

New Development of Numerical Simulation in Low-Dimensional Quantum Systems: From DMRG to Tensor Network Formulation

Scale Free Property of Wilson  
Numerical Renormalization Group

Kouichi Okunishi    *Niigata University*

Tomotoshi Nishino    *Kobe University*

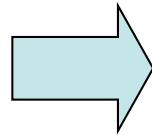
# Real Space RG for 1D Quantum systems

---

## 1975: Wilson NRG for Kondo impurity problem

Magnetic impurity in a metallic system. **critical system**

Block spin  
transformation



Break down in 1D  
quantum system

Wilson NRG is an exception ?

## 1992: Density Matrix Renormalization Group (DMRG)

Very powerful for ground state problem of gapful 1D quantum systems

**But**

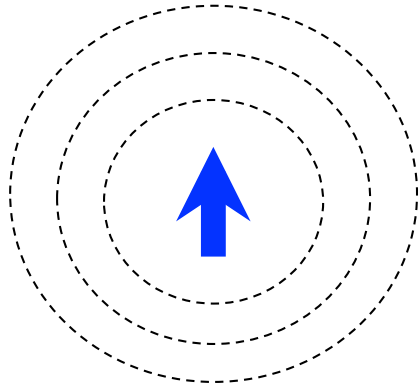
DMRG is hard to be considered as a conventional renormalization group

DMRG has no scale transformation

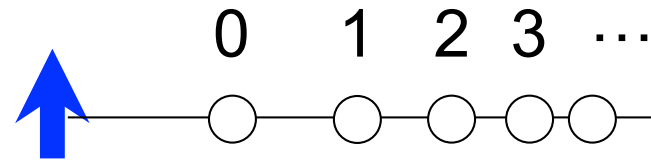
variation for the matrix product state

# Wilson's Numerical Renormalization Group(NRG)

Kondo impurity problem



1D quantum system with the boundary



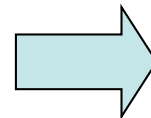
$$H_{\Lambda}^N = \Lambda^{N+1} \left[ \sum_{n=0}^N \Lambda^{-n-1} (c_{n\sigma}^+ c_{n+1\sigma} + c_{n+1\sigma}^+ c_{n\sigma}) + \sigma_0 \cdot S_{imp} \right]$$

●  $t_n \approx e^{-n \log \Lambda}$

effective 1D Hamiltonian is a tight-binding model with exponentially modulating hopping parameter

effective system size

$$L \approx \frac{1}{\log \Lambda}$$



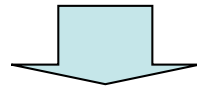
smooth cutoff of the infrared divergence

What is the role of cutoff beyond qualitative view?

# free fermion problem

---

essence of Wilson NRG => bulk fermion part



Free fermion with exponentially deformed interaction

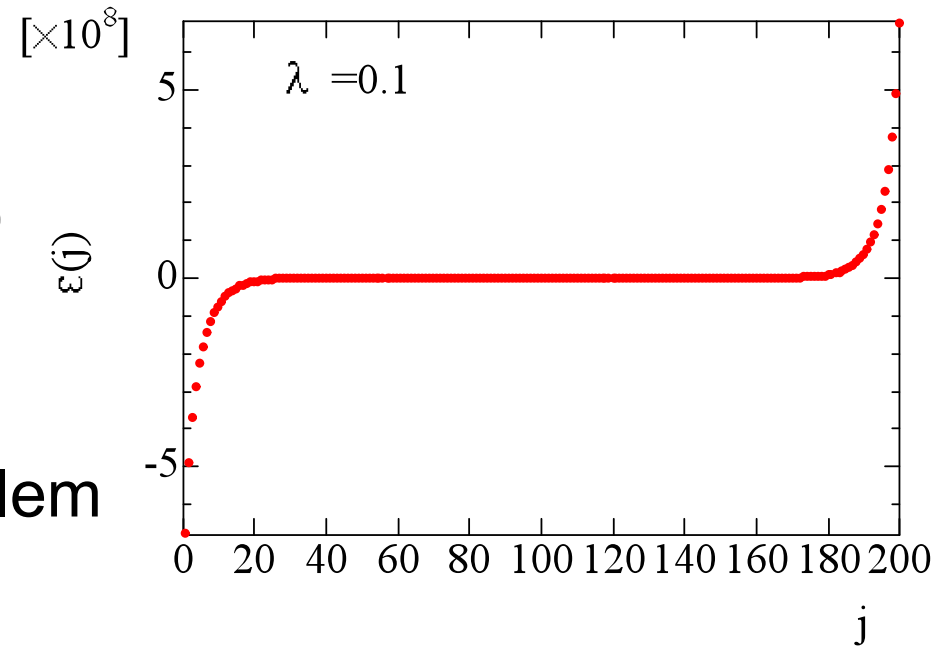
$$H_{\Lambda}^N = \sum_{n=1}^N e^{\lambda n} (c_n^+ c_{n+1} + c_{n+1}^+ c_n) \quad (13)$$

$$\Lambda = e^{\lambda}$$

single particle eigenvalue problem

$\lambda=0.1, N=200$

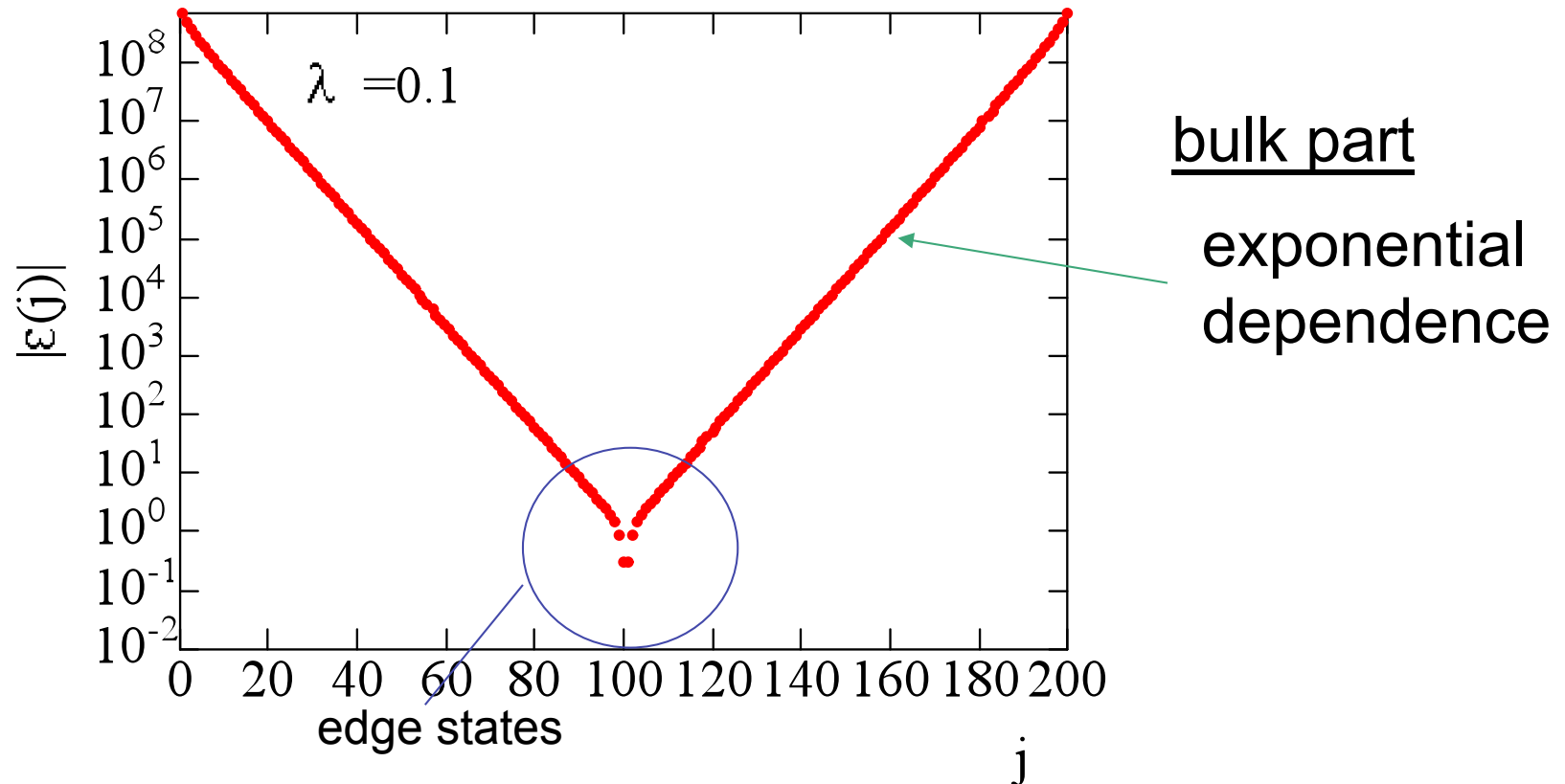
cf. if  $\lambda=0$ , then  $\varepsilon(j) = 2 \cos\left(\frac{\pi j}{N+1}\right)$



# 1-particle eigenvalue spectrum

N=200

log-scale plot

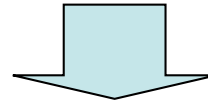


$j=100.5$  corresponds to Fermi surface

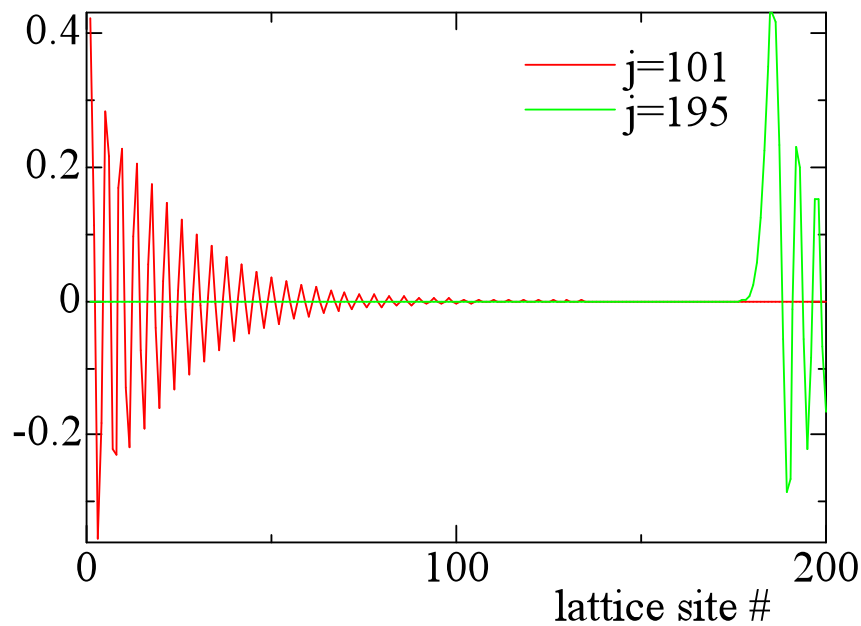
# wavefunction

---

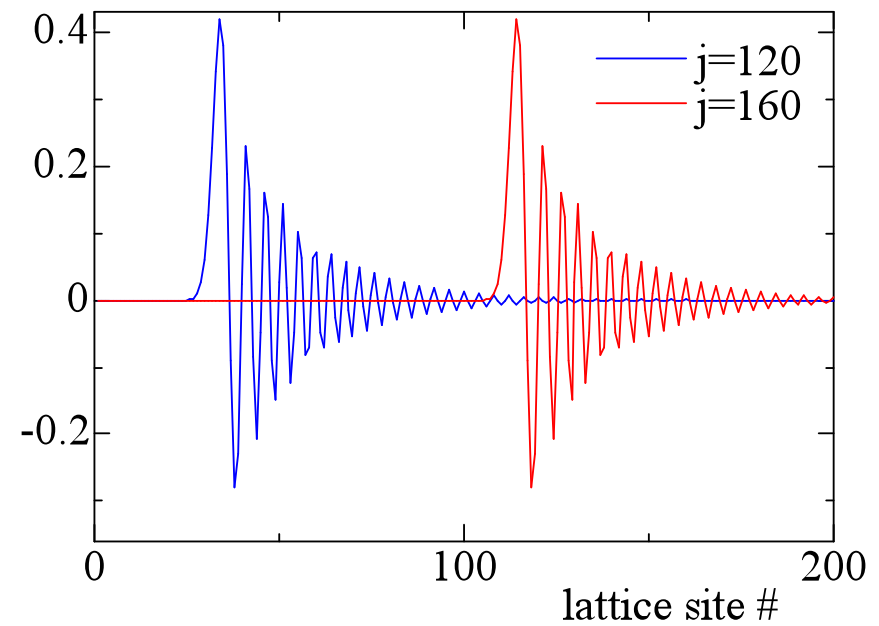
plane waves(uniform)



wave packets(exponential)



edge states



bulk states

translation = energy level shift

# one-particle eigenvalue problem

---

## 1-body problem

$$|\psi\rangle = \sum \psi(n) c_n^+ |0\rangle \Rightarrow e^{\lambda(n-1)} \psi(n-1) + e^{\lambda n} \psi(n+1) = E \psi(n)$$

$$\psi(n) = e^{-\lambda/2} \phi(n) \quad \phi(n-1) + \phi(n+1) = e^{-\lambda n} \bar{E} \phi(n)$$

$$\phi(n) \rightarrow (-)^n \phi(n) \Rightarrow E \rightarrow -E \quad \text{particle-hole}$$

## Lattice translation

$$n = n' + m \quad E' = e^{-\lambda m} \bar{E}$$

$$\Rightarrow \phi(n'-1) + \phi(n'+1) = e^{-\lambda n'} E' \phi(n')$$

**scale free theory!**

lattice translation = energy shift

All bulk wavefunctions have the same form!

## wavepacket: “continuous” limit( $\lambda \rightarrow 0$ )

---

$$x = n\lambda \quad : \quad \phi(x - \lambda) + \phi(x + \lambda) = e^{-x} \phi(x)$$

$$y = \exp(-x/2) \quad \vdots \quad \phi''(y) + \frac{1}{y} \phi''(y) + \frac{4}{\lambda^2} \left( \frac{2}{y^2} - 1 \right) \phi(x) = 0$$

modified Bessel function with imaginary order

$$\phi(x) \sim K_{2ic}(ce^{-x/2}) \quad c = 2\sqrt{2} / \lambda$$

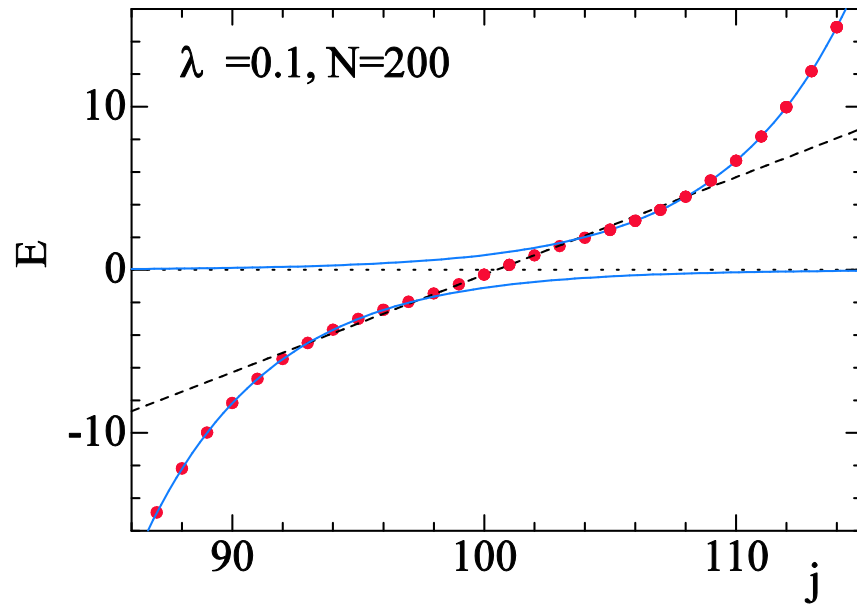
$$x \gg 1 \quad \phi(x) \sim \exp(-icx)$$

$$x \ll -1 \quad \phi(x) \sim \sqrt{\frac{\pi}{2ce^{-x/2}}} \exp(-ce^{-x/2})$$

consistent with the wavepacket obtained by the numerical result

# edge state

---



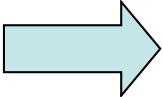
$E$  shows linear dependence with respect to  $j$  near the Fermi surface. ( $|E| \lesssim 2$ )

# edge state

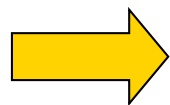
---

$$\phi(n) = e^{\pm i n \pi / 2} \eta(n) \quad \pm i[\eta(n-1) - \eta(n+1)] = e^{-\lambda n} \bar{E} \eta(n)$$

omit  $O(\lambda^2)$  :  $i2\lambda\eta'(x) \mp Ee^{-x}\eta(x) = 0$

  $\eta(x) \sim \exp(\pm i \frac{E}{2\lambda} e^{-x})$

This wavefunction is not permitted as a bulk wavefunction



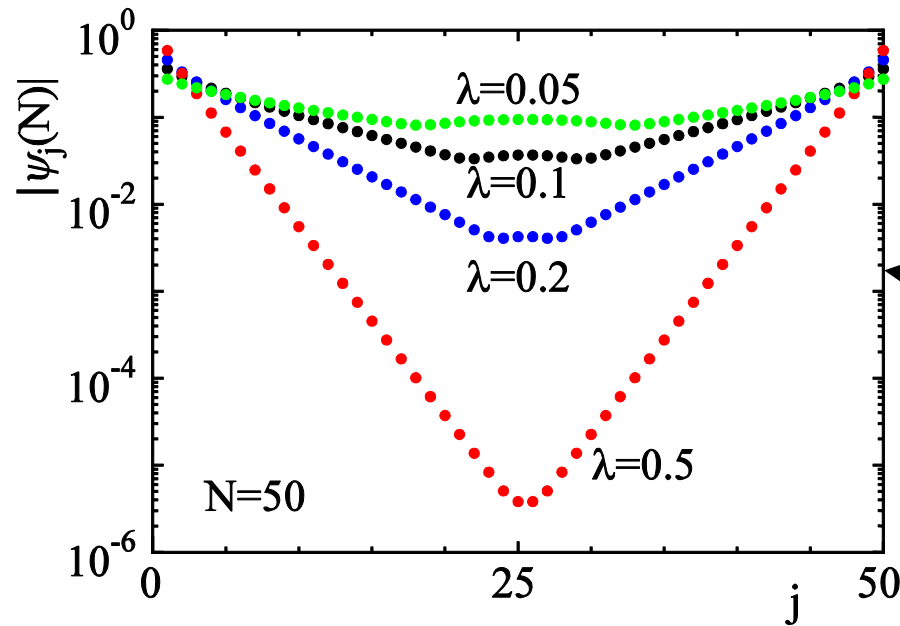
edge state of the lowest energy scale

$$E = \pi \gamma e^{-\lambda/2} q \quad \text{with the boundary condition}$$

$$q = \pm 1/2, \pm 3/2, \dots \quad \psi(0) = 0, \psi(N+1) = 0$$

This edge state is eventually invisible for a practical  $\Lambda \sim 2$

# Amplitude at the Impurity site



$N=50$

edge state

$$\psi_j(N) = \begin{cases} a_j e^{-\lambda j} & 1 \leq j \leq N/2 \\ a_j e^{\lambda(j-N-1)} & N/2+1 \leq j \leq N \end{cases}$$

# Kondo coupling

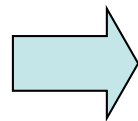
---

$$J_K e^{\lambda N} \psi_j^*(N) \psi_j(N) c_{j\sigma}^+ [\sigma \cdot S] c_{j\sigma}$$

$$\psi_j(N) = \begin{cases} a_j e^{-\lambda j} & 1 \leq j \leq N/2 \\ a_j e^{\lambda(j-N-1)} & N/2 + 1 \leq j \leq N \end{cases}$$

$a$  is a constant of order unity

Lattice translation:  $j \rightarrow j + 2M$



$$\tilde{J}_K e^{\lambda N} \psi_{j+M}^*(N) \psi_{j+M}(N)$$

Kondo Coupling scales with  $\tilde{J}_K = J_K e^{2\lambda M}$

canonical scale factor :  $e^{2\lambda M}$

cf. Free fermion part

$$\tilde{E} = E e^{2\lambda M}$$

nontrivial  
competition

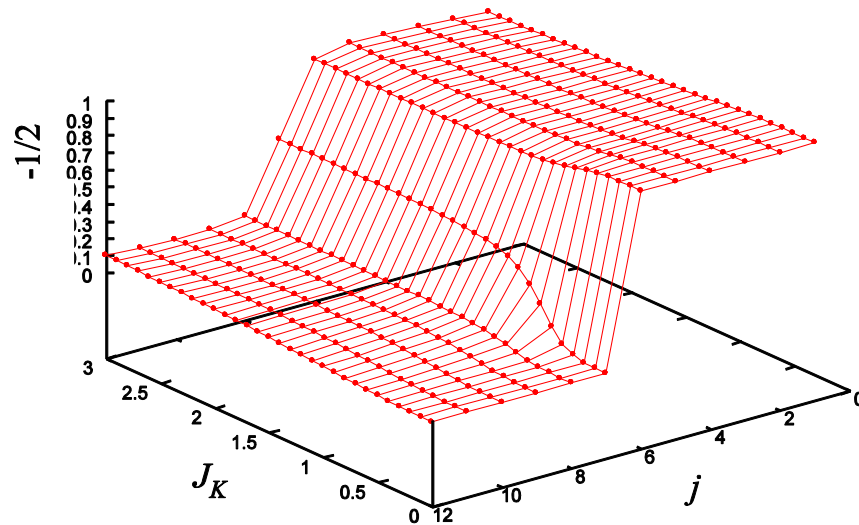


# Diagonalization

---

distribution of  $\uparrow$  spin electron for each orbital

$$N = 12, N_e = 12, S^z = 1/2, \Lambda = \sqrt{2}$$



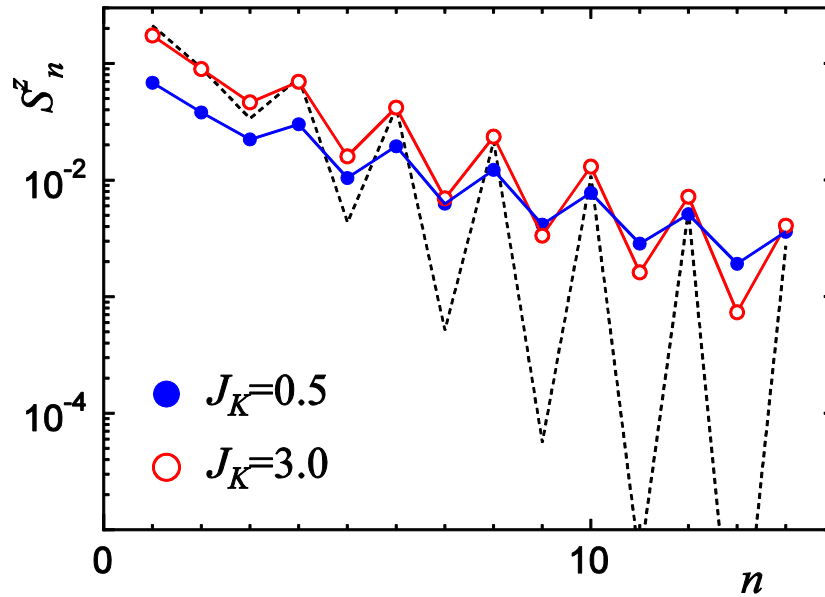
Kondo coupling

orbital index

$j=6.5$  corresponds to the Fermi surface

# real-space spin distribution

N=14



impurity site

dotted line : free fermion at  $j=8$ (above fermi surface)

# implication of N=14 results

---

decay rate of free fermion  $\lambda = \ln 2 / 2 = 0.347$

renormalized decay rate of  
the groundstate spin density  $\lambda^*$

$J_K = 3.0$  (strong coupling limit)  $\lambda^* = 0.312 \approx 0.9\lambda$

$J_K = 0.5$  (crossover region)  $\lambda^* = 0.215 \approx 0.62\lambda$

The decay rate of the spin density is renormalized  
from the canonical scale factor

$$e^{2\lambda M} \rightarrow e^{2\lambda^* M}$$

Nontrivial correlation effect

# summary

Phys.Rev.B 82 144409 (2010)

---

## essence of Wilson NRG

Scale free wavepacket basis

## appearance of edge states

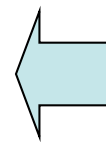
The edge states is less important in the practical region of cutoff  $\Lambda$

## Kondo coupling

The decay rate of the spin density is renormalized from the canonical scale factor

Kondo energy scale

$$e^{-1/2J_K} / \sqrt{2J_K}$$



$$\lambda^*(J_K; N)$$

further analysis for long chains

# Lattice translation again

---

$T$  is the lattice translation( $n \rightarrow n+1$ ) operator  
for fermion operators

$$THT^{-1} = \sum_n e^{n\lambda} T(c_n^+ c_{n+1} + c_{n+1}^+ c_n) T^{-1} = e^{-\lambda} H$$

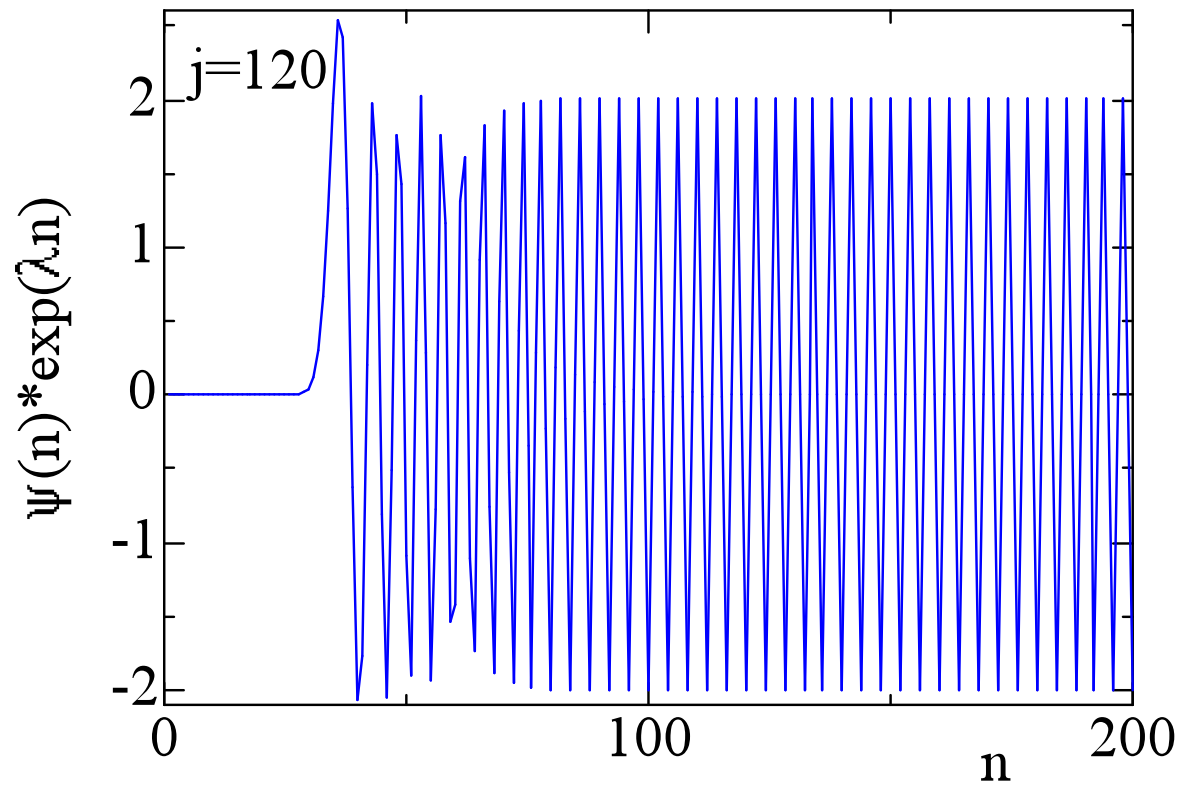
[# infinite sum of “n” is assumed]

if  $|\psi\rangle$  is an eigenstate of  $H$   $H|\psi\rangle = E|\psi\rangle$

$$\begin{aligned} TH|\psi\rangle &= ET|\psi\rangle \Leftrightarrow THT^{-1}T|\psi\rangle = ET|\psi\rangle \\ &\Leftrightarrow H(T|\psi\rangle) = e^\lambda E(T|\psi\rangle) \end{aligned}$$

  $E' = e^{-\lambda} E$  is also an eigenvalue

Energy-scale-free nature can be also seen.



# Issue

---

What is the mechanism behind the success of Wilson NRG?

We want to understand the role of cutoff beyond qualitative understanding

- How can we understand the control of the energy scale in Wilson NRG?

high-energy cutoff v.s. infra-red cut off

- Wilson NRG for a gapful system

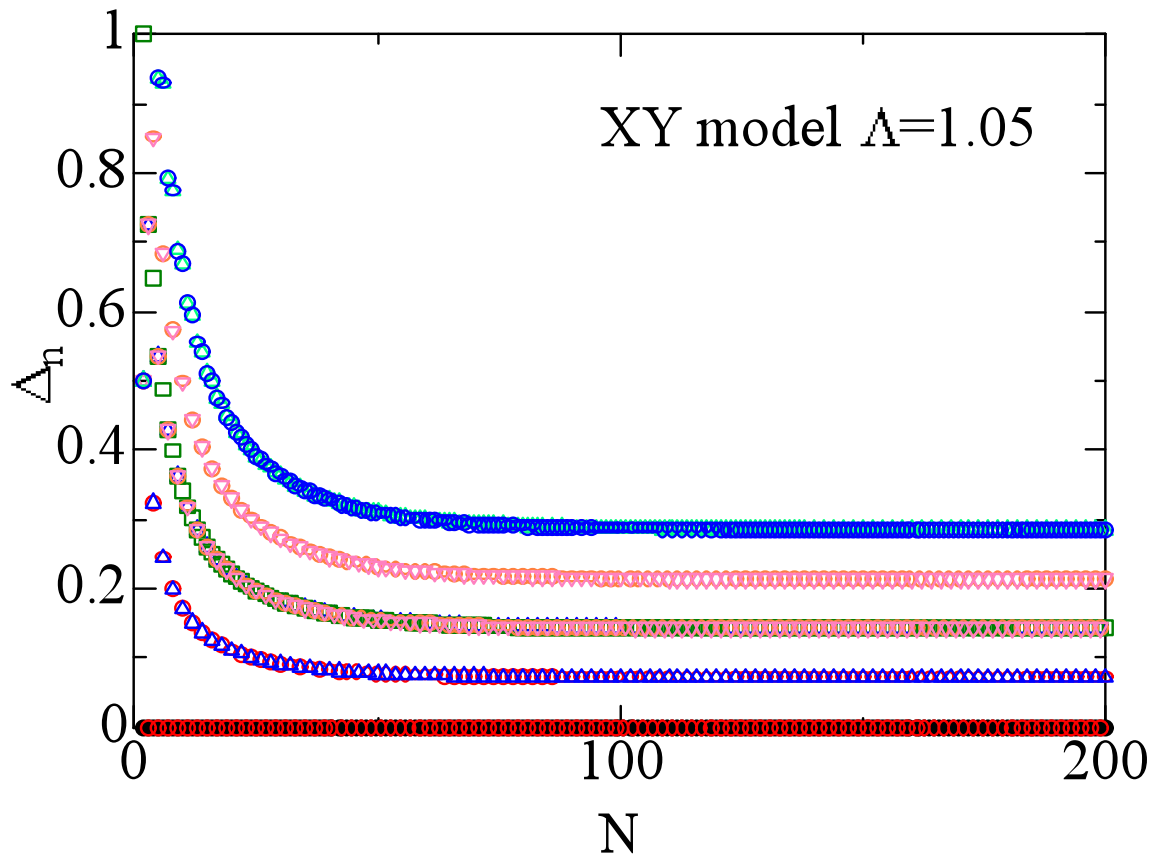
competition between the length scale of  $\Lambda$  and inherent energy scale of the system

# Wilson like NRG for spin chain

JPSJ 76 (2007)063001

$$H_{\Lambda}^{N+1} = \Lambda H_{\Lambda}^N + h_{N,N+1} \quad h_{N,N+1} \text{ two body interaction}$$

The lowest energy scale is 1 (new site)  
The scale of the other part is multiplied by  $\Lambda$



cut off

- converge for large N
- regular structure

# low-energy spectrum of the XY model

---

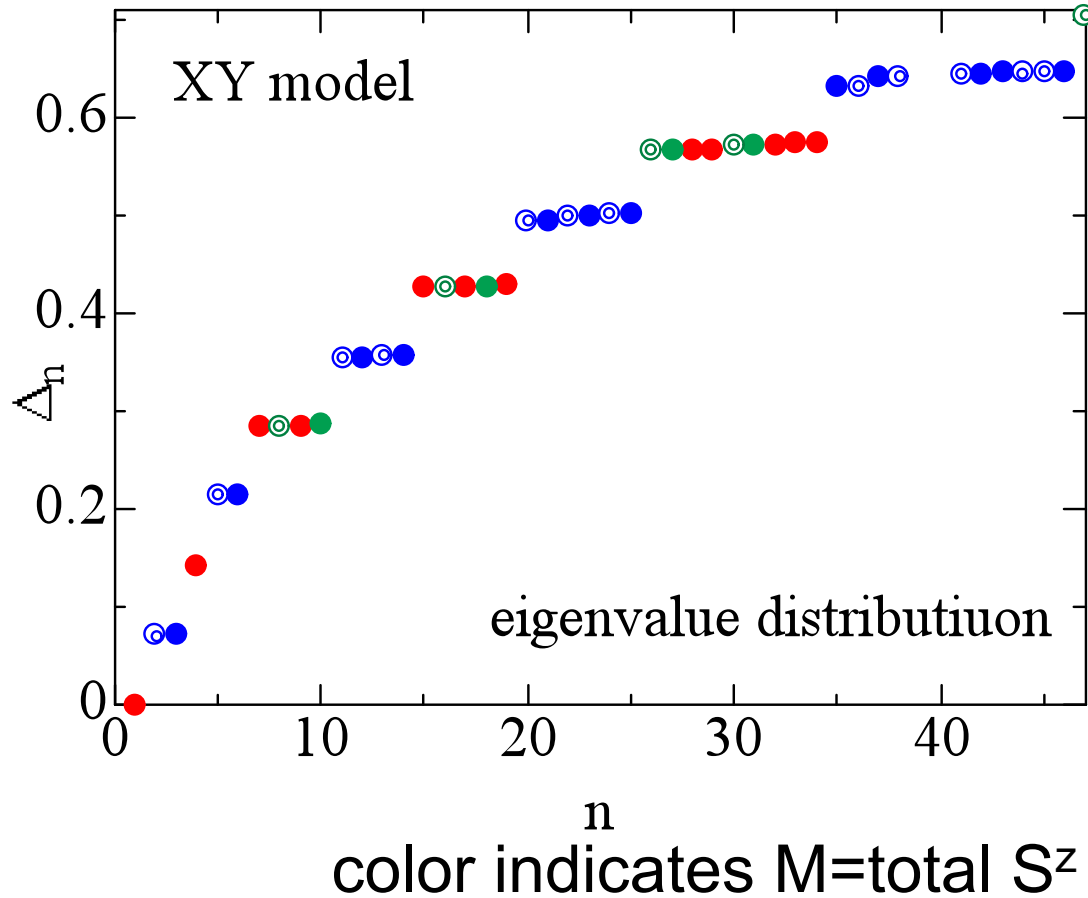
$$H = \sum S_n^x S_{n+1}^x + S_n^y S_{n+1}^y$$

free fermion

invoke low-energy finite-size spectrum

$$H = e_0 L + f_0 - \frac{\pi v}{48L} + \frac{\pi v}{2L} \left[ \sum_{m=0} \left( m + \frac{1}{2} \right) \xi_m^+ \xi_m + \sum_{n=0} \left( n + \frac{1}{2} \right) \eta_n^+ \eta_n \right]$$

excitatin spectrum is specified by two quantum numbers (m,n)  
all of the level space is  $\pi v/2L$ .



$$M = \eta^+ \eta - \xi^+ \xi$$

$$(m,n)=(0,0)$$

$$(1,0), (0,1)$$

$$(2,0), (0,2), (1,1)$$

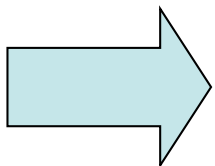
level space is  
determined by  $\Lambda$

赤が  $M=0$

青が  $M=\pm 1$

緑が  $M=\pm 2$

The correct spectrum of the XY model  
can be reproduced!



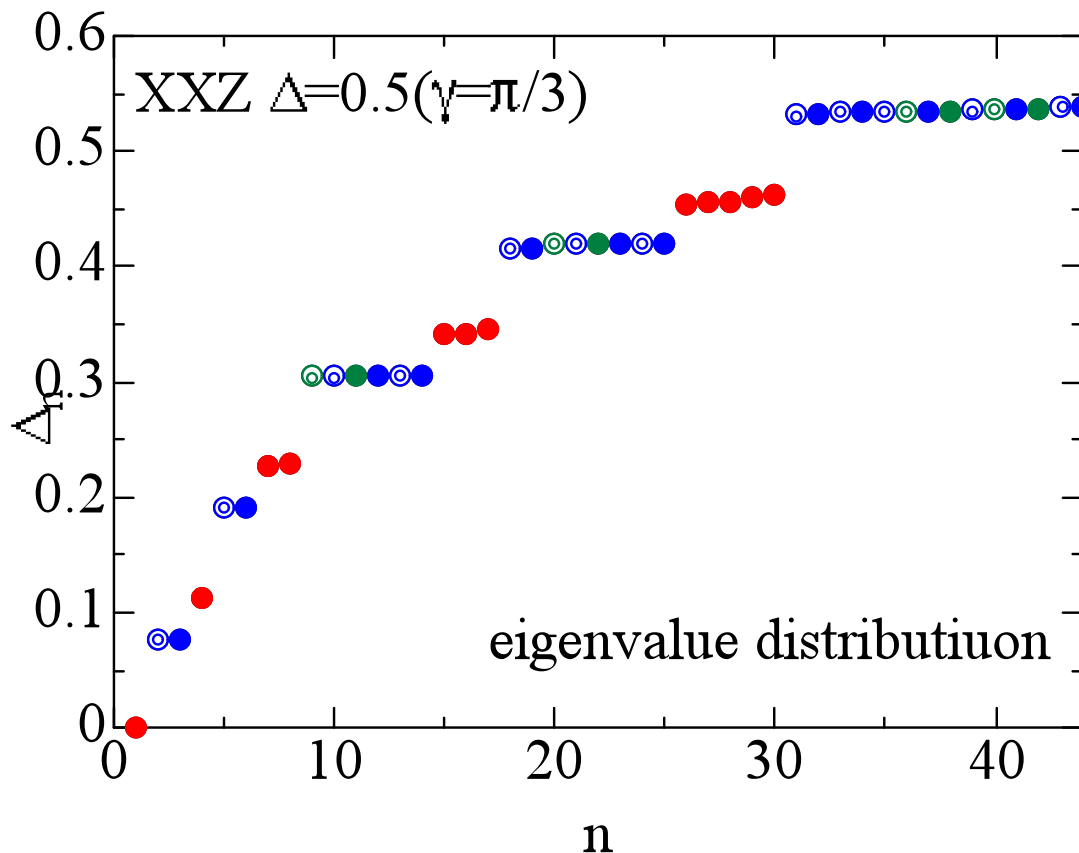
Wilson NRG works for a general model !

# eigenvalue distribution of XXZ model

$$H = \sum S_n^x S_{n+1}^x + S_n^y S_{n+1}^y + \Delta S_n^z S_{n+1}^z$$

$$\gamma = \pi/3 \quad \Rightarrow \quad \xi = \frac{\sqrt{3}}{2}$$

two quantum numbers  
(M, n)



red M=0  
blue M=±1  
green M=±2  
(n quantum number  
increases for each M)

$$\prod_{n=1}^{\infty} (1 - q^n)^{-1} = \sum_{n=0}^{\infty} P(n) q^n$$

P(n)=1,1,2,3,5.....  
for n=0,1,2,3,4,....

# finite size spectrum of XXZ model

---

$$H = \sum S_n^x S_{n+1}^x + S_n^y S_{n+1}^y + \cos \gamma S_n^z S_{n+1}^z$$

finite size spectrum obtained by Bethe ansatz

$$H = Le_0 + f_0 + \frac{\pi v}{2L} \left[ \frac{1}{2} \frac{M^2}{\xi^2} + n - \frac{1}{24} \right]$$

$$\xi = 1 / \sqrt{2(1 - \gamma / \pi)}$$

$n$  is the degeneracy defined by Euler's partition number  $P(n)$

# DMRGはRGか？

---

## DMRG

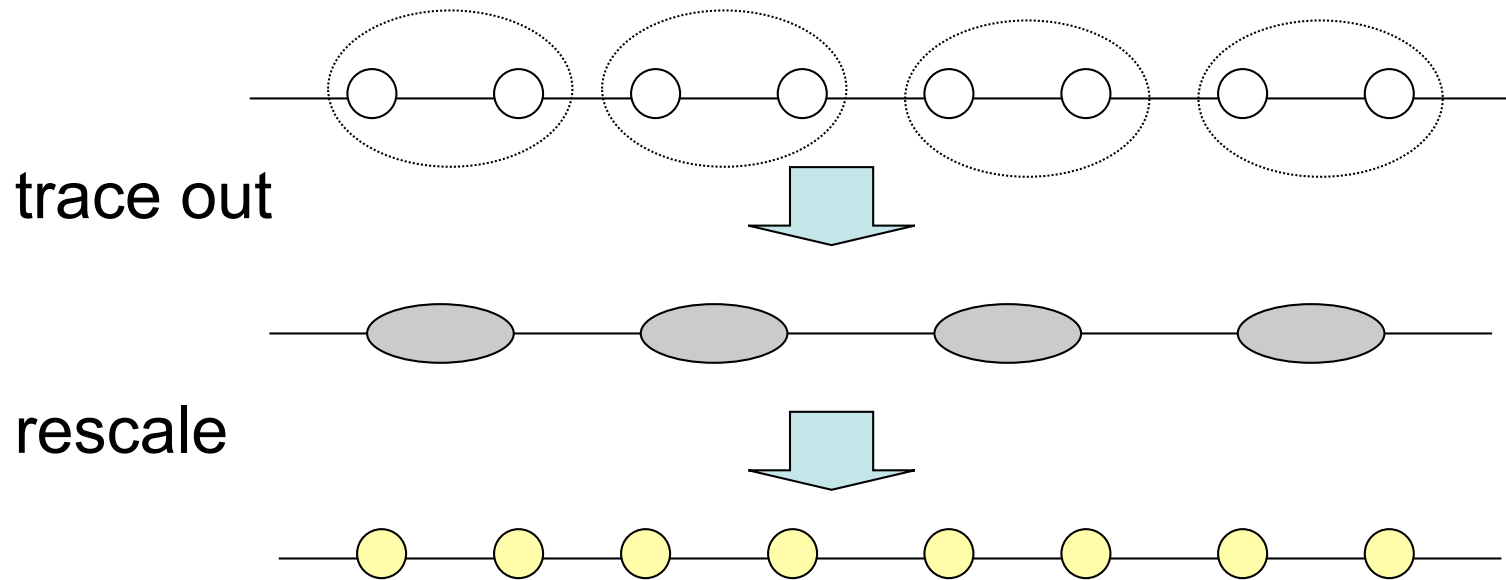
- 密度行列経由で状態の削減  
(ハミルトニアン of 低エネルギーかどうかは不明)
- 基底状態の情報は得られる  
(高エネルギー状態の部分和かどうかは不明)
- くりこみの流れが有るのか無い  
(結合定数はくりこまれているのか?)
- 相関長とくりこみ変換の関係が不明  
( RG  $\xi(u) \rightarrow b^N \xi(b^{xN} u)$  )
- 臨界指数の計算は誰もやっていない!  
(できない?)

DMRGを“くりこみ群”と解釈するわけにはいかない

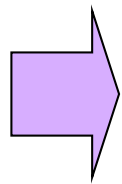
Wilsonのくりこみ群がなぜ臨界系の扱いに成功したのか？

# block spin transformation RG

---



The reason for the failure of this procedure was analyzed by S.R.White



The role of “boundary” of the block spin is essential.

# Wilson's NRG

Kondo model }  
 linear dispersion }  $\rightarrow$  continuous model

s-wave+impurity

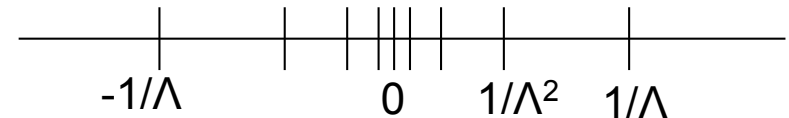
$$-i \sum \frac{\partial}{\partial x_i} + JS \cdot \sigma_i \delta(x_i)$$

**1** Wave space  $\int_{-1}^1 k c_{k\sigma}^+ c_{k\sigma} dk - J[S^z (A_{\uparrow}^+ A_{\uparrow} - A_{\downarrow}^+ A_{\downarrow}) + S^- A_{\uparrow}^+ A_{\downarrow} + S^+ A_{\downarrow}^+ A_{\uparrow}]$

$$A_{\sigma} = \int_{-1}^1 c_{k\sigma} dk$$

log-discretization

discretize wave space into shell



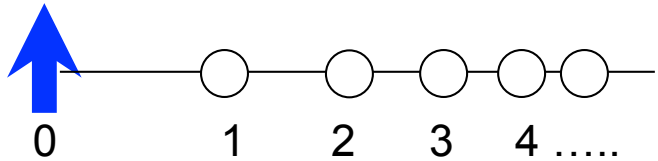
**2**

$$H_{\Lambda}^{N+1} = \Lambda H_{\Lambda}^N + t(c_{N\sigma}^+ c_{N+1\sigma} + c_{N+1\sigma}^+ c_{N\sigma})$$

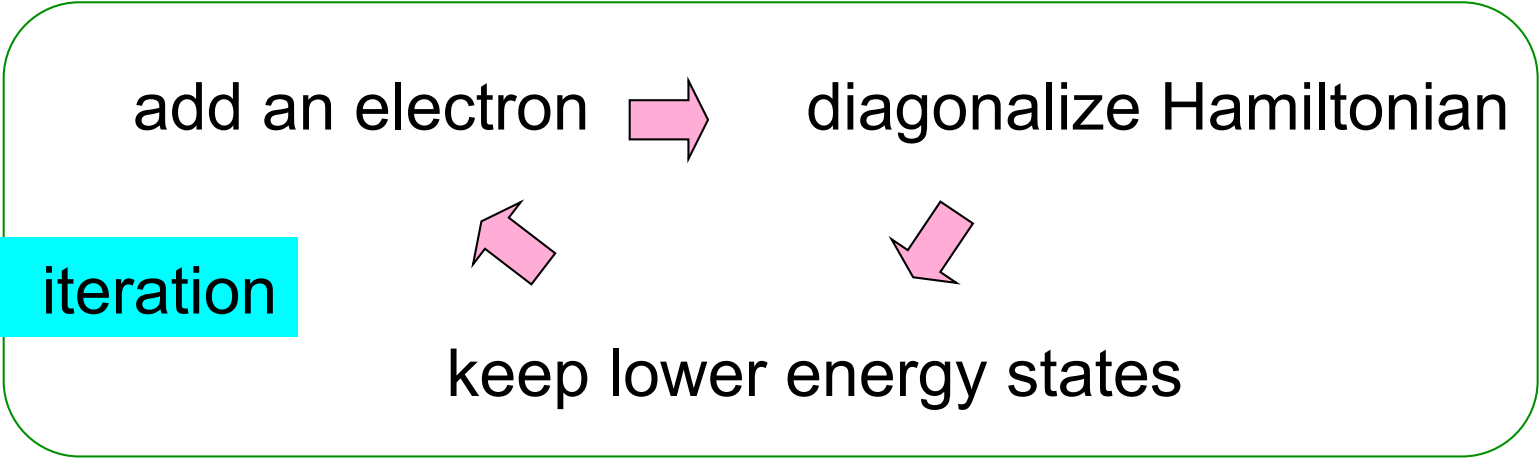
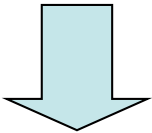
cutoff  $\Lambda$  due to discretization

3

Numerical RG: add electrons on a 1D lattice step by step



Omit higher energy states and reduce the dimension of Hamiltonian matrix.



RG iteration