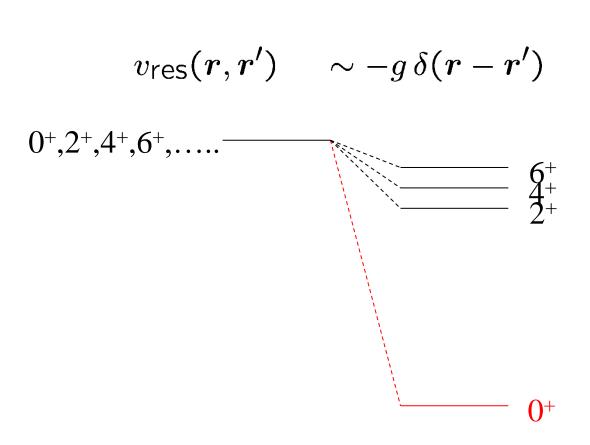
3. 対相関の理論的記述:BCS近似





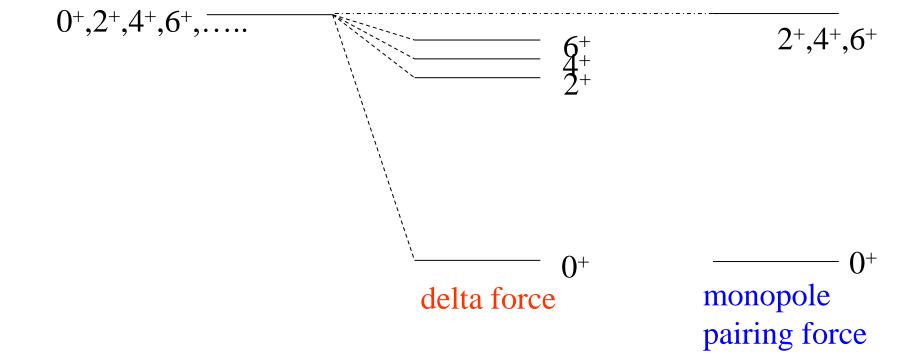
デルタ関数のままでもいいが、説明を簡単にするために もう少し簡単にした相互作用を導入する。

Simplified pairing interaction

$$V = -GP^{\dagger}P; \quad P^{\dagger} = \sum_{\nu>0} a_{\nu}^{\dagger} a_{\overline{\nu}}^{\dagger}$$

 $ar{
u}$: the time reversed state of u

e.g.,
$$|\nu\rangle = |njlm\rangle$$
, $|\bar{\nu}\rangle = |njl - m\rangle$



Simplified pairing interaction

$$V = -GP^{\dagger}P; \quad P^{\dagger} = \sum_{\nu>0} a^{\dagger}_{\nu} a^{\dagger}_{\overline{\nu}} \quad \text{of } \nu$$
: the time reversed state

$$H = \sum_{k} \epsilon_k (a_k^{\dagger} a_k + a_{\overline{k}}^{\dagger} a_{\overline{k}}) - G \left(\sum_{k>0} a_k^{\dagger} a_{\overline{k}}^{\dagger} \right) \left(\sum_{k>0} a_{\overline{k}} a_k \right)$$

$$H = \begin{pmatrix} 2\epsilon_1 - G & -G & 0 & 0 \\ -G & 2\epsilon_2 - G & 0 & 0 \\ 0 & 0 & \epsilon_1 + \epsilon_2 & 0 \\ 0 & 0 & 0 & \epsilon_1 + \epsilon_2 \end{pmatrix}$$

$$\rightarrow \Psi_{g.s.} = C_1 \Psi_1 + C_2 \Psi_2$$

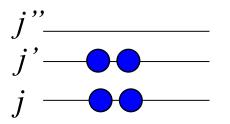
2x2 行列の対角化をすると:

$$E_{\rm g.s.} = \epsilon_1 + \epsilon_2 - G - \sqrt{(\epsilon_2 - \epsilon_1)^2 + G^2} < 2\epsilon_1 \quad (\text{for } G > 0)$$

$$\Psi_{\rm g.s.} = C_1 \Psi_1 + C_2 \Psi_2$$

$$\epsilon_2 \qquad - \bullet \qquad - \bullet$$

励起状態に入れることにより相関をかせぎ、 エネルギーが下がる→それぞれの準位は部分的に占有されている

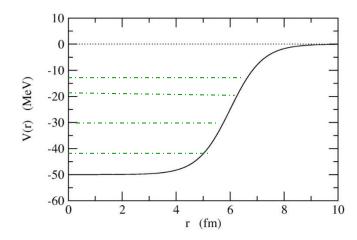


複数個のレベルに 複数個のペアがある問題

BCS理論: もともと、超伝導を説明するために Bardeen, Cooper, Schrieffer によって1957年に定式化された理論
→これを原子核の対相関現象に適用 (Bohr, Mottelson, Pines, 1958)

HF+BCS theory

①平均場近似をして核子の感じるポテンシャルを求める (平均的な振る舞いをまず決める)



$$H = \sum_{k} \epsilon_{k} (a_{k}^{\dagger} a_{k} + a_{\overline{k}}^{\dagger} a_{\overline{k}}) - G \left(\sum_{k>0} a_{k}^{\dagger} a_{\overline{k}}^{\dagger} \right) \left(\sum_{k>0} a_{\overline{k}} a_{k} \right)$$

②各準位の占有確率を決める。 決め方は、残留相互作用も含めてエネルギーが最小になるように する。

$$H = \sum_{\nu} \epsilon_{\nu} (a_{\nu}^{\dagger} a_{\nu} + a_{\overline{\nu}}^{\dagger} a_{\overline{\nu}}) - G \left(\sum_{\nu > 0} a_{\nu}^{\dagger} a_{\overline{\nu}}^{\dagger} \right) \left(\sum_{\nu > 0} a_{\overline{\nu}} a_{\nu} \right)$$

2体の相互作用 → 1体近似をする

cf. HF potential

$$V_H(r) = \int v(r, r') \rho_{\mathsf{HF}}(r') dr$$

Solve the pairing Hamiltonian

$$H = \sum_{\nu} \epsilon_{\nu} (a_{\nu}^{\dagger} a_{\nu} + a_{\overline{\nu}}^{\dagger} a_{\overline{\nu}}) - G \left(\sum_{\nu > 0} a_{\nu}^{\dagger} a_{\overline{\nu}}^{\dagger} \right) \left(\sum_{\nu > 0} a_{\overline{\nu}} a_{\nu} \right)$$

in the mean-field approximation

• Mean-field approximation:

$$V = -GP^{\dagger}P \to -G\left(\langle P^{\dagger}\rangle P + P^{\dagger}\langle P\rangle\right) = -\Delta(P^{\dagger} + P)$$

particle number violation

we consider $H' = H - \lambda \hat{N}$ instead of H:

$$H' = \sum_{k>0} (\epsilon_k - \lambda) (a_k^{\dagger} a_k + a_{\overline{k}}^{\dagger} a_{\overline{k}}) - G \widehat{P}^{\dagger} \widehat{P}$$

$$\rightarrow \sum_{k>0} (\epsilon_k - \lambda) (a_k^{\dagger} a_k + a_{\overline{k}}^{\dagger} a_{\overline{k}}) - \Delta (\widehat{P}^{\dagger} + \widehat{P})$$

$$= \sum_{k>0} (\epsilon_k - \lambda) (a_k^{\dagger} a_k + a_{\overline{k}}^{\dagger} a_{\overline{k}}) - \Delta \sum_{k>0} (a_k^{\dagger} a_{\overline{k}}^{\dagger} + a_{\overline{k}} a_k)$$

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ullet Transform H' in a form of

$$H' = \sum_{k>0} E_k (\alpha_k^{\dagger} \alpha_k + \alpha_{\bar{k}}^{\dagger} \alpha_{\bar{k}})$$



g.s.: $\alpha_k |BCS\rangle = 0$

1st excited state: $|1_k\rangle = \alpha_k^{\dagger}|BCS\rangle$ at E_k

.... and so on.

Bogoliubov transformation

$$\alpha_{\nu}^{\dagger} = u_{\nu} a_{\nu}^{\dagger} - v_{\nu} a_{\bar{\nu}}, \quad \alpha_{\bar{\nu}}^{\dagger} = u_{\nu} a_{\bar{\nu}}^{\dagger} + v_{\nu} a_{\nu}$$

(Quasi-particle operator)

or
$$a_{\nu}^{\dagger} = u_{\nu}\alpha_{\nu}^{\dagger} + v_{\nu}\alpha_{\overline{\nu}}, \quad a_{\overline{\nu}}^{\dagger} = u_{\nu}\alpha_{\overline{\nu}}^{\dagger} - v_{\nu}\alpha_{\nu}$$

(note)
$$\{\alpha_{\nu}, \alpha_{\nu'}\} = 0, \quad \{\alpha_{\nu}, \alpha_{\nu'}^{\dagger}\} = \delta_{\nu, \nu'}$$

$$\rightarrow u_{\nu}^{2} + v_{\nu}^{2} = 1$$

Bogoliubov transformation

$$\alpha_{\nu}^{\dagger} = u_{\nu} a_{\nu}^{\dagger} - v_{\nu} a_{\overline{\nu}}, \quad \alpha_{\overline{\nu}}^{\dagger} = u_{\nu} a_{\overline{\nu}}^{\dagger} + v_{\nu} a_{\nu}$$

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or
$$a_{\nu}^{\dagger} = u_{\nu}\alpha_{\nu}^{\dagger} + v_{\nu}\alpha_{\bar{\nu}}, \quad a_{\bar{\nu}}^{\dagger} = u_{\nu}\alpha_{\bar{\nu}}^{\dagger} + -v_{\nu}\alpha_{\nu}$$

$$H' = \sum_{k>0} (\epsilon_k - \lambda)(a_k^{\dagger}a_k + a_{\bar{k}}^{\dagger}a_{\bar{k}}) - \Delta \sum_{k>0} (a_k^{\dagger}a_{\bar{k}}^{\dagger} + a_{\bar{k}}a_k)$$

$$\to a_{\nu}^{\dagger} = u_{\nu}\alpha_{\nu}^{\dagger} + -v_{\nu}\alpha_{\nu}$$

using the quasi-particle operators:

$$H' \sim \sum_{k>0} (\epsilon_k - \lambda)(a_k^{\dagger} a_k + a_{\overline{k}}^{\dagger} a_{\overline{k}}) - \Delta \sum_{k>0} (a_k^{\dagger} a_{\overline{k}}^{\dagger} + a_{\overline{k}} a_k)$$

$$= \sum_{k>0} [(\epsilon_k - \lambda)(u_k^2 - v_k^2) + 2\Delta u_k v_k](\alpha_k^{\dagger} \alpha_k + \alpha_{\overline{k}}^{\dagger} \alpha_{\overline{k}})$$

$$+ \sum_{k>0} [2(\epsilon_k - \lambda)u_k v_k - \Delta(u_k^2 - v_k^2)](\alpha_k^{\dagger} \alpha_{\overline{k}}^{\dagger} + \alpha_{\overline{k}} \alpha_k)$$

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if
$$2(\epsilon_k - \lambda)u_kv_k - \Delta(u_k^2 - v_k^2) = 0$$

if $H' = \sum_{k>0} E_k(\alpha_k^{\dagger}\alpha_k + \alpha_{\overline{k}}^{\dagger}\alpha_{\overline{k}})$

with
$$E_k = (\epsilon_k - \lambda)(u_k^2 - v_k^2) + 2\Delta u_k v_k$$

$$H' = \sum_{k>0} [(\epsilon_k - \lambda)(u_k^2 - v_k^2) + 2\Delta u_k v_k](\alpha_k^{\dagger} \alpha_k + \alpha_{\overline{k}}^{\dagger} \alpha_{\overline{k}})$$
$$+ \sum_{k>0} [2(\epsilon_k - \lambda)u_k v_k - \Delta(u_k^2 - v_k^2)](\alpha_k^{\dagger} \alpha_{\overline{k}}^{\dagger} + \alpha_{\overline{k}} \alpha_k)$$



$$u_{\nu}^{2} = \frac{1}{2} \left(1 + \frac{\epsilon_{\nu} - \lambda}{\sqrt{(\epsilon_{\nu} - \lambda)^{2} + \Delta^{2}}} \right)$$

$$v_{\nu}^{2} = \frac{1}{2} \left(1 - \frac{\epsilon_{\nu} - \lambda}{\sqrt{(\epsilon_{\nu} - \lambda)^{2} + \Delta^{2}}} \right)$$

$$H' = \sum_{k>0} [(\epsilon_k - \lambda)(u_k^2 - v_k^2) + 2\Delta u_k v_k](\alpha_k^{\dagger} \alpha_k + \alpha_{\overline{k}}^{\dagger} \alpha_{\overline{k}})$$
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$$v_{\nu}^{2} = \frac{1}{2} \left(1 - \frac{\epsilon_{\nu} - \lambda}{\sqrt{(\epsilon_{\nu} - \lambda)^{2} + \Delta^{2}}} \right)$$

$$E_k = (\epsilon_k - \lambda)(u_k^2 - v_k^2) + 2\Delta u_k v_k$$
$$= \sqrt{(\epsilon_k - \lambda)^2 + \Delta^2}$$

$$H' = \sum_{k>0} E_k (\alpha_k^{\dagger} \alpha_k + \alpha_{\bar{k}}^{\dagger} \alpha_{\bar{k}})$$

$$E_k = \sqrt{(\epsilon_k - \lambda)^2 + \Delta^2}$$

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Ground state wave function:

$$\alpha_k |BCS\rangle = 0$$



$$|BCS\rangle \propto \prod_{\nu>0} \alpha_{\nu} \alpha_{\overline{\nu}} |0\rangle$$

$$= \prod_{\nu>0} v_{\nu} \left(u_{\nu} + v_{\nu} a_{\nu}^{\dagger} a_{\overline{\nu}}^{\dagger}\right) |0\rangle$$



$$|BCS\rangle = \prod_{\nu>0} \left(u_{\nu} + v_{\nu} \, a_{\nu}^{\dagger} a_{\overline{\nu}}^{\dagger} \right) \Big| \, 0 \Big\rangle$$

Ground state wave function:

$$\alpha_k |BCS\rangle = 0$$



$$|BCS\rangle = \prod_{\nu>0} \left(u_{\nu} + v_{\nu} \, a_{\nu}^{\dagger} a_{\overline{\nu}}^{\dagger} \right) |0\rangle$$

(note)
$$\langle BCS | a_{\nu}^{\dagger} a_{\nu} | BCS \rangle = |v_{\nu}|^2$$
 : occupation probability

(note)

$$E'_{\mathsf{BCS}} = \langle BCS|H'|BCS\rangle \sim 2\sum_{\nu>0} (\epsilon_{\nu} - \lambda)v_{\nu}^2 - \frac{\Delta^2}{G}$$

Ground state wave function:

$$\alpha_k |BCS\rangle = 0$$

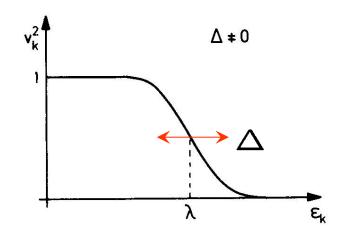


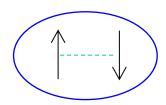
$$|BCS\rangle = \prod_{\nu>0} \left(u_{\nu} + v_{\nu} \, a_{\nu}^{\dagger} a_{\overline{\nu}}^{\dagger} \right) |0\rangle$$

$$(\text{note}) \quad \left(1 + \frac{v_{\nu}}{u_{\nu}} a_{\nu}^{\dagger} a_{\overline{\nu}}^{\dagger} \right) \bigg| \, 0 \right\rangle = \exp \left(\frac{v_{\nu}}{u_{\nu}} a_{\nu}^{\dagger} a_{\overline{\nu}}^{\dagger} \right) \bigg| \, 0 \right\rangle$$



$$|\Psi\rangle \propto \exp\left(\sum_{\nu>0} \frac{v_{\nu}}{u_{\nu}} a_{\nu}^{\dagger} a_{\overline{\nu}}^{\dagger}\right) \left| 0 \right\rangle$$
 (pair condensed wave function)





(一対の凝縮

Gap equation

$$\int u_{\nu}^{2} = \frac{1}{2} \left(1 + \frac{\epsilon_{\nu} - \lambda}{E_{\nu}} \right)$$

$$v_{\nu}^{2} = \frac{1}{2} \left(1 - \frac{\epsilon_{\nu} - \lambda}{E_{\nu}} \right)$$

$$E_{\nu} = \sqrt{(\epsilon_{\nu} - \lambda)^2 + \Delta^2}$$



$$\Delta = G\langle BCS|\hat{P}|BCS\rangle = G\sum_{\nu>0} u_{\nu}v_{\nu}$$
$$= \frac{G}{2}\sum_{\nu>0} \frac{\Delta}{E_{\nu}}$$

(Gap equation)

$$N = 2 \sum_{\nu > 0} v_{\nu}^2 \leftarrow \lambda$$

$$\Delta = \frac{G}{2} \sum_{\nu > 0} \frac{\Delta}{E_{\nu}}$$

i) Trivial solution: always exists

$$\Delta = 0$$

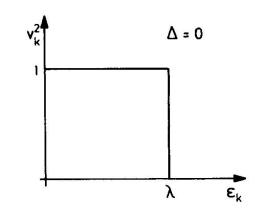
$$\Delta = G \sum_{\nu > 0} u_{\nu} v_{\nu}$$

$$v_{\nu}^2 = 1 \quad (\epsilon_{\nu} \leq \lambda)$$

= 0 $(\epsilon_{\nu} > \lambda)$

$$|\Psi\rangle = \prod_{\nu>0} a_{\nu}^{\dagger} a_{\overline{\nu}}^{\dagger} |0\rangle$$

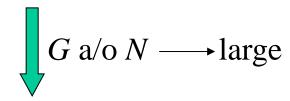




$$\Delta = \frac{G}{2} \sum_{\nu > 0} \frac{\Delta}{E_{\nu}}$$

i) Trivial solution: always exists

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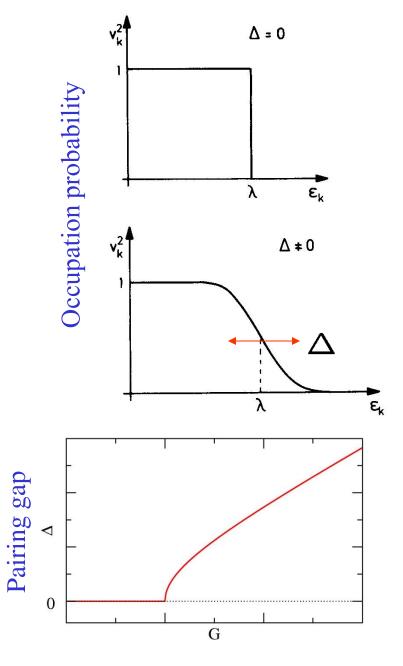
ii) Superfluid solution

$$\Delta \neq 0$$

$$v_{\nu}^{2} < 1$$

$$|BCS\rangle = \prod_{\nu > 0} \left(u_{\nu} + v_{\nu} \, a_{\nu}^{\dagger} a_{\overline{\nu}}^{\dagger} \right) \Big| \, 0 \Big\rangle$$

Number fluctuation



Normal-Superfulid phase transition

Quasi-particle excitations

$$H = \sum_{\nu} \epsilon_k (a_k^{\dagger} a_k + a_{\overline{k}}^{\dagger} a_{\overline{k}}) - G \left(\sum_{k>0} a_k^{\dagger} a_{\overline{k}}^{\dagger} \right) \left(\sum_{k>0} a_{\overline{k}} a_k \right)$$

ハミルトニアンを書き直すと:

$$H \sim E_{BCS} + \sum_{k} E_{k} \, \alpha_{k}^{\dagger} \alpha_{k}$$

$$E_{k} = \sqrt{(\epsilon_{k} - \lambda)^{2} + \Delta^{2}}$$

$$\alpha_{\nu}^{\dagger} = u_{\nu} a_{\nu}^{\dagger} - v_{\nu} a_{\overline{\nu}}, \qquad \alpha_{\overline{\nu}}^{\dagger} = u_{\nu} a_{\overline{\nu}}^{\dagger} + v_{\nu} a_{\nu}$$
 (ボゴリューボフ変換)

Quasi-particle excitations

$$H \sim E_{BCS} + \sum_{k} E_{k} \alpha_{k}^{\dagger} \alpha_{k}$$

$$\alpha_{\nu}^{\dagger} = u_{\nu} a_{\nu}^{\dagger} - v_{\nu} a_{\overline{\nu}}, \qquad \alpha_{\overline{\nu}}^{\dagger} = u_{\nu} a_{\overline{\nu}}^{\dagger} + v_{\nu} a_{\nu}$$
 (ボゴリューボフ変換)

基底状態: |BCS>

1準粒子状態: $\alpha_k^\dagger | \mathsf{BCS}
angle$

2準粒子状態: $\alpha_k^\dagger \alpha_{k'}^\dagger | \mathsf{BCS} \rangle$

奇核に対応

•N +/- 2 の原子核

同じ原子核の励起状態に対応

$$\alpha^{\dagger}\alpha^{\dagger} \sim a^{\dagger}a^{\dagger} + aa + a^{\dagger}a + aa^{\dagger}$$

Quasi-particle excitations

$$H \sim E_{BCS} + \sum_{k} E_{k} \, \alpha_{k}^{\dagger} \alpha_{k}$$

$$\alpha_{\nu}^{\dagger} = u_{\nu} a_{\nu}^{\dagger} - v_{\nu} a_{\overline{\nu}}, \qquad \alpha_{\overline{\nu}}^{\dagger} = u_{\nu} a_{\overline{\nu}}^{\dagger} + v_{\nu} a_{\nu}$$
 (ボゴリューボフ変換)

基底状態: |BCS>

1準粒子状態: $\alpha_k^{\dagger} | \mathsf{BCS} \rangle$

2準粒子状態: $\alpha_k^{\dagger} \alpha_{k'}^{\dagger} | BCS \rangle$

奇核に対応

- •N +/- 2 の原子核
- 同じ原子核の励起状態 に対応

$$E_k = \sqrt{(\epsilon_k - \lambda)^2 + \Delta^2} \ge \Delta$$

(エネルギー・ギャップ)

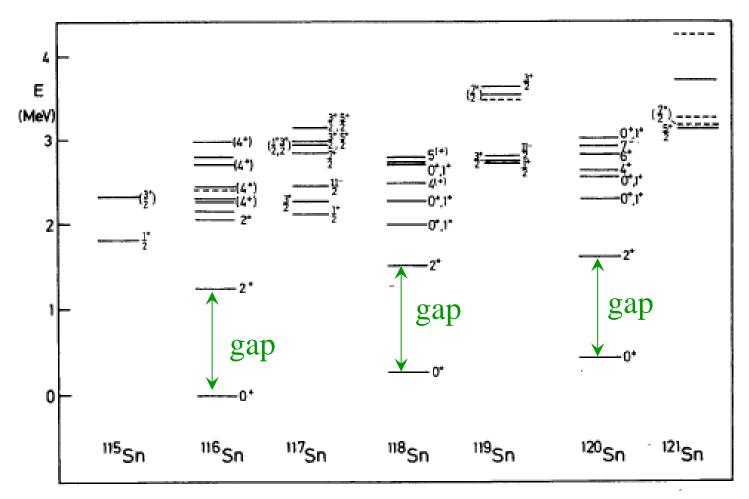
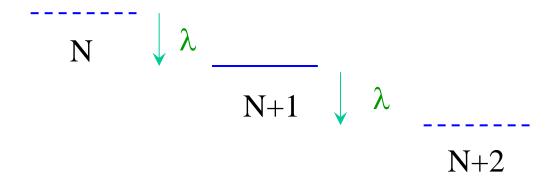


Figure 6.1. Excitation spectra of the 50Sn isotopes.

Even-odd mass difference and pairing gap

$$E(N+2,Z) = E(N,Z) + 2\lambda$$

$$E(N+1,Z) = E(N,Z) + \lambda + \Delta$$



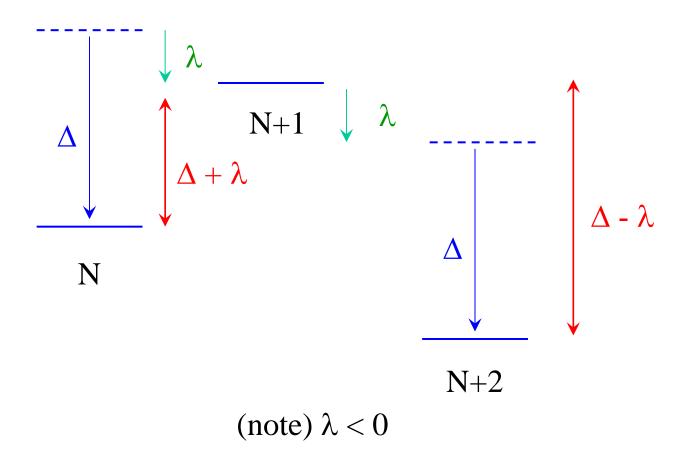
(note)
$$\lambda < 0$$

$$-\Delta_n \sim [E(N+2,Z) - 2E(N+1,Z) + E(N,Z)]/2$$

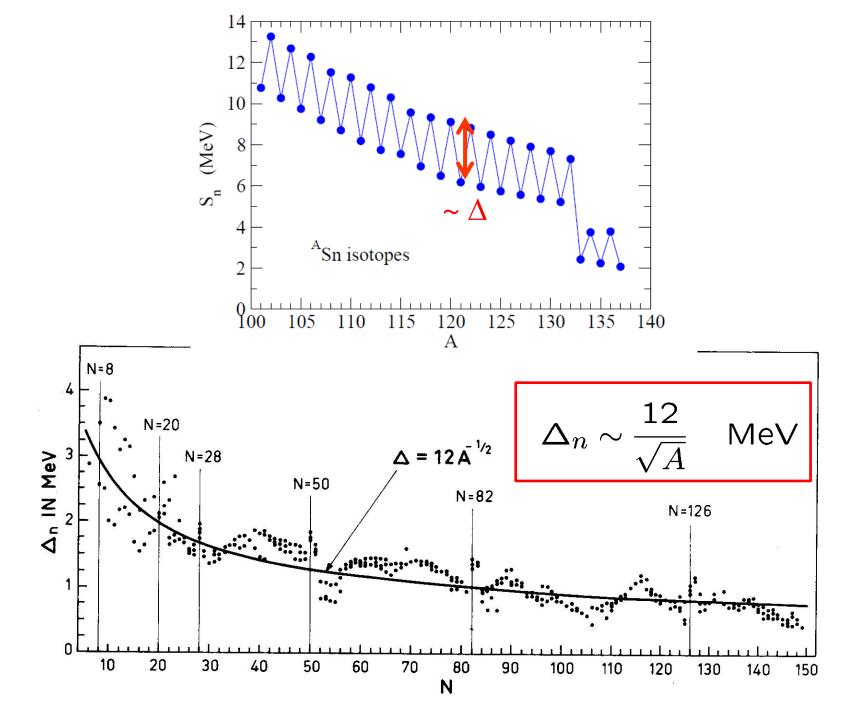
Even-odd mass difference and pairing gap

$$E(N+2,Z) = E(N,Z) + 2\lambda$$

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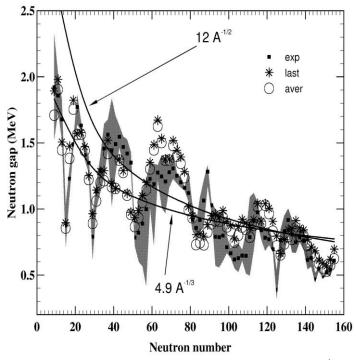


$$-\Delta_n \sim [E(N+2,Z) - 2E(N+1,Z) + E(N,Z)]/2$$



$$\Delta_n \sim rac{12}{\sqrt{A}}$$
 MeV

ただし、このA依存性はあまり理論的根拠のあるものではない。



S. Hilaire et al. Phys. Lett. B531, 61 (2002).

$$\Delta \propto rac{1}{A^{1/3}}$$
?

弱結合近似:
$$\Delta \propto e^{-1/(G\rho)} \sim 1-rac{1}{G
ho} \propto 1+cA^{-1/3}$$
 $G \propto 1/A$ \longleftrightarrow 対相関エネルギーから

$$ho \propto A(1+cA^{-1/3})$$
 ←フェルミガス近似

弱結合近似: $\Delta \propto e^{-1/(G\rho)}$

Ring-Schuck, Ch. 6.3.6

$$1 = \frac{1}{2}G \int_{\epsilon''-\lambda}^{\epsilon'-\lambda} \frac{1}{\sqrt{\epsilon^2 + \Delta^2}} \rho(\epsilon) d\epsilon$$

$$N = 2 \int_{\epsilon''-\lambda}^{\epsilon'-\lambda} \frac{1}{2} \left(1 - \frac{\epsilon}{\sqrt{\epsilon^2 + \Delta^2}} \right) \rho(\epsilon) d\epsilon$$

$$\rightarrow \Delta = \frac{\epsilon' - \epsilon''}{2\sinh(1/G\rho)} \sqrt{1 - (1 - N/\Omega)^2} \propto e^{-1/(G\rho)}$$

$$G \propto 1/A$$

$$\Delta E_L \sim -g \int_0^\infty r^2 dr \left(R_l(r)\right)^4 \sim -g \frac{1}{R_0^3} \propto 1/A$$

$$\rho \propto A(1 + cA^{-1/3})$$

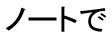
$$\frac{dN}{dE} = V \frac{4\pi k^2}{(2\pi)^3} \frac{dk}{dE} = V \frac{mk_F}{2\pi^2 \hbar^2} \propto V \propto A$$

$$\Omega \equiv \rho(\epsilon' - \epsilon'')$$

$$\Omega \equiv \rho(\epsilon' - \epsilon'')$$

$$R_l(r) \sim \sqrt{\frac{1}{R_0^3}} \theta(R_0 - r)$$

BCS近似の妥当性



$$|BCS\rangle = \prod_{\nu>0} \left(u_{\nu} + v_{\nu} \, a_{\nu}^{\dagger} a_{\overline{\nu}}^{\dagger} \right) |0\rangle$$

様々な粒子数の状態が混ざっている $|BCS
angle = \sum_{N_k} C_{N_k} |N_k
angle$

ただし、平均値だけは正しく設定されている:

$$\langle BCS|\hat{N}|BCS\rangle = 2\sum_{\nu>0} v_{\nu}^2 = N$$

粒子数のゆらぎの度合い:

$$(\Delta N)^2 = \langle BCS | \hat{N}^2 | BCS \rangle - N^2 = 4 \sum_{\nu > 0} u_{\nu}^2 v_{\nu}^2$$

$$|BCS\rangle = \prod_{\nu>0} \left(u_{\nu} + v_{\nu} \, a_{\nu}^{\dagger} a_{\overline{\nu}}^{\dagger} \right) |0\rangle$$

様々な粒子数の状態が混ざっている $|BCS\rangle = \sum_{N_k} C_{N_k} |N_k
angle$

粒子数射影: $\hat{P}_N|BCS\rangle = C_N|N\rangle$

$$\widehat{P}_N = \frac{1}{2\pi} \int_0^{2\pi} d\phi \, e^{i(\widehat{N} - N)\phi}$$

(note) $\frac{1}{2\pi} \int_0^{2\pi} d\phi \, e^{i(N'-N)\phi} = \delta_{N,N'}$

ハミルトニアンは粒子数を保存:

$$[H, \hat{N}] = 0 \rightarrow U^{\dagger}(\phi)HU(\phi) = H; \quad U(\phi) = e^{i\phi\hat{N}}$$

U(1) 対称性

BCS状態は U(1) 対称性が自発的に破れた状態

$$|BCS\rangle = \prod_{\nu>0} \left(u_{\nu} + v_{\nu} \, a_{\nu}^{\dagger} a_{\overline{\nu}}^{\dagger} \right) \Big| \, 0 \Big\rangle$$

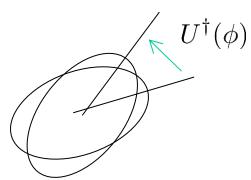
$$|BCS(\phi)\rangle \equiv U^{\dagger}(\phi)|BCS\rangle = \prod_{\nu>0} \left(u_{\nu} + v_{\nu} e^{-2i\phi} a_{\nu}^{\dagger} a_{\overline{\nu}}^{\dagger}\right) |0\rangle$$

ゲージ空間で「変形」している状態

$$[H, \hat{N}] = 0 \rightarrow U^{\dagger}(\phi)HU(\phi) = H; \quad U(\phi) = e^{i\phi\hat{N}}$$

BCS状態は U(1) 対称性が自発的に破れた状態

$$|BCS(\phi)\rangle \equiv U^{\dagger}(\phi)|BCS\rangle = \prod_{\nu>0} \left(u_{\nu} + v_{\nu} e^{-2i\phi} a_{\nu}^{\dagger} a_{\overline{\nu}}^{\dagger}\right) |0\rangle$$



ちょうど角運動量と角度の関係のようなもの:

$$\hat{L}_z = \frac{\hbar}{i} \frac{\partial}{\partial \phi}$$

