sim \left( \frac{\omega}{\Lambda} \right)^{1/2} Q_0$$





# B(E2) transitions in vibrational nuclei

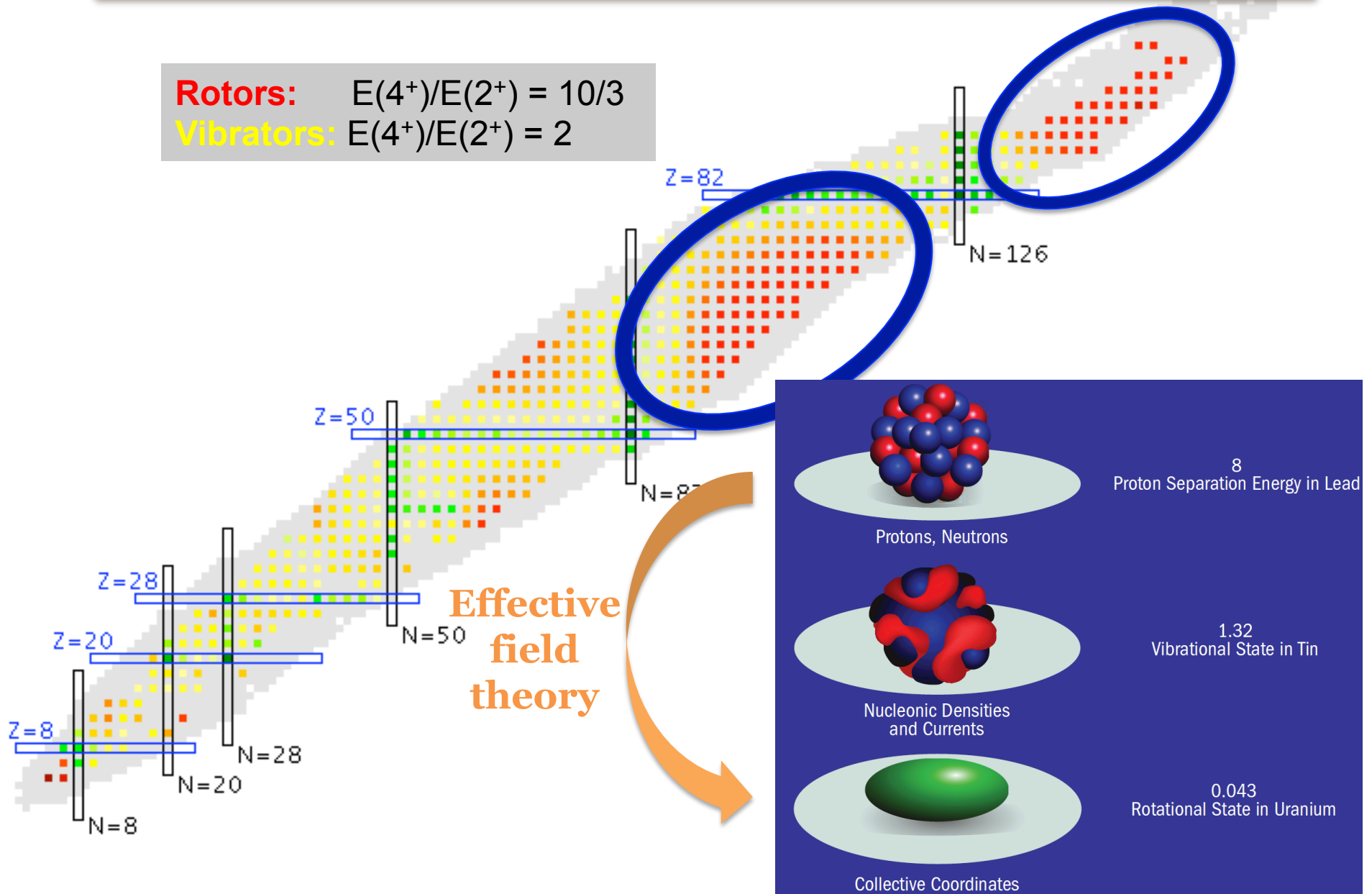
| Nucleus           | $2_1^+ \rightarrow 0_1^+$ | EFT    | $0_2^+ \rightarrow 2_1^+$ | $2_2^+ \rightarrow 2_1^+$ | $4_1^+ \rightarrow 2_1^+$ | EFT    |
|-------------------|---------------------------|--------|---------------------------|---------------------------|---------------------------|--------|
| $^{62}\text{Ni}$  | 12.1(4)                   | 11(4)  | 42(23)                    | 14.9(42)                  | 21(6)                     | 21(7)  |
| $^{98}\text{Ru}$  | 31(1)                     | 28(9)  |                           | 47(5)                     | 57.6(40)                  | 56(19) |
| $^{100}\text{Ru}$ | 35.6(4)                   | 24(8)  | 35(5)                     | 30.9(4)                   | 51(4)                     | 47(16) |
| $^{106}\text{Pd}$ | 44.3(15)                  | 30(10) | 35(8)                     | 44(4)                     | 76(11)                    | 61(20) |
| $^{108}\text{Pd}$ | 49.5(13)                  | 37(12) | 52(5)                     | 71(5)                     | 73(8)                     | 74(25) |
| $^{110}\text{Cd}$ | 27.0(8)                   | 21(7)  |                           | 30(5)                     | 42(9)                     | 42(14) |
| $^{112}\text{Cd}$ | 30.2(3)                   | 23(8)  | 51(14)                    | 15(3)                     | 61(6)                     | 46(15) |
| $^{114}\text{Cd}$ | 31.1(19)                  | 22(7)  | 27.4(17)                  | 22(6)                     | 62(4)                     | 43(15) |
| $^{120}\text{Te}$ | 31 (6)                    | 31(10) |                           |                           |                           | 62(21) |
| $^{122}\text{Te}$ | 36.9(3)                   | 41(14) |                           | 100(30)                   |                           | 81(27) |

B(E2) transition strengths consistent with EFT expectations within the theoretical uncertainties (68% DOB intervals).

# EFT for deformed nuclei

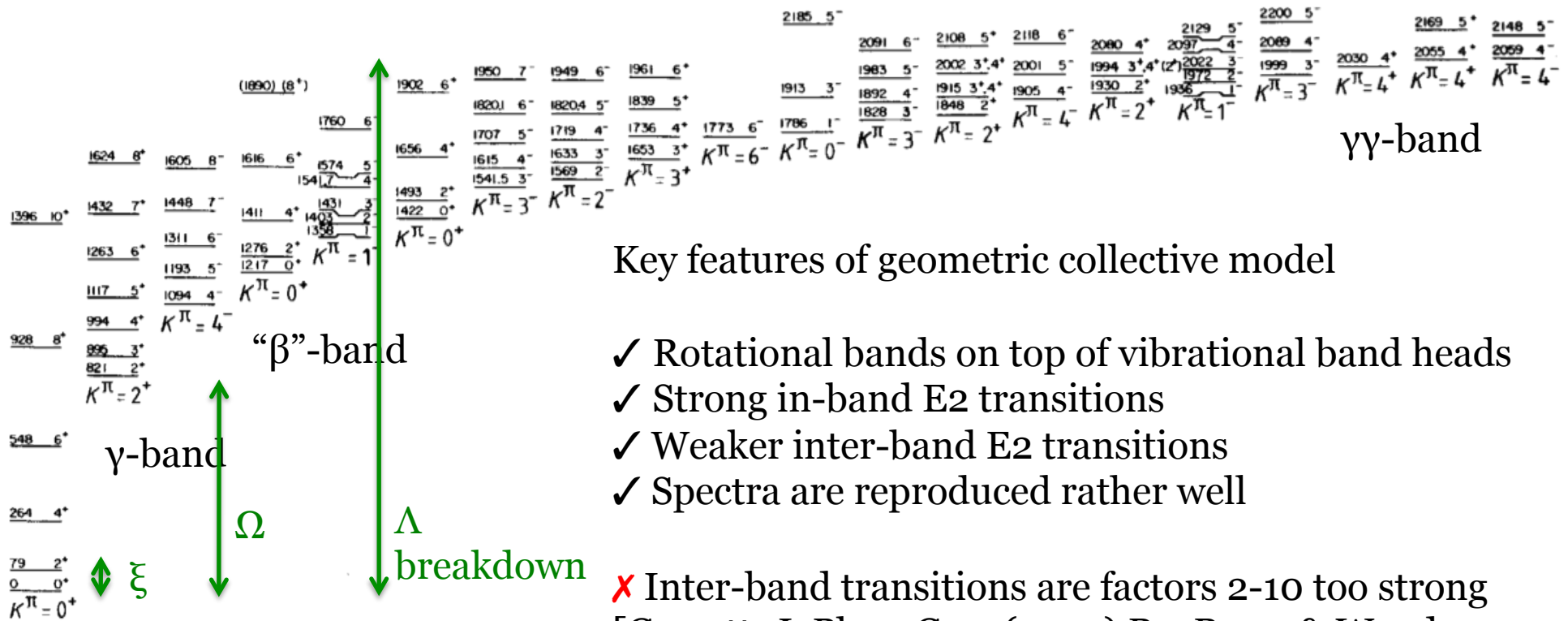
**Rotors:**  $E(4^+)/E(2^+) = 10/3$

**Vibrators:**  $E(4^+)/E(2^+) = 2$



# Electromagnetic transitions in deformed nuclei

“Complete” spectrum of  $^{168}\text{Er}$  [Davidson *et al.*, J. Phys. G 7, 455 (1981)]



Key features of geometric collective model

- ✓ Rotational bands on top of vibrational band heads
- ✓ Strong in-band E2 transitions
- ✓ Weaker inter-band E2 transitions
- ✓ Spectra are reproduced rather well

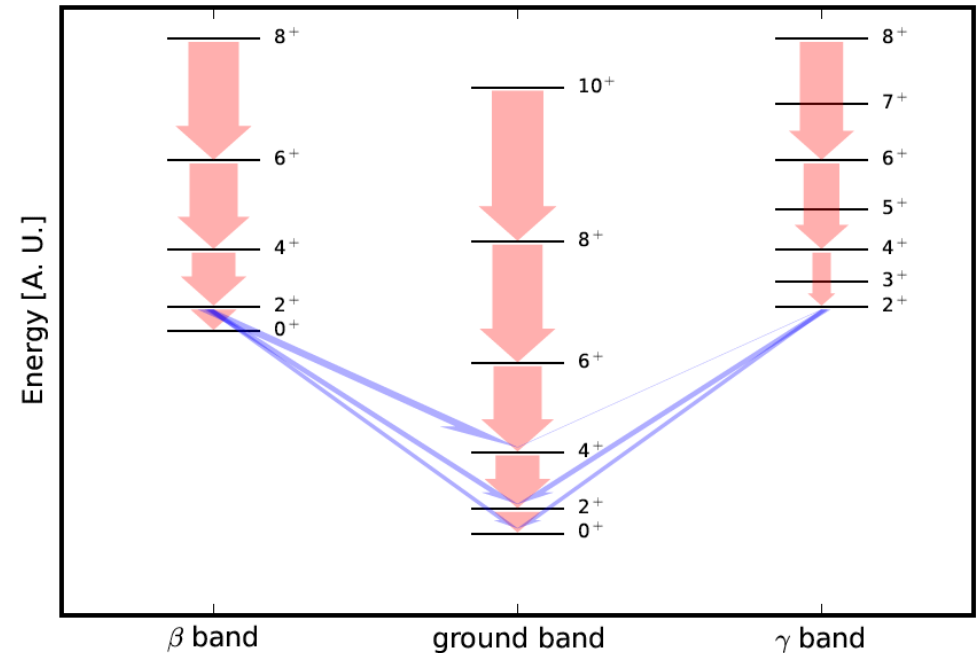
✗ Inter-band transitions are factors 2-10 too strong [Garrett, J. Phys. G 27 (2001) R1; Rowe & Wood “Fundamentals of Nuclear Models” (2010)]

Separation of scale:  $\xi \ll \Omega \ll \Lambda$

**Consistent coupling of EM fields addresses this problem**

# Spectra and transitions in deformed nuclei

Problem: Traditional collective models overpredict faint inter-band transitions by factors 2—10.



EFT for deformed nuclei:

- Separation of scale between rotations, vibrations, and fermionic effects
- Emergent breaking of rotational symmetry  $SO(3) \rightarrow SO(2)$  requires rotational invariance to be realized nonlinearly. [TP 2011; TP & Weidenmüller 2014/2015]
- Quadrupole degrees of freedom

# EFT for deformed nuclei

Spectrum of ground-state band

$$E(I) = \frac{I(I+1)}{2C_0} - \frac{C_2}{4C_0^4} [I(I+1)]^2$$

Strength of quadrupole transitions  $I_i \rightarrow I_i - 2$  in ground-state band  
(Clebsch-Gordan coefficient divided out)

$$Q_{if}^2 = Q_0^2 \left[ 1 + \frac{b}{a} I_i(I_i - 1) \right]$$

No surprises here: the EFT reproduces well known results from phenomenological models (e.g. Variable Moment of Inertia, Mikhailov theory...)  
EFT provides us with insight in scale of parameters in expansion of observables

# EFT: expansion parameter & naturalness

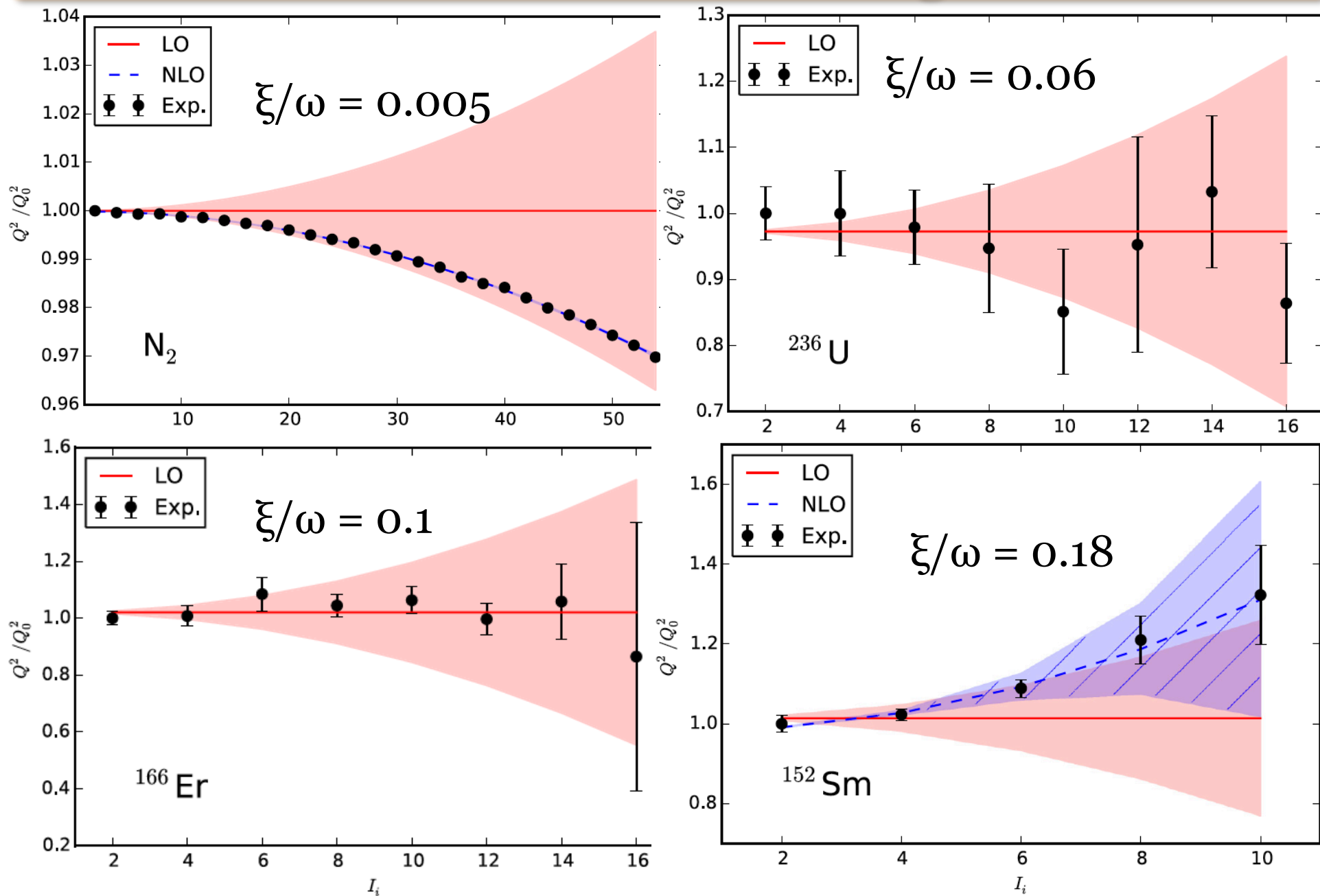
Natural sizes as expected!

|  | Expansion parameter:<br>$E_{\text{rot}} / E_{\text{vib}}$ | Natural LECs:<br>spectrum | Natural LECs:<br>transitions |
|--|-----------------------------------------------------------|---------------------------|------------------------------|
|--|-----------------------------------------------------------|---------------------------|------------------------------|

|                     | System            | $(\xi/\omega)^2$ | $C_2/C_0^3$ | $b/a$      |
|---------------------|-------------------|------------------|-------------|------------|
| Molecules           | N <sub>2</sub>    | 0.000 026        | 0.000 006   | -0.000 011 |
|                     | H <sub>2</sub>    | 0.0062           | 0.0015      | 0.0022     |
| Rotational nuclei   | <sup>236</sup> U  | 0.0043           | 0.0011      | —          |
|                     | <sup>174</sup> Yb | 0.0026           | 0.0010      | —          |
|                     | <sup>168</sup> Er | 0.0094           | 0.0010      | —          |
|                     | <sup>166</sup> Er | 0.011            | 0.0020      | —          |
|                     | <sup>162</sup> Dy | 0.0083           | 0.0017      | —          |
|                     | <sup>154</sup> Sm | 0.0056           | 0.0033      | —          |
| Transitional nuclei | <sup>188</sup> Os | 0.06             | 0.012       | 0.008      |
|                     | <sup>154</sup> Gd | 0.033            | 0.013       | 0.006      |
|                     | <sup>152</sup> Sm | 0.032            | 0.013       | 0.003      |
|                     | <sup>150</sup> Nd | 0.037            | 0.017       | 0.011      |

less rigid rotor

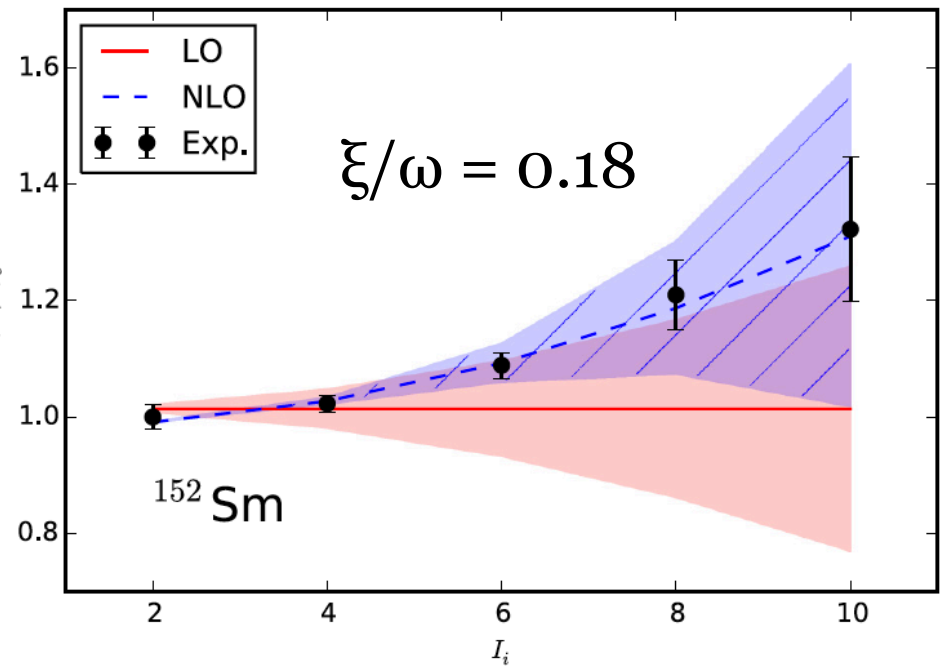
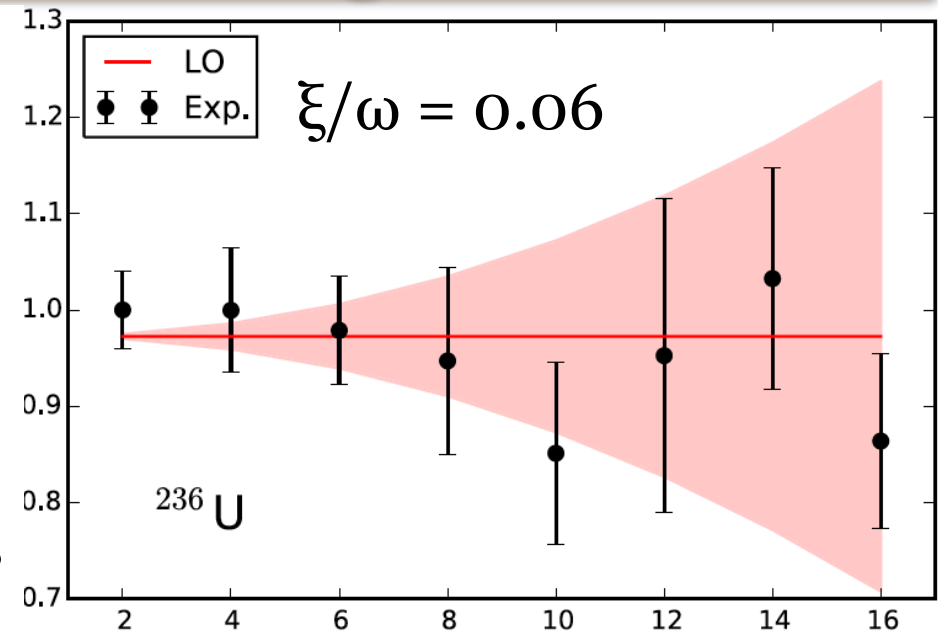
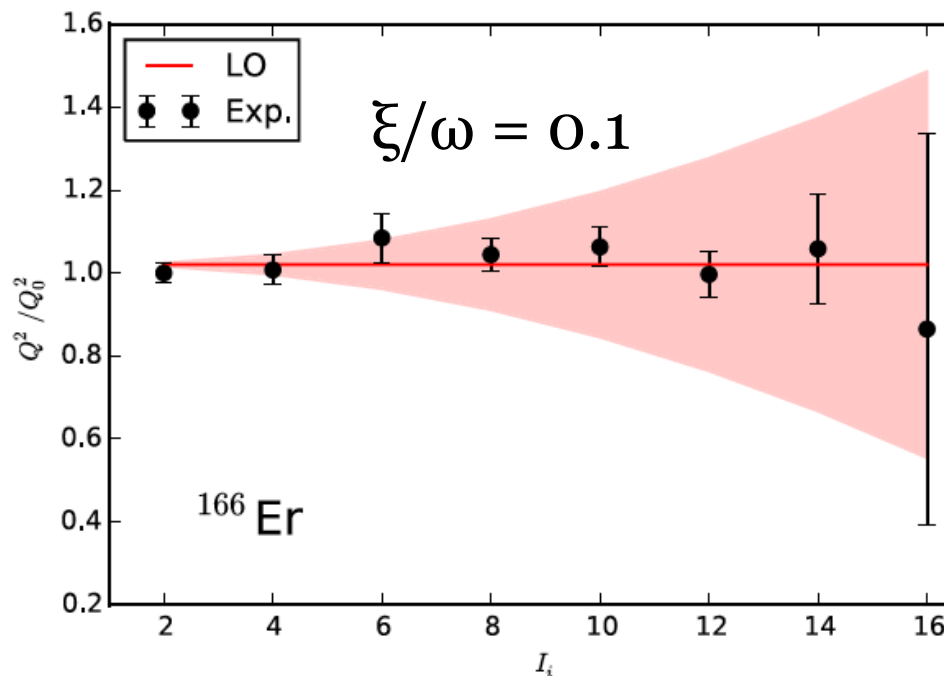
# EFT works well for a wide range of rotors



# EFT works well for a wide range of rotors

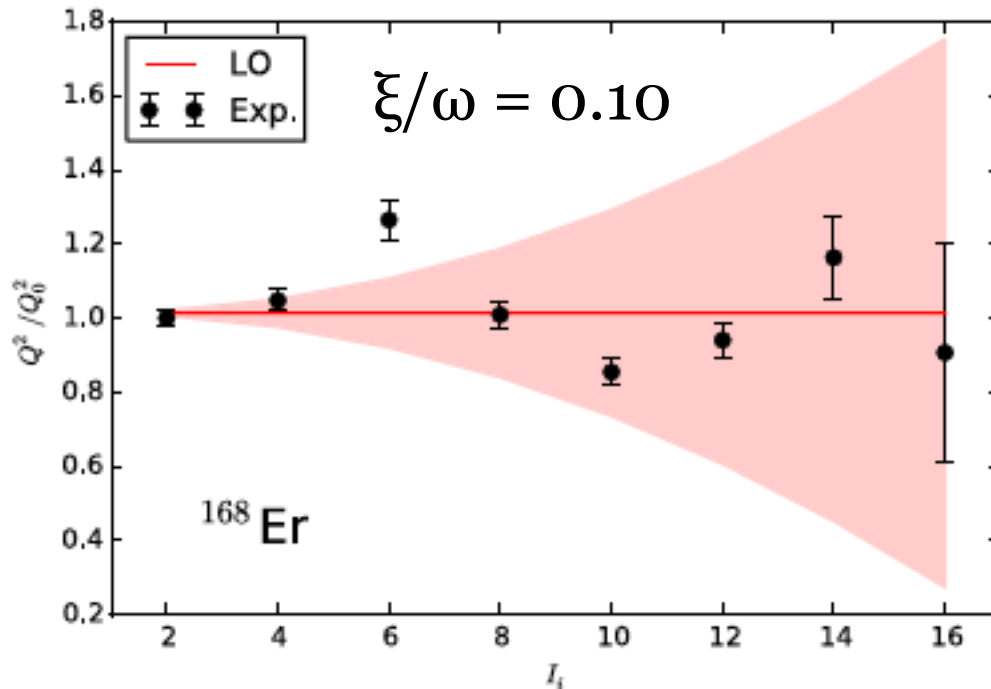
Bohr & Mottelson (1975):

“The accuracy of the present measurements of E2-matrix elements in the ground-state bands of even even nuclei is in most cases barely sufficient to detect deviations from the leading-order intensity relations.”





# EFT can not explain oscillatory patterns in supposedly “good” rotors $^{168}\text{Er}$ , $^{174}\text{Yb}$

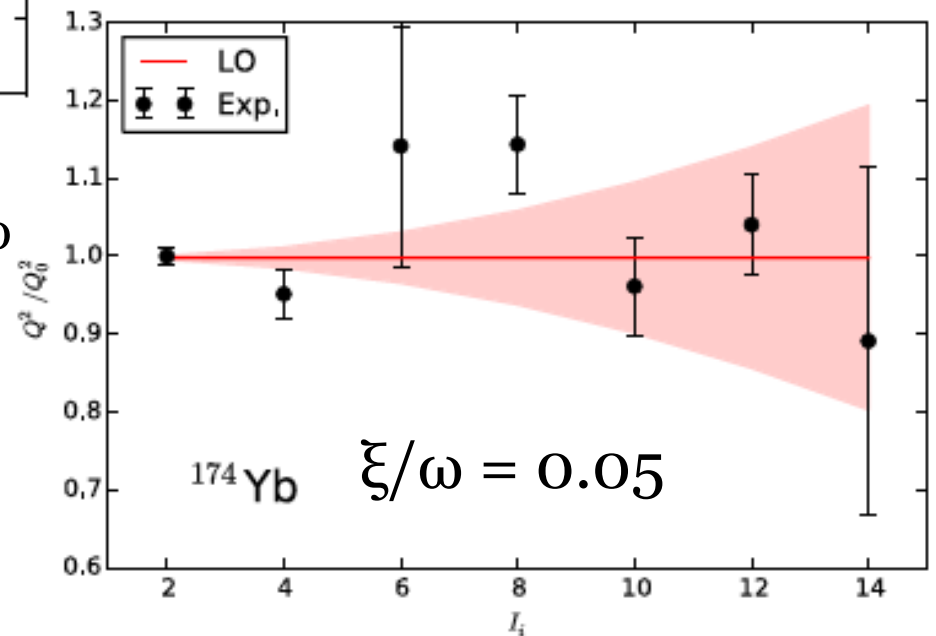


Based on results for molecules, well-deformed nuclei, and transitional nuclei, EFT suggests that a few transitions in text-book rotors could merit re-measurement.

$^{168}\text{Er}$ :  $B(E2)$  for  $6^+ \rightarrow 4^+$  very difficult to understand.

$^{174}\text{Yb}$ :  $B(E2)$  for  $8^+ \rightarrow 6^+$  difficult to reconcile with  $4^+ \rightarrow 2^+$ .

Theoretical uncertainty estimates relevant.



# EFT and weak interband transitions ( $^{154}\text{Sm}$ )

| $i \rightarrow f$              | $B(E2)_{\text{exp}}$ | $B(E2)_{\text{ET}}$ | $B(E2)_{\text{CBS}}$ | $B(E2)_{\text{BH}}$ |
|--------------------------------|----------------------|---------------------|----------------------|---------------------|
| $2_g^+ \rightarrow 0_g^+$      | 0.863 (5)            | 0.863 <sup>a</sup>  | 0.853                | 0.863               |
| $4_g^+ \rightarrow 2_g^+$      | 1.201 (29)           | 1.233 (9)           | 1.231                | 1.234               |
| $6_g^+ \rightarrow 4_g^+$      | 1.417 (39)           | 1.358 (23)          | 1.378                | 1.355               |
| $8_g^+ \rightarrow 6_g^+$      | 1.564 (83)           | 1.421 (43)          | 1.471                | 1.424               |
| $2_\gamma^+ \rightarrow 0_g^+$ | 0.0093 (10)          | 0.0110 (28)         |                      | 0.0492              |
| $2_\gamma^+ \rightarrow 2_g^+$ | 0.0157 (15)          | 0.0157 <sup>a</sup> |                      | 0.0703              |
| $2_\gamma^+ \rightarrow 4_g^+$ | 0.0018 (2)           | 0.0008 (2)          |                      | 0.0050              |
| $2_\beta^+ \rightarrow 0_g^+$  | 0.0016 (2)           | 0.0025 (6)          | 0.0024               | 0.0319              |
| $2_\beta^+ \rightarrow 2_g^+$  | 0.0035 (4)           | 0.0035 <sup>a</sup> | 0.0069               | 0.0456              |
| $2_\beta^+ \rightarrow 4_g^+$  | 0.0065 (7)           | 0.0063 (16)         | 0.0348               | 0.0821              |

<sup>a</sup>Values employed to adjust the LECs of the effective theory.

In-band transitions [in  $e^2b^2$ ] are LO, inter-band transitions are NLO. Effective theory is more complicated than Bohr Hamiltonian both in Hamiltonian and E2 transition operator. EFT correctly predicts strengths of inter-band transitions with natural LECs.

[E. A. Coello Pérez and TP, Phys. Rev. C 92, 014323 (2015)]

# Summary

- **Exciting times in nuclear theory**
  - explosion of many-body solvers; capabilities not matched by interactions
  - many new developments regarding interactions
- **Optimization of chiral interaction  $\text{NNLO}_{\text{sat}}$** 
  - spectra of p-shell and sd-shell nuclei comparable to other chiral interactions
  - considerably improved radii and binding energies
- **EFT for deformed nuclei & vibrational nuclei**
  - **vibrational nuclei:** consistent description of spectra and EM moments within uncertainties up to the 2-phonon limit; anharmonic quadrupole vibrators
  - **deformed nuclei:** description of strong in-band transitions with uncertainty estimates suggest re-measurements of some transitions; weak inter-band transitions correctly described within EFT.