In-Medium SRG for Closed and Open-Shell Nuclei



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- Introduction to the In-Medium SRG
- Multi-Reference IM-SRG
- Ground States of Closed- and Open-Shell Nuclei
- IM-SRG Interactions for the Shell Model
- Next Steps

Introduction to the In-Medium SRG

S. K. Bogner, H. H., T. D. Morris, A. Schwenk, and K. Tuskiyama, to appear in Phys. Rept. H. H., S. K. Bogner, S. Binder, A. Calci, J. Langhammer, R. Roth, and A. Schwenk, Phys. Rev. C 87, 034307 (2013)

K. Tsukiyama, S. K. Bogner, and A. Schwenk, Phys. Rev. Lett. 106, 222502 (2011)

Similarity Renormalization Group

Basic Concept

continuous unitary transformation of the Hamiltonian to banddiagonal form w.r.t. a given "uncorrelated" many-body basis

• flow equation for Hamiltonian $H(s) = U(s)HU^{\dagger}(s)$:

$$\frac{d}{ds}H(s) = \left[\eta(s), H(s)\right], \quad \eta(s) = \frac{dU(s)}{ds}U^{\dagger}(s) = -\eta^{\dagger}(s)$$

• choose $\eta(s)$ to achieve desired behavior, e.g.,

$$\eta(\mathbf{S}) = \left[\mathbf{H}_{\mathbf{d}}(\mathbf{S}), \mathbf{H}_{\mathbf{od}}(\mathbf{S}) \right]$$

to suppress (suitably defined) off-diagonal Hamiltonian

• consistent evolution for all observables of interest

Decoupling in A-Body Space



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Normal Ordering



- second quantization: $A_{I_1...I_N}^{k_1...k_N} = a_{k_1}^{\dagger} \dots a_{k_N}^{\dagger} a_{I_N} \dots a_{I_1}$
- particle- and hole density matrices:

$$\lambda_{l}^{k} = \left\langle \Phi \middle| A_{l}^{k} \middle| \Phi \right\rangle \longrightarrow n_{k} \delta_{l}^{k}, \quad n_{k} \in \{0, 1\}$$

$$\xi_{l}^{k} = \lambda_{l}^{k} - \delta_{l}^{k} \longrightarrow -\overline{n}_{k} \delta_{l}^{k} \equiv -(1 - n_{k}) \delta_{l}^{k}$$

• define normal-ordered operators recursively:

$$\begin{aligned} A_{l_1...l_N}^{k_1...k_N} &=: A_{l_1...l_N}^{k_1...k_N} :+ \lambda_{l_1}^{k_1} :A_{l_2...l_N}^{k_2...k_N} :+ \text{singles} \\ &+ \left(\lambda_{l_1}^{k_1} \lambda_{l_2}^{k_2} - \lambda_{l_2}^{k_1} \lambda_{l_1}^{k_2}\right) :A_{l_3...l_N}^{k_3...k_N} :+ \text{doubles} + \dots \end{aligned}$$

• algebra is simplified significantly because

$$\langle \Phi | : A_{I_1...I_N}^{k_1...k_N} : | \Phi \rangle = 0$$

 Wick's theorem gives simplified expansions (fewer terms!) for products of normal-ordered operators

Normal-Ordered Hamiltonian



Normal-Ordered Hamiltonian

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two-body formalism with in-medium contributions from three-body interactions

Decoupling in A-Body Space



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aim: decouple reference state $|\Phi\rangle$ (0p-0h) from excitations

Decoupling in A-Body Space



• define off-diagonal Hamiltonian (suppressed by IM-SRG flow):

$$H_{od} \equiv f_{od} + \Gamma_{od}, \quad f_{od} \equiv \sum_{ph} f_h^p : A_h^p : + \text{H.c.}, \quad \Gamma_{od} \equiv \frac{1}{4} \sum_{pp'hh'} \Gamma_{hh'}^{pp'} : A_{hh'}^{pp'} : + \text{H.c.}$$

construct generator

Choice of Generator



• Wegner:
$$\eta' = [H_d, H_{od}]$$

• White: (J. Chem. Phys. 117, 7472)

$$\eta'' = \sum_{ph} \frac{f_h^p}{\Delta_h^p} : A_h^p : +\frac{1}{4} \sum_{pp'hh'} \frac{\Gamma_{hh'}^{pp'}}{\Delta_{hh'}^{pp'}} : A_{hh'}^{pp'} : -\text{H.c.}$$

$$\Delta_h^p, \Delta_{hh'}^{pp'} : \text{approx. 1p1h, 2p2h excitation energies}$$

• "imaginary time": (Morris, Bogner)

$$\eta^{III} = \sum_{ph} \operatorname{sgn} \left(\Delta_h^p \right) f_h^p : A_h^p : + \frac{1}{4} \sum_{pp'hh'} \operatorname{sgn} \left(\Delta_{hh'}^{pp'} \right) \Gamma_{hh'}^{pp'} : A_{hh'}^{pp'} : - \text{H.c.}$$

- off-diagonal matrix elements are suppressed like $e^{-\Delta^2 s}$ (Wegner), e^{-s} (White), and $e^{-|\Delta|s}$ (imaginary time)
- g.s. energies (s $\rightarrow \infty$) differ by $\ll 1\%$

IM-SRG(2) Flow Equations





IM-SRG(2): truncate ops. at two-body level

H. Hergert - TH Co -----

, computational Advances in Nuclear and Hadron Physics (CANHP 2015)", Yukawa Institute, Kyoto, Oct 15, 2015

IM-SRG(2) Flow Equations





Decoupling





Multi-Reference IM-SRG

H. H., in preparation

H. H., S. Bogner, T. Morris, S. Binder, A. Calci, J. Langhammer, R. Roth, Phys. Rev. C 90, 041302 (2014)

H. H., S. Binder, A. Calci, J. Langhammer, and R. Roth, Phys. Rev. Lett 110, 242501 (2013)



- generalized Wick's theorem for arbitrary reference states (Kutzelnigg & Mukherjee)
- define irreducible n-body density matrices of reference state:

$$\rho_{mn}^{kl} = \lambda_{mn}^{kl} + \lambda_m^k \lambda_n^l - \lambda_n^k \lambda_m^l$$
$$\rho_{lmn}^{ijk} = \lambda_{lmn}^{ijk} + \lambda_l^i \lambda_{mn}^{jk} + \lambda_l^i \lambda_m^{jk} \lambda_n^k + \text{permutations}$$

• irreducible densities give rise to additional contractions:

$$: A_{cd...}^{ab...} :: A_{mn...}^{kl...} : \longrightarrow \lambda_{mn}^{ab}$$
$$: A_{cd...}^{ab...} :: A_{mn...}^{kl...} : \longrightarrow \lambda_{cm}^{ab}$$

MR-IM-SRG Flow Equations



0-body flow:

$$\begin{aligned} \frac{dE}{ds} &= \sum_{ab} (n_a - n_b) \eta_b^a f_a^b + \frac{1}{2} \sum_{abcd} \eta_{cd}^{ab} \Gamma_{ab}^{cd} n_a n_b \bar{n}_c \bar{n}_d \\ &+ \frac{1}{4} \sum_{abcd} \left(\frac{d}{ds} \Gamma_{cd}^{ab} \right) \lambda_{cd}^{ab} + \frac{1}{4} \sum_{abcdklm} \left(\eta_{cd}^{ab} \Gamma_{am}^{kl} - \Gamma_{cd}^{ab} \eta_{am}^{kl} \right) \lambda_{cdm}^{bkl} \end{aligned}$$

1-body flow:

$$\begin{split} \frac{d}{ds}f_{2}^{1} &= \sum_{a} \left(\eta_{a}^{1}f_{2}^{a} - f_{a}^{1}\eta_{2}^{a} \right) + \sum_{ab} \left(\eta_{b}^{a}\Gamma_{a2}^{b1} - f_{b}^{a}\eta_{a2}^{b1} \right) (n_{a} - n_{b}) \\ &+ \frac{1}{2} \sum_{abc} \left(\eta_{bc}^{1a}\Gamma_{2a}^{bc} - \Gamma_{bc}^{1a}\eta_{2a}^{bc} \right) (n_{a}\bar{n}_{b}\bar{n}_{c} + \bar{n}_{a}n_{b}n_{c}) \\ &+ \frac{1}{4} \sum_{abcde} \left(\eta_{bc}^{1a}\Gamma_{2a}^{de} - \Gamma_{bc}^{1a}\eta_{2a}^{de} \right) \lambda_{bc}^{de} + \sum_{abcde} \left(\eta_{bc}^{1a}\Gamma_{2d}^{be} - \Gamma_{bc}^{1a}\eta_{2d}^{be} \right) \lambda_{cd}^{ae} \\ &- \frac{1}{2} \sum_{abcde} \left(\eta_{2b}^{1a}\Gamma_{ae}^{cd} - \Gamma_{2b}^{1a}\eta_{ae}^{cd} \right) \lambda_{be}^{cd} + \frac{1}{2} \sum_{abcde} \left(\eta_{2b}^{1a}\Gamma_{de}^{bc} - \Gamma_{2b}^{1a}\eta_{de}^{bc} \right) \lambda_{de}^{ac} \end{split}$$



2-body flow:

$$\begin{aligned} \frac{d}{ds}\Gamma_{34}^{12} &= \sum_{a} \left(\eta_{a}^{1}\Gamma_{34}^{a2} + \eta_{a}^{2}\Gamma_{34}^{1a} - \eta_{3}^{a}\Gamma_{a4}^{12} - \eta_{4}^{a}\Gamma_{3a}^{12} - f_{a}^{1}\eta_{34}^{a2} - f_{a}^{2}\eta_{34}^{1a} + f_{3}^{a}\eta_{a4}^{12} + f_{4}^{a}\eta_{3a}^{12} \right) \\ &+ \frac{1}{2}\sum_{ab} \left(\eta_{ab}^{12}\Gamma_{34}^{ab} - \Gamma_{ab}^{12}\eta_{34}^{ab} \right) (1 - n_{a} - n_{b}) \\ &+ \sum_{ab} (n_{a} - n_{b}) \left(\left(\eta_{3b}^{1a}\Gamma_{4a}^{2b} - \Gamma_{3b}^{1a}\eta_{4a}^{2b} \right) - \left(\eta_{3b}^{2a}\Gamma_{4a}^{1b} - \Gamma_{3b}^{2a}\eta_{4a}^{1b} \right) \right) \end{aligned}$$

- two-body flow identical to closed-shell case
- numerical scaling: O(N⁶)

MR-IM-SRG Flow Equations



0-body flow:

$$\frac{dE}{ds} = \sum_{ab} (n_a - n_b) \eta_b^a f_a^b + \frac{1}{2} \sum_{abcd} \eta_{cd}^{ab} \Gamma_{ab}^{cd} n_a n_b \bar{n}_c \bar{n}_d \qquad O(N^4)$$

$$+ \frac{1}{4} \sum_{abcd} \left(\frac{d}{ds} \Gamma_{cd}^{ab} \right) \lambda_{cd}^{ab} + \frac{1}{4} \sum_{abcdklm} \left(\eta_{cd}^{ab} \Gamma_{am}^{kl} - \Gamma_{cd}^{ab} \eta_{am}^{kl} \right) \lambda_{cdm}^{bkl}$$

$$O(N^4) \qquad O(N^7)$$

- storage of full 3B density matrix too expensive in general
- exploit structure of specific reference states:
 - Projected HFB: O(N³) storage, scaling reduced to O(N⁴)

 $\lambda_{def}^{abc} = \overline{\lambda}_{abc} \ \delta_d^a \delta_e^b \delta_f^c + \widetilde{\lambda}_{a|be} \ \delta_d^a \delta_b^{b\bar{c}} \delta_{e\bar{f}} + \text{perm.}$

• NCSM / active-space CI: small non-zero block only

Decoupling Revisited



$$\left\langle \begin{array}{l} p \\ s \end{array} \middle| H \middle| \Phi \right\rangle \sim \bar{n}_{p} n_{s} f_{s}^{p}, \sum_{kl} f_{l}^{k} \lambda_{pl}^{sk}, \sum_{klmn} \Gamma_{mn}^{kl} \lambda_{pmn}^{skl}, \dots \right.$$

$$\left. \begin{array}{l} pq \\ st \end{array} \middle| H \middle| \Phi \right\rangle \sim \bar{n}_{p} \bar{n}_{q} n_{s} n_{t} \Gamma_{st}^{pq}, \sum_{kl} \Gamma_{sl}^{pk} \lambda_{ql}^{tk}, \sum_{kl} f_{l}^{k} \lambda_{pql}^{stk}, \sum_{klmn} \Gamma_{mn}^{kl} \lambda_{pqmn}^{stkl}, \dots \right.$$

$$\left. \begin{array}{l} pq \\ pqr \\ stu \end{matrix} \right| H \middle| \Phi \right\rangle \sim \dots \right.$$

- truncation in irreducible density matrices based on, e.g.,
 - number of correlated vs. total pairs, triples, ... (caveat: highly collective reference states)
 - perturbative analysis (e.g. for shell-model like states)
- verify for chosen multi-reference state when possible

Traditional Generators





approximations cause non-monotonic behavior of energy & generator norm



• consider unitary variations of the energy functional

$$\boldsymbol{E}(\boldsymbol{s}) = \left\langle \left. \boldsymbol{\Phi} \right| \boldsymbol{H}(\boldsymbol{s}) \left| \boldsymbol{\Phi} \right. \right\rangle$$

 define generator as the residual of the irreducible Brillouin condition (= gradient of E)

$$\eta_{r}^{p} \equiv \left\langle \Phi \right| \left[: A_{r}^{p} :, H \right] \left| \Phi \right\rangle$$
$$\eta_{rs}^{pq} \equiv \left\langle \Phi \right| \left[: A_{rs}^{pq} :, H \right] \left| \Phi \right\rangle$$

- fixed point ($\eta = 0$) is reached when IBC is satisfied, energy stationary (cf. ACSE approach in Quantum Chemistry)
- Brillouin generator depends linearly on λ_s^p , λ_{st}^{pq} , λ_{stu}^{pqr} , higher irreducible density matrices are not required

Brillouin Generator





energy & norm of Brillouin generator decay monotonically (approx. for ⁴He: 2B "particle-hole"-like term switched off, 3B density not yet included)

Brillouin Generator





energy & norm of Brillouin generator decay monotonically

Projected HFB: 3B density matrix is (quasi-)diagonal (O(N³) storage), can be fully included in generator and energy flow

Ground States of Closed and Open-Shell Nuclei

H. H., in preparation

H. H., S. Bogner, T. Morris, S. Binder, A. Calci, J. Langhammer, R. Roth, Phys. Rev. C 90, 041302 (2014)

H. H., S. Binder, A. Calci, J. Langhammer, and R. Roth, Phys. Rev. Lett 110, 242501 (2013)

H. H., S. K. Bogner, S. Binder, A. Calci, J. Langhammer, R. Roth, and A. Schwenk, Phys. Rev. C 87, 034307 (2013)

Interactions from Chiral EFT





- organization in powers $(Q/\Lambda_{\chi})^{\nu}$ allows systematic improvement
- low-energy constants fit to NN, 3N data (future: from Lattice QCD (?))
- consistent NN, 3N, ... interactions & operators (electromagnetic & weak transitions, etc.)



Initial Hamiltonian

- NN: chiral interaction at N³LO (Entern & Machleidt)
- 3N: chiral interaction at N²LO (c_D , c_E fit to ³H, ⁴He energies, β decay)

SRG-Evolved Hamiltonians

- NN + 3N-induced: start with initial NN Hamiltonian, keep two- and three-body terms
- NN + 3N-full: start with initial NN + 3N Hamiltonian, keep two- and three-body terms

Results: Oxygen Chain



HH et al., PRL 110, 242501 (2013), ADC(3): A. Cipollone et al., PRL 111, 242501 (2013)



- Multi-Reference IM-SRG with number-projected Hartree-Fock-Bogoliubov as reference state
- consistent results from different many-body methods

Neon Isotopes





 MR-IM-SRG selects excited 0⁺ state with spherical intrinsic structure (symmetry constrained)

Two-Neutron Separation Energies





HH et al., PRC 90, 041302(R) (2014)

- differential observables (S_{2n}, spectra,...) filter out interaction components that cause overbinding
- predict flat trends for g.s.
 energies/S_{2n} beyond ⁵⁴Ca
 await experimental data
- ⁵²Ca, ⁵⁴Ca robustly magic due to 3N interaction
- no continuum coupling yet, other S_{2n} uncertainties < 1MeV

Two-Neutron Separation Energies





HH et al., PRC 90, 041302(R) (2014)

- flat trends for g. s. energies and S_{2n} (similar to Ca)
- deformation instability in ^{64,66}Ni calculations - issue with "shell" structure
- further evidence from 3N cutoff variation
- no continuum coupling yet, other S_{2n} uncertainties < 1MeV

Isotopic Chains Around Ca





- S_{2n} consistent with Gor'kov GF, (weak) shell closure predicted in ⁴⁶Ar (Soma et al., PRC 89, 061301(R), 2014)
- ^{48,49}Ar masses measured at NSCL, ⁴⁶Ar shell closure confirmed (Meisel et al., PRL 114, 022501, 2015)

The Frontier: Tin





- systematics of overbinding similar to Ca/Ni
- not converged with respect to 3N matrix element truncation:

$$e_1 + e_2 + e_3 \leq E_{3max}$$

(e_{1,2,3} : SHO energy quantum numbers)

need technical improvements to go further

IM-SRG Interactions for the Shell Model

S. K. Bogner, H. H., T. D. Morris, A. Schwenk, and K. Tuskiyama, to appear in Phys. Rept.

- S. K. Bogner, H. H., J. D. Holt, S. R. Stroberg, A. Schwenk, in preparation
- S. K. Bogner, H. H., J. D. Holt, A. Schwenk, S. Binder, A. Calci, J. Langhammer, R. Roth, Phys. Rev. Lett. 113, 142501 (2014)
- K. Tsukiyama, S. K. Bogner, and A. Schwenk, Phys. Rev. C 85, 061304(R) (2012)

also see talk by J. D. Holt (week 2)

Valence Space Decoupling



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Valence Space Decoupling



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• construct generator from off-diagonal Hamiltonian $\left\{H^{od}\right\} = \left\{f_{h'}^{h}, f_{p'}^{\rho}, f_{h}^{\rho}, f_{v}^{\rho}, \Gamma_{hh'}^{\rho\rho'}, \Gamma_{hv'}^{\rho\rho'}, \Gamma_{vv'}^{\rhoq}\right\} \& \text{H.c.}$

From Oxygen...





- 3N forces crucial
- IM-SRG improves on finite-order MBPT effective interaction
- competitive with phenomenological calculations

... Into the sd-Shell...



L. Caceres et al., PRC 92, 014327 (2015)



... Into the sd-Shell...







... And Beyond

experimental data: A. Gade et al., PRL 112, 112503 (2014) and NNDC



 theoretical level scheme similar to empirical interactions (LNPS, GXPF1A)



NN + 3N-full(400)



elevated 2⁺ energy consistent with S_{2n} from MR-IM-SRG g.s. calculations with same Hamiltonian

Next Steps



T. D. Morris, N. M. Parzuchowski, S. K. Bogner, PRC 92, 034331 (2015)

• construct unitary transformation explicitly:

$$U(\mathbf{s}) = S \exp \int_0^{\mathbf{s}} d\mathbf{s}' \eta(\mathbf{s}') \equiv \exp \Omega(\mathbf{s})$$

• flow equation for Magnus operator :

$$\frac{d}{ds}\Omega = \sum_{k=0}^{\infty} \frac{B_k}{k!} \operatorname{ad}_{\Omega}^k(\eta) , \quad \operatorname{ad}_{\Omega}(O) = [\Omega, O]$$

(B_k: Bernoulli numbers)

- construct $O(s) = U(s)O_0U^{\dagger}(s)$ using Baker-Campbell-Hausdorff expansion (Hamiltonian + effective operators)
- generate systematic approximations to (MR-)IM-SRG(3)
- simple integrator sufficient (Euler!) unitarity built in

Example: Homogenous Electron Gas

T. D. Morris, N. M. Parzuchowski, S. K. Bogner, PRC 92, 034331 (2015)

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Effective Operators





- small radii: interaction issue (power counting, regulators, LECs, ...), also consider currents?
- first applications for scalar operators (radii, electromagnetic monopole, ...) in progress

• tensor operators under validation

Reach of Ab Initio Methods









- Magnus expansion for MR-IM-SRG (incl. approximate MR-IM-SRG(3))
- **effective operators and currents** (eventually with evolution to consistent resolution scale)
- exploration of new chiral NN + 3N Hamiltonians (NNLO_{sat}, EKM N³LO, ...)
- (Multi-Reference) Equation-of-Motion methods as an alternative to Shell Model (or CI)
- construction and validation of multi-shell valence interactions
- inclusion of continuum effects

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Nuclear Computation Development of the second secon

Supplements

In-Medium SRG Flow: Diagrams





In-Medium SRG Flow: Diagrams





Particle-Number Projected HFB State

 HFB ground state is a superposition of states with different particle number:

$$\left|\Psi\right\rangle = \sum_{A=N,N\pm2,...} c_A \left|\Psi_A\right\rangle, \quad \left|\Psi_N\right\rangle \equiv P_N \left|\Psi\right\rangle \equiv \frac{1}{2\pi} \int_0^{2\pi} d\phi \, e^{i\phi(\hat{N}-N)} \left|\Psi\right\rangle$$

• calculate irreducible densities (project only once), e.g.,

$$\lambda_{I}^{k} = \frac{\left\langle \Psi \middle| A_{I}^{k} P_{N} \middle| \Psi \right\rangle}{\left\langle \Psi \middle| \Psi \right\rangle}, \quad \lambda_{mn}^{kl} = \frac{\left\langle \Psi \middle| A_{mn}^{kl} P_{N} \middle| \Psi \right\rangle}{\left\langle \Psi \middle| \Psi \right\rangle} - \lambda_{m}^{k} \lambda_{m}^{l} + \lambda_{n}^{k} \lambda_{m}^{l}$$

• work in natural orbitals (= HFB canonical basis):

$$\lambda_l^k = n_k \delta_l^k \left(= v_k^2 \delta_l^k \right) , \quad 0 \le n_k \le 1$$

• in NO basis, λ_{mn}^{kl} , λ_{nop}^{klm} require only N²/2, N³/4 storage

Equations-of-Motion for Excitations



• describe "excited states" based on reference state:

$$\left|\Psi_{k}\right
angle\equiv R_{k}\left|\Psi_{0}
ight
angle$$

• (MR-)IM-SRG effective Hamiltonian in EOM approach:

$$[H(\infty), R_k] = \omega_k R_k, \quad \omega_k = E_k - E_0$$

- computational effort scales polynomially, vs. factorial scaling of Shell Model
- can exploit Multi-Reference capabilities (commutator formulation identical to flow equations)

complementary to Shell Model



• particle-hole excitations (TDA, RPA, Second RPA, ...)

$$R_{k} = \sum_{ph} R_{ph}^{(k)} : a_{p}^{\dagger} a_{h} : + \sum_{pp'hh'} R_{pp'hh'}^{(k)} : a_{p}^{\dagger} a_{p'}^{\dagger} a_{h'} a_{h} : + \dots$$

giant resonances

• particle attachment (analogous for removal):

$$R_{k} = \sum_{ph} R_{p}^{(k)} : a_{p}^{\dagger} : + \sum_{pp'h} R_{pp'h}^{(k)} : a_{p}^{\dagger} a_{p'}^{\dagger} a_{h} : + \dots$$

ground and excited states in odd nuclei

Effective Operators





from: Schuster et al., PRC90, 011301 (2014)

- derive operators from chiral EFT, including currents
- optimize LECs together with interaction
- evolve to desired resolution scale
- evaluate operator (1B+2B +...) in IM-SRG (and Shell Model)
- (most) existing ab initio & Shell model codes lack capabilities for many-body observables

³H rms matter radius

Effective Operators





- (transition) operators from chiral EFT, including currents
 - LECs consistent with nuclear interaction
- (S)RG evolution to resolution scale of the Hamiltonian / Hilbert space
- IM-SRG evolution consistent with Hamiltonian
- evaluation of 1B, 2B, (3B,...) transition operator, e.g., in Shell Model code
 - transition densities in pn formalism (Coulomb, isospin breaking in nuclear interaction)