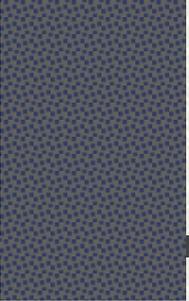


**Hadron Spectroscopy**  
**from Strange to Charm/Bottom**  
**- Effective theories or Lattice ? -**

**Makoto Oka**  
**(Tokyo Institute of Technology)**

*03/02/2015*

*Hadrons and Hadron Interactions in QCD*  
*- Effective theories and Lattice -*  
*Yukawa Institute Theoretical Physics*



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# Introduction

# Nuclear Physics vs Hadron Physics

## *Nuclear Dynamics*

single particle motions  
shell structure

collective motions  
rotations and vibrations

cluster  
alpha, di-neutron

exotic nuclei  
neutron rich nuclei, halo

large amplitude motions,  
deformation, fission

superfluidity/dense matter

## *Hadron Dynamics*

valence quark motions  
quark model, symmetries

collective motions in field theory  
NG bosons, Skyrmion

di-quark  
color-non-singlet clusters

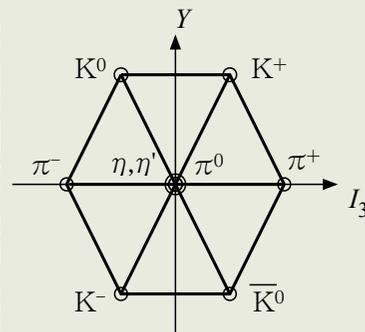
exotic hadrons  
multi-quark, hybrid hadrons

molecular bound states  
resonance states

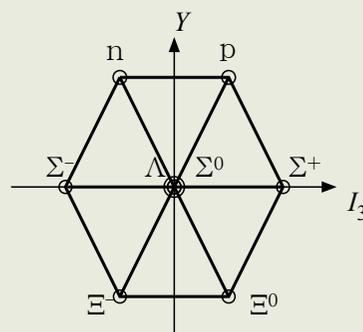
hot/dense hadronic matter

# From QCD to Hadron Spectrum

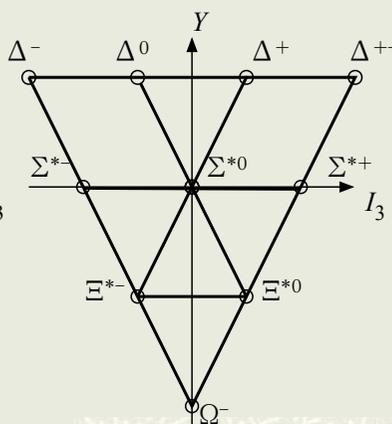
- # QCD = colored quarks + colored gluons with  $SU(3)_c$  gauge symmetry
- # Quarks have flavor quantum numbers: u, d, s, c, b, t. QCD Lagrangian is flavor independent, but the coupling constant runs.
- # The lowest-lying hadrons with u, d, s quarks form complete patterns of the  $SU(3)_f$  representations.
- #  $SU(3)_f$  symmetry is the basis of the constituent quark model.



mesons with  $qq^{\text{bar}}$



baryons with  $qqq$



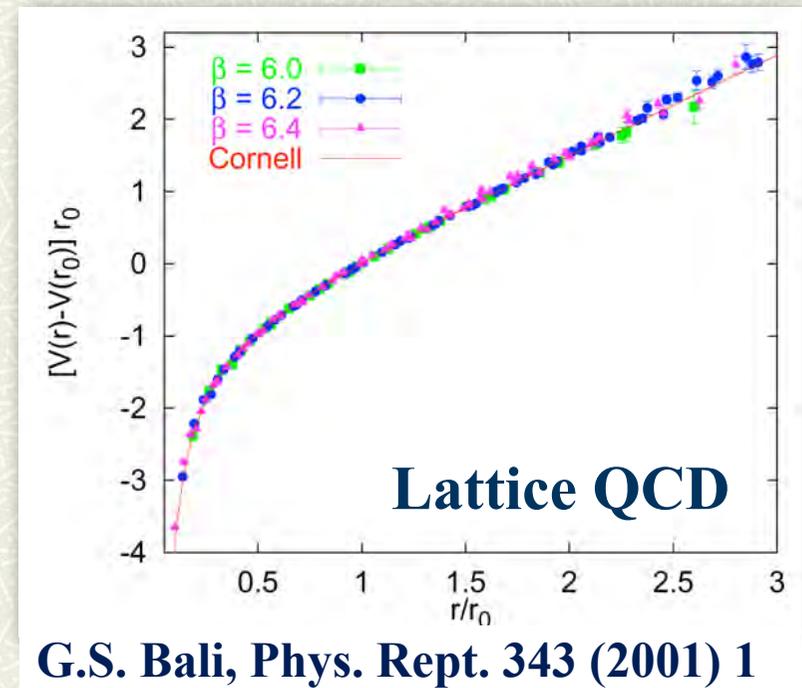
# From QCD to Hadron Spectrum

- # After 50 years since it was born, the quark model gives very good guidelines to classify and interpret the hadron spectrum.
- # The charmonium spectrum is a textbook example.  
*“hydrogen atom” in QCD*

- # The Hamiltonian with a Linear + Coulomb potential

$$V(r) = -\frac{e}{r} + \sigma r$$

E. Eichten, et al., PRL 34 (1975) 369  
gives a good fit to the 1S, 1P, 2S, . . .  
charmonium (and bottomonium)  
states.



# From QCD to Hadron Spectrum

---

- # **The color is confined. Effective dynamical degrees of freedom at low energy are color-singlet hadrons.**
- # **In the variety of excited hadrons, can we identify constituent quark excitations?  
hadron molecule states?  
di-quark or other clusters of quarks and gluons?**
- # **QCD is the judge.  
The lattice QCD will be able to help us to understand the (most) effective DOF in the hadron spectrum.**
- # **It is important to “derive” effective theories from QCD.  
inter-quark potential from lattice QCD or PQCD  
comparison of effective theories in a box with LQCD**

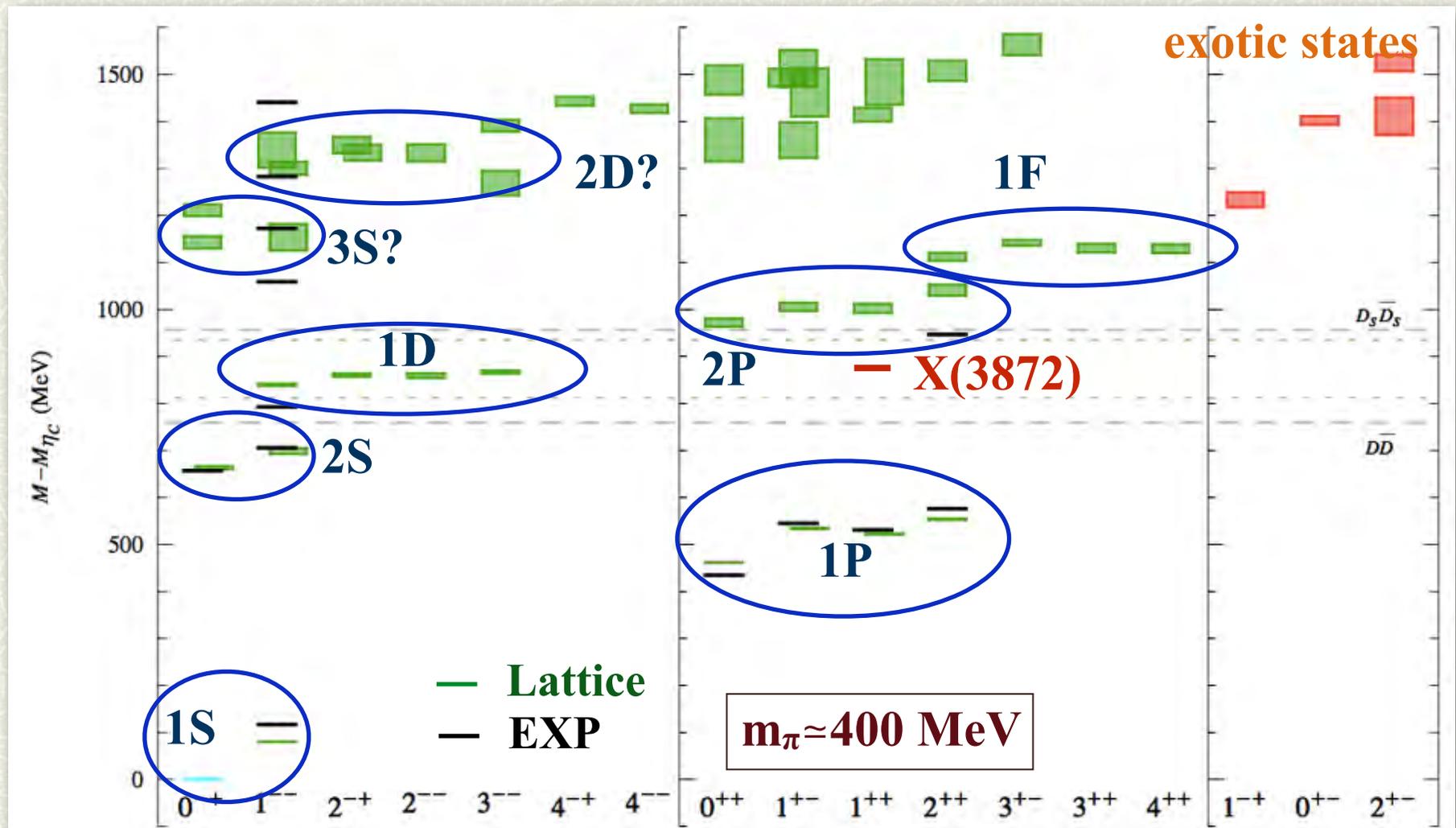
**Lattice QCD calculations is reaching state-of-the-art stage.**

**It is very successful for the ground-state hadrons.**

**For excited states,  $q-q^{\text{bar}}$  or  $qqq$  operators may not be good enough.**

# Charmonium spectra on Lattice

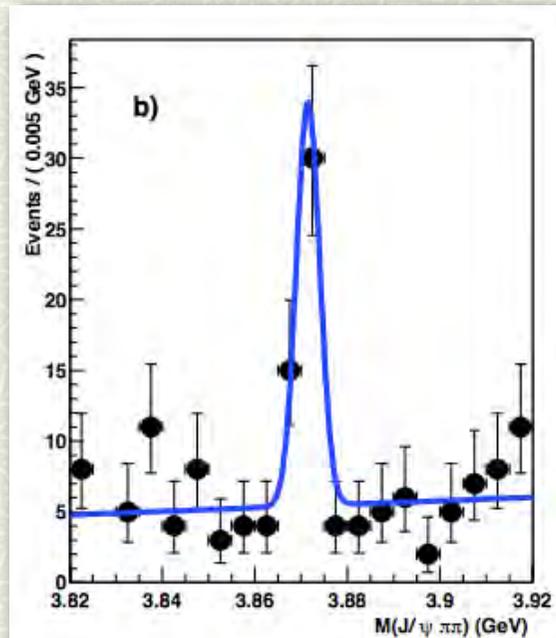
Liuming Liu, et al. (Hadron Spectrum Collaboration)  
 JHEP 07, 126 (2012)



# New Charmonium-like States

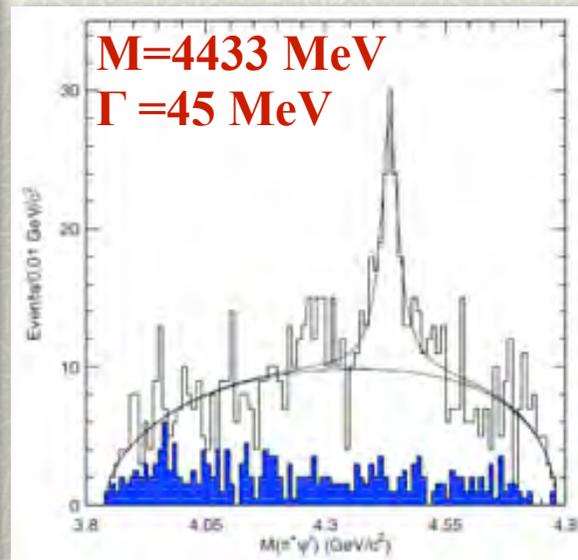
- # X(3872) found in 2003 by Belle (KEK)
- # Z(3900), Z(4430) etc. : charged hidden charm states

X(3872)



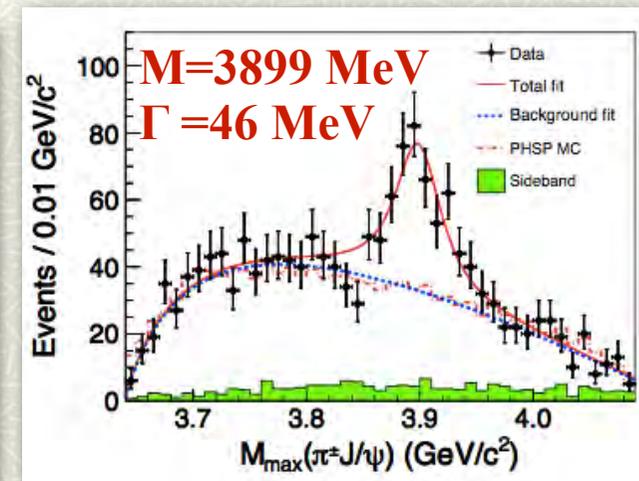
PRL 91 (2003) 262001

Z<sub>c</sub><sup>+</sup>(4430)



PRL 100 (2008) 142001

Z<sub>c</sub><sup>+</sup>(3900)

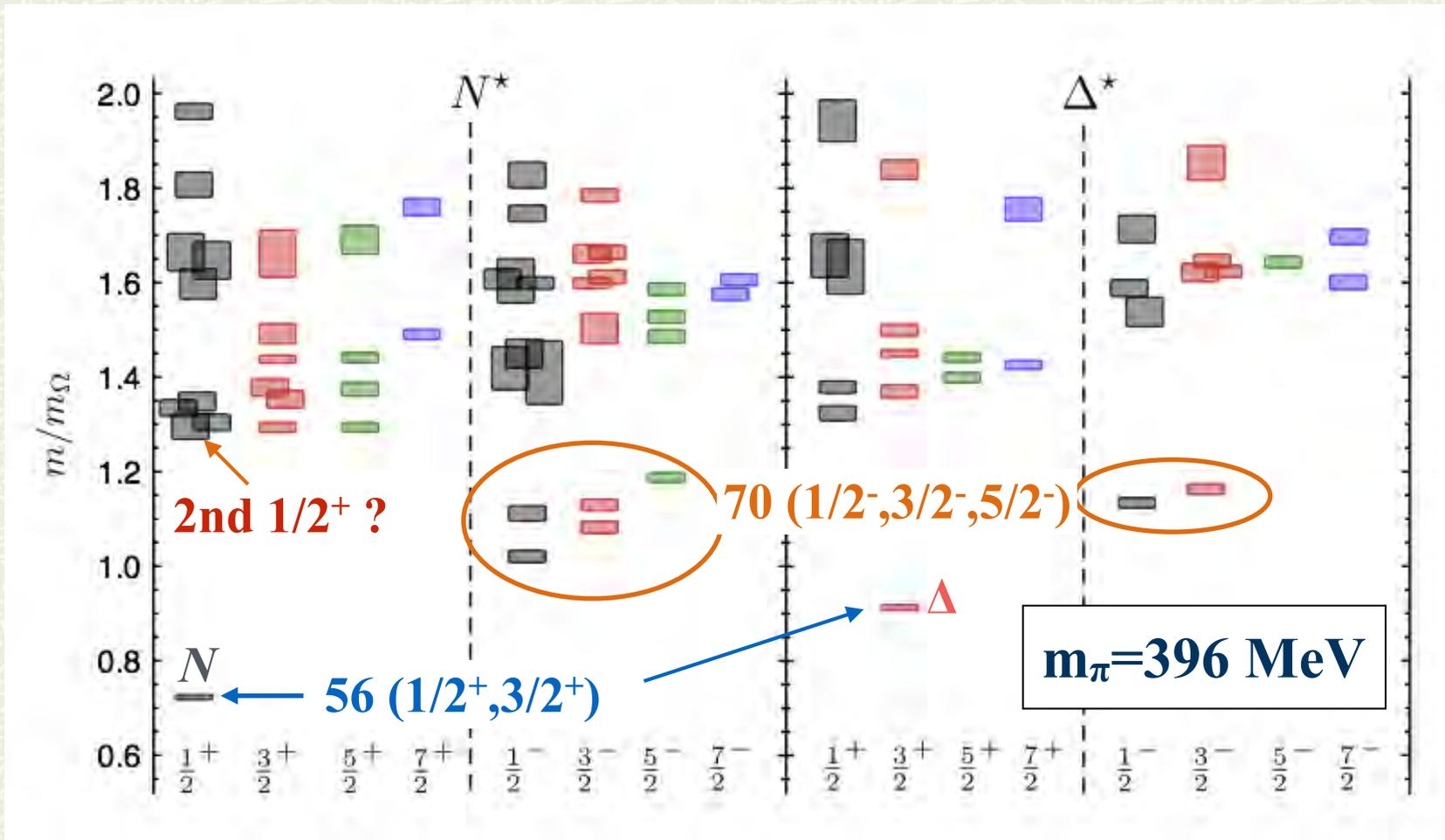


PRL 110 (2013) 252001

These states require at least 4 quarks,  
*i.e., tetra-quarks or hadron molecules.*

# Baryons in LQCD

- Light baryon spectra by R.G. Edwards et al., PRD84 (2011) 074508, are consistent with the  $SU(6) \times O(3)$  quark model.



**Lattice QCD has confirmed that the overall features of the low-lying hadron spectrum are given by the constituent quark model.**

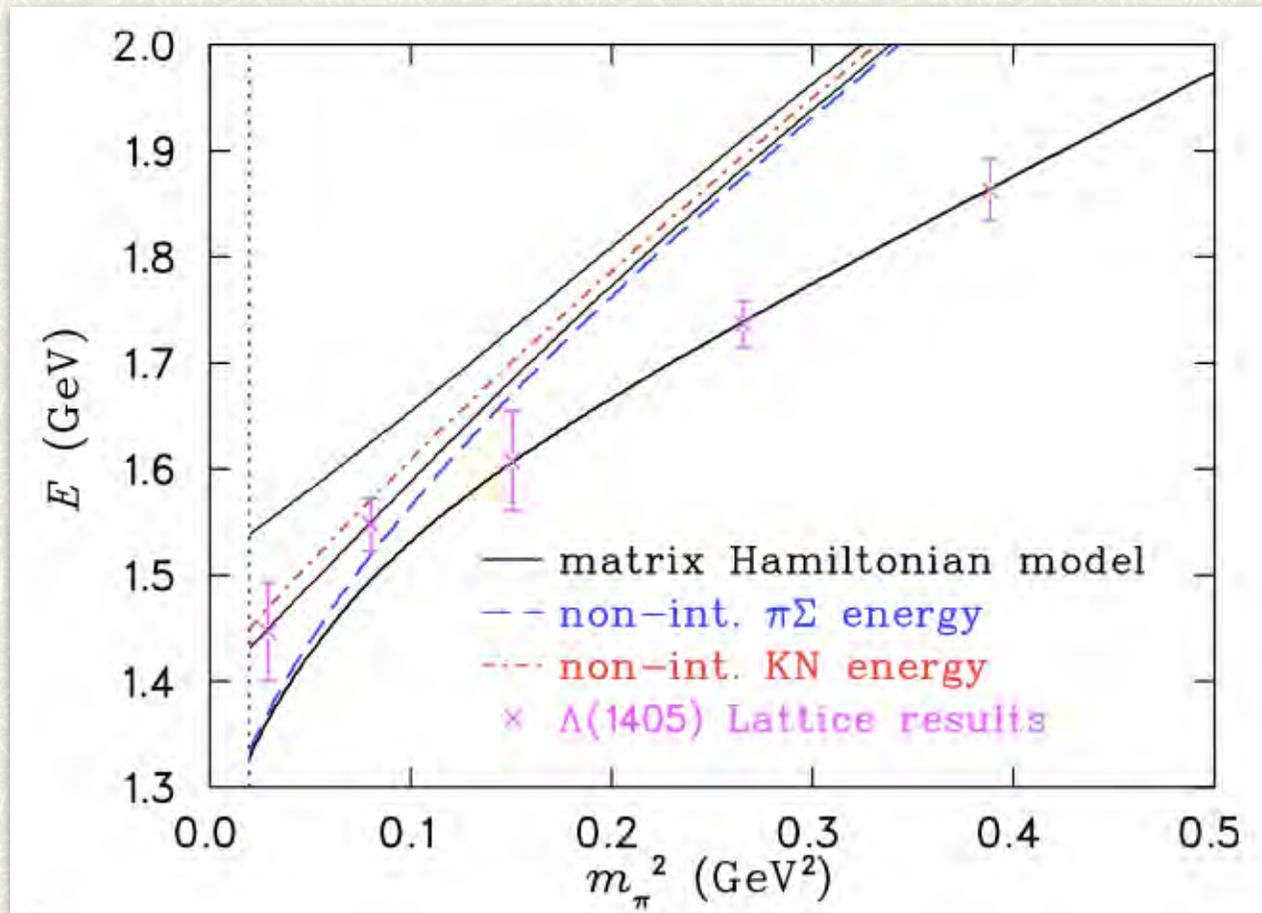
**Some (exotic) hadrons are not reproduced by the simple  $qq^{\text{bar}}$  or  $qqq$  operators. They may be given by multi-quark or multi-hadron operators.**

**Which are exotic?**

**Recent development of analyses of  $\Lambda(1405)$**

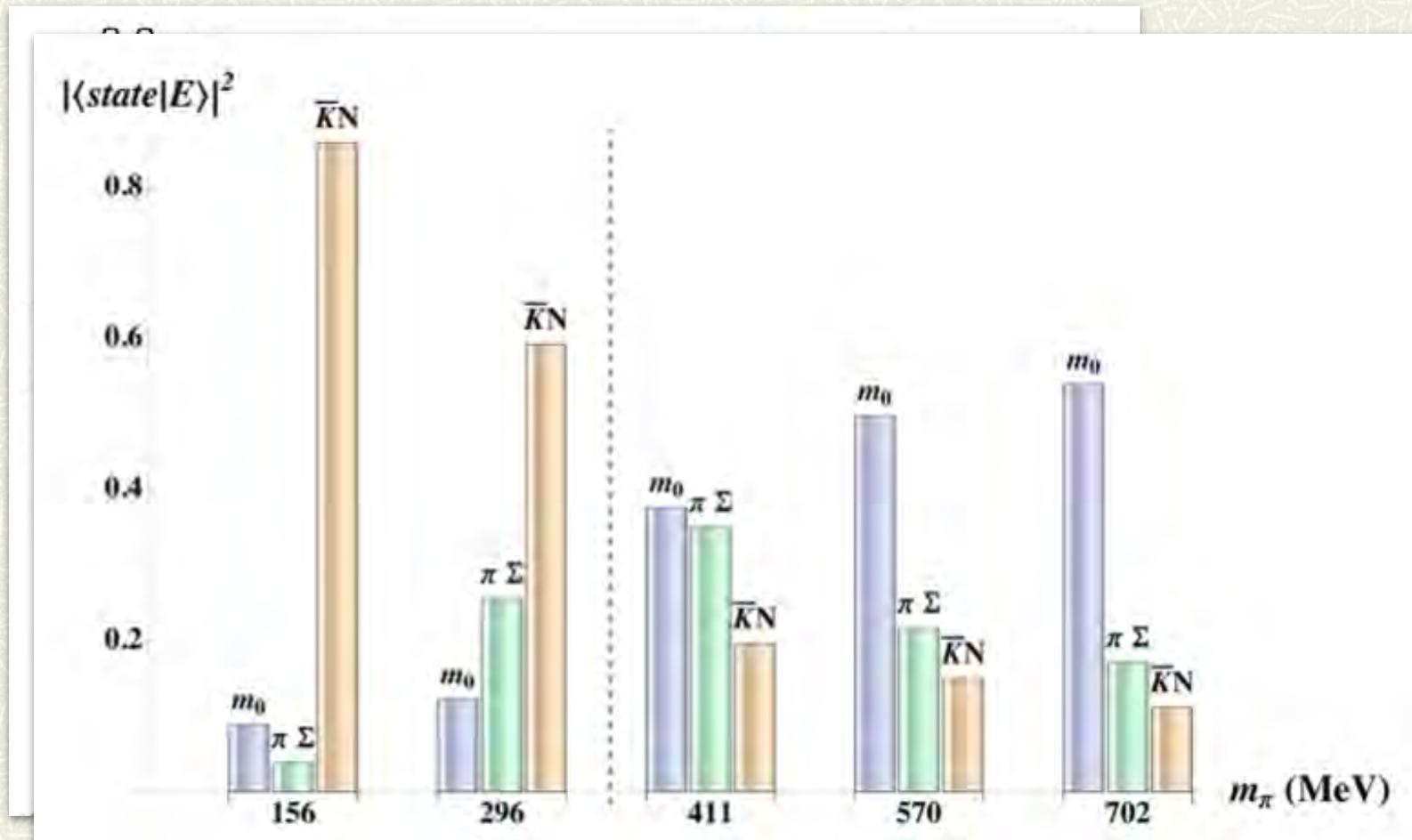
# $\Lambda(1405)$

- Recent analysis of the lattice QCD data by J.M.M. Hall et al. [ArXiv:1411.3402](https://arxiv.org/abs/1411.3402), claims  $K^{\text{bar}}N$  dominance.



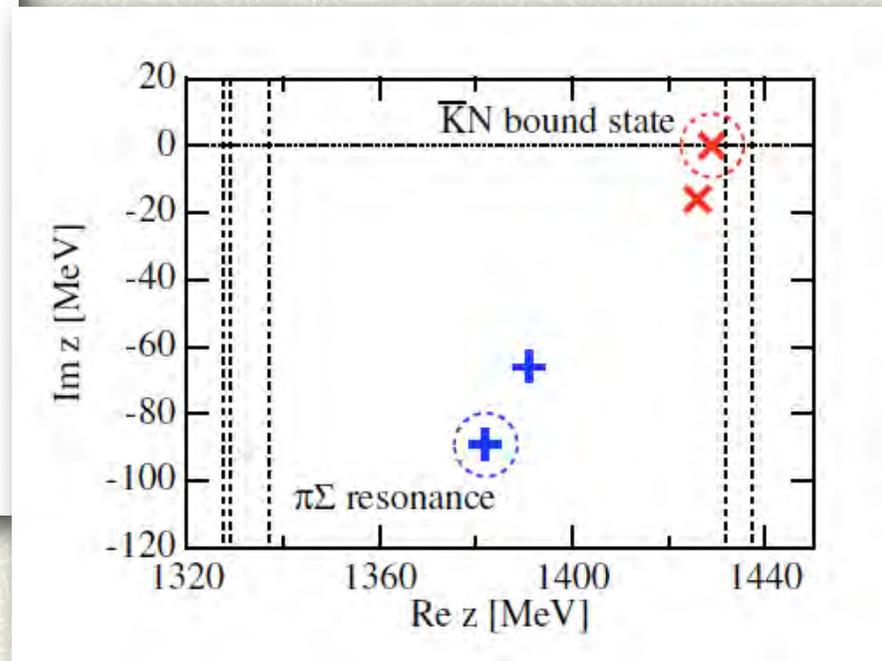
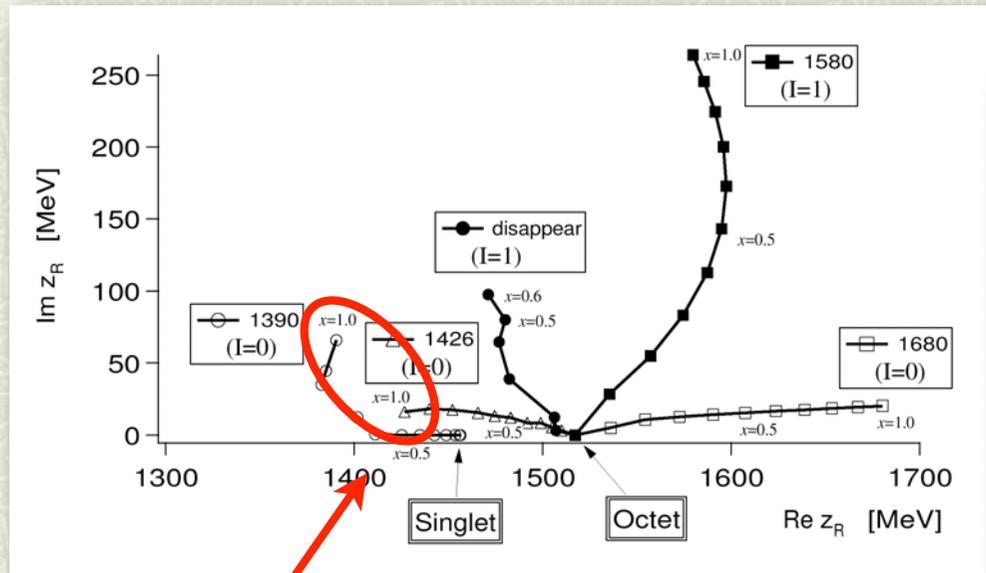
# $\Lambda(1405)$

- Recent analysis of the lattice QCD data by J.M.M. Hall et al. ArXiv:1411.3402, claims  $K^{\text{bar}}N$  dominance.



# $\Lambda(1405)$ as a hadron molecule

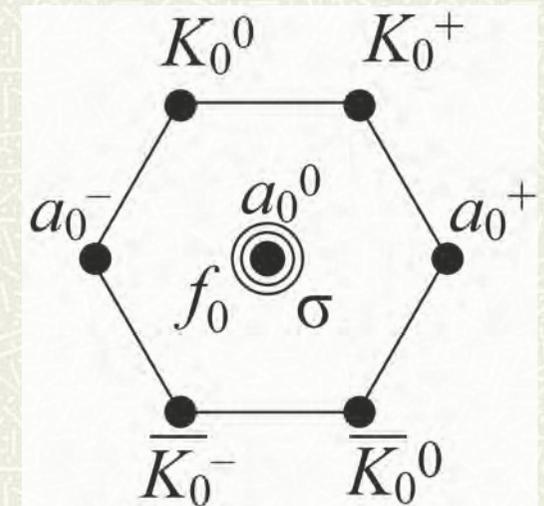
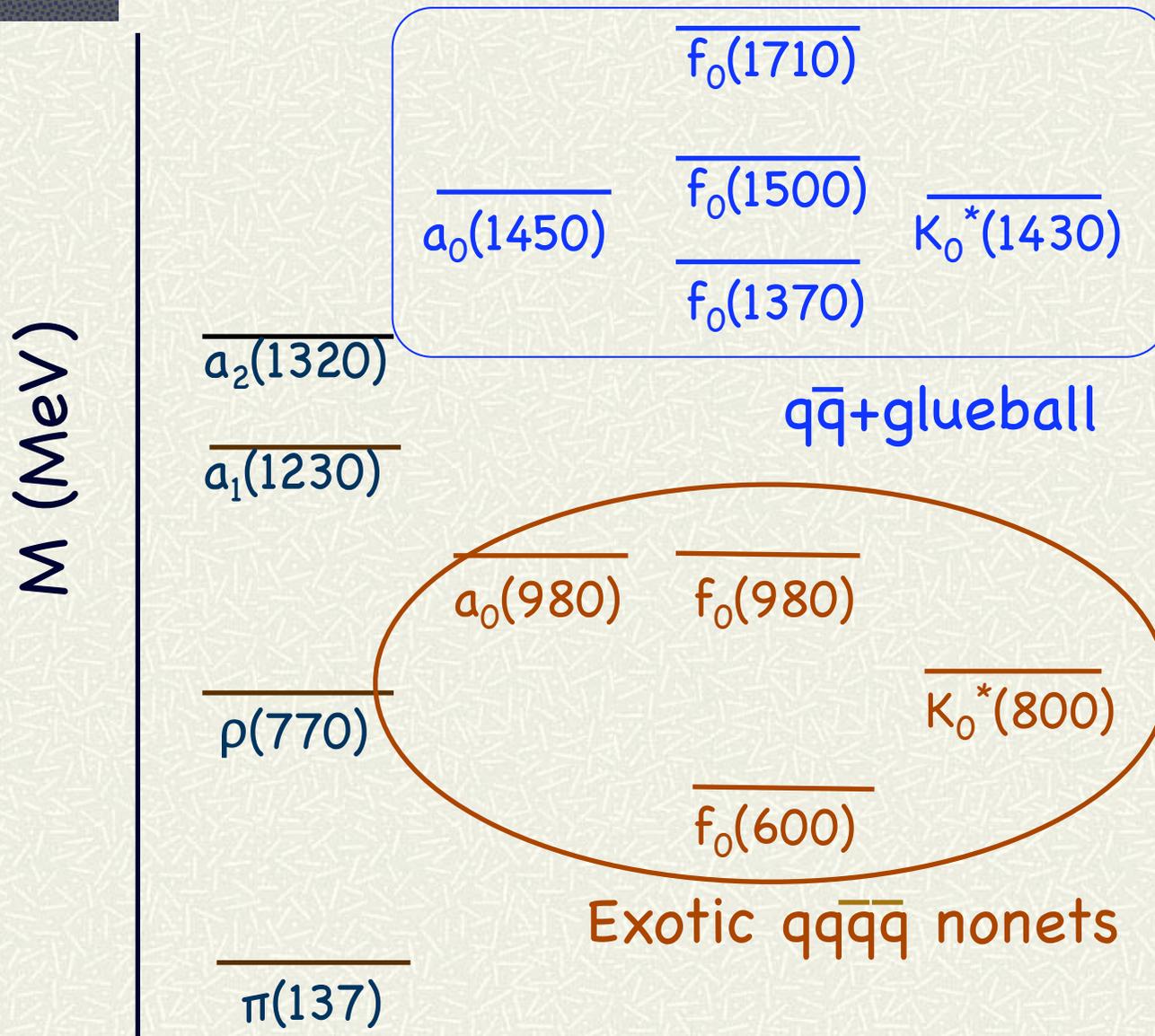
#  $\Lambda(1405)$  as a  $\bar{K}N$  “bound” state.



Chiral unitary approaches predict *two resonance poles for  $\Lambda(1405)$* . (Jido et al., 2003)  
They originate from a  $\bar{K}N$  bound state and a  $\pi\Sigma$  resonance.  
(Hyodo, Weise)

# **Multi-quark states**

# Multi-quark exotic hadrons



scalar nonets

# Multi-quark exotic hadrons

Why is  $\Lambda(1405)$  likely to be a 5-quark state (in the quark model)?

$\Lambda(1405)$   $J^\pi = 1/2^-$ , flavor singlet

☆  $uds$   $L=1$  orbital **excited** state with spin  $1/2$

$\Rightarrow J=1/2^-$  and  $3/2^-$  : spin-orbit partner  $\Lambda(1520)$   $3/2^-$

☆  $udsu\bar{u}$ , . . .  $L=0$  state, *i.e.* NO orbital excitation required

$(ud)_{s=0}$   $(su)_{s=0}$   $\bar{u}$  (= two di-quarks + an anti-quark)

The total quark spin is  $S=1/2$  so that only a  $J=1/2^-$  state exists, *i.e.* no spin-orbit partner.

The competition between the kinetic energy ( $L=1$ ) and the extra quark masses ( $qq^{\text{bar}}$ ) indicates possible mixing of the two Fock components.

# QCD sum rule approach

- # How can we directly determine the Fock components of hadrons from QCD?
- # In order to identify 5-quark components, one may use overlaps of local operators to the hadron states.  
Choose two local operators, 3-quark  $J_3$  and 5-quark  $J_5$

$$\langle 0 | J_{3q}(x) | \Lambda \rangle = \lambda \cos \theta u(x)$$

$$\langle 0 | J_{5q}(x) | \Lambda \rangle = \lambda \sin \theta u(x)$$

- # Then one can determine the "mixing angle" ?

$$\langle J_{3q}(x) \bar{J}_{3q}(0) \rangle = \lambda^2 \cos^2 \theta$$

$$\langle J_{5q}(x) \bar{J}_{5q}(0) \rangle = \lambda^2 \sin^2 \theta$$

$$\langle J_{3q}(x) \bar{J}_{5q}(0) \rangle = \lambda^2 \sin \theta \cos \theta$$

# QCD sum rule approach

## # Choose some operators for $\Lambda(1/2^-)$

$$\begin{aligned} J_3 &= \epsilon_{abc} \left[ (u_a^T C \gamma_5 d_b) s_c - (u_a^T C d_b) \gamma_5 s_c - (u_a^T C \gamma_5 \gamma^\mu d_b) \gamma_\mu s_c \right] \\ &= 2\epsilon_{abc} \left[ (u_a^T C \gamma_5 d_b) s_c + (d_a^T C \gamma_5 s_b) u_c + (s_a^T C \gamma_5 u_b) d_c \right] \\ J_5 &= \epsilon_{abc} \epsilon_{def} \epsilon_{cfg} \left[ (d_a^T C \gamma_5 s_b) (s_d^T C \gamma_5 u_e) \gamma_5 C \bar{s}_g^T \right. \\ &\quad \left. + (s_a^T C \gamma_5 u_b) (u_d^T C \gamma_5 d_e) \gamma_5 C \bar{u}_g^T \right. \\ &\quad \left. + (u_a^T C \gamma_5 d_b) (d_d^T C \gamma_5 s_e) \gamma_5 C \bar{d}_g^T \right] \end{aligned}$$

- # However, it does not work, as these operators are not **normalized** properly (ex. their dimensions are different).
- # We need to normalize the operators. Two methods were proposed.

*T. Nakamura, J. Sugiyama, T. Nishikawa, N. Ishii, MO, PRD78 (2008) 014010*

# QCD sum rule approach

Choose a  $J_5$  and define a genuine 5-quark operator  $J_5'$  so that 3-quark component of  $J_5$  is subtracted.

$$J_5 = J_5' + \underbrace{\left( -\frac{1}{18} (\langle \bar{u}u \rangle + \langle \bar{d}d \rangle + \langle \bar{s}s \rangle) J_3 \right)}_{J_3'}$$

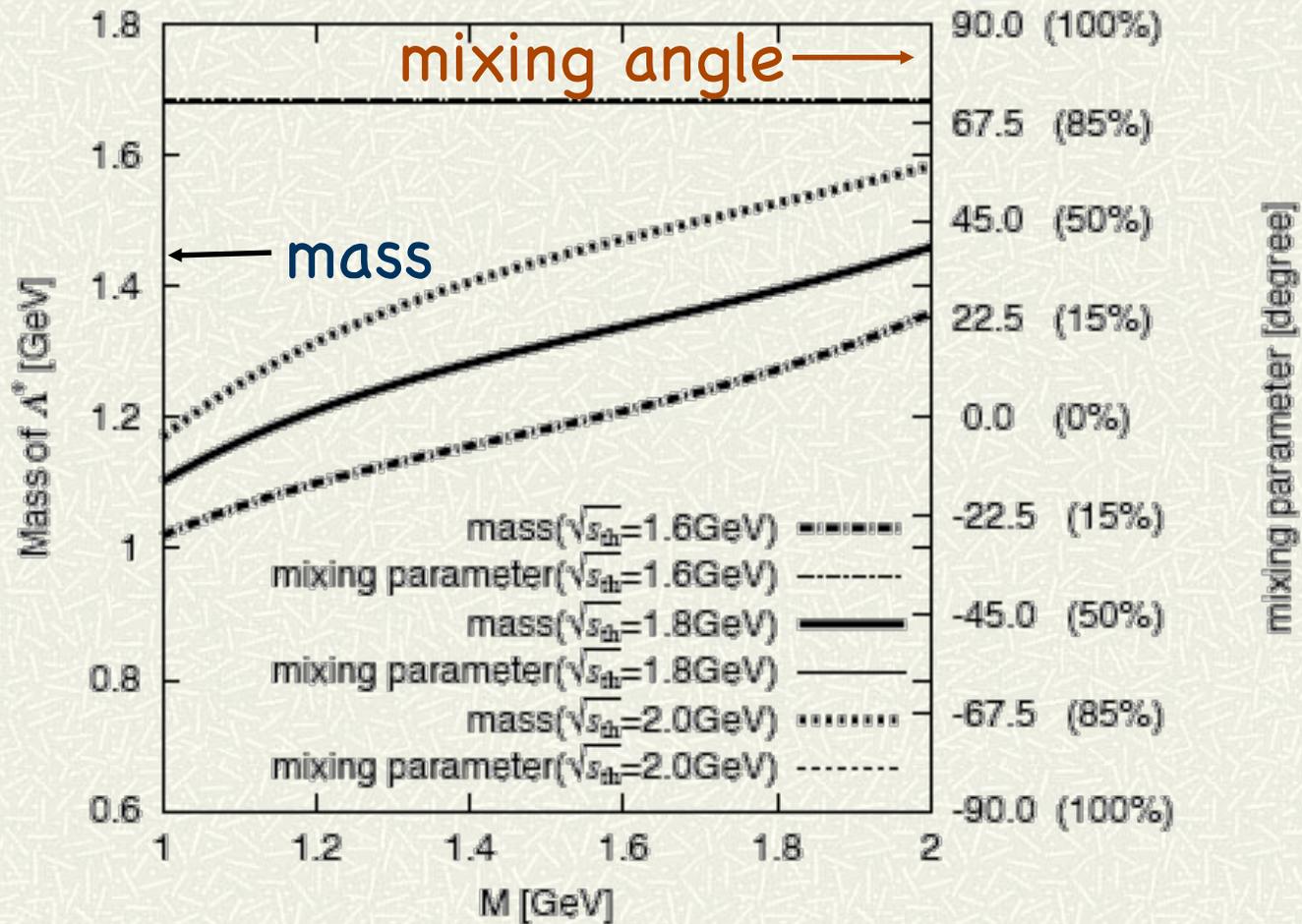
then one may determine the operator which couples most strongly to the physical state,

$$J_\Lambda(x) = \cos \theta J_3'(x) + \sin \theta J_5'(x)$$

This method is "model independent", but it depends on the choice of the operators.

# $\Lambda(\text{singlet}, 1/2^-)$

## # Mass and mixing angle v.s. the Borel mass



*T. Nakamura, et al, PRD78 (2008) 014010*

# Number of quarks in QCD

---

**"Counting" the number of quarks?**

**There is no conserved current corresponding to the # of quarks:  $N(q)+N(\bar{q})$ .**

**It may depend on choices of the quark operator.**

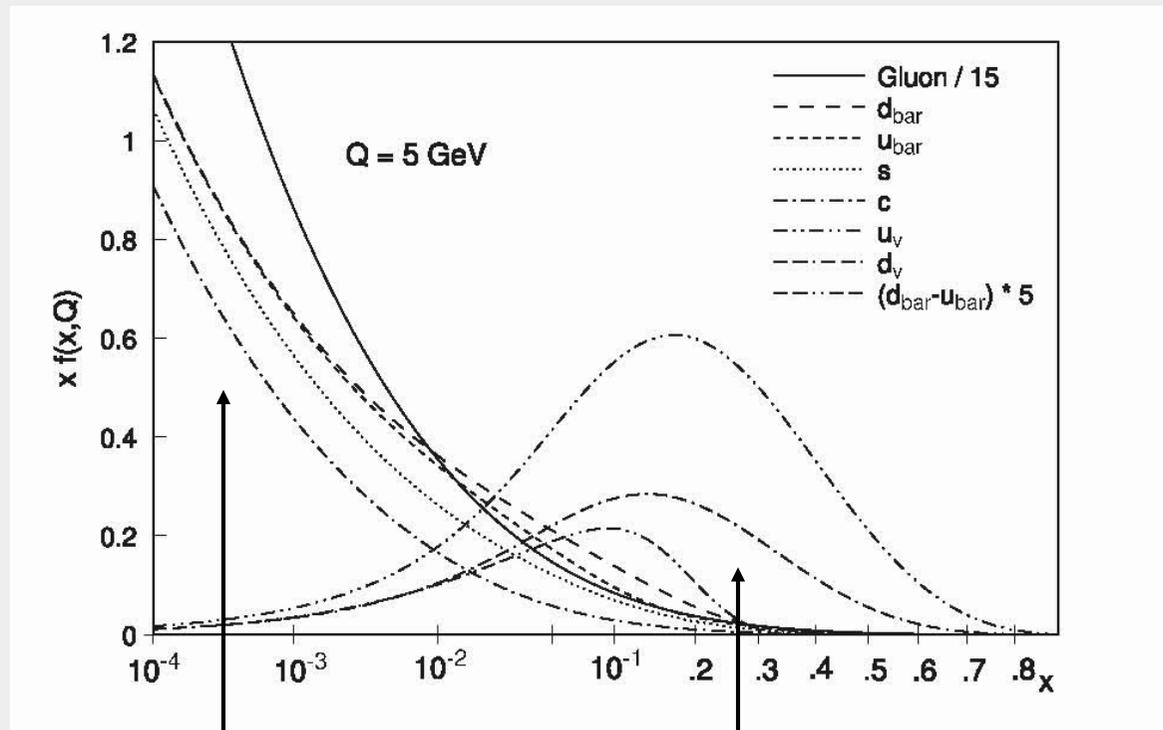
**Ex. Bogoliubov transformation may change the # of quarks in a hadron.**

**Any observables which can distinguish **valence** and **sea** quarks?**

**Parton distribution in the light-cone frame?**

# Number of quarks in QCD

In DIS and other high energy processes, one may **distinguish and count** "valence" quarks.



sea quarks

valence quarks

# Number of quarks in QCD

Hadronization in heavy ion collisions:  
Recombination and fragmentation of partons

R. J. Fries, S. A. Bass, B. Muller, C. Nonaka,  
PRL 90 (2003) 202303

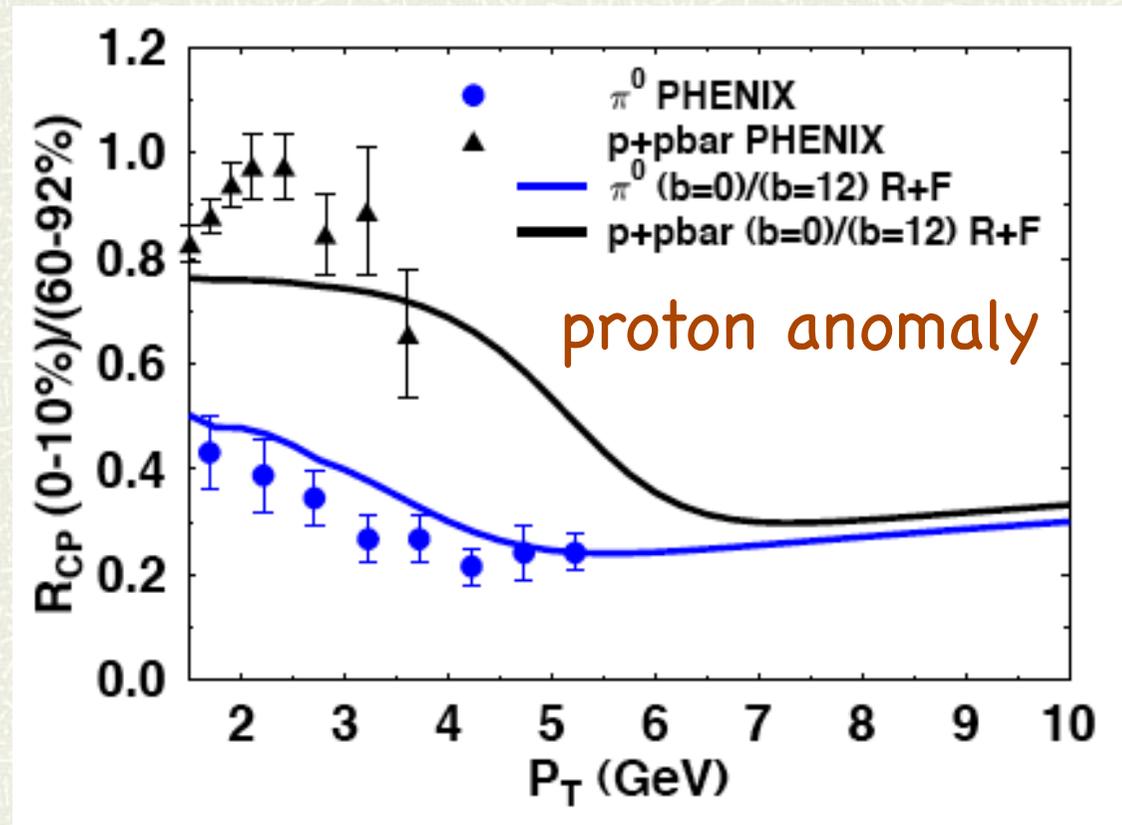
meson vs baryon

$qq \rightarrow 2 \langle p_T \rangle$

$qqq \rightarrow 3 \langle p_T \rangle$

Then

how do 4 or 5 quark  
states behave?



# Number of quarks in QCD

*M. Hirai, S. Kumano, MO, K. Sudoh, PR D77 (2008) 017504*

# We proposed to apply to the fragmentation functions of resonances.

■ determined by a global analysis of  $e^+e^- \rightarrow h+X$  experimental data

# Favored vs Disfavored FF

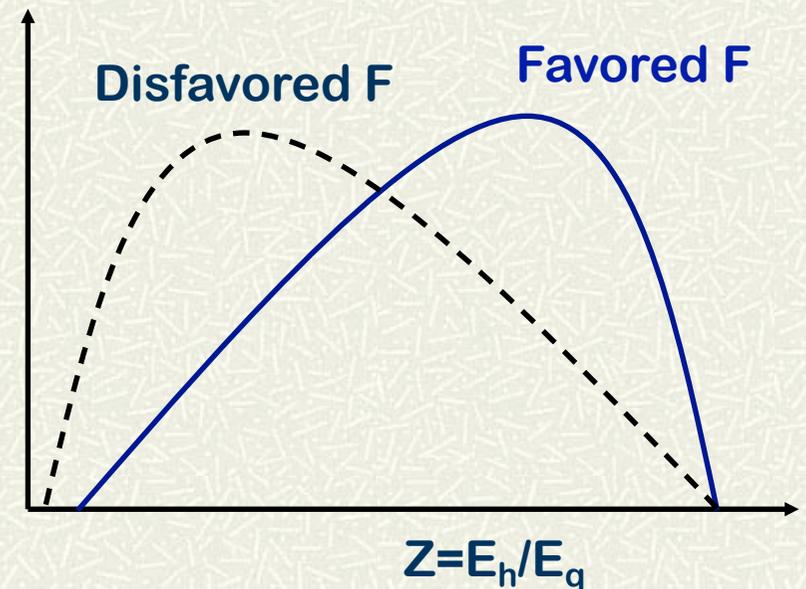
■ Favored FF  $\rightarrow$  valence quarks

■ constituents of produced hadrons

■ peaked at medium to large  $z$

■ Disfavored FF  $\rightarrow$  sea quarks

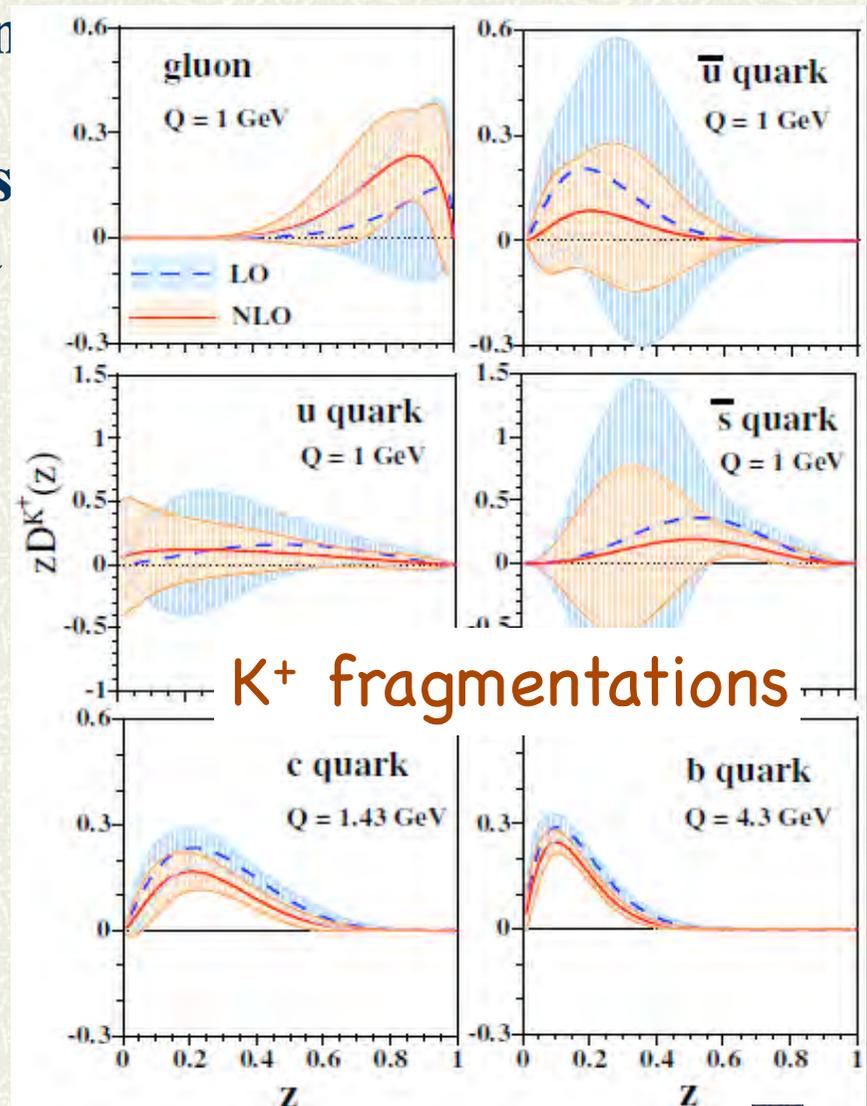
■ peaked at small  $z$



# Number of quarks in QCD

*M. Hirai, S. Kumano, MO, K. Sudoh, PR D77 (2008) 017504*

- # We proposed to apply to the fragmentation resonances.
  - determined by a global analysis  $e^+e^- \rightarrow h+X$  experimental data
- # Favored vs Disfavored FF
  - Favored FF  $\rightarrow$  valence quarks
    - constituents of produced hadrons
    - peaked at medium to large  $z$
  - Disfavored FF  $\rightarrow$  sea quarks
    - peaked at small  $z$



# Number of quarks in QCD

Applied to  $f_0(980)$  ( $0^+$ ,  $I=0$ )

- **Tetra-quark** configuration  
favored FF: u and s quarks  
Peak at large-z ( $z \sim 0.85$ )

$$z_u^{\max} \sim z_s^{\max}$$

OR

- **$S\bar{S}$**  configuration

$$M_u < M_s$$

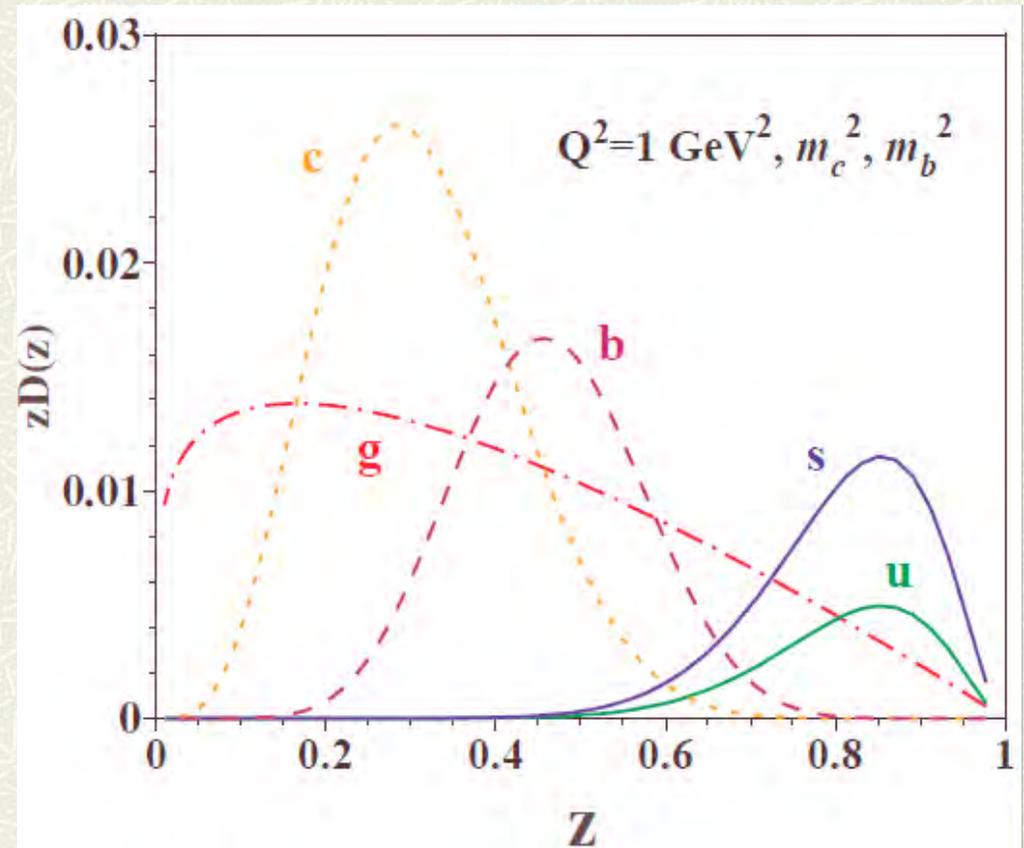
$$(M_u/M_s = 0.43 \pm 6.73)$$

Large uncertainty

Need further precise data

$$\chi^2/\text{d.o.f.} = 0.907$$

Total Number of data: 23



2<sup>nd</sup> moments

- ◆  $M_u = 0.0012 \pm 0.0107$
- ◆  $M_s = 0.0027 \pm 0.0183$
- ◆  $M_g = 0.0090 \pm 0.0046$

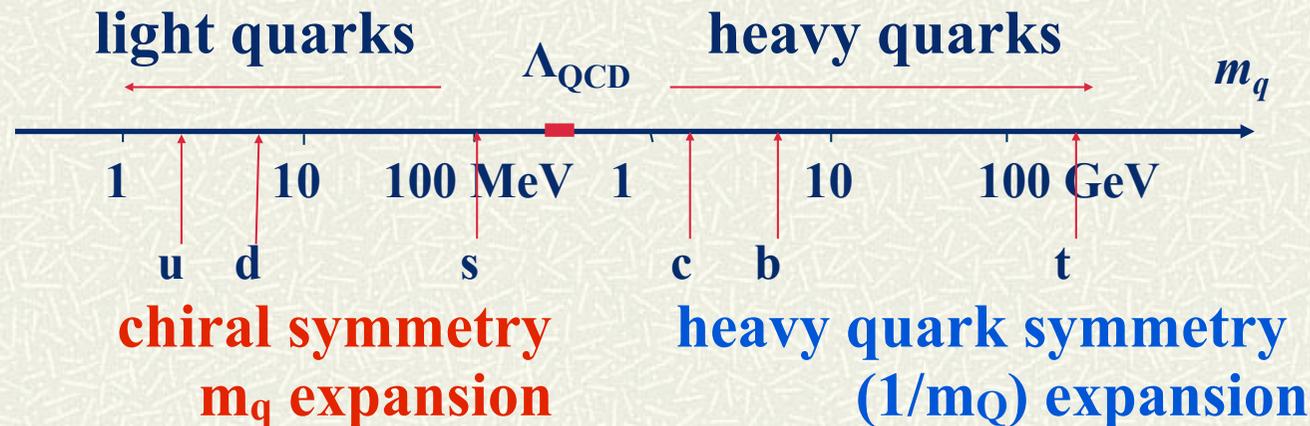
# Heavy Quark Hadrons

# Why Heavy Quarks are interesting ?

- # The c, b, (t) quarks have large masses.

$$m(\rho/\omega) : m(K^*) : m(D^*) : m(B^*) \\ = 1 : 1.15 : 2.59 : 6.87$$

- #  $\Lambda_{\text{QCD}}(\sim 300 \text{ MeV}) \ll m_c(\sim 1.3 \text{ GeV}) \ll m_b(\sim 4.2 \text{ GeV})$



# Why Heavy Quarks are interesting ?

## # Dynamics of Heavy Quarks

*Asymptotic free*

small  $\alpha_s \sim v/c$  ( $\sim 0.25$  for charm)

→ Heavy Quark Spin Symmetry

*Non-relativistic*

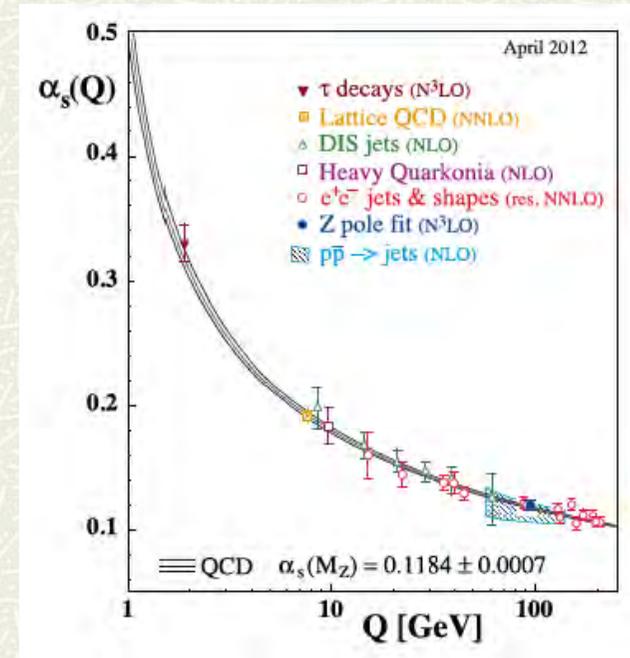
$QQ^{\text{bar}}$  mixing is suppressed.

Heavy quarks provide color sources for light quarks.

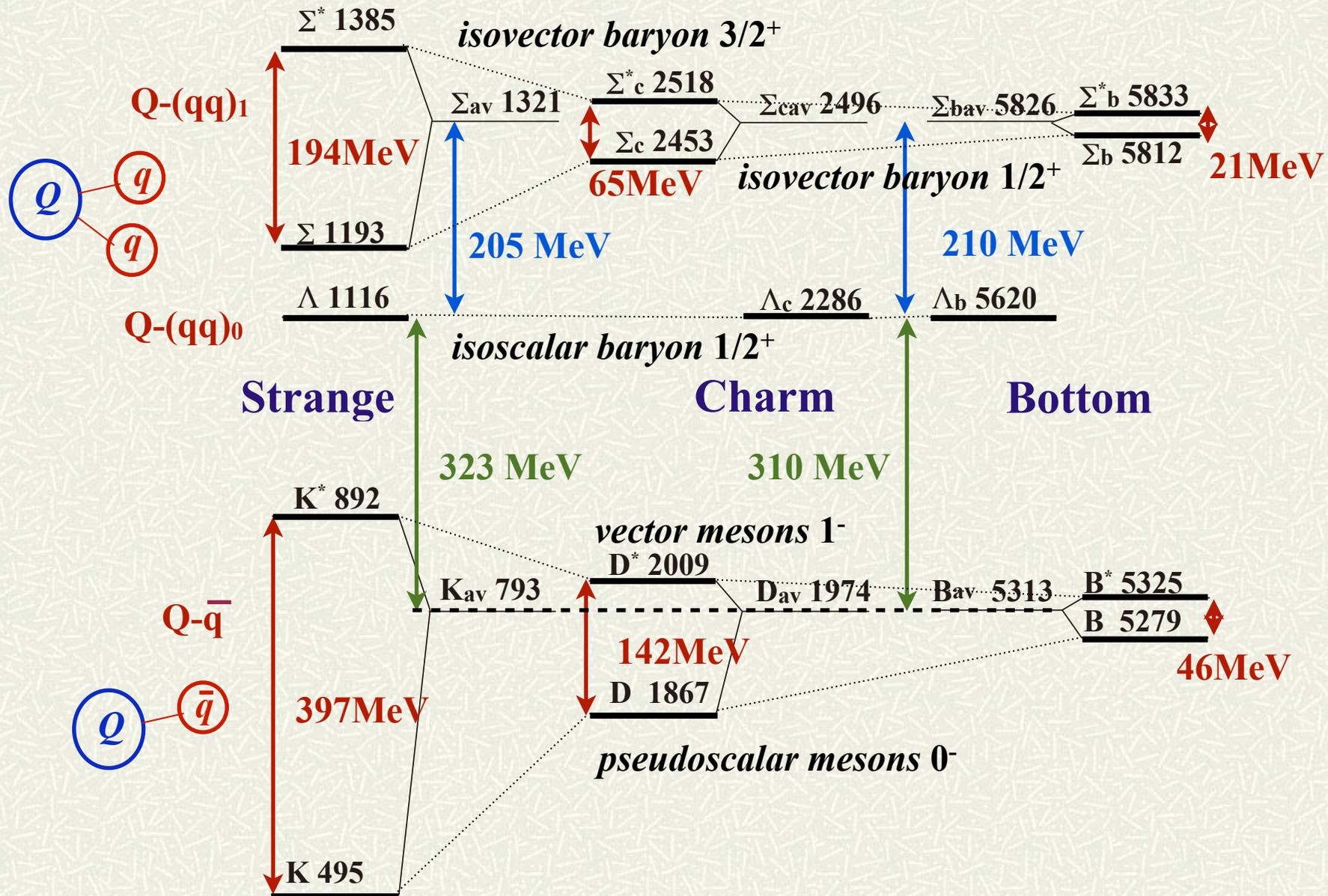
We can extract the dynamics of light quarks.

## # Are *Strange* quarks light or heavy? Or both?

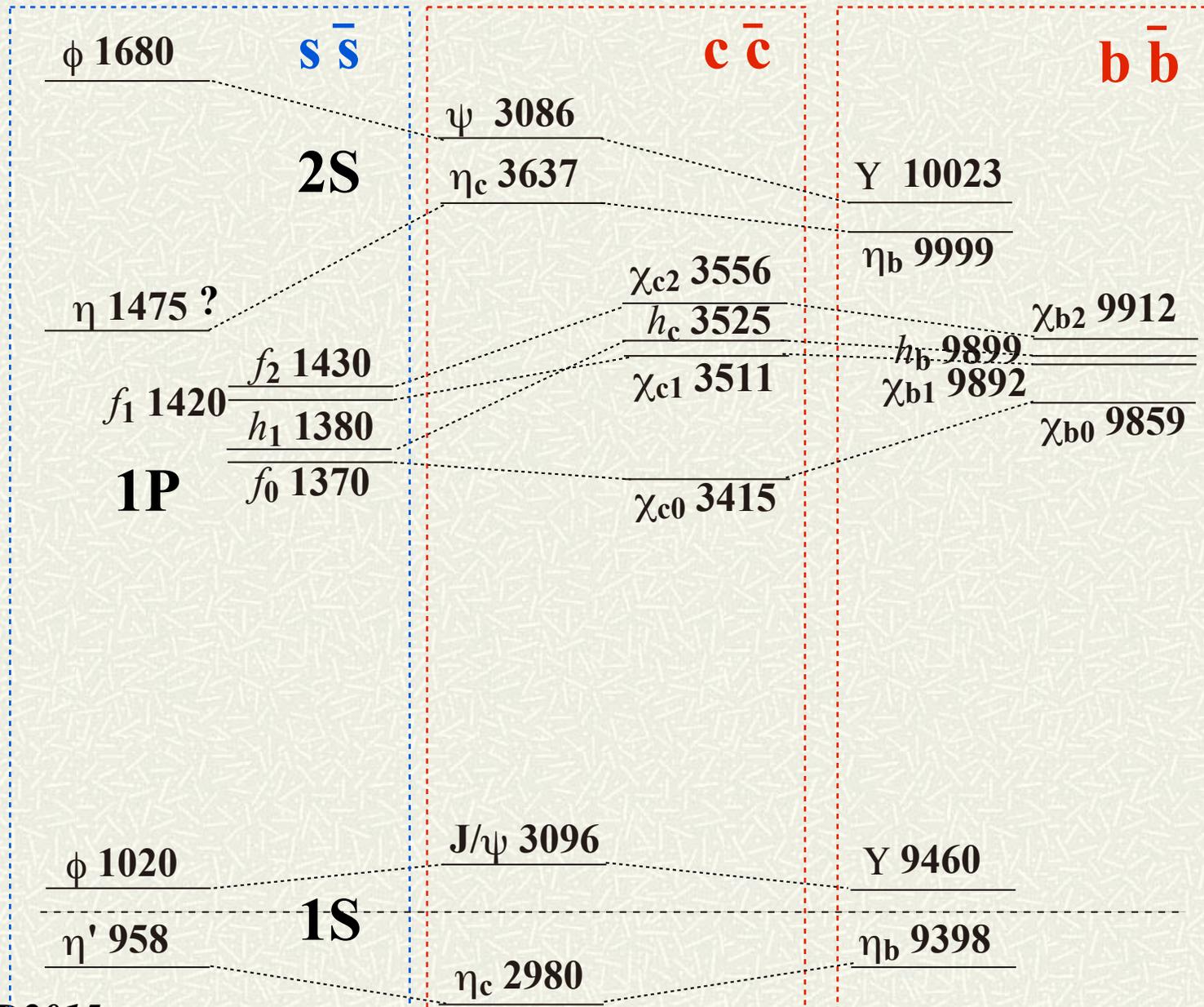
$SU(3)_f$  symmetry vs HQ symmetry



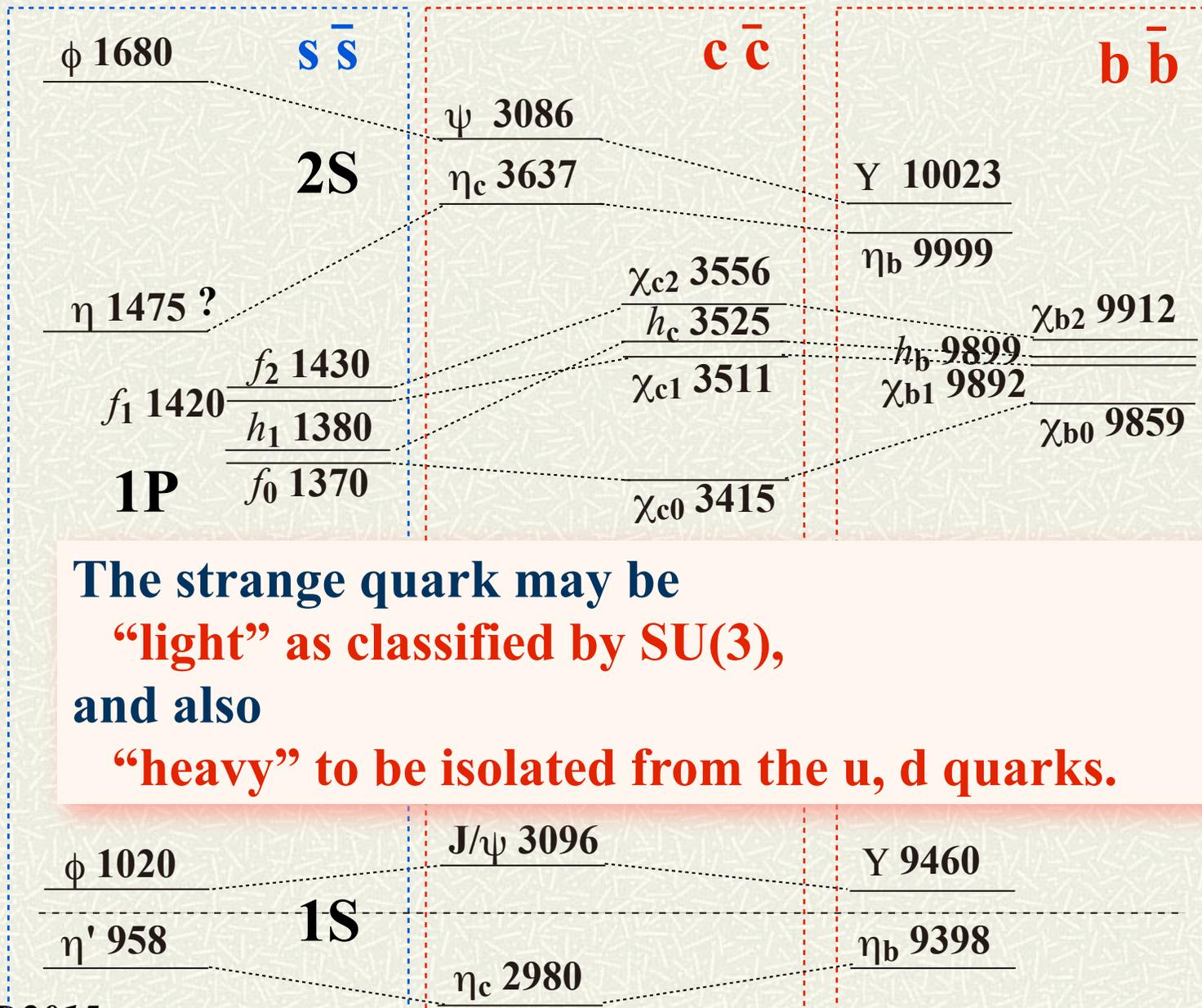
# From Strangeness to Heavy Quarks



# From Strangeness to Heavy Quarks



# From Strangeness to Heavy Quarks



The strange quark may be  
**“light”** as classified by SU(3),  
 and also  
**“heavy”** to be isolated from the u, d quarks.

# From Strangeness to Heavy Quarks

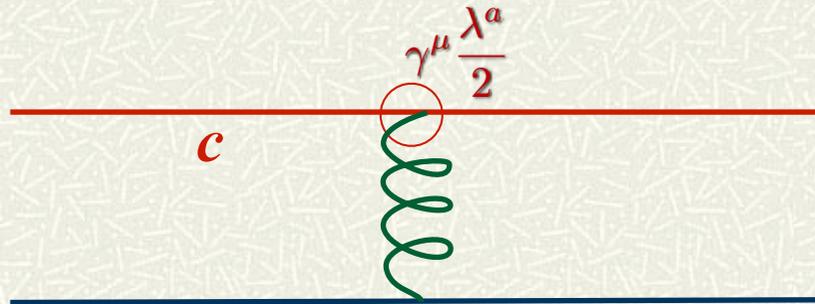
- #  $\Lambda(1405)$  as a  $(K^{\text{bar}}N)$  molecule
  - the lowest negative parity baryon resonance
  - significantly lower than the other P-wave baryons
- # H dibaryon
  - $B=2$ ,  $\text{Str} = -2$ ,  $J=0^+$ ,  $I=0$  resonance predicted by Jaffe (1977)
  - Also predicted by the LQCD calculation (HALQCD, etc)
  - (strongly) coupled to  $\Lambda\Lambda$ - $N\Xi$ - $\Sigma\Sigma$  two-baryon channels
- #  $\Theta^+$  pentaquark
  - $\text{Str} = +1$  baryon resonance, classified as  $SU(3) 10^{\text{bar}}$  predicted in the chiral quark model by Diakonov
- #  $S = -2, -3$  baryons
  - The available data are very limited.

Are these “novel” features unique to the Strangeness?

Do they persist in the charm/bottom sectors?

# Heavy Quark Spin Symmetry

Magnetic gluon coupling is suppressed



$$\bar{\Psi} \gamma^\mu \frac{\lambda^a}{2} \Psi A_\mu^a \sim \underbrace{\Psi^\dagger \frac{\lambda^a}{2} \Psi A_0^a}_{\text{Color Electric coupling}} - \underbrace{\Psi^\dagger \sigma \frac{\lambda^a}{2} \Psi \cdot \frac{1}{m_Q} (\nabla \times A^a)}_{\text{Color Magnetic coupling}}$$

(Color Electric coupling)  $\gg$  (Color Magnetic coupling)

HQ spin-flip amplitudes are suppressed by  $(1/m_Q)$ .

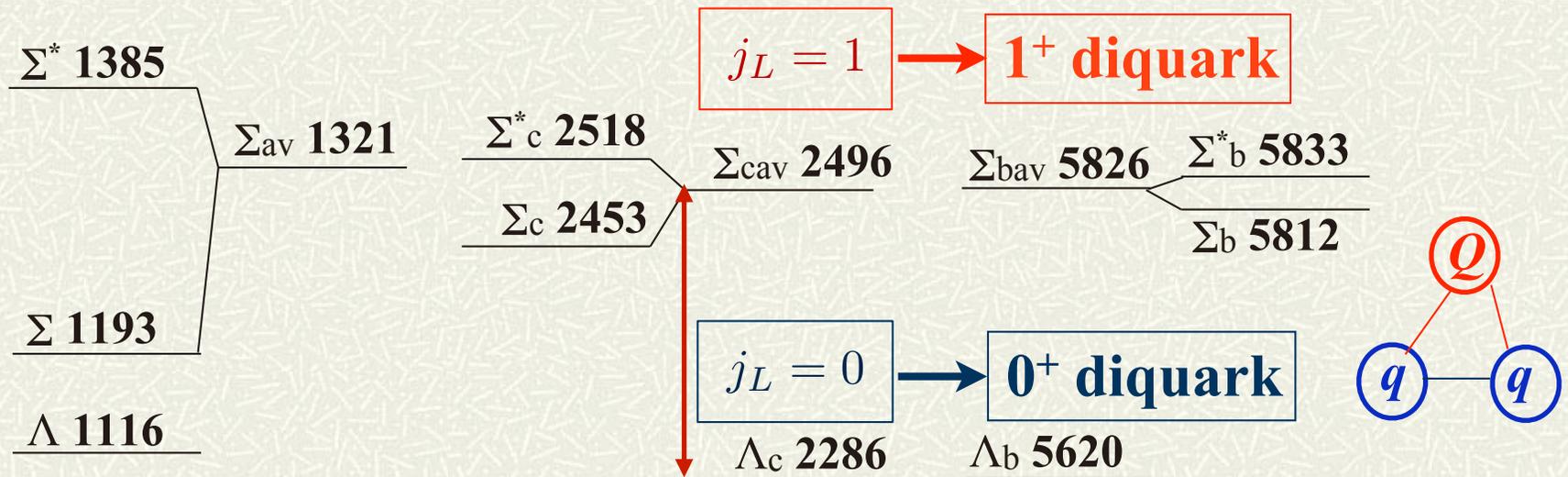
$\Rightarrow$  Heavy Quark Spin Symmetry

# Heavy Quark Spin Symmetry

HQ spin symmetry  $[S_Q, H] = O\left(\frac{1}{m_Q}\right)$

$$\left. \begin{array}{l} Q \\ q \end{array} \right\} \vec{J} = \vec{S}_Q + \vec{j}_L \quad \vec{j}_L = \vec{S}_q + \vec{L}_q$$

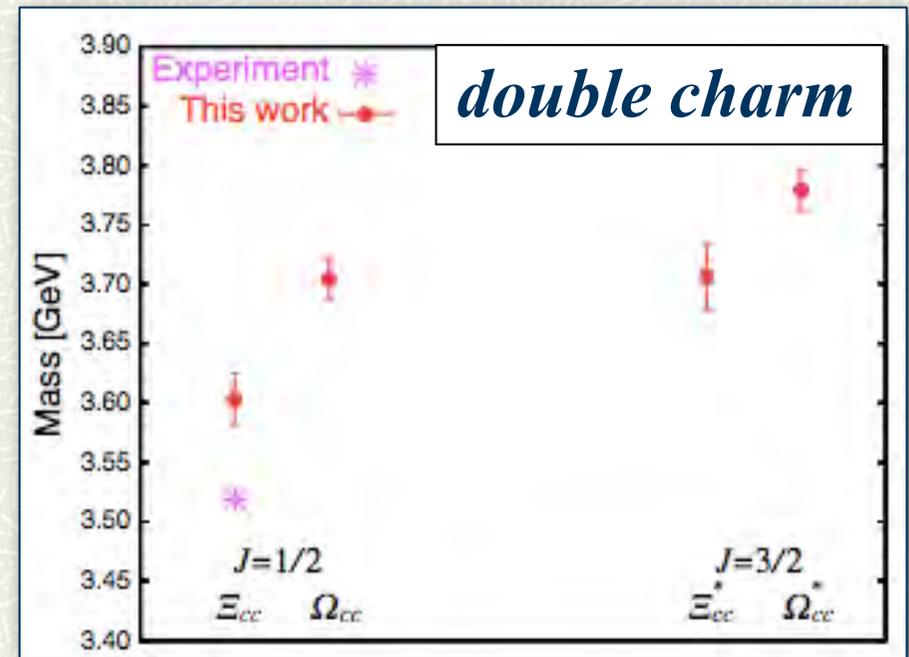
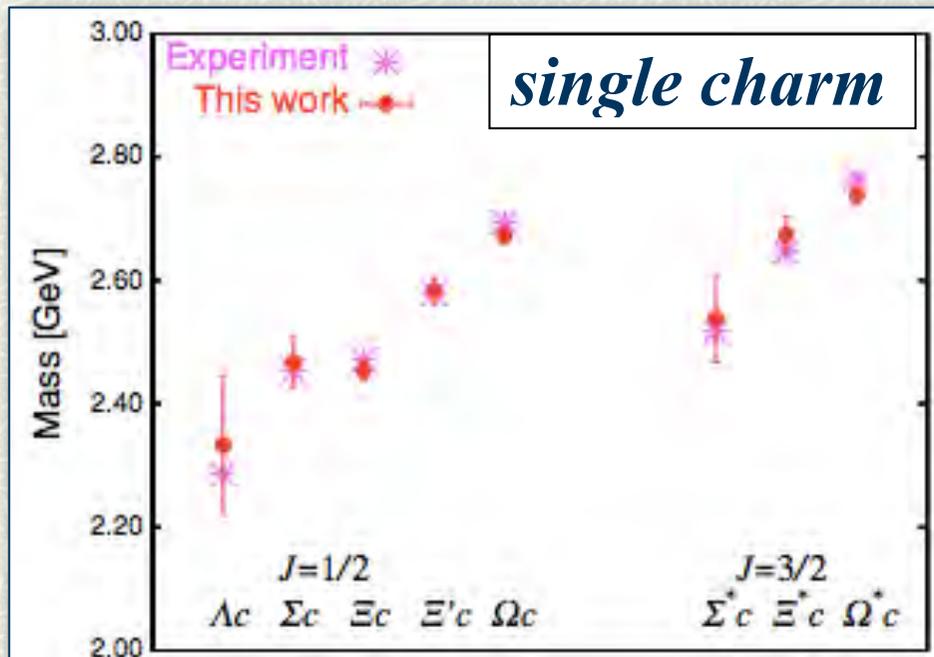
$J = j_L \pm \frac{1}{2}$  states are degenerate in the HQ limit.



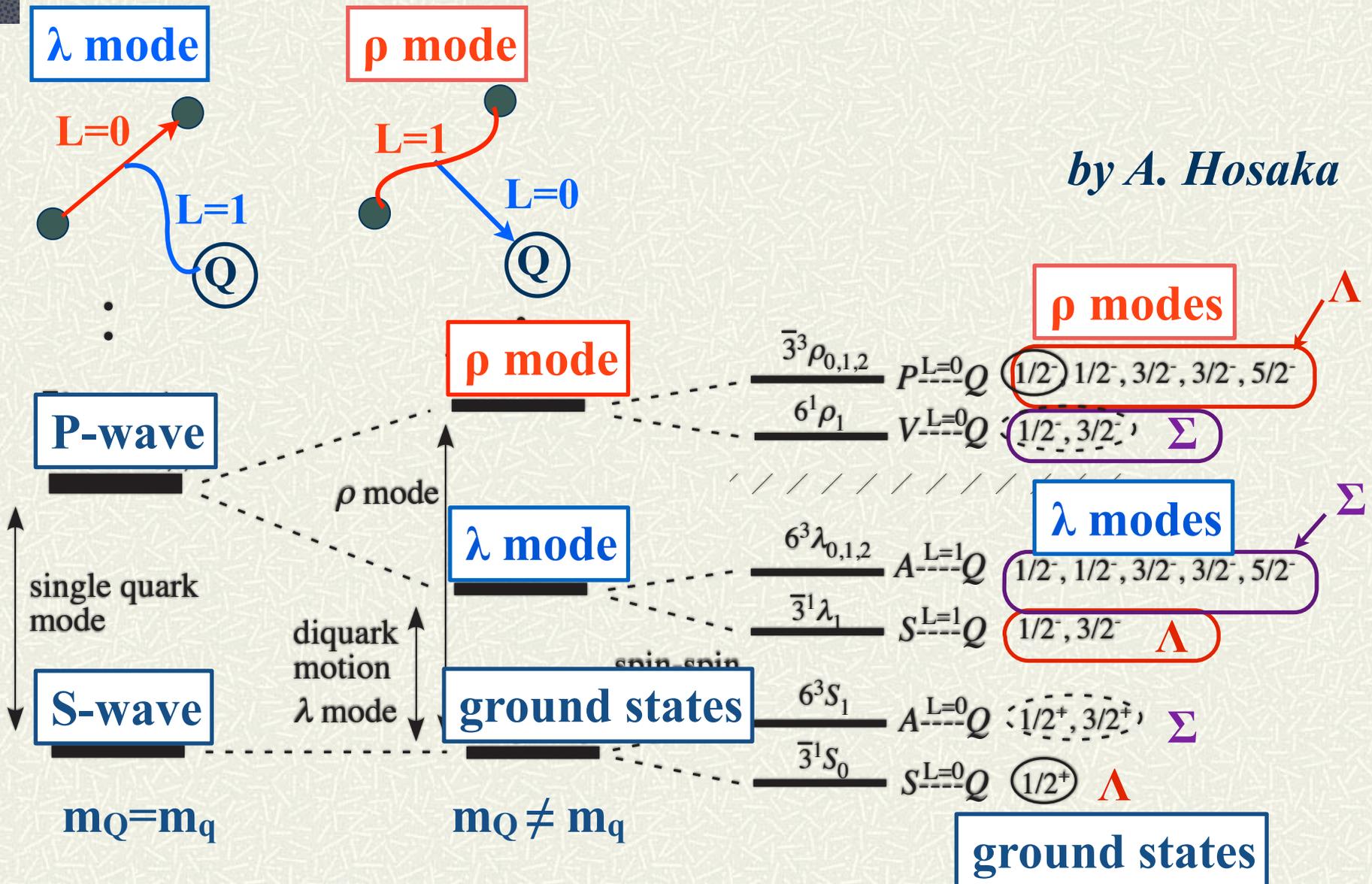
*Spectroscopy of Light Diquarks*

# Charmed Baryons: Ground states

- # All the ground-state (S-wave) single charm baryons have been observed, and are consistent with the quark model.
- # Lattice QCD reproduces the ground state baryon spectrum fairly well.
- # Y. Namekawa, et al., (PACS-CS Collaboration) (2+1) flavor with physical quark mass, PRD 87, 094512 (2013)



# P-wave excited states



# P-wave excited states

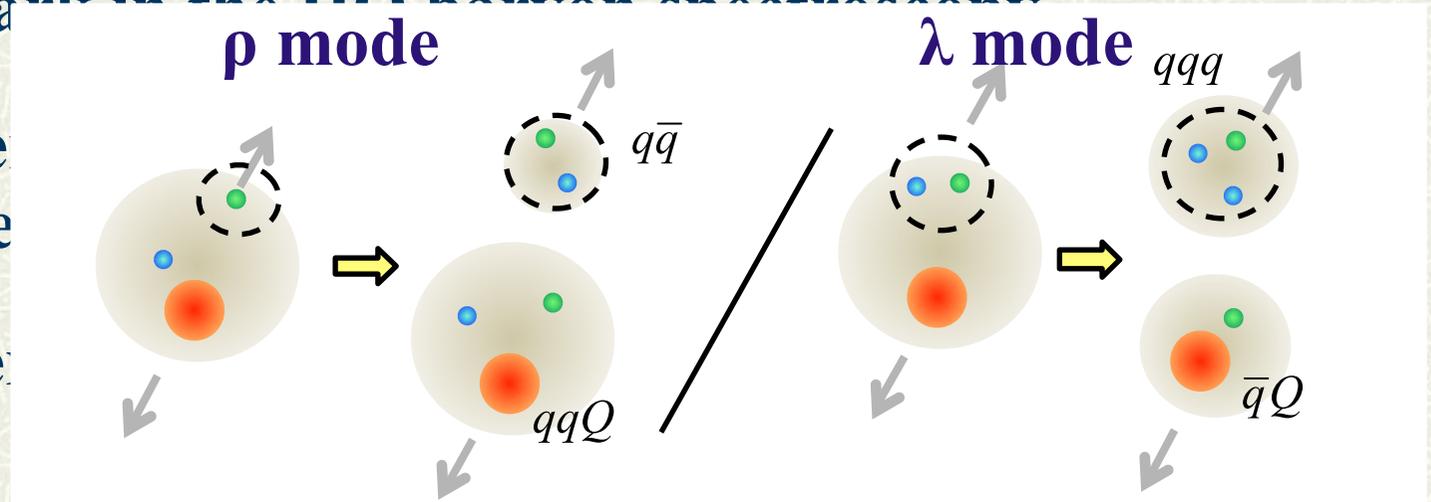
- # The “diquark clusters” can be identified with the help of the heavy quark in the HQ baryon spectroscopy.
- # The  $\rho$  mode excitations of the HQ baryons provide us with a diquark spectrum.
- # The  $\lambda$  mode excitations reveal the interaction of the diquarks.
- # The decays of the  $\rho$  and  $\lambda$  modes have different properties.  
 $\rho$ -mode  $\rightarrow$  Heavy baryon ( $Qqq$ ) + light mesons ( $qq^{\text{bar}}$ )  
 $\lambda$ -mode  $\rightarrow$  Heavy meson ( $Qq^{\text{bar}}$ ) + light baryon ( $qqq$ )

# P-wave excited states

- # The “diquark clusters” can be identified with the help of the heavy quark in the HQ baryon spectroscopy.

- # The  $\rho$  mode exhibits a diquark spin

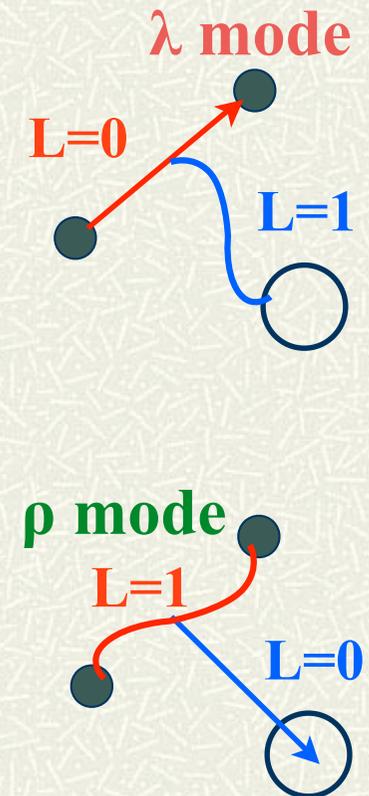
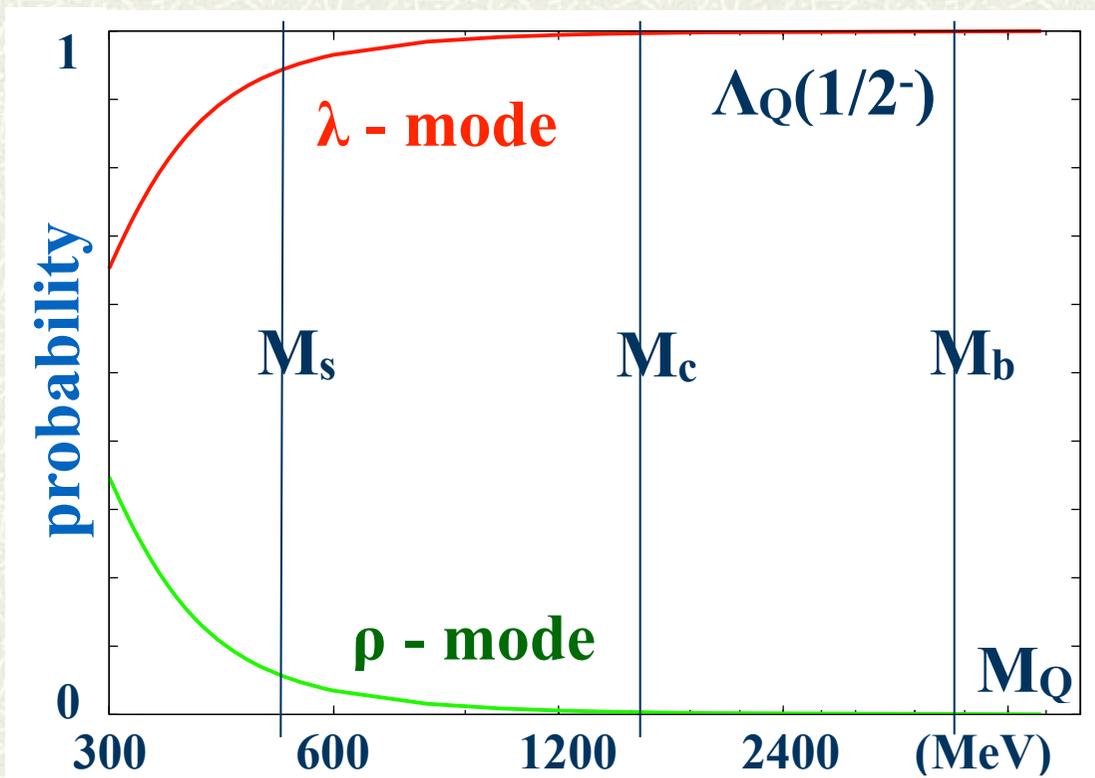
- # The  $\lambda$  mode exhibits diquarks.



- # The decays of the  $\rho$  and  $\lambda$  modes have different properties.  
 $\rho$ -mode  $\rightarrow$  Heavy baryon ( $Qqq$ ) + light mesons ( $qq^{\text{bar}}$ )  
 $\lambda$ -mode  $\rightarrow$  Heavy meson ( $Qq^{\text{bar}}$ ) + light baryon ( $qqq$ )

# P-wave excited states: from s to c

- ▣ Probabilities of  $\lambda$  and  $\rho$  modes v.s. heavy quark mass by a Hamiltonian quark model with spin-spin, spin-orbit and tensor forces

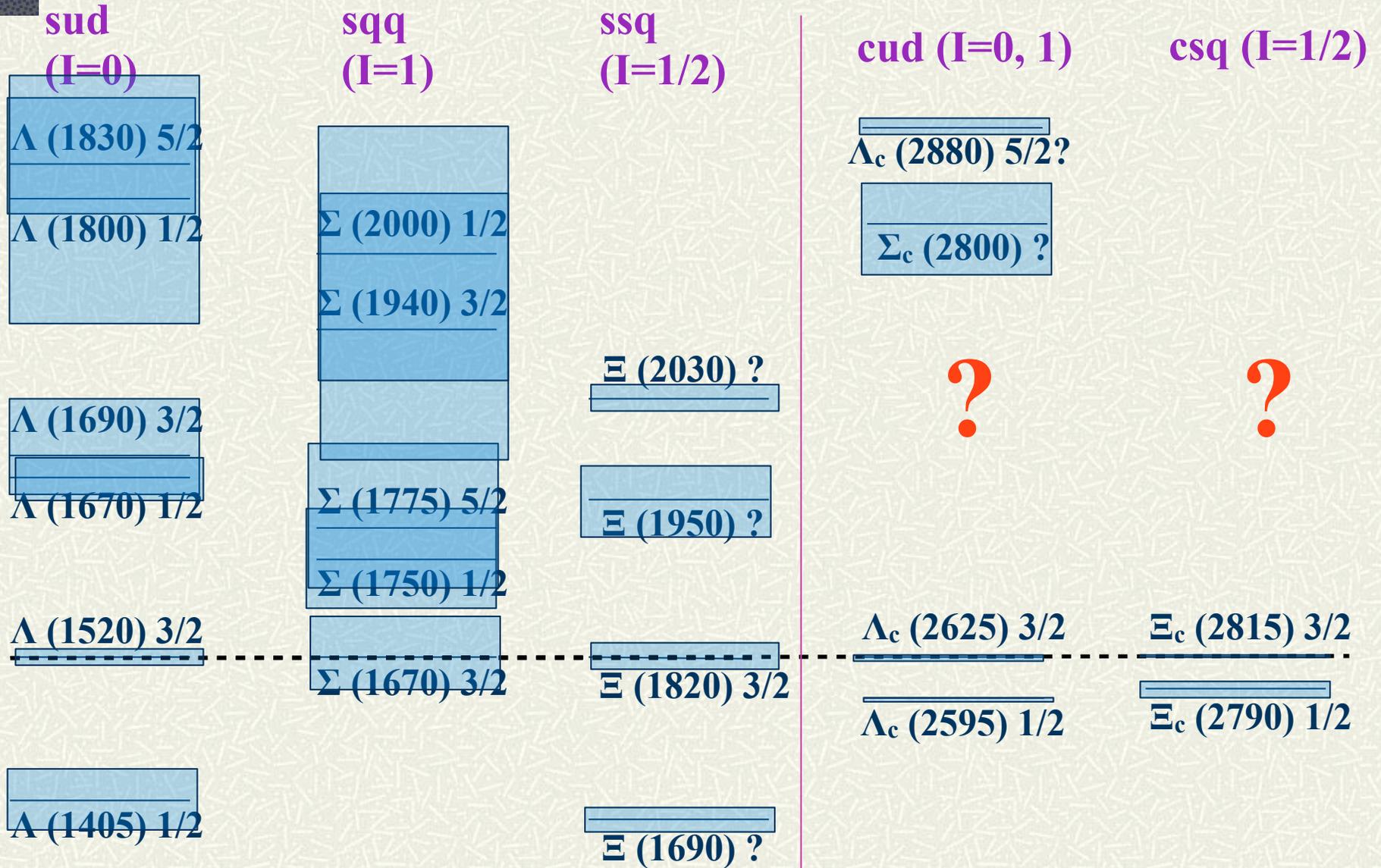


*Yoshida, Sadato, Hosaka, Hiyama, MO, in preparation.*

# P-wave excited states: from s to c

sud (I=0)	sqq (I=1)	ssq (I=1/2)	cud (I=0, 1)	csq (I=1/2)
$\underline{\Lambda (1830) 5/2}$	$(S=1/2)_\rho$		$\underline{\Lambda_c (2880) 5/2?}$	
$\underline{\Lambda (1800) 1/2}$	$\underline{\Sigma (2000) 1/2}$		$\underline{\Sigma_c (2800) ?}$	
$(S=3/2)_\rho$	$\underline{\Sigma (1940) 3/2}$			
	$(S=3/2)_\lambda$	$\underline{\Xi (2030) ?}$	?	?
$\underline{\Lambda (1690) 3/2}$	$\underline{\Sigma (1775) 5/2}$	$\underline{\Xi (1950) ?}$		
$\underline{\Lambda (1670) 1/2}$	$\underline{\Sigma (1750) 1/2}$			
$(S=1/2)_\rho$				
$\underline{\Lambda (1520) 3/2}$	$\underline{\Sigma (1670) 3/2}$	$\underline{\Xi (1820) 3/2}$	$\underline{\Lambda_c (2625) 3/2}$	$\underline{\Xi_c (2815) 3/2}$
$(S=1/2)_\lambda$	$(S=1/2)_\lambda$		$\underline{\Lambda_c (2595) 1/2}$	$\underline{\Xi_c (2790) 1/2}$
$\underline{\Lambda (1405) 1/2}$		$\underline{\Xi (1690) ?}$	$(S=1/2)_\lambda$	

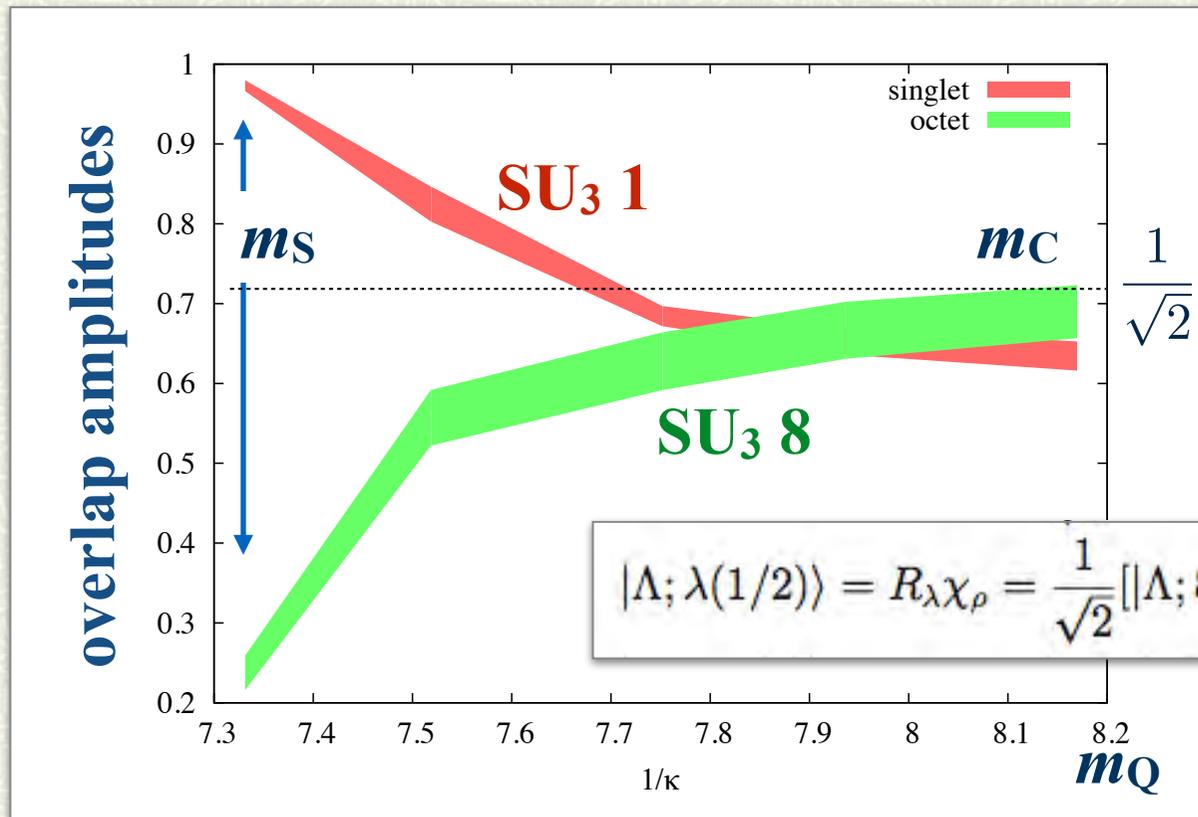
# P-wave excited states: from s to c



# P-wave excited states: from s to c

# Transition from the  $SU(3)_f$  to HQ.

Lattice QCD for  $m_Q = m_S \rightarrow m_C$  with  $m_\pi^2 = 410$  MeV



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# Conclusion and Further possibilities

- # Going from strange to charm/bottom, we may isolate light degrees freedom by using the heavy quark spin symmetry. This may help extracting inter-quark and di-quark interactions in hadrons.
- # Hadrons and Hadronic Interactions of charmed (heavy) quarks may show more exotic features than strangeness.
- # A few interesting problems are:
  - Where are  $\Xi_{cc}$  ground and excited states?
  - Do exotic double charm mesons exist?
  - Are there any bound states of D mesons to nucleon/nuclei?
  - How strong are the interactions of charmed baryons with nucleon? Are there charmed hyper-nuclei?
- # Many interesting physics subjects are waiting for the new heavy quark projects in J-PARC, GSI and others.