

# Modular Constraints on Conformal Field Theories with Currents

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# Introduction

## Conformal Field Theories in Two dimension

Governed by the Virasoro algebra :  $L_n$  and  $\bar{L}_n$ ,  $n \in \mathbb{Z}$

$$h, \bar{h} \quad (\Delta = h + \bar{h} \text{ and } \ell = |h - \bar{h}|)$$

$$c < 1$$

$$c > 1$$

- Unitary rep defined only for

$$c = 1 - \frac{6}{m(m+1)},$$

$$h_{r,s} = \frac{[(m+1)r - ms]^2 - 1}{4m(m+1)}$$

- Completely solved (integrable)

- Finiteness of primary states

Rational CFT / Irrational CFT

- Conserved currents
- AdS dual?

(e.g. extremal CFTs)

Goal of this project :

Investigate how the **modular constraint** act on the partition function

$$Z(\tau, \bar{\tau}) = Z(-\frac{1}{\tau}, -\frac{1}{\bar{\tau}})$$

Reproduce known partition function

Construct unknown partition function

- The pure quantum gravity in  $AdS_3$

- Brown-Henneaux : Two copies of the Virasoro algebra appear at asymptotic infinity (with  $c = \frac{3\ell}{2G_N}$ ), they acts on the physical Hilbert space.

$$Z(\tau, \bar{\tau}) \stackrel{?}{=} q^{-\frac{c}{24}} \prod_{n=2}^{\infty} \frac{1}{1 - q^n} \quad \text{Vacuum} \oplus \text{descendants}$$

It cannot be right, as it does not invariant under the **modular transformation**.

- The BTZ black holes are three dimensional spacetime with metric

$$ds^2 = -\frac{(r^2 - r_+^2)(r^2 - r_-^2)}{r^2 \ell^2} dt^2 + \frac{r^2 \ell^2}{(r^2 - r_+^2)(r^2 - r_-^2)} dr^2 + r^2 \left( d\phi^2 - \frac{r_+ r_-}{r^2 \ell} dt \right)^2$$

with **mass** and **angular momentum** are given by  $M = \frac{r_+^2 + r_-^2}{8G_N \ell^2}$  and  $J = \frac{2r_+ r_-}{8G_N \ell}$ .

In extremal case ( $r_+ = r_-$ ),  $M\ell = J$ .

- The AdS/CFT correspondence says that the BTZ black holes are *thermal states* in boundary 2d CFT where the mass and angular momentum are identified as

$$L_0 - \frac{c}{24} = M\ell - J = 2\bar{h}, \quad \bar{L}_0 - \frac{c}{24} = M\ell + J = 2h$$

- The Extremal Conformal Field Theory ( $c = 24k, k \in \mathbb{Z}$ )
  - The entropy of the BTZ black hole is given by  $S = 4\pi\sqrt{k}(\sqrt{L_0} + \sqrt{\bar{L}_0})$  with  $\frac{\ell}{16G_N} = k$ . To have non-trivial configuration, we require :  $L_0 \geq 1$ .

$$Z(\tau, \bar{\tau}) = q^{-\frac{c}{24}} \prod_{n=2}^{\infty} \frac{1}{1 - q^n} + \mathcal{O}(q^1)$$

when the primary contribution start from  $q^1$ , we call those theory as **extremal CFT**.

- The partition function of  $c = 24$  and  $c = 48$  extremal CFT can be written in terms of the Klein j-invariant.

$$Z_{c=24}(\tau, \bar{\tau}) = (j(q) - 744)(j(\bar{q}) - 744)$$

$$Z_{c=48}(\tau, \bar{\tau}) = ((j(q) - 744)^2 - 393767)((j(\bar{q}) - 744)^2 - 393767)$$

$$j(q) - 744 = q^{-1} + \underbrace{196884}_{1+196883} q + \underbrace{21493760}_{1+196883+21296876} q^2 + \dots$$

- Comments on the 196883

Entropy :  $S = \text{Log}(196883) = 12.1904 \stackrel{?}{\sim} 12.5664 = 4\pi$ .

Dimension of irreps of the Monster group (automorphism of the moonshine module).

# Settings and Numerical Results

- The Character Decomposition

- The (Virasoro) vacuum characters and primary characters are defined by

$$\chi_0(\tau) = \frac{1}{\eta(\tau)} q^{-\frac{c-1}{24}} (1 - q), \quad \chi_h(\tau) = \frac{1}{\eta(\tau)} q^{h - \frac{c-1}{24}}$$

The torus partition function of unitary CFT admit the **character decomposition**,

$$Z(\tau, \bar{\tau}) = \chi_0(\tau) \bar{\chi}_0(\bar{\tau}) + \sum_{h, \bar{h}} d(h, \bar{h}) \chi_h(\tau) \bar{\chi}_{\bar{h}}(\bar{\tau}) + \sum_{j=1} \left[ d(j) \chi_j(\tau) \bar{\chi}_0(\bar{\tau}) + \tilde{d}(j) \chi_0(\tau) \bar{\chi}_j(\bar{\tau}) \right],$$

where the degeneracies  $d(h, \bar{h})$ ,  $d(j)$  and  $\tilde{d}(j)$  are positive integers.

- The constraints from  $SL(2, \mathbb{Z})$

- **T- transformation** : All states should have **integer spin**.
- **S- transformation** :  $Z(\tau, \bar{\tau}) = Z(-\frac{1}{\tau}, -\frac{1}{\bar{\tau}})$

$$\mathcal{Z}_0(\tau, \bar{\tau}) + \sum_{h, \bar{h}} d(h, \bar{h}) \mathcal{Z}_{h, \bar{h}}(\tau, \bar{\tau}) + \sum_{j=1} \left[ d(j) \mathcal{Z}_j(\tau, \bar{\tau}) + \tilde{d}(j) \mathcal{Z}_{\tilde{j}}(\tau, \bar{\tau}) \right] = 0$$

where the function  $\mathcal{Z}_\lambda(\tau, \bar{\tau})$  is defined as  $\chi_\lambda(\tau) \bar{\chi}_\lambda(\bar{\tau}) - \chi_\lambda(-\frac{1}{\tau}) \bar{\chi}_\lambda(-\frac{1}{\bar{\tau}})$ .

- **Modular Bootstrap - Basic Strategy** [Rattazzi, Rychkov, Tonni, Vichi 08], [Poland, Simmons-Duffin 10]

- In the computation, we mainly use the **reduced character** for convenience.

$$\hat{\chi}_0(\tau) = \tau^{\frac{1}{4}} \eta(\tau) \chi_0(\tau), \quad \hat{\chi}_h(\tau) = \tau^{\frac{1}{4}} \eta(\tau) \chi_h(\tau)$$

- Apply the linear functional  $\alpha \left[ \hat{\mathcal{Z}}(z, \bar{z}) \right] \equiv \sum_{m,n}^{m+n=N} \alpha_{m,n} \partial_z^m \partial_{\bar{z}}^n \hat{\mathcal{Z}}(z, \bar{z})$  to the modular bootstrap equation. ( $\tau \equiv ie^z$ , the crossing point at  $z = 0$ )

$$\alpha \left[ \hat{\mathcal{Z}}_0(z, \bar{z}) \right] + \sum_{j=1}^{j_{\max}} \left( d(j) \alpha \left[ \hat{\mathcal{Z}}^j(z, \bar{z}) \right] + \bar{d}(j) \alpha \left[ \hat{\mathcal{Z}}^{\bar{j}}(z, \bar{z}) \right] \right) + \sum_{h, \bar{h} \in \mathcal{P}} d(h, \bar{h}) \alpha \left[ \hat{\mathcal{Z}}^{h, \bar{h}}(z, \bar{z}) \right] = 0.$$

- Find  $\alpha_{m,n}$  such that,

$$\alpha \left[ \hat{\mathcal{Z}}_0(z, \bar{z}) \right] > 0,$$

$$\text{and } \alpha \left[ \hat{\mathcal{Z}}^j(z, \bar{z}) \right] \geq 0, \quad \alpha \left[ \hat{\mathcal{Z}}^{\bar{j}}(z, \bar{z}) \right] \geq 0 \quad \text{for } j \in \mathbb{Z},$$

$$\text{and } \alpha \left[ \hat{\mathcal{Z}}^{h, \bar{h}}(z, \bar{z}) \right] \geq 0 \quad \text{for } (h, \bar{h}) \in \mathcal{P}$$

If we find such  $\alpha_{m,n}$ , then **we conclude that no modular invariant partition function can exist**. This problem can be converted to the **semi-definite programming**.



- Assumptions on the spectrum [Collier, Lin, Yin 16]

- In the modular bootstrap equation, we sum the primaries  $(h, \bar{h}) \in \mathcal{P}$ . We can make three different assumptions on  $\mathcal{P}$ .

Scalar Gap Problem

In this problem, we impose a gap  $\Delta_s$  only to the scalar operator.

$$\begin{aligned} \Delta &\geq \Delta_s \text{ for } j = 0, \\ \Delta &\geq j \text{ for } j \neq 0. \end{aligned}$$

Overall Gap Problem

In this problem, we impose a gap  $\Delta_o$  to the certain low-spin operators.

$$\Delta \geq \text{Max}(j, \Delta_o)$$

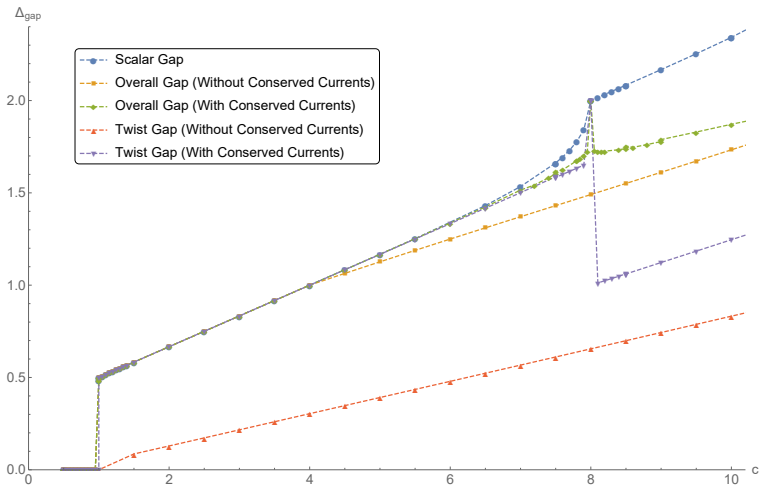
Twist Gap Problem

In this problem, we impose a gap  $\Delta_t$  to the twist, defined as

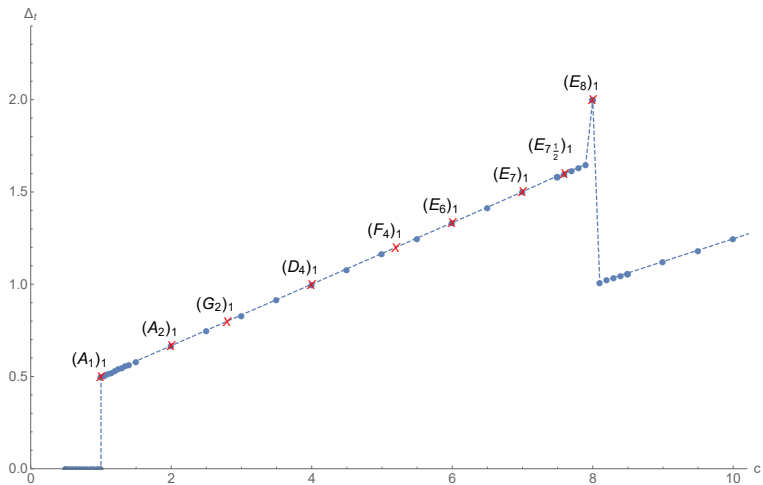
$$\begin{aligned} t &\equiv \Delta - j. \\ \Delta &\geq j + \Delta_t \end{aligned}$$

- Additionally, **we impose the contribution of conserved currents** in the modular bootstrap equation.
- For a given  $c$  and  $\Delta_{\text{gap}}$  ( $\Delta_s$  or  $\Delta_o$  or  $\Delta_t$ ), examine if one can find the numerical solution  $(\alpha_{m,n})$  to the semi-definite programming or not. The results of this scanning process can be summarized on the two-dimensional plot.

## • The Numerical Results ( $c \leq 8$ )



- The Numerical Results ( $c \leq 8$ ), Focus on the Twist Gap



- Expected CFTs on the numerical bound (Twist Gap)
  - For the Wess-Zumino-Witten model with affine Lie algebra  $\hat{\mathfrak{g}}$  and level- $k$ ,

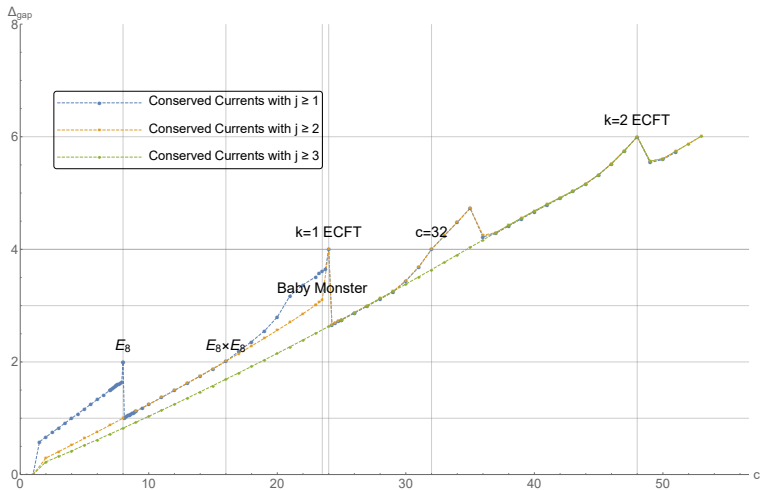
$$c = \frac{k \dim \hat{\mathfrak{g}}}{k + h^\vee}, \quad h_\lambda = \frac{(\lambda, \lambda + 2\rho)}{2(k + h^\vee)}$$

- The above formulae suggest that the **twist gap problem realize level-1 WZW models on the numerical boundary!** ( $c \leq 8$ )

Central Charge	Lowest Primary	Expected CFT
$c = 1$	$\Delta_t = 1/2$	$SU(2)_1$ WZW model
$c = 2$	$\Delta_t = 2/3$	$SU(3)_1$ WZW model
$c = 14/5$	$\Delta_t = 4/5$	$(G_2)_1$ WZW model
$c = 4$	$\Delta_t = 1$	$SO(8)_1$ WZW model
$c = 26/5$	$\Delta_t = 6/5$	$(F_4)_1$ WZW model
$c = 6$	$\Delta_t = 4/3$	$(E_6)_1$ WZW model
$c = 7$	$\Delta_t = 3/2$	$(E_7)_1$ WZW model
$c = 8$	$\Delta_t = 2$	$(E_8)_1$ WZW model

- The eight simple Lie group  $A_1, A_2, G_2, D_4, F_4, E_6, E_7$  and  $E_8$  are referred to as **Deligne's exceptional series**.

- The Numerical Result (Twist Gap,  $c \leq 54$ )



- The Numerical Result (Twist Gap,  $c \leq 54$ )
  - When the holomorphic currents are included from  $j = 1$ , the following four classes are further realized on the numerical boundary.

Central Charge	Lowest Primary	Expected CFT
$c = 16$	$\Delta_t = 2$	$(E_8 \times E_8)_1$ WZW model

- When the holomorphic currents are included from  $j = 2$ ,

Central Charge	Lowest Primary	Expected CFT
$c = 24$	$\Delta_t = 4$	Monster CFT
$c = 48$	$\Delta_t = 6$	" $c = 48$ ECFT"
$c = 8$	$\Delta_t = 1$	CFT with $O_{10}^+(2)$
$c = 16$	$\Delta_t = 2$	CFT with $O_{10}^+(2)$
$c = 47/2$	$\Delta_t = 3$	Baby Monster CFT

- For instance, the unique modular invariant partition function at  $c = 24$  is,

$$\begin{aligned}
 Z_{k=1}(q, \bar{q}) &= (j(q) - 744)(\bar{j}(\bar{q}) - 744) \\
 &= (1 + 196884q^2 + \cdots)(1 + 196884\bar{q}^2 + \cdots)
 \end{aligned}$$

- The Modular Differential Equation(MDE)

- Idea :  $n$  characters of rational conformal field theory(RCFT) are the solutions to the  $n$ -th order modular differential equation, [Mathur, Mukhi, Sen 88]

$$D_\tau^n \chi(\tau) + \sum_{k=0}^{n-1} \phi_k(\tau) D_\tau^k \chi(\tau) = 0,$$

with  $D_\tau f(\tau) \equiv \partial_\tau f(\tau) - \frac{\pi i r}{6} f(\tau)$ . ( $r$  is the modular weight of the test function  $f(\tau)$ )

- Second Order Modular Differential Equation

- To get the vacuum character, solve the second order differential equation,

$$D_\tau^2 \chi(\tau) + \hat{\mu} E_4(\tau) \chi(\tau) = 0,$$

with an ansatz  $\chi_\lambda(q) = q^\alpha (a_0 + a_1 q + a_2 q^2 + a_3 q^3 + a_4 q^4 + \dots)$ .

- The coefficients  $\{a_0, a_1, a_2, \dots\}$  are **positive integer** only for [Mathur, Mukhi, Sen 88], [Tuite 08]

$$c \in \left\{ \frac{2}{5}, 1, 2, \frac{14}{5}, 4, \frac{26}{5}, 6, 7, \frac{38}{5}, 8 \right\}.$$

- Third Order Modular Differential Equation

- To get the vacuum character, solve the third order differential equation,

$$D_\tau^3 \chi(\tau) + \mu_1 E_4(\tau) D_\tau \chi(\tau) + \mu_2 E_6(\tau) \chi(\tau) = 0,$$

with an ansatz  $\chi_\lambda(q) = q^\alpha (a_0 + a_2 q^2 + a_3 q^3 + a_4 q^4 + \dots)$ .

- The coefficients  $\{a_0, a_2, a_3, \dots\}$  are **positive integer** only for [Mathur, Mukhi, Sen 88], [Tuite 08]

$$c \in \left\{ -\frac{44}{5}, 8, 16, \frac{47}{2}, 24, 32, \frac{164}{5}, \frac{236}{7}, 40 \right\}.$$

- The primary characters have the form of

$$\chi_{h_\pm}(\tau) = q^{h_\pm - \frac{c}{24}} \left[ b_0 + b_1 q + b_2 q^2 + \dots \right]$$

with  $h_\pm(c) = \frac{c+4}{16} \pm \frac{\sqrt{368+24c-c^2}}{16\sqrt{31}}$ .

- The coefficients in the primary characters are **not completely fixed** from the modular differential equation.



# Spectral Analysis

- Finding the degeneracy bound [Rattazzi, Rychkov, Vichi 10]

- Rewrite the modular bootstrap equation as

$$\alpha \left[ \hat{\mathcal{Z}}_0(z, \bar{z}) \right] + d(h^*, \bar{h}^*) \alpha \left[ \hat{\mathcal{Z}}^{h^*, \bar{h}^*}(z, \bar{z}) \right] + \alpha \left[ \hat{\mathcal{Z}}^{rest}(z, \bar{z}) \right] = 0,$$

$$\alpha \left[ \hat{\mathcal{Z}}^{rest}(z, \bar{z}) \right] \equiv \sum_{j=j_{min}}^{j_{max}} \left( d(j) \alpha \left[ \hat{\mathcal{Z}}^j(z, \bar{z}) \right] + \bar{d}(j) \alpha \left[ \hat{\mathcal{Z}}^{\bar{j}}(z, \bar{z}) \right] \right) + \sum_{h, \bar{h} \in \mathcal{P}} d(h, \bar{h}) \alpha \left[ \hat{\mathcal{Z}}^{h, \bar{h}}(z, \bar{z}) \right],$$

and solve the following problem via the semi-definite programming.

$$\text{Maximize } \alpha \left[ \hat{\mathcal{Z}}_0(z, \bar{z}) \right], \quad \text{such that } \alpha \left[ \hat{\mathcal{Z}}^{h^*, \bar{h}^*}(z, \bar{z}) \right] = 1$$

$$\text{and } \alpha \left[ \hat{\mathcal{Z}}^j(z, \bar{z}) \right] \geq 0, \quad \alpha \left[ \hat{\mathcal{Z}}^{\bar{j}}(z, \bar{z}) \right] \geq 0 \quad \text{for } j \in \mathbb{Z},$$

$$\text{and } \alpha \left[ \hat{\mathcal{Z}}^{h, \bar{h}}(z, \bar{z}) \right] \geq 0 \quad \text{for } (h, \bar{h}) \in \mathcal{P}$$

- This gives the maximum bound of the degeneracy of the state with  $(h^*, \bar{h}^*)$ .

$$d(h^*, \bar{h}^*) \leq -\alpha \left[ \hat{\mathcal{Z}}_0(z, \bar{z}) \right]$$

- Extremal Functional Method [Paulos, El-Showk 14]

- Suppose the degeneracies of all primaries saturated the maximum bound. Then, the modular bootstrap equation is reduced to the below form.

$$\sum_{j=J_{min}}^{J_{max}} \left( d(j) \beta^* \left[ \hat{\mathcal{Z}}^j(z, \bar{z}) \right] + \bar{d}(j) \beta^* \left[ \hat{\mathcal{Z}}^{\bar{j}}(z, \bar{z}) \right] \right) + \sum_{h, \bar{h} \in \mathcal{P}} d(h, \bar{h}) \beta^* \left[ \hat{\mathcal{Z}}^{h, \bar{h}}(z, \bar{z}) \right] = 0$$

- For the primaries, the above reduced equation forces :

$$d(h, \bar{h}) \beta^* \left[ \hat{\mathcal{Z}}^{h, h}(z, \bar{z}) \right] = 0, \quad \text{for } \forall (h, \bar{h}) \in \mathcal{P}.$$

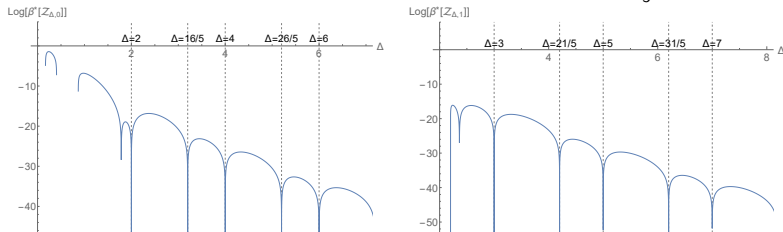
Idea : **Find the states such that  $\beta^* \left[ \hat{\mathcal{Z}}^{h, h}(z, \bar{z}) \right] = 0!$**  (Otherwise,  $d(h, \bar{h}) = 0$ .)

- Spectrum Analysis

1. Apply the EFM and find the states such that make  $\beta^* \left[ \hat{\mathcal{Z}}^{h, h}(z, \bar{z}) \right] = 0$ .
2. For those states, find the corresponding maximal degeneracies.
3. *Assuming every primaries hit the maximal degeneracies*, find the consistent modular invariant partition function.

- $F_4$  example

- The EFM analysis applied to the hypothetical CFT with  $c = \frac{26}{5}$ ,



- From the EFM analysis, the data of spin-0 and spin-1 low-lying primaries are,

$$\Delta_{j=0} \in \left\{ \frac{6}{5} + 2n, 2 + 2n \mid n \in \mathbb{Z}_{\geq 0} \right\}, \quad \Delta_{j=1} \in \left\{ \frac{11}{5} + 2n, 3 + 2n \mid n \in \mathbb{Z}_{\geq 0} \right\}.$$

- The solutions to the second order MDE with  $c = \frac{26}{5}$  gives :

$$f_0^{c=26/5}(q) = q^{-\frac{13}{60}} \left( 1 + 52q + 377q^2 + 1976q^3 + 7852q^4 + \dots \right),$$

$$f_1^{c=26/5}(q) = q^{\frac{3}{5} - \frac{13}{60}} \left( 26 + 299q + 1702q^2 + 7475q^3 + 27300q^4 + \dots \right).$$

- $F_4$  example (continued)

- For each low-lying primaries, the maximum degeneracies are,

$(h, \bar{h})$	Max. Deg	$(h, \bar{h})$	Max. Deg	$(h, \bar{h})$	Max. Deg
$(\frac{3}{5}, \frac{3}{5})$	676.0000	(1, 1)	2704.0000	(1, 0)	52.00028
$(\frac{3}{5}, \frac{8}{5})$	7098.0001	(2, 1)	16848.001	(2, 0)	324.0007
$(\frac{3}{5}, \frac{13}{5})$	35802.002	(3, 1)	80444.061	(3, 0)	1547.0091
$(\frac{8}{5}, \frac{8}{5})$	74529.0001	(2, 2)	104976.005	(4, 0)	5499.0126

- The relation between *partition function* and *reduced partition function* is given by,

$$\hat{Z}_{F_4}(q, \bar{q}) = |\tau|^{\frac{1}{2}} \eta(\tau)^2 \bar{\eta}(\bar{\tau})^2 Z_{F_4}(q, \bar{q}) - \underbrace{(1-q)(1-\bar{q})}_{\text{Vaccum contribution}}$$

- The partition function of  $(F_4)_1$  WZW model is known :

$$Z_{F_4}(q, \bar{q}) = |f_0^{c=26/5}(q)|^2 + |f_1^{c=26/5}(q)|^2$$

This perfectly agree with the numerical result.

- The Result Summary ( $c \leq 8$ )

- In case of  $(G_2)_1$ ,  $(F_4)_1$  and  $(E_7)_1$  WZW model, its modular invariant partition function is known. In terms of the solutions to the second order MDE, they are written as [Gannon 92]

$$Z_{G_2}(q, \bar{q}) = |f_0^{c=14/5}(q)|^2 + |f_1^{c=14/5}(q)|^2$$

$$Z_{F_4}(q, \bar{q}) = |f_0^{c=26/5}(q)|^2 + |f_1^{c=26/5}(q)|^2$$

$$Z_{E_7}(q, \bar{q}) = |f_0^{c=7}(q)|^2 + |f_1^{c=7}(q)|^2$$

and in case of  $(E_6)_1$  WZW model,

$$Z_{E_6}(q, \bar{q}) = f_0^{c=6}(q)\bar{f}_0^{c=6}(\bar{q}) + 2f_1^{c=6}(q)\bar{f}_1^{c=6}(\bar{q})$$

For them, we checked the spectral analysis successfully reproduce the known partition function.

- The  $(A_1)_1$ ,  $(A_2)_1$ ,  $(G_2)_1$ ,  $(D_4)_1$  and  $(E_8)_1$  WZW models are realized by the scalar gap problem (Collier, Lin, Yin 16), it turns out that it also realized by the **twist gap problem**.

- $(E_{7,1/2})_1$  WZW model?

- $E_{7,1/2}$  is non-simple Lie algebra, its subalgebra is  $E_7$ . It splits into  $E_7 \oplus 56 \oplus \mathbb{R}$ .
- The degeneracy analysis at  $c = \frac{38}{5}$  gives, [Cohen, Man de 96], [Landsberg, Manivel 06]

$(h, \bar{h})$	Max. Deg	$(h, \bar{h})$	Max. Deg	$(h, \bar{h})$	Max. Deg
$(\frac{4}{5}, \frac{4}{5})$	3249.0004	(1, 1)	36100.000	(1, 0)	190.00412
$(\frac{4}{5}, \frac{9}{5})$	59565.012	(2, 1)	501600.00	(2, 0)	2640.0481
$(\frac{9}{5}, \frac{9}{5})$	1092025.06	(2, 2)	6969600.01	(3, 0)	19285.021

- The solutions to the second order MDE with  $c = 38/5$  are given by,

$$f_0^{c=38/5}(q) = q^{-\frac{19}{60}} \left( 1 + 190q + 2831q^2 + 22306q^3 + 129276q^4 + \dots \right),$$

$$f_1^{c=38/5}(q) = q^{\frac{4}{5} - \frac{19}{60}} \left( 57 + 1102q + 9367q^2 + 57362q^3 + 280459q^4 + \dots \right).$$

- If there is  $(E_{7,1/2})_1$  WZW model, the modular invariant partition function may have the following diagonal form.

$$Z_{E_{7,1/2}}(q, \bar{q}) = |f_0^{c=\frac{38}{5}}(q)|^2 + |f_1^{c=\frac{38}{5}}(q)|^2$$

- Problems of the  $(E_{7,1/2})_1$  WZW model [Mathur, Mukhi, Sen 89]

- In case of the two-character RCFT, the modular differential equation admit the exact solution :

$$\chi_0 = \left(\frac{1}{16}\lambda(1-\lambda)\right)^{-\frac{c}{12}} {}_2F_1\left(\frac{1}{3} - \frac{c}{12}, -\frac{c}{4}; \frac{2}{3} - \frac{c}{6}; \lambda\right), \quad \chi_1 = N \left(\frac{1}{16}\lambda(1-\lambda)\right)^{\frac{1}{3} + \frac{c}{12}} {}_2F_1\left(\frac{2}{3} + \frac{c}{12}, 1 + \frac{c}{4}; \frac{4}{3} + \frac{c}{6}; \lambda\right)$$

where  $\lambda \equiv \frac{\vartheta_2(\tau)^4}{\vartheta_3(\tau)^4}$  and is transform  $\lambda \rightarrow 1 - \lambda$  under S-transformation.

- The S-matrix is defined by  $\begin{pmatrix} \chi_0(1-\lambda) \\ \chi_1(1-\lambda) \end{pmatrix} = S \begin{pmatrix} \chi_0(\lambda) \\ \chi_1(\lambda) \end{pmatrix}$ . The fusion rule coefficients are can be defined by S-matrix.

$$\mathcal{N}_{ijk} = \sum_n \frac{S_{in} S_{jn} S_{kn}}{S_{0n}}$$

- It turns out that  $c = \frac{38}{5}$  and  $N = 57$  gives negative fusion rule coefficient( $\mathcal{N}_{111}$ ). To circumvent it, we consider effective theory of  $c = -\frac{58}{5}$  : switch the role of the vacuum and primary character.

- After that, we have positive fusion rule. But is it reasonable to have 57-fold vacuum states?

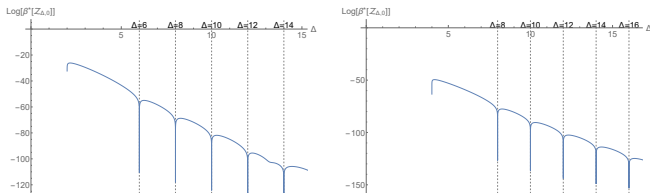


- Examine ECFTs via the modular bootstrap
  - CLAIM : Twist gap problem **realize the ECFTs with  $c = 24, 48$**  on the boundary.
  - The partition function of  $c = 24$  ECFT is obtained by the solutions to **the third order MDE**, while the  $c = 48$  partition function is realized by **the fourth order MDE**.

$$c = 24 : Z_{c=24}(q, \bar{q}) = J(q)\bar{J}(\bar{q})$$

$$c = 48 : Z_{c=48}(q, \bar{q}) = (J(q)^2 - 393767)(\bar{J}(\bar{q})^2 - 393767)$$

- The EFM analysis suggests that all of them have the states with integer  $\Delta$ .



- We find that the results of the numerical analysis perfectly matched to the modular invariant partition functions.

- CFTs without Kac-Moody symmetry
  - In the mathematics, the corresponding vertex operator algebra was constructed.

### Exceptional Vertex Operator Algebras and the Virasoro Algebra

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$C = 8, d_2 = 155$ : This can be realized as the fixed point free lattice VOA  $V_L^+$  (fixed under the automorphism lifted from the reflection isometry of the lattice  $L$ ) for the rank 8 even lattice  $L = \sqrt{2}E_8$ . The automorphism group is  $O_{10}^+(2).2$  [G].

$C = 16, d_2 = 2295$ : The VOA  $V_L^+$  for the rank 16 Barnes-Wall even lattice  $L = \Lambda_{16}$  whose automorphism group is  $2^{16}.O_{10}^+(2)$  [S].

$C = 23\frac{1}{2}, d_2 = 96255$ : This can be realized as the integrally graded subVOA of Höhn's Baby Monster Super VOA  $VB^{\natural}$  whose automorphism group is the Baby Monster group  $\mathbb{B}$  [Ho2].

- With an ansatz  $f_0(q) = q^\alpha(a_0 + a_2q^2 + a_3q^3 + a_4q^4 + \dots)$ , the solutions to the third order MDE with  $c = 8, c = 16$  and  $c = \frac{47}{2}$  are given by,

$$f_0^{c=8}(q) = q^{-1/3} \left( 1 + 156q^2 + 1024q^3 + 6790q^4 + 32768q^5 + \dots \right)$$

$$f_0^{c=16}(q) = q^{-2/3} \left( 1 + 2296q^2 + 65536q^3 + 1085468q^4 + \dots \right)$$

$$f_0^{c=47/2}(q) = q^{-47/48} \left( 1 + 96256q^2 + 9646891q^3 + 366845011q^4 + \dots \right)$$

- The partition function of  $c = 8$  CFT without Kac-Moody symmetry
- The degeneracy analysis without conserved current of  $j = 1$  gives,

$(h, \bar{h})$	Max. Deg	$(h, \bar{h})$	Max. Deg	$(h, \bar{h})$	Max. Deg
$(\frac{1}{2}, \frac{1}{2})$	496.0000000	(1, 1)	33728.00000	(2, 0)	155.000000
$(\frac{1}{2}, \frac{3}{2})$	17360.00000	(2, 1)	505920.0000	(3, 0)	868.000000
$(\frac{3}{2}, \frac{3}{2})$	607600.0009	(2, 2)	7612825.000	(4, 0)	5610.00000

- The other two solutions to the third order MDE with  $c = 8$  are :

$$f_{h=1/2}(\tau) = a_0 q^{1/6} \left( 1 + 36q + 394q^2 + 2776q^3 + 15155q^4 + \dots \right),$$

$$f_{h=1}(\tau) = a_1 q^{2/3} \left( 1 + 16q + 136q^2 + 832q^3 + 4132q^4 + \dots \right)$$

- Our numerical results suggest that the modular invariant partition function reads,

$$Z_{c=8} = f_{h=0}^{c=8}(\tau) \bar{f}_{h=0}^{c=8}(\bar{\tau}) + 496 f_{h=1/2}^{c=8}(\tau) \bar{f}_{h=1/2}^{c=8}(\bar{\tau})|_{a_0=1} + 33728 f_{h=1}^{c=8}(\tau) \bar{f}_{h=1}^{c=8}(\bar{\tau})|_{a_1=1}.$$

$$= 1 + \underbrace{496}_{1+155+340} q^{\frac{1}{2}} \bar{q}^{\frac{1}{2}} + \underbrace{17856}_{2 \times 155 + 2 \times 868 + 15810} q^{\frac{3}{2}} \bar{q}^{\frac{1}{2}} + \underbrace{33728}_{2108+31620} q \bar{q} + \underbrace{539648}_{539648} q^2 \bar{q} + \dots$$

- The partition function of  $c = 16$  CFT without Kac-Moody symmetry
- The degeneracy analysis without conserved current of  $j = 1$  gives, gives,

$(h, \bar{h})$	Max. Deg	$(h, \bar{h})$	Max. Deg	$(h, \bar{h})$	Max. Deg
$(\frac{3}{2}, \frac{3}{2})$	32505856.0032	(1, 1)	134912.0000	(2, 0)	2295.00000
$(\frac{3}{2}, \frac{5}{2})$	1657798656.0001	(2, 1)	18213120.00	(3, 0)	63240.0000
$(\frac{3}{2}, \frac{7}{2})$	34228666368.005	(2, 2)	2464038225.003	(4, 0)	1017636.00

- The other two solutions to the third order MDE with  $c = 16$  are :

$$f_{h=1} = b_0 q^{1/3} \left( 1 + 136q + 4132q^2 + 67712q^3 + 770442q^4 + \dots \right),$$

$$f_{h=3/2} = b_1 q^{5/6} \left( 1 + 52q + 1106q^2 + 14808q^3 + 147239q^4 + \dots \right)$$

- Our numerical results suggest that the modular invariant partition function reads,

$$Z_{c=16} = f_{h=0}^{c=16}(\tau) \bar{f}_{h=0}^{c=16}(\bar{\tau}) + 134912 f_{h=1}^{c=16}(\tau) \bar{f}_{h=1}^{c=16}(\bar{\tau})|_{b_0=1} + 32505856 f_{h=3/2}^{c=16}(\tau) \bar{f}_{h=3/2}^{c=16}(\bar{\tau})|_{b_1=1}.$$

$$= 1 + \underbrace{2296}_{2 \times 1 + 186 + 2108} q^2 + \underbrace{65536}_{2 \times 1 + 186 + 14756 + 50592} q^3 + \underbrace{134912}_{186 + 340 + 868 + 22858 + 110670} q\bar{q} + \dots$$

- Baby Monster CFT [Höhn 07]

- The degeneracies with  $c = \frac{47}{2}$  reads,

$(h, \bar{h})$	Max. Deg $(h, \bar{h})$	$(h, \bar{h})$	Max. Deg
$(\frac{3}{2}, \frac{3}{2})$	19105641.026984403127	$(\frac{5}{2}, \frac{5}{2})$	1298173112605.3499336
$(2, 2)$	9265025041.322733803	$(\frac{31}{16}, \frac{31}{16})$	9265217540.6086142750
$(\frac{5}{2}, \frac{3}{2})$	4980203754.2560961756	$(\frac{47}{16}, \frac{31}{16})$	1011288637613.8107313

- The three solutions to the third order MDE with  $c = \frac{47}{2}$  are given by,

$$f_{h=3/2}^{c=47/2} = q^{25/48} a_1 \left( 1 + \frac{785}{3} q + \frac{44393}{3} q^2 + 418441 q^3 + \frac{23301881}{3} q^4 + \dots \right)$$

$$f_{h=31/16}^{c=47/2} = q^{23/24} a_2 \left( 1 + \frac{5177}{47} q + 4372 q^2 + 100627 q^3 + 1625207 q^4 + \dots \right)$$

- Corresponding modular invariant partition function reads,

$$Z_{c=47/2} = f_{h=0}^{c=47/2}(\tau) \bar{f}_{h=0}^{c=47/2}(\bar{\tau}) + f_{h=3/2}^{c=47/2}(\tau) \bar{f}_{h=3/2}^{c=47/2}(\bar{\tau}) \Big|_{a_1=\sqrt{4371}} + f_{h=31/16}^{c=47/2}(\tau) \bar{f}_{h=31/16}^{c=47/2}(\bar{\tau}) \Big|_{a_2=\sqrt{96256}}$$

$$= 1 + \underbrace{96256}_{1+96255} q^2 + \underbrace{9646891 q^3}_{2 \times 1 - 4371 + 2 \times 96255 + 9458750} + \underbrace{19105641 q^{3/2} \bar{q}^{-3/2}}_{1+96255+9458750+9550635} + \dots$$

- Comments on the “dual” CFT description
  - Ising model versus Babymonster CFT

	$c$	$h_1$	$h_2$
Ising model	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{16}$
Baby monster CFT	$\frac{47}{2}$	$\frac{3}{2}$	$\frac{31}{16}$
Sum	24	2	2

Ising model and Baby monster CFT are related via bilinear relation : [Hampapura, Mukhi 16]

$$j(\tau) - 744 = \chi_{\text{VB}_{(0)}^h}(\tau) \chi_{\text{vac}}^{\text{Ising}}(\tau) + \chi_{\text{VB}_{(1)}^h}(\tau) \chi_{h=\frac{1}{2}}^{\text{Ising}}(\tau) + \chi_{\text{VB}_{(3)}^h}(\tau) \chi_{h=\frac{1}{16}}^{\text{Ising}}(\tau)$$

- $c = 8$  and  $c = 16$  CFTs without Kac-Moody symmetry are related by bilinear relation :

$$j(\tau) - 744 = \left( f_{h=0}^{c=8} \right) \left( f_{h=0}^{c=16} \right) + \left( f_{h=1}^{c=8} \Big|_{a_1=\sqrt{33728}} \right) \left( f_{h=1}^{c=16} \Big|_{b_0=\sqrt{134912}} \right) \\ + \left( f_{h=1/2}^{c=8} \Big|_{a_0=\sqrt{496}} \right) \left( f_{h=3/2}^{c=16} \Big|_{b_1=\sqrt{32505856}} \right)$$

# Application to the $\mathcal{W}$ -algebra cases

- Bootstrapping with  $\mathcal{W}$ -algebra

- In case of the  $\mathcal{W}(2, 3)$ -algebra, we have spin-3 generator  $W_n$ . The corresponding fugacity  $p = e^{2\pi iz}$  should be introduced in the character.

$$\begin{aligned}\chi_{(h,w;c)}(\tau, z) &= \text{Tr}_{h,w}(q^{L_0 - \frac{c}{24}} p^{W_0}) \\ &= \text{Tr}_{h,w}(q^{L_0 - \frac{c}{24}}) + \alpha_1 \text{Tr}_{h,w}(q^{L_0 - \frac{c}{24}} W_0) + \alpha_2 \text{Tr}_{h,w}(q^{L_0 - \frac{c}{24}} W_0^2) + \dots\end{aligned}$$

The modular transformation property is only known up to  $W_0^2$  order. [Iles, Watts 14]

We will focus on the **unrefined character** which means  $W_0$ -zeroth order character.

$$\chi_0(\tau) = \frac{q^{-\frac{c-2}{24}} (1-q)^3 (1+q)}{\eta(\tau)^2}, \quad \chi(\tau) = \frac{q^{h-\frac{c-2}{24}}}{\eta(\tau)^2}$$

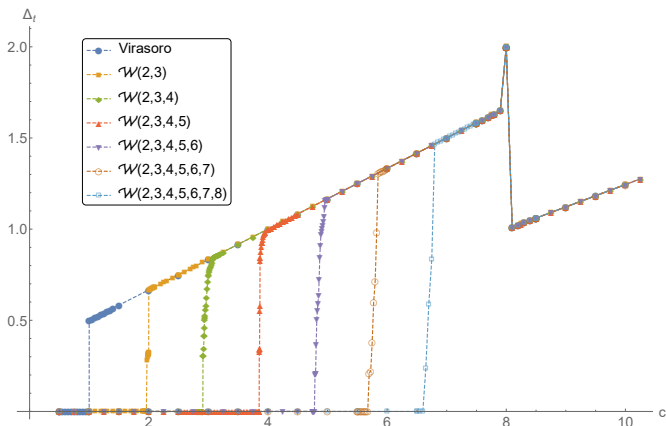
because of the null states  $\langle 0 | L_1 L_{-1} | 0 \rangle = 0$ ,  $\langle 0 | W_1 W_{-1} | 0 \rangle = 0$  and  $\langle 0 | W_2 W_{-2} | 0 \rangle = 0$ .

- Assuming the non-vacuum module is non-degenerate, the unrefined character of rank- $r$   $\mathcal{W}(d_1, d_2, \dots, d_r)$ -algebra is given by,

$$\chi_0(\tau) = \frac{q^{-\frac{c-N+1}{24}}}{\eta(\tau)^{N-1}} \prod_{j=1}^r \prod_{i=1}^{f_j-1} (1-q^i), \quad \chi(\tau) = \frac{q^{h-\frac{c-N+1}{24}}}{\eta(\tau)^{N-1}}.$$

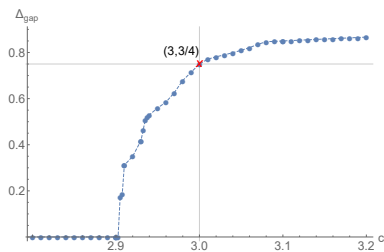
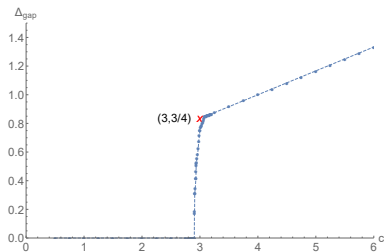


- The Numerical Bounds(Twist Gap)



- The numerical bound at  $c \geq r$  is identical to the one obtained from the Virasoro character. This results suggest that the unitary irreducible representations of  $\mathcal{W}(d_1, d_2, \dots, d_r)$ -algebra do not contain any nontrivial null states when  $c \geq r$ .

- Numerical bound with Rank-3  $\mathcal{W}$ -algebra



- $(c = 3, \Delta = \frac{3}{4})$  sits on the numerical boundary that obtained using the unrefined character of rank-3  $\mathcal{W}(2, 3, 4)$  algebra. Note that  $c = 3$  is not in the list of the two-character RCFTs.
- The hypothetical CFT with  $c = 3$  can be identified to the  $(A_3)_1$  WZW model. This theory is realized by third order MDE, with Kac-Moody symmetry.
- CLAIM : The twist gap problem with  $\mathcal{W}_{2,3,4}$  algebra EXCLUSIVELY realize  $(A_3)_1$  WZW model on the numerical bound!

- Spectral Analysis on  $(A_3)_1$  WZW model

- The maximal degeneracies are :

$(h, \bar{h})$	Max. Deg	$(h, \bar{h})$	Max. Deg
$(\frac{3}{8}, \frac{3}{8})$	32.00000	$(\frac{1}{2}, \frac{1}{2})$	36.000000
$(\frac{3}{8}, \frac{11}{8})$	96.00000	$(\frac{1}{2}, \frac{3}{2})$	48.00000
$(\frac{11}{8}, \frac{11}{8})$	288.01585	$(\frac{3}{2}, \frac{3}{2})$	64.11818

- The characters of  $(A_3)$  WZW model reads,

$$\chi_{[0]}^{A_3}(q) = q^{-\frac{1}{8}} \left( 1 + 15q + 51q^2 + 172q^3 + 453q^4 + 1128q^5 + \dots \right),$$

$$\chi_{[1]}^{A_3}(q) = q^{\frac{3}{8}-\frac{1}{8}} \left( 4 + 24q + 84q^2 + 248q^3 + 648q^4 + 1536q^5 + \dots \right),$$

$$\chi_{[2]}^{A_3}(q) = q^{\frac{1}{2}-\frac{1}{8}} \left( 6 + 26q + 102q^2 + 276q^3 + 728q^4 + 1698q^5 + \dots \right),$$

- We find the maximal degeneracies are perfectly agree with the degeneracies in the below partition function.

$$Z_{A_3}(q, \bar{q}) = |\chi_{[0]}^{A_3}(q)|^2 + 2|\chi_{[1]}^{A_3}(q)|^2 + |\chi_{[2]}^{A_3}(q)|^2$$

## • Conclusion and Outlook

- The **twist gap problem** with **holomorphic currents** ( $j \geq 1$ ) successfully realize two-character RCFTs and three-character RCFTs on the numerical bound. The various RCFTs include level-one WZW models and extremal conformal field theories.
- When the holomorphic currents are included from  $j = 2$ , the CFTs without Kac-Moody symmetry are realized on the numerical boundary. It include  $c = 8$ ,  $c = 16$  CFTs and baby monster CFT. **We suggest the modular invariant partition function of those theories** based on the numerical results.

The coefficients in partition function can be decomposed by the dimension of irrpes of the  $O_{10}^+(2)$  or **baby monster group**. They are expected to be a underlying symmetry of the three special theories.

- The numerical analysis extended to the  $\mathcal{W}$ -algebra cases, using the unrefined character. The numerical bounds suggest the absence of the degenerate states in unitary irreducible representation when  $c \geq r$ .
- Application to the supersymmetric cases : Can we examine the super WZW models, super extremal conformal field theory? Unexpected super-RCFTs?