

# Partial point group operation and Symmetry protected topological phases

Ken Shiozaki      YITP, Kyoto university  
with  
Hassan Shapourian      University of Chicago  
   → MIT-Harvard joint posdoc  
Shinsei Ryu      University of Chicago

Aug 21, 2019 @ Southeast University

► Refs:

KS, Hassan Shapourian, Shinsei Ryu, arXiv:1609.05970.

Hassan Shapourian, KS, Shinsei Ryu, arXiv:1607.03896.

KS, Shinsei Ryu, arXiv:1607.06504.

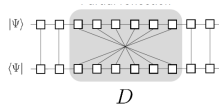
# Outline

- ▶ Introduction

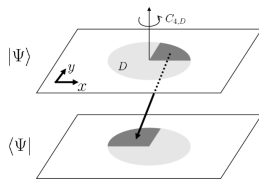
- ▶ Rényi entropy
  - for the purpose of introducing the partial symmetry transformation
- ▶ Partial point group transformation and symmetry protected phases

- ▶ Partial point group transformation

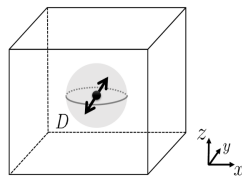
- ▶ Partial rotation
- ▶ Partial inversion



Partial reflection

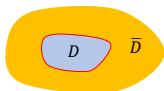


Partial rotation



Partial inversion

## Rényi entropy



- ▶ Def:

$$S_{R,N} = \frac{1}{1-N} \ln \text{tr} [\rho_D^N], \quad \rho_D = \text{tr}_{\bar{D}}[|\psi\rangle\langle\psi|],$$

$$S_R = \lim_{N \rightarrow 1} S_{R,N}.$$

- ▶  $\text{tr} [\rho_D^N]$  can be written as the expectation value of the *partial* replica permutation operator  $T_D$  for the replica ground state  $|\Psi\rangle = |\psi\rangle \otimes \dots \otimes |\psi\rangle$ ,

$$\text{tr} [\rho_D^N] = \langle \Psi | T_D | \Psi \rangle.$$

- ▶ For fermions,

$$T_D(f_1^\dagger(x), \dots, f_N^\dagger(x)) T_D^{-1} = \begin{cases} (f_1^\dagger(x), \dots, f_N^\dagger(x)) M_T & (x \in D), \\ (f_1^\dagger(x), \dots, f_N^\dagger(x)) & (x \notin D), \end{cases}$$

$$M_T = \begin{pmatrix} 0 & -1 & & & \\ & 0 & -1 & & \\ & & \dots & & \\ & & & 0 & -1 \\ 1 & & & & 0 \end{pmatrix}.$$

- ▶ Introduce the fermion basis  $\tilde{f}_1^\dagger, \dots, \tilde{f}_N^\dagger$  diagonalizing  $M_T$  as

$$\tilde{f}_k = \frac{1}{\sqrt{N}}(f_1^\dagger + \omega_k f_2^\dagger + \omega_k^2 f_3^\dagger + \dots + \omega_k^{N-1} f_N^\dagger),$$

$$\omega_k = e^{\frac{2\pi i(k-1/2)}{N}}, \quad k = 1, \dots, N,$$

$$T_D \tilde{f}_k^\dagger(x) T_D^{-1} = \begin{cases} -\omega_k \tilde{f}_k^\dagger(x) & (x \in D), \\ \tilde{f}_k^\dagger(x) & (x \notin D). \end{cases}$$

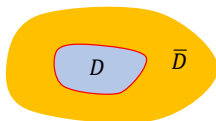
- ▶ When  $|\psi\rangle$  preserves the  $U(1)$  symmetry,  $\text{tr}[\rho_D^N]$  is further recast as the product of the ground state expectation value of the **partial  $U(1)$  transformation** as

$$\text{tr}[\rho_D^N] = \prod_{\ell = -\frac{N-1}{2}, -\frac{N-1}{2}+1, \dots, \frac{N-1}{2}} \langle \psi | U_{\frac{2\pi\ell}{N}} |_D | \psi \rangle,$$

where  $U_\theta|_D$  is the partial  $U(1)$  transformation.

- ▶ Partial onsite transformation.

## Bulk-boundary correspondence for gapped phases



- ▶ For a **gapped ground state** (= a **short-range entangled (SRE) state**)  $|\psi\rangle$ , the reduced density matrix  $\rho_D = \text{tr}_{\bar{D}}[|\psi\rangle\langle\psi|]$  is well-approximated by the *physical* boundary excitation  $H_{\text{bdy}}$  living on  $\partial D$  that emerges when we cut the system at  $D$ .

$$\rho_D \sim \frac{e^{-\beta H_{\text{bdy}}}}{\text{tr}[e^{-\beta H_{\text{bdy}}}]}, \quad \beta \sim \xi \sim \frac{1}{m}.$$

Here,  $\xi \sim \frac{1}{m}$  is the correlation length of the bulk.

- ▶ The partial  $U(1)$  transformation  $U_{\theta|D}$  behaves as the  $U(1)$  transformation  $U_{\text{bdy},\theta}$  for the boundary excitation  $H_{\text{bdy}}$ .
- ▶ Therefore, with the assumption of the bulk-boundary correspondence, the ground state expectation value  $\langle\psi|U_{\theta|D}|\psi\rangle$  of the partial  $U(1)$  transformation is written as the expectation value of the  $U(1)$  transformation for the boundary system.

$$\langle\psi|U_{\theta|D}|\psi\rangle \sim \frac{\text{tr}[U_{\text{bdy},\theta} e^{-\beta H_{\text{bdy}}}]}{\text{tr}[e^{-\beta H_{\text{bdy}}}]}$$

## Ex1: (2+1)D Chern insulator

- ▶  $D = D^2$ : a 2D disc.  $|\partial D| = 2\pi L$ .
- ▶ Bulk:

$$H = \sum_k f_k^\dagger [k_x \sigma_x + k_y \sigma_y + (m - \epsilon k^2) \sigma_z] f_k.$$

- ▶ Boundary:

$$H_{\text{bdy}} = \frac{2\pi}{L} \sum_{n \in \mathbb{Z} + \frac{1}{2}} n : \gamma_n^\dagger \gamma_n : - \frac{1}{24}.$$

- ▶ Partial  $U(1)$  transformation

$$\langle \psi | U_\theta | D | \psi \rangle \sim \frac{\text{tr} [e^{-i\theta Q_{\text{bdy}}} e^{-\xi H_{\text{bdy}}}]}{\text{tr} [e^{-\xi H_{\text{bdy}}}]}, \quad Q_{\text{bdy}} = \sum_{n \in \mathbb{Z} + \frac{1}{2}} : \gamma_m^\dagger \gamma_m : .$$

- ▶ By using the  $S$  transformation, it is approximated by the vacuum contribution

$$\langle \psi | U_\theta | D | \psi \rangle \sim \exp \left[ -\frac{2\pi\xi}{L} \frac{1}{2} \left( \frac{\theta}{2\pi} \right)^2 \right], \quad -\pi < \theta < \pi.$$

- ▶ Rényi entropy

$$S_{R,N} = \frac{N+1}{24N} \times \frac{2\pi L}{\xi} \cdots \xrightarrow{N \rightarrow 1} S_R = \frac{1}{12} \times \frac{2\pi L}{\xi} + \cdots .$$

## Ex2: (3+1)D topological insulator

- ▶  $D = D^3$ : a 3D disc.  $|\partial D| = S^2$  with the radius  $R$ .
- ▶ Bulk:

$$H = \sum_{\mathbf{k}} f_{\mathbf{k}}^{\dagger} [\mathbf{k} \cdot \boldsymbol{\sigma} \tau_x + (m - \epsilon k^2) \tau_z] f_{\mathbf{k}}.$$

- ▶ Boundary:

$$H_{\text{bdy}} = \frac{1}{R} \int d\Omega (\gamma_{\uparrow}^{\dagger}, \gamma_{\downarrow}^{\dagger}) \begin{pmatrix} 0 & -i\partial_{\theta} - \frac{1}{\sin\theta} \partial_{\phi} - \frac{i \cot\theta}{2} \\ -i\partial_{\theta} + \frac{1}{\sin\theta} \partial_{\phi} - \frac{i \cot\theta}{2} & 0 \end{pmatrix} \begin{pmatrix} \gamma_{\uparrow} \\ \gamma_{\downarrow} \end{pmatrix}$$

- ▶ Partial  $U(1)$  transformation

$$\langle \psi | U_{\theta} | D | \psi \rangle \sim \frac{\text{tr} [e^{-i\theta Q_{\text{bdy}}} e^{-\xi H_{\text{bdy}}}]}{\text{tr} [e^{-\xi H_{\text{bdy}}}]}, \quad Q_{\text{bdy}} = \int d\Omega : \gamma_{\uparrow}^{\dagger} \gamma_{\uparrow} + \gamma_{\downarrow}^{\dagger} \gamma_{\downarrow} : .$$

- ▶ Since the bdy excitations is free, one can compute the partial  $U(1)$  transformation analyticalalally.

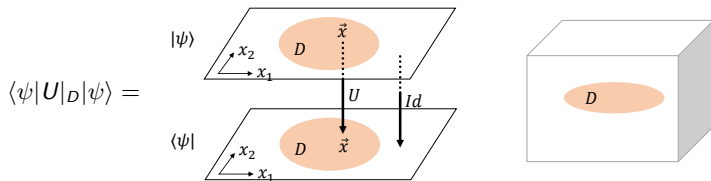
$$\langle \psi | U_{\theta} | D | \psi \rangle = \exp \left[ -\frac{R^2}{\xi^2} \left\{ \frac{\text{Li}_3(-e^{-i\theta}) + \text{Li}_3(-e^{i\theta})}{2} + \frac{3}{4} \zeta(3) \right\} - \ln \left| \cos \frac{\theta}{2} \right| + \dots \right]$$

- ▶ Rényi entropy

$$S_{R,N} = \frac{9\zeta(3)}{4} \frac{1 + N + N^2}{3N^2} \frac{R^2}{\xi^2} - \frac{\ln 2}{3} + \dots \xrightarrow{N \rightarrow 1} S_R = \frac{9\zeta(3)}{4} \frac{R^2}{\xi^2} - \frac{\ln 2}{3} + \dots .$$

## Partial onsite symmetry transformation

- ▶ Partial onsite symmetry transformation



- ▶ Symmetry defect surface  $D$  with the boundary  $\partial D$ .
- ▶ There is no interpretation as a topological manifold with a background field. The boundary  $\partial D$  is a kind of a singularity.
- ▶ Said differently, the expectation value  $\langle \psi | U|_D | \psi \rangle$  may depend on the “boundary condition” on the boundary  $\partial D$ .

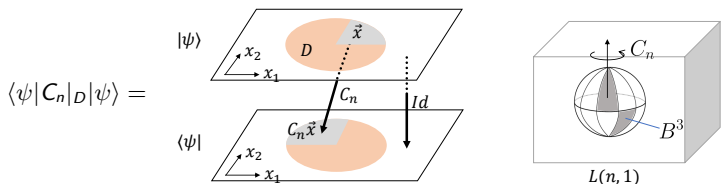


## Partial point group transformation [KS-Shapourian-Ryu]

- ▶ Similarly, in the presence of point group symmetry  $G$  (reflection, rotation, inversion, ...), we may consider the partial point group transformations

$$\langle \psi | g | D | \psi \rangle, \quad g \in G.$$

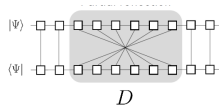
- ▶ In particular, we focus on a point group operation that freely acts on the space manifold except for the point group center.
- ▶ For instance,  $n$ -fold rotation symmetry in  $(2+1)D$ .



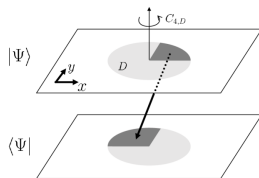
- ▶ There is the topological interpretation: the partial point group transformation makes a sort of a cross-cap in the spacetime manifold.
- ▶ For the partial  $n$ -fold rotation in  $(2+1)D$ , the resulting manifold is the  $(2+1)D$  manifold with a cross-cap to make the lens space  $L(n, 1)$ .

# Exs

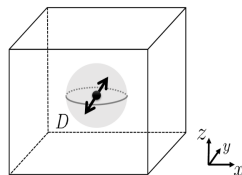
- ▶ The partial reflection  $x \mapsto -x$  for (1+1)D  
 $\Rightarrow$  the real projective plane  $RP^2$ .
- ▶ The partial  $n$ -fold rotation for (2+1)D  
 $\Rightarrow$  the lens space  $L(n, 1)$ .
- ▶ The partial inversion  $(x, y, z) \mapsto (-x, -y, -z)$  for (3+1)D  
 $\Rightarrow$  the 4D real projective space  $RP^4$ .



Partial reflection



Partial rotation



Partial inversion

## Our claim

- (1) For point group symmetry  $g$  which acts on the  $d$ -dim. space manifold freely except for the point group center, the expectation value of the partial point group transformation  $g|_D$  for a  $g$ -symmetric short-range entangled (SRE) state  $|\psi\rangle$  takes a form as

$$\langle\psi|g|_D|\psi\rangle = \exp\left[i\theta + \gamma - \alpha\frac{|\partial D|}{\xi^{d-1}} + \dots\right].$$

Here,  $\theta, \gamma$  are scale-independent constants,  $\alpha$  is a complex constant, and  $\xi$  is the correlation length of bulk.

- (2) The scale-independent  $U(1)$  phase  $e^{i\theta}$  is indeed quantized. I.e.  $e^{i\theta}$  does not change under the continuous deformation of  $|\psi\rangle$  with keeping the short range correlation and the  $g$  symmetry.

► A comment:

Since the partial point group transformation  $g|_D$  is not a symmetry of the system, we have the loss of the amplitude proportional to the number of dof living in the boundary  $\partial D$ .

## Where we were from

- ▶ We encountered the partial point group transformation as the *order parameter*  $\langle \psi | \mathcal{O}_{\text{SPT}} | \psi \rangle$  of symmetry protected topological (SPT) phases with point group symmetry. [KS-Shapourian-Ryu]
- ▶ Why?
- ▶ SPT phases are believed to be described by invertible TQFTs ( $\dim \mathcal{H}_{M_d} = 1$ ).
- ▶ A point group symmetry operation becomes onsite or orientation-reversing symmetry. (Ex:  $C_4$  rotation  $\rightarrow \mathbb{Z}_4$  onsite)
- ▶ For onsite symmetry, (the torsion part of) SPT phases are classified by (the torsion part of) the cobordism group. Precisely, an SPT phase can be viewed as a homomorphism

$$\Omega_{d+1}^{\text{str}}(BG) \rightarrow U(1), \quad Z : M \rightarrow Z(M).$$

- ▶ Therefore, an SPT phase is detected by the path-integral over the generator manifolds of the cobordism group  $\Omega_D^{\text{str}}(BG)$ .
- ▶ In some cases, a generator manifold  $M_{\text{gen}}$  is given by a kind of cross-cap so that it can be “simulated” by the expectation value  $\langle \psi | g |_D | \psi \rangle$  of the partial point group transformation of a point group operator  $g$ .

$$Z(M_{\text{gen}}) \sim \langle \psi | g |_D | \psi \rangle \quad \text{for the } U(1) \text{ phase part.}$$

(Ex:  $\Omega_4^{\text{Pin}^+}(pt) = \mathbb{Z}_{16}$  generated by  $RP^4 \rightarrow$  the partial inversion)

- ▶ Since  $\text{Hom}(\text{Tor } \Omega_{d+1}^{\text{str}}(BG), U(1))$  is a torsion,  $e^{i\theta}$  should be quantized.

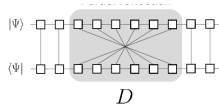
# Outline

- ▶ Introduction

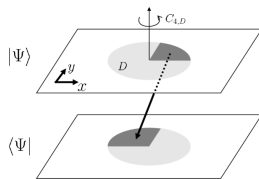
- ▶ Rényi entanglement entropy
  - for the purpose of introducing the partial symmetry transformation
- ▶ Partial point group transformation and symmetry protected phases

- ▶ Partial point group transformation

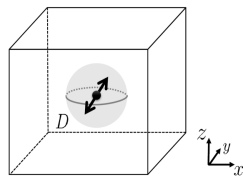
- ▶ Partial rotation
- ▶ Partial inversion



Partial reflection

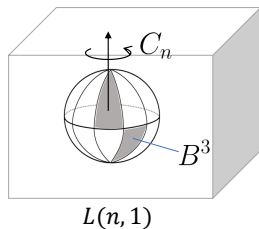
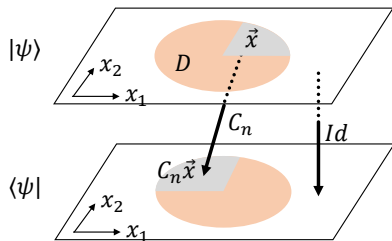


Partial rotation



Partial inversion

## Partial rotations for (2+1)D

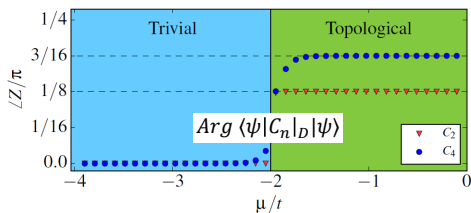


$$\langle\psi|C_n|D|\psi\rangle = \exp\left[i\theta + \gamma - \alpha\frac{|\partial D|}{\xi} + \dots\right].$$

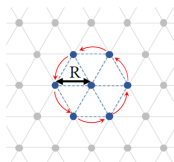
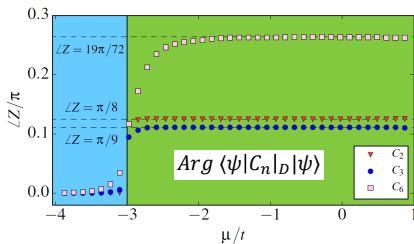
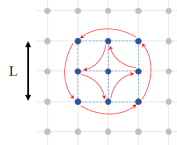
## Ex: (2+1)D $(p_x - ip_y)$ -superconductor

- Numerical calculation for a lattice model

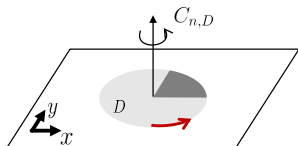
$$H = \underbrace{-t \sum_{\langle i,j \rangle} (f_i^\dagger f_j + h.c.)}_{\text{hopping}} - \underbrace{\mu \sum_i f_i^\dagger f_i}_{\text{chemical}} + \underbrace{\Delta \sum_{\langle i,j \rangle} (e^{-i\theta_{i,j}} f_i^\dagger f_j^\dagger + h.c.)}_{(p_x - ip_y)\text{-gap function}}.$$



$t$ : hopping  
 $\mu$ : chemical potential  
 $\Delta = t$



## Edge CFT calculation (cf. [Tu-Zhang-Qi, 12] “momentum polarization”)



- ▶ The bulk-boundary correspondence: the reduced density matrix over  $D$  of the gapped ground state  $|\psi\rangle$  is approximated by an edge CFT.

$$\rho_D = \text{tr}_{\bar{D}}(|\psi\rangle\langle\psi|) \sim \frac{e^{-\xi H_{\text{edge}}}}{Z},$$

where  $\xi \sim \frac{1}{m} \ll |\partial D|$  is the correlation length of bulk.

- ▶ Then, the partial  $C_n$  rotation is same as the  $\frac{2\pi}{n}$  translation on the edge CFT.

$$\langle\psi|C_n|_D|\psi\rangle \sim \frac{\text{tr} \left[ e^{-i:P:\frac{2\pi L}{n}} e^{-\xi H_{\text{edge}}} \right]}{Z},$$

- ▶ This is a high temperature partition function.



- ▶ For right-moving (chiral) CFT,  $P = H_{\text{edge}}$ .

$$\frac{\text{tr} \left[ e^{-i:P: \frac{2\pi L}{n}} e^{-\xi H_{\text{edge}}} \right]}{Z} = \frac{e^{-\frac{2\pi ic}{24n}}}{Z} \sum_{a \in \text{reps}} \chi_a \left( \frac{i\xi}{L} - \frac{1}{n} \right).$$

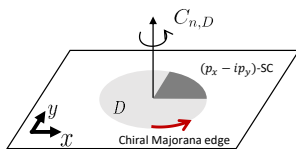
- ▶ Applying the  $(ST^{-n}S)$  modular transformation, it can be written as a low-temperature partition function and is approximated by the vacuum.

$$\frac{i\xi}{L} - \frac{1}{n} \xrightarrow{S} -\frac{1}{\frac{i\xi}{L} - \frac{1}{n}} \xrightarrow{T^{-n}} \frac{\frac{i\xi}{L}}{\frac{i\xi}{L} - \frac{1}{n}} \xrightarrow{S} \frac{iL}{n^2\xi} + \frac{1}{n},$$

$$\begin{aligned} \text{tr} \left[ e^{-i:P: \frac{2\pi L}{n}} e^{-\xi H_{\text{edge}}} \right] &= e^{-\frac{2\pi ic}{24n}} \sum_a \sum_b (ST^{-n}S)_{ab} \chi_b \left( \frac{iL^2}{n^2\xi} + \frac{1}{n} \right) \\ &\sim e^{-\frac{2\pi ic}{24n}} \sum_a \sum_b (ST^{-n}S)_{ab} e^{\left( \frac{2\pi i}{n} - \frac{2\pi L}{n^2\xi} \right) \left( h_b - \frac{c}{24} \right)}. \end{aligned}$$

- ▶ Note that  $ST^{-n}S$  the modular transformation to make the lens space  $L(n, 1)$  in the surgery of two solid tori.

## Ex: (2+1)D ( $p_x - ip_y$ )-superconductor



- ▶ Bulk Hamiltonian

$$H = \sum_{\mathbf{k}=(k_x, k_y)} \left[ f_{\mathbf{k}}^\dagger \left( \frac{k^2}{2m} - \mu \right) f_{\mathbf{k}} + \frac{\Delta}{2} (k_x - ik_y) f_{\mathbf{k}}^\dagger f_{-\mathbf{k}}^\dagger + h.c. \right].$$

- ▶ Rotation symmetry

$$C_\theta f_{r,\phi}^\dagger C_\theta^{-1} = e^{-i\theta/2} f_{r,\phi+\theta}^\dagger, \quad C_{2\pi} = (-1)^F.$$

- ▶ The edge Majorana excitation on  $\partial D$  is given by the Jackiw-Rebi trick.
- ▶ Instead of dealing with the open space  $D$  directly, we consider the spatially-varying mass  $\mu(r)$  so that  $\mu(r)$  represents the phase boundary between the topological ( $\mu(r) < 0$  for  $r < L$ ) phase and the trivial ( $\mu(r) > 0$  for  $r > L$ ) phase.

$$\gamma\left(\frac{L\phi}{2\pi}\right) \sim \left[ e^{\frac{i\phi}{2} + \frac{\pi i}{4}} f_{r,\phi} + e^{-\frac{i\phi}{2} - \frac{\pi i}{4}} f_{r,\phi}^\dagger \right] e^{-\int^r \frac{\mu(r')}{\Delta} dr'},$$

$$\begin{array}{ll} \gamma(\ell)^\dagger = \gamma(\ell), & \gamma(\ell + 2\pi L) = -\gamma(\ell). \\ \text{real condition} & \text{APBC (NS sector)} \end{array}$$

- ▶ Plugging this into the bulk Hamiltonian, we have the edge Hamiltonian

$$H_{\text{NS}} = \frac{2\pi\Delta}{L} \left( \sum_{n \in \mathbb{Z} + \frac{1}{2}, n > 0} n\gamma_{-n}\gamma_n - \frac{1}{48} \right).$$

- ▶ The CFT data:

$$c = \frac{1}{2}, \quad (h_1, h_\psi, h_\sigma) = \left(0, \frac{1}{2}, \frac{1}{16}\right),$$

$$S = \frac{1}{2} \begin{pmatrix} 1 & 1 & \sqrt{2} \\ 1 & 1 & -\sqrt{2} \\ \sqrt{2} & \sqrt{2} & 0 \end{pmatrix}, \quad T = e^{-\frac{\pi i}{24}} \begin{pmatrix} 1 & & \\ & -1 & \\ & & e^{\frac{\pi i}{8}} \end{pmatrix},$$

$$\text{Virasoro rep.} = [1] \oplus [\psi] \quad (\text{NS sector}).$$

- ▶ For the edge Majorana, the partial rotation is indeed the translation

$$C_\theta \gamma(\ell) C_\theta^{-1} = \gamma\left(\ell + \frac{\theta L}{2\pi}\right)$$

- ▶ The partial  $C_n$  rotation is given as

$$\langle \psi | C_n | D | \psi \rangle \sim \begin{cases} \exp \left[ -\frac{(n^2+2)\pi i}{24n} - \left(1 - \frac{1}{n^2}\right) \frac{1}{48} \frac{2\pi L}{\xi} + \dots \right] & (n \text{ even}), \\ \exp \left[ -\frac{(n^2-1)\pi i}{24n} - \ln \sqrt{2} - \left(1 + \frac{1}{n^2}\right) \frac{1}{48} \frac{2\pi L}{\xi} + \dots \right] & (n \text{ odd}). \end{cases}$$

- For some  $ns$ :

$$\langle \psi | C_2 | D | \psi \rangle \sim \exp \left[ -\frac{\pi i}{8} - \frac{3}{4} \cdot \frac{1}{48} \cdot \frac{2\pi L}{\xi} + \dots \right],$$

$$\langle \psi | C_3 | D | \psi \rangle \sim \exp \left[ -\frac{\pi i}{9} - \ln \sqrt{2} - \frac{11}{9} \cdot \frac{1}{48} \cdot \frac{2\pi L}{\xi} + \dots \right],$$

$$\langle \psi | C_4 | D | \psi \rangle \sim \exp \left[ -\frac{3\pi i}{16} - \frac{15}{16} \cdot \frac{1}{48} \cdot \frac{2\pi L}{\xi} + \dots \right],$$

$$\langle \psi | C_5 | D | \psi \rangle \sim \exp \left[ -\frac{\pi i}{5} - \ln \sqrt{2} - \frac{27}{25} \cdot \frac{1}{48} \cdot \frac{2\pi L}{\xi} + \dots \right],$$

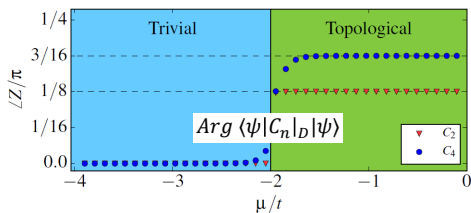
$$\langle \psi | C_6 | D | \psi \rangle \sim \exp \left[ -\frac{19\pi i}{72} - \frac{35}{36} \cdot \frac{1}{48} \cdot \frac{2\pi L}{\xi} + \dots \right].$$

- There  $U(1)$  phases exactly match with the numerical calculation.

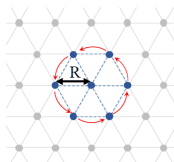
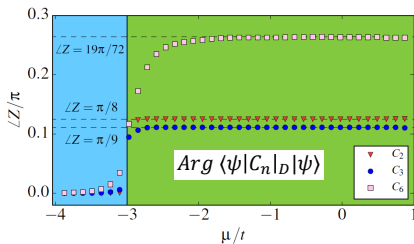
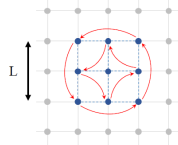
## Ex: (2+1)D $(p_x - ip_y)$ -superconductor

- Numerical calculation for a lattice model

$$H = \underbrace{-t \sum_{\langle i,j \rangle} (f_i^\dagger f_j + h.c.)}_{\text{hopping}} - \underbrace{\mu \sum_i f_i^\dagger f_i}_{\text{chemical}} + \underbrace{\Delta \sum_{\langle i,j \rangle} (e^{-i\theta_{i,j}} f_i^\dagger f_j^\dagger + h.c.)}_{(p_x - ip_y)\text{-gap function}}.$$

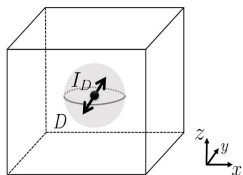


$t$ : hopping  
 $\mu$ : chemical potential  
 $\Delta = t$



# Partial inversion for (3+1)d

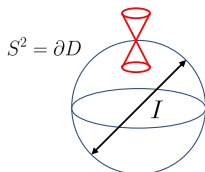
(3+1)D bulk



Partial inversion

$$\langle \psi | I |_D | \psi \rangle = |\langle \psi | I |_D | \psi \rangle| e^{i\theta}$$

(2+1)D surface



Antipodal map

$$\text{tr}[I e^{-\xi H_{surf}}] = \text{tr}[I e^{-\xi H_{surf}}] e^{i\theta}$$

$$\langle \psi | I |_D | \psi \rangle = \exp \left[ i\theta + \gamma - \alpha \frac{|\partial D|}{\xi^2} + \dots \right].$$

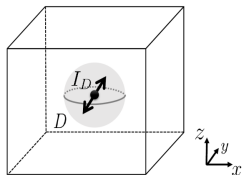
## Ex: (3+1)D odd parity superconductors with inversion symmetry

- ▶ Inversion symmetry

$$I f_j^\dagger(\mathbf{x}) I^{-1} = f_j^\dagger(-\mathbf{x}) \mathcal{I}_{ij}, \quad I^2 = (-1)^F, \quad (\mathbf{x} = (x, y, z)).$$

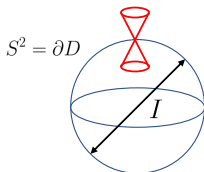
- ▶ The classification of SPT phases is given by  $U_{\text{pin}_+}^4(pt) = \text{Hom}(\Omega_4^{\text{pin}_+}(pt), U(1)) = \mathbb{Z}_{16}$ . [Kitaev, Fidkowski-Chen-Vishwanath, You-Xu, Kapustin-Thorngren-Turzillo-Wang, ...]
- ▶ The generator manifold of  $\Omega_4^{\text{pin}_+}(pt)$  is the 4D real projective space  $RP^4$ .
- ▶  $RP^4$  is simulated by the ground state expectation value of the partial inversion defined by

$$I|_D f_j^\dagger(\mathbf{x}) (I|_D)^{-1} = \begin{cases} f_j^\dagger(-\mathbf{x}) \mathcal{I}_{ij} & (\mathbf{x} \in D), \\ f_j^\dagger(\mathbf{x}) & (\mathbf{x} \notin D). \end{cases}$$



Partial inversion

=



Antipodal map

## A generator model

- ▶ A generator model of  $\mathcal{U}_{\text{pin}_+}^4(\rho t) = \mathbb{Z}_{16}$  is given by the topological superconductor for the He-B phase.
- ▶ The bulk Hamiltonian is given by

$$H = \sum_{\mathbf{k}=(k_x, k_y, k_z)} \Psi_{\mathbf{k}}^\dagger \left[ \left( \frac{k^2}{2m} - \mu \right) \tau_z + \Delta \tau_x \mathbf{k} \cdot \boldsymbol{\sigma} \right] \Psi_{\mathbf{k}},$$

where  $\Psi(\mathbf{k}) = (f_{\uparrow, \mathbf{k}}, f_{\downarrow, \mathbf{k}}, f_{\downarrow, -\mathbf{k}}^\dagger, -f_{\uparrow, -\mathbf{k}}^\dagger)$  is the Nambu fermion.

- ▶ Inversion symmetry:

$$I f_{\sigma, \mathbf{x}}^\dagger I^{-1} = i f_{\sigma, -\mathbf{x}}^\dagger, \quad I^2 = (-1)^F.$$

- ▶ The partial inversion on  $\partial B^3 = S^2$  is the antipodal map on  $\partial B^3 = S^2$ .
- ▶ The surface excitation  $\gamma(\theta, \phi)$  is explicitly written by the bulk complex fermion as in

$$\begin{aligned} \gamma(\theta, \phi) \sim & \left[ -e^{-i\phi/2} \cos \frac{\theta}{2} \{ f_{\uparrow}^\dagger(r, \theta, \phi) + i f_{\downarrow}(r, \theta, \phi) \} \right. \\ & \left. - e^{i\phi/2} \sin \frac{\theta}{2} \{ f_{\downarrow}^\dagger(r, \theta, \phi) - i f_{\uparrow}(r, \theta, \phi) \} \right] e^{-\int^r \frac{\mu(r')}{\Delta} dr'}, \end{aligned}$$

where  $\mu(r)$  represents the boundary at the radius  $r = R$  between the topological  $\mu < 0$  and trivial ( $\mu > 0$ ) regions.



- ▶ APBC for the  $\phi$ -direction (like the Schwinger gauge)

$$\gamma(\theta, \phi + 2\pi) = -\gamma(\theta, \phi).$$

- ▶ The partial inversion  $I_{\text{surf}}$  acts on the surface fermions as

$$I_{\text{surf}}\gamma^\dagger(\theta, \phi)I_{\text{surf}}^{-1} = -i\gamma(\pi - \theta, \phi + \pi).$$

- ▶ Plugging  $\gamma(\theta, \phi), \gamma^\dagger(\theta, \phi)$  into the bulk Hamiltonian, we have the surface Hamiltonian

$$H_{\text{surf}} = \int \sin\theta d\theta d\phi (\gamma(\theta, \phi), -\gamma(\theta, \phi)) \mathcal{H} \begin{pmatrix} \gamma(\theta, \phi) \\ -\gamma^\dagger(\theta, \phi) \end{pmatrix},$$

$$\mathcal{H} = \frac{\Delta}{R} \begin{pmatrix} 0 & -i\partial_\theta - \frac{1}{\sin\theta}\partial_\phi - \frac{i\cot\theta}{2} \\ -i\partial_\theta + \frac{1}{\sin\theta}\partial_\phi - \frac{i\cot\theta}{2} & 0 \end{pmatrix}.$$

- ▶ We no longer have a simple algebraic way to implement the  $S$  transformation to approximate the partition function for (2+1)D CFTs. However, this is a free theory, everything is computable. (cf. [Cardy 91, *Operator content and modular properties of higher-dimensional conformal field theories*])

- ▶ By using the monopole harmonics, it is straightforward to diagonalize the surface Hamiltonian as in

$$H_{\text{surf}} = \frac{\Delta}{R} \sum_{n \in \mathbb{N}} \sum_{m = -(n-1/2), -(n-1/2)+1, \dots, n-1/2} n \chi_{n,m}^\dagger \chi_{n,m},$$

and we show that the partial inversion acts on eigenstates as

$$I_{\text{surf}} \chi_{n,m}^\dagger I_{\text{surf}}^{-1} = i(-1)^n \chi_{n,m}^\dagger, \quad (n \in \mathbb{N}).$$

- ▶ We arrive at the analytic expression of the partial inversion, the expectation value of the antipodal map  $I_{\text{surf}}$ .

$$\langle \psi | I | D | \psi \rangle \sim \frac{\text{tr} [I_{\text{surf}} e^{-\frac{\xi}{\Delta} H_{\text{surf}}}]}{\text{tr} [e^{-\frac{\xi}{\Delta} H_{\text{surf}}}] } = \frac{\prod_{n=1}^{\infty} (1 + i(-q)^n)^{2n}}{\prod_{n=1}^{\infty} (1 + q^n)^{2n}}, \quad q = e^{-\xi/R}.$$

- ▶ Using the Cahen-Mellin integral ( $\sim$  the  $S$  transformation [Cardy 91]), we have

$$\langle \psi | I | D | \psi \rangle \sim \frac{\text{tr} [I_{\text{surf}} e^{-\frac{\xi}{\Delta} H_{\text{surf}}}]}{\text{tr} [e^{-\frac{\xi}{\Delta} H_{\text{surf}}}] } = \exp \left[ -\frac{\pi i}{8} + \frac{\ln 2}{12} - \frac{21}{16} \zeta(3) \frac{R^2}{\xi^2} + \dots \right].$$

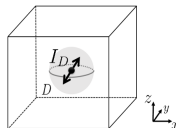
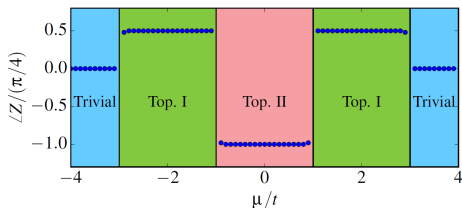
- ▶ This matches with the cobordism classification  $\mathcal{U}_{\text{pin}_+}^4(pt) = \mathbb{Z}_{16}$ .

## Numerical results

- ▶ The lattice model for the HE-B phase on the  $3D$  cubic lattice.

$$H = -t \sum_{\langle i,j \rangle, \sigma} (f_{\sigma,i}^\dagger f_{\sigma,j} + h.c.) - \mu \sum_{\sigma,i} f_{\sigma,i}^\dagger f_{\sigma,i} \\ + \Delta \sum_{\langle i,j \rangle, \sigma, \sigma'} \sum_{\mu=x,y,z} \left\{ (\sigma_\mu i \sigma_y)_{\sigma, \sigma'} f_{\sigma,i}^\dagger f_{\sigma',j}^\dagger + h.c. \right\}.$$

- ▶ The topological phase with a single surface Majorana fermion appears for  $t < |\mu| < 3t$ .
- ▶ The numerical result for  $t = \Delta$ ,  $12^3$  sites for the total system, and  $6^3$  sites for the partial inversion.

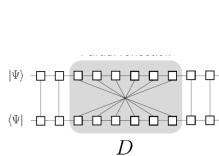


## Summary [KS-Shapourian-Ryu, arXiv:1609.05970]

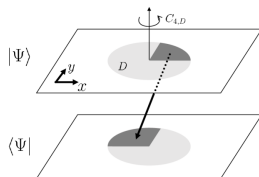
- ▶ The partial point group operation may have a topological meaning.
- ▶ The expectation value of the partial point group transformation  $g|_D$  on a  $g$ -symmetric short-range entangled (SRE) state  $|\psi\rangle$  takes a form as

$$\langle\psi|g|_D|\psi\rangle = \exp\left[i\theta + \gamma - \alpha\frac{|\partial D|}{\xi^{d-1}} + \dots\right].$$

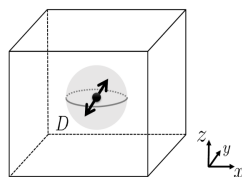
- ▶ The  $U(1)$  phase  $e^{i\theta}$  is quantized, and its value is determined by the SPT phases with point group symmetry to which the SRE state  $|\psi\rangle$  belongs.
- ▶ cf. The anomaly indicator for the gapped topological ordered surface [Wang-Levin, Tachikawa-Yonekura, Barkeshli et al (16)].



Partial reflection



Partial rotation



Partial inversion