

YIPQS long term workshop  
“Computational Advances in Nuclear and Hadron Physics”  
CANHP2015

Infinite basis-space extrapolation of ground-state energies  
of light nuclei in the no-core Monte Carlo shell model

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# Collaborators

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- JAEA
  - Yutaka Utsuno
- Iowa State U
  - James P. Vary
  - Pieter Maris

# Ab initio approaches

- Major challenge of nuclear physics
  - Understand the nuclear structure & reactions from *ab-initio* calculations w/ realistic **nuclear forces (potentials)**
  - *ab-initio* approaches in nuclear structure physics ( $A > 4$ ):
    - GFMC, NCSM** ( $A \sim 12-16$ ), **CC** (sub-shell closure +/- 1,2),  
**Self-consistent Green's Function theory, IM-SRG, Lattice EFT, ...**

➔ demand for extensive computational resources

- ✓ *ab-initio*(-like) SM approaches (which attempt to go) beyond standard methods
  - IT-NCSM, IT-CI: R. Roth (TU Darmstadt), P. Navratil (TRIUMF), ...
  - SA-NCSM: T. Dytrych, J. P. Draayer, K. D. Launey (Louisiana State U), ...
  - **No-Core Monte Carlo Shell Model (MCSM)**

# “Ab initio” in low-energy nuclear structure physics

- Solve the non-relativistic Schroedinger eq.  
and obtain the eigenvalues and eigenvectors.

$$H|\Psi\rangle = E|\Psi\rangle$$

$$H = T + V_{\text{NN}} + V_{\text{3N}} + \dots + V_{\text{Coulomb}}$$

- **Ab initio**: All nucleons are active, and Hamiltonian consists of realistic NN (+ 3N + ...) potentials.
- Two main sources of uncertainties:
  - **Nuclear forces** (interactions btw/among nucleons)  
In principle, they should be obtained (directly) by QCD.
  - **Many-body methods**  
**CI: Finite basis space** (choice of basis function and truncation)  
We have to extrapolate to infinite basis dimensions

# Shell model (Configuration Interaction, CI)

- Eigenvalue problem of large sparse Hamiltonian matrix

$$H|\Psi\rangle = E|\Psi\rangle$$

$$\begin{pmatrix} H_{11} & H_{12} & H_{13} & H_{14} & H_{15} & \cdots \\ H_{21} & H_{22} & H_{23} & H_{24} & & \\ H_{31} & H_{32} & H_{33} & & & \\ H_{41} & H_{43} & & \ddots & & \\ H_{51} & & & & & \\ \vdots & & & & & \end{pmatrix} \begin{pmatrix} \Psi_1 \\ \Psi_2 \\ \Psi_3 \\ \Psi_4 \\ \Psi_5 \\ \vdots \end{pmatrix} = \begin{pmatrix} E_1 & & & & & 0 \\ & E_2 & & & & \\ & & E_3 & & & \\ & & & \ddots & & \\ & & & & & \\ 0 & & & & & \end{pmatrix} \begin{pmatrix} \Psi_1 \\ \Psi_2 \\ \Psi_3 \\ \Psi_4 \\ \Psi_5 \\ \vdots \end{pmatrix}$$

Large sparse matrix (in M-scheme)

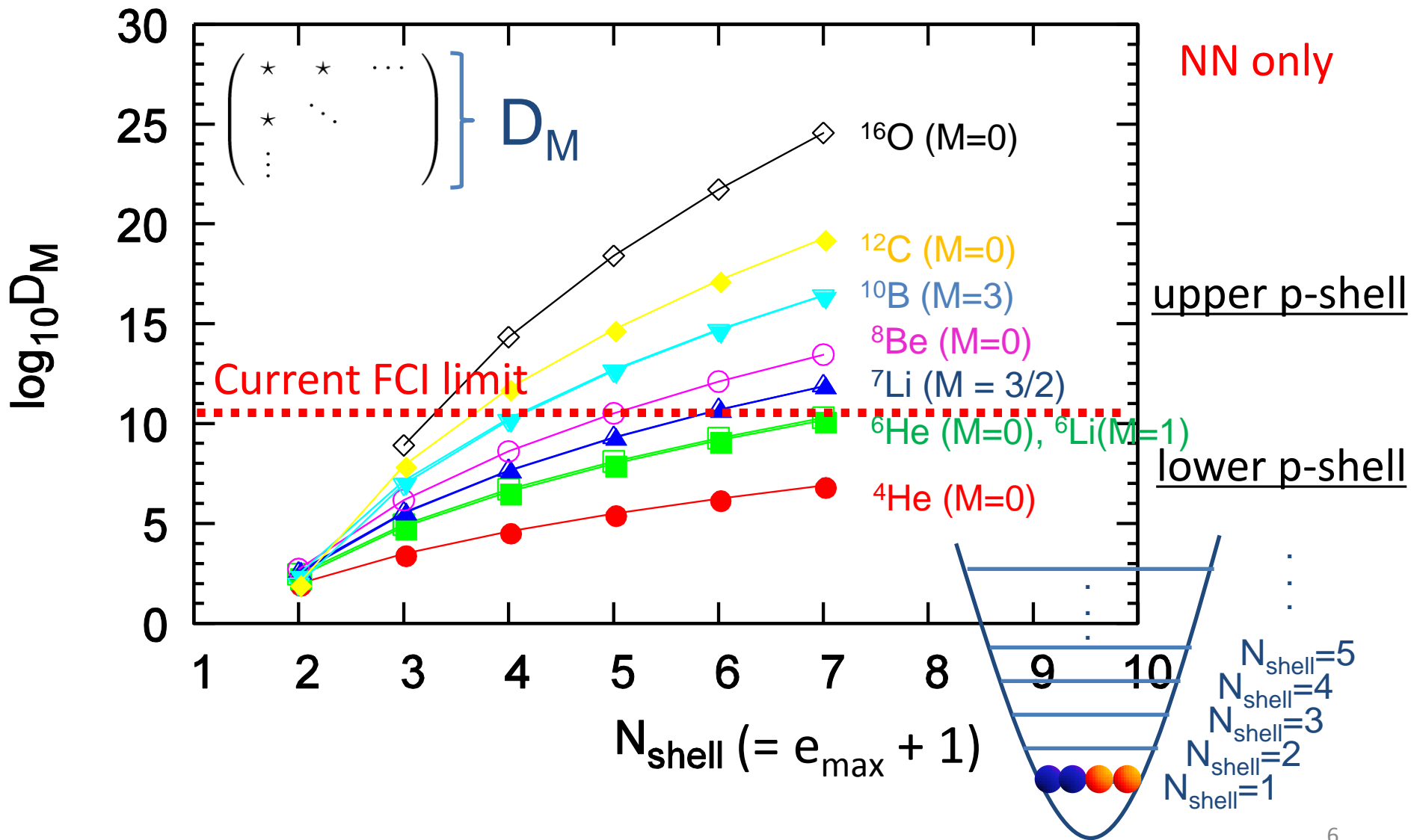
$$\sim \mathcal{O}(10^{10}) \quad \# \text{ non-zero MEs} \\ \sim \mathcal{O}(10^{13-14})$$

$$\left\{ \begin{array}{l} |\Psi_1\rangle = a_\alpha^\dagger a_\beta^\dagger a_\gamma^\dagger \cdots |-\rangle \\ |\Psi_2\rangle = a_{\alpha'}^\dagger a_{\beta'}^\dagger a_{\gamma'}^\dagger \cdots |-\rangle \\ |\Psi_3\rangle = \cdots \\ \vdots \end{array} \right.$$

# M-scheme dimension in $N_{\text{shell}}$ truncation

No-core calculations

NN only



# Monte Carlo shell model (MCSM)

- Importance truncation

## Standard shell model

$$\mathbf{H} = \begin{pmatrix} * & * & * & * & * & \dots \\ * & * & * & * & & \\ * & * & * & & & \\ * & * & & \ddots & & \\ * & & & & \ddots & \\ \vdots & & & & & \ddots \end{pmatrix}$$

All Slater determinants

Diagonalization

$$\begin{pmatrix} E_0 & & & & & 0 \\ & E_1 & & & & \\ & & E_2 & & & \\ & & & \ddots & & \\ & & & & \ddots & \\ 0 & & & & & \end{pmatrix}$$

$d > O(10^{10})$

## Monte Carlo shell model

$$\mathbf{H} \sim \begin{pmatrix} * & * & \dots \\ * & \ddots & \\ \vdots & & \ddots \end{pmatrix}$$

Important bases stochastically selected

Diagonalization

$$\begin{pmatrix} E'_0 & & 0 \\ & E'_1 & \\ 0 & & \ddots \end{pmatrix}$$

$d_{\text{MCSM}} \sim O(100)$

# SM Hamiltonian & MCSM many-body w.f.

- 2nd-quantized non-rel. Hamiltonian (up to 2-body term, so far)

$$H = \sum_{\alpha\beta}^{N_{sps}} t_{\alpha\beta} c_{\alpha}^{\dagger} c_{\beta} + \frac{1}{4} \sum_{\alpha\beta\gamma\delta}^{N_{sps}} \bar{v}_{\alpha\beta\gamma\delta} c_{\alpha}^{\dagger} c_{\beta}^{\dagger} c_{\delta} c_{\gamma} \quad \bar{v}_{ijkl} = v_{ijkl} - v_{ijlk}$$

- Eigenvalue problem

$$H|\Psi(J, M, \pi)\rangle = E|\Psi(J, M, \pi)\rangle$$

- MCSM many-body wave function & basis function

$$|\Psi(J, M, \pi)\rangle = \sum_i^{N_{basis}} f_i |\Phi_i(J, M, \pi)\rangle \quad |\Phi(J, M, \pi)\rangle = \sum_K g_K P_{MK}^J P^{\pi} |\phi\rangle$$

These coeff. are obtained by the diagonalization.

- Deformed SDs

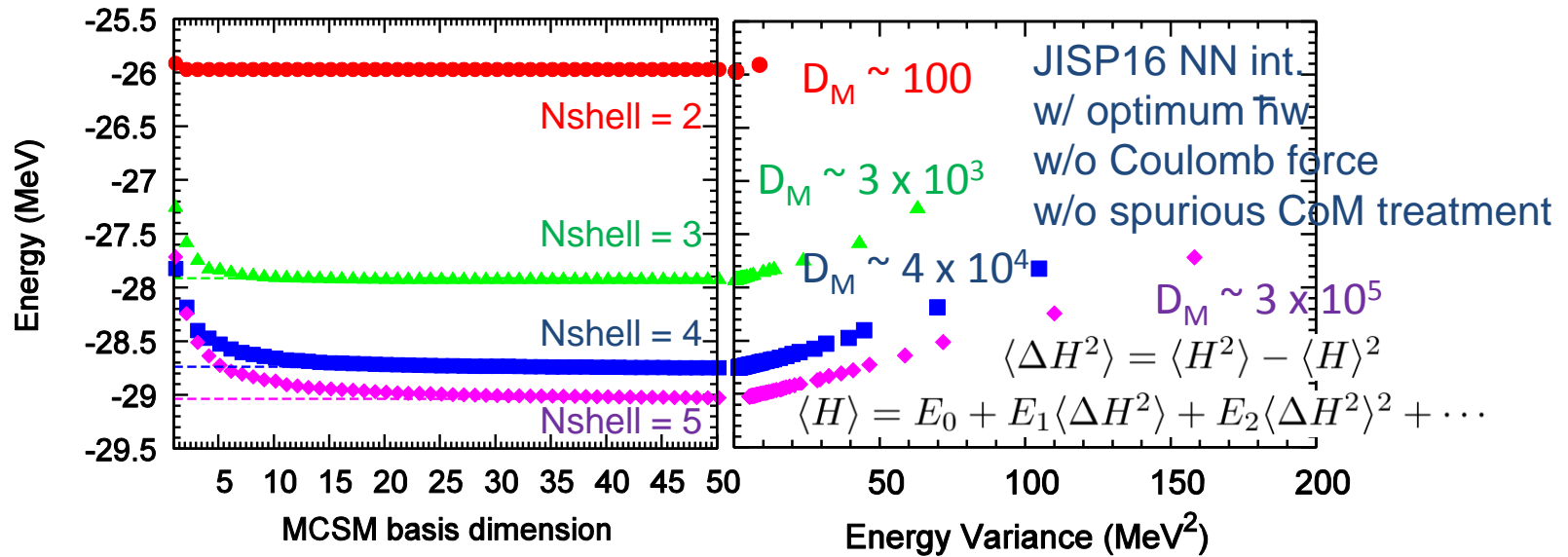
$$|\phi\rangle = \prod_i^A a_i^{\dagger} |-\rangle \quad a_i^{\dagger} = \sum_{\alpha}^{N_{sps}} c_{\alpha}^{\dagger} D_{\alpha i} \quad (c_{\alpha}^{\dagger} \dots \text{spherical HO basis})$$

This coeff. is obtained by a stochastic sampling & CG.

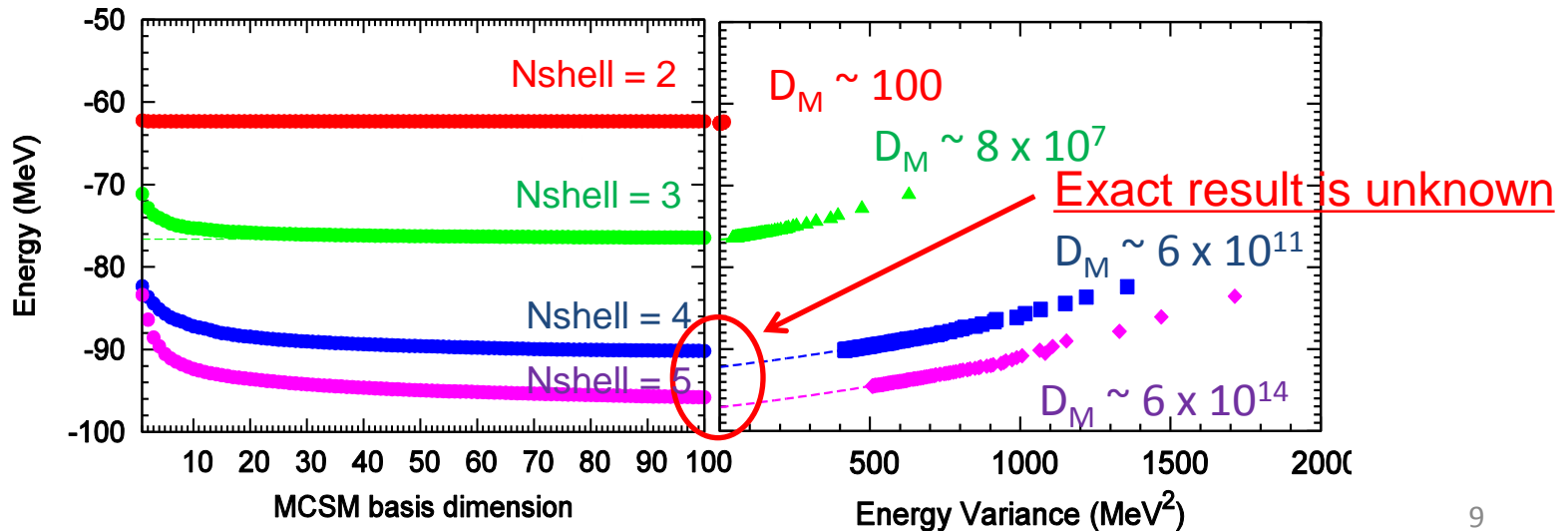


# Energies wrt # of basis & energy variance

${}^4\text{He}(0^+; \text{gs})$



${}^{12}\text{C}(0^+; \text{gs})$



# Extrapolations in the no-core MCSM

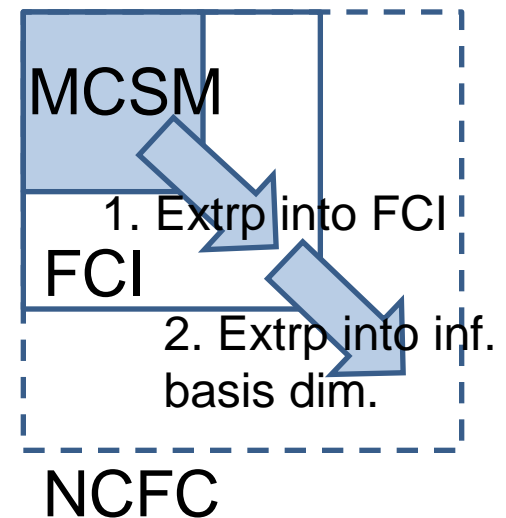
- Two steps of the extrapolation

1. Extrapolation of our MCSM (approx.) results to the FCI (exact) results in the fixed size of model space -> **Energy-variance extrapolation**

N. Shimizu, Y. Utsuno, T. Mizusaki, T. Otsuka, T. Abe, & M. Honma, Phys. Rev. C82, 061305(R) (2010)

2. Extrapolation into the infinite model space

- Exponential fit w.r.t.  $N_{\max}$  in the NCFC
- IR- & UV-cutoff extrapolations



# Extrapolation to the infinite HO-basis space

- **Background:**

Recent development of computational technologies

(e.g.,  $\sim 10$  PFLOPS @ K computer)

& quantum many-body techniques

(e.g., shell model (CI), Lanczos diagonalization  $\sim 10^{10} \times 10^{10}$ )

- **Purpose:**

Full ab initio solutions

- **Methods:**

Extrapolations to the infinite HO-basis space motivated by an EFT idea

- **Goal:**

Infinite basis-space extrapolation in the no-core MCSM

# Extrapolation to the infinite basis space

- Two ways of the extrapolation to the infinite basis space

## 1. Traditional exponential form (w/ fixed $\hbar\omega$ )

$$E(N) = E(N = \infty) + a \exp(-bN)$$

P. Maris, A. M. Shirokov, & J. P. Vary, Phys. Rev. C79, 014308 (2009)

## 2. Cutoff extrapolations

$$(N, \hbar\omega) \leftrightarrow (\lambda, \Lambda)$$

- IR-cutoff extrapolation (w/ UV-saturated data)

$$E(\lambda) = E(\lambda = 0) + a \exp(-b/\lambda)$$

- IR- & UV-cutoff extrapolations (w/ any data, ideally)

$$E(\lambda, \Lambda) = E(\lambda = 0, \Lambda = \infty) + a \exp(-b/\lambda) + c \exp(-\Lambda^2/d^2)$$

S. A. Coon, M. I. Avetian, M. K. G. Kruse, U. van Kolck, P. Maris, J. P. Vary, Phys. Rev. C86, 054002 (2012)

S. A. Coon, arXiv:1303.6358

S. A. Coon, arXiv:1408.0738 (NTSE-2013 proceedings)

R. J. Furnstahl, G. Hagen, T. Papenbrock, Phys. Rev. C86, 031301(R) (2012)

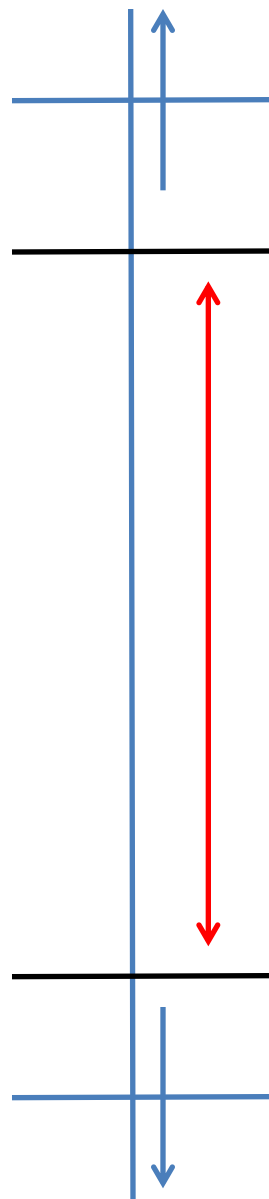
S. N. More, A. Ekstrom, R. J. Furnstahl, G. Hagen, T. Papenbrock, Phys. Rev. C87, 044326 (2013)

R. J. Furnstahl, S. N. More, T. Papenbrock, Phys. Rev. C89, 044301 (2014)

R. J. Furnstahl, G. Hagen, T. Papenbrock, K. A. Wendt, J. Phys. G: Nucl. Part. Phys. 42, 034032 (2015)

E. D. Jurgenson, P. Maris, R. J. Furnstahl, W. E. Ormand & J. P. Vary, Phys. Rev. C87, 054312 (2013)

# Separation of the energy/momentum scale



$\Lambda$ : UV cutoff  $\rightarrow +$  infinity

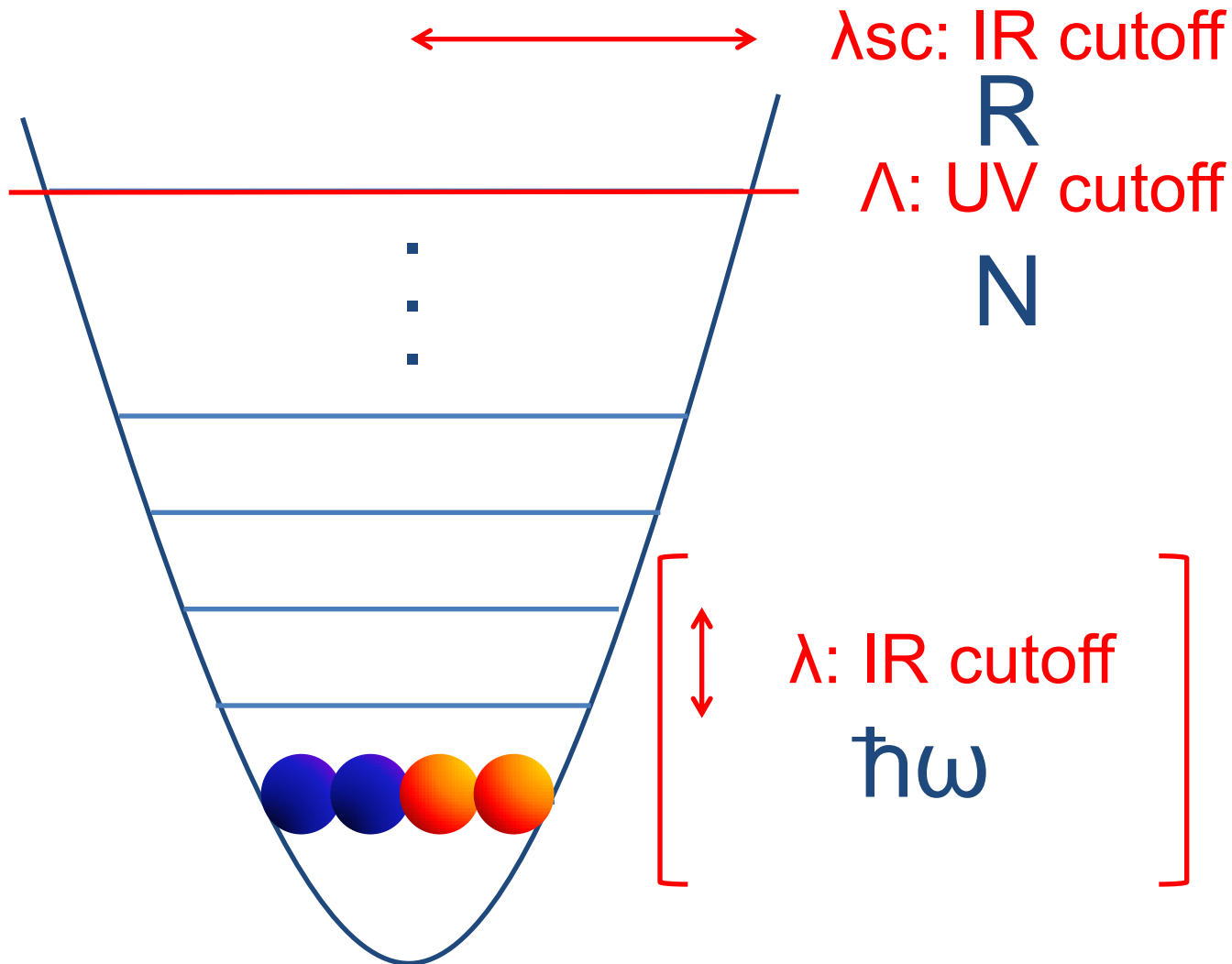
$\Lambda^{\text{NN}}$ : UV cutoff of the NN interaction  
 $\sim 600$  MeV (JISP16)

Low-energy physics

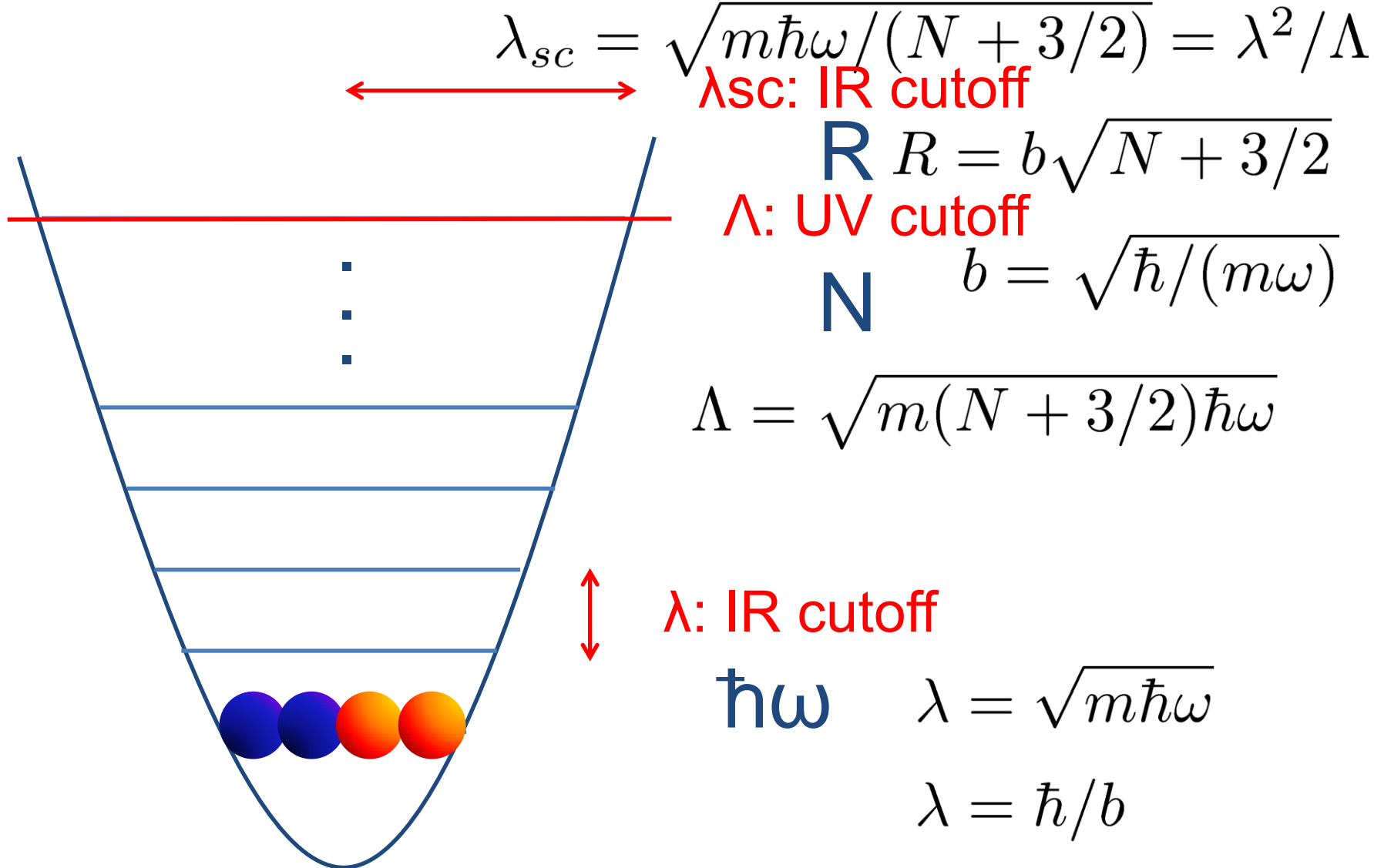
$\lambda^{\text{NN}}$ : IR cutoff of the NN interaction  
 $\sim 60$  MeV (JISP16)

$\lambda$ : IR cutoff  $\rightarrow 0$

# IR & UV cutoffs in HO basis

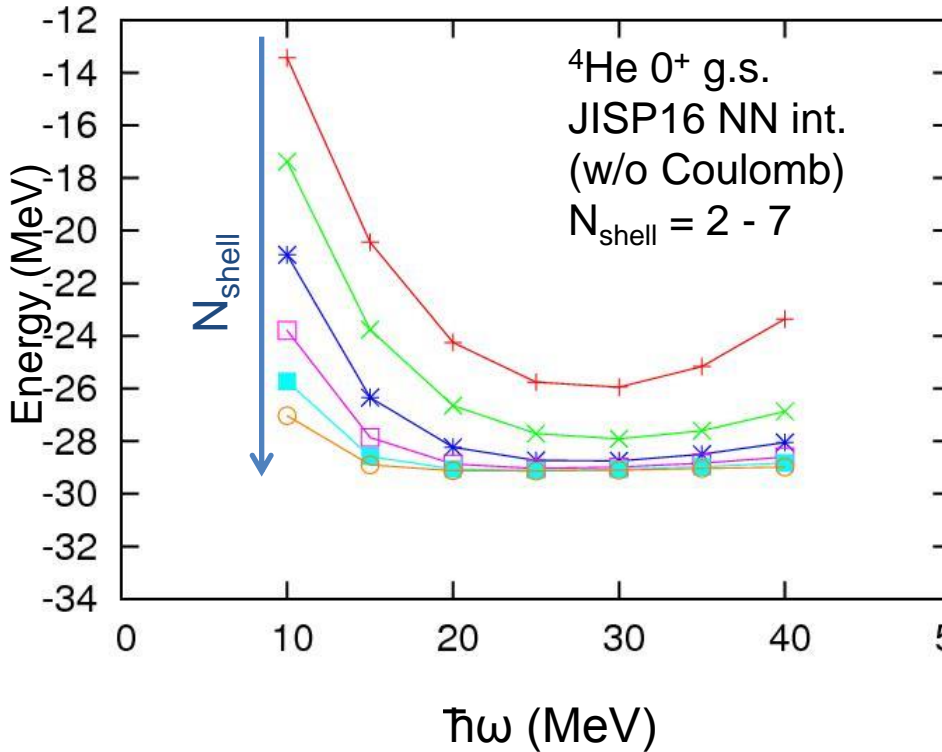


# IR & UV cutoffs in HO basis



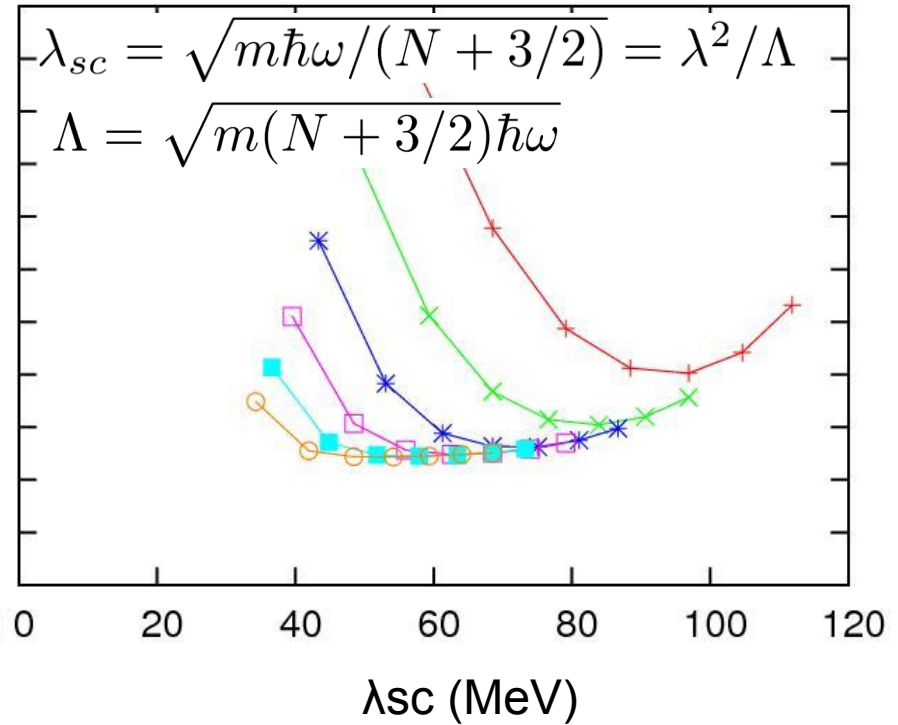
# Traditional extrapolation

$$E(N) = E(N = \infty) + a \exp(-bN)$$



# IR-cutoff extrapolation

$$E(\lambda) = E(\lambda = 0) + a \exp(-b/\lambda)$$



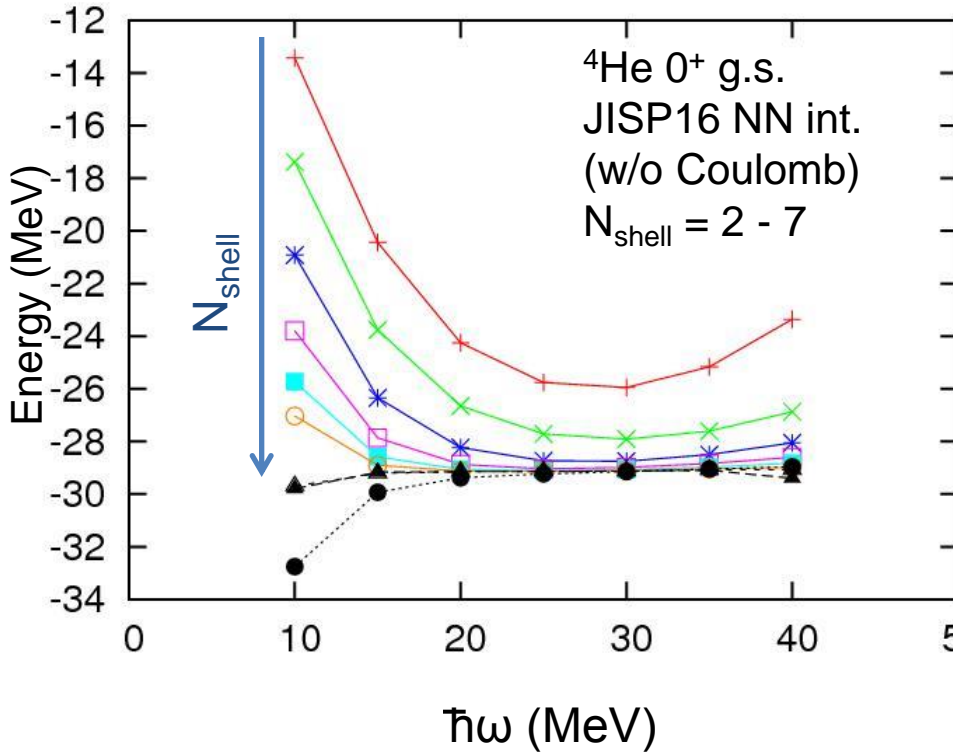
$$(N_{\text{shell}}, \hbar\omega) \longleftrightarrow (\Lambda, \lambda_{sc})$$

$\Lambda$ : UV cutoff     $\lambda_{sc}$ : IR cutoff



# Traditional extrapolation

$$E(N) = E(N = \infty) + a \exp(-bN)$$



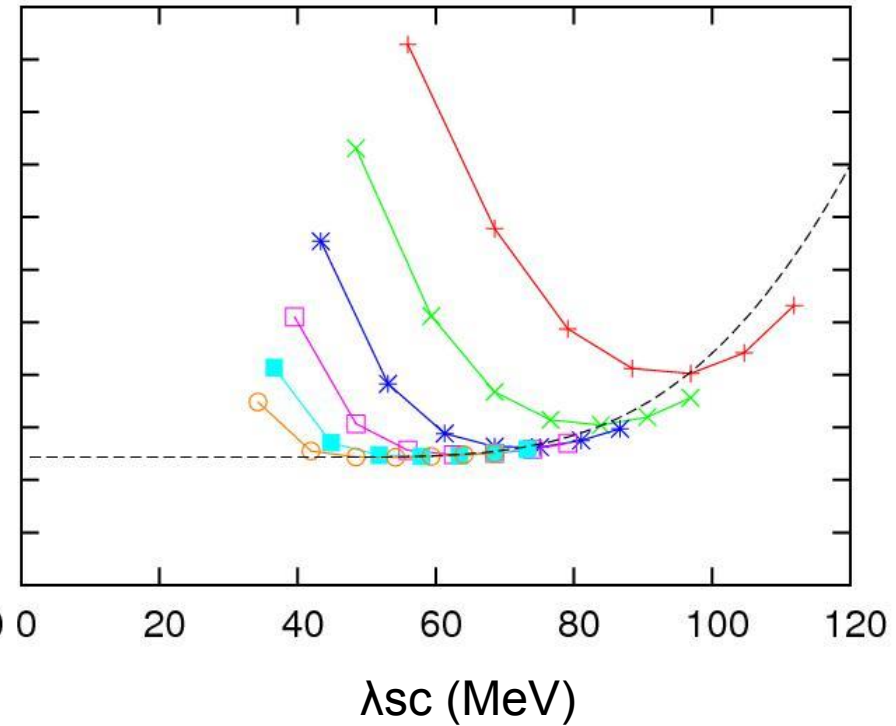
MCSM(empirical): -29.4 ~ -29.1 MeV  
 ( $N_{\text{shell}} = 3 - 7$ ,  $\hbar\omega = 15 - 35$  MeV)

**O(100) keV error**

c.f.) NCFC: -29.164(2) MeV  
 Extrapolated results to infinite  $N_{\text{max}}$  space

# IR-cutoff extrapolation

$$E(\lambda) = E(\lambda = 0) + a \exp(-b/\lambda)$$

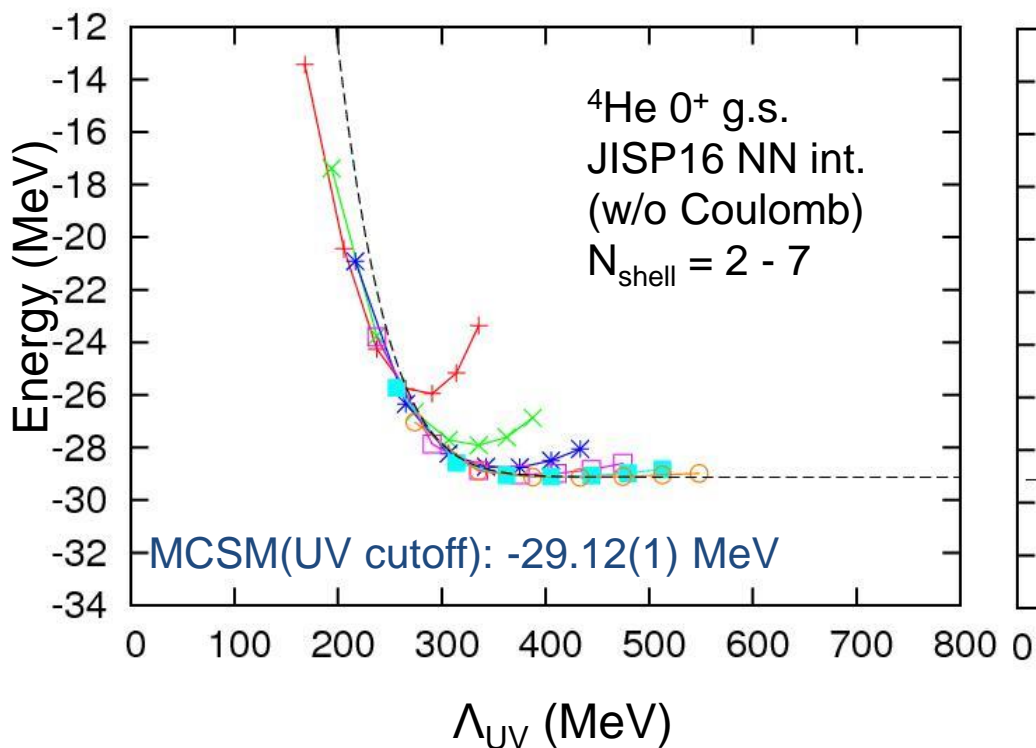


MCSM(IR cutoff): ~ -29.14(1) MeV

**O(10) keV error**

## UV-cutoff extrapolation

$$E(\Lambda) = E(\Lambda = \infty) + c \exp(-\Lambda^2/d^2)$$



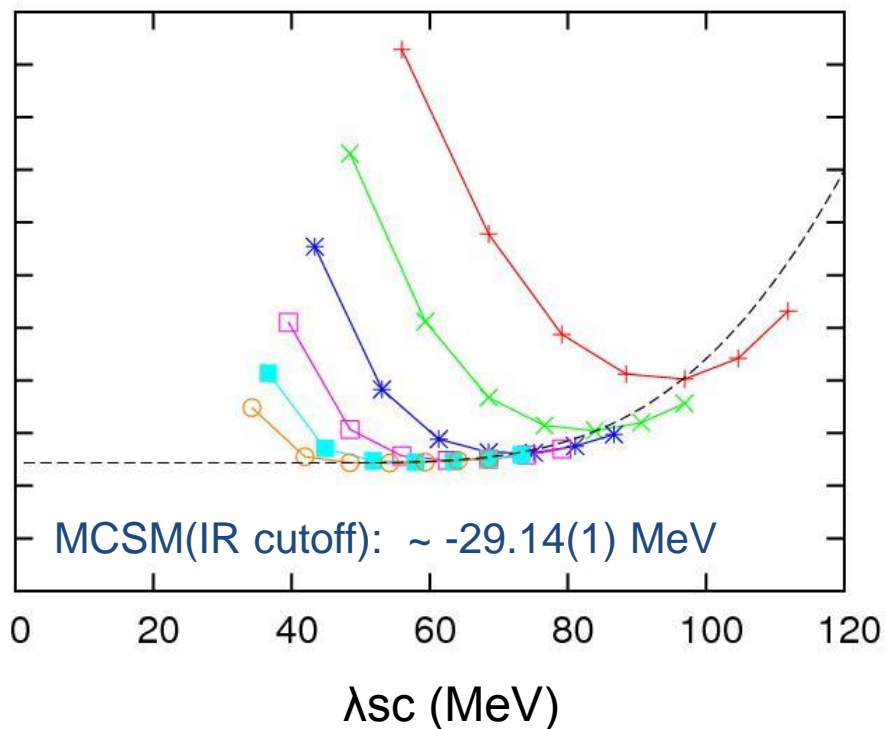
**O(10) keV error**

c.f.) NCFC: -29.164(2) MeV

Extrapolated results to infinite  $N_{\text{max}}$  space

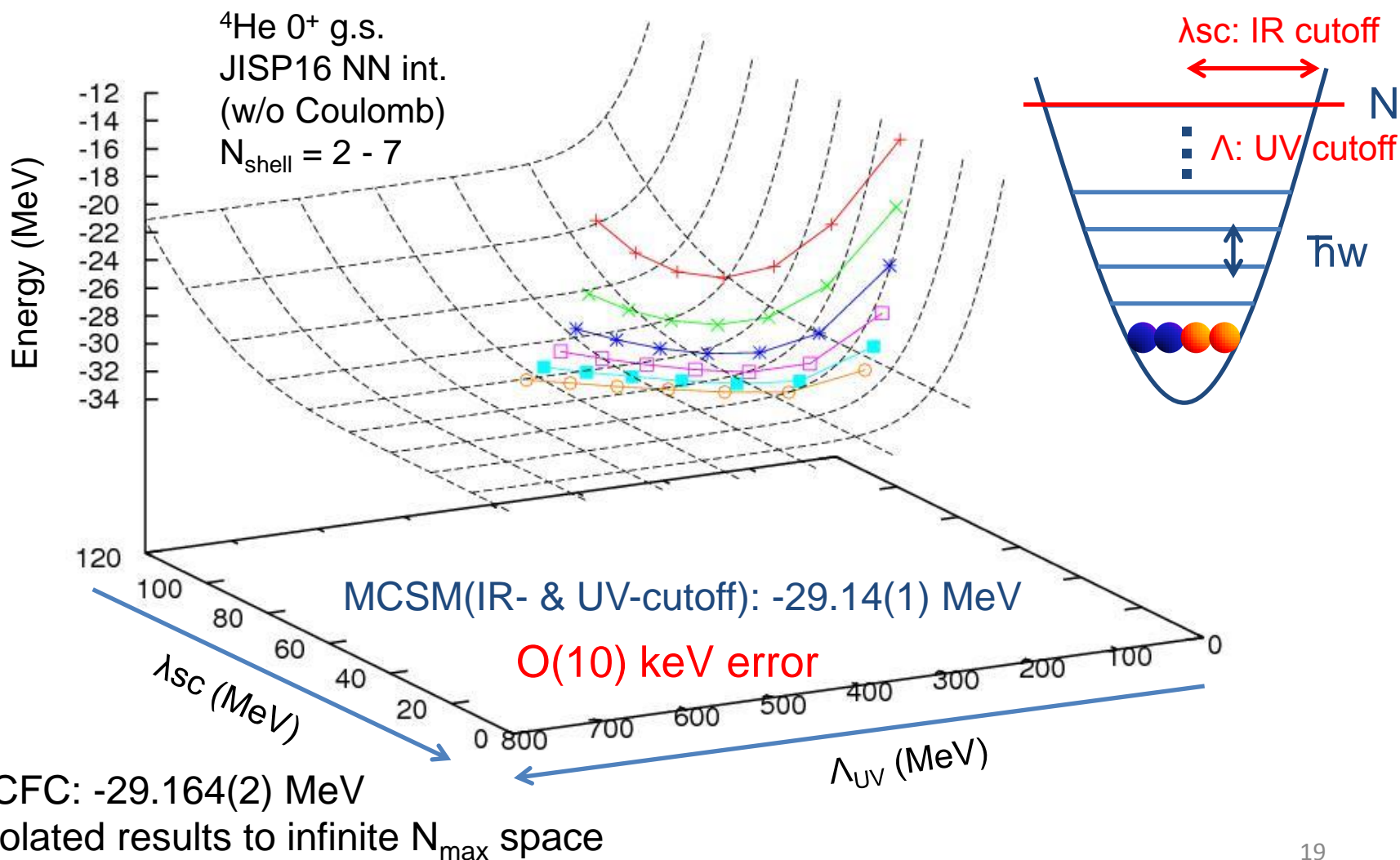
## IR-cutoff extrapolation

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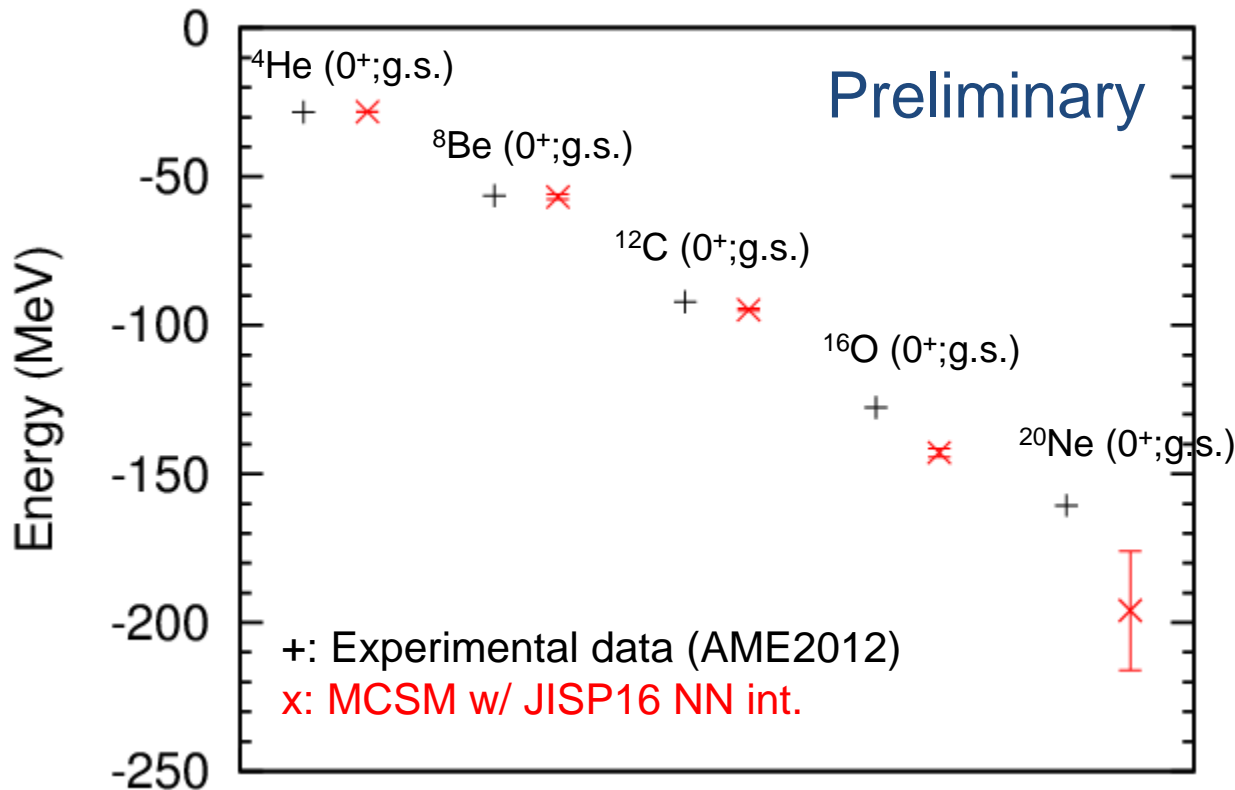


# IR- & UV-cutoff extrapolation

$$E(\lambda, \Lambda) = E(\lambda = 0, \Lambda = \infty) + a \exp(-b/\lambda) + c \exp(-\Lambda^2/d^2)$$



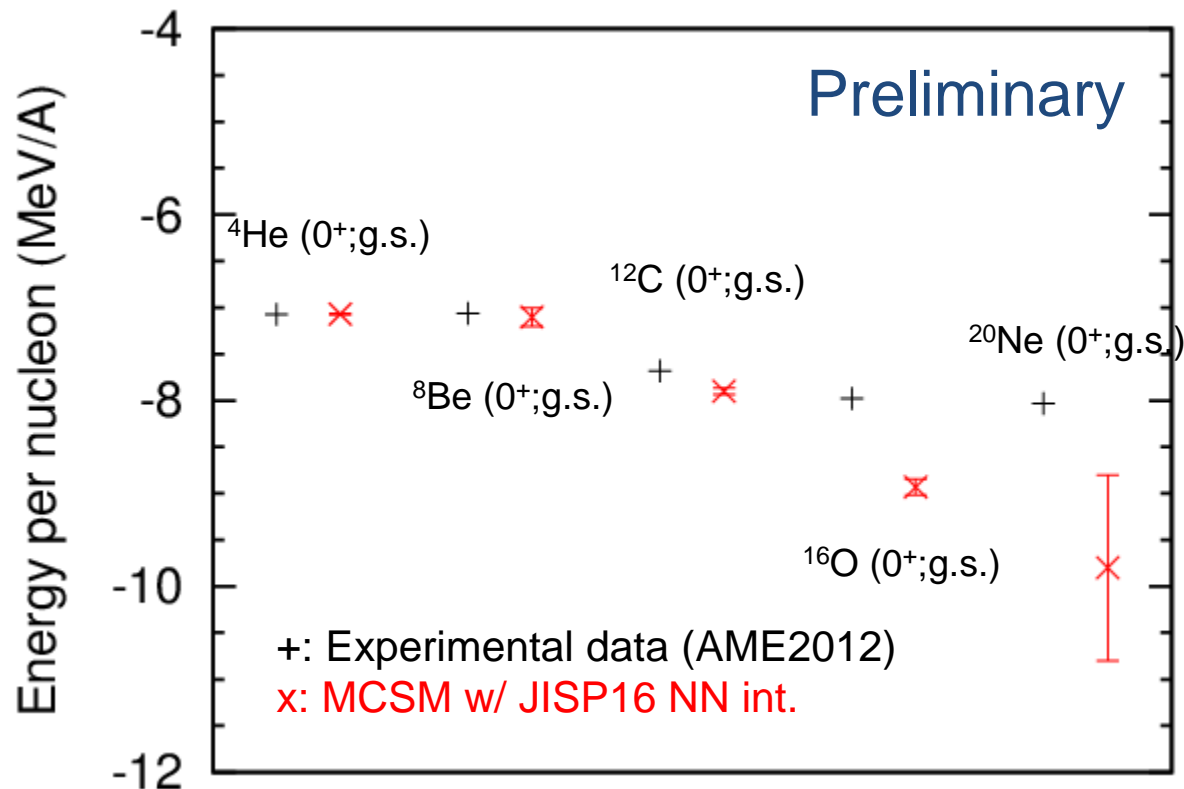
# Comparison of MCSM results w/ experiments



MCSM results are obtained by traditional extrapolation w/ optimum harmonic oscillator energies.  
Coulomb interaction is included perturbatively.

MCSM results show good agreements w/ experimental data up to  $^{12}\text{C}$ , slightly overbound for  $^{16}\text{O}$ , and clearly overbound for  $^{20}\text{Ne}$ .

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# Summary

- MCSM results of g.s. energies for light nuclei can be extrapolated to the infinite basis space.
- JISP16 NN interaction gives good agreement w/ experimental data up to  $^{12}\text{C}$ , slightly overbound for  $^{16}\text{O}$ , and clearly overbound for  $^{20}\text{Ne}$ .

# Perspective

- MCSM algorithm/computation
  - Better error estimate for the extrapolations
  - Inclusion of 3-body force
- Physics
  - Other observables (rms radius, ...)
  - Other p- & sd-shell nuclei