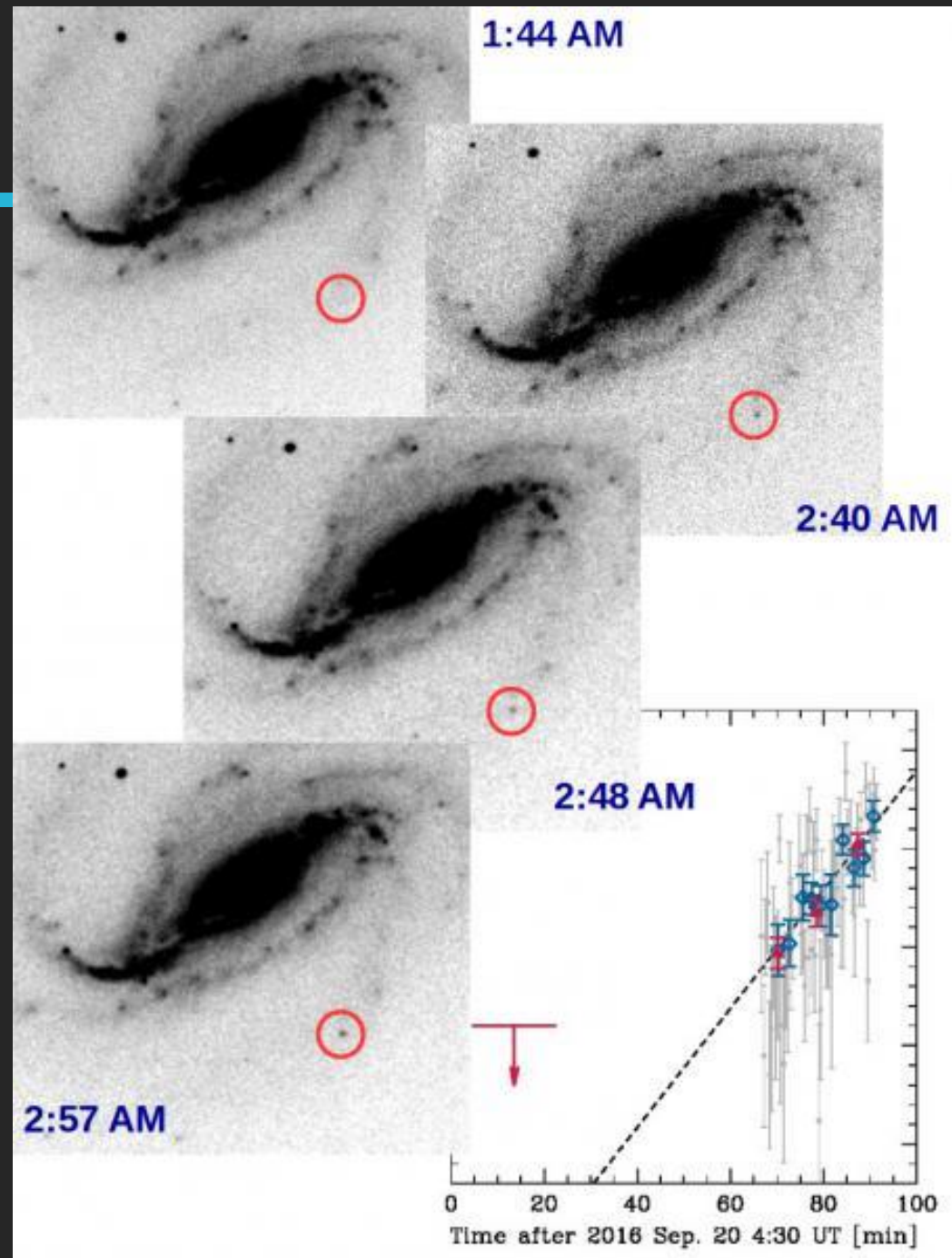
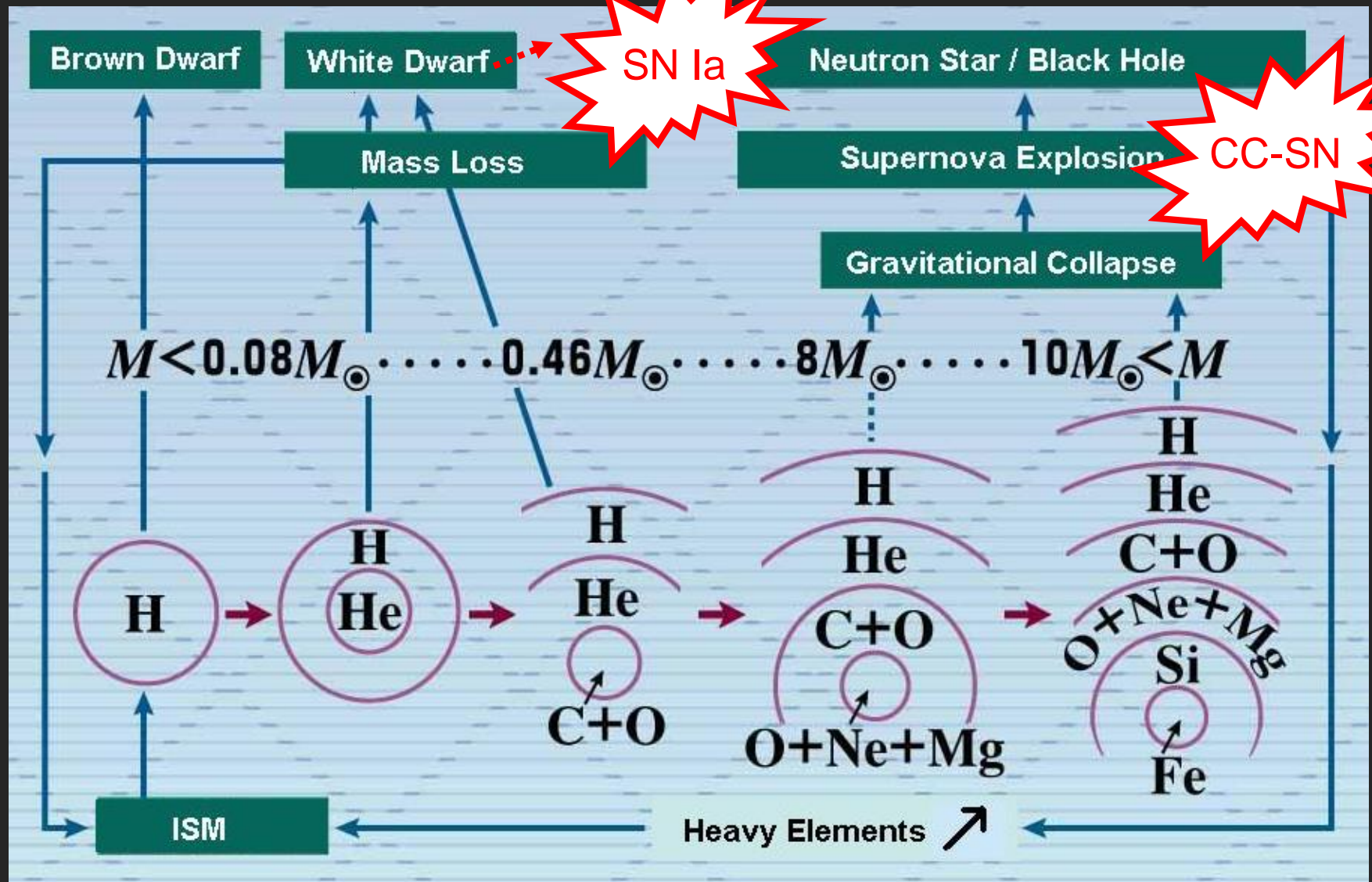


# Progenitors, Mass Loss, and Shock Breakout of Supernovae

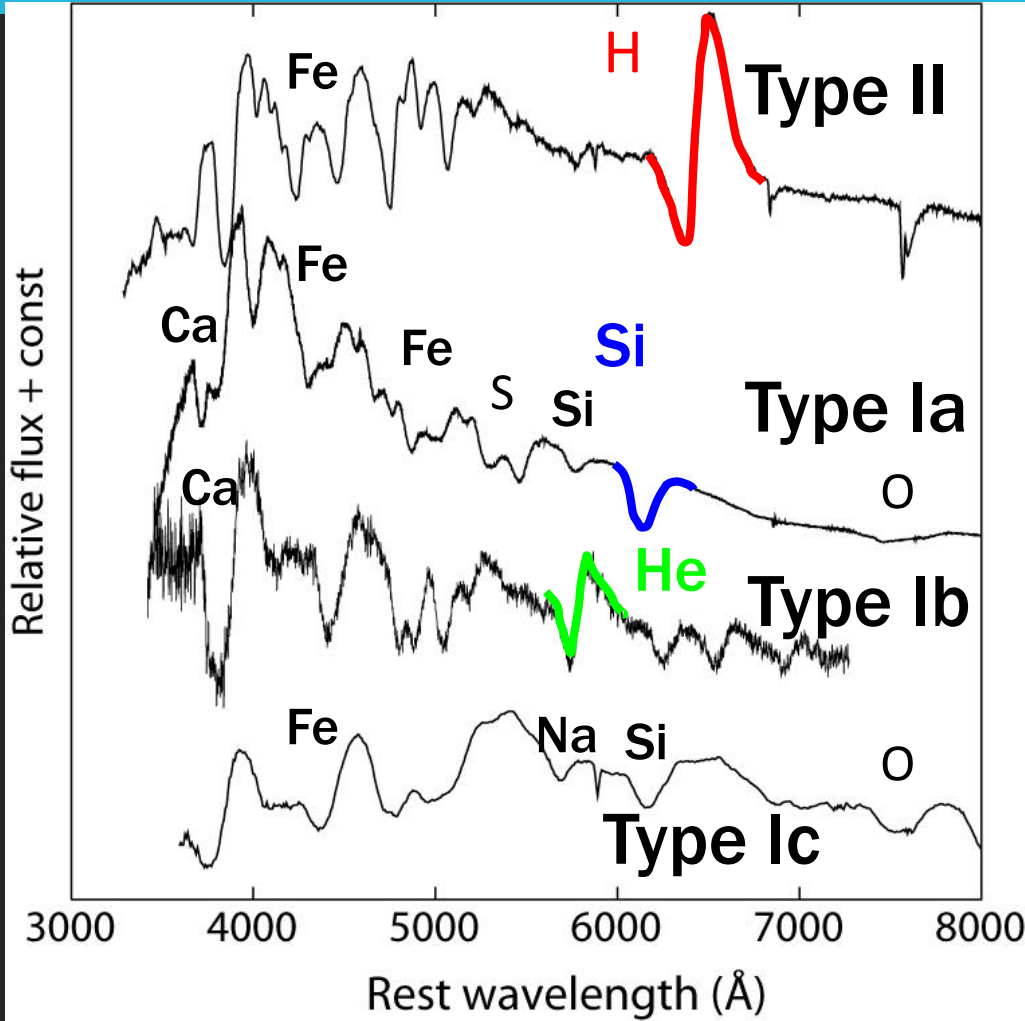
Keiichi Maeda  
Dept. Astron,  
Kyoto University



# Stellar Evolution and Supernovae (SNe)



# Supernova Classification

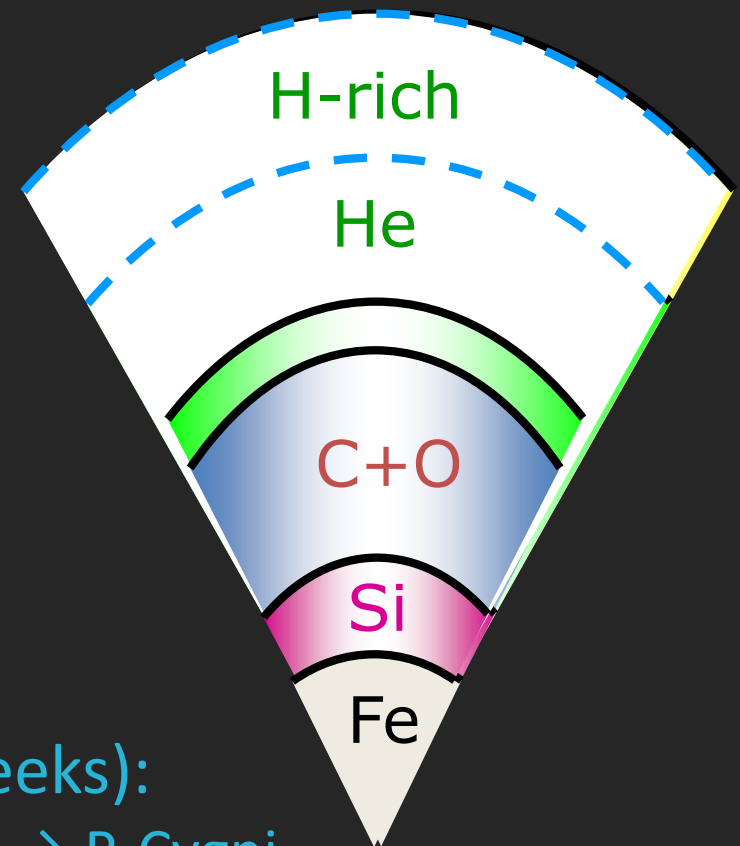


la

Thermonuclear exp. of a white dwarf (WD)

II/Ib/Ic

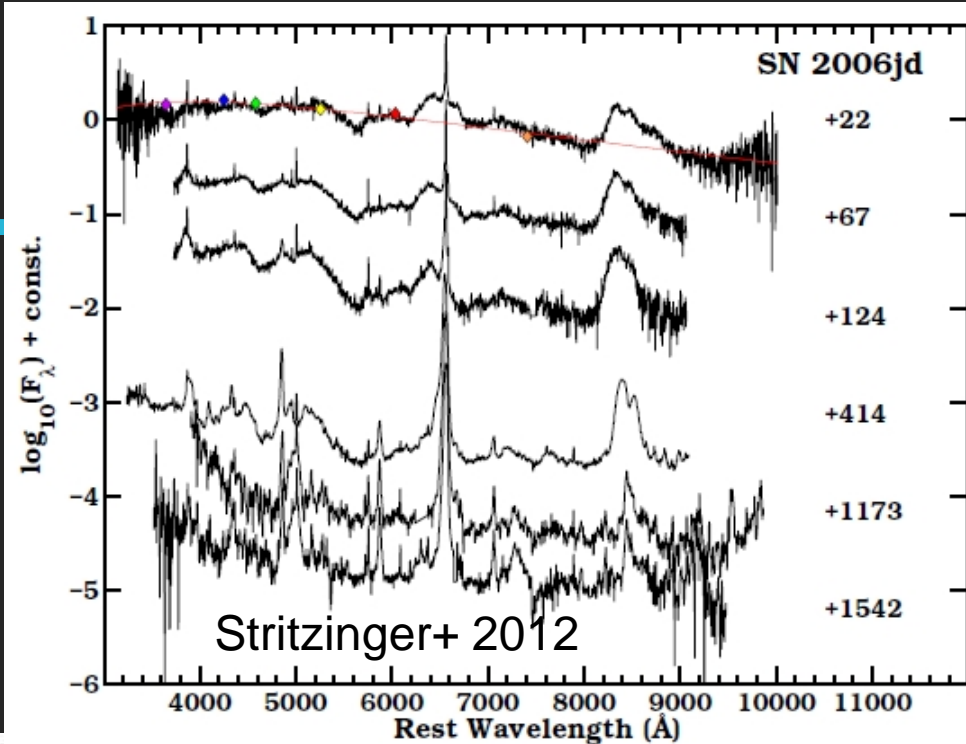
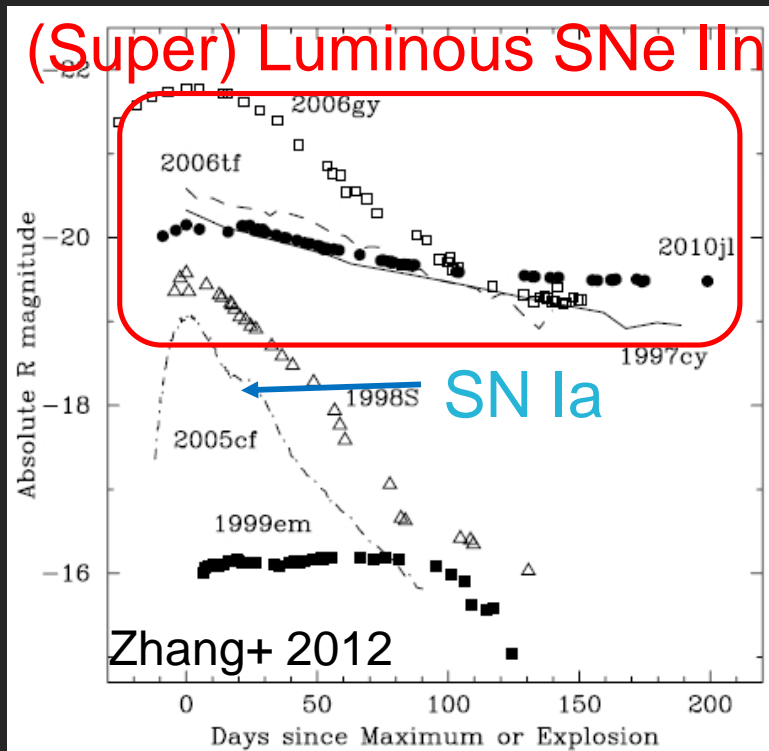
Core-Collapse (CC) of a massive star



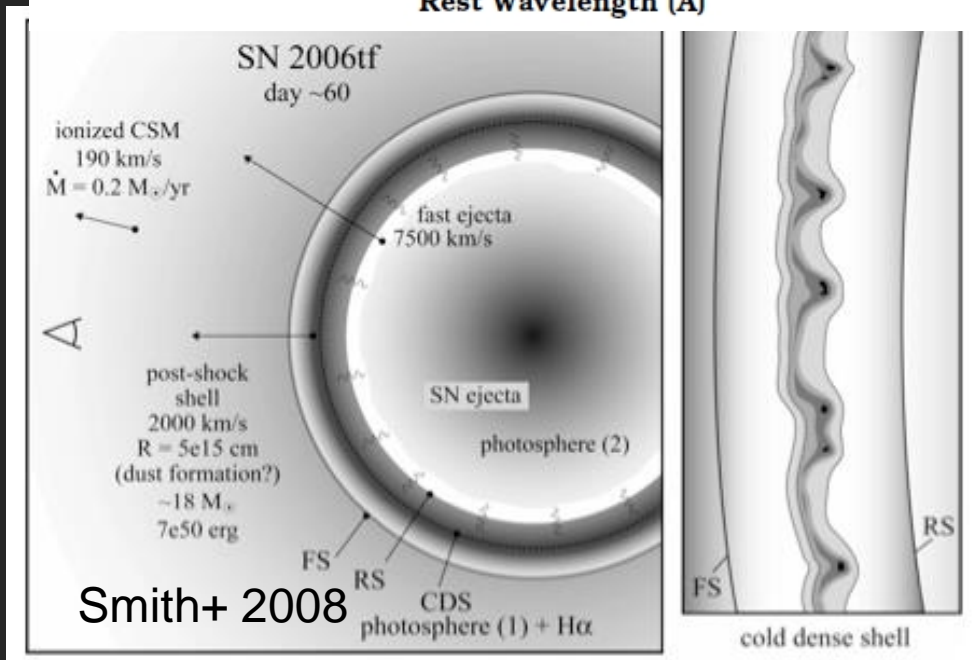
@ maximum brightness (~ a few weeks):

– Expanding optically thick medium → P-Cygni.

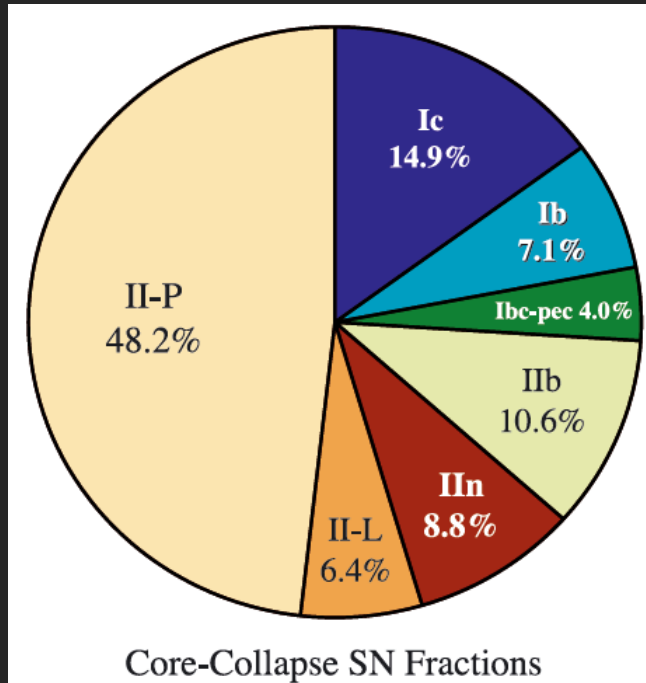
# Type II In SNe



Bright & Long-lasting  
 (sometimes  $E_{\text{rad}} > 10^{51}$  erg)  
 Emission lines  
 $\Rightarrow$  Interaction-powered  
 Dense CSM  $\leftarrow$  mass loss



# CCSN Populations



Nearby Volume-limited sample  
(Li+ 2011)

- Red Supergiant (RSG) → IIp, IIL
- He star (WR) → IIb, Ib
- C+O star (WR) → Ic
- ? w/ large mass loss → IIc (showing SN-CSM interaction)

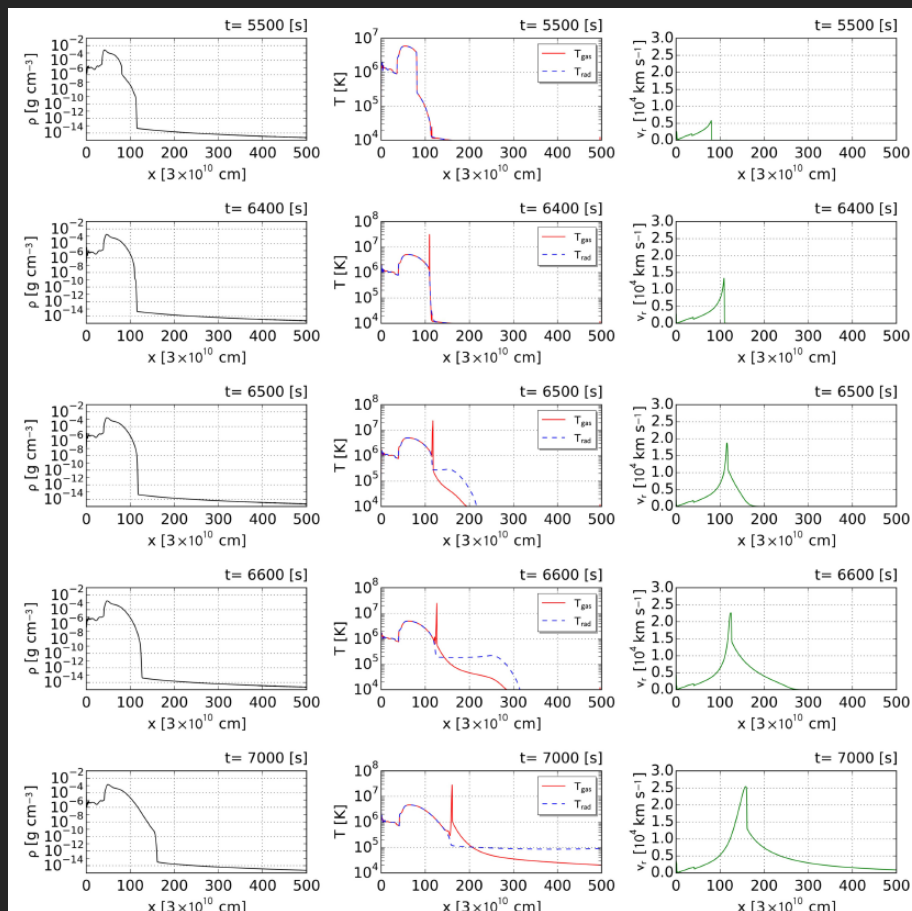
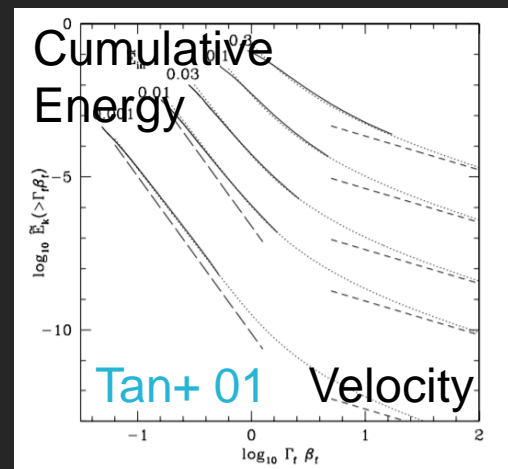
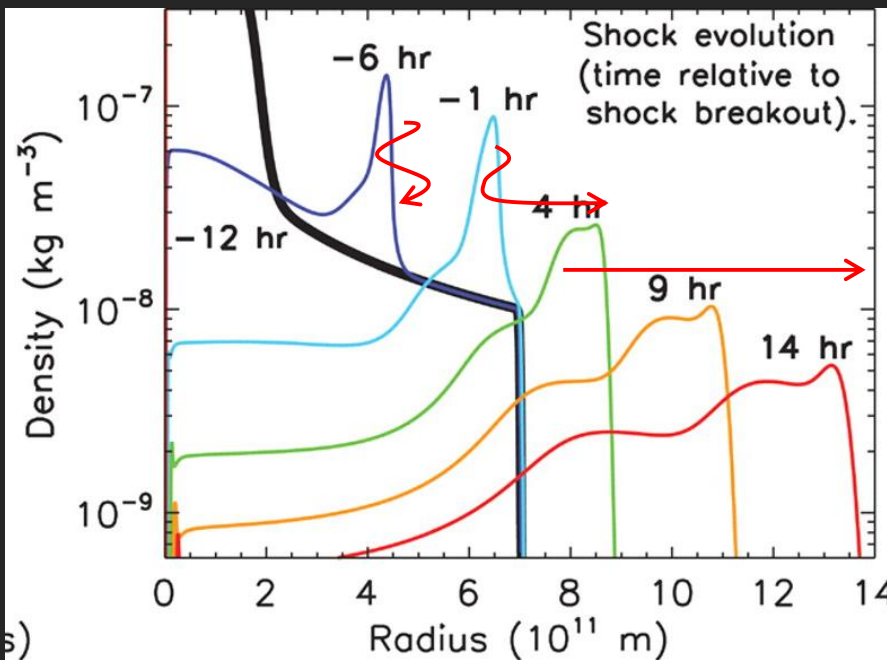
## Mass stripping sequence

Single star: Mass sequence

Binary: **Not** mass sequence

# CCSNe: Shock Breakout

Original idea:  
Falk & Arnett 1977  
Klein & Chevalier 1978



Suzuki, KM, Shigeyama 16

A probe to the progenitor.

# Shock Breakout

Semi-Analytic (adiabatic): Matzner+1999

$$T_{se} = 5.55 \times 10^5 \left( \frac{\kappa}{0.34 \text{ cm}^2 \text{ g}^{-1}} \right)^{-0.10} \left( \frac{\rho_1}{\rho_*} \right)^{0.070} \times \left( \frac{E_{in}}{10^{51} \text{ ergs}} \right)^{0.20} \left( \frac{M_{ej}}{10 M_\odot} \right)^{-0.052} \times \left( \frac{R_*}{500 R_\odot} \right)^{-0.54} \text{ K} \quad \left( n = \frac{3}{2} \right),$$

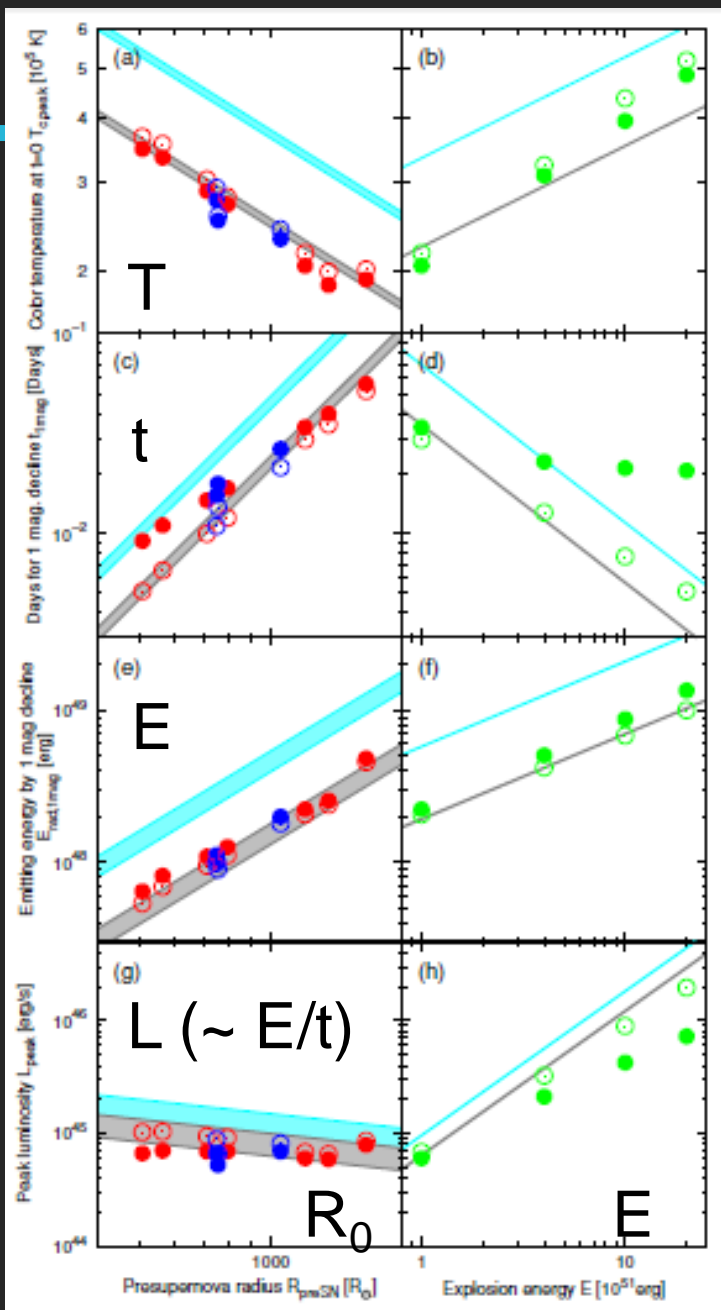
$$E_{se} = 1.7 \times 10^{48} \left( \frac{\kappa}{0.34 \text{ cm}^2 \text{ g}^{-1}} \right)^{-0.87} \left( \frac{\rho_1}{\rho_*} \right)^{-0.086} \times \left( \frac{E_{in}}{10^{51} \text{ ergs}} \right)^{0.56} \left( \frac{M_{ej}}{10 M_\odot} \right)^{-0.44} \times \left( \frac{R_*}{500 R_\odot} \right)^{1.74} \text{ ergs} \quad \left( n = \frac{3}{2} \right),$$

$$t_{se} = 790 \left( \frac{\kappa}{0.34 \text{ cm}^2 \text{ g}^{-1}} \right)^{-0.58} \left( \frac{\rho_1}{\rho_*} \right)^{-0.28} \times \left( \frac{E_{in}}{10^{51} \text{ ergs}} \right)^{-0.79} \left( \frac{M_{ej}}{10 M_\odot} \right)^{0.21} \times \left( \frac{R_*}{500 R_\odot} \right)^{2.16} \text{ s} \quad \left( n = \frac{3}{2} \right),$$

UV for RSG  
X for WR  
(faint in optical)

~ 10<sup>48</sup> erg

+ light travel time  
~ hrs for RSG  
~ a few sec for WR



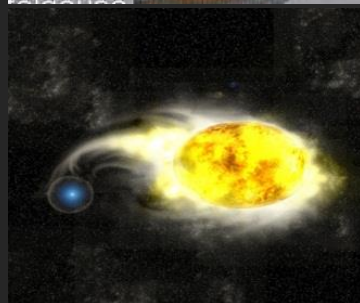
# Shock Breakout – Progenitor - CSM

Breakout

Progenitor



RSG



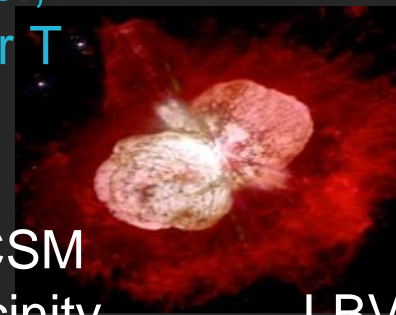
YSG



BSG

WR

Longer,  
Lower T



Dense CSM  
in the vicinity

LBV (?)



GRB outliers? (Suzuki-san)



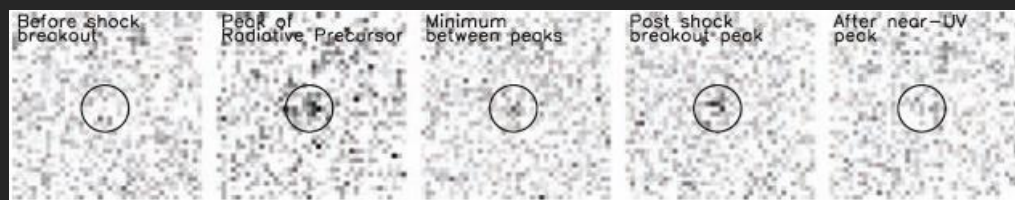
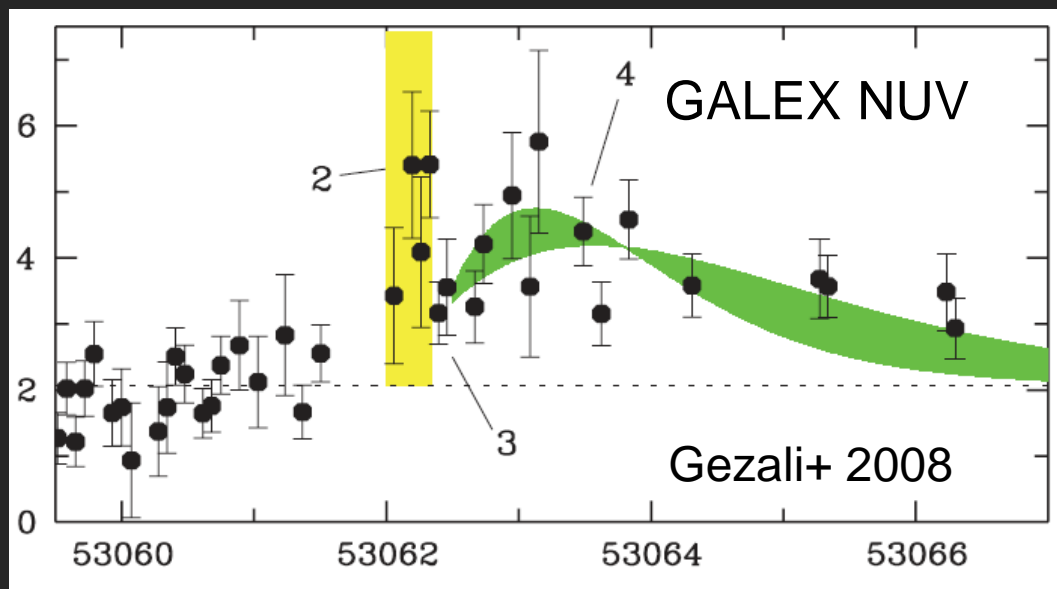
Shorter,  
higher T



Decreasing  
radius



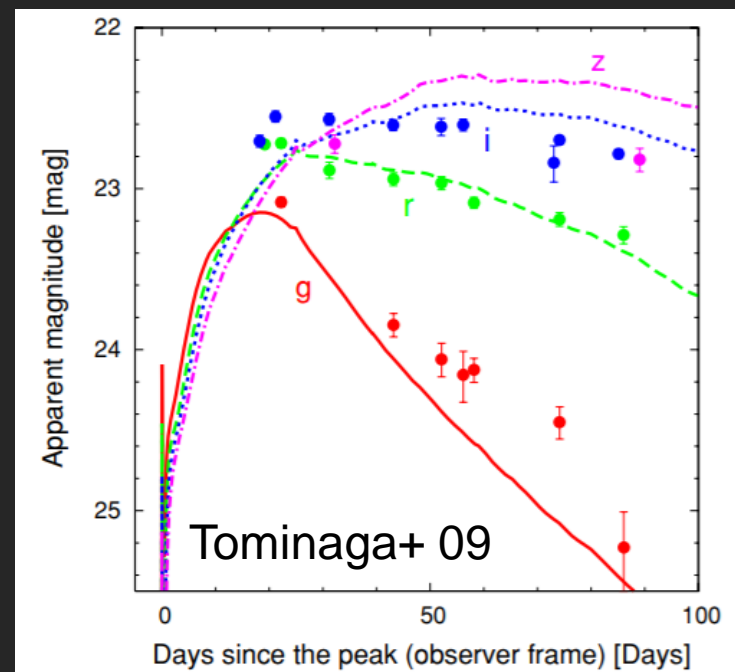
# Shock Breakout: robust candidate @ UV



**SN IIP SNLS-04D2dc**

UV, ~ 6 hrs  $\Rightarrow$  RSG.

Consistent with the “classical” picture.



Model params:

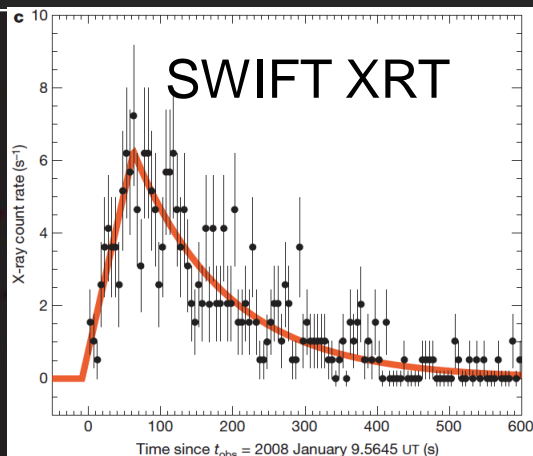
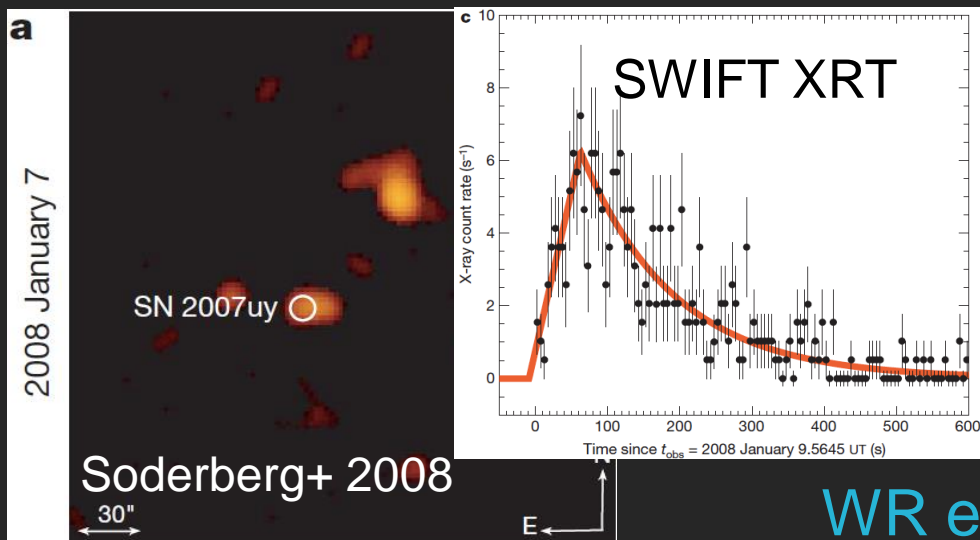
$$M_{ms} = 20M_{\odot}$$

$$M_{presn} = 18.4M_{\odot}$$

$$M_{henv} = 13.4M_{\odot}$$

$$R = 800R_{\odot}$$

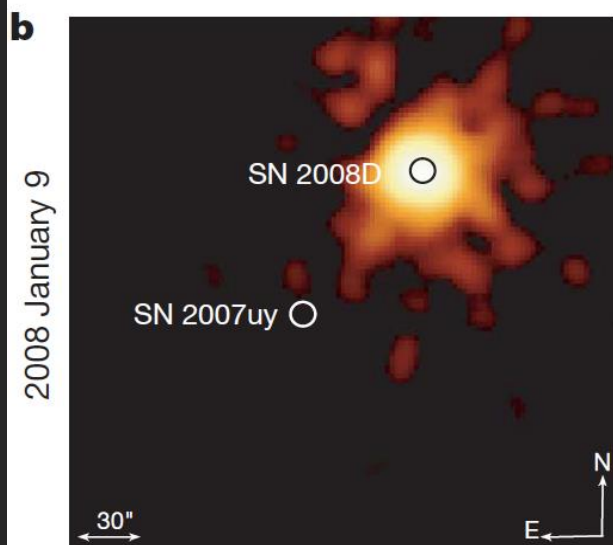
# Shock Breakout: robust candidate @ X



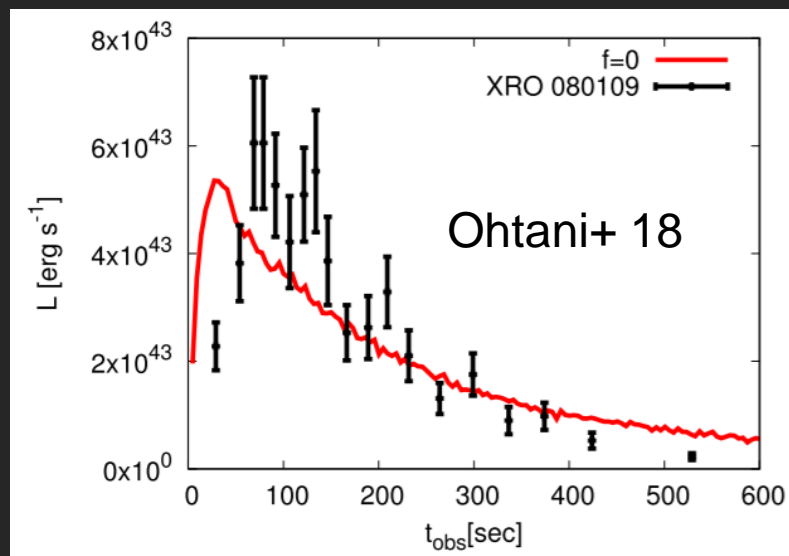
**SN Ib 2008D**

X, ~ a few 100 sec

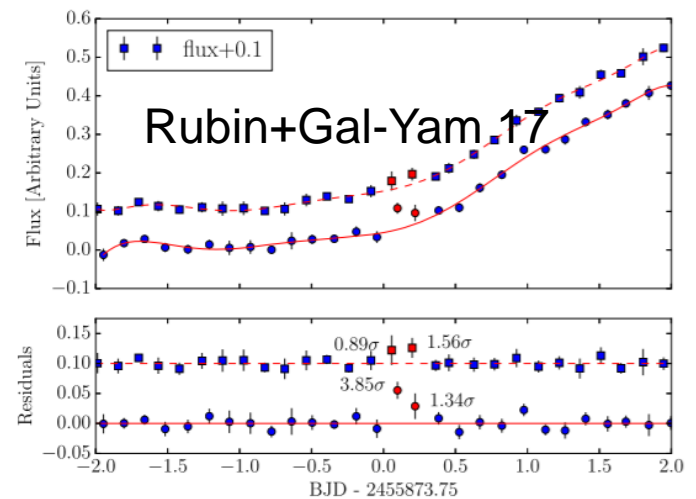
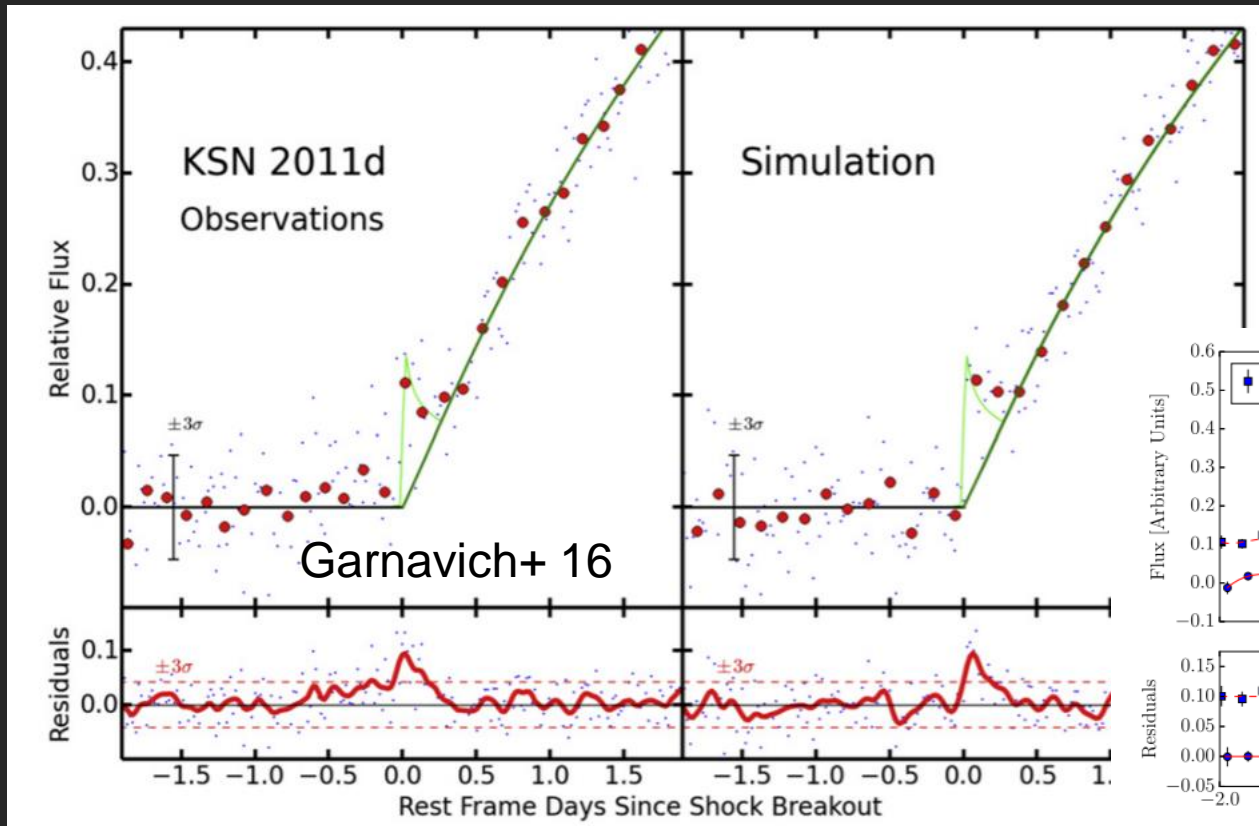
⇒ compact, but >> WR?



WR explosion within a dense CSM

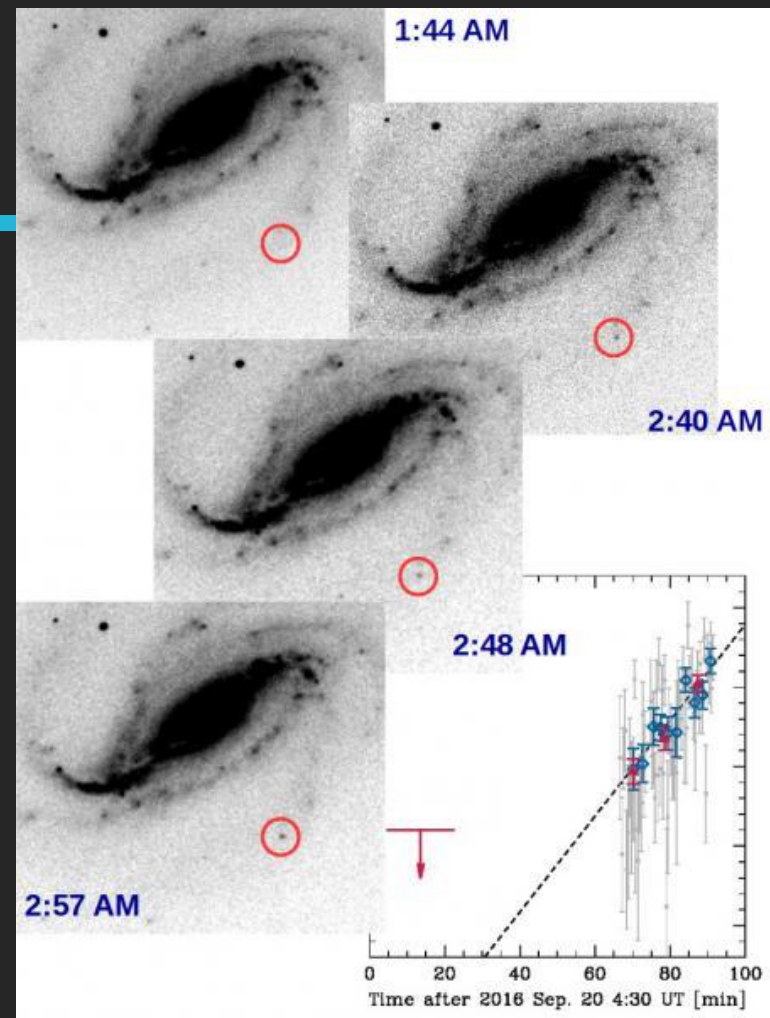
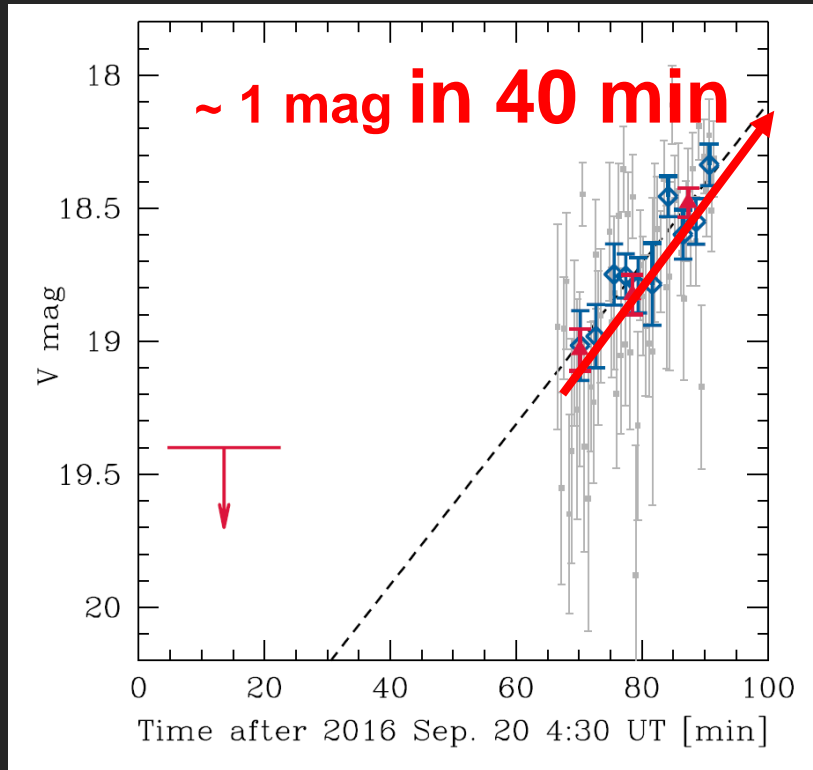


# Shock breakout: candidate @ optical?



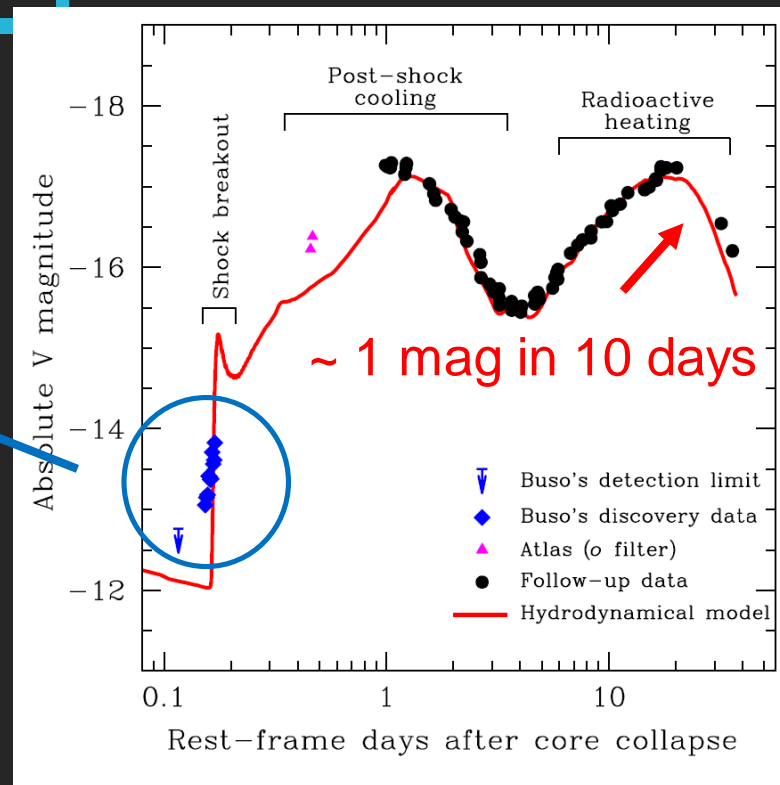
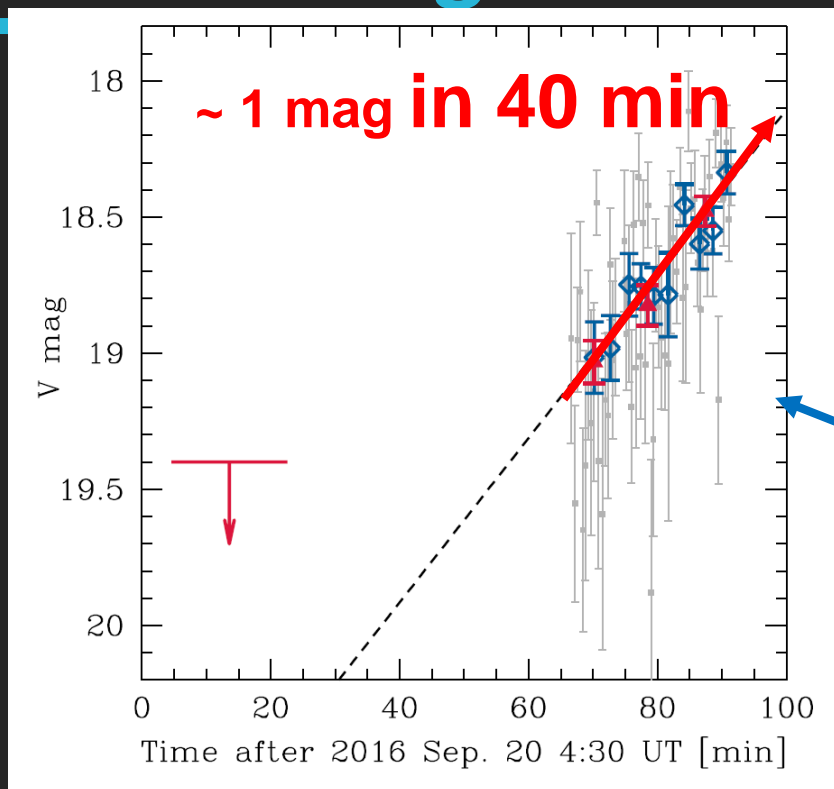
High-cadence light curve by the Kepler telescope:  
Indication of a “spike”: Garnavich+ 16  
But poison noise? : Rubin+ Gal-Yam 17

# Robust candidate in optical: SN IIb 2016gkg



Discovery of an armature astronomer by a luck.  
An extremely fast rise: ~ 1 mag in 40 min  
( $\Leftrightarrow$  ~ 1 mag in 10 days in the main part of SNe)

# Confirming the basic picture of the SB



Estimated progenitor:

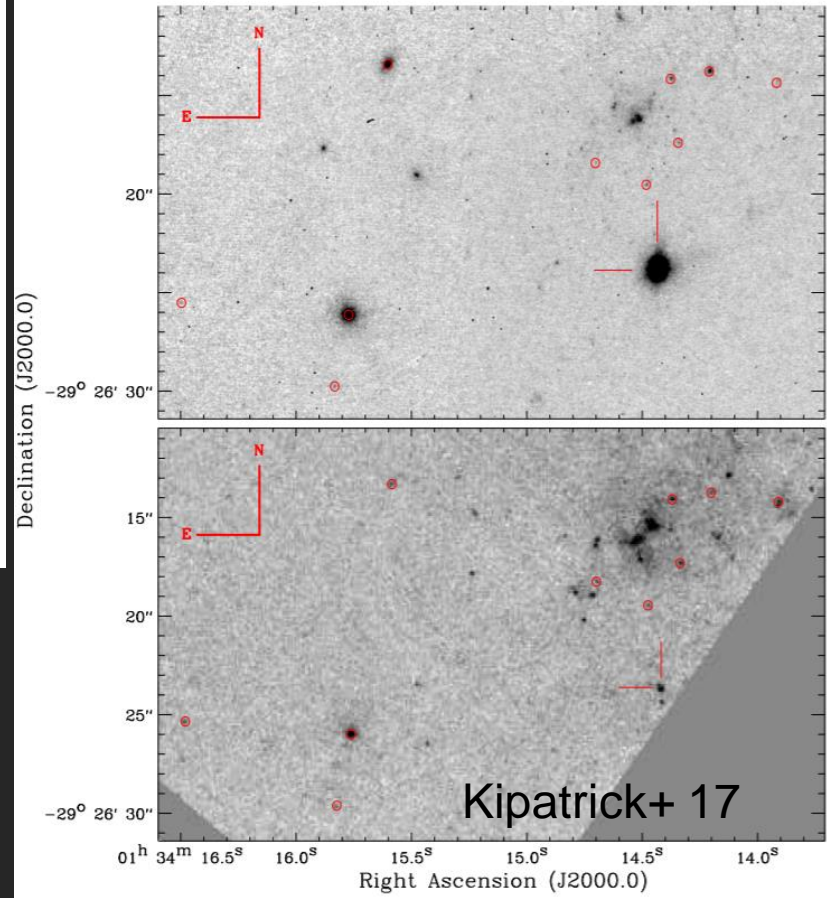
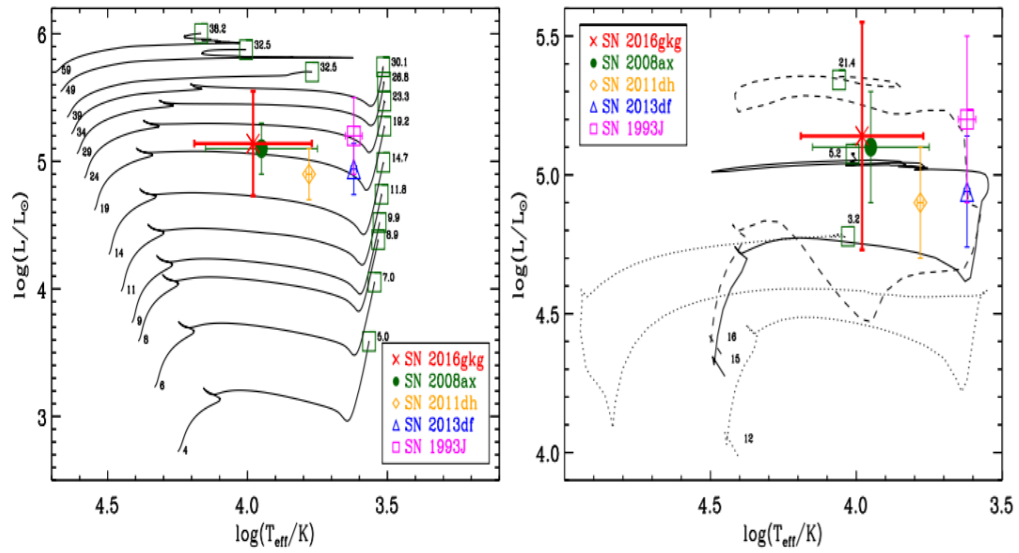
He core  $\sim 5 M_{\odot}$ , H env.  $\sim 0.1 M_{\odot}$ ,  $R \sim 300 R_{\odot}$ ,  $M_{\text{ms}} \sim 20 M_{\odot}$

Consistent with the detected progenitor candidate.

**Confirming the basic mechanism of the SB.**

(but could be some CSM:  $\sim 6 \times 10^{-4} M_{\odot}/\text{yr}$  in the final hrs?)

# Progenitor (candidate) of SN 2016gkg

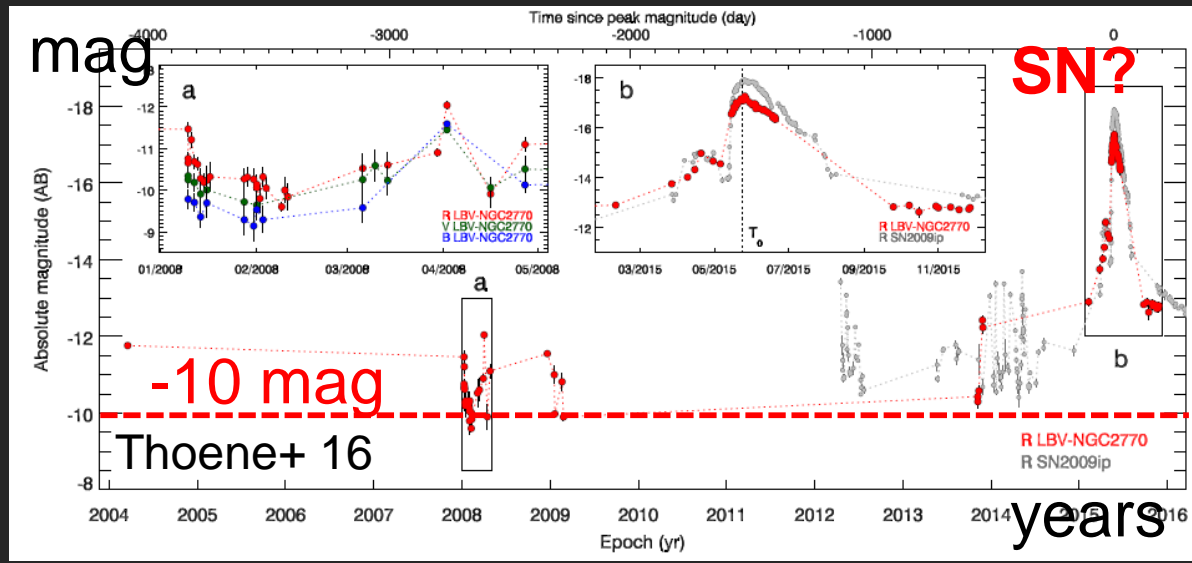
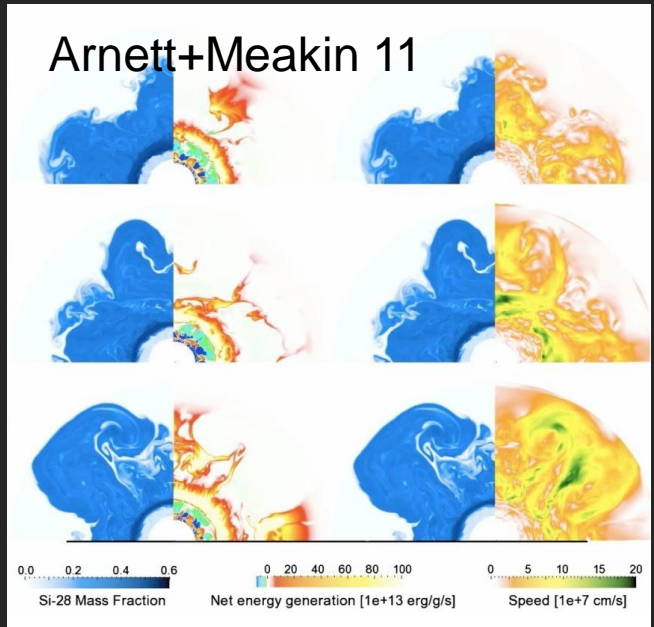


Progenitor radius  $\sim 250R_{\odot}$   
 “Yellow Supergiant” as commonly  
 found for SNe IIb ( $\rightarrow$ later)

$\Leftrightarrow$  He core  $\sim 5 M_{\odot}$ , H env.  $\sim 0.1M_{\odot}$ ,  $R \sim 300R_{\odot}$ ,  $M_{\text{ms}} \sim 20M_{\odot}$   
 Consistent with the detected progenitor candidate.

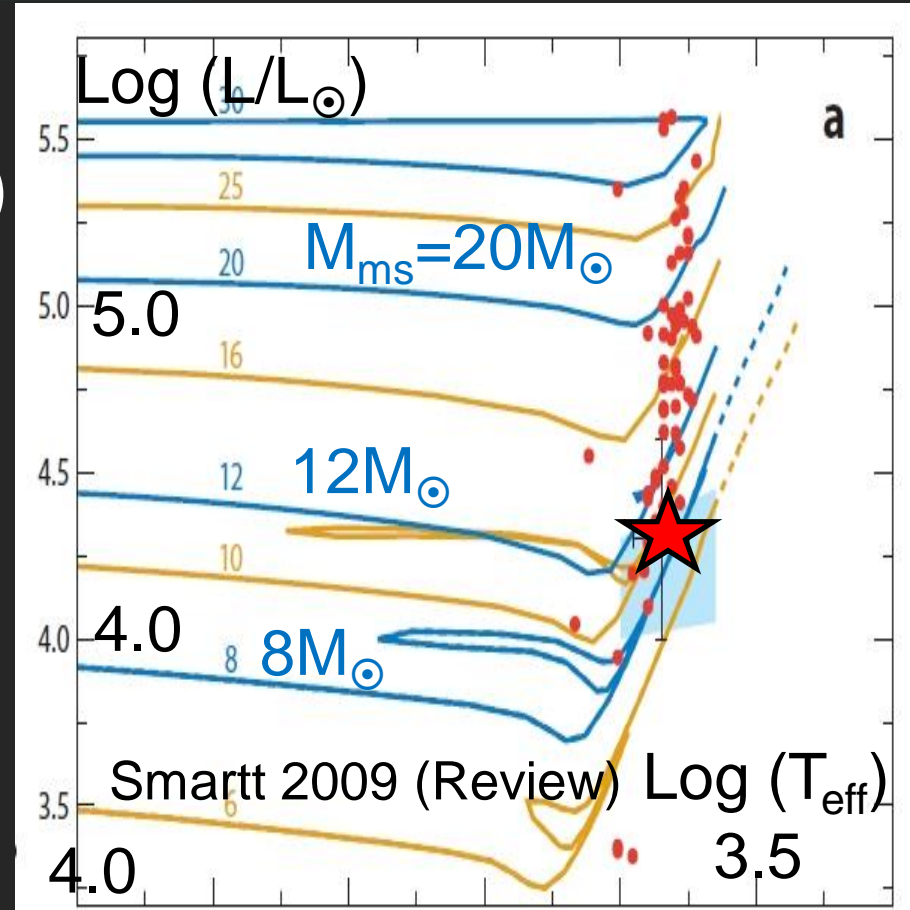
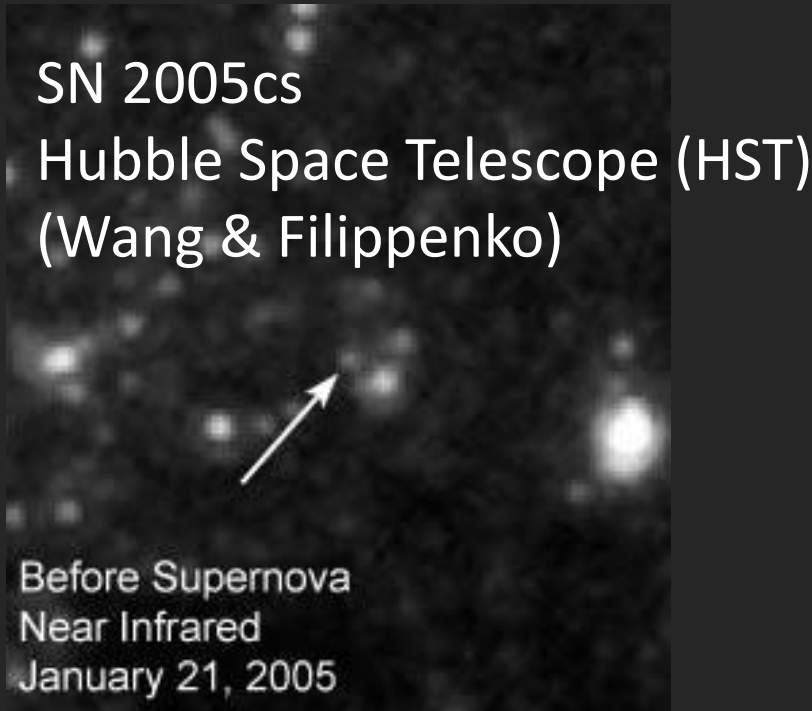
# Unresolved problems for Core Collapse SNe (CC SNe)

- Explosion mechanism.
- Final evolution of massive stars (single & binary).
  - Progenitor at the time of the explosion.
  - Mass loss in the final decades.



Typically a few years before the SN

# SN IIp Progenitor search in **past** images



## Progenitor Detection

< ~ 30 Mpc with HST (Hubble).

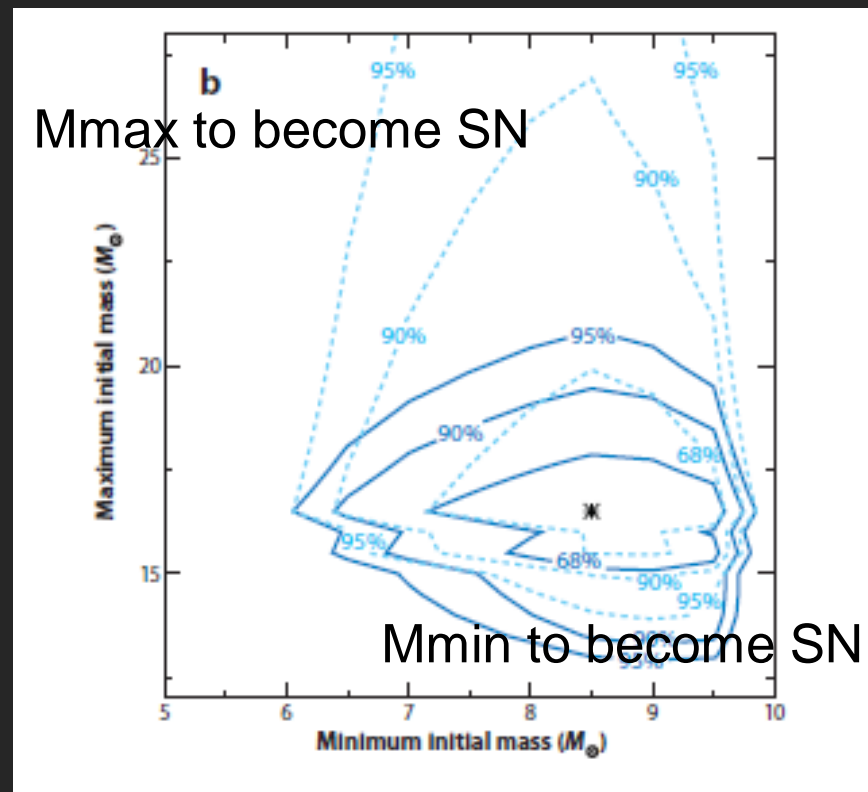
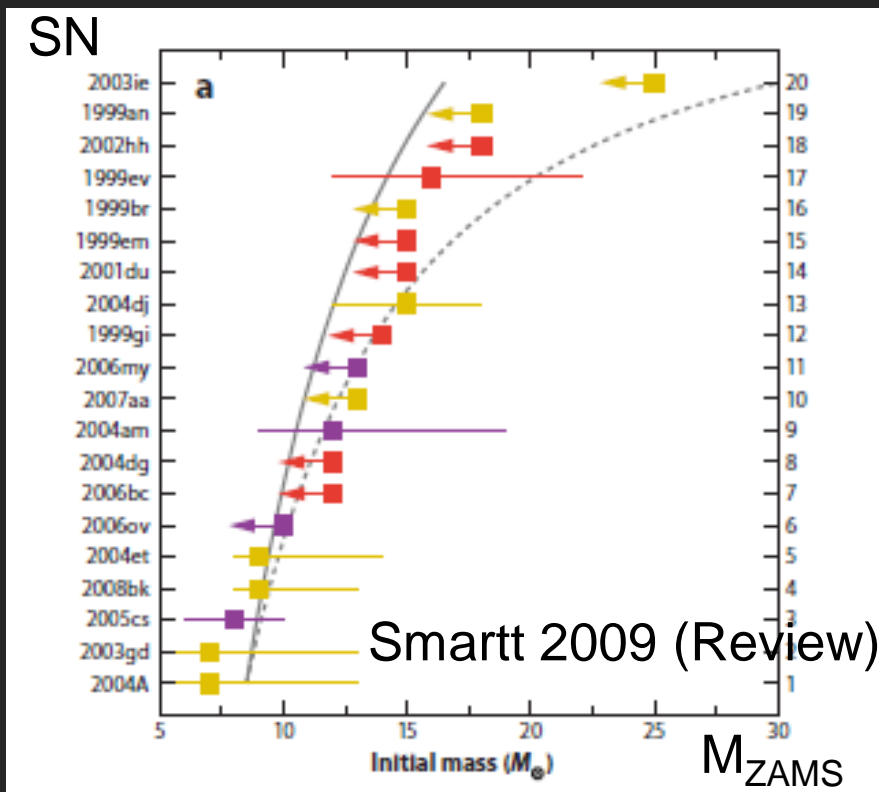
Good for SNe IIp (Giant, bright in optical).

Bad for SNe Ib/Ic (Wolf-Rayet, bright in UV, not in opt.).

The best cases = The progenitor “candidates” gone after the SN.



# SN IIp Progenitors: Mass range



Assuming Salpeter IMF,

$$M_{\min} \sim 8.5M_{\odot} (\pm 1.5), M_{\max} \sim 16.5M_{\odot} (\pm 1.5)$$

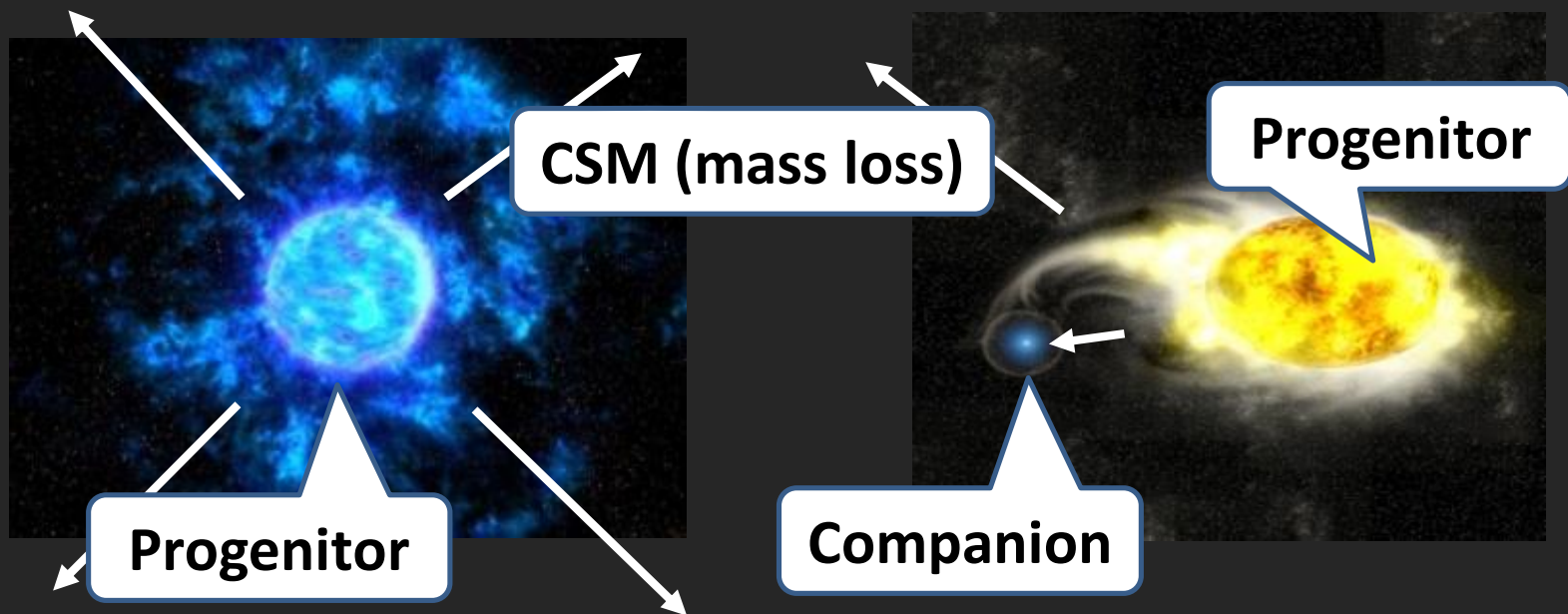
→ largely consistent w/ stellar evolution theory, but

**RSG problem (There are Galactic RSGs w/  $> M_{\max}$ )**

# Stripped Envelope SN (SNe IIb/Ib/Ic)

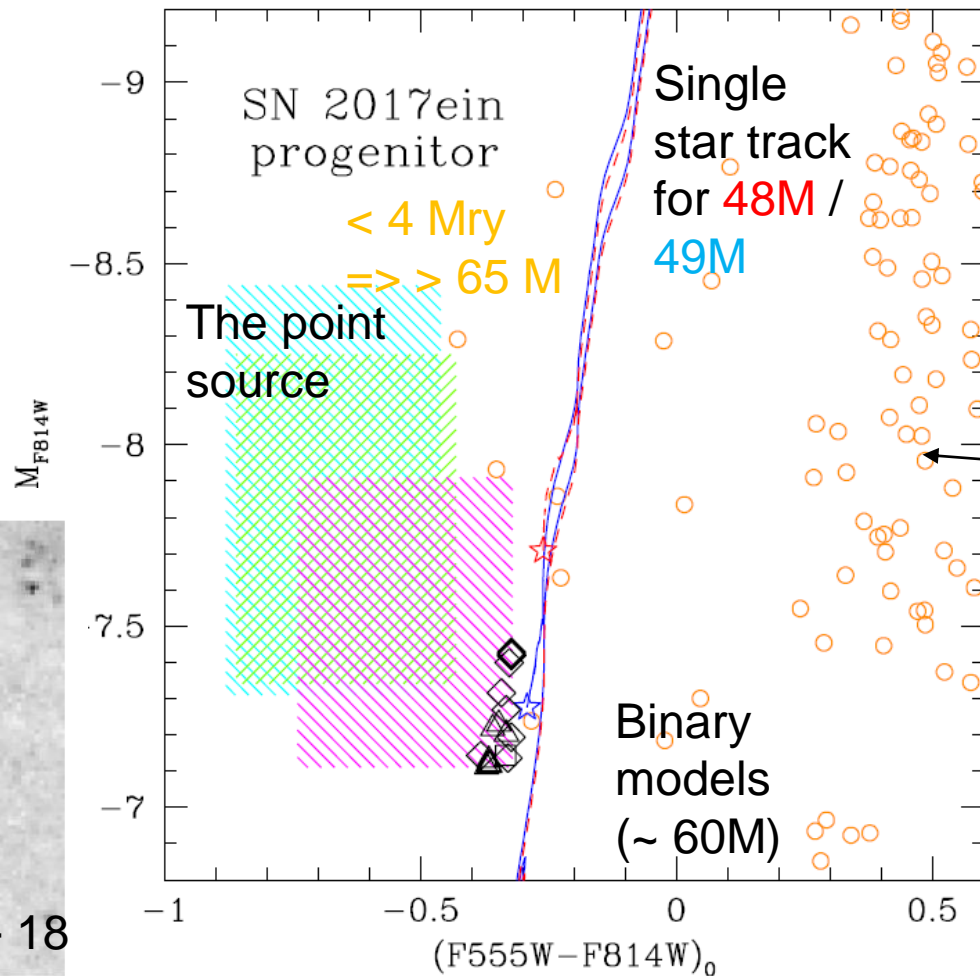
- Stripped Envelope-SNe:
  - An explosion of a massive, bare He (or CO) star.
  - How to get rid of the H envelope.

## Single or Binary?

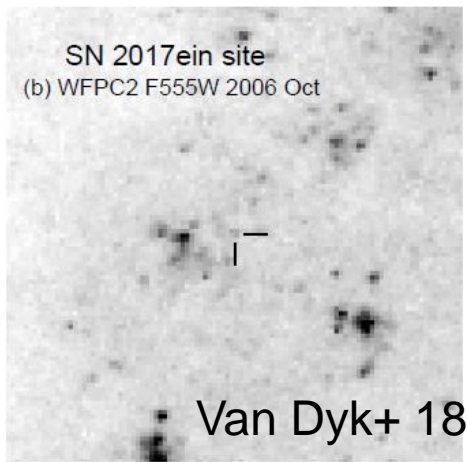


# A (first) candidate progenitor of SN Ic

$M_{\text{ms}} \sim 47\text{-}80M_{\odot}$ ? ( $\Leftrightarrow$  a tension to a sample of SN Ic properties)



Stellar clusters in a similar galaxy M74



# Real progenitor?

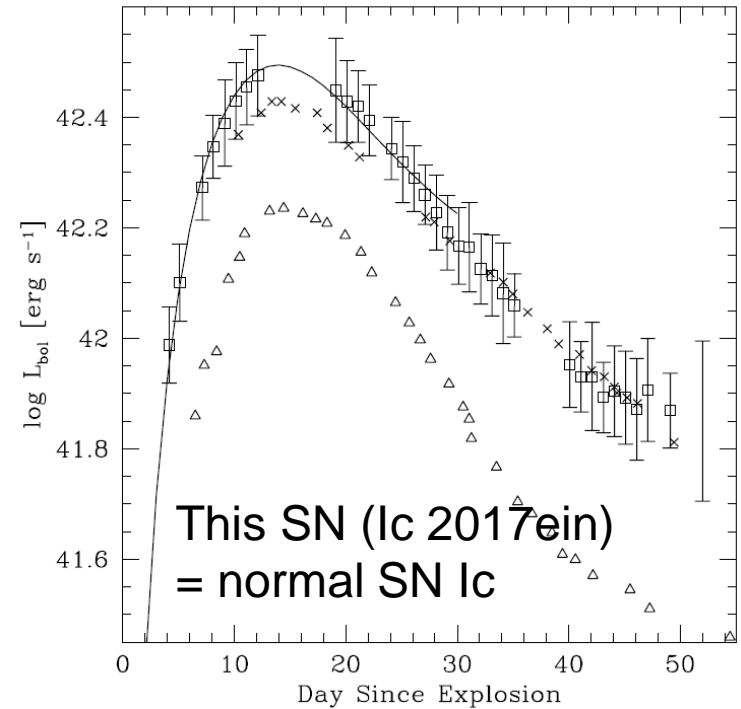
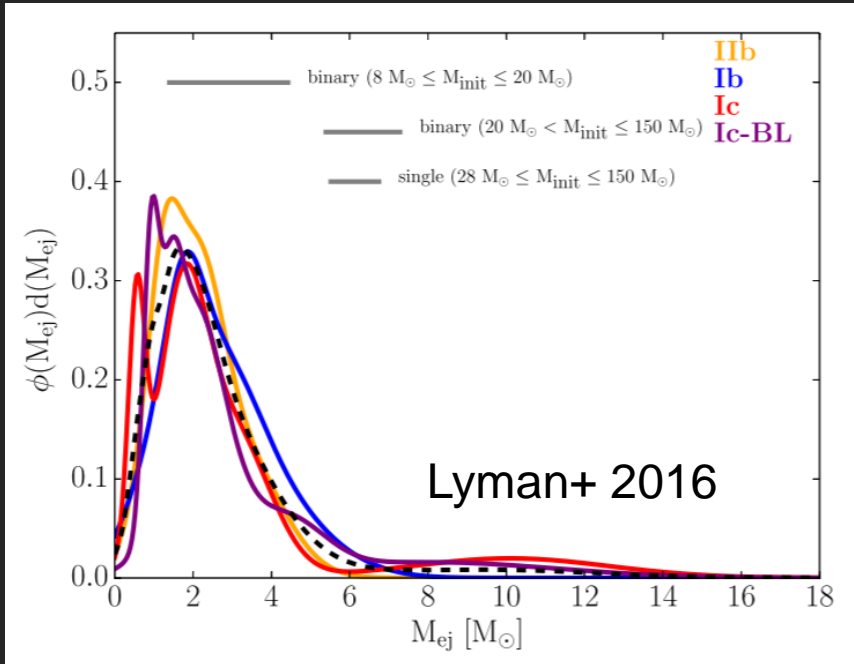


Figure 7. Quasi-bolometric light curve of SN 2017ein (open squares), assuming the bolometric corrections for SESNe from Lyman et al. (2014). The uncertainties shown with each data point arise primarily from the photometric measurements and from the uncertainties in the bolometric corrections. An error bar is also given, representing the additional uncertainty in both the reddening and the distance. For comparison we show the bolometric light curves for SN 2007gr (Hunter et al. 2009; Chen et al. 2014; open triangles) and SN 2004aw (Taubenberger et al. 2006; crosses). Additionally, we display the mean best-fit Arnett (1982) semi-analytical model (solid curve), powered by radioactive decay of  $^{56}\text{Ni}$  and  $^{56}\text{Co}$ .

“Optically-found” SNe Ib/c seem to have the ejecta of  $< 4M_{\odot}$ .

If  $M_{NS} \sim 1.4M_{\odot}$ ,  $M_{CO} < 5-6M_{\odot}$  ( $M_{ms} < \sim 25M_{\odot}$ ).

... But similar properties w/ other SNe. More likely a less massive progenitor? (cluster member and not the youngest in it; this can happen without the disappearance confirmation...)

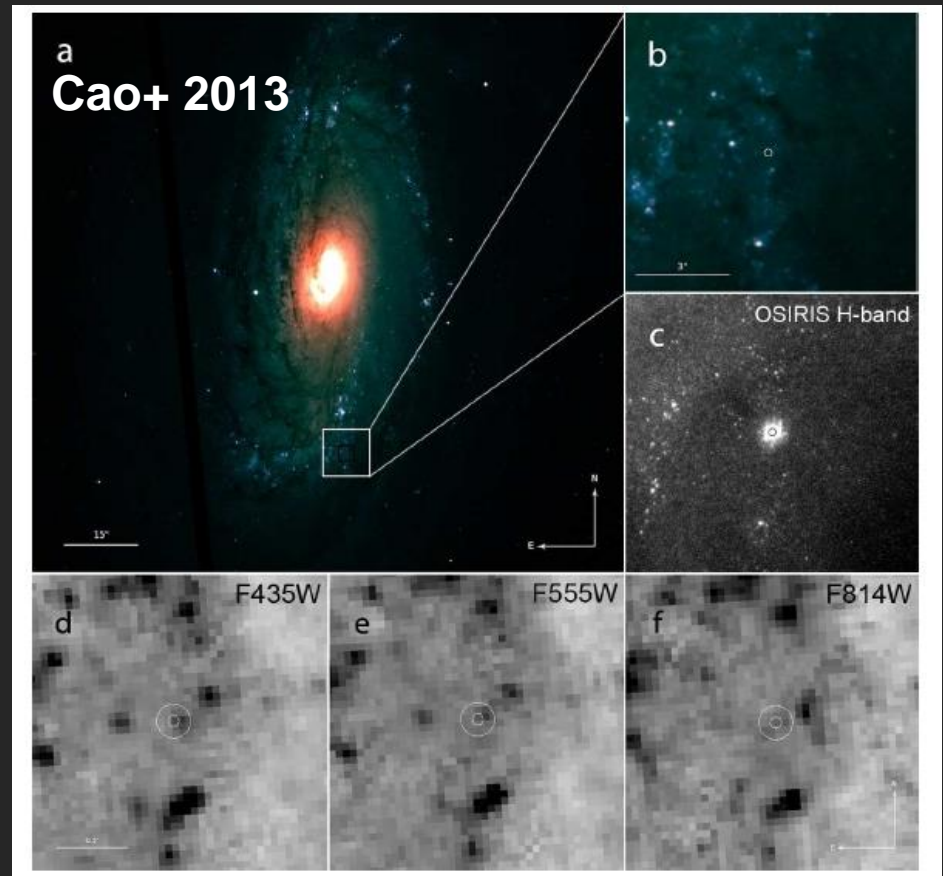
# A candidate progenitor of SN Ib

Direct detection difficult (expected progenitor too blue).  
The first detection of a candidate in 2013: iPTF13bvn

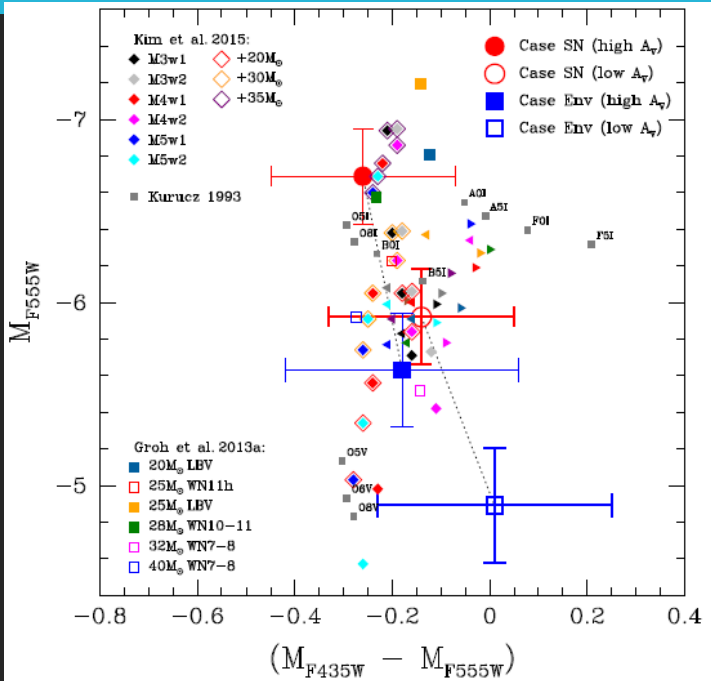
Massive Wolf-Rayet?  
( $M_{\text{ms}} > 20M_{\odot}$ ) (Cao+ 13)

SN emission indicates a  
compact progenitor, but  
less massive  
(e.g., Bersten+14, Kuncarayakti+ 14).

Controversy?



# SN Ib iPTF13bvn



HST observation at ~2 yrs.

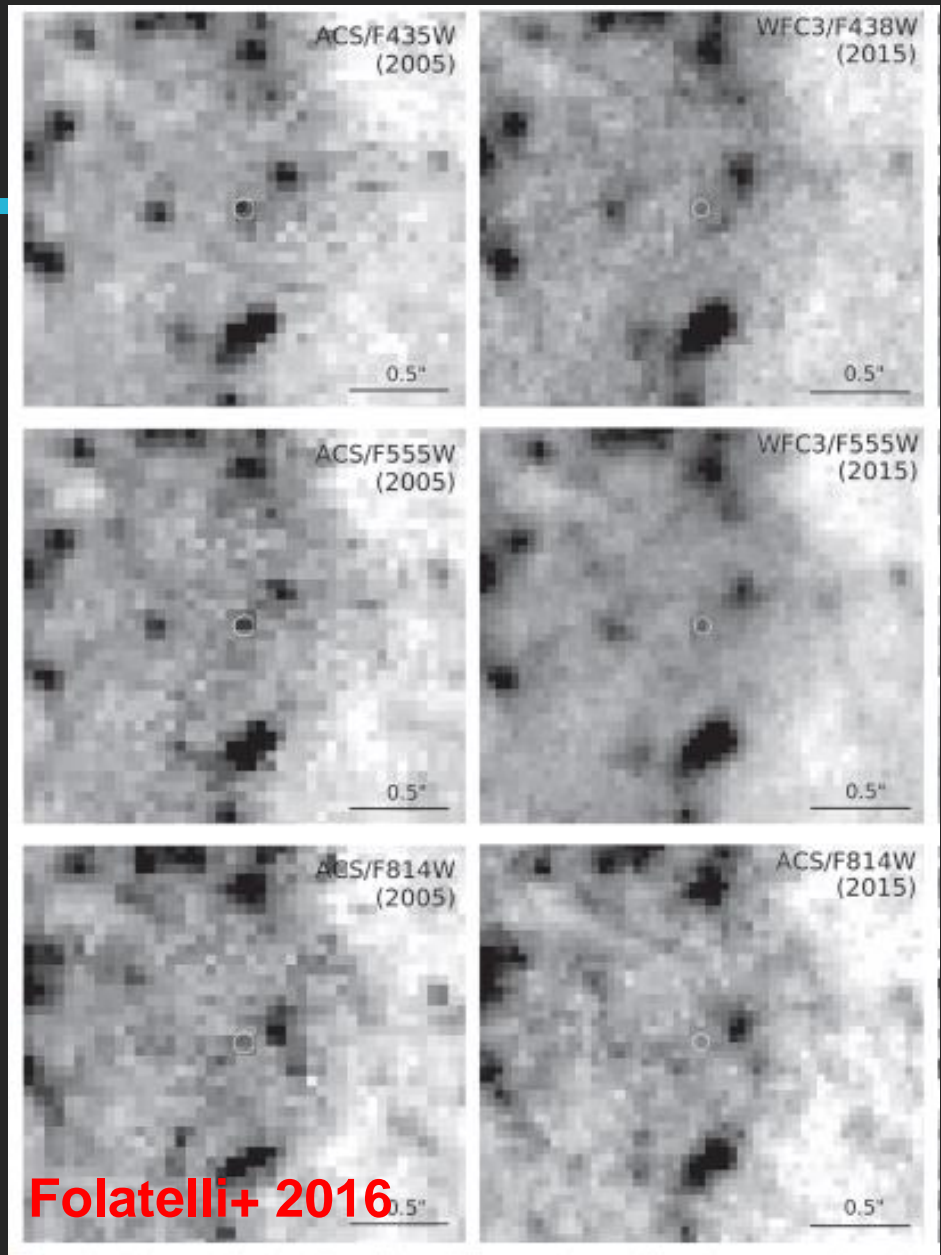
Progenitor gone.

Revised phot. → less massive.

(Folatelli+ 16; Eldridge+Maund 16).

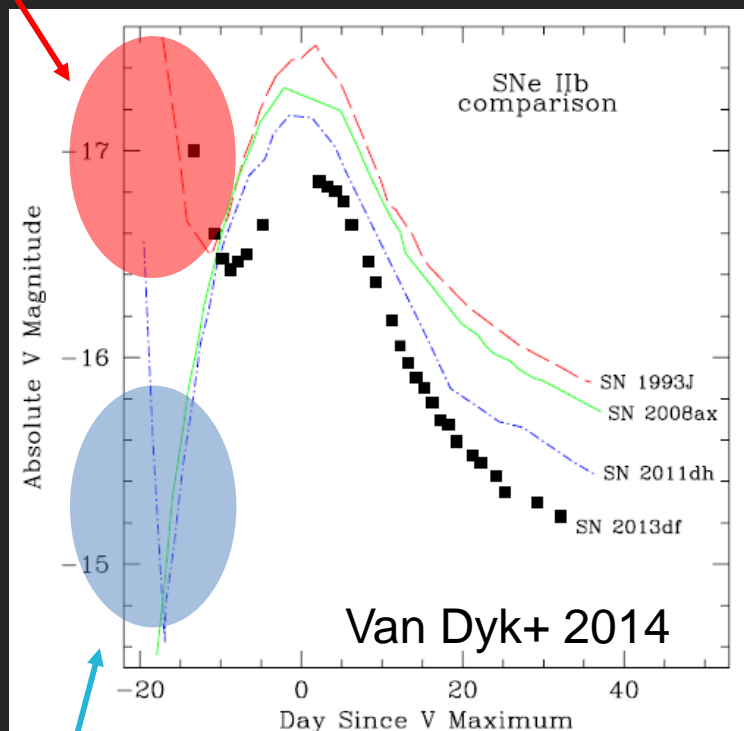
**Consistent w/ binary**, but

UV limit for a companion (< 20M<sub>⊙</sub>) [again in HST Cycle 25; Van Dyk+].

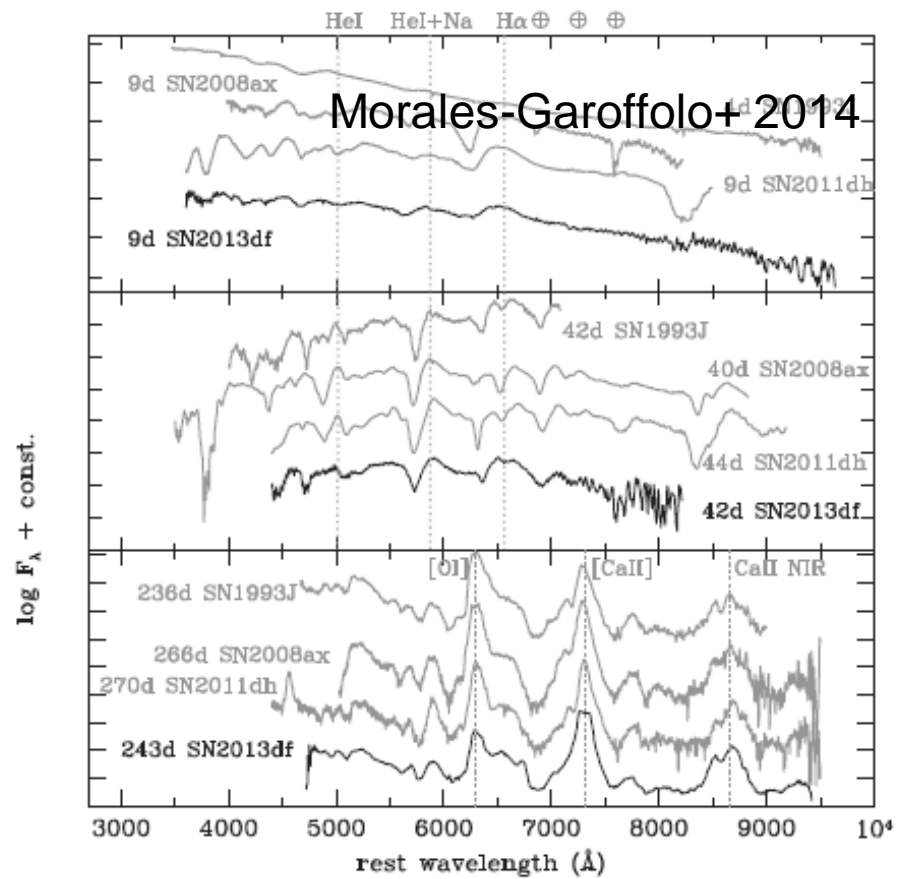


# SNe IIb: The best studied (interesting) cases

“Strong” cooling (extended H)  
1993J & 2013df



“weak/no” cooling (compact H)  
2008ex & 2011dh  
# 2016gkg in between.



Similar spectra & peak LC  
⇒ similar progenitor mass  
and energetics

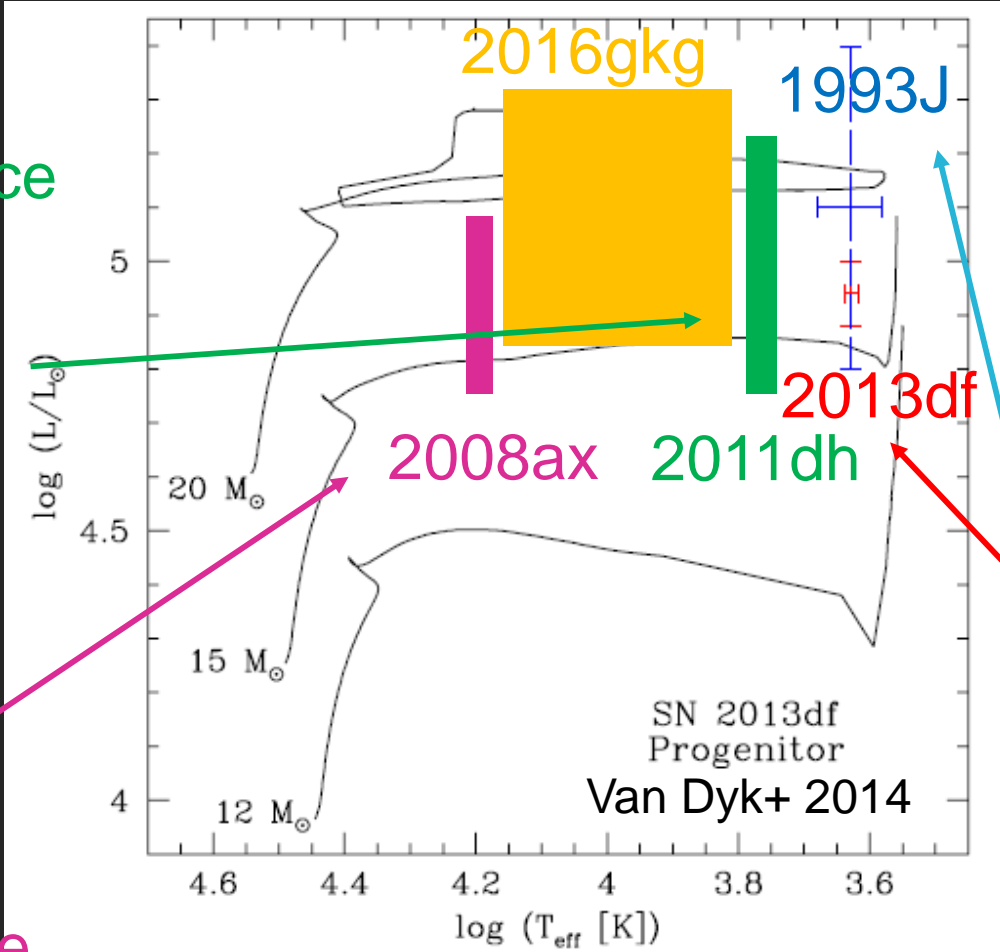
# Progenitor diversity (no RSG, no WR)

Disappearance  
+Companion

**YSG**  
~ 200R<sub>☉</sub>

**BSG**  
~ 50R<sub>☉</sub>

Disappearance  
BSG=Blue Supergiant  
YSG=Yellow Supergiant



Disappearance  
+Companion

Pre-SN only

**YSG**  
~ 600R<sub>☉</sub>

2016gkg: will go for the disappearance in HST cycle 25 (Folatelli+).



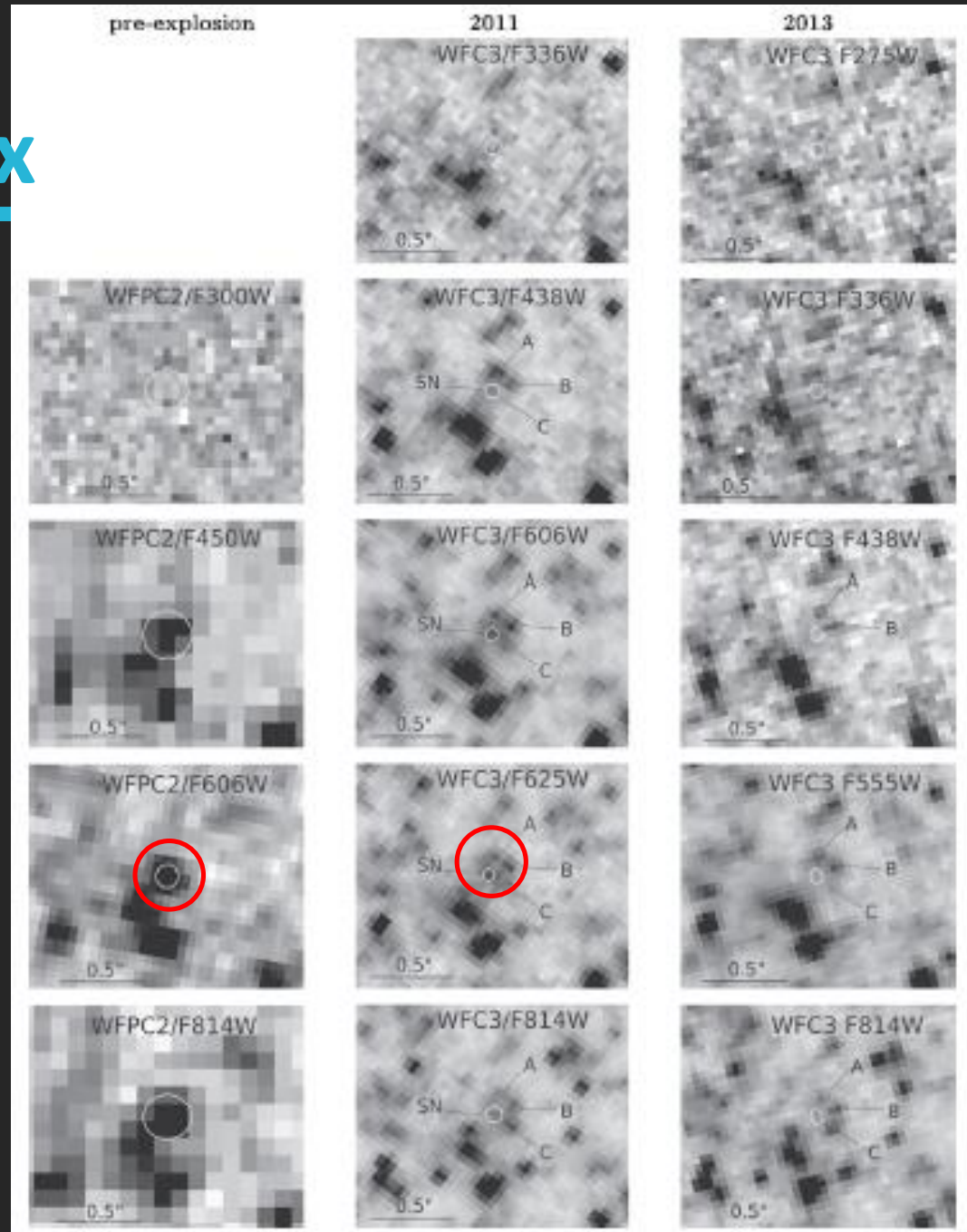
# BSG: SN IIb 2008ax

Pre-SN point source  
Crockett+ (2008)

Analyses of late-time HST images shows that it consists of multiple stars.  
Folatelli+ (2015)

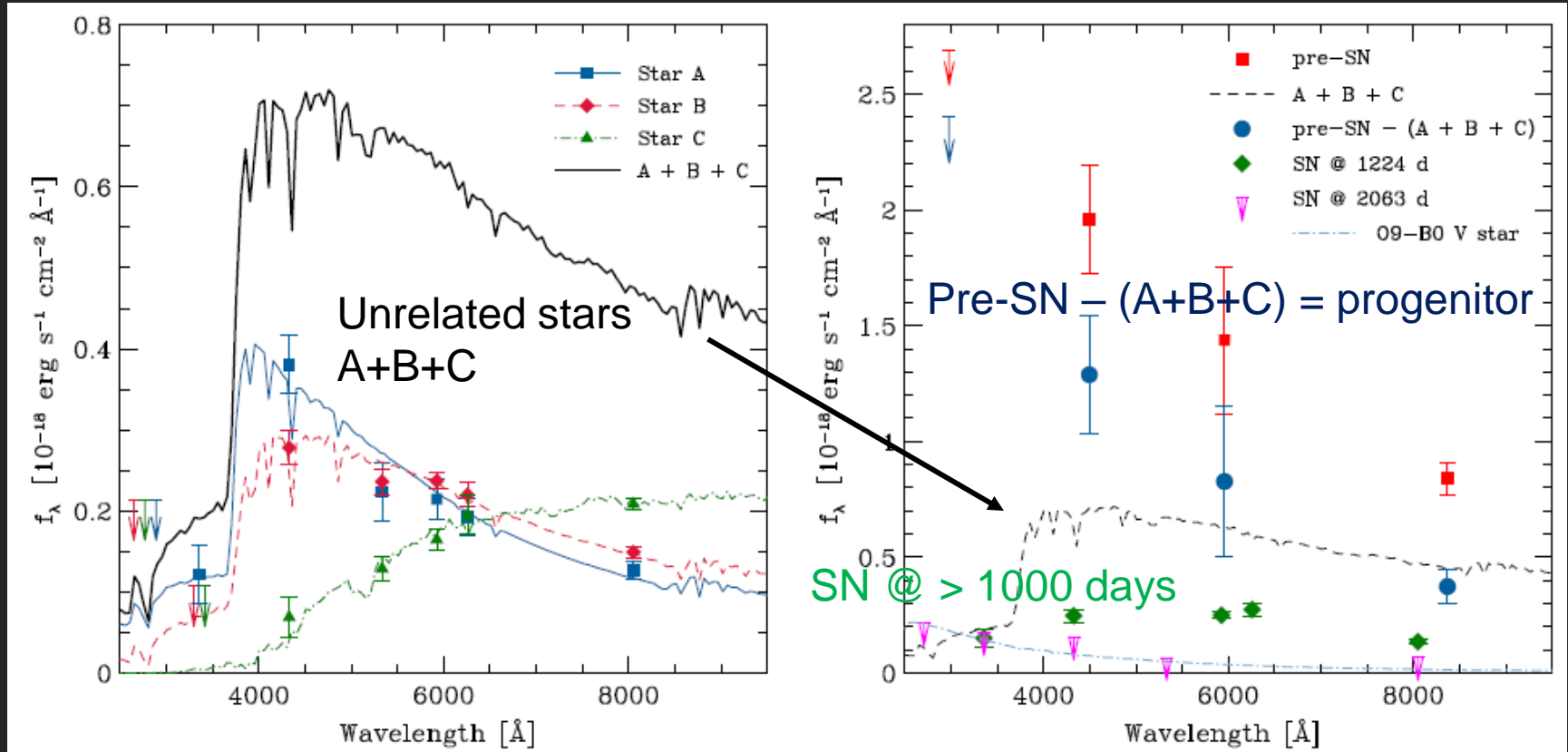
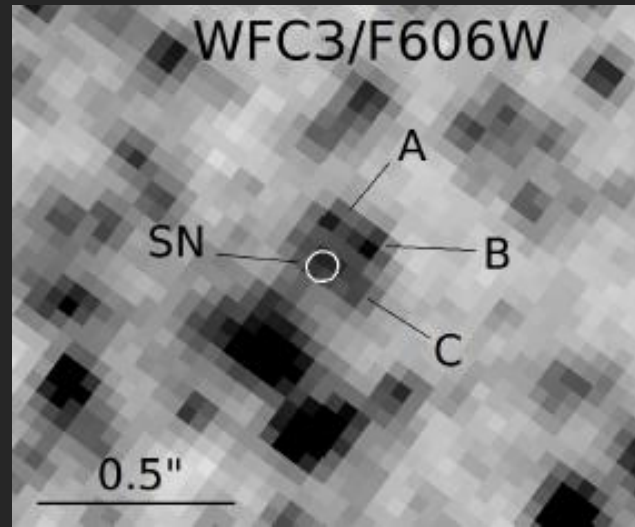
[Another need for post-SN deep imaging]

Now, the SN has faded.  
A fraction of light gone.  
⇒ Progenitor.

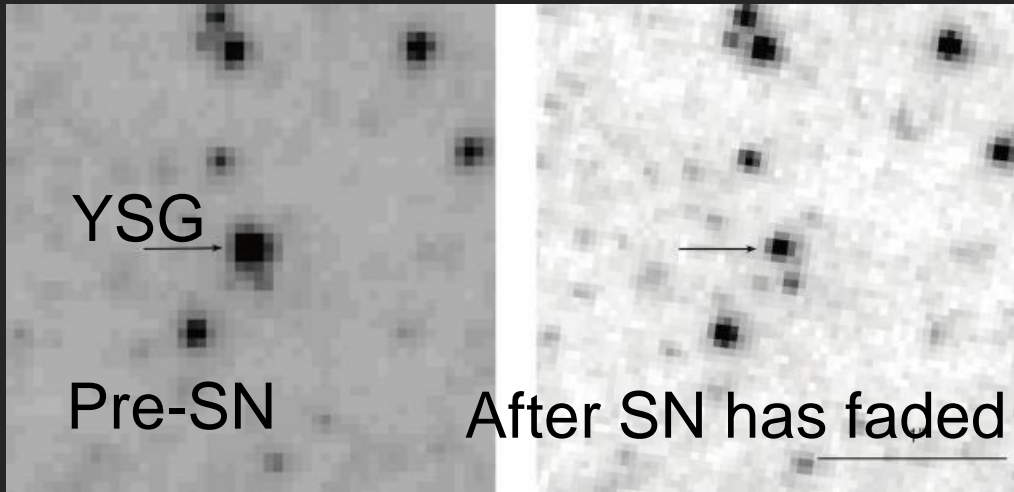


# BSG progenitor: SN 2008ax

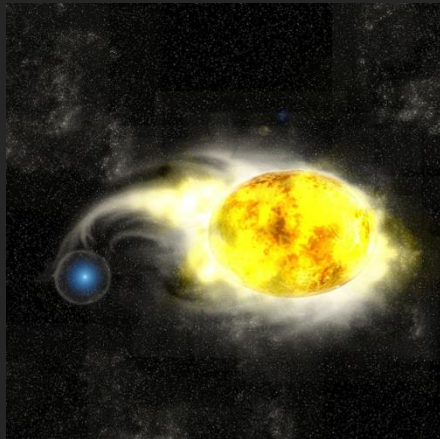
SN had faded below the  
 “progenitor” flux  
 ⇒ **Blue Supergiant progenitor.**  
 Folatteli+ 14



# The other three (four w/gkg) = YSGs



“Classical” YSG:  
Expanding *rapidly towards red supergiants* after leaving the main sequence, spending *only a few thousand years in that phase.*



Progenitor = YSG  
Van Dyk+ 2013

**Not considered as a “SN progenitor”, but one third of IIb progenitors!  
Indication: Binary?**

# Progenitor radius

days

“post-breakout cooling:  
Shock-deposited energy”

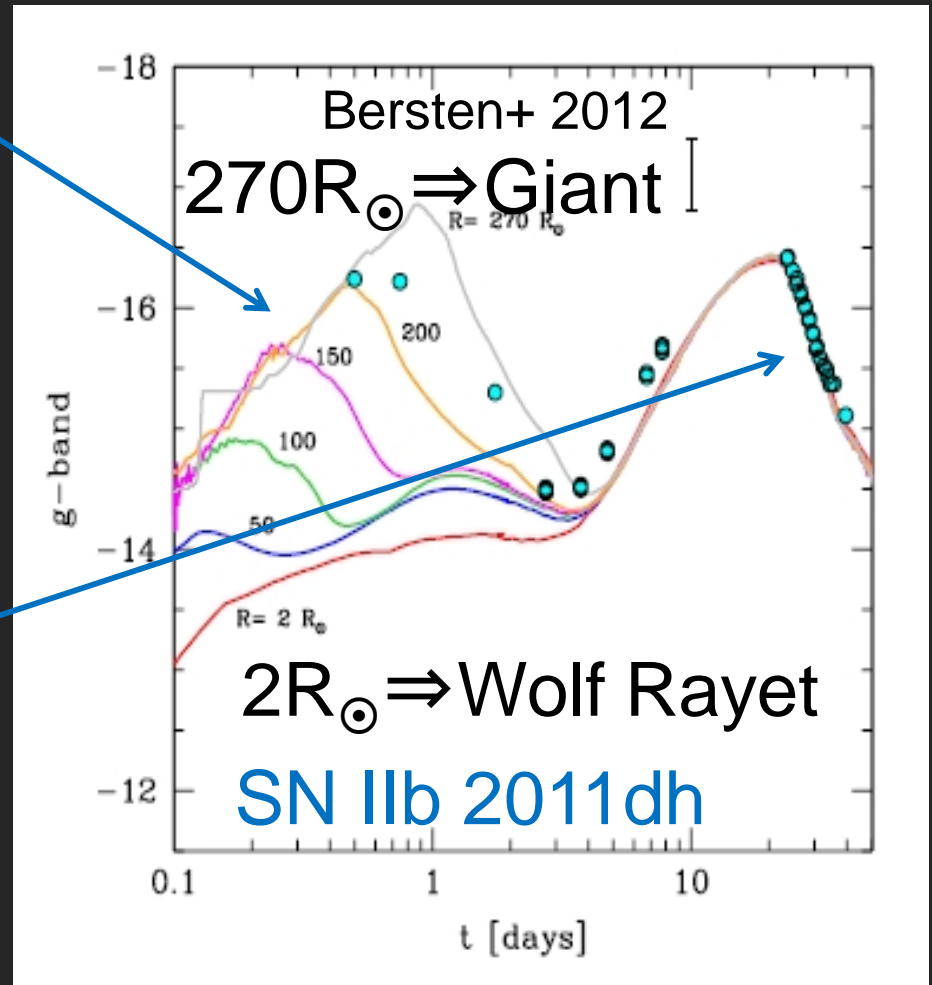
$$T \propto (Vt/R_0)$$

Brighter for larger

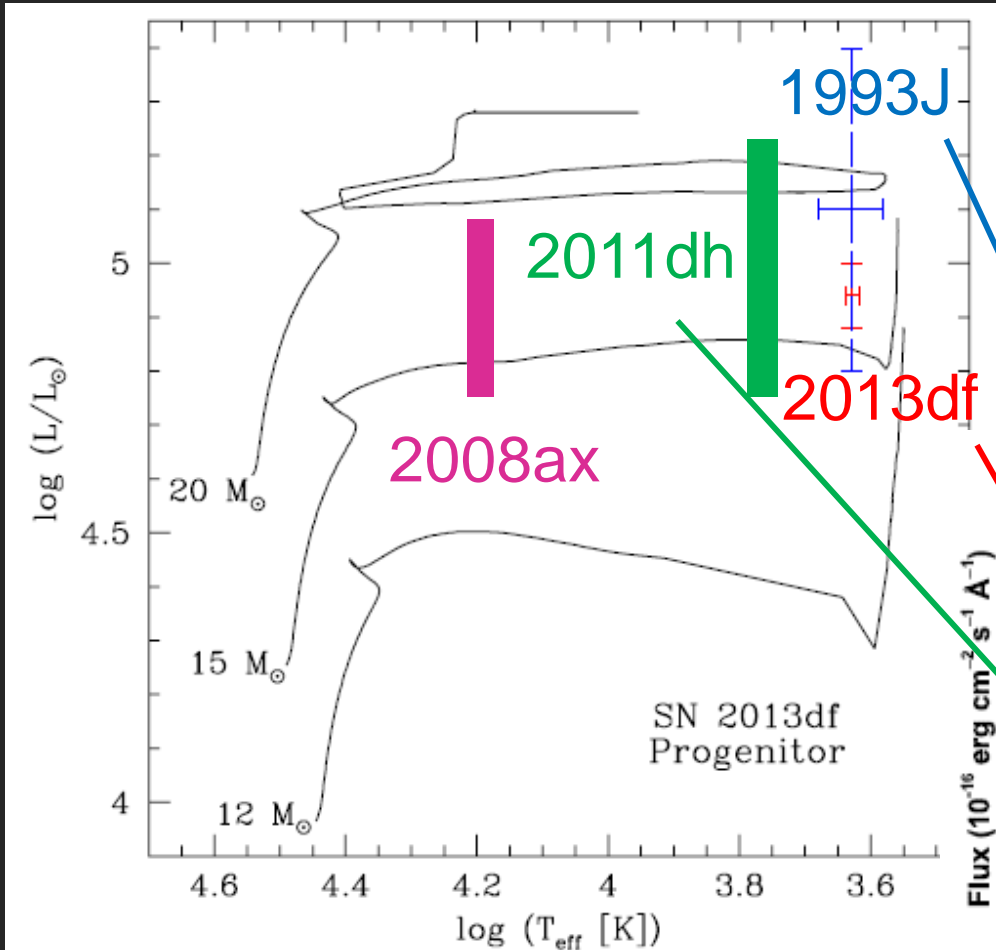
weeks

“ $^{56}\text{Ni}$ -heating”

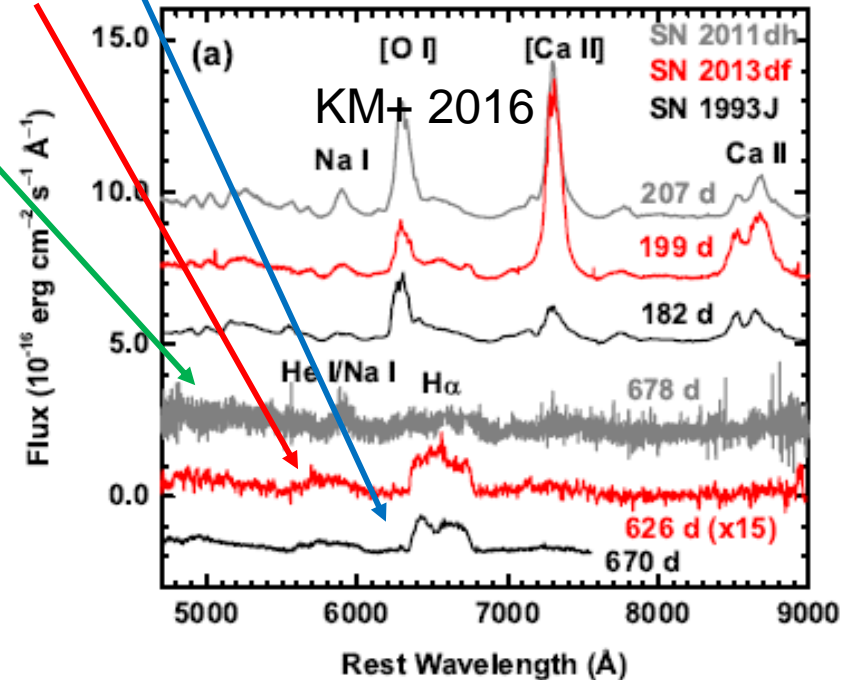
No information on the  
progenitor radius



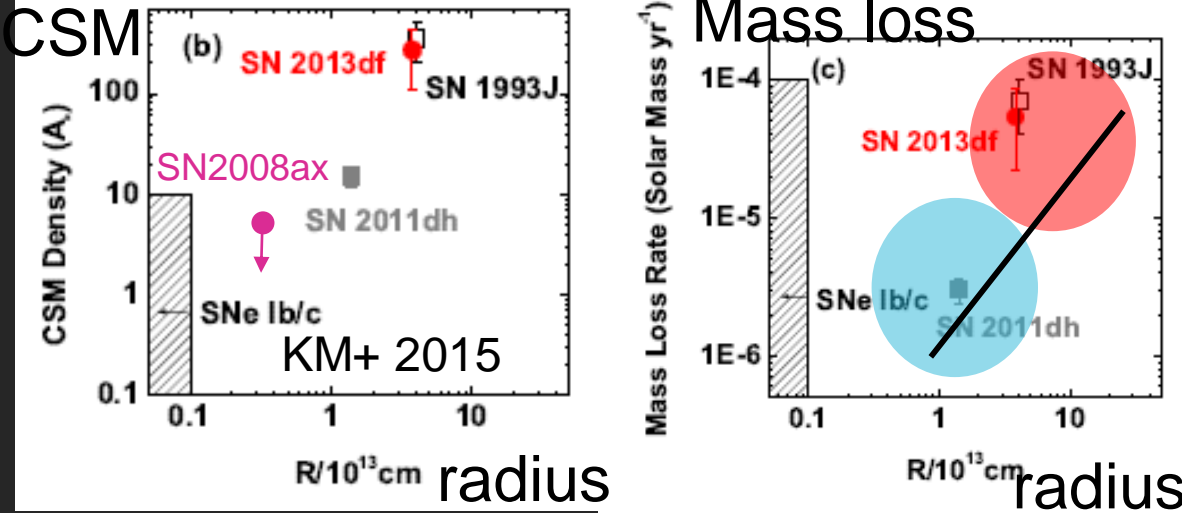
# Progenitor HR vs. CSM (in the last 100 yrs)



**H $\alpha$  from SN-CSM interaction @ ~ 1 yr**  
**Extended progenitor = massive CSM (mass loss)**



# Progenitor – CSM relation fro SNe IIb?



Robust estimate of the CSM density by radio/X and/or optical, compared to the direct progenitor detection.  
KM+ 2015, 2016

More extended progenitors are associated w/ **larger** mass loss rate?

# Note that more extended progenitors tend to have a more massive H-rich envelope (less stripping  $\Rightarrow$  naively, **smaller** mass loss?).

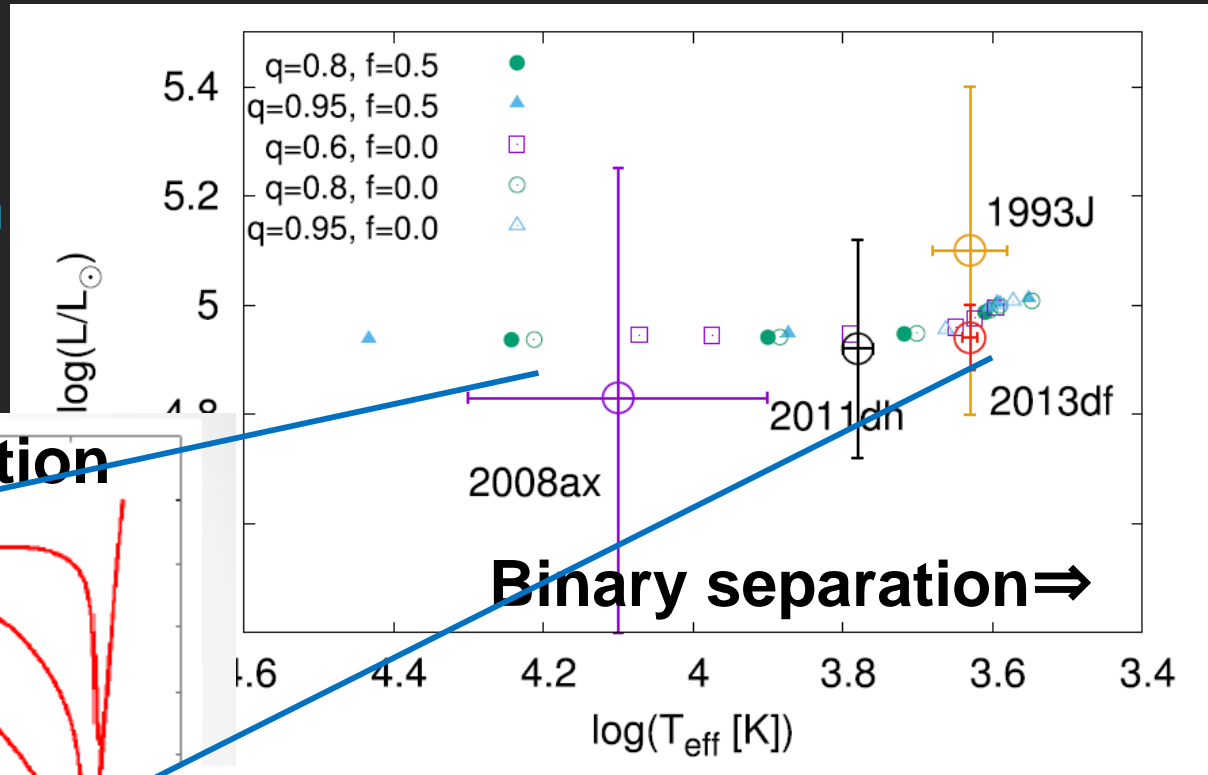
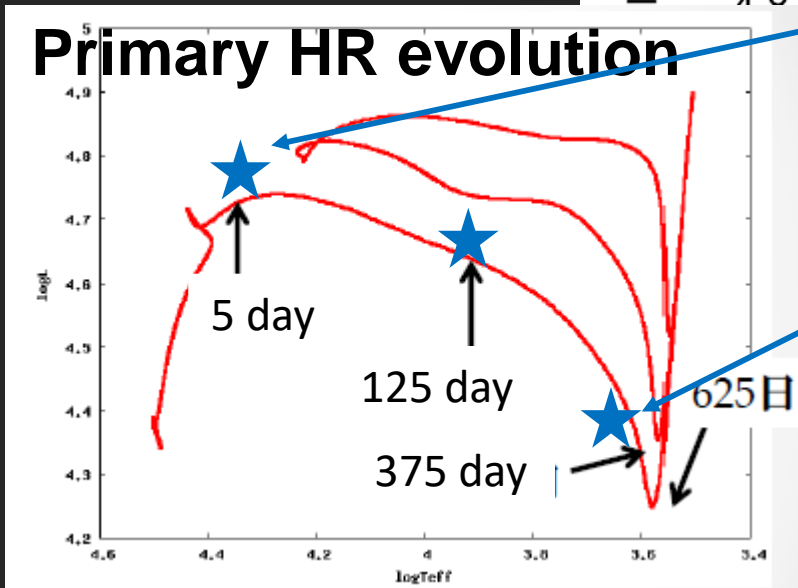
# It is for the mass loss in the last 100 yrs, not a few yrs.

# Binary Evolution Model: Progenitors

“Standard” binary models naturally explain/predict the diversity in the progenitors.

- Roche lobe
- No common env.
- Non-deg. companion

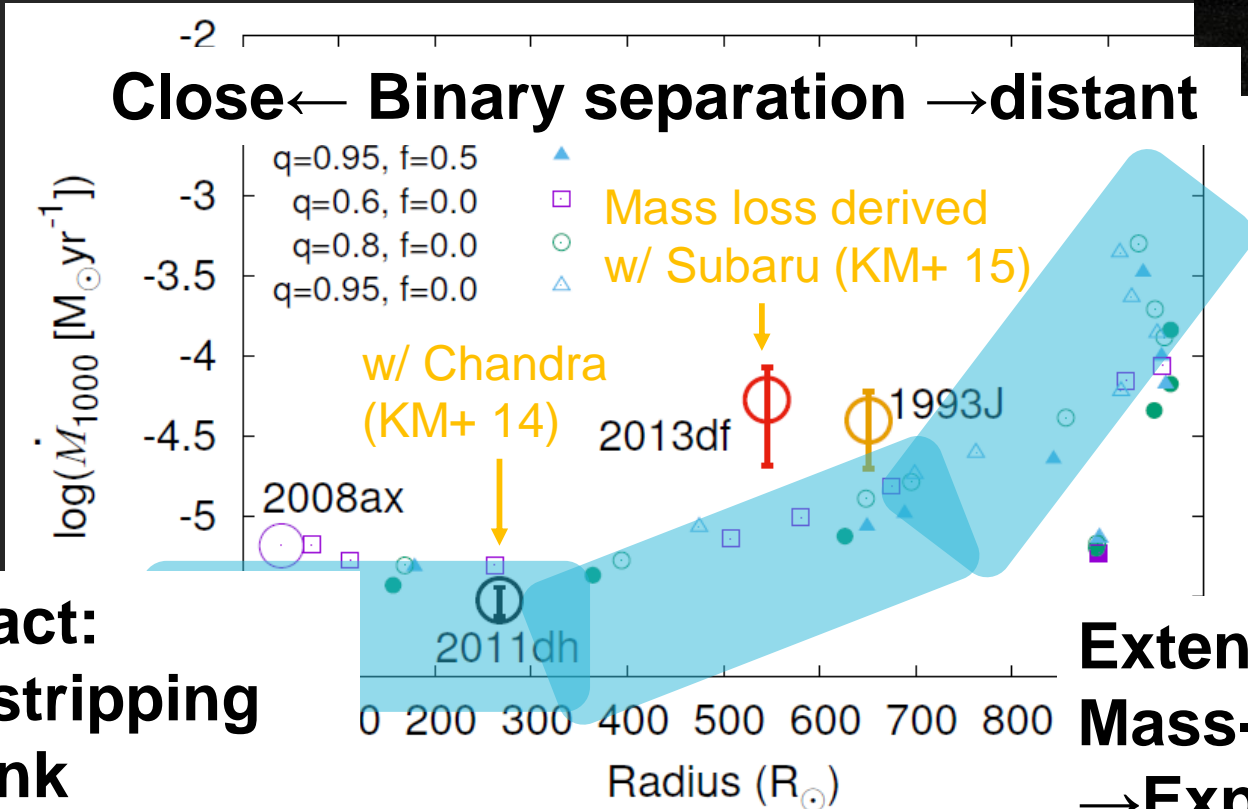
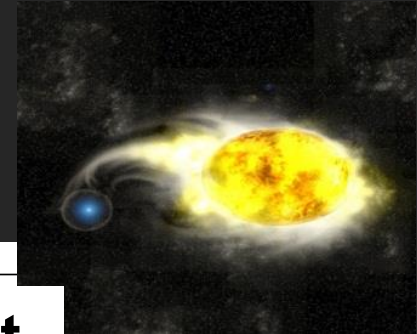
Ouchi & KM 2017



Variation controlled by initial separation (see also Yoon+ 17).

# Binary Evolution Model: CSM

## Progenitor R vs. mass loss



**Compact:**  
**Mass-stripping**  
**→ Shrink**

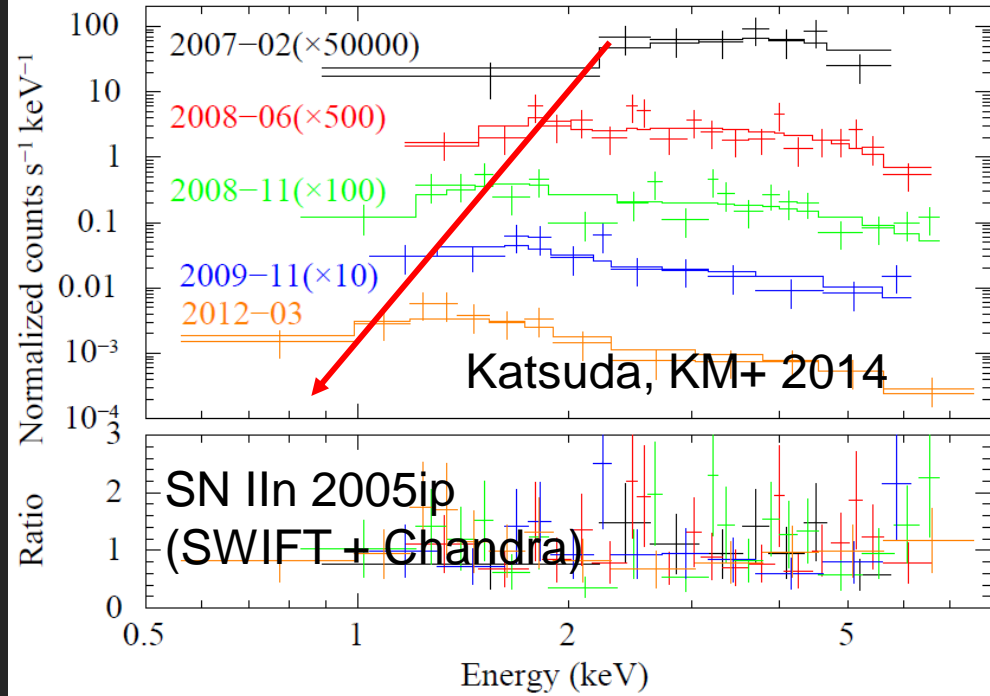
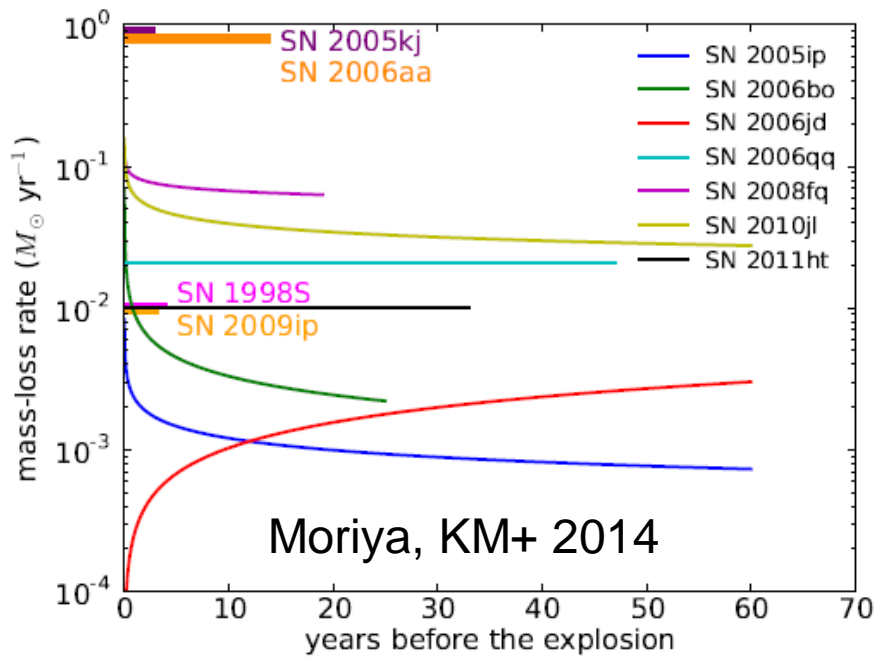
**Extended:**  
**Mass-stripping**  
**→ Expand**

**Binary does predict**

1. Diversity in progenitor radius (different H-stripping)
2. the R - mass loss relation (in the last 100 yrs).



# Beyond the standard mass loss: SNe IIn



Optical light curves for  $\sim 10$  SNe IIn

$> 10^{-3} M_{\odot}/\text{yr}$  for all SNe IIn

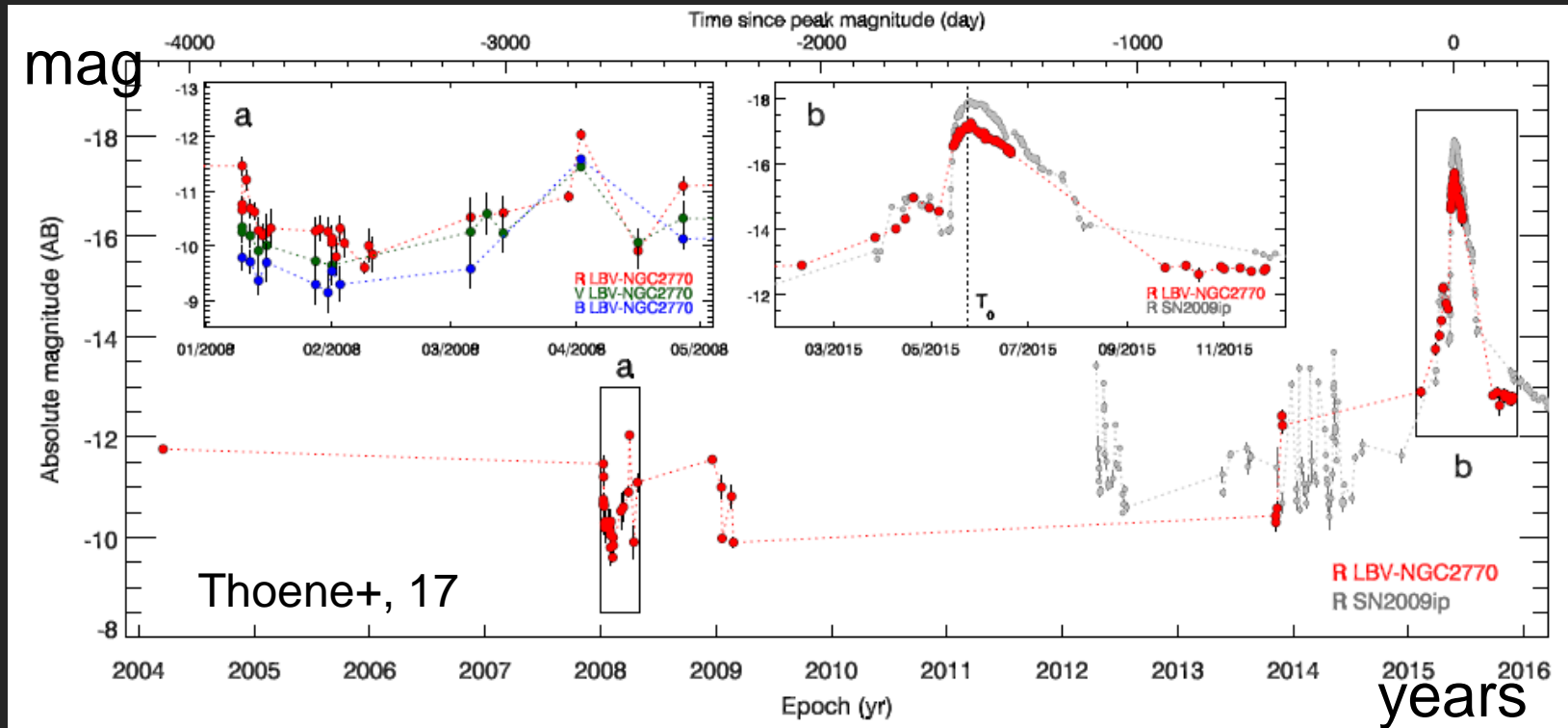
Mostly steady state mass loss, not eruptive events ( $\neq$  LBVs??).

X-rays (rare detection)

$\sim 10^{-2} M_{\odot}/\text{yr}$  for 2005ip

Decreasing CSM density  
e.g., Chandra+ 2012 (2006jd)

# Beyond the standard mass loss: pre-SN activity



**LBVs leading to a WR w/ a giant eruption in a few years?**

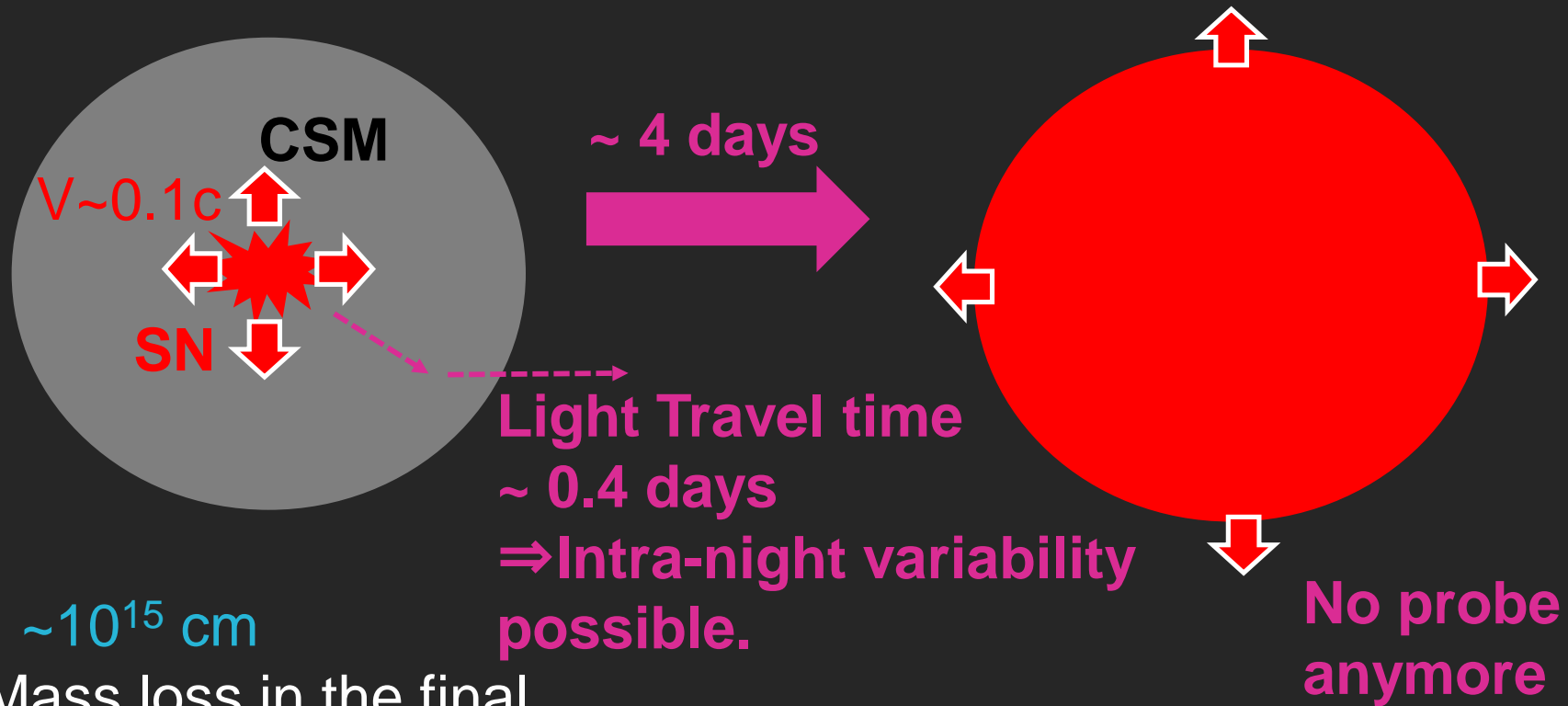
SNe 2009ip (Fraser+15, Graham+17), 2015bh (Elias-Rosa+16, Thoene+17), 2016bdu and 2005gl (Pastorello+17).

SN 2009ip now below the pre-burst luminosity (Thoene+17).

2005gl w/ progenitor (LBV progenitor for IIIn) (Gal-Yam+Leonard 09).

# Beyond the standard mass loss : the final yrs

- Final evolution of massive stars may be dynamical w/ non-stationary mass loss in days – years.



eg.  $\sim 10^{15}$  cm

$\Rightarrow$  Mass loss in the final

$\sim 100$  days (w/ 1,000 km/s: WR)

$\sim 30$  years (w/ 10 km/s: RSG)

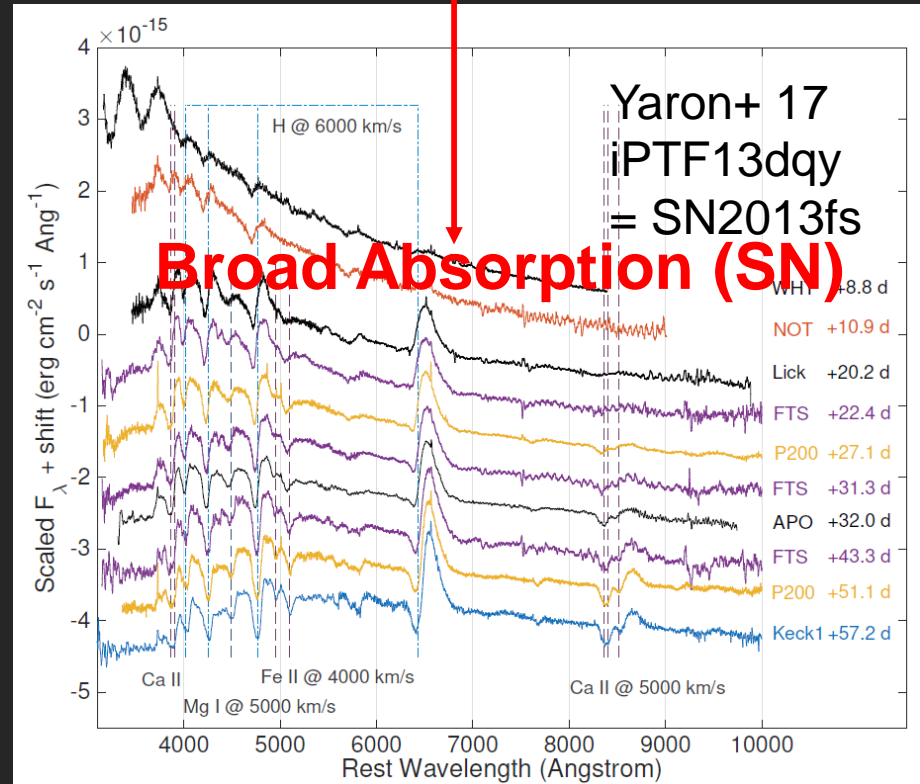
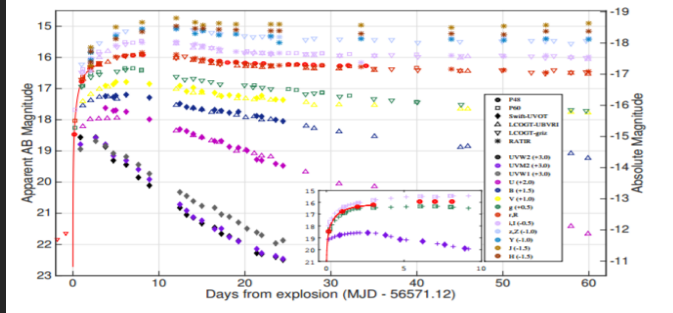
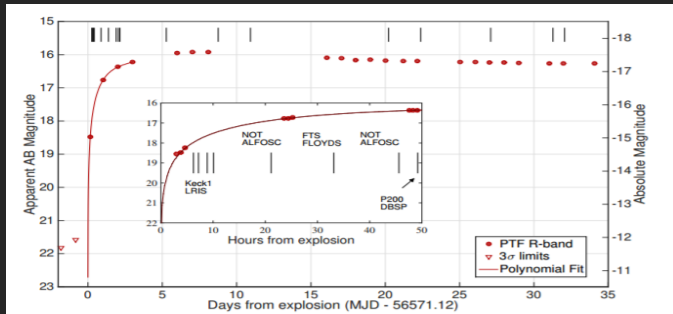
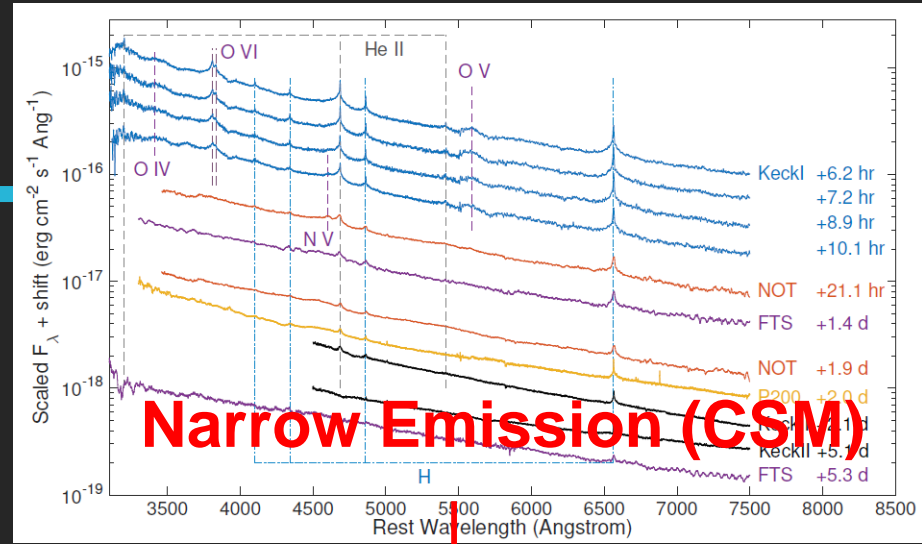
Can potentially be seen in the SN data in the first few days.

# “Flash” spectroscopy

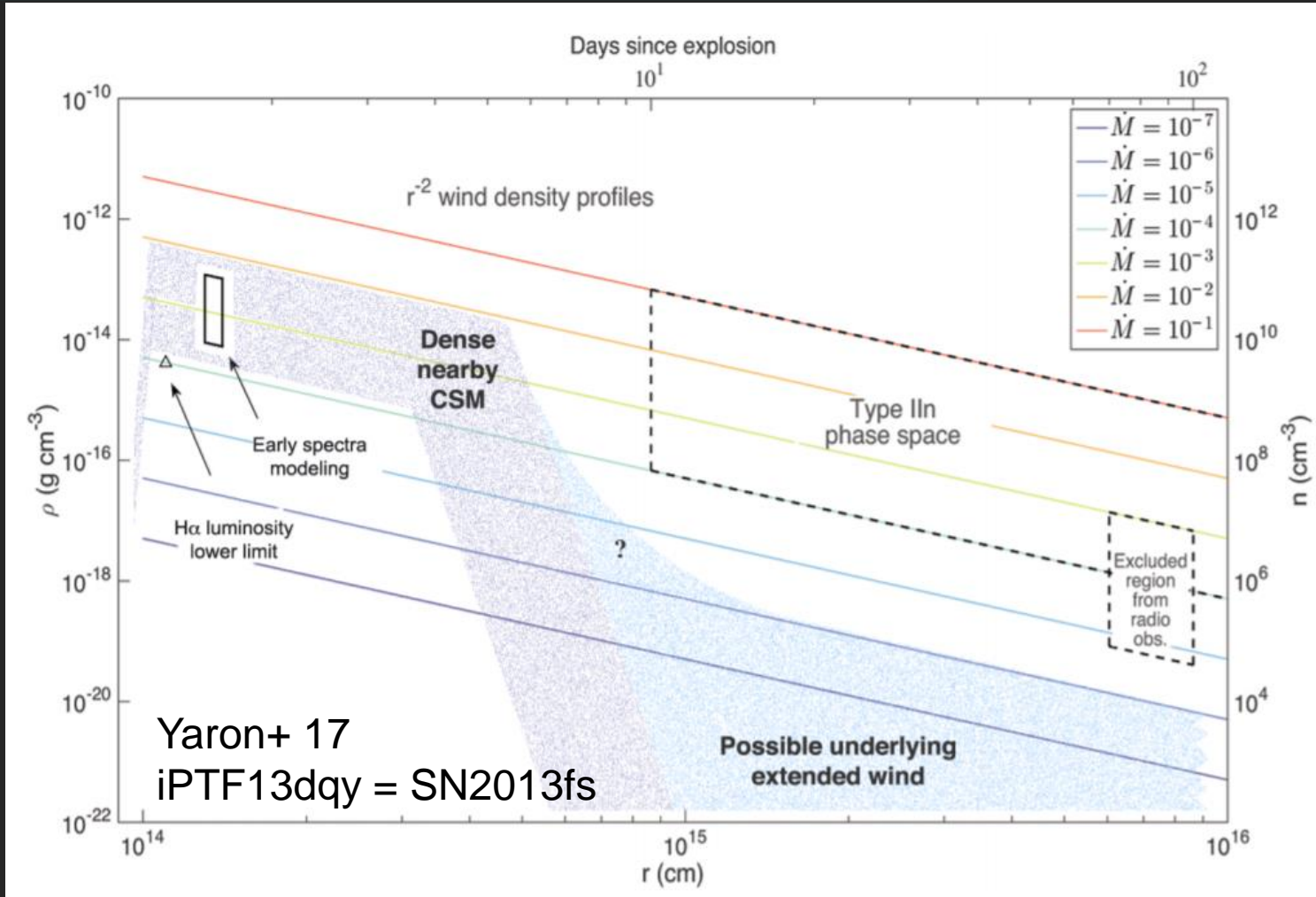
Recombination from the massive CSM near the SN???

(so far detected in one SN Ib and some SNe II)

→ New probe of CSM.

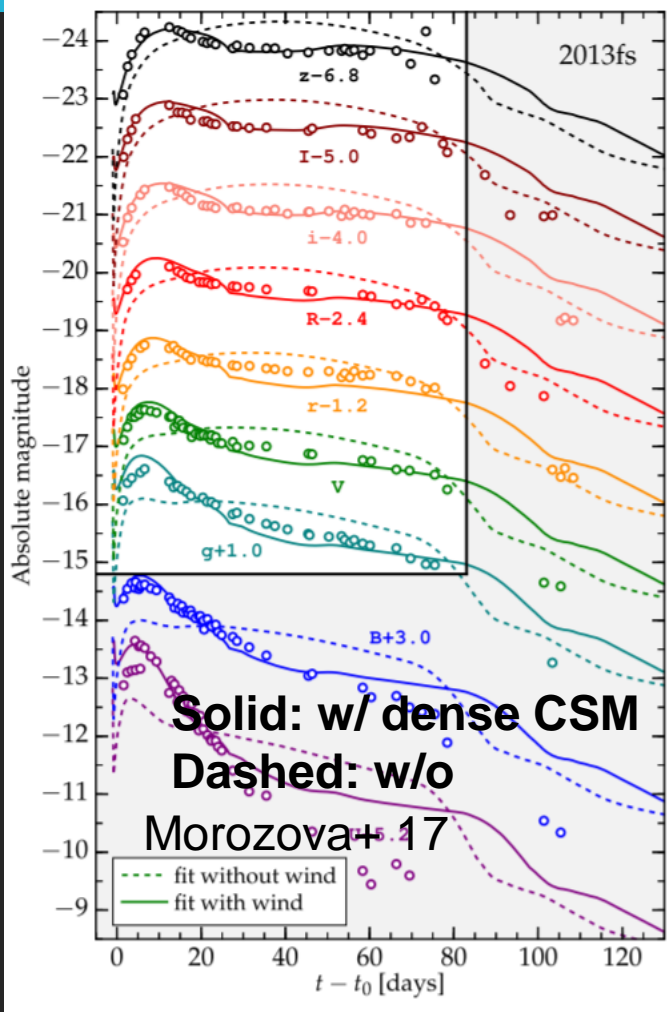


# Mass loss in the final days to decades ( $< 10^{15}$ cm)

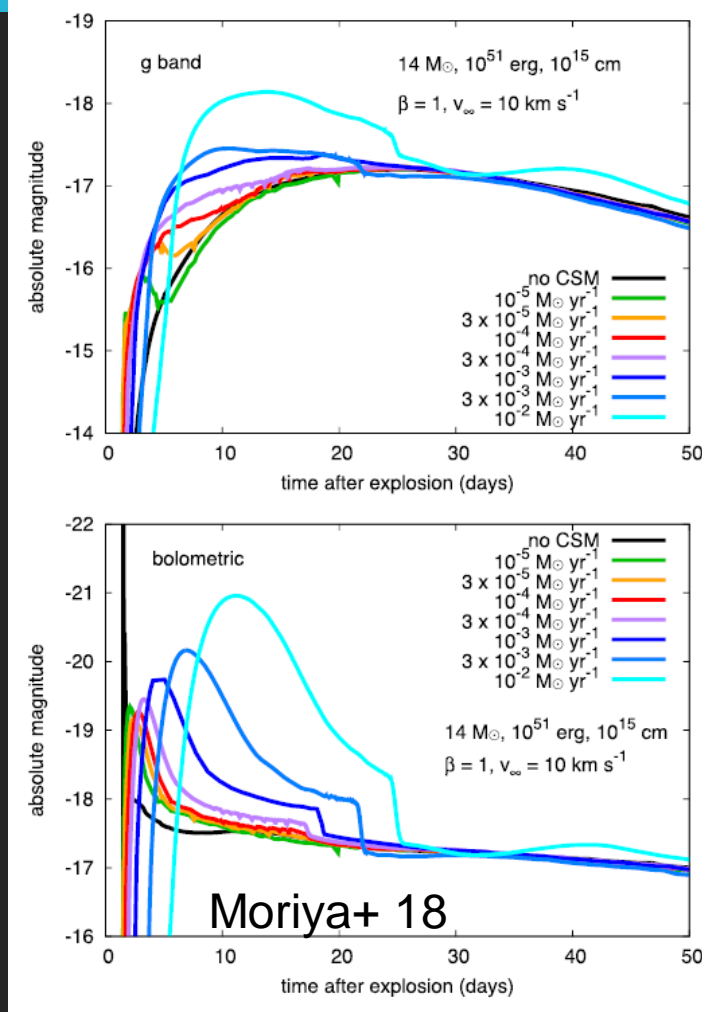


A similar dense & confined CSM may also be there for low-luminosity SNe II<sub>p</sub> (a case for SN II<sub>p</sub> 2016bkv; Nakaoka+ 18).

# Wind breakout common?



SN w/ the “flash spectrum”

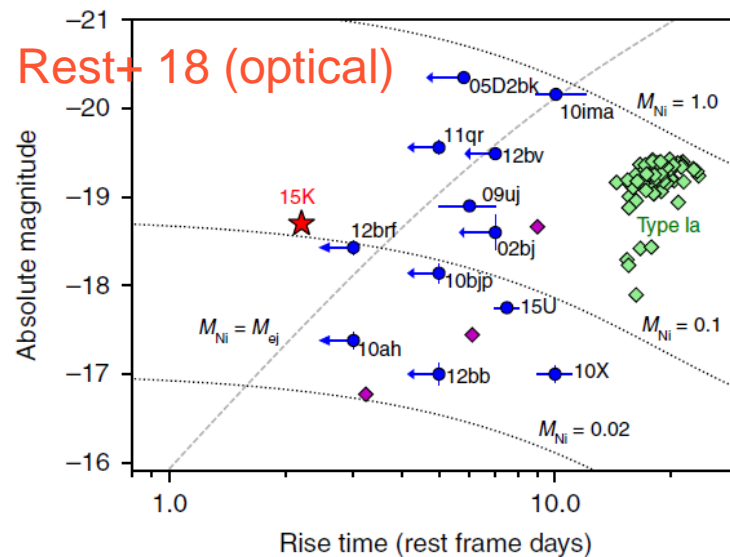
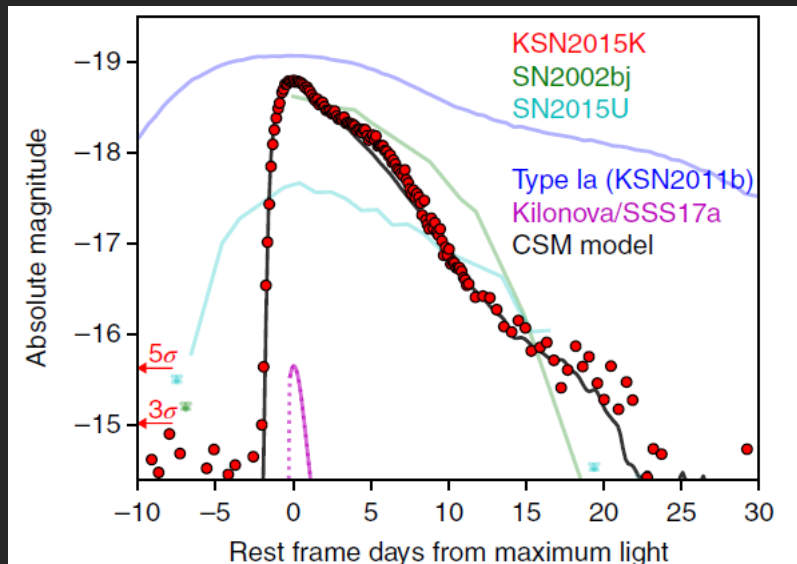
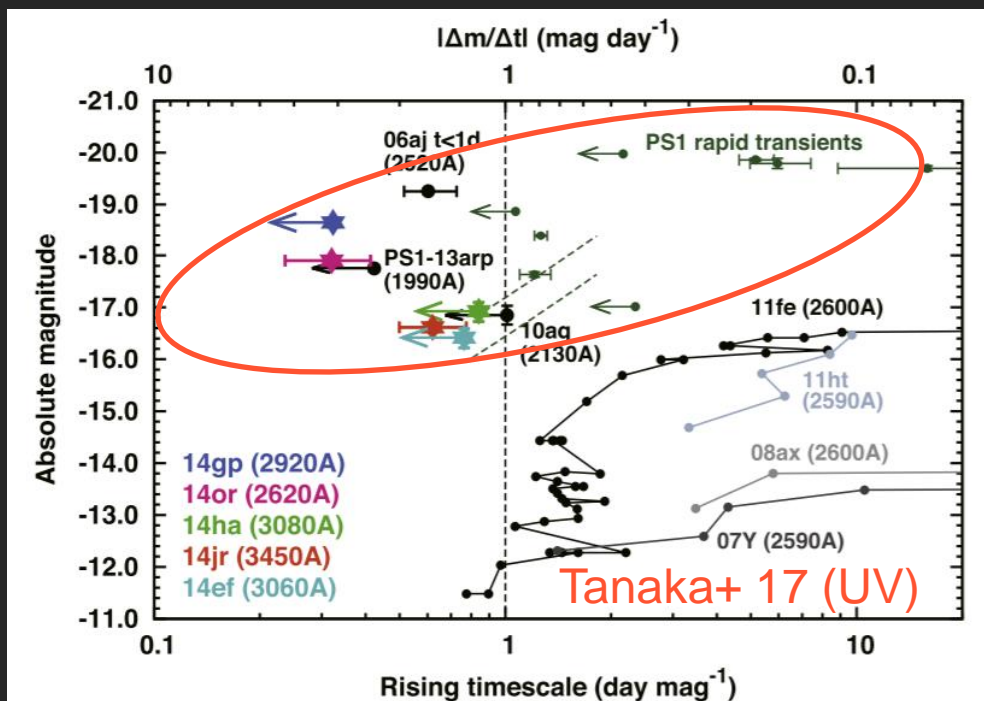


Effect of the dense/confined CSM

We might already be seeing the “wind breakout” for a good fraction of SNe II.

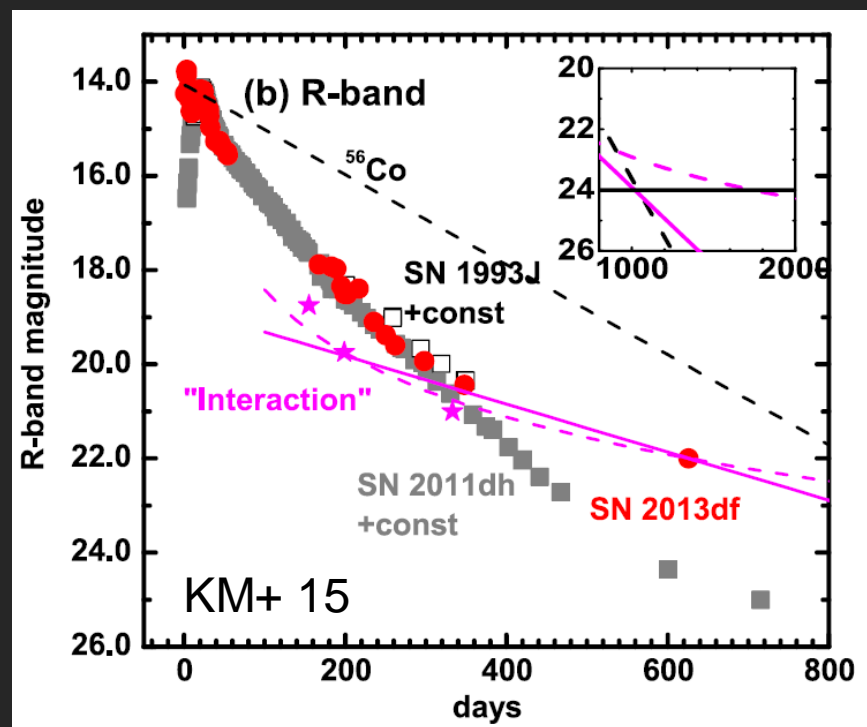
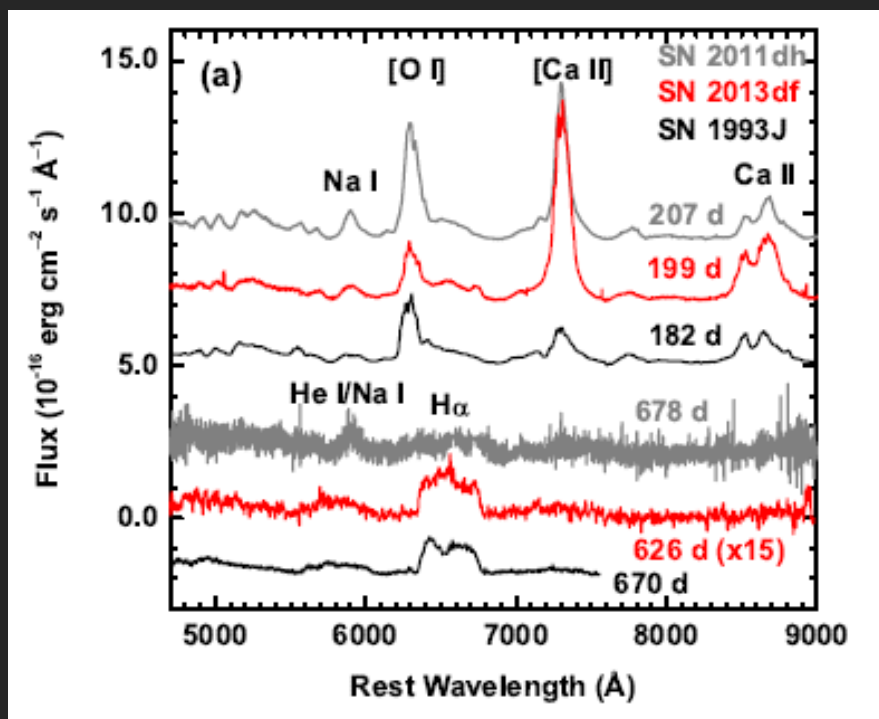
# Wind breakout: unidentified candidates?

## Luminous & Fast Transients



No good statistics yet, perhaps  
~ 10% of CC SNe?

# SNe Iib w/ strong CSM interaction

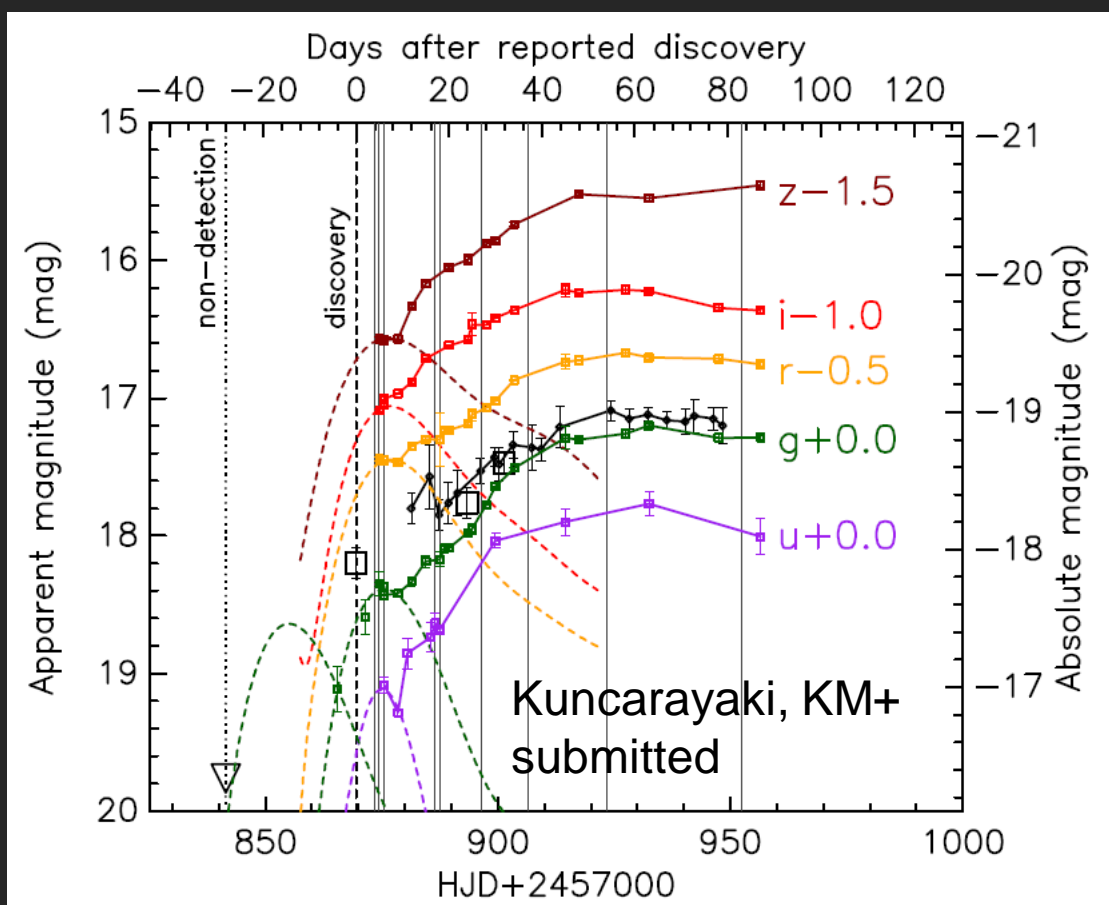
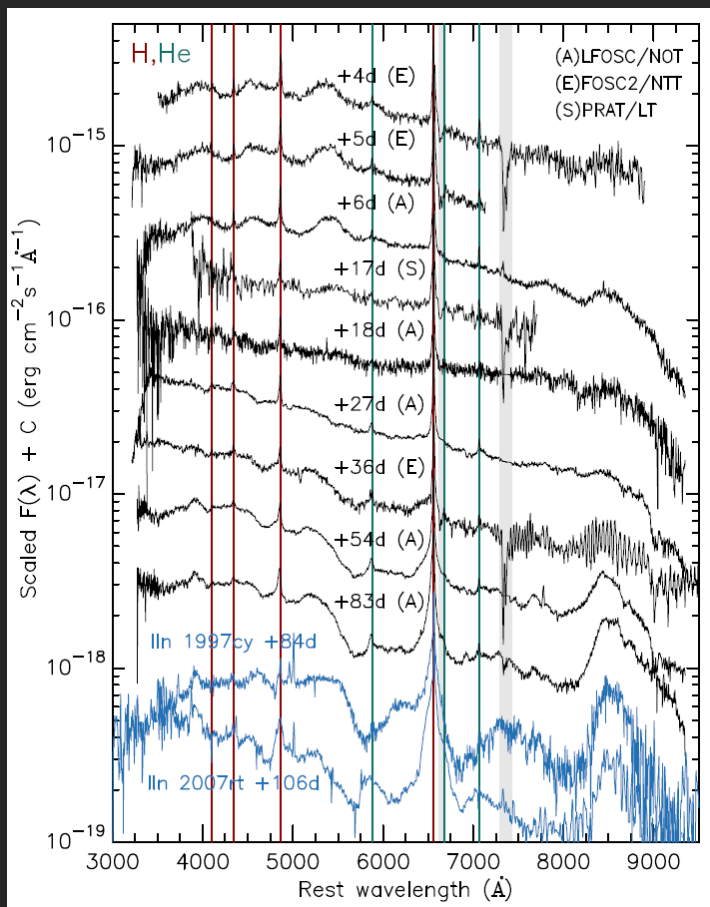


SNe Iib 1993J & 2013df: CSM interaction visible at  $\sim 1$  year. It is consistent with the smooth  $r^{-2}$  distribution, For their CSM density, CSM becomes dominant @  $\sim$  year. # Radio is smooth, no strong variation ( $\neq$  eruption).





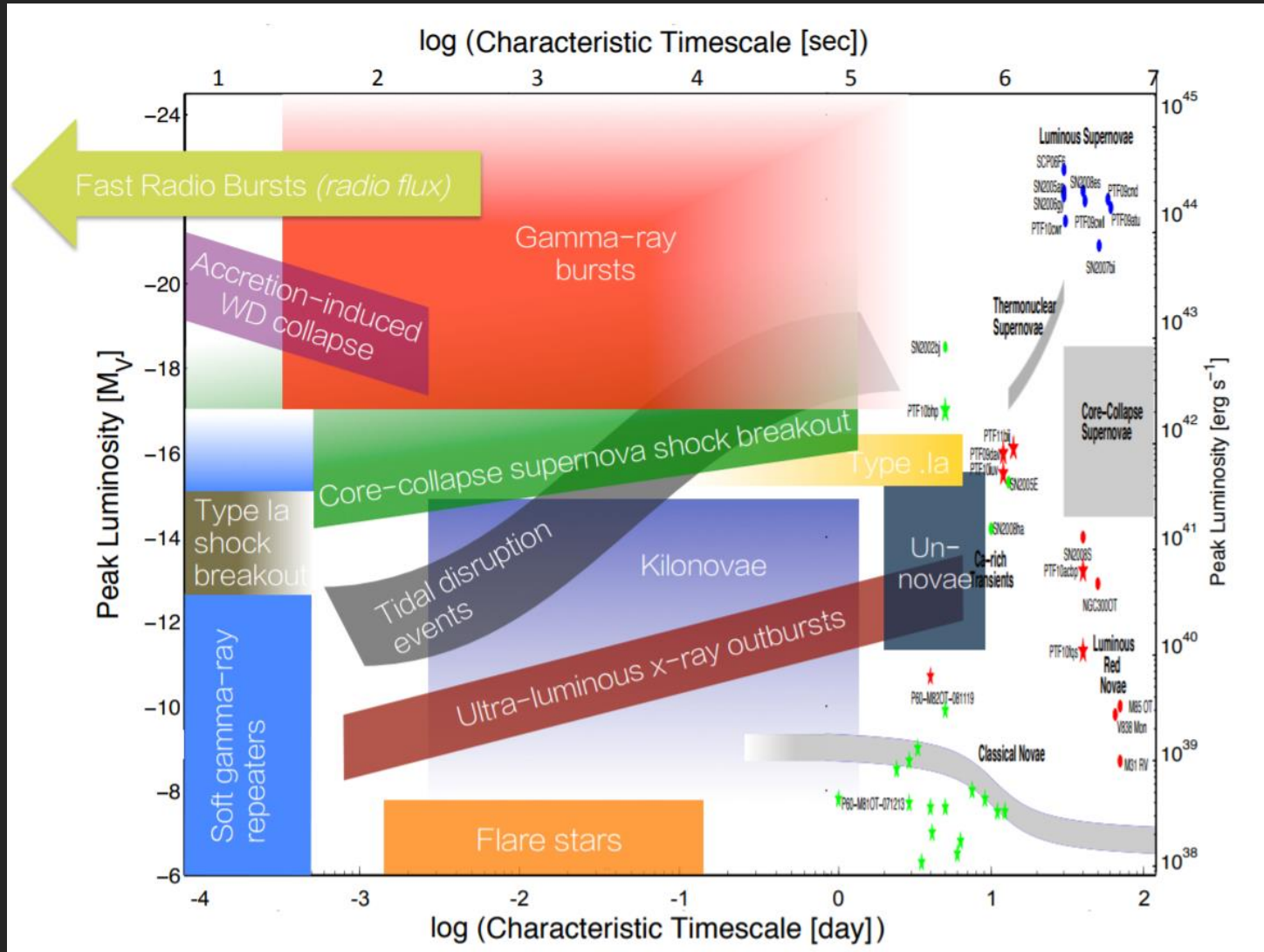
# SN Ic w/ strong CSM interaction



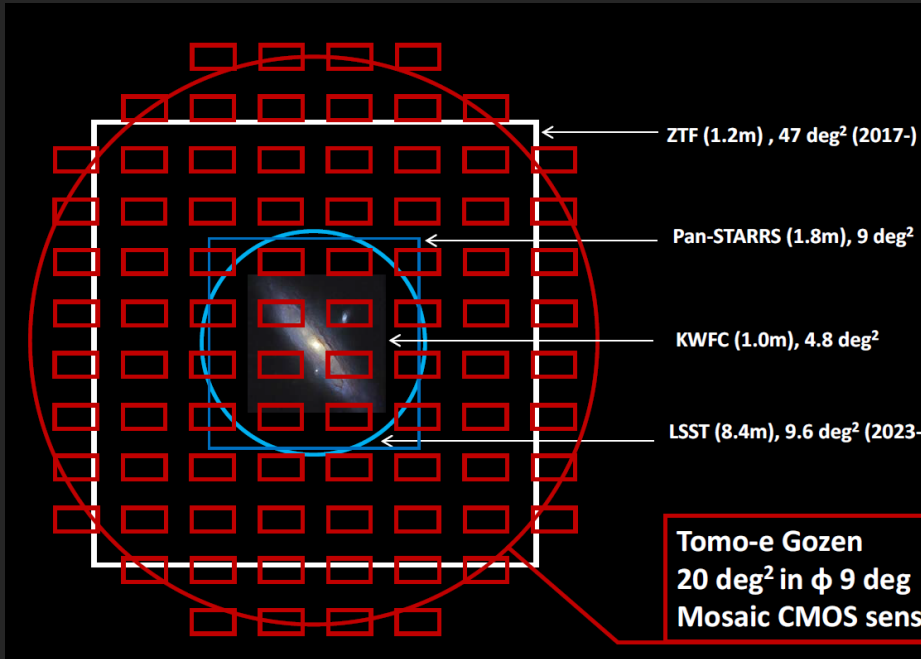
**SN Ic 2017dio: SN Ic, evolved into SN IIn in a month.  
CSM increasing outward.  
Some SNe IIn may host SNe Ic (WR, C+O).**

# New Time-Domain

Cooke+ 2015



# Prospects for observations (2018-)



## KOOLS-IFU @3.8 m望遠鏡

- 分光器はナスミス台以外の場所に常設
- 観測時にファイバー入射部を光路に挿入
- 平成30年度より共同利用観測の予定



Hope to develop a key project on “rapid discovery + quick SN follow-up”.

# Summary: Breakout – Progenitor -CSM

---

- Mutually linked, a big unresolved problem.
- Shock Breakout:
  - Robust candidates: RSG (IIp), WR+wind (Ib), YSG (IIb).
  - Basics of SB calibrated by SN IIb 2016gkg.
- Progenitor:
  - Well calibrated for SNe IIp (confirm) and IIb (surprise).
  - Only a few candidates for SNe Ib/Ic.
- CSM:
  - The final yrs yet to be understood.
  - Relations to Progenitor/ SN types (beyond IIc) and SB?