Collective modes and sum rules within nuclear density functional theory

Nobuo Hinohara

Center for Computational Sciences, University of Tsukuba, Japan NSCL/FRIB, Michigan State Univ., USA











Oct. 21, 2015

Computational Advances in Nuclear and Hadron Physics (CANHP2015)

□ finite amplitude method

- discrete collective states
- □ sum rules
- □ Nambu-Goldstone mode
- \Box non-axial (K \neq 0) modes

Finite amplitude method: alternative to QRPA



A, B: matrix elements from effective two-body interaction

computationally demanding calculation - construction of the AB matrix / diagonalization

- qp space truncation
- HFB cutoff (delta- pairing renormalization)
- QRPA two-quasiparticle cutoff (numerical reason)

efficient technique for QRPA

enables us to use QRPA for DFT optimization (T-odd etc.), whole nuclear chart calculation

Which quantities do we need from QRPA?

– (matrix) QRPA solutions

eigenvalues: excitation energies

eigenvectors: QRPA two-quasiparticle amplitudes (X,Y)



Finite amplitude method (FAM)



- \Box weak time-dependent external field ($e^{i\omega t}$, $e^{-i\omega t}$)
- □ iterative solution
- one-body induced field not through two-body AB matrices
- easy implementation on top of existing mean-field codes

original FAM

Why the original FAM works only for resonances?

 ω (freq. of external field) has to be complex

$$\frac{dB(\omega, F)}{d\omega} = \sum_{i>0} |\langle i| \hat{F} |0\rangle|^2 \delta(\omega - \Omega_i)$$

20The response function from FAM 10 $S(\hat{F},\omega_{\gamma}) = -\sum \left\{ \frac{|\langle \nu | \hat{F} | 0 \rangle|^2}{\Omega_{\nu} - \omega_{\gamma}} + \frac{|\langle 0 | \hat{F} | \nu \rangle|^2}{\Omega_{\nu} + \omega_{\gamma}} \right\}$ 202530 354045 ω (MeV) $\frac{dB(\hat{F},\omega)}{d\omega}(\omega_{\gamma}) = -\frac{1}{\pi} \operatorname{Im}S(\hat{F},\omega+i\gamma) = \frac{\gamma}{\pi} \sum_{i} \left\{ \frac{|\langle i|\hat{F}|0\rangle|^2}{(\Omega_i-\omega)^2+\gamma^2} - \frac{|\langle 0|\hat{F}|i\rangle|^2}{(\Omega_i+\omega)^2+\gamma^2} \right\}$

distribution smeared with Lorentzian

 $(\mathcal{R})^{\mathrm{S}}$

10⁻ **10**⁻³

60

50

Stoitsov et al, PR**C84**,041305 (2011)

 $V_{\rm crit} = 10^{-1}$

 $\omega({\rm MeV})$

MQRPA (IS)

MQRPA (IV) FAM-QRPA (IV

FAM-QRPA (IS

 24 Mg, SLy4 $\Delta_n = 0.666 \text{ MeV}$ $\Delta_{\rm p} = 0.665 \; {\rm MeV}$

 $\beta = -0.163$

50

real ω : real S(F, ω), and dB/d ω =0

width y is always necessary

FAM for discrete low-lying states

Phys. Rev. C87, 064309 (2013) FAM equations $\begin{bmatrix} \begin{pmatrix} A & B \\ B^* & A^* \end{bmatrix} - \omega \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \begin{bmatrix} X(\omega) \\ Y(\omega) \end{bmatrix} = - \begin{pmatrix} F^{20} \\ F^{02} \end{bmatrix}$ $\begin{pmatrix} X(\omega) \\ Y(\omega) \end{pmatrix} = -\left[\begin{pmatrix} A & B \\ B^* & A^* \end{pmatrix} - \omega \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \right]^{-1} \begin{pmatrix} F^{20} \\ F^{02} \end{pmatrix}$ first-order poles at $\omega = \Omega i$ (QRPA energies) **Contour integration** $\frac{1}{2\pi i} \oint_C \begin{pmatrix} X(\omega) \\ Y(\omega) \end{pmatrix} d\omega = \langle i | \hat{F} | 0 \rangle \begin{pmatrix} X^i \\ Y^i \end{pmatrix}$ $X(\omega), Y(\omega)$: FAM amplitudes X, Y: QRPA eigenvectors conventional FAM lm ω Re w cf. solution of eigenvalue problem using contour integration Sakurai and Sugiura J. Comp. App. Math 159, 119 (2003).

Numerical comparison with MQRPA

FAM code for K=0 developed in Stoitsov et al., PRC84, 041305 (2011)

²⁴Mg, oblate configuration (HFBTHO, SLy4) 5 oscillator shells isoscalar/isovector monopole excitation



FIG. 1. (Color online) The low-lying isoscalar monopole strength at the oblate HFB minimum of ²⁴Mg calculated with the conventional FAM-QRPA using three values of smearing width γ (in MeV).

Numerical comparison with MQRPA (ISM)

24Mg, oblate, SLy4, Nsh=5, contour: circle, radius=0.02 MeV, discretized with 11 points isoscalar monopole strength (e²fm⁴)

Ω_i (MeV)				
MQRPA	FAM	MQRPA	FAM-C	FAM-D
1.3185	1.3183	5.729(-4)	5.776(-4)	5.781(-4)
1.3731	1.3731	1.539(-2)	1.510(-2)	1.511(-2)
2.4582	2.4581	0.1796	0.1784	0.1783
2.5998	2.5975	2.957(-3)	3.060(-3)	3.057(-3)
3.6687	3.6657	0.5776	0.5788	0.5788
5.1185	5.1212	3.539(-4)	4.360(-4)	4.345(-4)
7.4108	7.4084	0.4900	0.4848	0.4848

FAM: external field F dependent

complex-FAM derives QRPA amplitudes -- F independent eigenvectors

$$\begin{split} X_{\mu\nu}^{i} = e^{-i\theta} |\langle i| \hat{F} |0\rangle |^{-1} \frac{1}{2\pi i} \oint_{C_{i}} X_{\mu\nu}(\omega_{\gamma}) d\omega_{\gamma}, \\ Y_{\mu\nu}^{i} = e^{-i\theta} |\langle i| \hat{F} |0\rangle |^{-1} \frac{1}{2\pi i} \oint_{C_{i}} Y_{\mu\nu}(\omega_{\gamma}) d\omega_{\gamma}. \end{split} \qquad \langle i| \hat{F} |0\rangle = \langle \Phi_{0} |[\hat{O}_{i}, \hat{F}] |\Phi_{0}\rangle = \sum_{\mu < \nu} (X_{\mu\nu}^{i*} F_{\mu\nu}^{20} + Y_{\mu\nu}^{i*} F_{\mu\nu}^{02})$$

FAM-C: isoscalar operator, FAM-D: FAM from isovector operator

orthogonality of first three QRPA modes



1: 1.32 MeV, 2: 1.37 MeV, 3: 2.46 MeV

Rare-earth nuclei (166,168,172Yb,170Er)

comparison with Vanderbilt code (SkM*)

MQRPA: Terasaki and Engel, PRC82, 034326 (2010), PRC84, 014332 (2011)

TABLE III. FAM-QRPA energies and B(E2) values of the lowlying K = 0 states in ¹⁶⁶Yb, ¹⁶⁸Yb, ¹⁷²Yb, and ¹⁷⁰Er at $E_{cut} = 200$ MeV compared to the MQRPA results of Ref. [13]. The additional result for ¹⁷²Yb corresponding to $E_{cut} = 60$ MeV is compared to the MQRPA values obtained in Ref. [12].

Nucleus	Ω_i (MeV)		$B(E2) (e^2 b^2)$		
	MQRPA	FAM	MQRPA	FAM	
¹⁶⁶ Yb	1.802	1.422	0.0398	0.0327	
¹⁶⁸ Yb	2.039	1.747	0.0343	0.0186	
¹⁷² Yb	1.605	1.306	0.0049	0.0088	
170 Er	1.596	1.322	0.0030	0.0047	
172 Yb ^a	1.390	1.319	0.0050	0.0092	

radius: 0.1MeV, 0.02 MeV(168Yb) center:1.40, 1.76, 1.30, 1.30MeV 166Yb, 168Yb,172Yb,170Er

 $^{\mathrm{a}}E_{\mathrm{cut}} = 60 \mathrm{MeV}.$

	HFBTHO FAM	Vanderbilt MQRPA
HFB model space	N=20, 60, 200MeV cutoff	20fmx 20fm box, 60,200MeV cutoff
QRPA model space	full	cutoff associated with the occupation probabilities

Sum rules



contributions from all the excited states

- MQRPA
- HFB
 - □ Thouless theorem(k=1,3,.. double commutator)
 - cannot be justified for DFT $m_1 = \sum_{\nu>0} \Omega_{\nu} |\langle \nu | \hat{F} | 0 \rangle|^2 = \frac{1}{2} \langle \text{HFB} | [\hat{F}, [\hat{H}, \hat{F}]] \text{HFB} \rangle$
 - \Box dielectric theorem for inverse energy weighted sum rule (k=-1)

Complex-energy FAM to QRPA sum rule



Symmetries for hermitian operator: k=1, 3, ... contribution from A1 only k=-1 (IWESR), contribution from A2 only

• easily parallelized

□ FAM along A1 path converges rapidly with 5-6 iterations

Comparison with MQRPA/dielectric theorem

²⁴Mg oblate, Nsh=5

Sum rule, MQRPA / FAM, Isoscalar/Isovector monopole

TABLE V. Sum rules (in MeV^k e^2 fm⁴) for the isoscalar and isovector monopole operators calculated with the MQRPA and the FAM. The FAM calculations were performed by using $N_{A_1} = 301$, $N_{A_2} = 101$, and $N_{I_1} = 200$ integration points.

	k = -4	k = -3	k = -2	k = -1	k = 0	k = 1	k = 2	k = 3	k = 4
MQRPA(ISM)	0.013077	0.037 185	0.253 118	5.00072	139.825	4200.82	131 368	4 342 358	157906069
FAM(ISM)	0.012579	0.036 992	0.253 186	5.00385	139.844	4199.44	131 277	4 336 644	157 058 272
MQRPA(IVM) FAM(IVM)	0.00 063 616 0.00 043 157	0.00 273 872 0.00 275 227	0.07 120 949 0.07 133 510	2.78 540 2.78 615	113.908 113.908	4735.03 4734.30	199 525 199 510	8 527 358 8 524 830	370 625 216 368 643 941

Dielectric theorem (HFB) for k=-1 sum rule

TABLE VII. Inverse-energy-weighted sum rule (in MeV⁻¹ e^2 fm⁴) computed using the dielectric theorem (HFB) and the FAM for various sizes of the model space given by $N_{\rm sh}$. FAM calculations were performed using $N_{A_2} = 22$ and $R_{A_2} = 1.0$ MeV.

$N_{ m sh}$	FAM(ISM)	HFB(ISM)	FAM(IVM)	HFB(IVM)	FAM(ISQ)	HFB(ISQ)	FAM(IVQ)	HFB(IVQ)
5	5.00 385	5.00 375	2.78615	2.78614	4.44 830	4.44765	0.798 680	0.798 680
10	11.2033	11.2102	5.09467	5.09671	5.21 547	5.21 586	1.07 516	1.07 524
15	12.4930	12.5009	5.71677	5.71960	5.31250	5.31268	1.12916	1.12910
20	12.9 506	12.9634	6.06842	6.07 304	5.35 499	5.35730	1.15744	1.15 771

Validity of Thouless theorem

TABLE VI. The energy weighted $K^{\pi} = 0^+$ sum rule (in MeV e^2 fm⁴) for the operators (16)–(19) at the oblate minimum of ²⁴Mg as a function of $N_{\rm sh}$. The FAM values were obtained by taking $R_{A_1} = 200$ MeV and $N_{A_1} = 12$; they are compared to HFB values (20). The results without time-odd terms except for the current-current coupling ($C_t^j \neq 0$ and $C_t^s(\rho_0) = C_t^{\Delta s} = C_t^{\nabla j} = C_t^T = 0$) (a) and with the full time-odd functional except for the current-current and kinetic spin-spin couplings ($C_t^j = C_t^T = 0$, $C_t^s(\rho_0) \neq 0$, $C_t^{\Delta s} \neq 0$, and $C_t^{\nabla j} \neq 0$) (b), obtained with $N_{\rm sh} = 20$, are also listed.

$N_{ m sh}$	FAM(ISM)	HFB(ISM)	FAM(IVM)	HFB(IVM)	FAM(ISQ)	HFB(ISQ)	FAM(IVQ)	HFB(IVQ)
5	4199.44	4303.67	4734.25	4752.37	762.638	767.933	848.110	845.235
10	4524.39	4502.75	4970.34	4940.08	779.019	775.724	852.995	849.015
15	4521.39	4523.80	4958.02	4960.52	776.587	776.161	849.482	849.116
20	4530.01	4529.46	4966.98	4966.01	777.425	776.832	850.145	849.747
20 ^(a)	4530.07	_	4966.98	_	777.506	_	850.132	_
20 ^(b)	5297.64	_	5298.46	_	905.461	_	905.441	-

 Thouless theorem is satisfied in large model space (enough size to express the coordinate operators in HO basis)
 Time-odd coupling constants are important to satisfy the theorem (a): only C^j included, (b): C^j not included
 local gauge symmetry of (ρτ-j²) is necessary for these operators Nambu-Goldstone (NG) mode appears as a solution of self-consistent QRPA when mean field (DFT) breaks continuous symmetries which the original EDF has

broken symmetry	mean field	NG mode in the QRPA	Κπ
translational (Galilean invariance)	center of mass fixed to the origin	center of mass motion	0-, 1-
rotational	deformation (axial or triaxial)	rotation	1+, (2+)
particle number (gauge symmetry)	pairing condensation (BCS)	pairing rotation	0+
neutron-proton (isospin symmetry)	neutron-proton mixing	isospin rotation	0+

NG mode restores the broken symmetry in the QRPA level

$$[\hat{H}_{\text{QRPA}}, \hat{\mathcal{P}}_{\text{NG}}] = i\Omega_{\text{NG}}^2 M_{\text{TV}} \hat{\mathcal{Q}}_{\text{NG}} = 0$$
$$[\hat{H}_{\text{QRPA}}, \hat{\mathcal{Q}}_{\text{NG}}] = -\frac{i}{M_{\text{TV}}} \hat{\mathcal{P}}_{\text{NG}}$$

P_{NG}: broken symmetry (momentum operator)

Thouless-Valatin inertia from FAM

 $[\hat{H}_{\text{QRPA}}, \hat{\mathcal{Q}}_{\text{NG}}] = -\frac{i}{M_{\text{TV}}} \hat{\mathcal{P}}_{\text{NG}}$

Phys. Rev. C92, 034321 (2015)

M_{TV}: Thouless-Valatin inertia

Q_{NG}: canonical conjugate coordinate op.

Thouless-Valatin inertia from QRPA

$$M_{\rm TV} = 2P_{\rm NG}(A+B)^{-1}P_{\rm NG}$$

FAM for NG modes:

Thouless-Valatin inertia is found from a linear response calculation at zero energy, using a broken-symmetry operator

$$S(\hat{\mathcal{P}}_{\mathrm{NG}}, \omega = 0) = -M_{\mathrm{TV}}$$

Thouless-Valatin inertia is found from the energy-weighted sum rule of the conjugate coordinate operator

$$M_{\rm TV}^{-1} = 2m_1(\hat{\mathcal{Q}}_{\rm NG}) = \frac{2}{2\pi i} \int_D \omega S(\hat{\mathcal{Q}}_{\rm NG}, \omega) d\omega$$

Center of mass mode

trivial case $\hat{Q}_{\text{c.m.}} = \frac{1}{A} \sum_{i=1}^{A} \hat{r}_i, \quad \hat{P}_{\text{c.m.}} = -i \sum_{i=1}^{A} \hat{\nabla}_i$ Thouless-Valatin inertia $M_{\text{CM}} = mA$ 1/2m = A/2M_{CM}

finite HO basis:

translational mode is not at zero energy

$$\begin{split} S(\hat{\mathcal{P}}_{\mathrm{NG}},\omega) \sim & \frac{M_{\mathrm{NG}}\Omega_{\mathrm{NG}}^2}{\omega^2 - \Omega_{\mathrm{NG}}^2} \\ \Omega_{\mathrm{NG}}^2 = \frac{1}{S(\hat{\mathcal{P}}_{\mathrm{NG}},0)S(\hat{\mathcal{Q}}_{\mathrm{NG}},0)} \end{split}$$



HFBTHO, SLy4+volume pairing, 26Mg (oblate)

$N_{ m sh}$	$1/2m$ from $(\hat{\boldsymbol{Q}}_{\text{c.m.}})_z$	$1/2m$ from $(\hat{\boldsymbol{P}}_{\text{c.m.}})_z$	Inglis-Belyaev	$\Omega_{\rm c.m.}~{ m MeV}$	$\langle [(\hat{\boldsymbol{Q}}_{\text{c.m.}})_z, (\hat{\boldsymbol{P}}_{\text{c.m.}})_z] \rangle /$
5	20.69748	20.74676	26.04977	1.346	0.998836
10	20.78073	20.82140	25.87571	0.889	0.999310
15	20.73573	20.73232	25.73650	0.151	1.000026
20	20.73946	20.73666	25.74138	0.146	1.000041
exact	20.73553	20.73553		0	1

Neutron and proton pairing rotations



ground states form "pairing rotational bands" proton pairing: effect of residual Coulomb significant Mixing of neutron and proton pairing rotations

when neutron and proton are in a superconducting phase

broken symmetries: neutron number and proton number NG modes (QRPA eigenmodes): two, but mixing of two TV inertias from two NG modes → three moments of inertia QRPA eigenmodes

$$\hat{N}_{1} = \hat{N}_{n} \cos \theta + \alpha \hat{N}_{p} \sin \theta, \qquad \hat{\Theta}_{1} = \hat{\Theta}_{n} \cos \theta + \frac{1}{\alpha} \hat{\Theta}_{p} \sin \theta$$
$$\hat{N}_{2} = -\hat{N}_{n} \sin \theta + \alpha \hat{N}_{p} \cos \theta, \qquad \hat{\Theta}_{2} = -\hat{\Theta}_{n} \sin \theta + \frac{1}{\alpha} \hat{\Theta}_{p} \cos \theta$$

Thouless-Valatin mass of eigenmodes

$$M_{1} = -S(\hat{N}_{n}, \hat{N}_{n}) \cos^{2} \theta - \alpha^{2} S(\hat{N}_{p}, \hat{N}_{p}) \sin^{2} \theta$$
$$-2\alpha S(\hat{N}_{n}, \hat{N}_{p}) \sin \theta \cos \theta,$$
$$M_{2} = -S(\hat{N}_{n}, \hat{N}_{n}) \sin^{2} \theta - \alpha^{2} S(\hat{N}_{p}, \hat{N}_{p}) \cos^{2} \theta$$
$$+2\alpha S(\hat{N}_{n}, \hat{N}_{p}) \sin \theta \cos \theta,$$

$$S(\hat{N}_n, \hat{N}_n) = -2N_n(A+B)^{-1}N_n$$

$$S(\hat{N}_n, \hat{N}_p) = -2N_n(A+B)^{-1}N_p$$

$$S(\hat{N}_p, \hat{N}_p) = -2N_p(A+B)^{-1}N_p$$

$$\tan 2\theta = \frac{2\alpha S(\hat{N}_n, \hat{N}_p)}{S(\hat{N}_n, \hat{N}_n) - \alpha^2 S(\hat{N}_p, \hat{N}_p)}$$

Mixing of neutron and proton pairing rotations

TV inertias from two NG modes ightarrow three moments of inertia



Coordinate operator: spurious mode removal

Conjugate coordinate operator (QRPA)

$$(A - B)Q_{\rm NG}^R = \frac{P_{\rm NG}^I}{M_{\rm NG}}, \quad (A + B)Q_{\rm NG}^I = -\frac{P_{\rm NG}^R}{M_{\rm NG}},$$

Conjugate coordinate operator (FAM)





Coordinate operator required for spurious mode removal (FAM/iterative Arnoldi)

$$\delta\rho_{\rm cal}(\omega) = \delta\rho_{\rm phy}(\omega) + \lambda_P \delta\rho_P + \lambda_R \delta\rho_R,$$

$$\delta\rho_R \equiv i[R, \rho_0] = \sum_i (|\bar{R}_i\rangle\langle\phi_i| + |\phi_i\rangle\langle\bar{R}_i|),$$

Nakatsukasa et al PRC76,024318(2007)

Non-axial (K≠0) QRPA modes with axial HFBTHO

Kortelainen, NH, Nazarewicz arXiv:1509.02353.

explicit linearization necessary for density-dependent term



²⁴⁰Pu quadrupole/octupole modes



SLy4 + mixed pairing

TABLE I. Lowest octupole QRPA modes in ¹⁵⁴Sm predicted in our deformed FAM calculations. Shown are: the energy ω_1 ; the IVO transition strength $|\langle 0|f_{L=3,K}^{IV,+}|1\rangle|^2$; and the corresponding B(E3) value. The transition probabilities were computed through the QRPA amplitudes (referred to as FAM-C in [27]).

K	ω_1	$ \langle 0 f_{L=3,K}^{IV,+} 1\rangle ^2$	B(E3)
	(MeV)	$(e^2 \mathrm{fm}^6 \mathrm{MeV}^{-1})$	(W.u.)
0	0.4168	6.684	8.70
1	0.9014	69.74	2.01
2	2.5973	1.916	0.24
3	1.3155	0.01809	0.0004

Experimental data: K=0 0.9213 MeV B(E3): 10(2) W.u. K=1 1.4758 MeV

Summary

Recent development of finite-amplitude method

- □ discrete collective modes
- □ sum rules
- □ Nambu-Goldstone mode, Thouless-Valatin inertia
- non-axial response

Outlook

- □ systematic calculation of nuclear reponses
- **D**FT optimization using information on excitation
- □ 2+ energy systematics /pairing rotations

Collaborators

- □ Markus Kortelainen (Jyvaskyla, Finland)
- □ Witold Nazarewicz (MSU, USA)
- Erik Olsen (MSU, USA)

Calculations

HPCC, iCER, MSU



COMA(PACS-IX), Tsukuba

