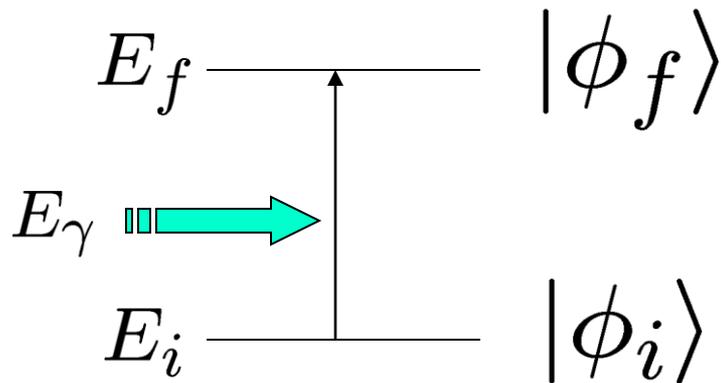
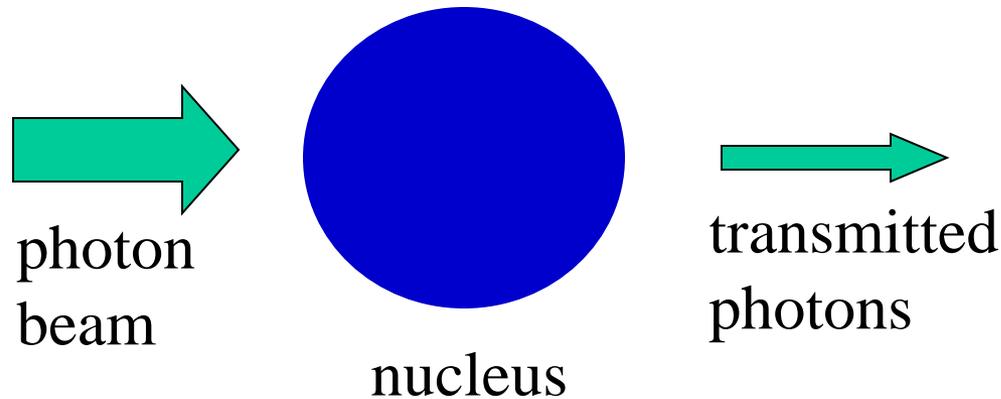


# Collective Vibrations

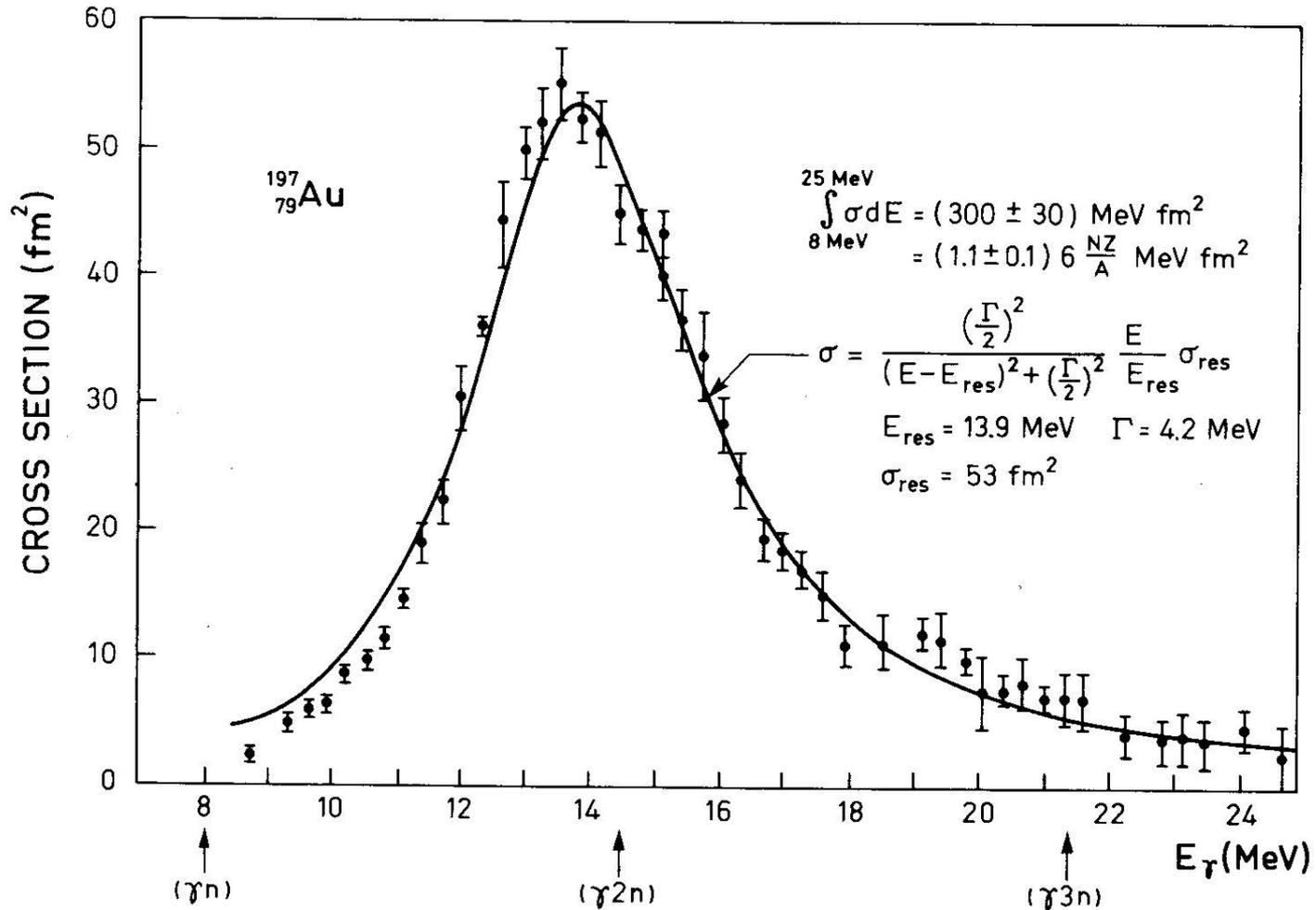
How does a nucleus respond to an external perturbation?

## i) Photo absorption cross section



The state is strongly excited when  
 $E_f - E_i = E_\gamma$ .

# Giant Dipole Resonance (GDR)



**Figure 6-18** Total photoabsorption cross section for  $^{197}\text{Au}$ . The experimental data are from S. C. Fultz, R. L. Bramblett, J. T. Caldwell, and N. A. Kerr, *Phys. Rev.* **127**, 1273 (1962). The solid curve is of Breit-Wigner shape with the indicated parameters.

## Remarks

### i) Photon interaction $\longleftrightarrow$ dipole excitation

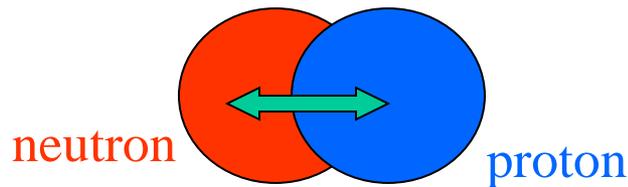
$$H_{\text{int}} = \frac{1}{2m} \frac{e}{c} (\mathbf{p} \cdot \mathbf{A} + \mathbf{A} \cdot \mathbf{p})$$

$$\mathbf{A}(\mathbf{r}, t) = \sum_{\mathbf{k}} \sum_{\alpha=1,2} \sqrt{\frac{2\pi c^2 \hbar}{\omega V}} (a_{\mathbf{k}\alpha} \boldsymbol{\epsilon}_{\alpha} e^{i\mathbf{k} \cdot \mathbf{r} - i\omega_{\mathbf{k}} t} + h.c.)$$

$$e^{i\mathbf{k} \cdot \mathbf{r}} \sim 1 \quad (\text{dipole approximation})$$

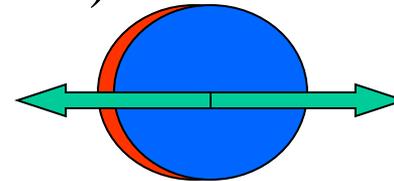

$$\sigma_{\text{abs}}(E_{\gamma}) = \frac{4\pi^2 e^2}{\hbar c} (E_f - E_i) |\langle \phi_f | \tilde{z} | \phi_i \rangle|^2 \delta(E_{\gamma} - E_f + E_i)$$

### ii) Isospin



Isovector type

(note)  $\tilde{z} = \sum_p (z_p - Z_{cm})$



Isoscalar dipole motion

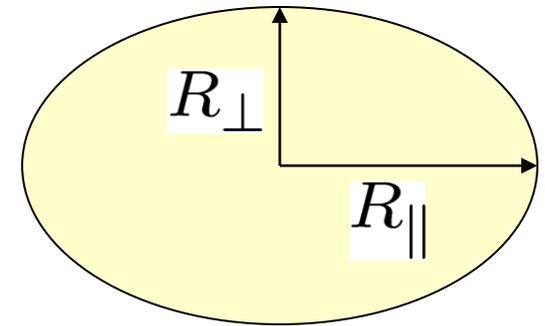
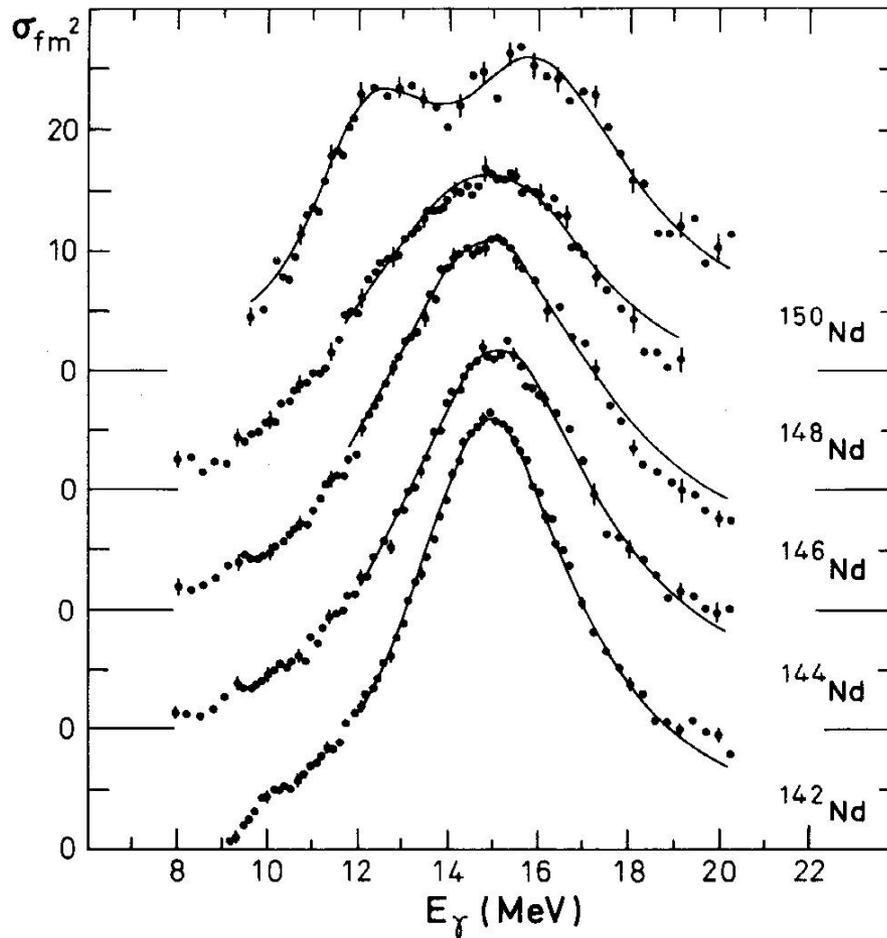
$\longleftrightarrow$  c.m. motion (to the first order)

### iii) Collective motion

Motion of the whole nucleus rather than a single-particle motion

## Deformation effect

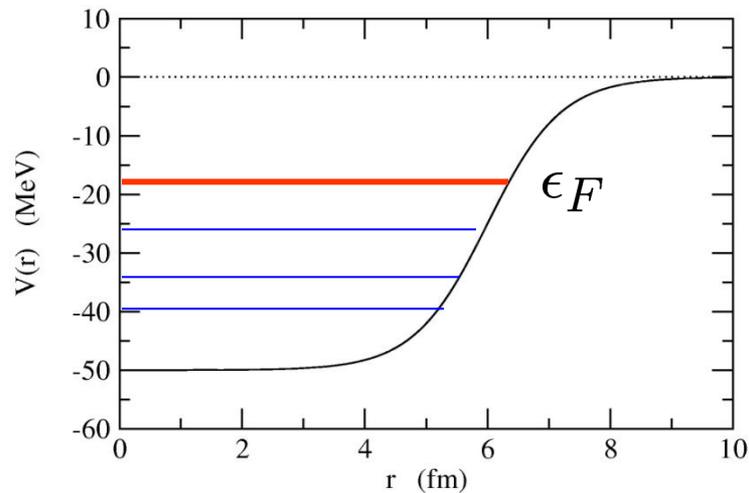
$$\hbar\omega \sim A^{-1/3} \sim 1/R$$



**Figure 6-21** Photoabsorption cross section for even isotopes of neodymium. The experimental data are from P. Carlos, H. Beil, R. Bergère, A. Lepretre, and A. Veyssière, *Nuclear Phys. A172*, 437 (1971). The solid curves represent Lorentzian fits with the parameters given in Table 6-6.

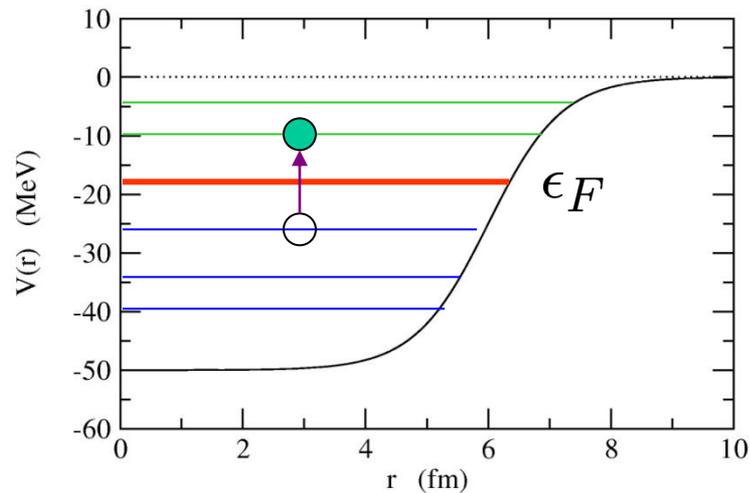
# Particle-Hole excitations

## Hartree-Fock state



$$|HF\rangle$$

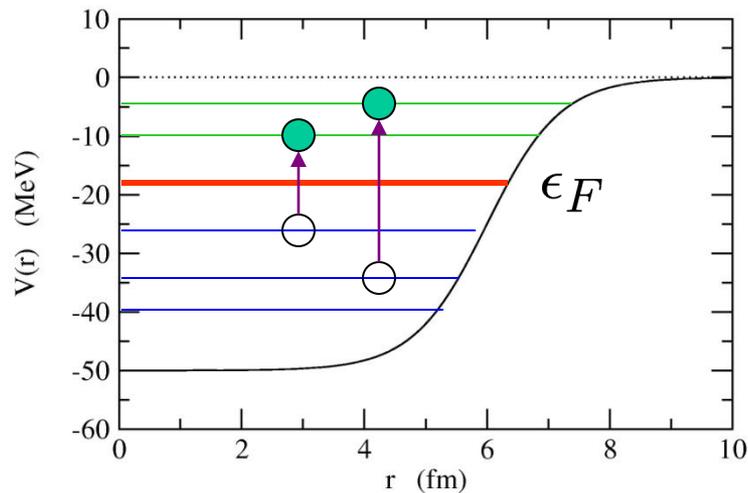
## 1 particle-1 hole (1p1h) state



$$a_p^\dagger a_h |HF\rangle$$

## 2 particle-2 hole (2p2h) state

$$a_p^\dagger a_{p'}^\dagger a_h a_{h'} |HF\rangle$$



# Tamm-Dancoff Approximation

$$\begin{aligned}\text{Assume: } |\nu\rangle = Q_\nu^\dagger |HF\rangle &= \sum_{ph} X_{ph} a_p^\dagger a_h |HF\rangle \\ &= \sum_{ph} X_{ph} |ph^{-1}\rangle\end{aligned}$$

(superposition of 1p1h states)

$$H|\nu\rangle = E_\nu|\nu\rangle$$



$$\sum_{p'h'} H_{ph,p'h'} X_{p'h'} = E_\nu X_{ph}$$

$$H_{ph,p'h'} = (\epsilon_p - \epsilon_h) \delta_{ph,p'h'} + \langle ph' | \bar{v} | hp' \rangle$$

Tamm-Dancoff equation

# TDA on a schematic model

Separable interaction:  $\langle ph' | \bar{v} | hp' \rangle = \lambda D_{ph} D_{p'h'}^*$

Tamm-Dancoff equation:  $\sum_{p'h'} A_{ph,p'h'} X_{p'h'} = E X_{ph}$

$$A_{ph,p'h'} = (\epsilon_p - \epsilon_h) \delta_{ph,p'h'} + \langle ph' | \bar{v} | hp' \rangle$$


$$(\epsilon_{ph} - E) X_{ph} + \lambda D_{ph} \cdot T = 0 \quad T \equiv \sum_{ph} D_{ph}^* X_{ph}$$


$$X_{ph} = -\lambda \frac{D_{ph} T}{\epsilon_{ph} - E}$$


$$T = \sum_{ph} D_{ph}^* X_{ph} = -\lambda \sum_{ph} \frac{|D_{ph}|^2}{\epsilon_{ph} - E} T$$

or

$$\frac{1}{\lambda} = \sum_{ph} \frac{|D_{ph}|^2}{E - \epsilon_{ph}}$$

(TDA dispersion relation)

# Graphical solutions

$$\frac{1}{\lambda} = \sum_{ph} \frac{|D_{ph}|^2}{E - \epsilon_{ph}}$$

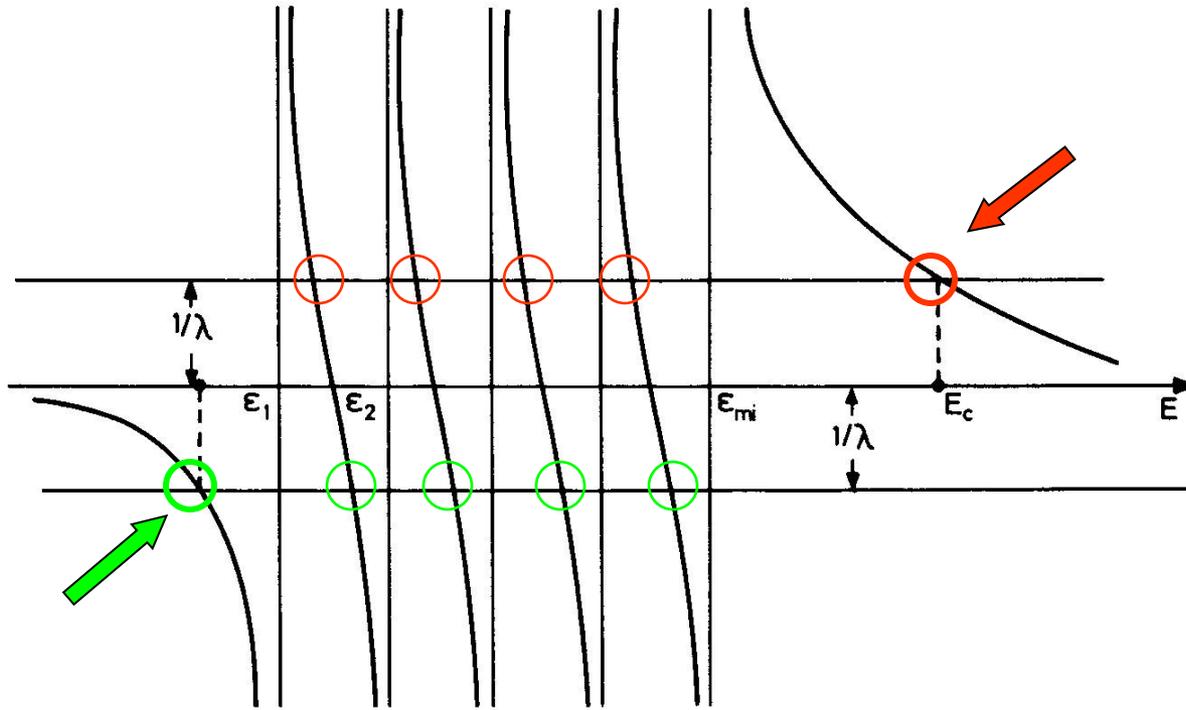


Figure 8.4. Graphical solution of Eq. (8.18).

(note) in the degenerate limit:  $\epsilon_{ph} \sim \epsilon$

$$E = \epsilon_{ph} + \lambda \sum_{ph} |D_{ph}|^2, \quad |\nu\rangle = \sum_{ph} D_{ph} a_p^\dagger a_h |HF\rangle$$

*coherent superposition of 1p1h states*

Iso-scalar type modes:  $E < \epsilon_{ph} \rightarrow \lambda < 0$  (attractive)

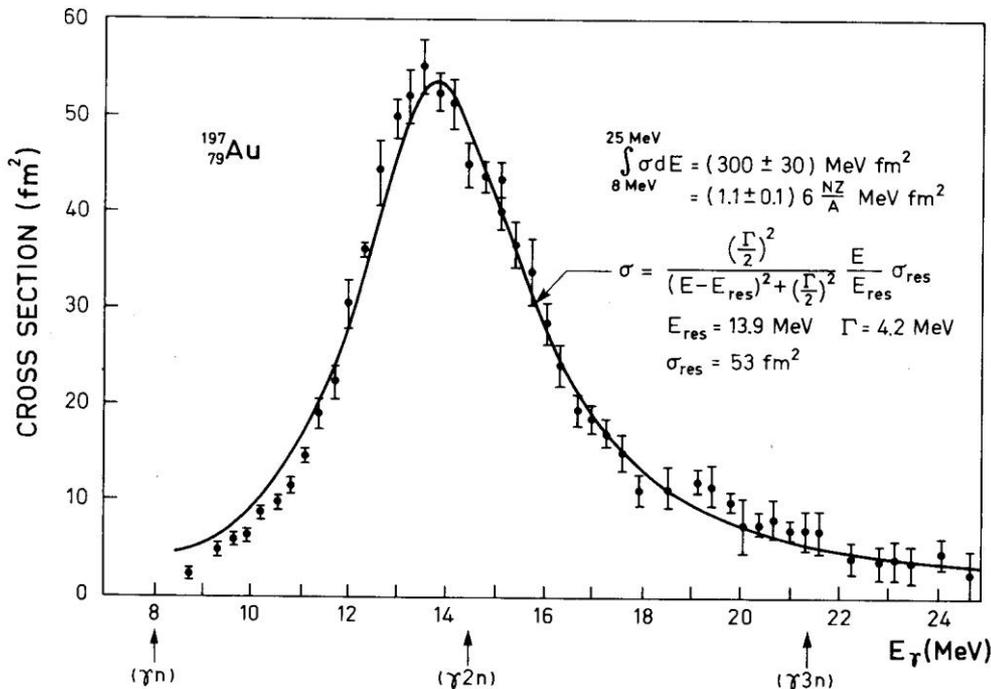
Iso-vector type modes:  $E > \epsilon_{ph} \rightarrow \lambda > 0$  (repulsive)

### Experimental systematics:

IV GDR:  $E \sim 79 A^{-1/3}$  (MeV)  $\longleftrightarrow \epsilon_{ph} \sim 41 A^{-1/3}$

IS GQR:  $E \sim 65 A^{-1/3}$  (MeV)  $\longleftrightarrow \epsilon_{ph} \sim 82 A^{-1/3}$

(note) single particle potential:  $\hbar\omega \sim 41 A^{-1/3}$  (MeV)



<sup>197</sup>Au

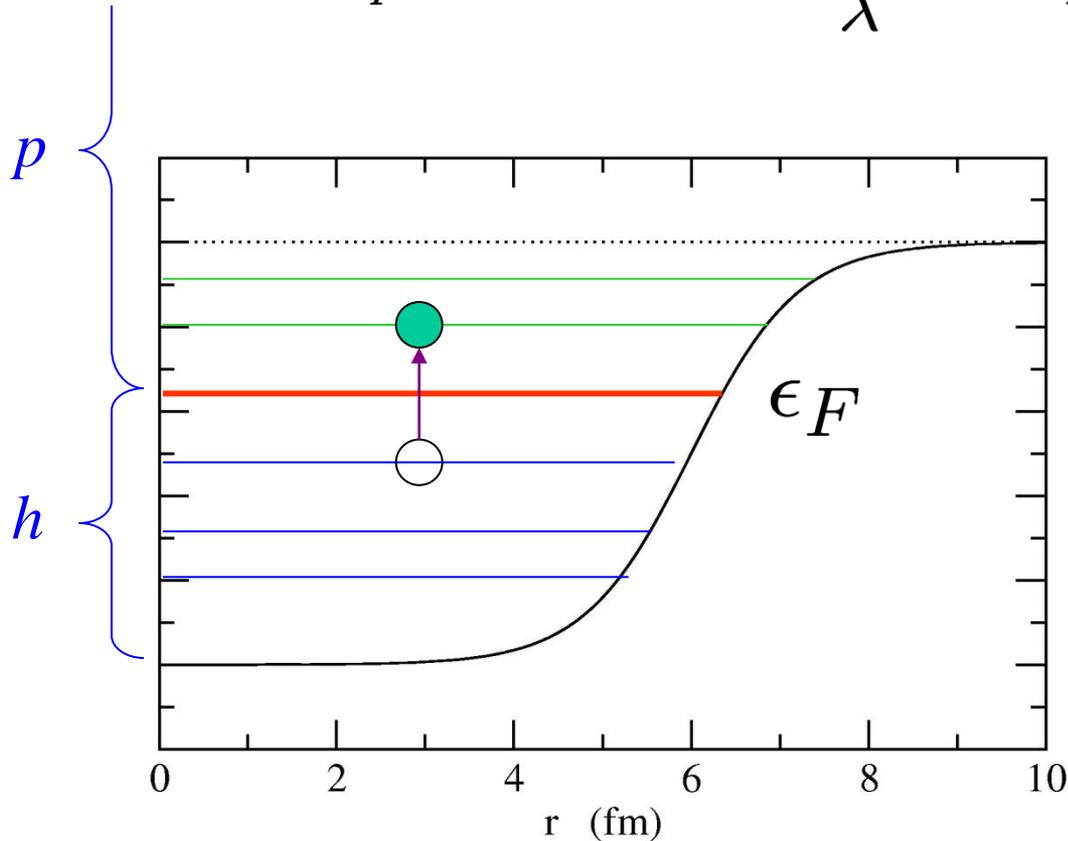
$E_{\text{GDR}} = 14$  (MeV)

$\epsilon_{ph} \sim 41 \cdot 197^{-1/3}$

$\sim 7$  (MeV)

# Continuum Excitations

$$\langle ph' | \bar{v} | hp' \rangle = \lambda D_{ph} D_{p'h'}^* \longrightarrow \frac{1}{\lambda} = - \sum_{ph} \frac{|D_{ph}|^2}{\epsilon_p - \epsilon_h - E}$$



$h$ : all the occupied (bound) states

$p$ : the bound excited states + continuum states

$$\frac{1}{\lambda} = - \sum_{ph} \frac{|D_{ph}|^2}{\epsilon_p - \epsilon_h - E}$$

**Coordinate representation:**  $D_{ph} = \int d\mathbf{r} \phi_p^*(\mathbf{r}) D(\mathbf{r}) \phi_h(\mathbf{r})$



$$\frac{1}{\lambda} = - \sum_{ph} \int d\mathbf{r} \int d\mathbf{r}' D(\mathbf{r}) D^*(\mathbf{r}') \frac{\phi_p^*(\mathbf{r}) \phi_h(\mathbf{r}) \phi_p(\mathbf{r}') \phi_h^*(\mathbf{r}')}{\epsilon_p - \epsilon_h - E}$$

(note)

$$\hat{h}\phi_p = \epsilon_p\phi_p$$



$$1 = \sum_i |\phi_i\rangle\langle\phi_i| = \sum_p |\phi_p\rangle\langle\phi_p| + \sum_h |\phi_h\rangle\langle\phi_h|$$

$$\text{rhs} = - \sum_h \int d\mathbf{r} \int d\mathbf{r}' D(\mathbf{r}) D^*(\mathbf{r}') \phi_h(\mathbf{r}) \phi_h^*(\mathbf{r}') \times \left( \left\langle \mathbf{r}' \left| \frac{1}{\hat{h} - \epsilon_h - E - i\eta} \right| \mathbf{r} \right\rangle - \sum_{h'} \frac{\phi_{h'}^*(\mathbf{r}) \phi_{h'}(\mathbf{r}')}{\epsilon_{h'} - \epsilon_h - E - i\eta} \right)$$

# Random Phase Approximation

Tamm-Dancoff Approximation:  $|\nu\rangle = Q_\nu^\dagger |HF\rangle = \sum_{ph} X_{ph} a_p^\dagger a_h |HF\rangle$   
(superposition of 1p1h states)

$$[H, Q_\nu^\dagger] \approx E_\nu Q_\nu^\dagger$$

$$\iff \langle HF | [\delta Q, [H, Q_\nu^\dagger]] | HF \rangle = E_\nu \langle HF | [\delta Q, Q_\nu^\dagger] | HF \rangle$$

## Drawbacks:

➤ No influence of  $\mathcal{U}$  in the ground state

$$E_{coll} = \epsilon + \lambda \sum_{ph} |D_{ph}|^2 \quad \longleftarrow \text{Interaction is essential in describing collective excitations}$$

➤ Energy Weighted Sum Rule is violated in TDA

➤ Admixture of the spurious modes with the physical excitation modes

HF  $\longleftrightarrow$  Broken Symmetries (CM localization, rotation,.....)

Restoration of broken symmetries  $\longrightarrow$  Goldstone mode  
(spurious motion)

A better approximation: **the random phase approximation (RPA)**

$$|\nu\rangle = Q_\nu^\dagger |0\rangle = \sum_{ph} \left( X_{ph} a_p^\dagger a_h - Y_{ph} a_h^\dagger a_p \right) |0\rangle$$

(superposition of 1p1h states)

$$[H, Q_\nu^\dagger] \approx E_\nu Q_\nu^\dagger$$

$$\iff \langle 0 | [\delta Q, [H, Q_\nu^\dagger]] | 0 \rangle = E_\nu \langle 0 | [\delta Q, Q_\nu^\dagger] | 0 \rangle$$

$\delta Q$  : arbitrary operator

(note) **Harmonic oscillator**:  $H_{\text{HO}} = \hbar\omega(a^\dagger a + 1/2)$

$$\implies [H_{\text{HO}}, a^\dagger] = \hbar\omega a^\dagger$$



**RPA**: describes a harmonic motion

• **RPA ground state**:  $Q_\nu |0\rangle = 0$

• **Normalization**:  $\langle \nu | \nu \rangle = 1 \rightarrow \sum_{ph} |X_{ph}|^2 - |Y_{ph}|^2 = 1$

$$Q_\nu^\dagger = \sum_{ph} X_{ph} a_p^\dagger a_h - Y_{ph} a_h^\dagger a_p$$

$$\langle 0 | [\delta Q, [H, Q_\nu^\dagger]] | 0 \rangle = E_\nu \langle 0 | [\delta Q, Q_\nu^\dagger] | 0 \rangle$$

$$\begin{cases} H = \sum_{1,2} t_{12} a_1^\dagger a_2 + \frac{1}{4} \sum_{1,2,3,4} \bar{v}_{1234} a_1^\dagger a_2^\dagger a_4 a_3 \\ \delta Q = a_h^\dagger a_p, \quad a_p^\dagger a_h \end{cases}$$

 Equations for  $X_{ph}$  and  $Y_{ph}$

Quasi-boson approximation:

$$\langle 0 | [\delta Q, [H, Q_\nu^\dagger]] | 0 \rangle \approx \langle HF | [\delta Q, [H, Q_\nu^\dagger]] | HF \rangle$$

$$\langle 0 | [\delta Q, Q_\nu^\dagger] | 0 \rangle \approx \langle HF | [\delta Q, Q_\nu^\dagger] | HF \rangle$$

(note)

$$\langle 0 | [Q_\nu, Q_\nu^\dagger] | 0 \rangle \approx \langle HF | [Q_\nu, Q_\nu^\dagger] | HF \rangle = 1$$

$$\langle HF | [\delta Q, [H, Q_\nu^\dagger]] | HF \rangle = E_\nu \langle HF | [\delta Q, Q_\nu^\dagger] | HF \rangle$$

$$Q_\nu^\dagger = \sum_{ph} X_{ph} a_p^\dagger a_h - Y_{ph} a_h^\dagger a_p \quad \delta Q = a_h^\dagger a_p, \quad a_p^\dagger a_h$$

RPA equation:

$$\sum_{p'h'} A_{ph,p'h'} X_{p'h'} + B_{ph,p'h'} Y_{p'h'} = E_\nu X_{ph}$$

$$\sum_{p'h'} B_{ph,p'h'}^* X_{p'h'} + A_{ph,p'h'}^* Y_{p'h'} = -E_\nu Y_{ph}$$

$$A_{ph,p'h'} = (\epsilon_p - \epsilon_h) \delta_{ph,p'h'} + \langle ph' | \bar{v} | hp' \rangle$$

$$B_{ph,p'h'} = \langle pp' | \bar{v} | hh' \rangle$$

or

$$\begin{pmatrix} A & B \\ -B^* & -A^* \end{pmatrix} \begin{pmatrix} X \\ Y \end{pmatrix} = E_\nu \begin{pmatrix} X \\ Y \end{pmatrix}$$

# RPA on a schematic model

Separable interaction:  $\langle ph' | \bar{v} | hp' \rangle = \lambda D_{ph} D_{p'h'}^*$

$$\frac{1}{\lambda} = \sum_{ph} \frac{|D_{ph}|^2}{E - \epsilon_{ph}} - \frac{|D_{ph}|^2}{E + \epsilon_{ph}} \quad (\text{RPA dispersion relation})$$

Cf. TDA dispersion relation:  $\frac{1}{\lambda} = \sum_{ph} \frac{|D_{ph}|^2}{E - \epsilon_{ph}}$

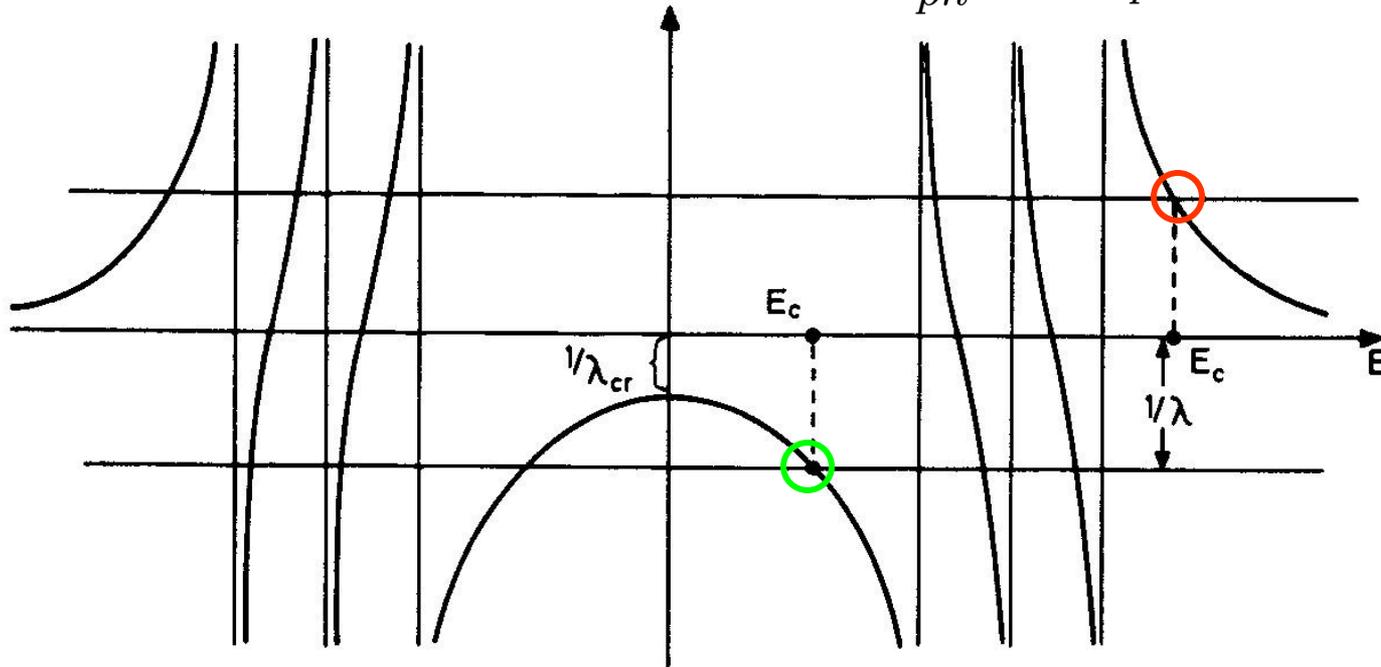
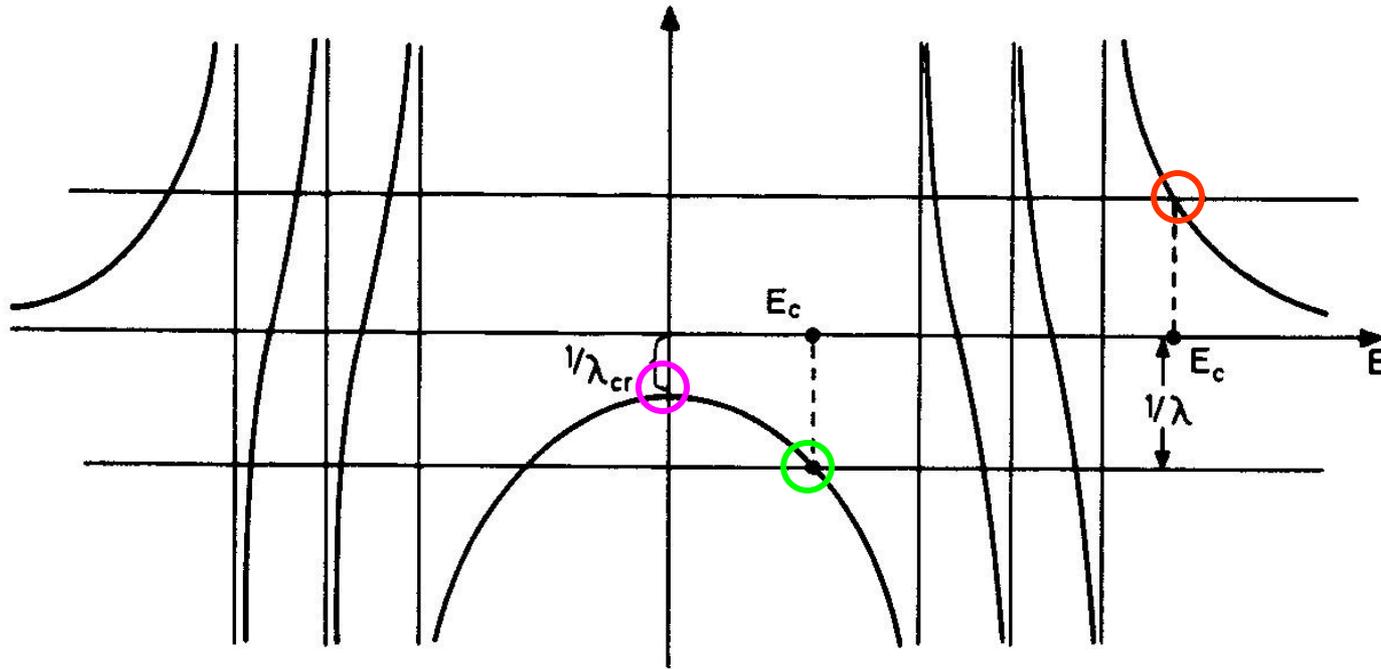


Figure 8.11. Graphical solution of the dispersion relation (8.135).

$$\frac{1}{\lambda} = \sum_{ph} \frac{|D_{ph}|^2}{E - \epsilon_{ph}} - \frac{|D_{ph}|^2}{E + \epsilon_{ph}}$$

(RPA dispersion relation)



i) Critical strength for attractive interaction

$$\lambda > \lambda_{crit} \rightarrow E^2 < 0 \longleftrightarrow \text{Instability of the HF state}$$

ii) Symmetric between  $E$  and  $-E$

iii) In the degenerate limit

$$E^2 = \epsilon^2 + 2\epsilon\lambda \sum_{ph} |D_{ph}|^2$$

# Spurious motion in RPA

Mean-Field Approximation  $\longleftrightarrow$  Broken symmetries

- Center of mass localization (single center)
- Rotational motion

## Restoration of broken symmetries

$\longrightarrow$  Zero mode (Nambu-Goldstone mode)

**RPA**  $\langle HF | [\delta Q, [H, Q_\nu^\dagger]] | HF \rangle = E_\nu \langle HF | [\delta Q, Q_\nu^\dagger] | HF \rangle$

$\curvearrowright$  if  $[H, \hat{O}] = 0$

Then  $\hat{O}$  is a solution of RPA with  $E=0$

$$\hat{O} = \sum_{ph} (O_{ph} a_p^\dagger a_h + O_{hp} a_h^\dagger a_p)$$

$\curvearrowright$  The physical solutions are exactly separated out from the spurious modes.