## Global performance of the state-of-the-art covariant energy density functionals and related theoretical uncertainties

## Anatoli Afanasjev

## Mississippi State University (MSU), USA

- 1. Motivation: better understanding of the accuracy and uncertainties in the description of different observables and how they propagate to nuclear extremes
- 2. Ground state observables (global view)
- 3. The uncertainties in the predictions and their sources
  - neutron-drip line
  - superheavy nuclei
- 4. Single-particle properties: a key role
- 5. Conclusions

In collaboration with S.Abgemava, D. Ray (MSU), P. Ring (TU Munich) and T. Nakatsukasa (Tsukuba U) and E. Litvinova (WMU)



#### 1. Motivation: better understanding of the accuracy and uncertainties in the description of different observables and how they propagate to nuclear extremes

Number of the functionals:

 Skyrme
 - 240
 M.Dutra et al, PRC 85, 035201 (2012)

 covariant functionals
 -- 263,
 M. Dutra et al, PRC 90, 055203 (2014)

#### **Estimating theoretical errors:**

statistical errors - well defined (not yet done)systematic (non-statistical) - well defined for the regions where experimentalerrorsdata exist [remember "error is a deviation from<br/>true value" (webster)]-- not well defined for the regions beyond experimentally known

Theoretical uncertainties are defined by the **spread** (the difference between maximum and minimum values of physical observable obtained with employed set of CEDF's).

$$\Delta O(Z,N) = |O_{\max}(Z,N) - O_{\min}(Z,N)|$$

**NL3**<sup>\*</sup>, DD-ME2, DD-ME $\delta$ , DD-PC1 [ also PC-PK1 in superheavy nuclei ]



density matrix  $\hat{\rho} \qquad \phi_m \equiv \{\sigma, \omega^{\mu}, \vec{\rho}^{\mu}, A^{\mu}\}$  - meson fields



Three classes of CDFT models.

Meson-exchange models

$$\mathcal{L} = \bar{\psi} [\gamma (i\partial - g_{\omega}\omega - g_{\rho}\vec{\rho}\,\vec{\tau} - eA) - m - g_{\sigma}\sigma]\psi$$
  
+  $\frac{1}{2}(\partial\sigma)^2 - \frac{1}{2}m_{\sigma}^2\sigma^2 - \frac{1}{4}\Omega_{\mu\nu}\Omega^{\mu\nu} + \frac{1}{2}m_{\omega}^2\omega^2$   
-  $\frac{1}{4}\vec{R}_{\mu\nu}\vec{R}^{\mu\nu} + \frac{1}{2}m_{\rho}^2\vec{\rho}^2 - \frac{1}{4}F_{\mu\nu}F^{\mu\nu},$ 

## Models with explicit density dependence

no nonlinear terms in the  $\sigma$  meson

 $g_i(\rho) = g_i(\rho_{\text{sat}})f_i(x)$  for  $i = \sigma, \omega, \rho$ 

 $f_i(x) = a_i \frac{1 + b_i (x + d_i)^2}{1 + c_i (x + d_i)^2} \quad \text{for } \sigma \text{ and } \omega$ 

$$f_{\rho}(x) = \exp[-a_{\rho}(x-1)] \quad \text{ for } \rho$$

$$x = \rho / \rho_{sat}$$

DD-ME2, DD-MEδ

Non-linear models

$$U(\sigma) = \frac{1}{2}m_{\sigma}^{2}\sigma^{2} + \frac{1}{3}g_{2}\sigma^{3} + \frac{1}{4}g_{3}\sigma^{4}$$

$\square$	NL3*	

## **Effective density dependence:**

The basic idea comes from ab initio calculations. Density dependent coupling constants include Brueckner correlations and three-body forces



Typel, Wolter, NPA **656**, 331 (1999) Niksic, Vretenar, Finelli, P.R., PRC **66**, 024306 (2002): Lalazissis, Niksic, Vretenar, P.R., PRC **78**, 034318 (2008):

DD-ME1 DD-ME2

## **Effective density dependence:**

The basic idea comes from ab initio calculations. Sensity dependent coupling constants include Brueckner correlations and three-body forces



Point-coupling models with derivative terms:



#### adjusted to ground state properties of finite nuclei

 Manakos and Mannel, Z.Phys. 330, 223 (1988)

 Bürvenich, Madland, Maruhn, Reinhard, PRC 65, 044308 (2002):

 Niksic, Vretenar, P.R., PRC 78, 034318 (2008):

 Zhao, Li, Yao, Meng, J. Meng, archiv 1002.1789

Three classes of CDFT models.

Point-coupling models

$$\begin{aligned} \mathcal{L} &= \bar{\psi}(i\gamma \cdot \partial - m)\psi \\ &- \frac{1}{2} \alpha_{S}(\hat{\rho})(\bar{\psi}\psi)(\bar{\psi}\psi) - \frac{1}{2} \alpha_{V}(\hat{\rho})(\bar{\psi}\gamma^{\mu}\psi)(\bar{\psi}\gamma_{\mu}\psi) \\ &- \frac{1}{2} \alpha_{TV}(\hat{\rho})(\bar{\psi}\vec{\tau}\gamma^{\mu}\psi)(\bar{\psi}\vec{\tau}\gamma_{\mu}\psi) \\ &- \frac{1}{2} \delta_{S}(\partial_{v}\bar{\psi}\psi)(\partial^{v}\bar{\psi}\psi) - e\bar{\psi}\gamma \cdot A \frac{(1-\tau_{3})}{2}\psi. \end{aligned}$$



Details:

1. No mesons

2. The derivative terms account for the leading

effects of finite-range interaction,

3. Explicit density dependence

### **Global performance**

Ground state observables: S.E.Agbemava, AA, D.Ray and P.Ring, PRC 89, 054320 (2014) (37 pages) includes as a supplement to the manuscript complete mass, deformation and radii table for even-even nuclei with Z<104 obtained with DD-PC1

**Neutron drip lines and sources of their uncertainties**: PLB 726, 680 (2013), PRC **89**, 054320 (2014), PRC 91, 014324 (2015)

#### Superheavy nuclei reexamined

AA. S.E.Agbemava, Acta Physica Polonica, 46, 405 (2015) S.E.Agbemava, AA, T. Nakatsukasa, P. Ring, PRC 92, 054310 (2015) includes as a supplement to the manuscript

complete mass, deformation and radii table for even-even nuclei with 106<Z<130 obtained with DD-PC1 and PC-PK1 Systematic studies in local regions (mostly actinides)

Accuracy of the description of deformed one-quasiparticle states AA and S.Shawaqfeh, PLB 706 (2011) 177

#### **Fission barriers in actinides and SHE**

actinides: H. Abusara, AA and P. Ring, PRC 82, 044303 (2010) superheavies: H. Abusara, AA and P. Ring, PRC 85, 024314 (2012) and to be published

Pairing and rotational properties of even-even of odd-mass actinides AA and O.Abdurazakov, PRC 88, 014320 (2013), AA, Phys. Scr. 89 (2014) 054001

### 2. Ground state observables (global view)

**RHB** framework

$$\begin{pmatrix} h_D - \lambda & \Delta \\ -\Delta^* & -h_D^* + \lambda \end{pmatrix} \begin{pmatrix} U \\ V \end{pmatrix}_k = E_k \begin{pmatrix} U \\ V \end{pmatrix}_k$$

1. Axial RHB calculations in large basis (all fermionic states up to  $N_F$ =20 and bosonic states up to  $N_B$ =20 are included)

2. The separable version of the finite range Brink-Booker part of the Gogny D1S force is used in the particle-particle channel; its strength variation across the nuclear chart is defined by means of the fit of rotational moments of inertia calculated in the cranked RHB framework to experimental data.



NL3\*- G.A. Lalazissis et al PLB 671 (2009) 36 - 7 parameters
DD-ME2 - G. A. Lalazissis, et al, PRC 71, 024312 (2005) – 10 parameters
DD-PC1 - T. Niksic et al, PRC 78, 034318 (2008) – 10 parameters
DD-Meδ - X. Roca-Maza et al, PRC 84, 054309 (2011) – 14 parameters
only 4 parameters are fitted to finite nuclei,
others - to Bruckner calculations of nuclear matter







### Nuclear matter properties and propagation of the mass uncertainties towards neutron drip line



TABLE III. The rms-deviations  $\Delta E_{\rm rms}$ ,  $\Delta(S_{2n})_{\rm rms}$ ( $\Delta(S_{2p})_{\rm rms}$ ) between calculated and experimental binding energies E and two-neutron(-proton) separation energies  $S_{2n}$  ( $S_{2p}$ ). They are given in MeV for the indicated CDFT parameterizations with respect to "measured" and "measured+estimated" sets of experimental masses.

EDF	measured	measured + estimated			
	$\Delta E_{\rm rms}$	$\Delta E_{\rm rms}$	$\Delta(S_{2n})_{\rm rms}$	$\Delta(S_{2p})_{\rm rms}$	
NL3*	2.96	3.00	1.23	1.29	
DD-ME2	2.39	2.45	1.05	0.95	
DD-ME $\delta$	2.29	2.40	1.09	1.09	
DD-PC1	2.01	2.15	1.16	1 1 1 2	
		Force	<b>∆Erms</b> [MeV]		
		FSUGold	6.5		
RMF+BCS	S results (FSU)	NL3	3.8		
PG. Keir F20, 1379	inard et al, ini 9 (2011)	TM1	5.9		
220, 20, 1	- (=-=)	BSR4	2.6		
UNE	DF* - Kortelai	Skyrme DFT			
	054314 (20	UNEDF1	1.91		
		UNEDF2	1.95		



Neutron skin thicknesses  $r_{skin}$  in <sup>48</sup>Ca and <sup>208</sup>Pb obtained in calculations with the indicated CEDF's.

## How large should be neutron skin in <sup>208</sup>Pb?





Theoretical uncertainties are most pronounced for transitional nuclei (due to soft potential energy surfaces) and in the regions of transition between prolate and oblate shapes. Details depend of the description of single-particle states

#### 3A. The uncertainties in the predictions and their sources - neutron-drip line





AA, S. Agbemava, D. Ray and P. Ring, PLB 726, 680 (2013) Skyrme DFT – J.Erler et al, Nature 486, 589 (2012)



Sources of uncertainties in the prediction of two-neutron drip line --- poorly known isovector properties of energy density functionals (the position of two-neutron drip line does not correlate with nuclear matter properties of the energy density functional (PLB 726, 680 (2013), PRC 85, 014324 (2014))

- --- inaccurate description of energies of the single-particle states (PRC 91, 014324 (2015),
- --- shallow slope of two-neutron separation energies (PRC 85, 014324 (2014))

### FRIB, RIKEN etc. will help to better understand isovector properties of nuclei, but will not resolve all existing problems. Further theoretical development and refinement of the models are needed.



## The shell structure still survives in neutron-rich nuclei



## The SHE and two-neutron drip line – the common source of uncertainties (single-particle states)



Predictions of two-neutron drip line for DD-Me $\delta$  and DD-PC1 are closer to DD-ME2 than to NL3\*

## The SHE and two-neutron drip line – the common source of uncertainties (single-particle states)



#### Two-neutron drip lines: the impact of uncertainties in single-particle energies



Neutron single-particle energies for the ground-state configurations of the Rn isotopes calculated at their equilibrium deformations as a function of neutron number N. Note that the transition to deformation removes the 2i + 1degeneracy of the spherical orbitals.

calculational scheme "B"



## 3B. The uncertainties in the predictions and their sources - superheavy nuclei





Deformation effects on shell structure

 $\rightarrow$  Very important – deformed results differ substantially from spherical ones

Unusual feature: oblate shapes above the shell closures



Results for PC-PK1 are very similar to the ones with NL3\*

#### The spreads (theoretical uncertainties) in the deformations



Proton quadrupole deformation spread  $\Delta\beta_2$ 





#### The source of oblate shapes – the low density of s-p states



Accuracy of the description of experimental data in Z>94 nuclei

1				
CEDF	$\Delta E_{rms}$ [MeV]	$\Delta(S_{2n})_{rms}$ [MeV]	$\Delta(S_{2p})_{rms}$ [MeV]	$\Delta(Q_{\alpha})_{rms}$ [MeV]
1	2	3	4	5
NL3*	3.02/3.39	0.71/0.68	1.33/1.34	0.68/0.75
DD-ME2	1.39/1.40	0.45/0.54	0.85/0.90	0.51/0.65
$DD-ME\delta$	2.52/2.45	0.60/0.51	0.45/0.48	0.39/0.51
DD-PC1	0.59/0.74	0.30/0.32	0.41/0.42	0.36/0.47
PC-PK1	2.82/2.63	0.25/0.23	0.36/0.33	0.32/0.38



With exception of the DD-MEδ, the deformed N=162 gap is well reproduced in all CEDF's



#### Fission barriers: theory versus experiment [state-of-the-art]



superheavies: H. Abusara, AA and P. Ring, PRC 85, 024314 (2012)

### The heights of inner fission barriers in superheavy nuclei



A. Staszczak et al, PRC 87, 024320 (2013) – Skyrme SkM\*
M. Kowal et al, PRC 82, 014303 (2010) – WS pot. + Yukawa exponent. model
P. Moller et al, PRC 79, 064304 (2009) – folded Yukawa pot. + FRDM model

## The spreads (theoretical uncertainties) in the heights of inner fission barriers in superheavy nuclei

Spread of the inner fission barrier height [MeV]



Neutron number N

## Fission recycling in dynamically ejected matter of neutron star mergers.



Dominant fission regions in the (N,Z) plane. Nuclei for which spontaneous fission is estimated to be faster than  $\beta$ -decays are shown by full squares, those for which  $\beta$ -delayed fission is faster than  $\beta$ -decays by open circles, and those for which neutron-induced fission is faster than radiative neutron capture at T=10<sup>9</sup> by diamonds.

From S. Goriely et al, AJL 738, L32 (2011)

# Single-particle energies: how to improve their description?



## Statistical distribution of deviations of the energies of one-quasiparticle states from experiment



### Deformed one-quasiparticle states: covariant and non-relativistic DFT description versus experiment



J.Dobaczewski, AA, et al, NPA, in press

Impact of quasiparticle-vibration coupling on the spectra



## Relativistic quasiparticle-vibration coupling calculations: (1) the NL3\* functional and (2) no tensor interaction



Our analysis clearly indicates that both QVC and tensor interaction act in the same direction and reduce the discrepancies between theory and experiment for the splittings of interest. As a consequence of this competition, the effective tensor force has to be weaker as compared with earlier estimates.

### **Fragmentation of the single-particle strength**



B.P.Kay et al, PRC 84, 024325 (2011) PLB 658, 216 (2008) J. P. Schiffer et al, PRL 92, 162501 (2004) – the states of interest are single-particle ones (S=1)

J. Mitchell, PhD thesis, University of Manchester, (2012) – strong fragmentation of the single-particle strength (cannot be accounted at the DFT level)

M. Conjeaud et al, NPA 117, 449 (1968) and O. Sorlin
Prog. Part. Nucl. Phys. 61, 602 (2008) also support low
S~0.5 for πh<sub>11/2</sub> state in mid-shell Sb isotopes

Quasiparticle-vibration coupling versus tensor force

The definition of the strength of the tensor interaction by means of the fitting to the energies of the dominant singlequasiparticle states in odd-mass nuclei is flawed without accounting for the effects of quasiparticle-vibration coupling.



Towards spectroscopic quality DFT:

- 1. Improvement of the functionals at the DFT level
- 2. Accounting of (quasi)particlevibration coupling
- 3. Inclusion of tensor interaction (not clear at this point)



problems of many

functionals:

N=152 and Z=100

The impact of the uncertainties in the single-particle energies on other observables: example of rotating nuclei







Rotational frequency  $\Omega_{\chi}$  (MeV)

#### Paired band crossings: CRHB+LN versus CSM+PNP

New exp. data S. Hota, PLB 739, 13 (2014)

CSM+PNP (Z.-H.Zhang et al, PRC 85, 014324 (2012)). Careful fit of:

- Parameters of Nilsson potential to the energies of the single-particle states
- Different pairing strength in eveneven and odd nuclei
- Experimental deformations

AA, Phys. Scr. 89 (2014) 054001

CRHB+LN provides more consistent and more accurate description of experimental data than CSM+PNP

### Spectroscopy of <sup>240</sup>U



#### B. Birkenbah et al, Phys. Rev. C 92, 044319 (2015)

## **Conclusions:**

 The accuracy and uncertainties in the description of different physical observables are quantified. State-of-the-art functionals are benchmarked.

2. Theoretical uncertainties for many physical observables are most pronounced in transitional nuclei (due to soft potential energy surfaces) and in the regions of transition between prolate and oblate shapes. This is where the details depend on the accuracy of the description of energies of the single-particle states.

 Further improvement of CEDF requires the use of the information on the energies of the single-particle states. Hopefully this will also reduce "random" (in the [Z,N] plane) component of theoretical uncertainties.