# Shell Structure $B(N,Z) = B_{macro}(N,Z) + B_{micro}(N,Z)$



•Smooth part

$$B_{\text{macro}}(N,Z) = a_v A - a_s A^{2/3} - a_C \frac{Z^2}{A^{1/3}} - a_{\text{sym}} \frac{(N-Z)^2}{A}$$

•Fluctuation part  $B_{\text{micro}} = B_{\text{pair}} + B_{\text{shell}}$ 

Liquid drop model:  $B_{LDM} = B_{macro} + B_{pair}$ 

# Pairing Energy

Extra binding when like nucleons form a spin-zero pair

Example:	Binding energy (MeV)
${}^{210}_{82}\text{Pb}_{128} = {}^{208}_{82}\text{Pb}_{126} + 2n$ ${}^{210}_{83}\text{Bi}_{127} = {}^{208}_{82}\text{Pb}_{126} + n + p$	1646.6 1644.8
${}^{209}_{82}Pb_{127} = {}^{208}_{82}Pb_{126} + n$ ${}^{209}_{83}Bi_{126} = {}^{208}_{82}Pb_{126} + p$	1640.4 1640.2
$B_{\text{pair}} = \Delta$	(for even – even)
= 0	(for even – odd)

 $= 0 \quad (for even - odd)$  $= -\Delta \quad (for odd - odd)$ 

#### even-odd staggering



1n separation energy:  $S_n (A,Z) = B(A,Z) - B(A-1,Z)$ 

## Shell Energy



Extra binding for N,Z = 2, 8, 20, 28, 50, 82, 126 (magic numbers)  $\longrightarrow$  Very stable  ${}^{4}_{2}\text{He}_{2}, {}^{16}_{8}\text{O}_{8}, {}^{40}_{20}\text{Ca}_{20}, {}^{48}_{20}\text{Ca}_{28}, {}^{208}_{82}\text{Pb}_{126}$  (note) Atomic magic numbers (Noble gas) He (Z=2), Ne (Z=10), Ar (Z=18), Kr (Z=36), Xe (Z=54), Rn (Z=86)



Shell structure

Similar attempt in nuclear physics: independent particle motion in a potential well

Woods-Saxon potential  $V(r) = -V_0/[1 + \exp((r - R_0)/a]$ 



$$\left[-\frac{\hbar^2}{2m}\nabla^2 + V(r) - \epsilon\right]\psi(r) = 0$$
$$\psi(r) = \frac{u_l(r)}{r}Y_{lm}(\hat{r}) \cdot \chi_{ms}$$



Woods-Saxon itself does not provide the correct magic numbers (2,8,20,28, 50,82,126).

Meyer and Jensen (1949): Strong spin-orbit interaction

$$-\frac{\hbar^2}{2m}\nabla^2 + V(r) + V_{ls}(r)\mathbf{l}\cdot\mathbf{s} - \epsilon \bigg]\psi(\mathbf{r}) = 0$$

$$V_{ls}(r) \sim -\lambda \frac{1}{r} \frac{dV}{dr}$$
  $(\lambda > 0)$ 

### jj coupling shell model

$$\left[-\frac{\hbar^2}{2m}\nabla^2 + V(r) - \epsilon\right]\psi(r) = 0 \implies \psi_{lmm_s}(r) = \frac{u_l(r)}{r}Y_{lm}(\hat{r}) \cdot \chi_{m_s}$$

Spin-orbit interaction

$$\left[-\frac{\hbar^2}{2m}\nabla^2 + V(r) + V_{ls}(r)\boldsymbol{l}\cdot\boldsymbol{s} - \boldsymbol{\epsilon}\right]\psi(r) = 0$$

(note) 
$$j = l + s$$
  $\Longrightarrow$   $l \cdot s = (j^2 - l^2 - s^2)/2$ 

$$\psi_{jlm}(\mathbf{r}) = \frac{u_{jl}(\mathbf{r})}{r} \mathcal{Y}_{jlm}(\hat{\mathbf{r}})$$
$$\mathcal{Y}_{jlm}(\hat{\mathbf{r}}) = \sum_{m_l,m_s} \langle l \ m_l \ 1/2 \ m_s | j \ m \rangle Y_{lm_l}(\hat{\mathbf{r}}) \chi_{m_s}$$

#### jj coupling shell model

$$\begin{bmatrix} -\frac{\hbar^2}{2m} \nabla^2 + V(r) + V_{ls}(r) l \cdot s - \epsilon \end{bmatrix} \psi(r) = 0$$
  
(note)  $\boldsymbol{j} = \boldsymbol{l} + \boldsymbol{s} \implies \boldsymbol{l} \cdot \boldsymbol{s} = (\boldsymbol{j}^2 - \boldsymbol{l}^2 - \boldsymbol{s}^2)/2$   
 $\psi_{jlm}(\boldsymbol{r}) = \frac{u_{jl}(r)}{r} \mathcal{Y}_{jlm}(\hat{\boldsymbol{r}})$   
 $\mathcal{Y}_{jlm}(\hat{\boldsymbol{r}}) = \sum_{m_l,m_s} \langle l \ m_l \ 1/2 \ m_s | \boldsymbol{j} \ m \rangle Y_{lm_l}(\hat{\boldsymbol{r}}) \chi_{m_s}$ 

 $l \cdot s = l/2 \ (j = l + 1/2), \quad -(l+1)/2 \ (j = l - 1/2)$ 

$$\overline{j = l - 1/2}^{-(l+1)/2 \cdot \langle V_{ls} \rangle}$$

$$j = l \pm 1/2$$

$$j = l + 1/2$$

$$l/2 \cdot \langle V_{ls} \rangle$$



### intruder states unique parity states

### Single particle spectra





- •Does the independent particle picture really hold?
  - $\implies$  Later in this lecture

# 生命誕生のための幸運な偶然

**原子の魔法数** 電子の数が 2, 10, 18, 36, 54, 86



不活性ガス: He, Ne, Ar, Kr, Xe, Rn

**原子核の魔法数** 陽子または中性子の数が 2, 8, 20, 28, 50, 82, 126 の時安定



- 酸素元素は元素合成
   の過程で数多く生成さ
   れた
- ━━━> しかし、酸素は化学的 には「活性」
- ➡ 化学反応により様々な 複雑な物質をつくり生命 に至った

参考:望月優子 ビデオ「元素誕生の謎にせまる」 http://rark

http://rarfaxp.riken.go.jp/~motizuki/contents/genso.html

## Nuclear Deformation

Deformed energy surface for a given nucleus



Shell correction  $\implies$  may lead to a deformed g.s.

\* Spontaneous Symmetry Breaking

## **Nuclear Deformation**

Excitation spectra of <sup>154</sup>Sm



$$0.082 - 2^{+}_{0}$$

$$E_I \sim rac{I(I+1)\hbar^2}{2\mathcal{J}}$$



cf. Rotational energy of a rigid body (Classical mechanics)



$$(I = \mathcal{J}\omega, \ \omega = \dot{\theta})$$

<sup>154</sup>Sm is deformed



c.f. HF + Angular Momentum Projection

### **Evidences for nuclear deformation**

•The existence of rotational bands

$$E_I = \frac{I(I+1)\hbar^2}{2\mathcal{J}}$$

•Very large quadrupole moments (for odd-A nuclei)

$$Q = e \sqrt{\frac{16\pi}{5}} \langle \Psi_{II} | r^2 Y_{20} | \Psi_{II} \rangle$$

Strongly enhanced quadrupole transition probabilities
Hexadecapole matrix elements
Single-particle structure
Fission isomers

Ground-state deformation

$$1.084 - 8^{+}$$
(MeV)
$$0.641 - 6^{+}$$

$$0.309 - 4^{+}$$

$$0.093 - 2^{+}$$

$$0^{+}$$

$$180 \text{Hf}$$
brobabilities
$$1 \text{iquid drop} \qquad \text{Fission from isomer state}$$

Fission from g.s.

Deformation

Single-Particle Motion in a Deformed Potential  $V(\boldsymbol{r}) \sim \int v(\boldsymbol{r}, \boldsymbol{r}') \rho(\boldsymbol{r}') d\boldsymbol{r}' \sim -g \rho(\boldsymbol{r})$ if  $v(r,r') = -g\delta(r-r')$ If the density is deformed, the mean-field potential is also deformed (note)  $R(\theta) = R_0(1 + \beta_2 Y_{20}(\theta))$ for an axially symmetric spheroid: Change  $R_0$  by  $R(\theta)$   $V(r) = -V_0/[1 + \exp((r - R_0)/a)]$ in a Woods-Saxon potential **Deformed Woods-Saxon potential**  $V(r,\theta) = -V_0/[1 + \exp((r - R_0 - R_0\beta_2 Y_{20}(\theta))/a]$ ~  $V_0(r) - \beta_2 R_0 \frac{dV_0}{dr} Y_{20}(\theta) + \cdots$ 

### Single-particle motion in a deformed potential

Deformed Woods-Saxon potential

$$V(r,\theta) = -V_0/[1 + \exp((r - R_0 - R_0\beta_2 Y_{20}(\theta))/a]$$
  
~  $V_0(r) - \beta_2 R_0 \frac{dV_0}{dr} Y_{20}(\theta) + \cdots$ 

A potential without rotational symmetry

Angular momentum is not a good quantum number (it does not conserve)

Let us investigate the effect of the  $Y_{20}$  term using the first order perturbation theory

(note) first order perturbation theory

# $H = H_0 + H_1$

Suppose we know the eigenvalues and the eigenfunctions of  $H_0$ :

$$H_0 |\phi_n^{(0)}\rangle = E_n^{(0)} |\phi_n^{(0)}\rangle$$

 $H_1$  causes the change of the eigenvalues and the eigenfunctions as:

$$E_n = E_n^{(0)} + \langle \phi_n^{(0)} | H_1 | \phi_n^{(0)} \rangle + \cdots$$
  
$$|\phi_n \rangle = |\phi_n^{(0)} \rangle + \sum_{m \neq n} \frac{\langle \phi_m^{(0)} | H_1 | \phi_n^{(0)} \rangle}{E_n^{(0)} - E_m^{(0)}} | \phi_m \rangle + \cdots$$

Single-particle motion in a deformed potential

Deformed Woods-Saxon potential

$$V(r,\theta) \sim V_0(r) - \beta_2 R_0 \frac{dV_0}{dr} Y_{20}(\theta) + \cdots$$

Let us investigate the effect of the Y<sub>20</sub> term with the perturbation theory: Eigenfunctions for  $\beta=0$  (spherical):  $\psi_{nlK}(\mathbf{r}) = R_{nl}(\mathbf{r})Y_{lK}(\hat{\mathbf{r}})$ Eigenvalues:  $E_{nl}$  (independent of K)

The energy change:

Single-particle motion in a deformed potential

**Deformed Woods-Sat** 

$$\frac{d \text{Woods-Saxon potential}}{V(r,\theta) \sim V_0(r) - \beta_2 R_0 \frac{dV_0}{dr} Y_{20}(\theta) + \cdots}$$

Let us investigate the effect of the  $Y_{20}$  term with the perturbation theory: The energy change:

$$E_{nl} \rightarrow E_{nl} + \alpha_{nl} \beta_2 \left( 3K^2 - l(l+1) \right) \qquad (\alpha_{nl} > 0)$$



• The energy change depends on *K* 

 $( \cdot \cdot ) \quad D \quad ( ) V$ 

(a)

- if  $\beta_2 > 0$ , the lower the energy is for the smaller K
- $K = \pm 0$  | opposite if  $\beta_2 < 0$ 
  - degeneracy between K and -K

#### Geometrical interpretation



*K* is the projection of angular momentu on the z-axis
nucleon moves in a plane perpendicular to the ang. mom. vector
for prolate deformation, a nucleon with small K moves along the z-axis
therefore, it feels more attraction and the energy is lowered
for large K, the nucleon moves along the x-axis and feels less attraction

13

<u>11</u> 2

 $\frac{7}{2}$ 

<u>3</u> 2



Single-particle motion in a deformed potential

$$V(r,\theta) \sim V_0(r) - \beta_2 R_0 \frac{dV_0}{dr} Y_{20}(\theta) + \cdots$$

Let us investigate the effect of the  $Y_{20}$  term with the perturbation theory:

Now the wave function:  $|\phi_n\rangle = |\phi_n^{(0)}\rangle + \sum_{m \neq n} \frac{\langle \phi_m^{(0)} | H_1 | \phi_n^{(0)} \rangle}{E_n^{(0)} - E_m^{(0)}} | \phi_m \rangle + \cdots$ 

The eigenfunctions for  $\beta=0$  (spherical):  $\psi_{nlK}(r) = R_{nl}(r)Y_{lK}(\hat{r})$ 

$$\psi_{nlK} \to \psi_{nlK} + \sum_{n'l'K'} \frac{\langle \psi_{n'l'K'} | \Delta V | \psi_{nlK} \rangle}{E_{nl} - E_{n'l'}} \psi_{n'l'K'}$$

mixing of the states connected by  $\langle Y_{l'K'}|Y_{20}|Y_{lK}\rangle$ 

- *l* is not conserved
- For axially symmetric deformation  $(Y_{20})$ , *K* does not change (K' = K), i.e., *K* is conserved
- $Y_{20}$  does not change the parity, thus parity is also conserved.

Single-particle motion in a deformed potential

$$V(r,\theta) \sim V_0(r) - \beta_2 R_0 \frac{dV_0}{dr} Y_{20}(\theta) + \cdots$$

In general

$$\Psi_K(\boldsymbol{r}) = \sum_l \frac{u_{lK}(r)}{r} Y_{lK}(\hat{\boldsymbol{r}})$$

\*  $u_{lK}$  could be expanded on the eigen -functions of a spherical potential:

$$u_{lK}(r) = \sum_{n} \alpha_{nlK} u_{nl}(r)$$

examples)

$$|K^{\pi}\rangle = |0^{+}\rangle = A_{s}|Y_{00}\rangle + A_{d}|Y_{20}\rangle + A_{g}|Y_{40}\rangle + \cdots$$
$$|1^{+}\rangle = B_{d}|Y_{21}\rangle + B_{g}|Y_{41}\rangle + \cdots$$
$$|0^{-}\rangle = C_{p}|Y_{10}\rangle + C_{f}|Y_{30}\rangle + C_{h}|Y_{50}\rangle + \cdots$$

## Nilsson Hamiltonian

$$V_{\text{NiI}} = \frac{1}{2}m\omega_{\perp}^2(x^2 + y^2) + \frac{1}{2}m\omega_z^2 z^2 + Cl \cdot s + D(l^2 - \langle l^2 \rangle_N)$$

(Anisotropic H.O. + correction + spin-orbit)

$$\omega_{\perp}^{2} = \omega_{0}^{2}(1+2\delta/3)$$
  

$$\omega_{z}^{2} = \omega_{0}^{2}(1-4\delta/3)$$
  
(note)  $\omega_{x} \omega_{y} \omega_{z} = \omega_{0}^{3} = \text{const.}$ 

$$\frac{1}{2}m\omega_{\perp}^{2}(x^{2}+y^{2}) + \frac{1}{2}m\omega_{z}^{2}z^{2}$$
$$= \frac{1}{2}m\omega_{0}^{2}r^{2} - m\omega_{0}^{2}\beta r^{2}Y_{20}(\theta)$$
$$\beta = \frac{\delta}{3}\sqrt{\frac{16\pi}{5}}$$



Figure 13. Nilsson diagram for protons,  $Z \ge 82$  ( $\varepsilon_4 = \varepsilon_2^2/6$ ).

### Avoided level crossing



Example:

$$\begin{pmatrix} -\epsilon x & V \\ V & \epsilon x \end{pmatrix}$$
$$\rightarrow \lambda_{\pm}(x) = \pm \sqrt{\epsilon^2 x^2 + V^2}$$

diagonalization



Two levels with the same quantum numbers never cross (an infinitesimal interaction causes them to repel).

"avoided crossing" or "level repulsion"

#### Single-particle spectra of deformed odd-A nuclei

Nilsson diagram: each level has two-fold degeneracy  $(\pm K)$ 



β

