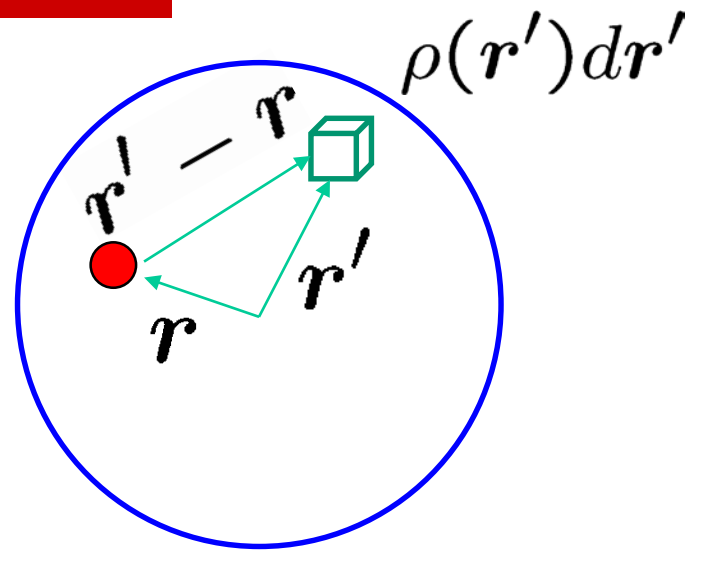
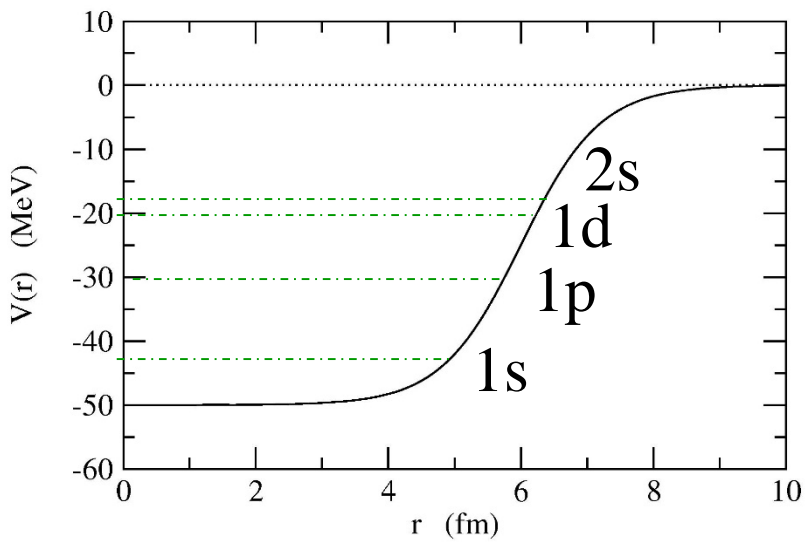
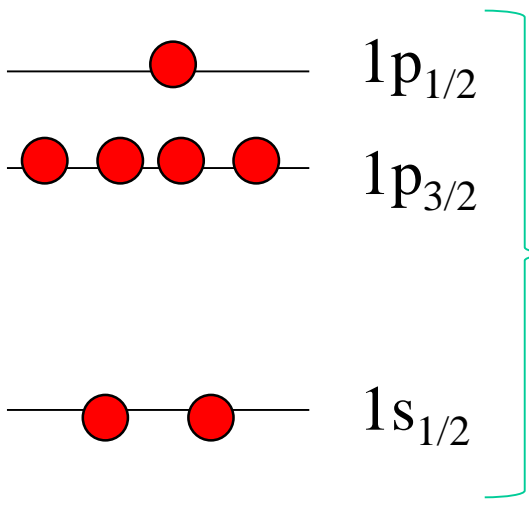


# Mean-field (Hartree-Fock) Theory



naively speaking,

$$V(r) \sim \int v(r, r') \rho(r') dr'$$



shell model

$$\rho(r) = \sum_i |\psi_i(r)|^2$$

$$\begin{aligned} 0 &= \left[ -\frac{\hbar^2}{2m} \nabla^2 + V(\mathbf{r}) - \epsilon_i \right] \psi_i(\mathbf{r}) \\ &= \left[ -\frac{\hbar^2}{2m} \nabla^2 + \int v(\mathbf{r}, \mathbf{r}') \left( \sum_j |\psi_j(\mathbf{r}')|^2 \right) d\mathbf{r}' - \epsilon_i \right] \psi_i(\mathbf{r}) \end{aligned}$$

the potential depends on the solutions

→ **self-consistent solutions**

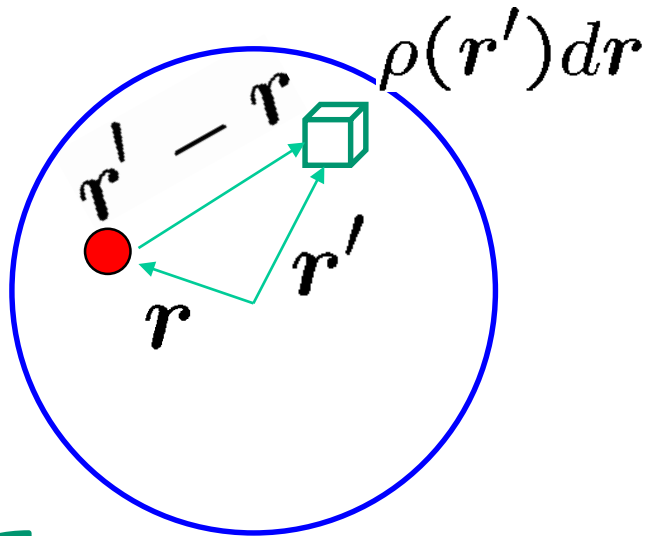
Iteration:  $\{\psi_i\} \rightarrow \rho \rightarrow V \rightarrow \{\psi_i\} \rightarrow \dots$

repeat until the first and the last wave functions are the same.

**“self-consistent mean-field theory”**

- \* 全エネルギーが最少になるようにちよつとずつ一粒子ポテンシャルを変えていく
- \* 変形した方がエネルギーが下がるのであれば変形させる

# 反对称化



$$V(\mathbf{r}) \sim \int v(\mathbf{r}, \mathbf{r}') \rho(\mathbf{r}') d\mathbf{r}'$$

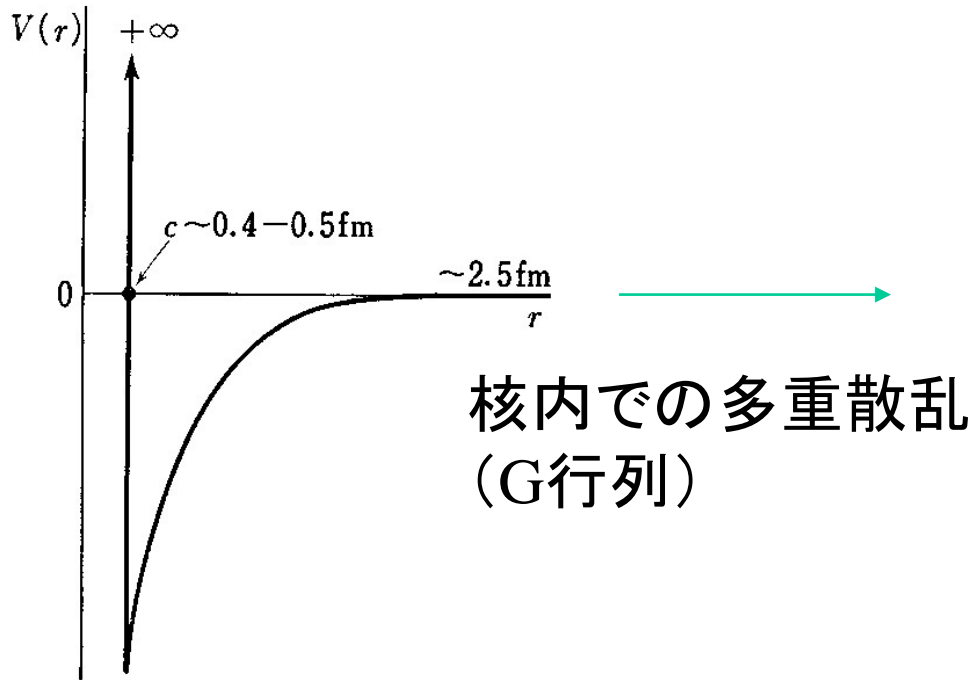
同種粒子間の相互作用  
→ 反对称化が必要



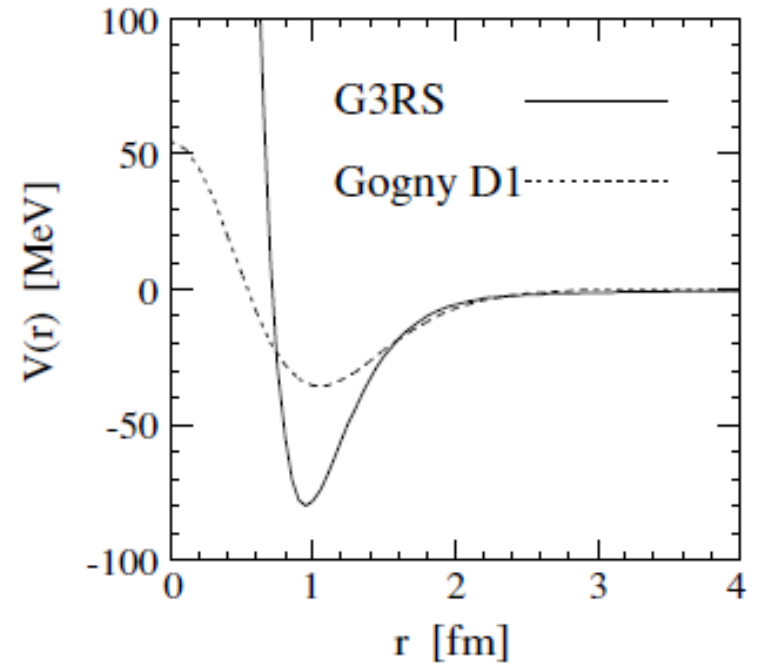
$$0 = \left[ -\frac{\hbar^2}{2m} \nabla^2 + \int v(\mathbf{r}, \mathbf{r}') \left( \sum_j |\psi_j(\mathbf{r}')|^2 \right) d\mathbf{r}' - \epsilon_i \right] \psi_i(\mathbf{r})$$
$$\rightarrow \left[ -\frac{\hbar^2}{2m} \nabla^2 + \int v(\mathbf{r}, \mathbf{r}') \left( \sum_j |\psi_j(\mathbf{r}')|^2 \right) d\mathbf{r}' - \epsilon_i \right] \psi_i(\mathbf{r})$$
$$- \int v(\mathbf{r}, \mathbf{r}') \left( \sum_j \psi_j^*(\mathbf{r}') \psi_i(\mathbf{r}') \right) d\mathbf{r}' \psi_j(\mathbf{r})$$

exchange term

# 有効相互作用

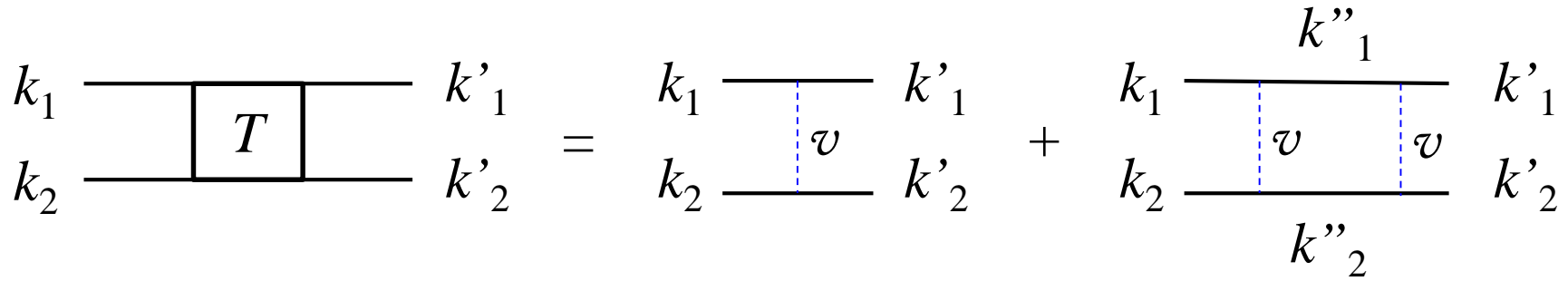


Existence of short range  
repulsive core



核媒質中での相互作用  
(有効相互作用)

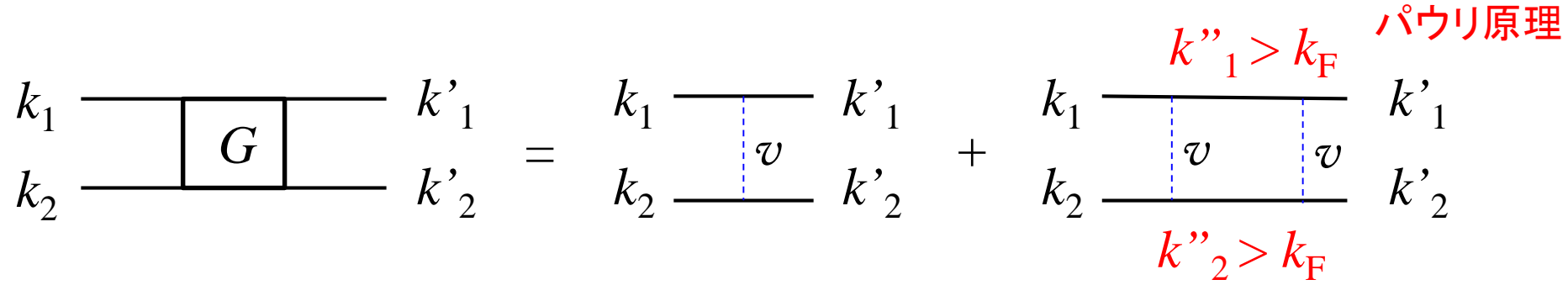
➤ two-body (multiple) scattering *in vacuum*



+..... Lippmann-Schwinger equation

$$T = v + v \frac{1}{E - H_0} T$$

➤ two-body (multiple) scattering *in medium*



+..... Bethe-Goldstone equation

$$G = v + v \frac{Q_F}{E - H_0} G$$

\*中間状態で  $k_F$  以上に飛ばなければならないので、散乱が抑制 → 独立粒子描像

# Phenomenological effective interactions

## G-matrix

- ab initio
- but, cumbersome to compute (especially for finite nuclei)
- qualitatively good, but quantitatively not successful



HF calculations with a phenomenological effective interaction

Philosophy: take the functional form of  $G$ , but determine the parameters phenomenologically

- Skyrme interaction (non-rel., zero range)
- Gogny interaction (non-rel., finite range)
- Relativistic mean-field model (relativistic, “meson exchanges”)

$$\begin{aligned}
v(\mathbf{r}, \mathbf{r}') &= t_0(1 + x_0 \hat{P}_\sigma) \delta(\mathbf{r} - \mathbf{r}') \\
&+ \frac{1}{2} t_1(1 + x_1 \hat{P}_\sigma) (\mathbf{k}^2 \delta(\mathbf{r} - \mathbf{r}') + \delta(\mathbf{r} - \mathbf{r}') \mathbf{k}^2) \\
&+ t_2(1 + x_2 \hat{P}_\sigma) \mathbf{k} \delta(\mathbf{r} - \mathbf{r}') \mathbf{k} \\
&+ \frac{1}{6} t_3(1 + x_3 \hat{P}_\sigma) \delta(\mathbf{r} - \mathbf{r}') \rho^\alpha((\mathbf{r}_1 + \mathbf{r}_2)/2) \\
&+ iW_0(\boldsymbol{\sigma}_1 + \boldsymbol{\sigma}_2) \mathbf{k} \times \delta(\mathbf{r} - \mathbf{r}') \mathbf{k}
\end{aligned}$$

$$\mathbf{k} = (\nabla_1 - \nabla_2)/2i$$

(note) finite range effect  $\longleftrightarrow$  momentum dependence

$$\begin{aligned}
\langle \mathbf{p} | V | \mathbf{p}' \rangle &= \frac{1}{(2\pi\hbar)^3} \int d\mathbf{r} e^{-i(\mathbf{p}-\mathbf{p}')\cdot\mathbf{r}/\hbar} V(\mathbf{r}) \\
&\sim V_0 + V_1(\mathbf{p}^2 + \mathbf{p}'^2) + V_2 \mathbf{p} \mathbf{p}' + \dots \\
&\rightarrow V_0 \delta(\mathbf{r}) + V_1(\hat{\mathbf{p}}^2 \delta(\mathbf{r}) + \delta(\mathbf{r}) \hat{\mathbf{p}}^2) + V_2 \hat{\mathbf{p}} \delta(\mathbf{r}) \hat{\mathbf{p}}
\end{aligned}$$

## Skyrme interactions: 10 adjustable parameters

$$\begin{aligned}v(\mathbf{r}, \mathbf{r}') &= t_0(1 + x_0\hat{P}_\sigma)\delta(\mathbf{r} - \mathbf{r}') \\ &+ \frac{1}{2}t_1(1 + x_1\hat{P}_\sigma)(\mathbf{k}^2\delta(\mathbf{r} - \mathbf{r}') + \delta(\mathbf{r} - \mathbf{r}')\mathbf{k}^2) \\ &+ t_2(1 + x_2\hat{P}_\sigma)\mathbf{k}\delta(\mathbf{r} - \mathbf{r}')\mathbf{k} \\ &+ \frac{1}{6}t_3(1 + x_3\hat{P}_\sigma)\delta(\mathbf{r} - \mathbf{r}')\rho^\alpha((\mathbf{r}_1 + \mathbf{r}_2)/2) \\ &+ iW_0(\boldsymbol{\sigma}_1 + \boldsymbol{\sigma}_2)\mathbf{k} \times \delta(\mathbf{r} - \mathbf{r}')\mathbf{k}\end{aligned}$$

### A fitting strategy:

B.E. and  $r_{\text{rms}}$ :  $^{16}\text{O}$ ,  $^{40}\text{Ca}$ ,  $^{48}\text{Ca}$ ,  $^{56}\text{Ni}$ ,  $^{90}\text{Zr}$ ,  $^{208}\text{Pb}$ ,.....

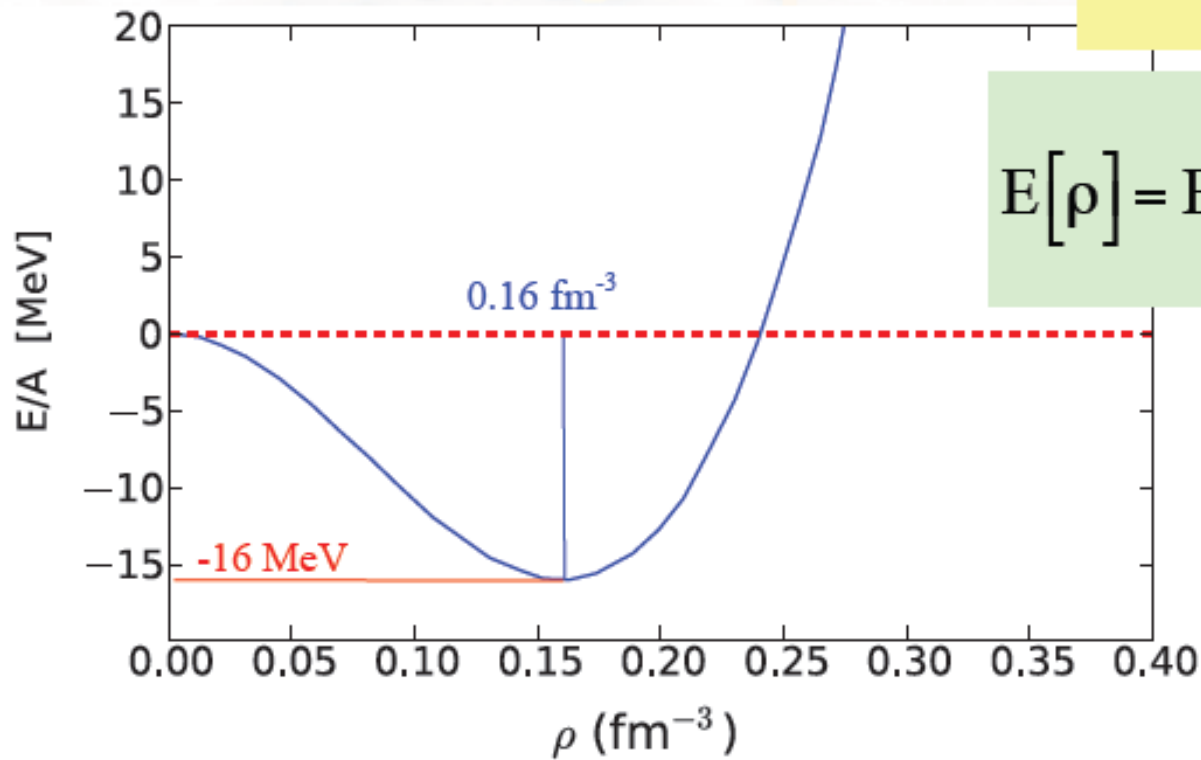
Infinite nuclear matter:  $E/A$ ,  $\rho_{\text{eq}}$ ,.....

### Parameter sets:

SIII, SkM\*, SGII, SLy4,.....



# EOS of infinite nuclear matter



$$K_{\infty} = 9\rho^2 \left. \frac{d^2[E(\rho)/\rho]}{d\rho^2} \right|_{\rho_0}$$

$$E[\rho] = E[\rho_0] + \frac{1}{18} K_{\infty} \left( \frac{\rho - \rho_0}{\rho_0} \right)^2$$

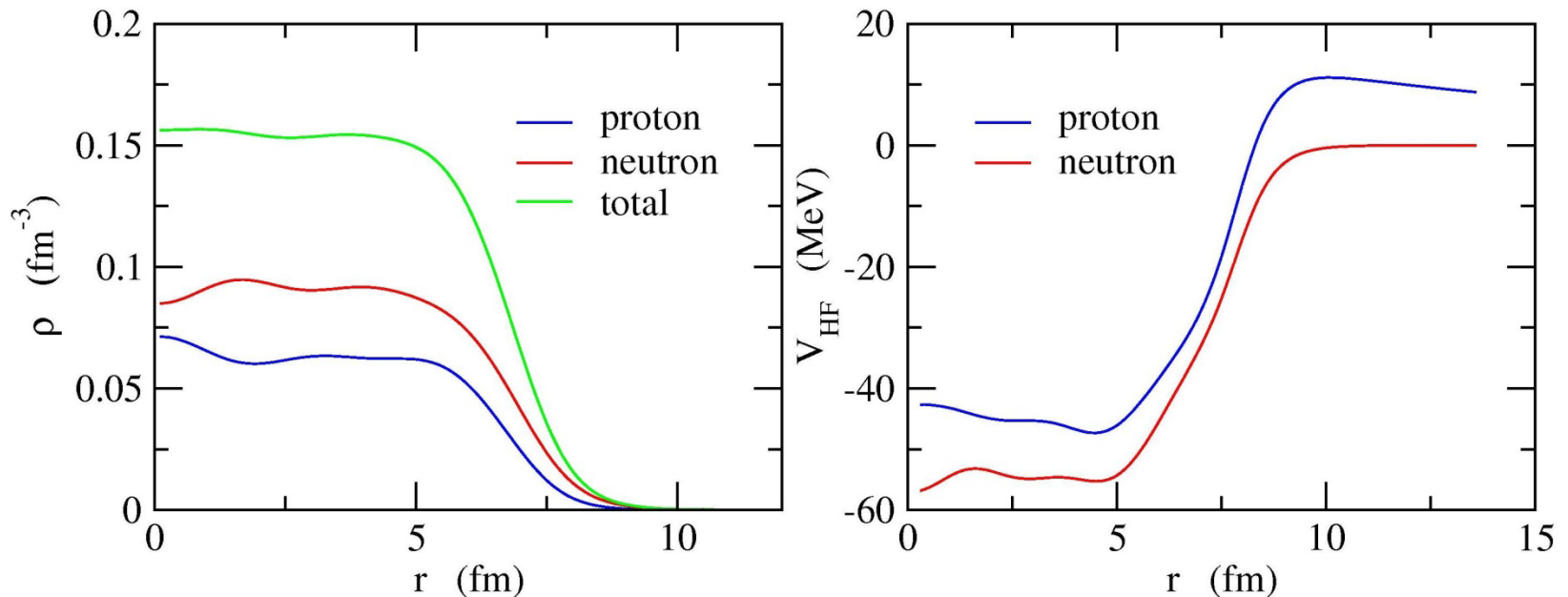
$$-\frac{\hbar^2}{2m} \nabla^2 \psi_i(\mathbf{r}) + \int v(\mathbf{r}, \mathbf{r}') \rho_{\text{HF}}(\mathbf{r}') d\mathbf{r}' \psi_i(\mathbf{r}) - \int \rho_{\text{HF}}(\mathbf{r}, \mathbf{r}') v(\mathbf{r}, \mathbf{r}') \psi_i(\mathbf{r}') d\mathbf{r}' = \epsilon_i \psi_i(\mathbf{r})$$

## Iteration

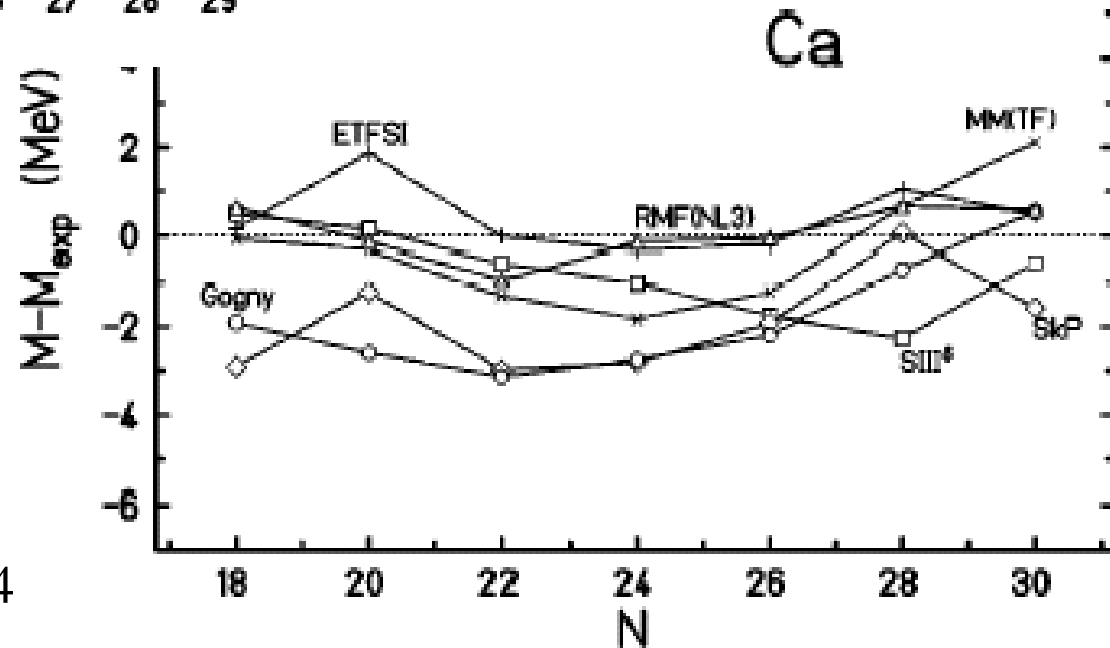
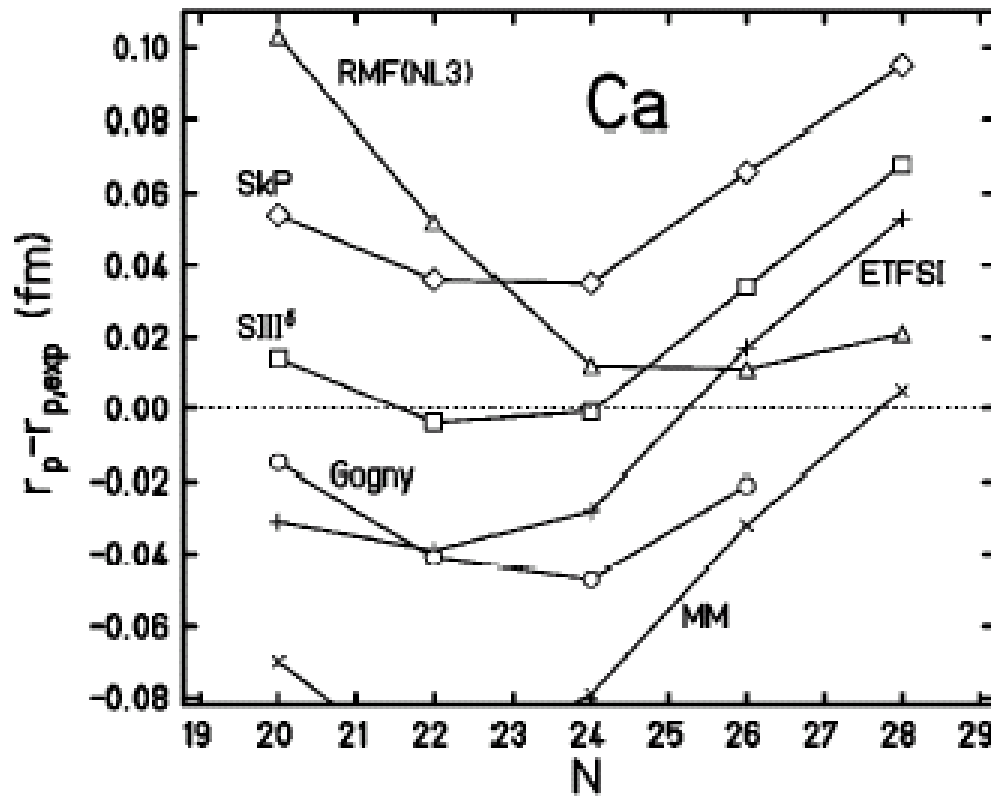
$V_{\text{HF}}$ : depends on  $\psi_i$  ← non-linear problem

Iteration:  $\{\psi_i\} \rightarrow \rho_{\text{HF}} \rightarrow V_{\text{HF}} \rightarrow \{\psi_i\} \rightarrow \dots$

$^{208}\text{Pb}$  (Skyrme Hartree-Fock with SKM\*)

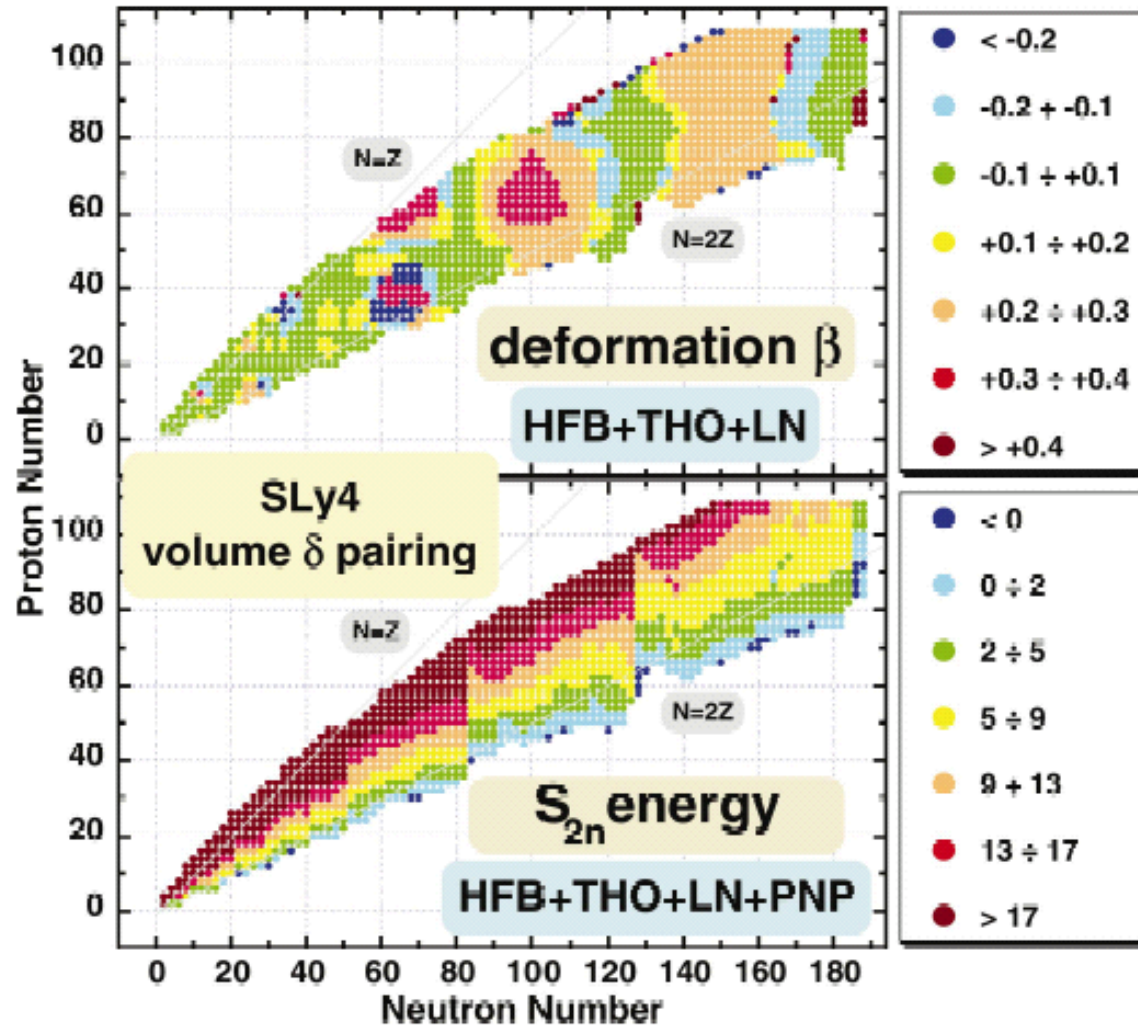


Examples of HF calculations  
for masses and radii



Z. Patyk et al.,  
PRC59('99)704

# deformation and two-neutron separation energy

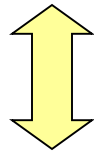


# Density Functional Theory

With Skyrme interaction:

$$\begin{aligned}\langle \Psi | H | \Psi \rangle &= E[\rho, \tau, J] \\ &= \int d\mathbf{r} \left( \frac{\hbar^2}{2m} \tau + \frac{1}{2} t_0 \left( 1 + \frac{1}{2} x_0 \right) \rho^2 \right. \\ &\quad \left. - \frac{1}{2} t_0 \left( x_0 + \frac{1}{2} \right) \sum_q \rho_q^2 \cdots \right)\end{aligned}$$

Energy functional in terms of local densities



Close analog to the Density Functional Theory (DFT)

密度汎関数法

i) Hohenberg-Kohn Theorem

$$H = H_0 + V_{\text{ext}}$$

*Lemma* :  $\rho(\mathbf{r}) \rightarrow V_{\text{ext}}(\mathbf{r})$  (unique)



Density: the basic variable

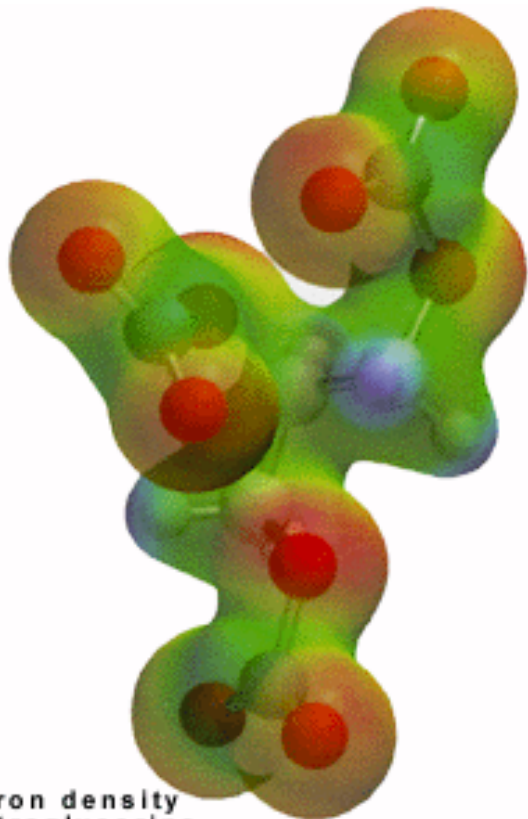
(密度が分かれば原理的に全て分かる)

ii) Hohenberg-Kohn variational principle

The existence of a functional  $E[\rho]$ , which gives the exact g.s. energy for a given g.s. density

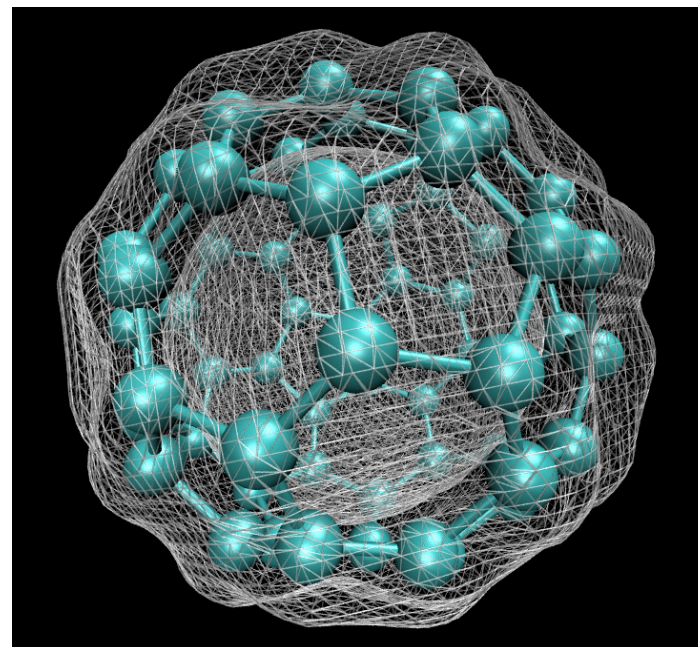
$$\longrightarrow E[\rho] \geq E_{gs}$$

うまい方法で  $E[\rho]$  を作れれば、それを使って多体計算が簡単に行える。



The electron density  
of nitroglycerine

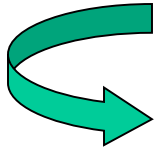
ニトログリセリンの電子密度  
(Nobelprize.org より)



$C_{60}$  の電子密度  
(Wikipedia より)

## (参考) Proof of the Hohenberg-Kohn theorem

Assume that there exist two external potentials,  $V_1$  and  $V_2$ , which give the same g.s. density  $\rho$  (with different g.s. wave functions,  $\Psi_1$  and  $\Psi_2$ )


$$\begin{aligned} E_1 &= \langle \Psi_1 | H_1 | \Psi_1 \rangle \\ &= \int V_1(\mathbf{r}) \rho(\mathbf{r}) d\mathbf{r} + \langle \Psi_1 | T + U | \Psi_1 \rangle \end{aligned}$$

$$\begin{aligned} E_2 &= \langle \Psi_2 | H_2 | \Psi_2 \rangle \\ &= \int V_2(\mathbf{r}) \rho(\mathbf{r}) d\mathbf{r} + \langle \Psi_2 | T + U | \Psi_2 \rangle \end{aligned}$$

(note)

$$\begin{aligned} E_1 &< \langle \Psi_2 | H_1 | \Psi_2 \rangle \\ &= E_2 + \int (V_1(\mathbf{r}) - V_2(\mathbf{r})) \rho(\mathbf{r}) d\mathbf{r} \end{aligned}$$

$$E_2 < E_1 + \int (V_2(\mathbf{r}) - V_1(\mathbf{r})) \rho(\mathbf{r}) d\mathbf{r}$$



?

$$E_1 + E_2 < E_1 + E_2$$



## (参考) Kohn-Sham Equation

Set  $\rho(\mathbf{r}) = \sum_{i=1}^N |\phi_i(\mathbf{r})|^2$



Kohn-Sham equation

$$\left( -\frac{\hbar^2}{2m} \nabla^2 + \frac{\delta E}{\delta \rho} - \epsilon_i \right) \phi_i(\mathbf{r}) = 0$$

(note)  $E[\rho] = E_{\text{HF}}[\rho] + E_{\text{corr}}[\rho]$

→ KS: extension of HF

# Hartree-Fock 近似と対称性の自発的破れ

$$\begin{aligned} 0 &= \left[ -\frac{\hbar^2}{2m} \nabla^2 + V(\mathbf{r}) - \epsilon_i \right] \psi_i(\mathbf{r}) \\ &= \left[ -\frac{\hbar^2}{2m} \nabla^2 + \int v(\mathbf{r}, \mathbf{r}') \left( \sum_j |\psi_j(\mathbf{r}')|^2 \right) d\mathbf{r}' - \epsilon_i \right] \psi_i(\mathbf{r}) \end{aligned}$$

the potential depends on the solutions

→ **self-consistent solutions**

Iteration:  $\{\psi_i\} \rightarrow \rho \rightarrow V \rightarrow \{\psi_i\} \rightarrow \dots$

repeat until the first and the last wave functions are the same.

- \* 全エネルギーが最少になるようにちよつとずつ一粒子ポテンシャルを変えていく
- \* 変形した方がエネルギーが下がるのであれば変形させる

# Hartree-Fock Method and Symmetries

$$H = - \sum_{i=1}^A \frac{\hbar^2}{2m} \nabla_i^2 + \frac{1}{2} \sum_{i,j}^A v(\mathbf{r}_i, \mathbf{r}_j) \quad \text{2体力} \rightarrow \text{1体場に近似}$$
$$= \underbrace{\sum_{i=1}^A \left( -\frac{\hbar^2}{2m} \nabla_i^2 + V_{\text{HF}}(i) \right)}_{h_{\text{HF}}} + \underbrace{\frac{1}{2} \sum_{i,j}^A v(\mathbf{r}_i, \mathbf{r}_j) - \sum_i V_{\text{HF}}(i)}_{V_{\text{res}}}$$

Slater determinant

$$\Psi_{\text{HF}}(1, 2, \dots, A) = \mathcal{A}[\psi_1(1)\psi_2(2) \cdots \psi_A(A)]$$

← Eigen-state of  $h_{\text{HF}}$ , but not of  $H$

$\Psi_{\text{HF}}$  : does not necessarily possess the symmetries that  $H$  has.

“Symmetry-broken solution”

“Spontaneous Symmetry Broken”

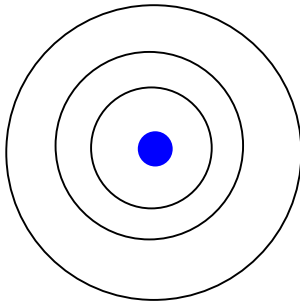
$\Psi_{\text{HF}}$  : does not necessarily possess the symmetries that  $H$  has.

## Typical Example

➤ Translational symmetry: always broken in nuclear systems

$$H = - \sum_{i=1}^A \frac{\hbar^2}{2m} \nabla_i^2 + \frac{1}{2} \sum_{i,j}^A v(\mathbf{r}_i - \mathbf{r}_j) \rightarrow \sum_{i=1}^A \left( -\frac{\hbar^2}{2m} \nabla_i^2 + \underline{V_{\text{HF}}(\mathbf{r}_i)} \right)$$

(cf.) atoms



nucleus in the center

→ translational symmetry: broken from the beginning

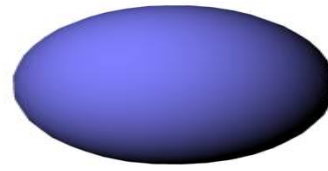
## Symmetry Breaking

Advantage: a large part of many-body correlation can be taken into account without losing the independent particle picture

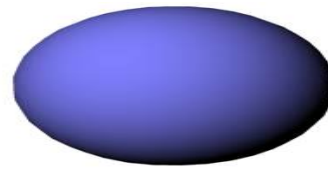
Disadvantage: a need to restore the symmetry (in principle) to compute experimental observables → projection method

➤ Rotational symmetry

*Deformed solution*



## ➤ Rotational symmetry



*Deformed solution*

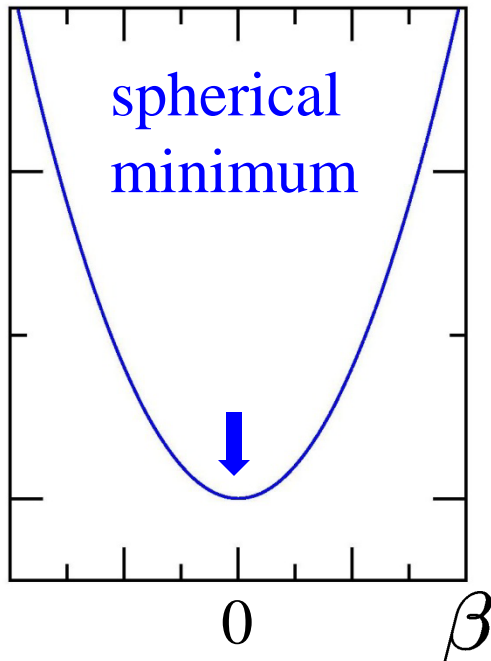
## Constrained Hartree-Fock method

minimize  $H' = H - \lambda \hat{Q}_{20}$  with a Slater determinant w.f.

$\hat{Q}_{20} = \sum_i r_i^2 Y_{20}(\hat{r}_i)$  : quadrupole operator

$\lambda$  : Lagrange multiplier, to be determined  
so that  $\langle \hat{Q}_{20} \rangle = Q \propto R^2 \beta$

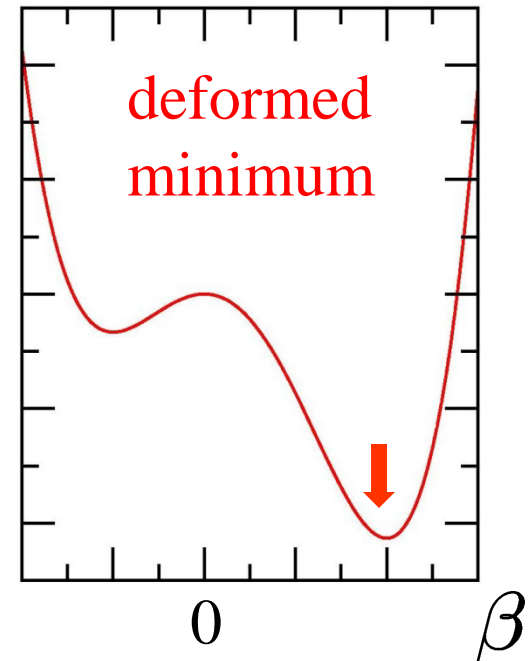
$$\langle \Psi_{\text{CHF}} | H | \Psi_{\text{CHF}} \rangle$$



“phase transition”



$U$  a/o  $N$   
→ large



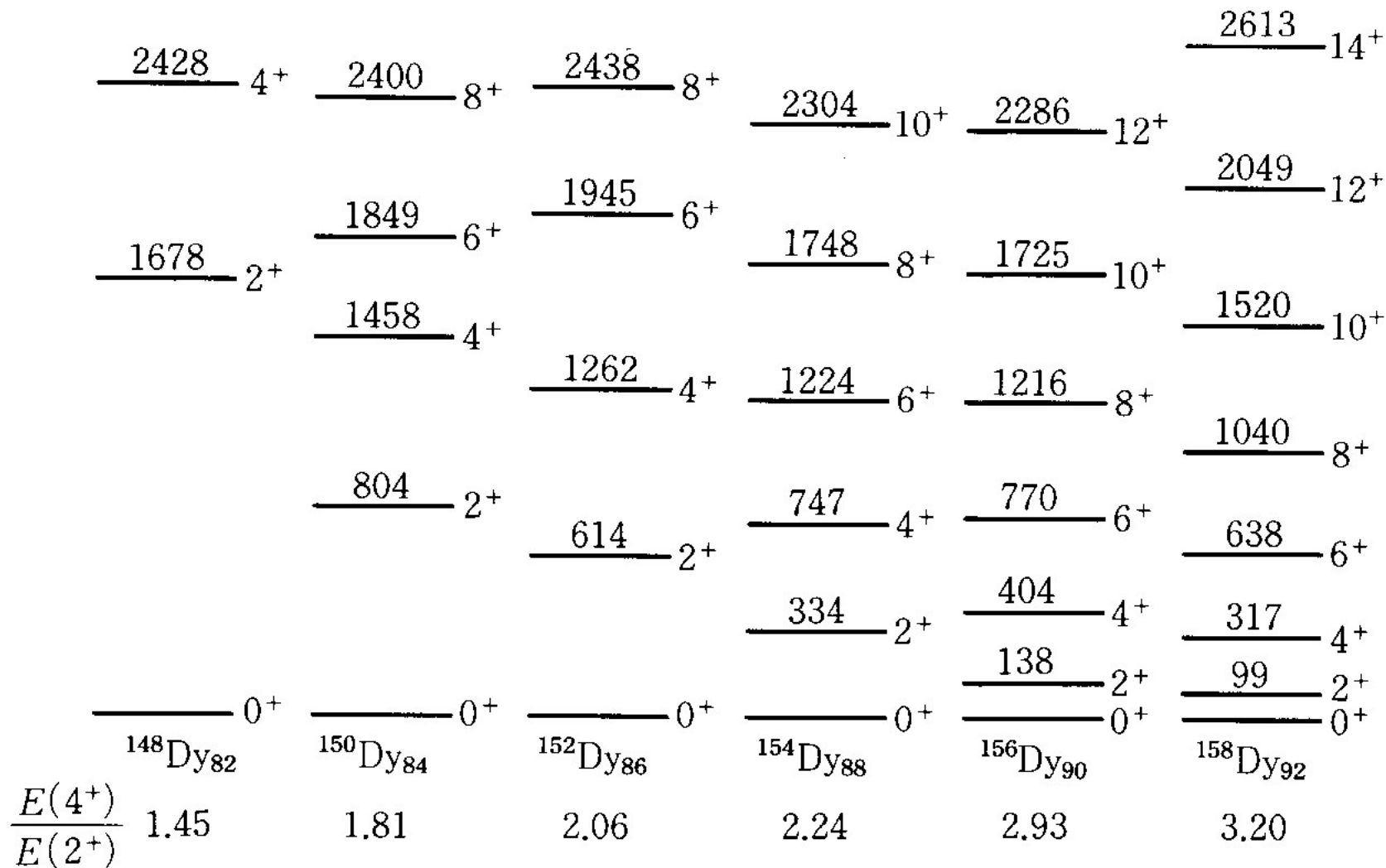
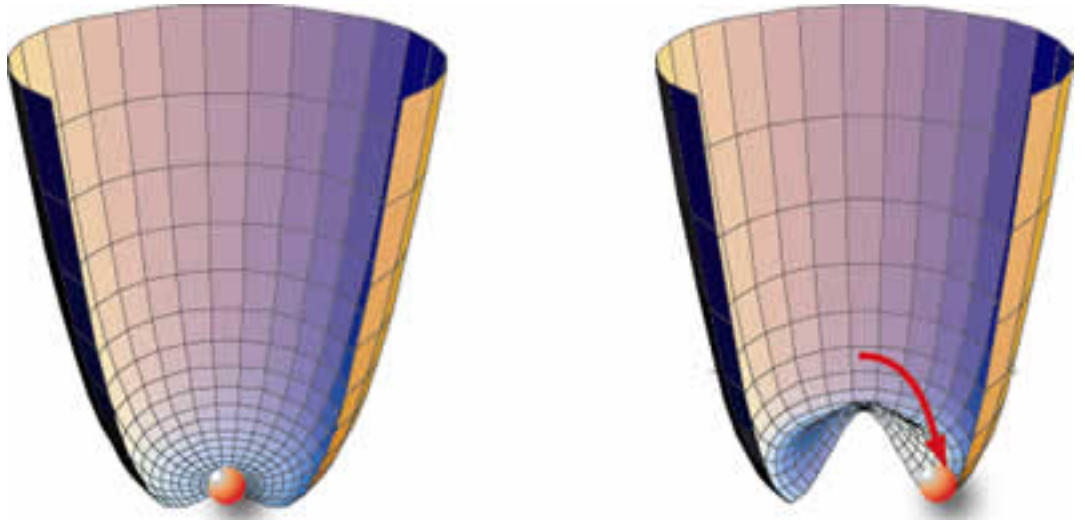
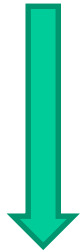


図 3-4 Dy アイソトープの低励起スペクトル. 励起エネルギーの単位は keV.

市村、坂田、松柳  
「原子核の理論」より

## 対称性の自発的破れ

ハミルトニアンが持つ対称性を、真空が持たない(破る)。



(対称性を回復するように  
南部・ゴールドストーン・モード(ゼロ・モード)  
が発生)



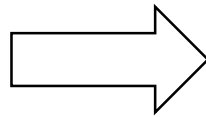
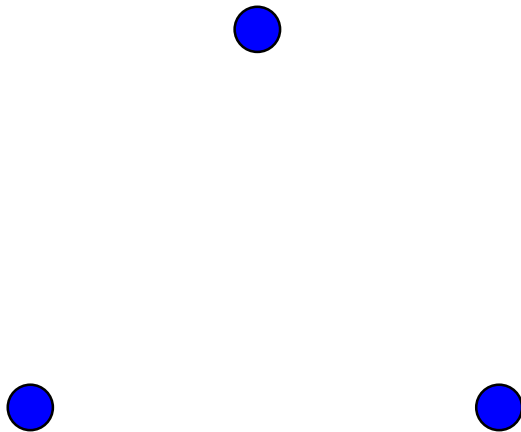
## 休憩(頭の体操)

頂点が何個かある

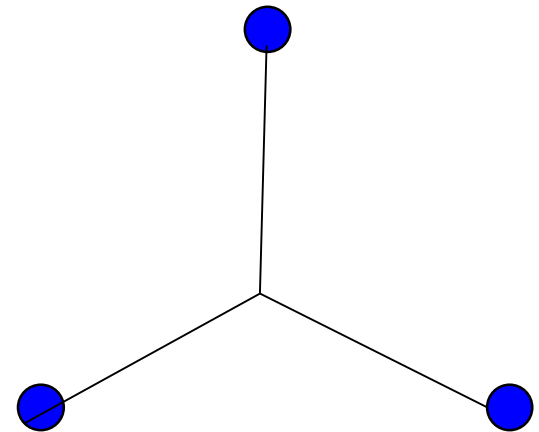
- 頂点を適当な線でつなぐ
- 何本引いてもよい
- 線は交わってもよい
- 一つの点から線を通して全ての点にいけるようにする

線の長さの合計が最短になる線のひき方は?

例) 正三角形の場合



対称となるように引く



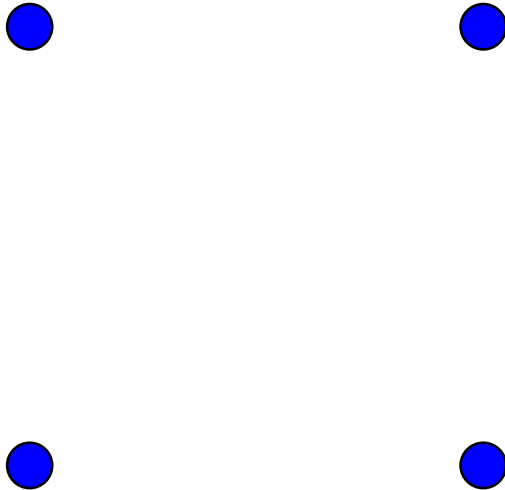
## 休憩(頭の体操)

頂点は何個かある

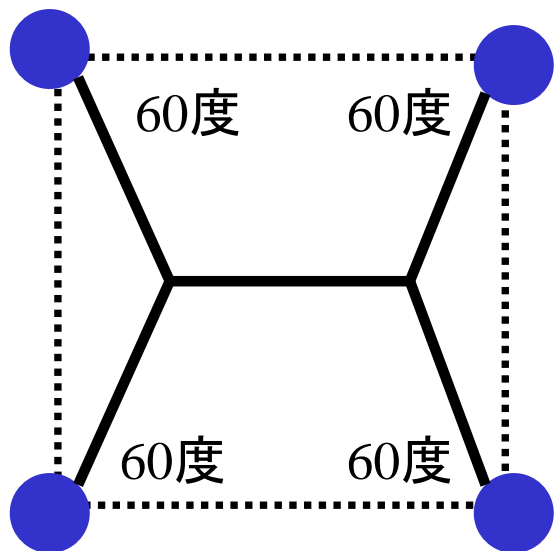
- 頂点を適当な線でつなぐ
- 何本引いてもよい
- 線は交わってもよい
- 一つの点から線を通して全ての点にいけるようにする

線の長さの合計が最短になるひき方は?

(問題) 正方形の場合は?



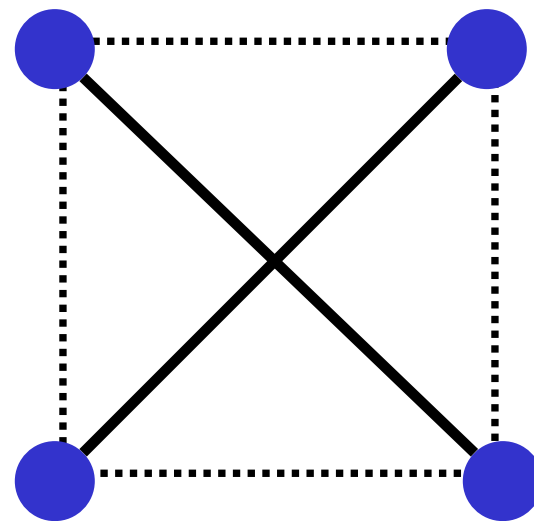
(答え)



長さ

$$\begin{aligned} & 4 \times \frac{1}{\sqrt{3}} + \left( 1 - 2 \times \frac{1}{2\sqrt{3}} \right) \\ & = 1 + \sqrt{3} \\ & = 2.732 \dots \end{aligned}$$

cf.

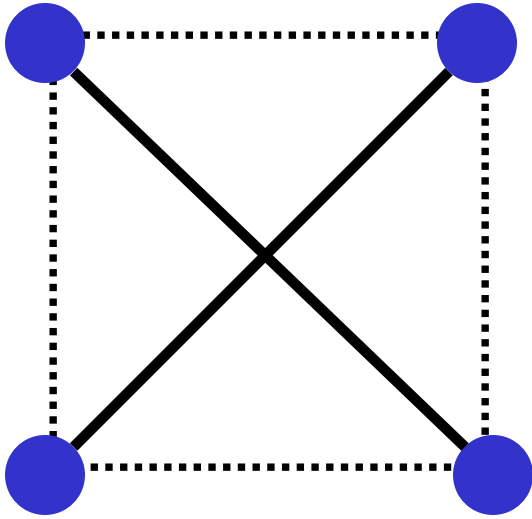


長さ

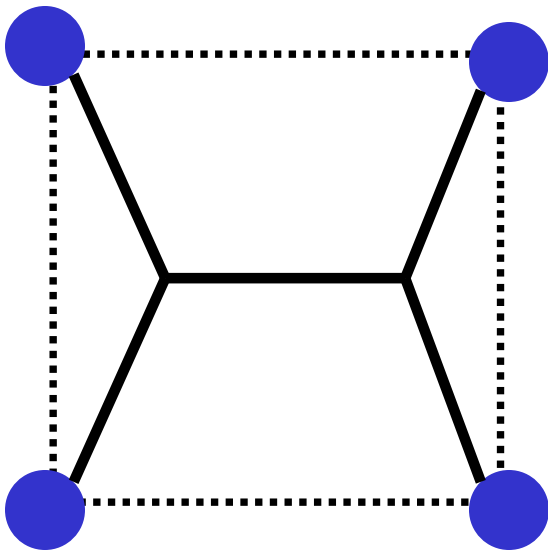
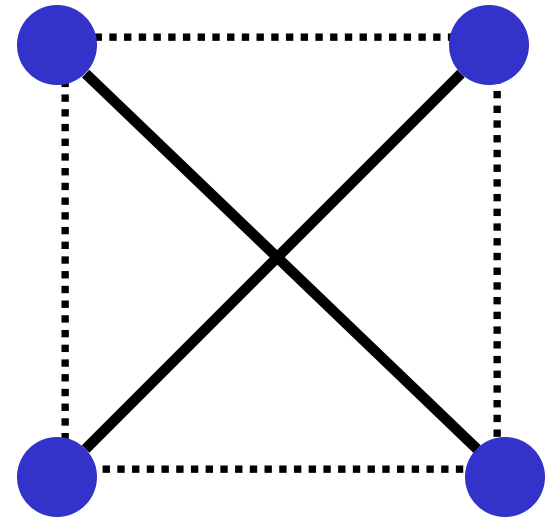
$$2 \times \sqrt{2} = 2.828 \dots$$

参考:

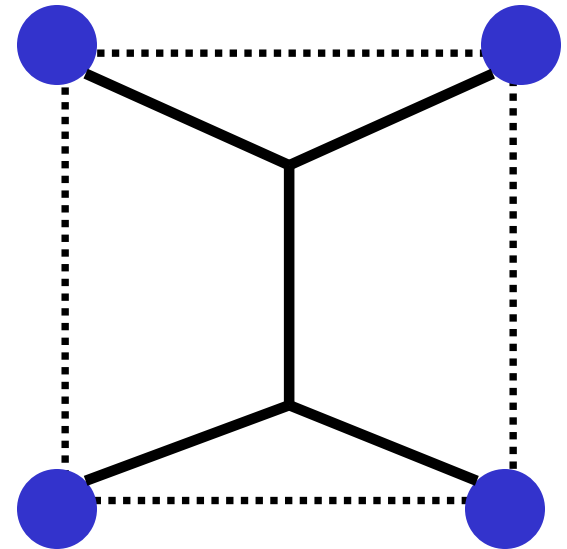
小池武志「原子核研究」Vol. 52 No. 2, p. 14



90度回転で不変



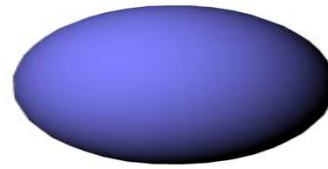
90度回転



対称性の自発的破れの好例

スライド: 小池武志氏(東北大学)

➤ Rotational symmetry



*Deformed solution*

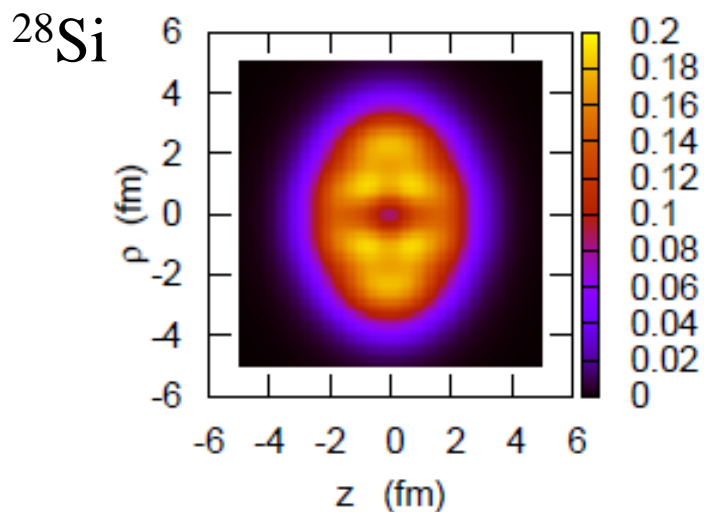
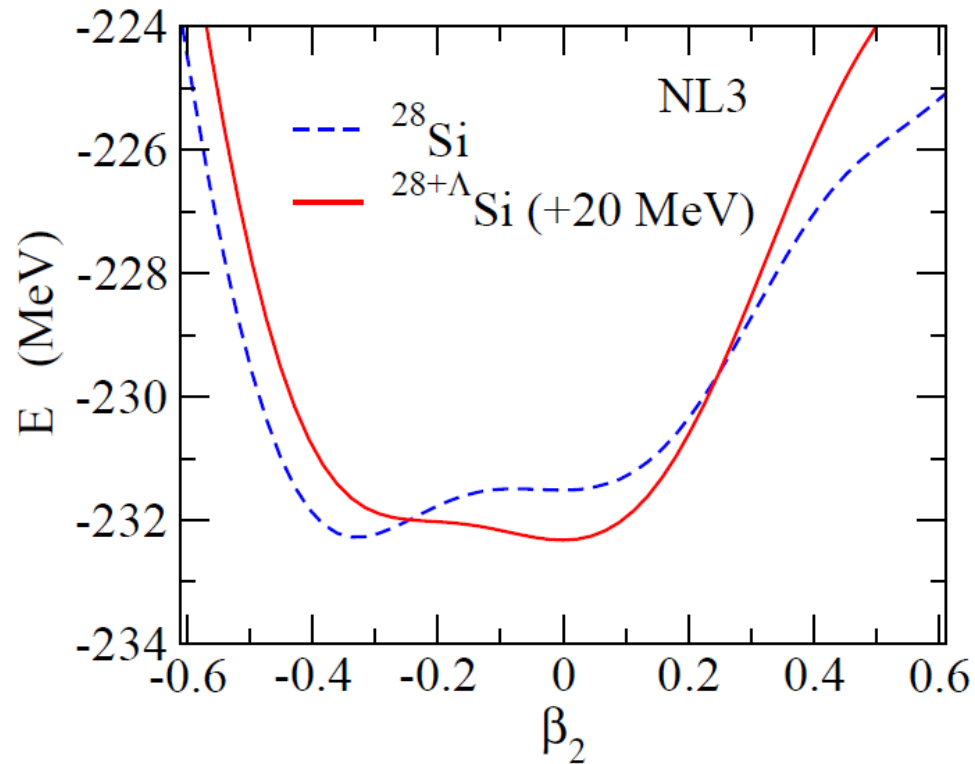
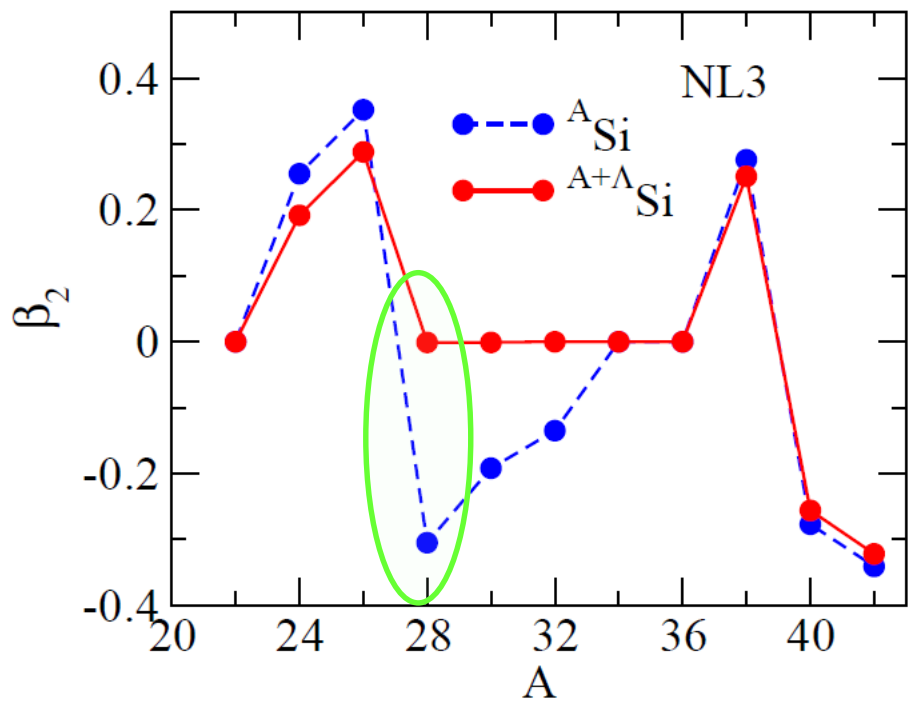
Hypernuclei: nucleus + Lambda particle

Effect of a  $\Lambda$  particle on nuclear shapes?

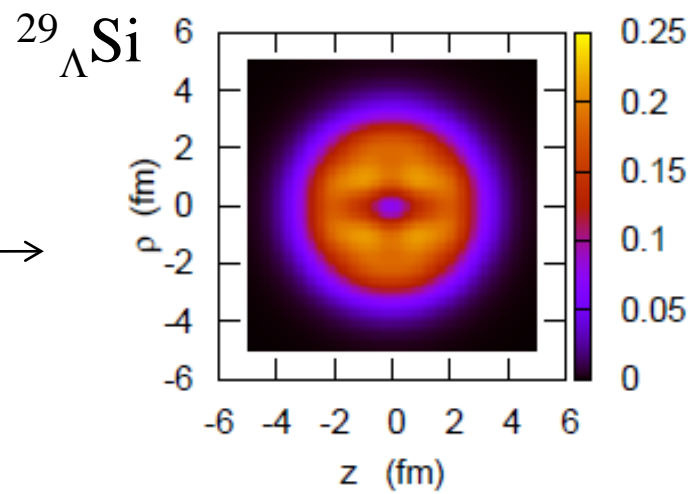
$\Lambda$ 粒子を原子核の形につけるとどうなるのか？

→ハートリー・フォック法では最適な形が自動的にでてくる  
( $\Lambda$ 粒子による原子核の形の変化が自動的にでる)

# Si isotopes

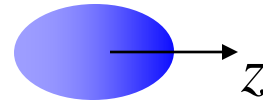
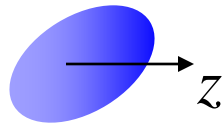


$\Lambda$  →



# Angular Momentum Projection

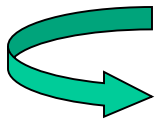
Rotated wave function:  $|\Psi_\Omega\rangle = \hat{\mathcal{R}}(\Omega)|\Psi\rangle$



(deformed HF solution)

(note)

$$\langle\Psi_\Omega|H|\Psi_\Omega\rangle = \langle\Psi|\underbrace{\hat{\mathcal{R}}^{-1}H\hat{\mathcal{R}}|\Psi\rangle}_{=H \text{ (for rot. symmetric Hamiltonian)}} = \langle\Psi|H|\Psi\rangle$$



= H (for rot. symmetric Hamiltonian)

a better wf: a superposition of rotated wave functions

$$|\Psi_{\text{proj}}\rangle = \int d\Omega f(\Omega)|\Psi_\Omega\rangle$$

$f(\Omega)$  ← variational principle  $\langle\delta\Psi_{\text{proj}}|H - E|\Psi_{\text{proj}}\rangle = 0$

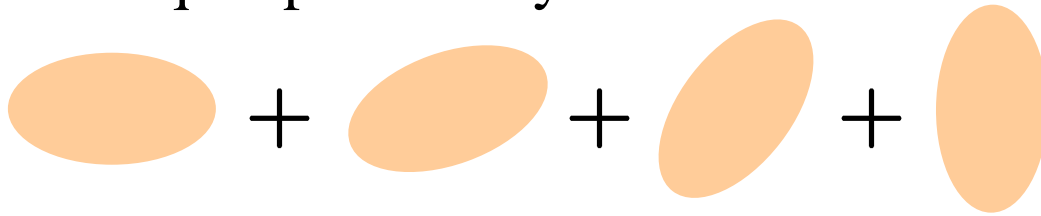
$$\int [\langle\Psi_\Omega|H|\Psi_{\Omega'}\rangle - E \langle\Psi_\Omega|\Psi_{\Omega'}\rangle] f(\Omega')d\Omega' = 0$$



(note) For  $0^+$  state

$0^+$ : no preference of direction (spherical)

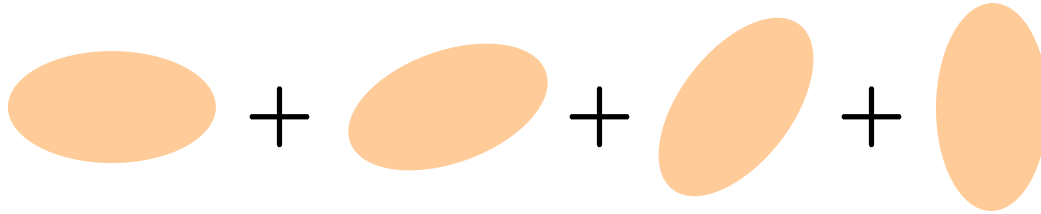
→ Mixing of all orientations with an equal probability



$$|\Psi_{0^+}\rangle = \int d\Omega |\Psi_{\Omega}\rangle$$



(note) For  $0^+$  state



$$|\Psi_{0^+}\rangle = \int d\Omega |\Psi_{\Omega}\rangle$$

other states:

$$|\Psi_{IM}\rangle = \int d\Omega Y_{IM}(\Omega) |\Psi_{\Omega}\rangle$$

(for  $K=0$ )

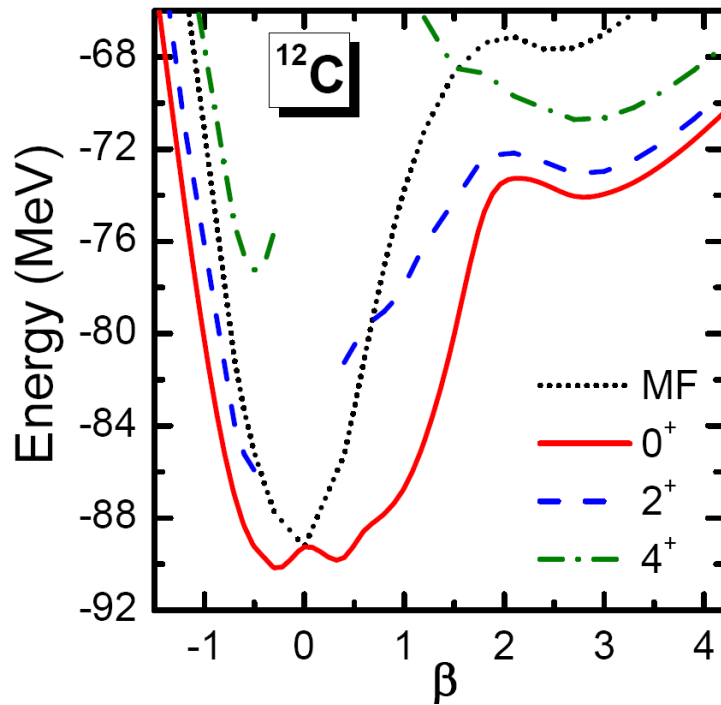
“angular momentum projection”

Projected wave function:

$$|\Psi_{IM}\rangle = \hat{P}_{IM}|\Psi\rangle = \int d\Omega Y_{IM}(\Omega)\hat{\mathcal{R}}(\Omega)|\Psi\rangle$$

Projected energy surface:

$$E_I = \frac{\langle\Psi_{IM}|H|\Psi_{IM}\rangle}{\langle\Psi_{IM}|\Psi_{IM}\rangle} = \frac{\langle\Psi|\hat{P}_{IM}H\hat{P}_{IM}|\Psi\rangle}{\langle\Psi|\hat{P}_{IM}\hat{P}_{IM}|\Psi\rangle}$$



— Constrained HF

—  $0^+$

—  $2^+$

—  $4^+$

Calculation and Figure: Mei Hua  
(PC-F1)