

# GSR approach to Horndeski inflation

Héctor Ramírez  
IFIC - University of Valencia

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Based on: “**Reconciling tensor and scalar observables in G-inflation**”

with  
S. Passaglia, H. Motohashi, W. Hu, and O. Mena.



Outline:

1. Horndeski inflation.
2. Generalized slow-roll (GSR) techniques.
3. G-inflation.
4. G-step model.

“The most general scalar-tensor theory, in curved spacetime, which leads to second-order equations of motion”:

$$S = \sum_{i=2}^5 \int d^4x \sqrt{-g} \mathcal{L}_i$$

→  $\mathcal{L}_2 = K(\phi, X)$

$$X \equiv -\frac{1}{2} \partial_\mu \phi \partial^\mu \phi$$

→  $\mathcal{L}_3 = -G_3(\phi, X) \square \phi$

→  $\mathcal{L}_4 = G_4(\phi, X) \mathcal{R} + G_{4X} \left[ (\square \phi)^2 - (\nabla_\mu \nabla_\nu \phi)^2 \right]$

→  $\mathcal{L}_5 = G_5(\phi, X) G_{\mu\nu} \nabla^\mu \nabla^\nu \phi$

$$- \frac{G_{5X}}{6} \left[ (\square \phi)^3 - 3 (\square \phi) (\nabla_\mu \nabla_\nu \phi)^2 + 2 (\nabla_\mu \nabla_\nu \phi)^3 \right]$$

# Horndeski inflation

- Kobayashi et al.; 1105.5723
- Motohashi, Hu; 1704.01128

$$S_{\zeta}^{(2)} = \int d^4x \frac{a^3 b_s \epsilon_H}{c_s^2} \left( \dot{\zeta}^2 - \frac{c_s^2 k^2}{a^2} \zeta^2 \right)$$

$$S_{\gamma}^{(2)} = \sum_{\gamma=+,\times} \int d^4x \frac{a^3 b_t}{4c_t^2} \left( \dot{\gamma}_{\lambda}^2 - \frac{c_t^2 k^2}{a^2} \gamma_{\lambda}^2 \right)$$

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In canonical inflation:

$$b_s = b_t = c_s = c_t = 1$$

Scalar parameters:

$$\epsilon_H = -\frac{\dot{H}}{H^2}$$

$$\rightarrow b_s = \frac{c_s^2}{\epsilon_H} \left[ \frac{w_1(4w_1w_3 + 9w_2^2)}{3w_2^2} \right]$$

$$\rightarrow c_s^2 = \frac{3(2w_1^2w_2H - w_2^2w_4 + 4w_1\dot{w}_1w_2 - 2w_1^2\dot{w}_2)}{w_1(4w_1w_3 + 9w_2^2)}$$

Tensor parameters:

$$\rightarrow b_t = w_1 c_t^2 \quad \rightarrow c_t^2 = \frac{w_4}{w_1}$$

$$w_1 = M_{\text{pl}}^2 - 2 \left( 3G_4 + 2HG_5\dot{\phi} \right) + 2G_{5,\phi}X ,$$

$$w_2 = 2M_{\text{pl}}^2H - 2G_3\dot{\phi} - 2 \left( 30HG_4 - 5G_{4,\phi}\dot{\phi} + 14H^2G_5\dot{\phi} \right) + 28HG_{5,\phi}X ,$$

$$w_3 = -9M_{\text{pl}}^2H^2 + 3 \left( X + 12HG_3\dot{\phi} \right) + 6 \left( 135H^2G_4 - 2G_{3,\phi}X - 45HG_{4,\phi}\dot{\phi} + 56H^3G_5\dot{\phi} \right) - 504H^2G_{5,\phi}X ,$$

$$w_4 = M_{\text{pl}}^2 + 2 \left( G_4 - 2G_5\ddot{\phi} \right) - 2G_{5,\phi}X .$$

$$S_{\zeta}^{(2)} = \int d^4x \frac{a^3 b_s \epsilon_H}{c_s^2} \left( \dot{\zeta}^2 - \frac{c_s^2 k^2}{a^2} \zeta^2 \right)$$

Mukhanov - Sasaki equation

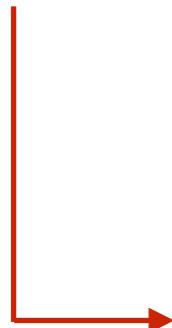
→  $\frac{d^2 v}{d\tau^2} + \left( c_s^2 k^2 - \frac{1}{z} \frac{d^2 z}{d\tau^2} \right) v = 0$

→  $v = z\zeta$

→  $z = a \sqrt{\frac{2b_s \epsilon_H}{c_s^2}}$ ,

$$S_{\zeta}^{(2)} = \int d^4x \frac{a^3 b_s \epsilon_H}{c_s^2} \left( \dot{\zeta}^2 - \frac{c_s^2 k^2}{a^2} \zeta^2 \right)$$

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$$\frac{d^2 v}{d\tau^2} + \left( c_s^2 k^2 - \frac{1}{z} \frac{d^2 z}{d\tau^2} \right) v = 0$$



$$v = z\zeta$$



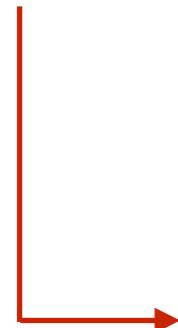
$$z = a \sqrt{\frac{2b_s \epsilon_H}{c_s^2}}$$

Either,

- Assume slow-roll approximation.
- Solve numerically.
- Use GSR techniques.

$$S_{\zeta}^{(2)} = \int d^4x \frac{a^3 b_s \epsilon_H}{c_s^2} \left( \dot{\zeta}^2 - \frac{c_s^2 k^2}{a^2} \zeta^2 \right)$$

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$$\frac{d^2 v}{d\tau^2} + \left( c_s^2 k^2 - \frac{1}{z} \frac{d^2 z}{d\tau^2} \right) v = 0$$



$$v = z\zeta$$



$$z = a \sqrt{\frac{2b_s \epsilon_H}{c_s^2}}$$

Either,

- Assume slow-roll approximation.
- Solve numerically.
- **Use GSR techniques.**

## Generalized slow-roll recipe:

- Stewart; 0110322
- Dvorkin, Hu; 0910.2237
- Hu; 1104.4500
- Hu; 1405.2020
- Motohashi, Hu; 1503.04810
- Motohashi, Hu; 1704.01128

... and others.

Generalized slow-roll recipe:

1. Write down Mukhanov-Sasaki equation.

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1. Write down Mukhanov-Sasaki equation.
2. Isolate deviations from de Sitter background.

$$\frac{d^2y}{dx^2} + \left(1 - \frac{2}{x^2}\right)y = \frac{f'' - 3f'}{f} \frac{y}{x^2}$$

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$$\rightarrow y \equiv \sqrt{2c_s k} v \quad \rightarrow x \equiv ks_s \quad \rightarrow s_s \equiv \int c_s d\tau$$


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$$\rightarrow f = \sqrt{8\pi^2 \frac{b_s \epsilon_H c_s}{H^2}} \frac{a H s_s}{c_s} \quad \rightarrow \Delta_\zeta^2(k) = \lim_{x \rightarrow 0} \left| \frac{xy}{f} \right|^2$$

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3. Apply Green function techniques (GSR).

$$\ln \Delta^{2(1)} = G(\ln x_m) + \int_{x_m}^{\infty} d(\ln x) W(kx) G'(\ln x)$$

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$$\rightarrow G = -2\ln f + \frac{2}{3} (\ln f)'$$

$$\rightarrow W(u) = \frac{3 \sin(2u)}{2u^3} - \frac{3 \cos(2u)}{u^2} - \frac{3 \sin(2u)}{2u}$$

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4. Taylor expand GSR formula and write down analytic equations (OSR).

## Optimized SR for Horndeski (leading order):

- Motohashi, Hu; 1704.01128

$$\ln \Delta^2 \simeq G(\ln x_f) + \sum_{p=1}^{\infty} q_p(\ln x_f) G^{(p)}(\ln x_f)$$

$$G = -2\ln f + \frac{2}{3}(\ln f)'$$

$$f = \sqrt{8\pi^2 \frac{b_s \epsilon_H c_s}{H^2}} \frac{a H s_s}{c_s}$$

$$\ln \Delta_\zeta^2 \approx \ln \left( \frac{H^2}{8\pi^2 b_s c_s \epsilon_H} \right) - \frac{10}{3} \epsilon_H - \frac{2}{3} \delta_1 - \frac{7}{3} \sigma_{s1} - \frac{1}{3} \xi_{s1} \Big|_{x=x_1}$$

Scalars

$$n_s - 1 \approx -4\epsilon_H - 2\delta_1 - \sigma_{s1} - \xi_{s1} - \frac{2}{3}\delta_2 - \frac{7}{3}\sigma_{s2} - \frac{1}{3}\xi_{s2} \Big|_{x=x_1}$$

$$\alpha_s \approx -2\delta_2 - \sigma_{s2} - \xi_{s2} - \frac{2}{3}\delta_3 - \frac{7}{3}\sigma_{s3} - \frac{1}{3}\xi_{s3} - 8\epsilon_H^2 - 10\epsilon_H\delta_1 + 2\delta_1^2 \Big|_{x=x_1}$$

$$\ln \Delta_\gamma^2 \approx \ln \left( \frac{H^2}{2\pi^2 b_t c_t} \right) - \frac{8}{3} \epsilon_H - \frac{7}{3} \sigma_{t1} - \frac{1}{3} \xi_{t1} \Big|_{x=x_1}$$

$$n_t \approx -2\epsilon_H - \sigma_{t1} - \xi_{t1} - \frac{7}{3}\sigma_{t2} - \frac{1}{3}\xi_{t2} \Big|_{x=x_1}$$

Tensors

$$\alpha_t \approx -\sigma_{t2} - \xi_{t2} - \frac{7}{3}\sigma_{t3} - \frac{1}{3}\xi_{t3} - 4\epsilon_H^2 - 4\epsilon_H\delta_1 \Big|_{x=x_1}$$

$$\ln x_1 \equiv \frac{7}{3} - \ln 2 - \gamma_E$$

Optimized SR for Horndeski (leading order):

$$r \equiv \frac{4\Delta_\gamma^2}{\Delta_\zeta^2} \approx 16\epsilon_H \frac{b_s c_s}{b_t c_t} \approx -\frac{8b_s c_s}{b_t c_t} n_t,$$

Deviations from the standard consistency relation in the observations could be checked in this context.

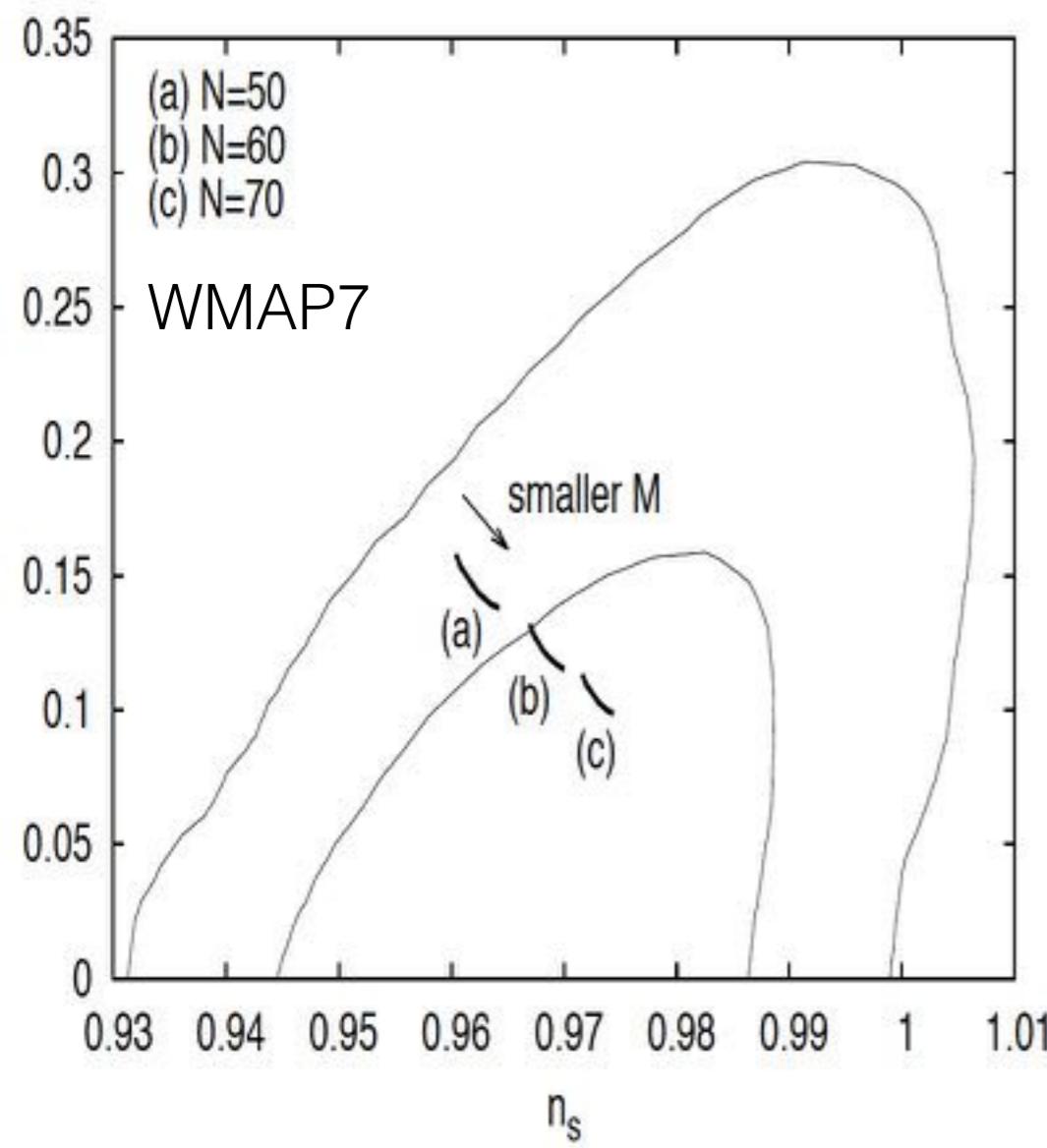
$$\mathcal{L}_2 = X - V(\phi) = \frac{1}{2}\dot{\phi}^2 - \frac{1}{2}m^2\phi^2$$

$$\mathcal{L}_3 = M^{-3}X\square\phi$$

$$\mathcal{L}_4 = \frac{1}{2}M_{\text{pl}}^2\mathcal{R}$$

$G_3 + \text{chaotic inflation} = \text{G-inflation}$

Ohashi, Tsujikawa; 1207.4879



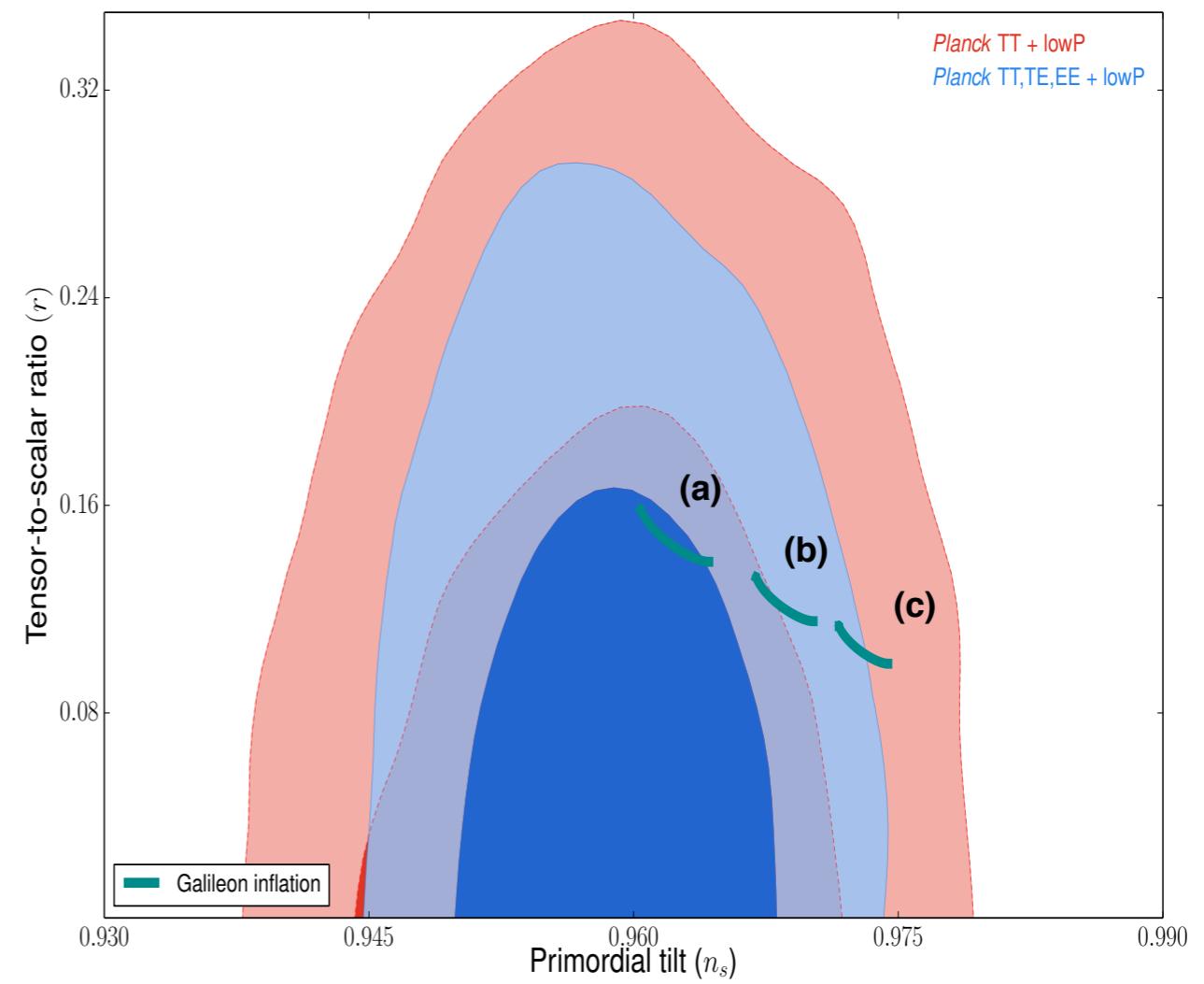
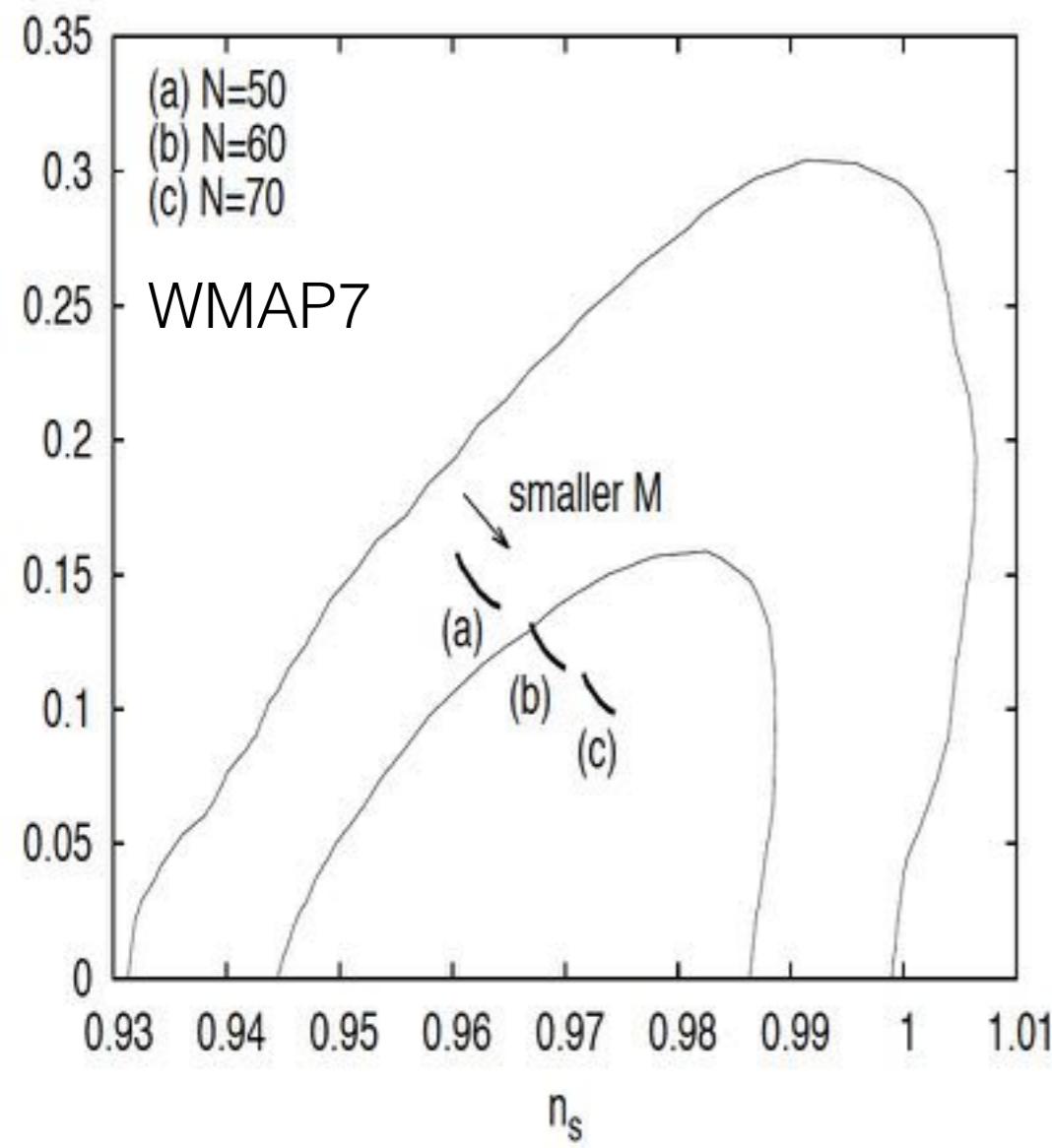
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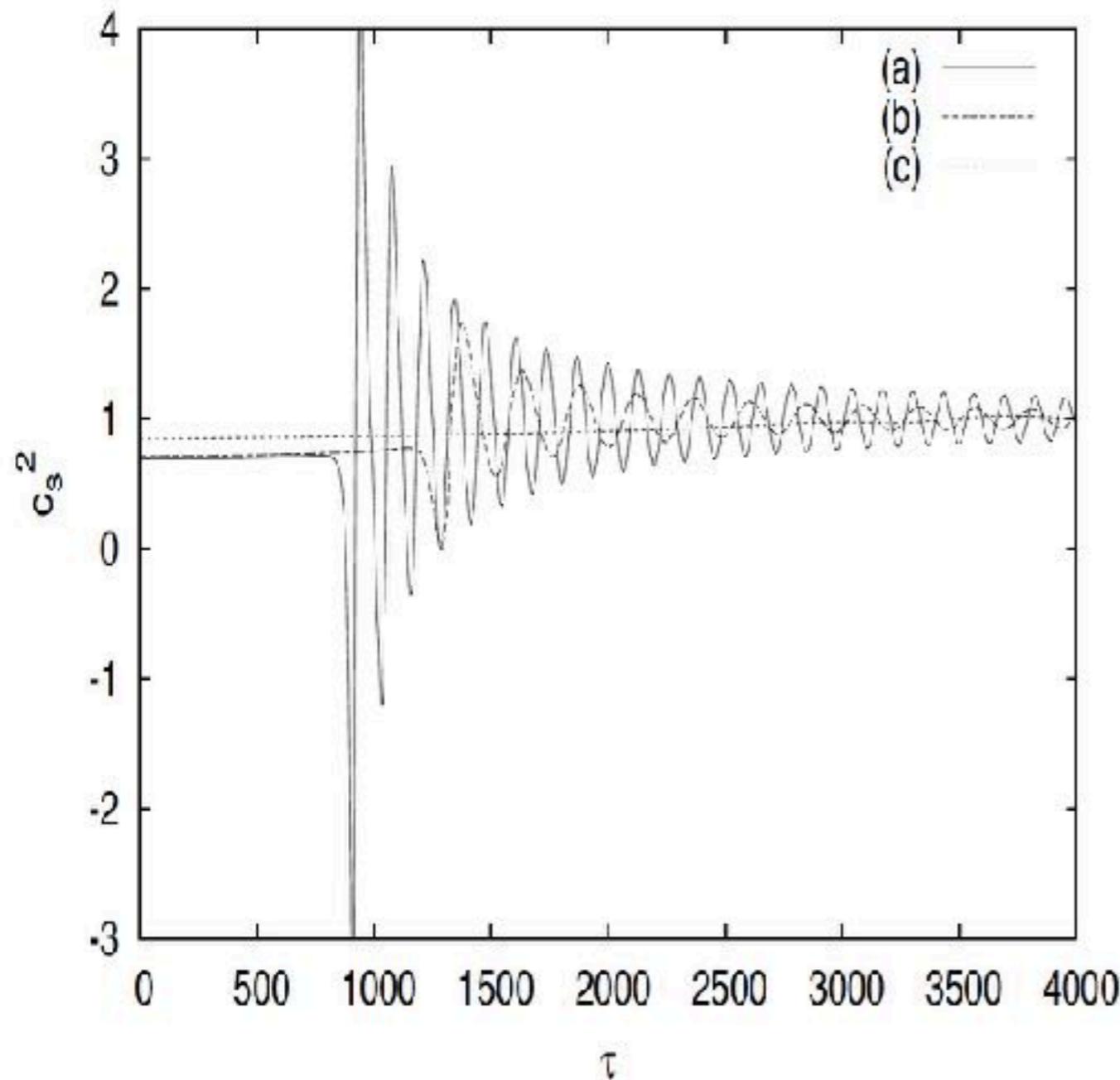
$$\mathcal{L}_3 = M^{-3}X\square\phi$$

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$G_3 + \text{chaotic inflation} = \text{G-inflation}$

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$$\mathcal{L}_3 = M^{-3} X \square \phi$$

- a)  $M = 3 \times 10^{-4} M_{\text{pl}}$
- b)  $M = 4.2 \times 10^{-4} M_{\text{pl}}$
- c)  $M = 1 \times 10^{-3} M_{\text{pl}}$

For  $c_s^2 > 0$  ,  $M > 4.2 \times 10^{-4} M_{\text{pl}}$

$G_3 + \tanh$  + chaotic inflation = G-step

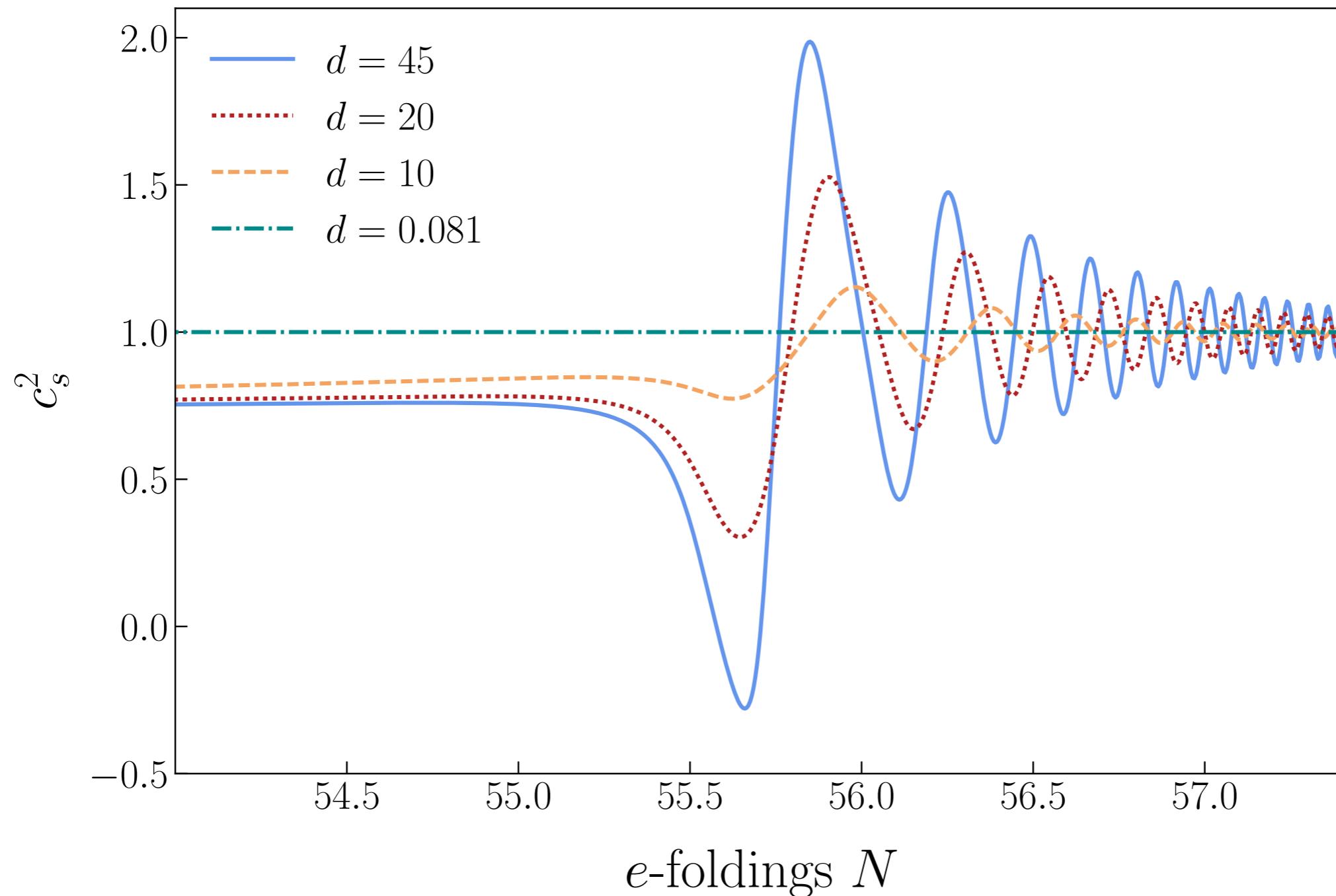
HR, S. Passaglia, H. Motohashi, W. Hu, O. Mena

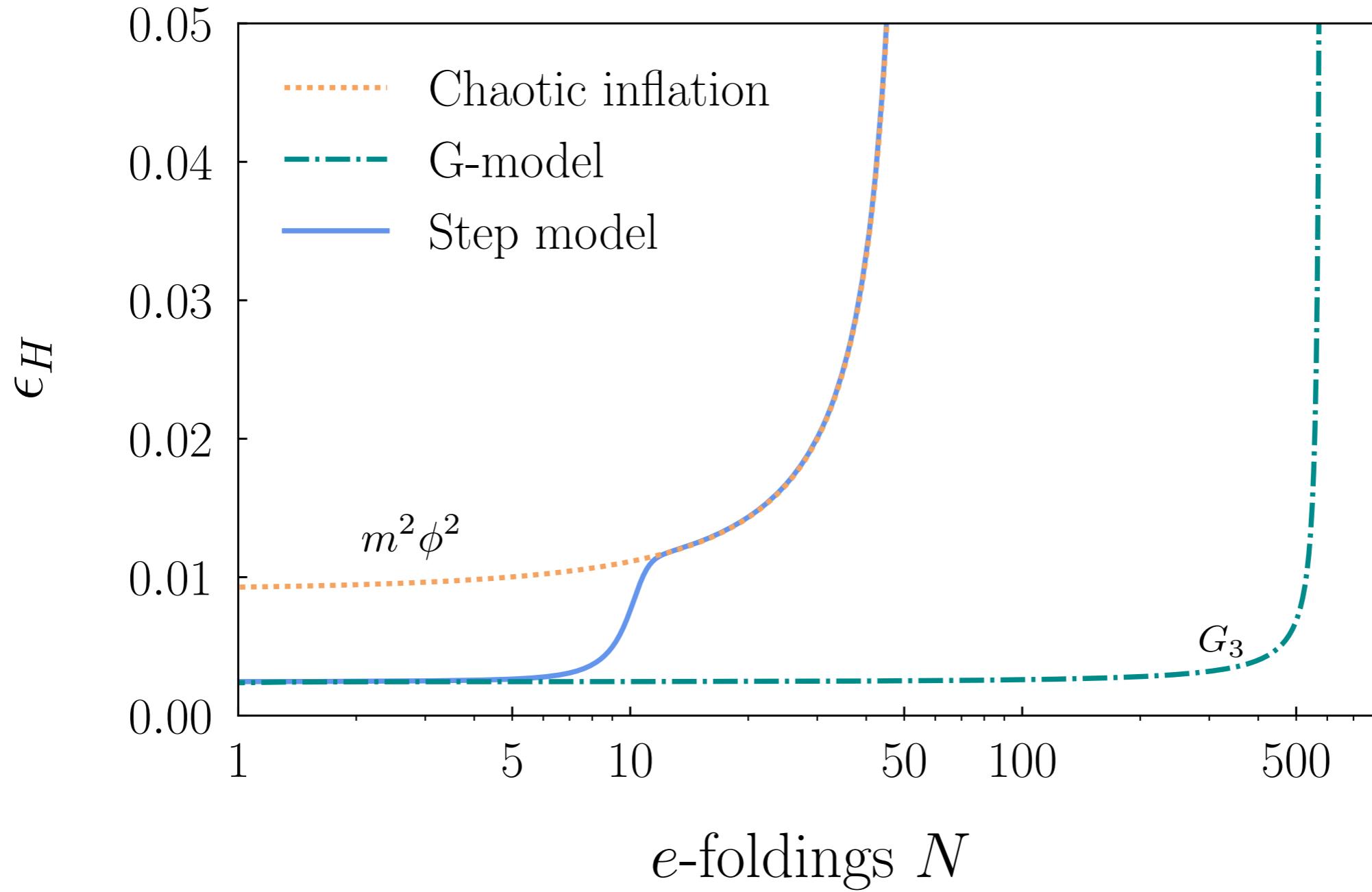
$$\mathcal{L}_3 = M^{-3} \left[ 1 + \tanh \left( \frac{\phi - \phi_r}{d} \right) \right] X \square \phi$$

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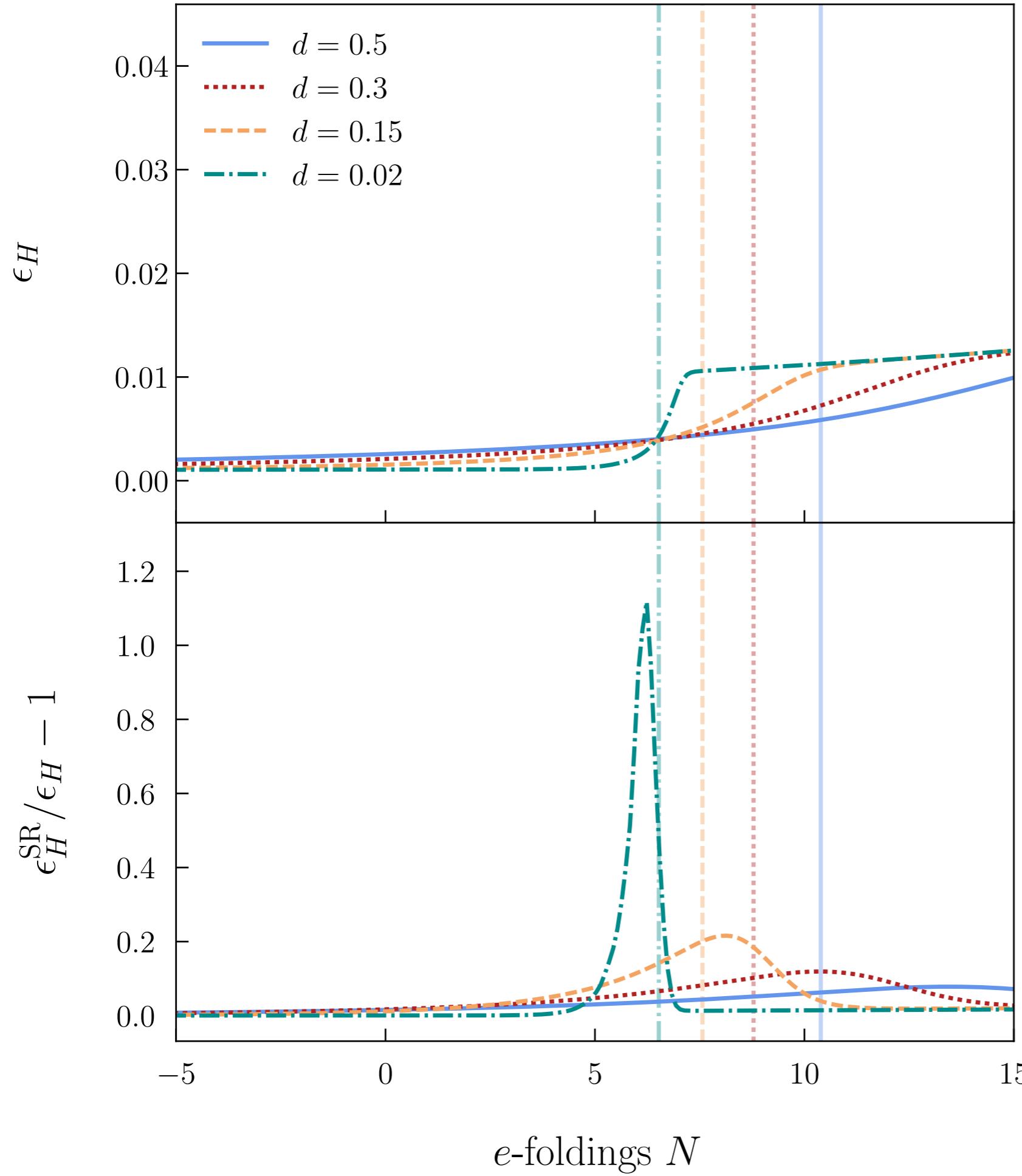
$$\phi_r = 13M_{\text{pl}}$$

$$M = 1.3 \times 10^{-4}$$



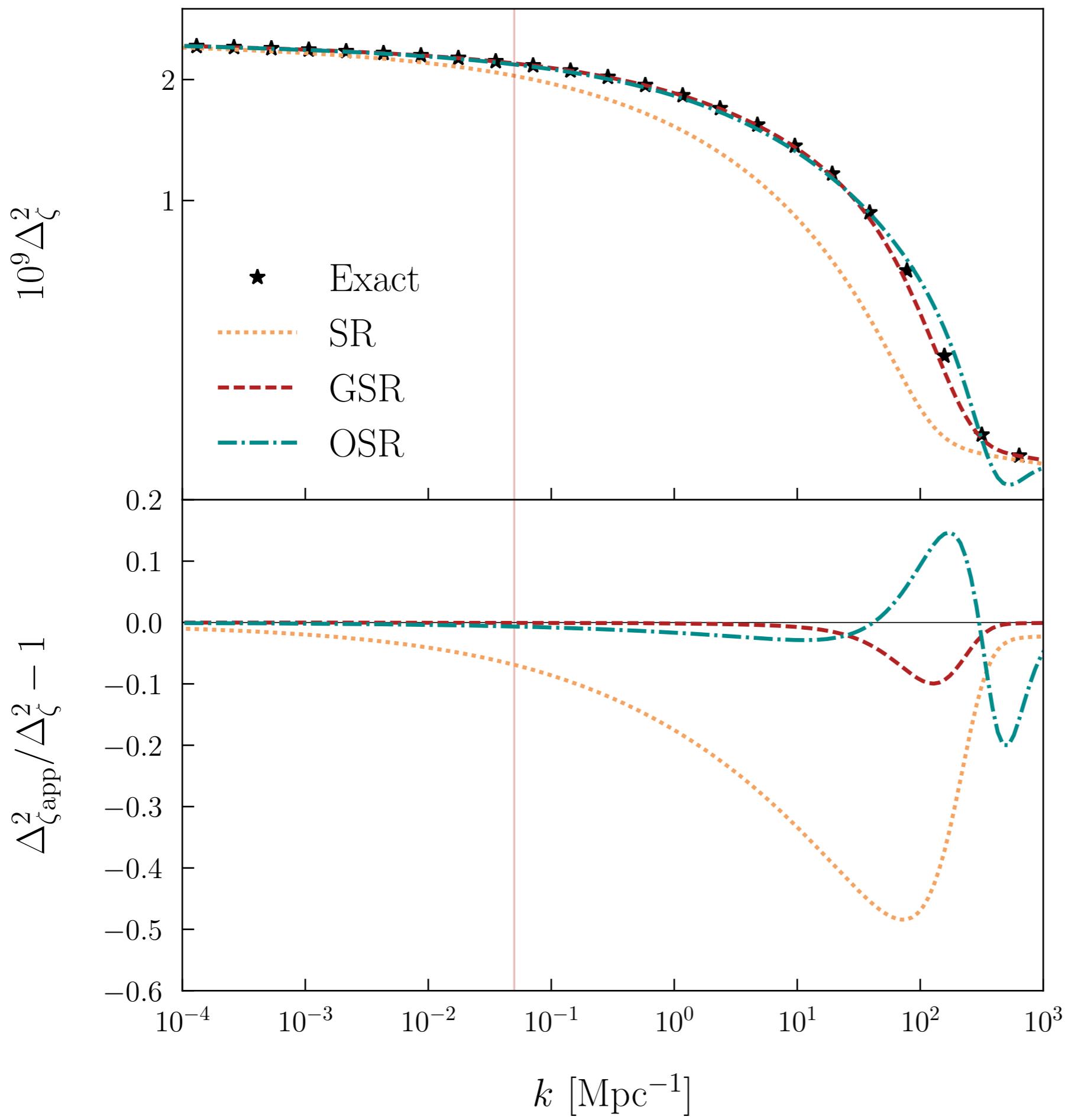


- G-step = a transition between the two regimes.
- Step size  $\sim 4$   $e$ -folds



- $N = 0$ : CMB scales.
- Vertical lines: where the transition occurs.
- SR violation is maximal around the transition.

$$\epsilon_H = -\frac{\dot{H}}{H^2}$$



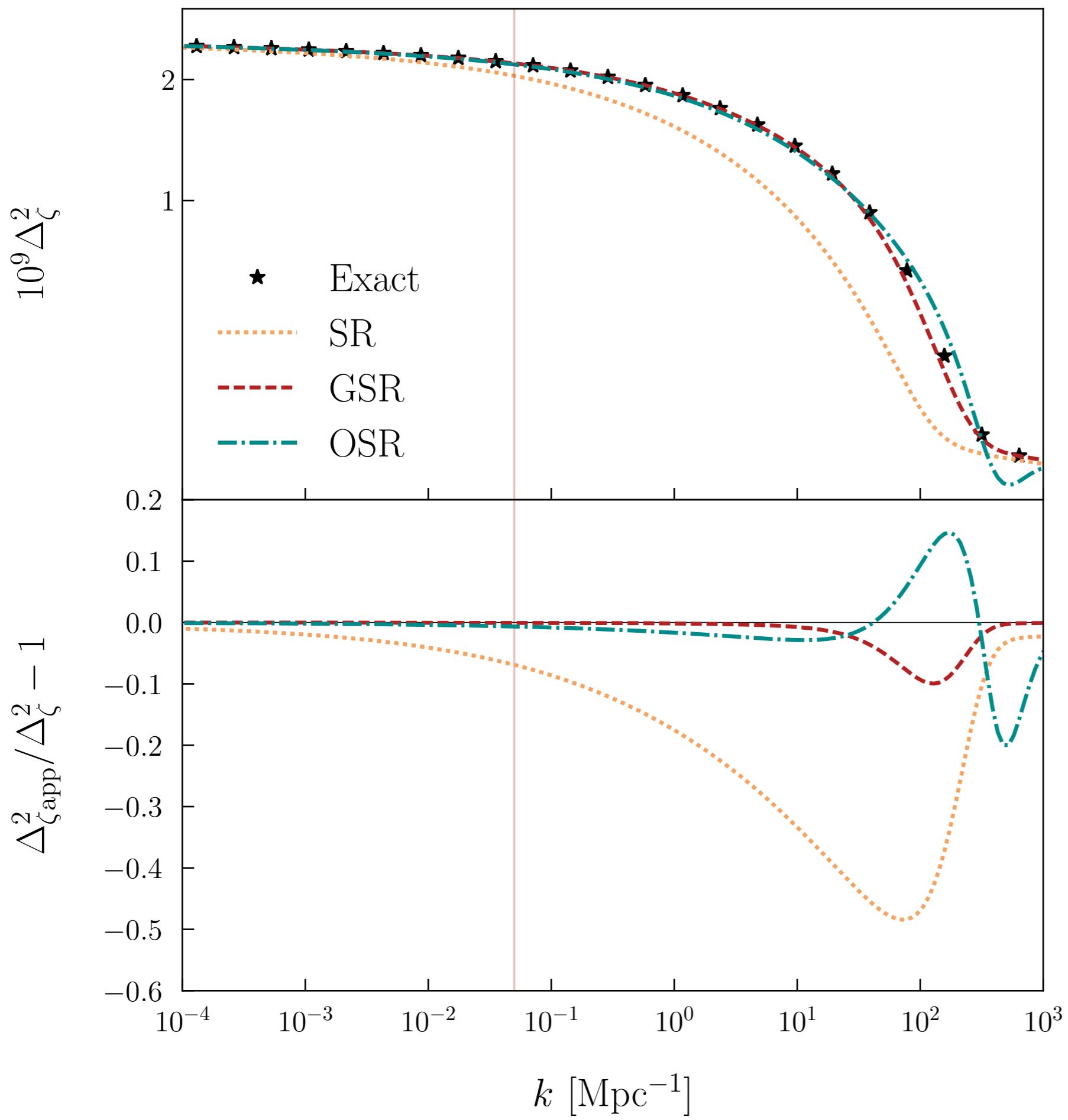
$$d = 0.086 M_{\text{pl}}$$

$$M = 1.3 \times 10^{-4}$$

**SR**

**GSR**

**OSR**



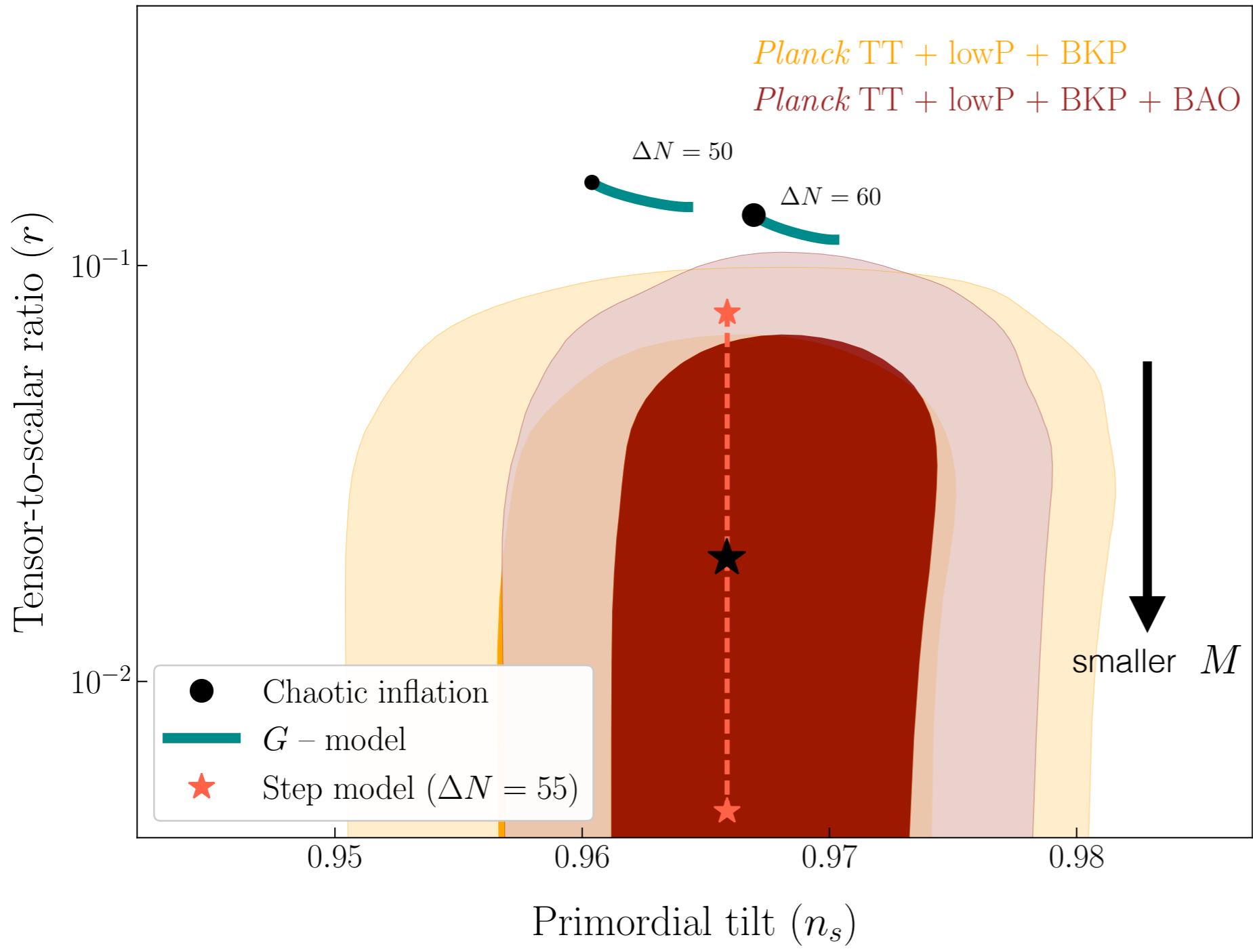
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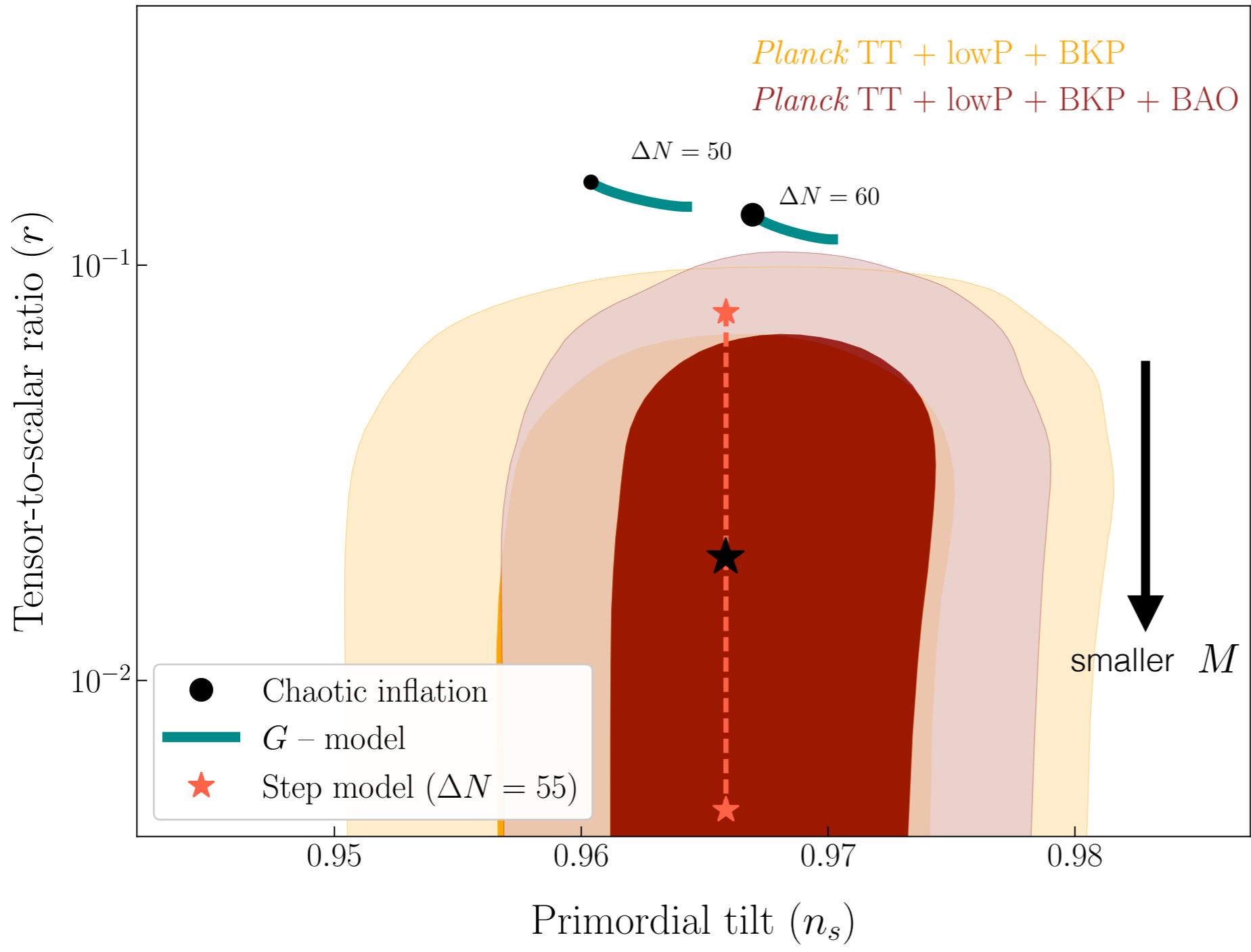
**SR**

**GSR**

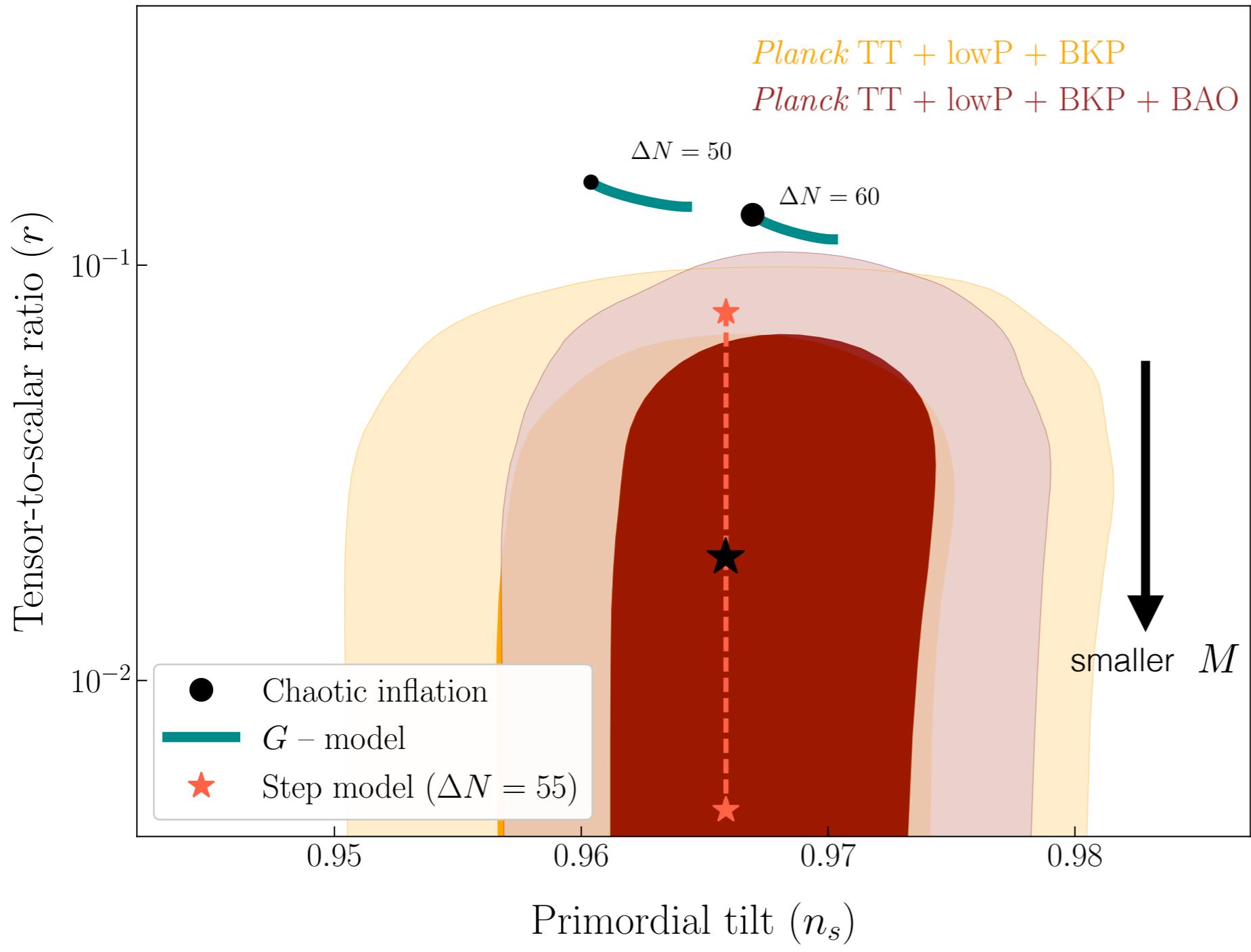
**OSR**



- $n_s$  and  $\alpha_s$  fixed.
- Find a set of values for  $d$  and  $\phi_r$ .
- This places lower and upper bounds on  $r$ .



- A smaller  $\alpha_s$  would shift the line upwards because the step gets wider.
- A larger  $\alpha_s$  would be in tension with measurements.

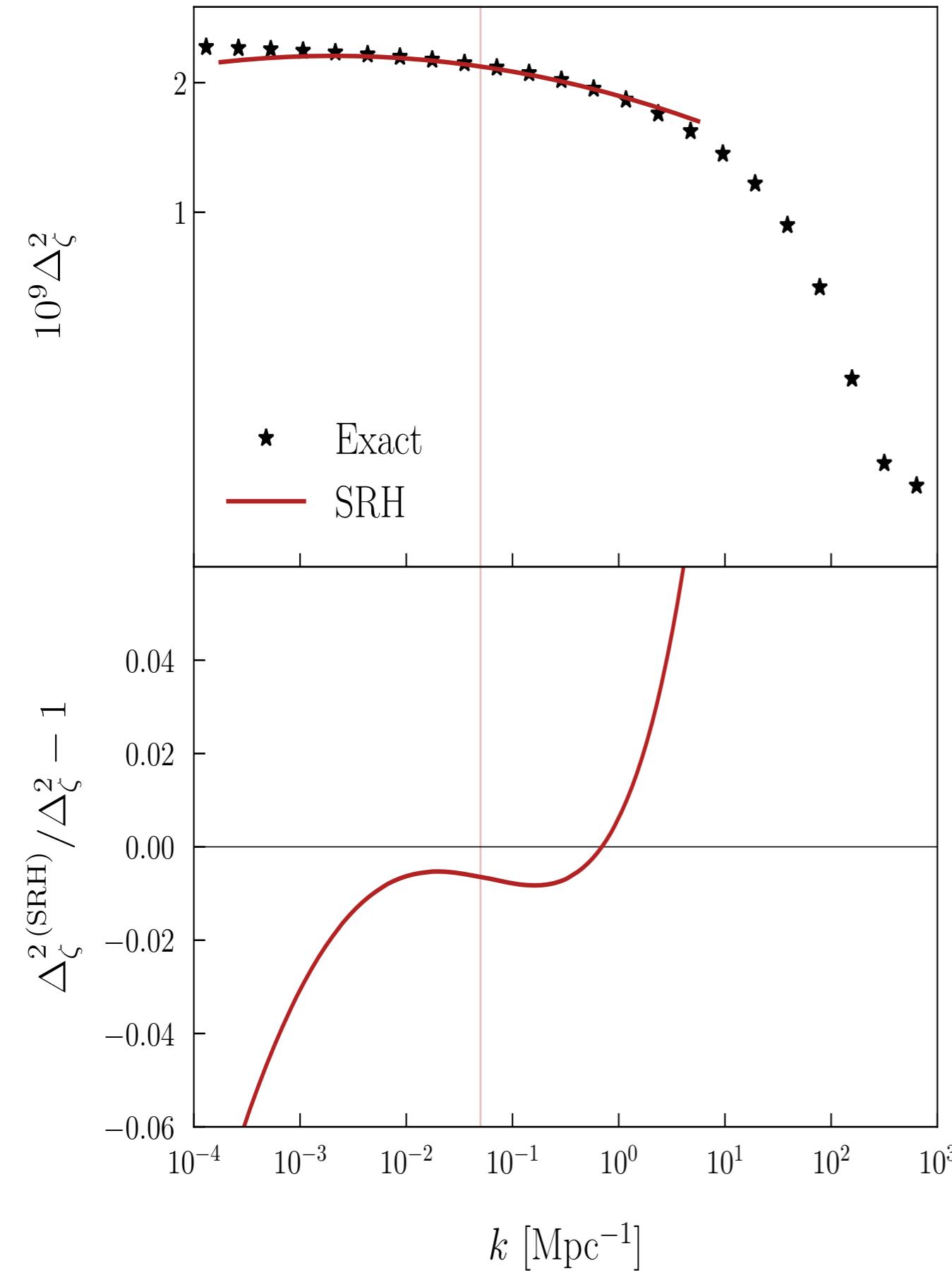


$$n_s = 9.66$$

$$\alpha_s = -0.011$$

$$r \gtrsim 0.005$$

- A smaller  $\alpha_s$  would shift the line upwards because the step gets wider.
- A larger  $\alpha_s$  would be in tension with measurements.



$$\Delta_\zeta^{2(\text{SRH})}(k) = A_s \left( \frac{k}{k_*} \right)^{n_s - 1 + \frac{1}{2}\alpha_s \ln(k/k_*)}$$

Using OSR parameters

Deviations of less than 1%

# Summary

- ★ Inflation in the Horndeski framework is viable and can *cure* some popular models.
- ★ The **G-step** model allows us to compute observables during a G-inflation period and to end inflation as canonical.
- ▶ Generalized slow-roll and Optimized slow-roll techniques are efficient tools for this type of models to compute the power spectra and also the **bispectrum** (see Sam's talk on Friday at 16:50 hrs.)