

## Nuclear matrix elements for baryogenesis

Enrico Rinaldi

RIKEN BNL Research Center

2019/04/26 - Frontiers in Lattice QCD, YITP, Kyoto, Japan



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Visible Matter 4.9%

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## Dark Matter 26.8%

Dark Energy 68.3%

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# $\rho_{\text{DM}} \approx 5 \rho_{\text{SM}}$ 26.8%

Dark Energy 68.3%



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  - 2. C and CP symmetry violation
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- ∗ Baryogenesis →
- Sakharov conditie
- search for baryon-violating interactions: new physics!

### " than antimatter

- 1. Baryon number violation
- 2. C and CP symmetry violation
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✤ Oscillations of neutral particles can teach us about new physics!

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B0
V
N

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- \* Neutron oscillations violate baryon number (B) and baryonlepton (B-L) number:  $|\Delta B| = 2$  [Sakharov, JETP Lett. 5, 24 (1967)]  $\Delta L = 0$

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[Grojean et al., 1806.00011]

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 Future experiments have the potential for a great increase in sensitivity to oscillations (ESS and DUNE)

[*Frost*, 1607.07271] [*Hewes*, DOI:10.2172/1426674]

#### Neutron-antineutron oscillations on the lattice

Michael I. Buchoff<sup>\*†</sup>; Chris Schroeder, Joseph Wasem Physical Sciences Directorate, Lawrence Livermore National Laboratory Livermore, California 94550, USA E-mail: buchoffl@llnl.gov

#### Neutron-Antineutron Oscillation Matrix Elements with Domain Wall Fermions at the Physical Point

#### Sergey Syritsyn<sup>\*a,b</sup>, Michael Buchoff<sup>c,d</sup>, Chris Schroeder<sup>c</sup>, Joe Wasem<sup>c</sup>

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- <sup>b</sup> Jefferson Laboratory, 12000 Jefferson Ave, Newport News, VA 23606, USA
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- <sup>d</sup> Institute for Nuclear Theory, Box 351550, Seattle, WA 98195-1550, USA

*E-mail:* ssyritsyn@quark.phy.bnl.gov

#### [PoS, Lattice 2012, 128]

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#### Neutron-antineutron oscillations from lattice QCD

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 <sup>3</sup>Department of Physics and Astronomy, Stony Brook University, Stony Brook, NY 11794, USA
 <sup>4</sup>Center for Theoretical Physics, Massachusetts Institute of Technology, Cambridge, MA 02139, USA
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[arxiv:1809.00246]

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### Lattice QCD determination of neutron-antineutron matrix elements with physical quark masses

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[arxiv:1901.07519]

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$$\mathcal{M}_{\mathcal{B}} = \begin{pmatrix} m_n - \vec{\mu}_n \cdot \vec{B} - i\lambda/2 & \delta m \\ \delta m & m_n + \vec{\mu}_n \cdot \vec{B} - i\lambda/2 \end{pmatrix}$$

### $\langle n | \mathcal{M}_{\mathscr{B}} | \bar{n} \rangle = \delta m$ Coupling between neutrons and anti-neutrons









$$P(n(t) = \bar{n}) = \left(\frac{2\delta m}{\Delta E}\right)^2 \sin^2\left(\frac{\Delta E \cdot t}{2}\right) e^{-\lambda t}$$





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quasi-free limit  $|\Delta E|t \ll 1$   
$$P(n(t) = \bar{n}) = [(\delta m) t]^2 e^{-\lambda t} = (t/\tau_{n-\bar{n}})^2 e^{-\lambda t}$$



## New physics

 Relate the off-diagonal matrix element of the effective Hamiltonian to the microscopic operators

$$\langle n | \mathcal{H}_{\text{eff}} | \bar{n} \rangle = \frac{1}{\Lambda_{\text{BSM}}^5} \sum_i c_i \langle n | \mathcal{O}_i | \bar{n} \rangle$$

\* The process is mediated by a effective 6quark operators of dimension 9  $\delta m = \langle n | \int d^3 x \, \mathscr{H}_{eff} | \bar{n} \rangle \sim c \frac{\Lambda_{QCD}^6}{\Lambda_{PCM}^5}$ 

The mass scale for new physics is obtained roughly as Λ<sub>BSM</sub> ~ 100 – 1000 TeV [Phillips et al., 1410.1100]



- free neutrons:  $\tau_{n-\bar{n}} = (\delta m)^{-1}$ 
  - prepare cold neutrons
  - free propagation in vacuum
  - detector to look for multiple pions after annihilation
- \* bound neutrons:  $\tau_A \propto (\delta m)^{-2} \rightarrow R_A \tau_{n-\bar{n}}^2$ 
  - large amount of nuclei in underground detector
  - irreducible atmospheric neutrino background



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sensitivity  $\propto N_n(t_{obs}^2)$ 

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sensitivity 
$$\propto N_n(t_{\rm obs}^2)$$

Nuclear suppression factor due to different nuclear potential

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Nuclear suppression factor due to different nuclear potential





## Aside: nuclear models

- The most stringent bounds arise from experiments where the neutrons are affected by the nuclear potential
- Important to understand the medium effects  $\tau_A \propto (\delta m)^{-2} \rightarrow R_A \tau_{n-\bar{n}}^2$
- \* E.g. deuteron (SNO experiment):  $\tau_A = 1.18 1.48 \times 10^{31}$  yr
  - \* Model 1:  $1.23 1.37 \times 10^8 s$  [C. B. Dover et al. Phys. Rev. D 27, 1090 (1983)]
  - \* Model 2:  $1.2 1.4 \times 10^8 s$  [E. Friedman and A. Gal, Phys. Rev. D 78, 016002 (2008)]
  - EFT:  $1.6 \times 10^8 s$

[F. Oosterhof et al. (2019), arXiv:1902.05342]

## Effective field theory



Vast separation of scale between hadronic physics and new physics








[Rao & Shrock, Nucl. Phys. B 232, 143 (1984)] [Caswell, et al., Phys.Lett. B122, 373 (1983)] [Buchoff & Wagman, 1506.00647] [Grojean et al., 1806.00011] [Syritsyn et al., PoS, Lattice 2015, 132]

#### Color-singlet, Electrically-neutral, $|\Delta B| = 2$

$\overline{Q_I}$	Ref. [7]	Ref. [3]	Ref. [8]	$(I, I_z)_R \otimes (I, I_z)_L$	$\gamma^{\mathcal{O}}$ (1-loop)
$-\frac{3}{4}Q_1$	$[(RRR)_1]$	$3\mathcal{O}^3_{\{RR\}R}$	$12\mathcal{O}_1$	$(1,-1)_R\otimes (0,0)_L$	$(\alpha_S/4\pi)(-2)$
$-\frac{3}{4}Q_2$	$[(RR)_{1}L_{0}]$	$3\mathcal{O}^3_{\{LR\}R}$	$6\mathcal{O}_2$	$(1,-1)_R\otimes (0,0)_L$	$(\alpha_S/4\pi)(+2)$
$-\frac{3}{4}Q_3$	$[R_1(LL)_0]$	$3\mathcal{O}^3_{\{LL\}R}$	$12\mathcal{O}_3$	$(1,-1)_R\otimes (0,0)_L$	0
$-\frac{5}{4}Q_4$	$[(RRR)_3]$	$\mathcal{O}^1_{R\{RR\}} + 4\mathcal{O}^2_{\{RR\}R}$		$(3,-1)_R\otimes (0,0)_L$	$(\alpha_S/4\pi)(-12)$
$-Q_5^{\mathcal{P}}$	$\left[ (RR)_{2} L_{1} \right]_{(1)}$	$\mathcal{O}^1_{L\{RR\}}$	$-4\mathcal{O}_4^\mathcal{P}$	$(2,-2)_R \otimes (1,1)_L$	$(\alpha_S/4\pi)(-6)$
$\frac{1}{4}Q_6^{\mathcal{P}}$	$\left[ (RR)_{2} L_{1} \right]_{(2)}$	$\mathcal{O}^2_{\{LR\}R}$	$-2\mathcal{O}_5^{\mathcal{P}}$	$(2,-1)_R\otimes(1,0)_L$	$(\alpha_S/4\pi)(-6)$
$\frac{3}{4}Q_7^{\mathcal{P}}$	$\left[ (RR)_{2} L_{1} \right]_{(3)}$	$\left \mathcal{O}_{R\{RL\}}^{1}+2\mathcal{O}_{\{RR\}L}^{2}\right $	$-4\mathcal{O}_6^{\mathcal{P}}$	$(2,0)_R\otimes(1,-1)_L$	$(\alpha_S/4\pi)(-6)$

Chiral basis: 14 operators. 7 ind. due to P-symmetry

Operators

[Rao & Shrock, Nucl. Phys. B 232, 143 (1984)] [Caswell, et al., Phys.Lett. B122, 373 (1983)] [Buchoff & Wagman, 1506.00647] [Grojean et al., 1806.00011] [Syritsyn et al., PoS, Lattice 2015, 132]

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[RBC/UKQCD, 1411.7017]
Configurations and propagators from RBC/UKQCD

- Möbius Domain Wall fermions
- Physical pion mass
- ✤ 48<sup>3</sup>x96 with a=0.114 fm
- 30 independent configs.
- Non-perturbative renorm.



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chiral



- [RBC/UKQCD, 1411.7017]
  Configurations and propagators from RBC/UKQCD
  - Möbius Domain Wall fermions chiral
    Physical pion mass no extrapolation
    48<sup>3</sup>x96 with a=0.114 fm large volume + small disc.
    30 independent configs. determines statistical err.
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- [RBC/UKQCD, 1411.7017]
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[Syritsyn et al., 1809.00246] [Syritsyn et al., 1901.07519]

# Methodology

- Calculate 3-point function of operator inserted at time τ
- \* Only 1 propagator (point-to-all) needed: fix source at  $\tau = 0$
- All time separations accessible

   t<sub>f</sub> τ
   τ t<sub>i</sub>

   Only point insertions, but point
  - and gaussian smeared nucleons

 $G_{\text{2pt}}^{\text{PP,PS}}(t_f, t_i) \qquad G_{\text{3pt}}^{\text{PP,PS,SP,SS}}(t_f, \tau, t_i)$ 

 $\langle 0 | N(t_f) \mathcal{O}_i(\tau) \overline{N}(t_i) | 0 \rangle$ 



### Nucleon mass





t

[Syritsyn, Izubuchi, Ohki, 1901.05455]

#### Nucleon mass

High statistics: 33280 samples



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Nucleon mass	Nuc	leon	mass
--------------	-----	------	------

$t_{PP}^{min}$	$t_{PS}^{min}$	$t^{max}$	$N_{\rm dof}$	$E_0$	$E_1$	$\chi^2/N_{ m dof}$	$\lambda^*$
6	4	13	12	0.578(23)	1.23(27)	0.50	0.14
6	6	13	10	0.556(22)	1.11(15)	0.42	0.15
6	5	13	11	0.560(24)	1.13(21)	0.40	0.14
5	5	13	12	0.566(20)	1.26(9)	0.40	0.13
7	5	13	13	0.554(69)	0.98(43)	0.42	0.15
Weig	hted	Ave		0.565(24)(8)	1.21(15)(65)		



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t

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-				
	uc	leon	mass	

$t_{PP}^{min}$	$t_{PS}^{min}$	$t^{max}$	$N_{\rm dof}$	$E_0$	$E_1$	$\chi^2/N_{ m dof}$	$\lambda^*$
6	4	13	12	0.578(23)	1.23(27)	0.50	0.14
6	6	13	10	0.556(22)	1.11(15)	0.42	0.15
6	5	13	11	0.560(24)	1.13(21)	0.40	0.14
5	5	13	12	0.566(20)	1.26(9)	0.40	0.13
7	5	13	13	0.554(69)	0.98(43)	0.42	0.15
Weig	hted	Ave		0.565(24)(8)	1.21(15)(65)		



#### Extracting matrix elements

 $G_{nQ_{I}^{\dagger}\bar{n}}^{JJ'}(t_{sep},\tau) = \sqrt{Z_{0}^{J}Z_{0}^{J'}}e^{-E_{0}t_{sep}}\mathcal{M}_{I} + e^{-E_{0}\tau - E_{1}(t_{sep}-\tau)}\mathcal{A}_{I}^{JJ'} + e^{-E_{1}\tau - E_{0}(t_{sep}-\tau)}\mathcal{A}_{I}^{J'J} + e^{-E_{1}t_{sep}}\mathcal{B}_{I}^{JJ'}$ 



 $G_{nQ_{I}^{\dagger}\bar{n}}^{JJ'}(t_{sep},\tau) = \sqrt{Z_{0}^{J}Z_{0}^{J'}}e^{-E_{0}t_{sep}}\mathcal{M}_{I} - e^{-E_{0}\tau - E_{1}(t_{sep}-\tau)}\mathcal{A}_{I}^{JJ'} + e^{-E_{1}\tau - E_{0}(t_{sep}-\tau)}\mathcal{A}_{I}^{J'J} + e^{-E_{1}t_{sep}}\mathcal{B}_{I}^{JJ'}$ 



 $G_{nQ_{I}^{\dagger}\bar{n}}^{JJ'}(t_{sep},\tau) = \sqrt{Z_{0}^{J}Z_{0}^{J'}}e^{-E_{0}t_{sep}}\mathcal{M}_{I} - e^{-E_{0}\tau - E_{1}(t_{sep}-\tau)}\mathcal{A}_{I}^{JJ'} + e^{-E_{1}\tau - E_{0}(t_{sep}-\tau)}\mathcal{A}_{I}^{J'J} + e^{-E_{1}t_{sep}}\mathcal{B}_{I}^{JJ'}$ 



 $G_{nQ_{I}^{\dagger}\bar{n}}^{JJ'}(t_{sep},\tau) = \sqrt{Z_{0}^{J}Z_{0}^{J'}}e^{-E_{0}t_{sep}}\mathcal{M}_{I} - e^{-E_{0}\tau - E_{1}(t_{sep}-\tau)}\mathcal{A}_{I}^{JJ'} + e^{-E_{1}\tau - E_{0}(t_{sep}-\tau)}\mathcal{A}_{I}^{J'J} + e^{-E_{1}t_{sep}}\mathcal{B}_{I}^{JJ'}$  $n_2^{(+)}$ J' = P, S $n_3'$ J = P, S $Q_I^{\dagger}$  $(J_{2pt} = P)$  $-t_{1}$ τ tsep

$$G_{nQ_{I}^{\dagger}\bar{n}}^{JJ'}(t_{sep},\tau) = \sqrt{Z_{0}^{J}Z_{0}^{J'}}e^{-E_{0}t_{sep}}\mathcal{M}_{I} + e^{-E_{0}\tau - E_{1}(t_{sep}-\tau)}\mathcal{A}_{I}^{JJ'} + e^{-E_{1}\tau - E_{0}(t_{sep}-\tau)}\mathcal{A}_{I}^{J'J} + e^{-E_{1}t_{sep}}\mathcal{B}_{I}^{JJ'}$$



- Increase statistics by using symmetries of operators and states
- Reduce ill-defined covariance matrix by using "shrinkage"
- Inspect fit by looking at ratios

## Some fits



τ

## Some fits





$ au_P^{min}$	$ au_S^{min}$	$t_{ m sep}^{max}$	$N_{\rm dof}$	$\mathcal{M}_1^{\mathrm{lat}}  imes 10^5$	$\chi^2/N_{ m dof}$	$\lambda^*$	$\mathcal{M}_2^{\mathrm{lat}}  imes 10^5$	$\chi^2/N_{\rm dof}$	$\lambda^*$	$\mathcal{M}_3^{\mathrm{lat}}  imes 10^5$	$\chi^2/N_{ m dof}$	$\lambda^*$	$\mathcal{M}_5^{\mathrm{lat}}  imes 10^5$	$\chi^2/N_{ m dof}$	$\lambda^*$
6	2	13	70	-4.13(0.92)	0.25	0.77	8.50(1.07)	0.40	0.35	-5.01(0.76)	0.44	0.32	-0.098(39)	0.62	0.53
6	4	13	25	-3.81(1.78)	0.44	0.72	6.46(2.15)	0.31	0.31	-3.21(1.25)	0.40	0.29	-0.063(45)	0.53	0.41
6	3	13	45	-3.85(1.07)	0.30	0.76	8.24(1.52)	0.34	0.31	-4.09(0.95)	0.47	0.30	-0.068(38)	0.54	0.50
5	3	13	51	-4.09(0.92)	0.28	0.75	8.61(1.06)	0.34	0.29	-4.50(0.67)	0.44	0.29	-0.077(22)	0.54	0.47
7	3	13	40	-3.87(1.13)	0.34	0.76	8.13(1.32)	0.37	0.32	-4.05(1.00)	0.50	0.31	-0.069(32)	0.55	0.53
Weig	hted A	Ave		-3.99(1.08)(	0.13)		8.28(1.29)(0	0.54)		-4.37(0.86)(	0.52)		-0.075(32)(1	.0)	



#### Non-perturbative renormalization $Q_I^R(\mu) = Z_{IJ}^{\text{lat}}(\mu, a)Q_J^{\text{lat}}(a)$

We use the RI-MOM scheme

 $Z_{q}^{-3}(p)Z_{IJ}^{\text{lat}}(p)\Lambda_{J}^{\{A_{i}\}}(p) = \Gamma_{I}^{\{A_{i}\}}$ 

- Explicitly check for operator mixing
- \* Remove discretization artifacts  $\Delta Z_{I}^{\text{disc}}(a^{k}p^{i}[k]) = A(ap)^{2} + \left[B_{1}(ap)^{2} + B_{2}(ap)^{4}\right] \frac{a^{4}p^{[4]}}{(ap)^{4}}$





#### Renormalization matrix $Q_I^R(\mu) = Z_{IJ}^{\text{lat}}(\mu, a)Q_J^{\text{lat}}(a)$



one combination of momenta

# Renormalization matrix $X_{IJ} = \log\left(\frac{|Z_{IJ}|}{\sqrt{Z_{IJ}Z_{IJ}}}\right)$



# Renormalization matrix $x_{IJ} = \log\left(\frac{|Z_{IJ}|}{\sqrt{Z_{IJ}Z_{IJ}}}\right)$



average over permutations of momental

[Syritsyn et al., 1809.00246] [Syritsyn et al., 1901.07519]

# Final results

$$\tau_{n-\overline{n}}^{-1} = \Big| \sum_{I=1,2,3,5} \widehat{C}_I(\mu) \langle \overline{n} | Q_I(\mu) | n \rangle \Big|$$

Operator	$\mathcal{M}_{I}^{\overline{\mathrm{MS}}}$	$\mathcal{M}_{I}^{\overline{ ext{MS}}}$	$\frac{\mathcal{M}_{I}^{\overline{\mathrm{MS}}}}{\mathrm{MIT} \mathrm{\ bag\ A}}$	$\frac{\mathcal{M}_{I}^{\overline{\text{MS}}}}{\text{MIT bag B}}$
	(2  GeV)	(700  TeV)	(2  GeV)	(2  GeV)
$Q_1$	-46(13)	-26(7)	4.2	5.2
$Q_2$	95(17)	144(26)	7.5	8.7
$Q_3$	-50(12)	-47(11)	5.1	6.1
$Q_5$	-1.06(48)	-0.23(10)	-0.8	1.6

 $\tau_{n\bar{n}}^{-1} = \frac{10^{-9} \,\mathrm{s}^{-1}}{(700 \,\mathrm{TeV})^{-5}} \left| 4.2(1.1) \,\widehat{C}_{1}^{\,\overline{\mathrm{MS}}}(\mu) - 8.6(1.5) \,\widehat{C}_{2}^{\,\overline{\mathrm{MS}}}(\mu) + 4.5(1.1) \,\widehat{C}_{3}^{\,\overline{\mathrm{MS}}}(\mu) + 0.096(43) \,\widehat{C}_{5}^{\,\overline{\mathrm{MS}}}(\mu) \right|_{\mu=2} \,\mathrm{GeV}$ 

[Syritsyn et al., 1809.00246] [Syritsyn et al., 1901.07519]

# Final results

$$\tau_{n-\overline{n}}^{-1} = \Big| \sum_{I=1,2,3,5} \widehat{C}_I(\mu) \langle \overline{n} | Q_I(\mu) | n \rangle$$

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[Syritsyn et al., 1809.00246] [Syritsyn et al., 1901.07519]

## Final results

$$\tau_{n-\overline{n}}^{-1} = \Big| \sum_{I=1,2,3,5} \widehat{C}_I(\mu) \langle \overline{n} | Q_I(\mu) | n \rangle$$

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enhancement of ME wrt models up to ~10x

## [Grojean et al., 1806.00011]







- \* Improvement of the experimental limits on oscillations is expected in the next decade (DUNE, Hyper-K, ESS):  $\tau_{n-\bar{n}} > 10^{10} s$ 
  - Minimal EFT approaches connecting new physics to nuclear matrix elements exist and they need precision to compare to experiments [Grojean et al., 1806.00011]
- Fully non-perturbative estimates of nuclear ME are needed for translating experimental bounds to constraints on new physics models
  - LQCD calculations will now replace outdated MIT bag model estimates for nuclear ME



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