

# Recent developments in heavy-ion fusion reactions around the Coulomb barrier

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collaborator:

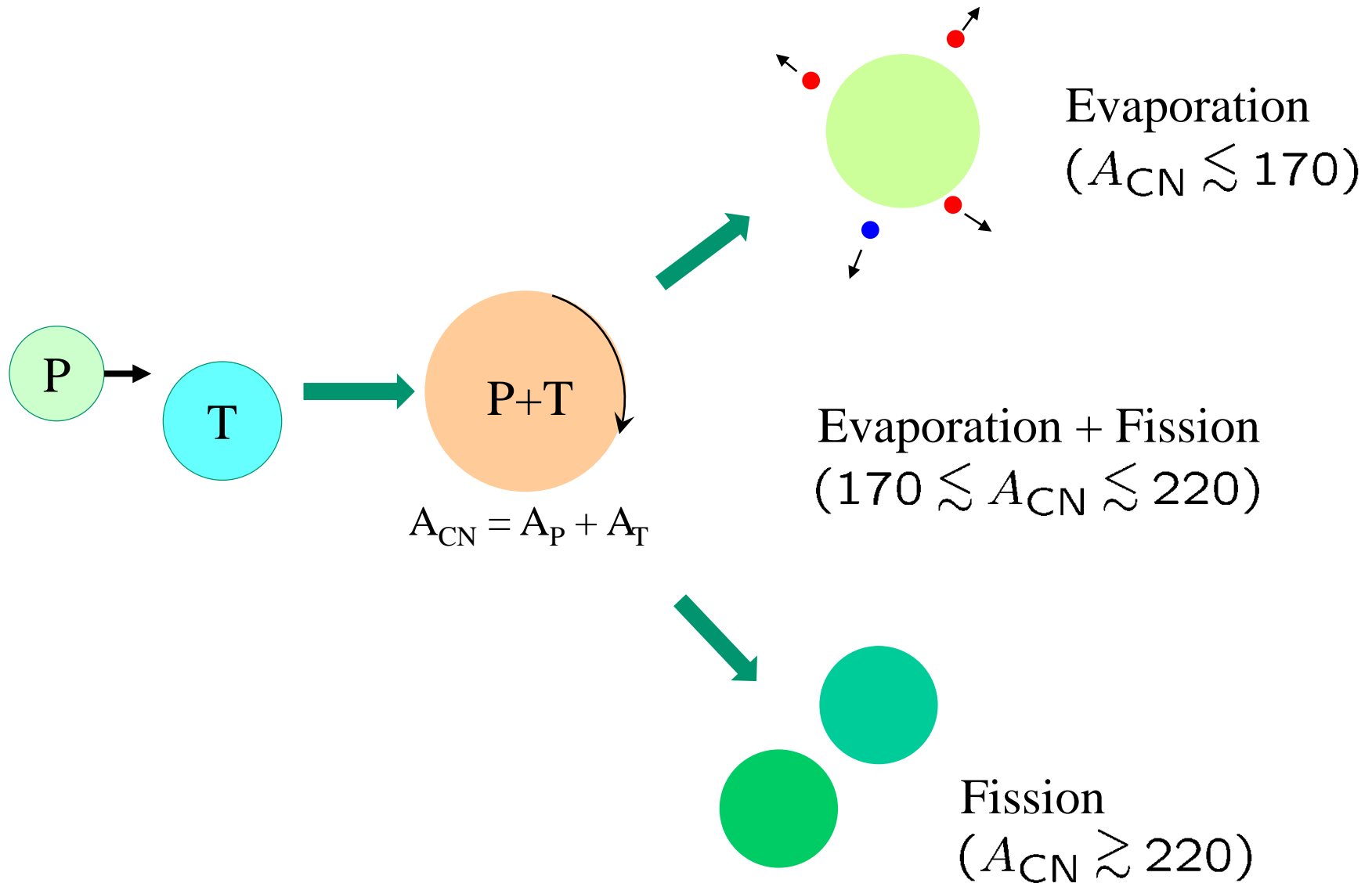
J.M. Yao (*North Carolina*)



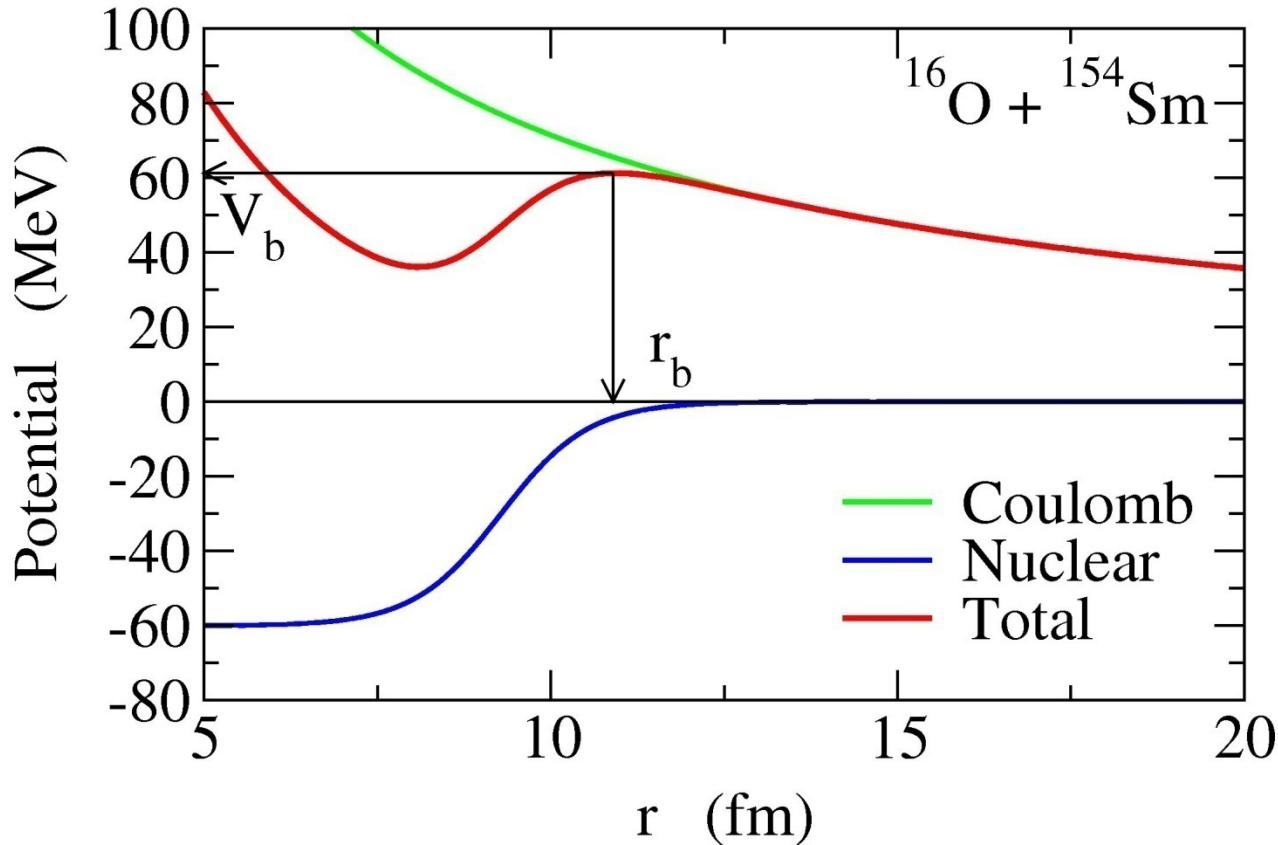
- 1. Introduction: H.I. sub-barrier fusion reactions*
  - potential model and Wong formula*
  - coupled-channels approach*
- 2. A Bayesian approach to fusion barrier distributions*
- 3. C.C. calculation with “beyond-mean-field” method*
- 4. Summary*

# Introduction: heavy-ion fusion reactions

Fusion: compound nucleus formation



## Inter-nucleus potential



Two forces:

1. **Coulomb force**

Long range,  
repulsive

2. **Nuclear force**

Short range,  
attractive



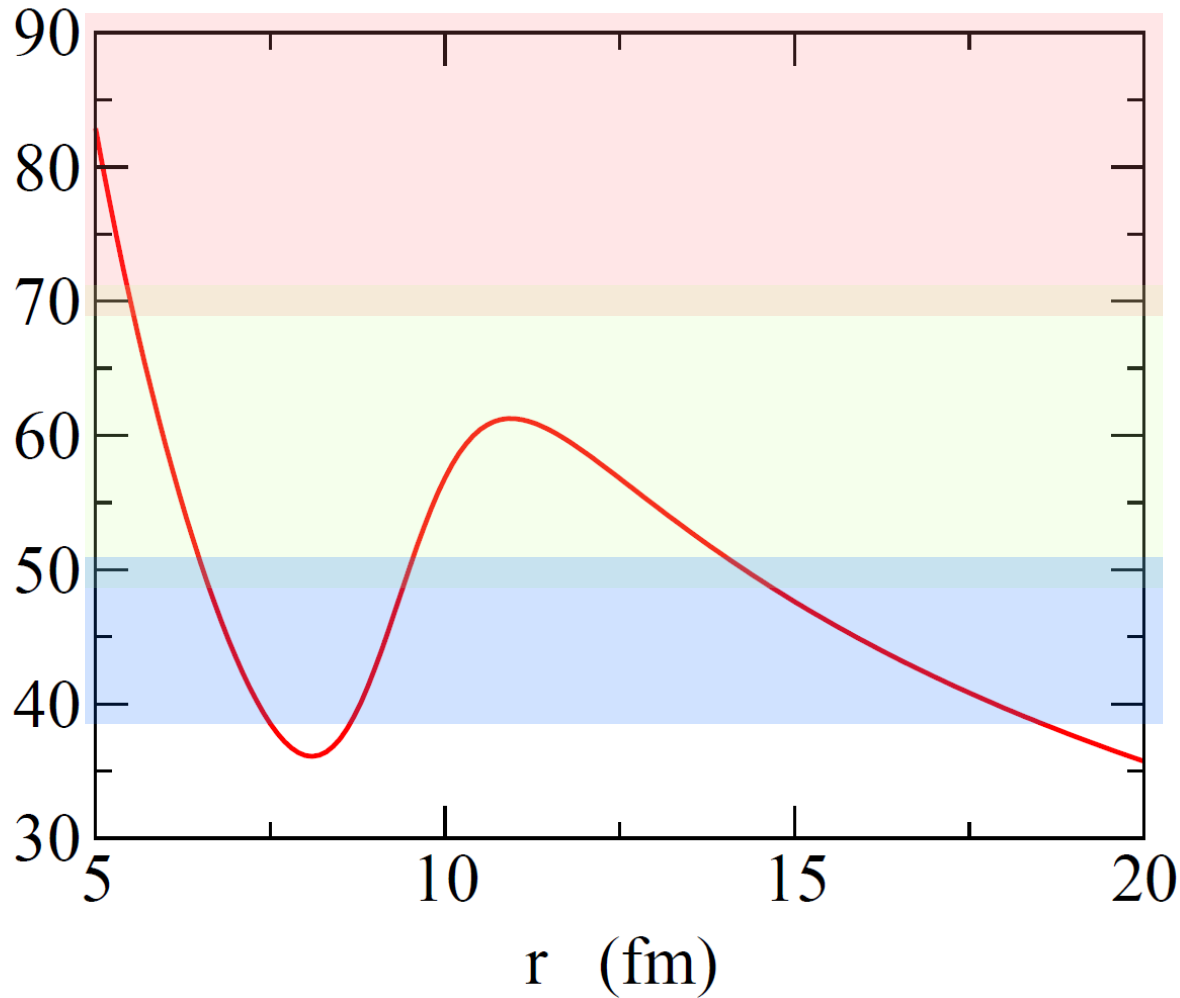
Potential barrier  
(**Coulomb barrier**)

• above barrier energies

→ • sub-barrier energies

• deep subbarrier energies

## Energy regions

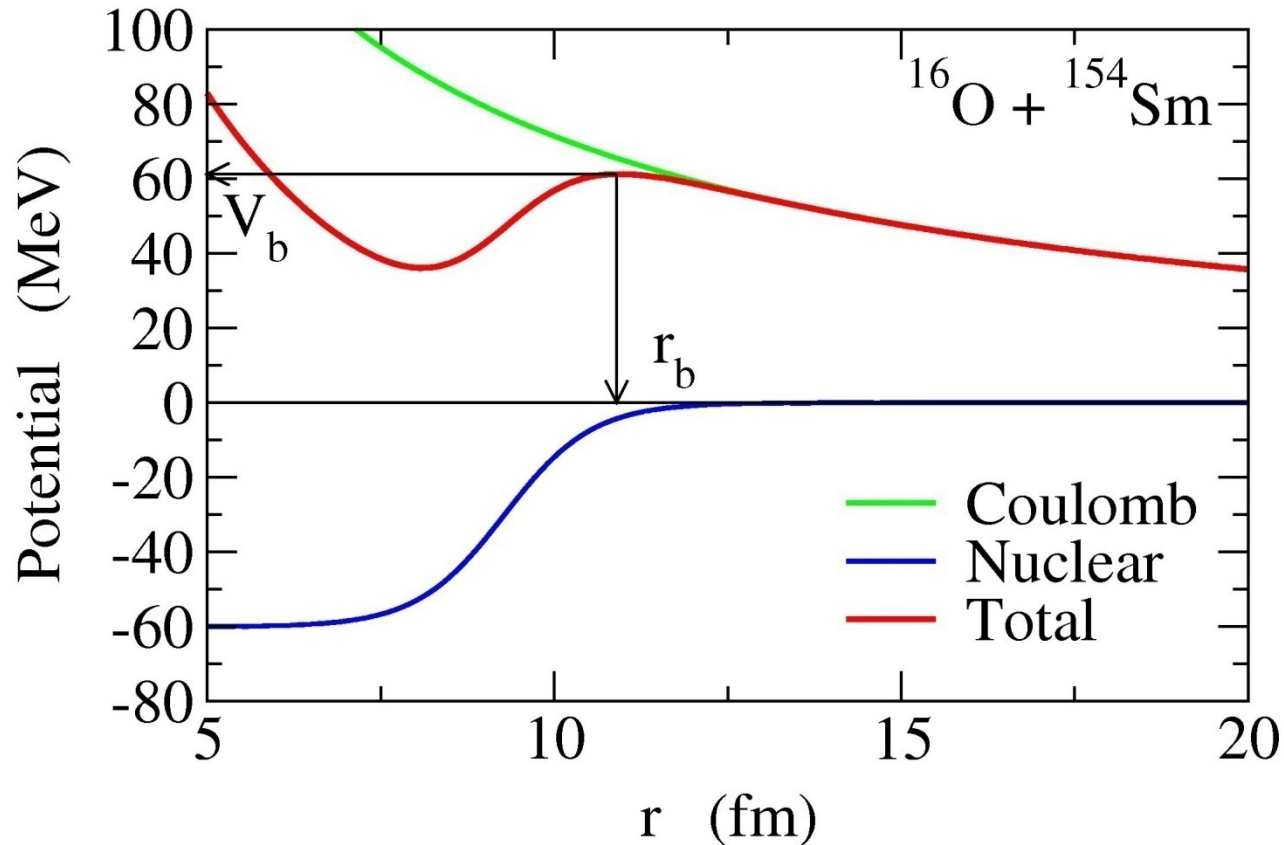


above barrier region  
( $E \gtrsim V_b + 10\text{MeV}$ )

sub-barrier region ←  
( $|E - V_b| \lesssim 10\text{MeV}$ )

deep sub-barrier region  
( $E \lesssim V_b - 10\text{MeV}$ )

## Potential model for fusion

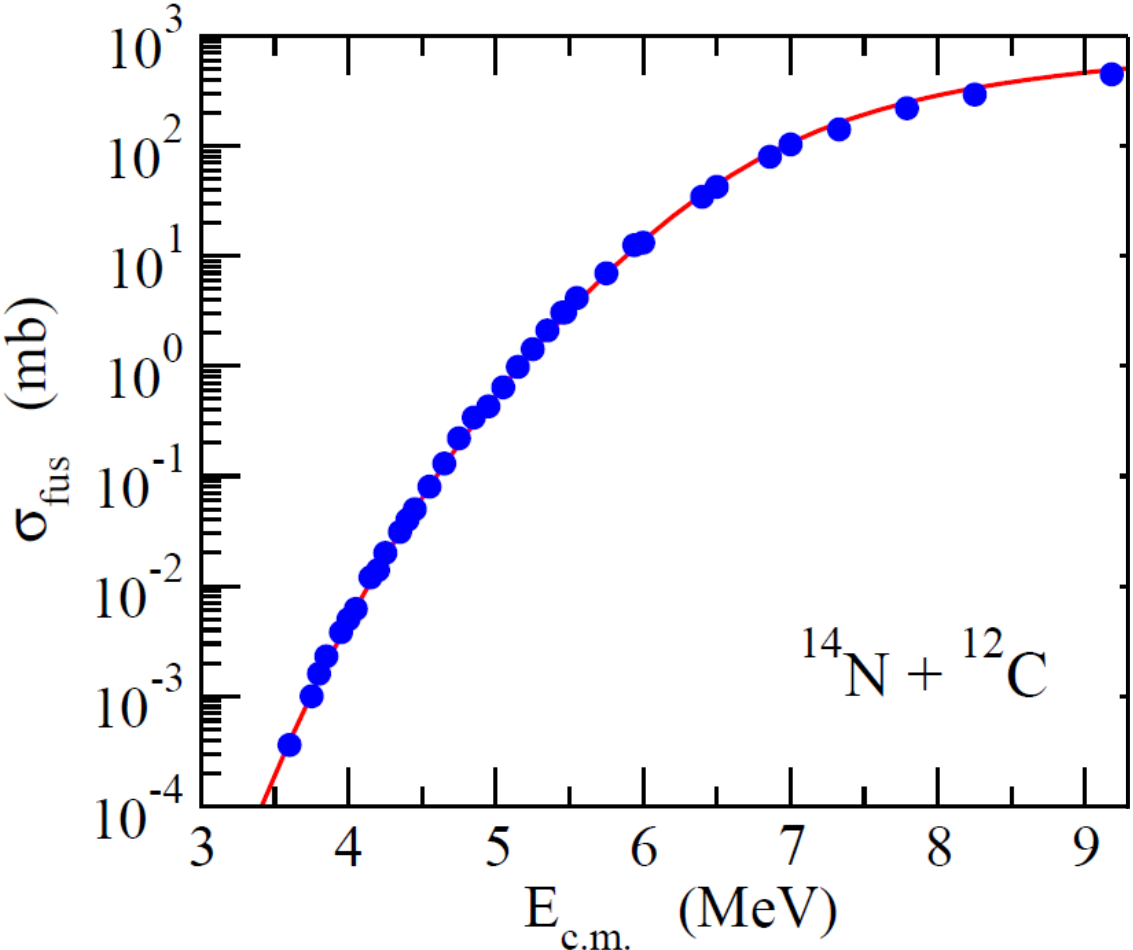


the simplest approach to fusion cross sections: [potential model](#)

$$\sigma_{\text{fus}}(E) = \frac{\pi}{k^2} \sum_l (2l + 1) P_l(E)$$

the simplest approach: potential model with  $V(r)$  + absorption

$$\sigma_{\text{fus}}(E) = \frac{\pi}{k^2} \sum_l (2l + 1) P_l(E)$$

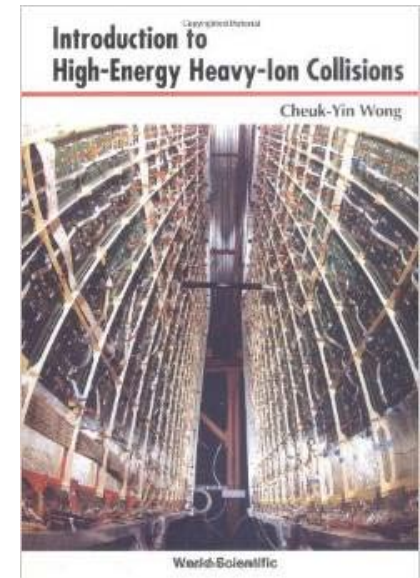
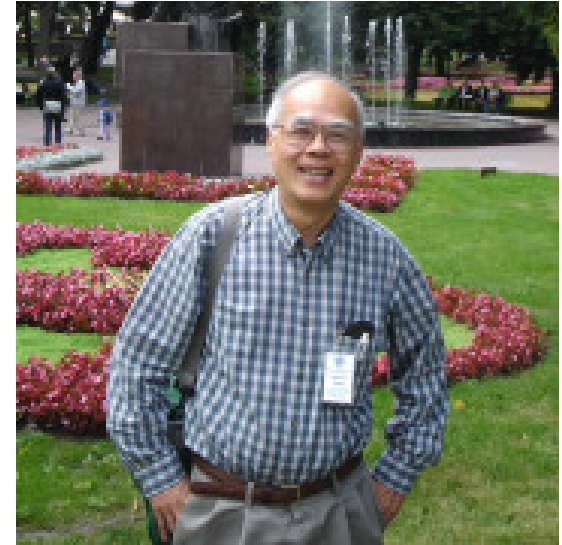
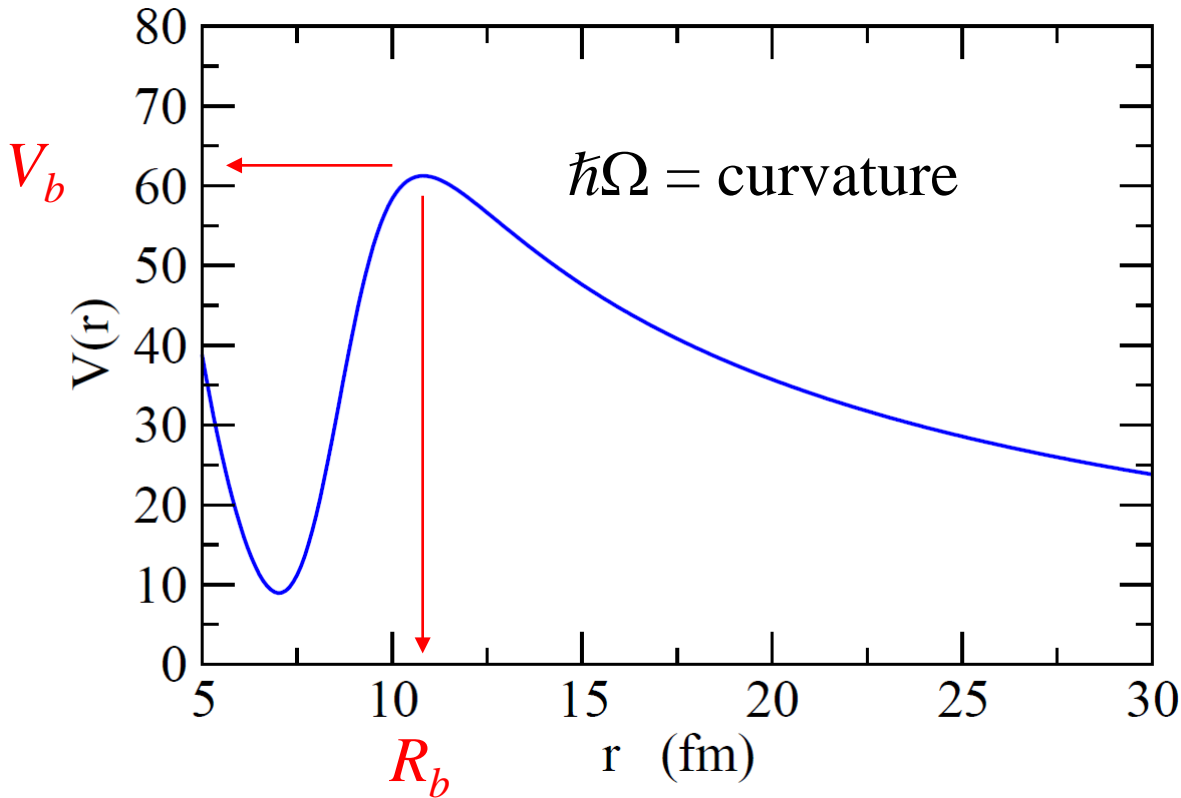


# Wong's formula

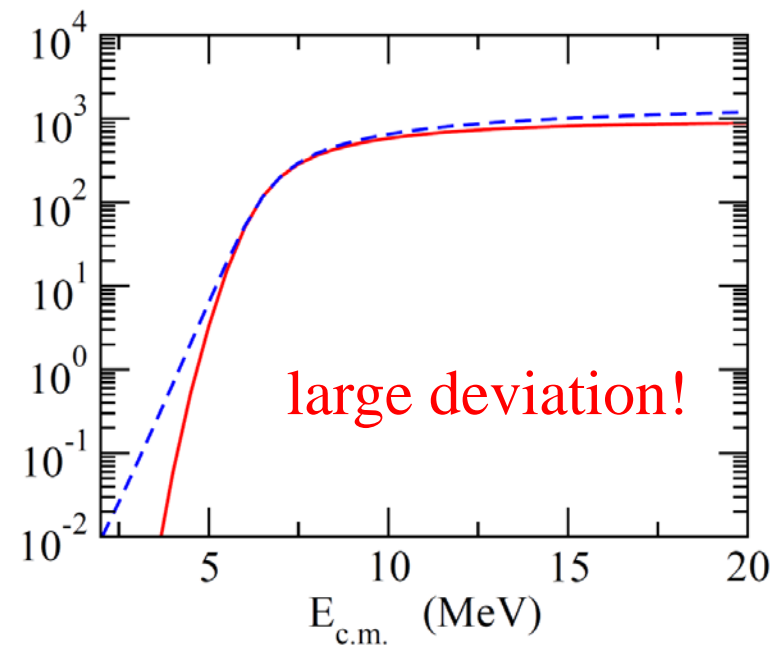
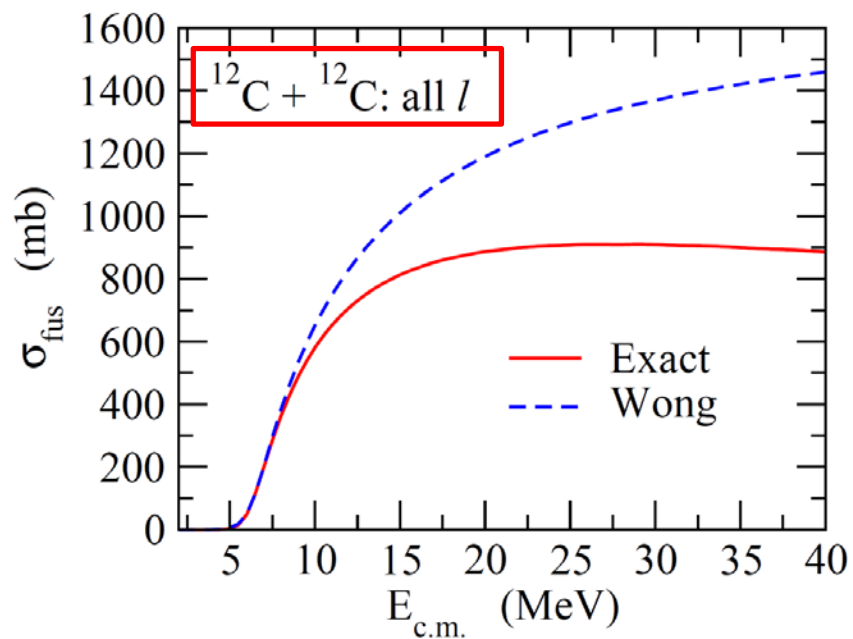
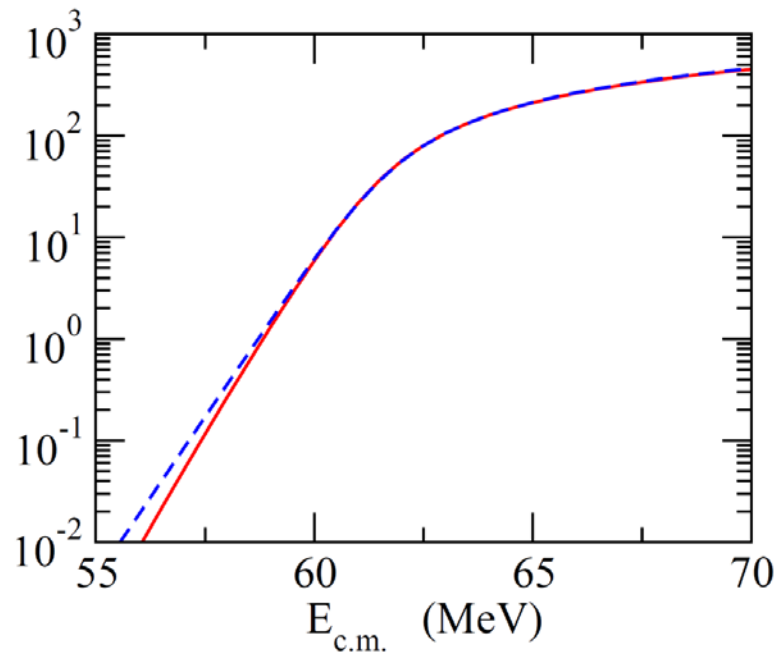
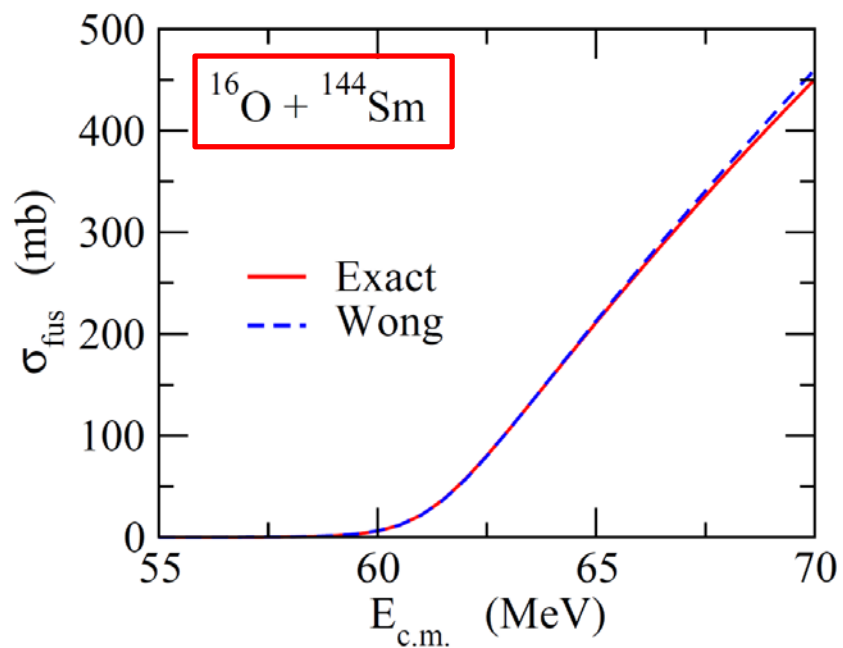
C.Y. Wong, Phys. Rev. Lett. 31 ('73)766

$$\sigma_{\text{fus}}(E) = \frac{\hbar\Omega}{2E} R_b^2 \ln \left[ 1 + \exp \left( \frac{2\pi}{\hbar\Omega} (E - V_b) \right) \right]$$

(single-channel)



$R_b, V_b, \Omega_b$ : s-wave barrier

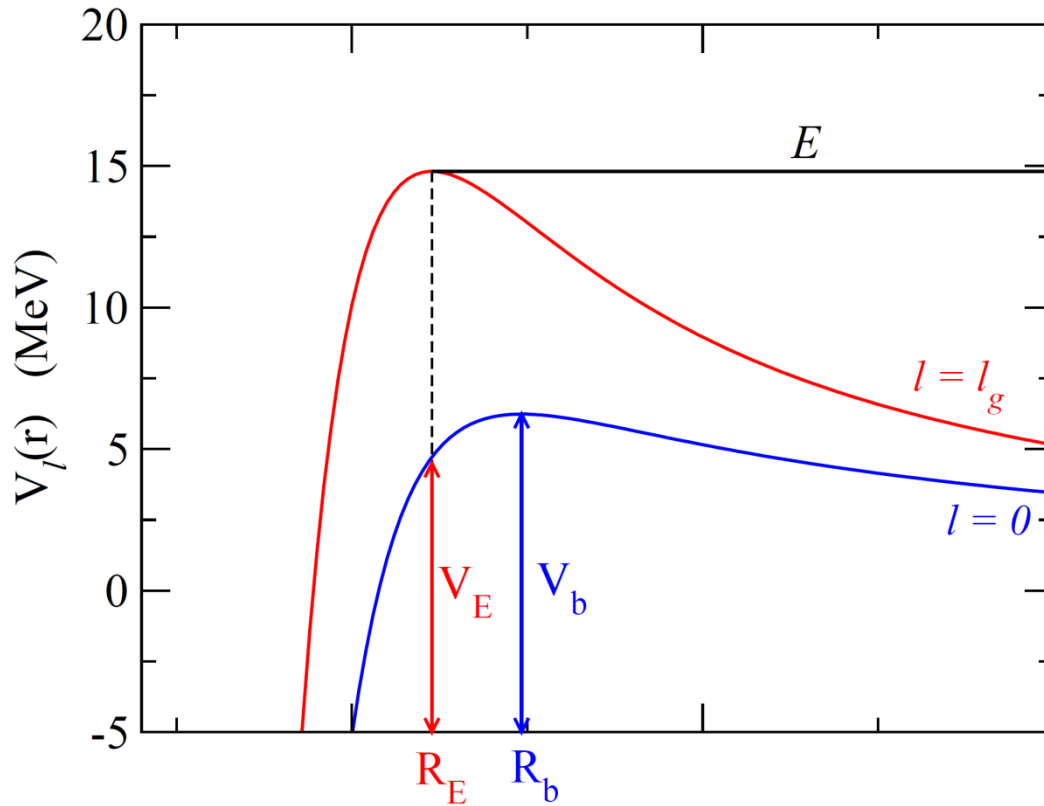




# Generalized Wong formula

N. Rowley, A. Kabir, and R. Lindsay, J. of Phys. G15('89)L269

N. Rowley and K. Hagino, PRC91 (2015) 044617



use  $V_b$ ,  $R_b$ , and  $\Omega$   
for the grazing angular  
momentum,  $l_g$

(note)

$$\begin{cases} \sigma_{cl} = \pi b_g^2 \\ E = V_E + \frac{(kb_g)^2 \hbar^2}{2\mu R_E^2} \end{cases}$$

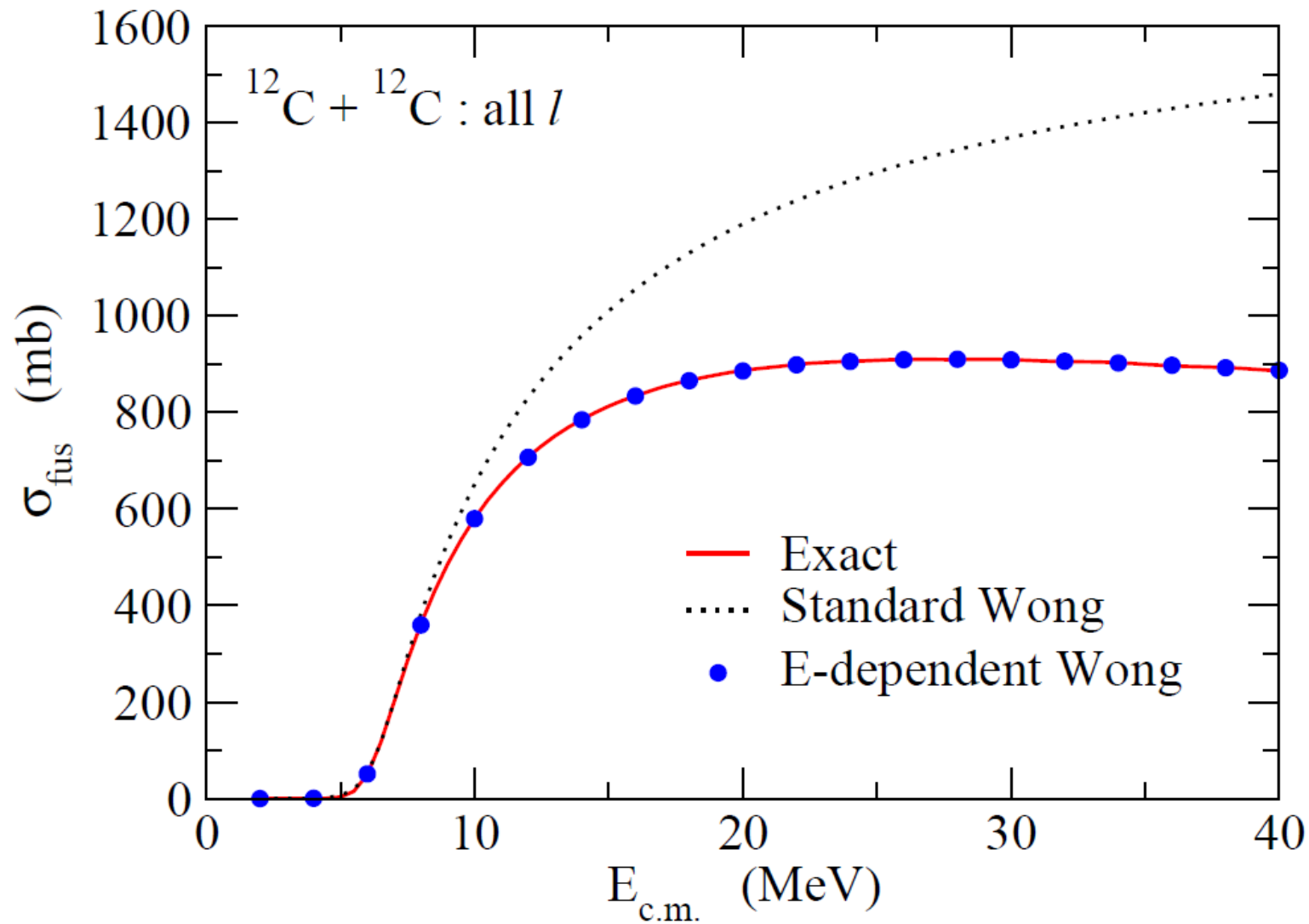
$$\longrightarrow \sigma_{cl} = \pi R_E^2 (1 - V_E/E)$$

$$\sigma_{fus}(E) = \frac{\hbar\Omega}{2E} R_b^2 \ln \left[ 1 + \exp \left( \frac{2\pi}{\hbar\Omega} (E - V_b) \right) \right]$$

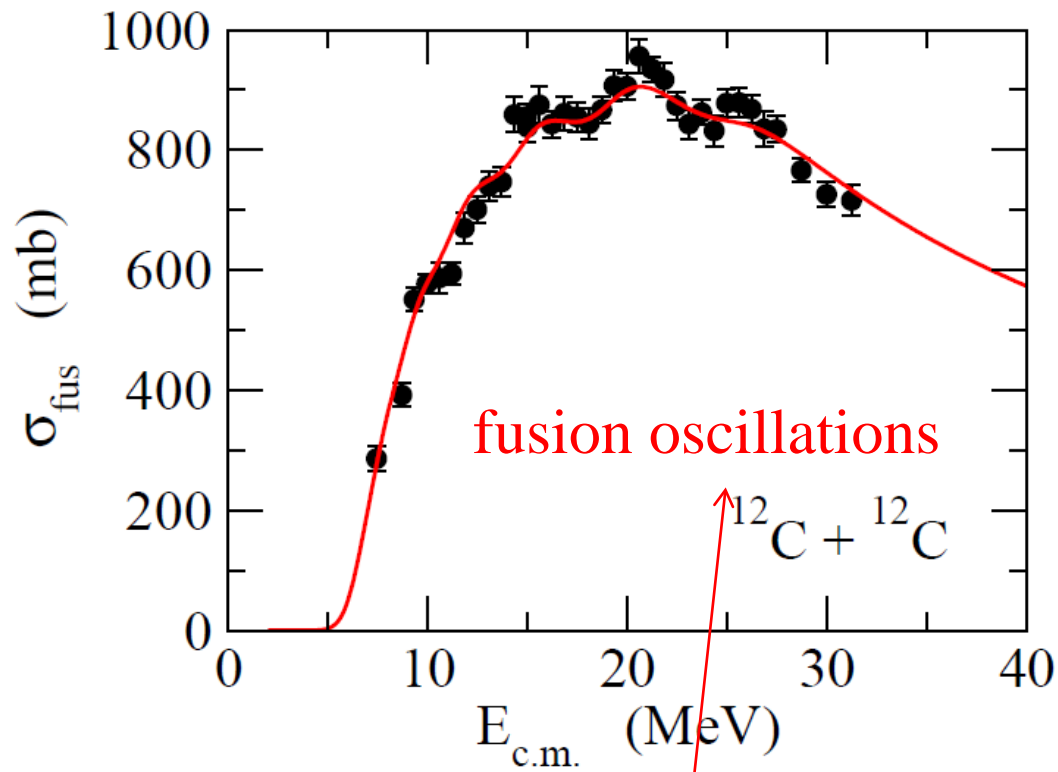
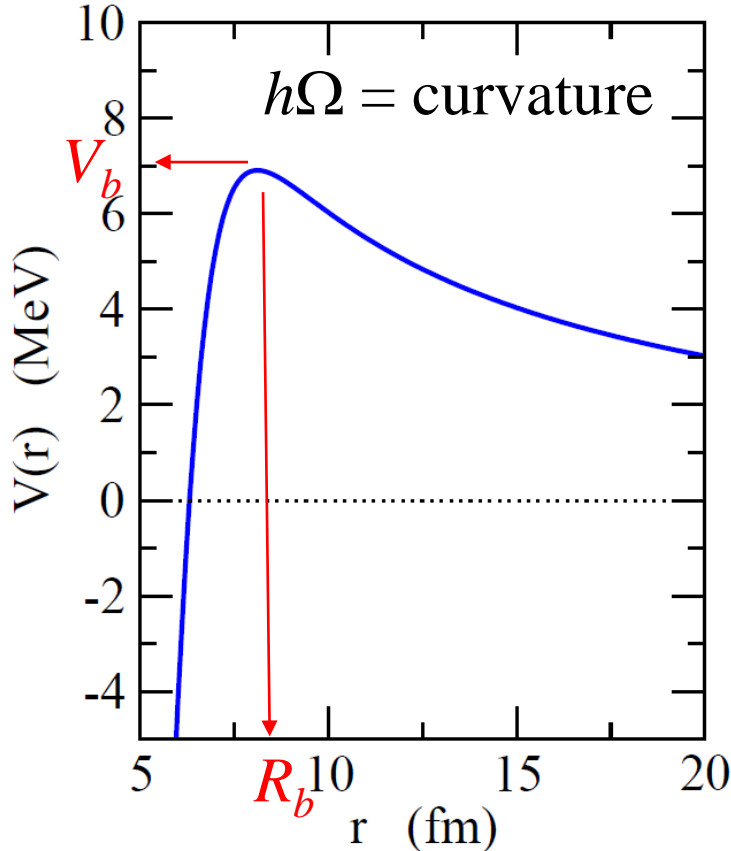


$$\sigma_{fus}(E) = \frac{\hbar\Omega_E}{2E} R_E^2 \ln \left[ 1 + \exp \left( \frac{2\pi}{\hbar\Omega_E} (E - V_E) \right) \right]$$

$$\sigma_{\text{fus}}(E) = \frac{\hbar\Omega_E}{2E} R_E^2 \ln \left[ 1 + \exp \left( \frac{2\pi}{\hbar\Omega_E} (E - V_E) \right) \right]$$



potential model:  $V(r) + \text{absorption}$

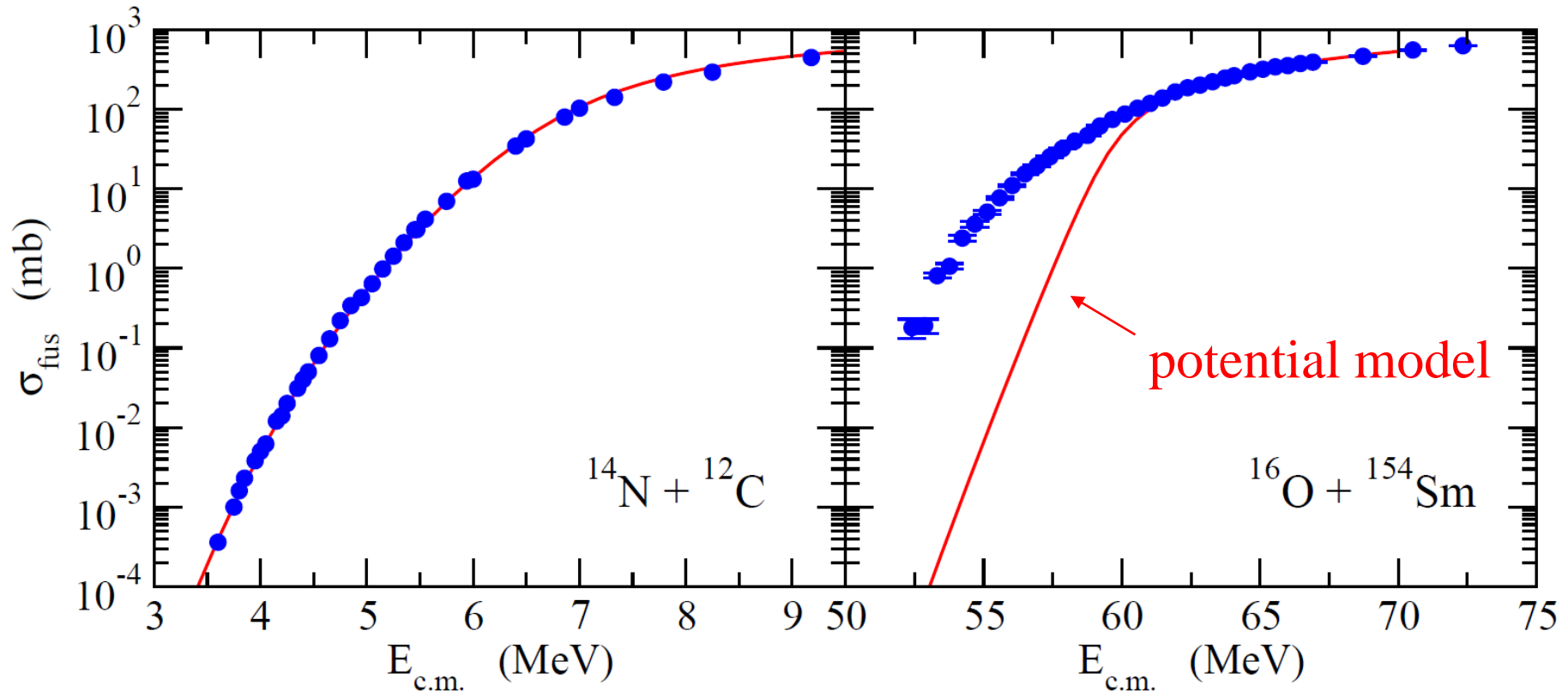


Generalized Wong formula [N. Rowley and K.H., PRC91('15)044617]

$$\sigma_{\text{fus}}(E) \sim \frac{\hbar\Omega_E}{2E} R_E^2 \ln \left[ 1 + \exp \left( \frac{2\pi}{\hbar\Omega_E} (E - V_E) \right) \right] + (\text{osc.})$$

# Discovery of large sub-barrier enhancement of $\sigma_{\text{fus}}$

potential model:  $V(r) + \text{absorption}$

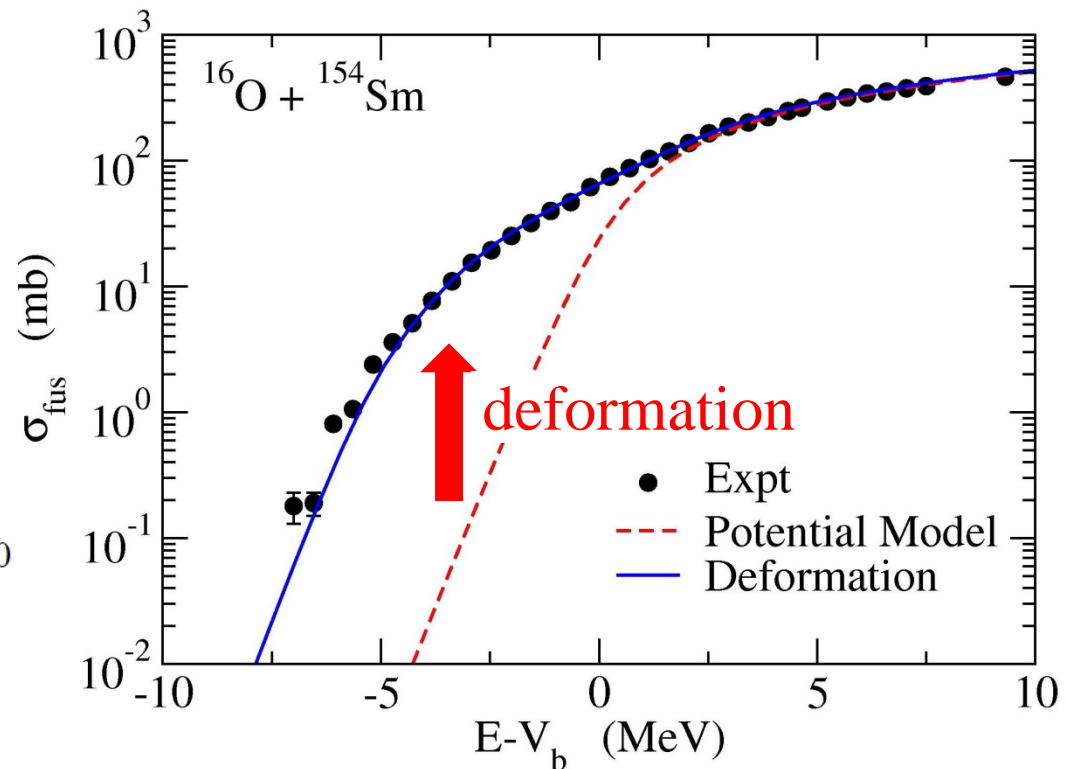
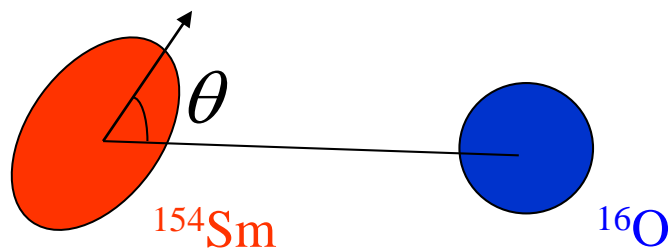
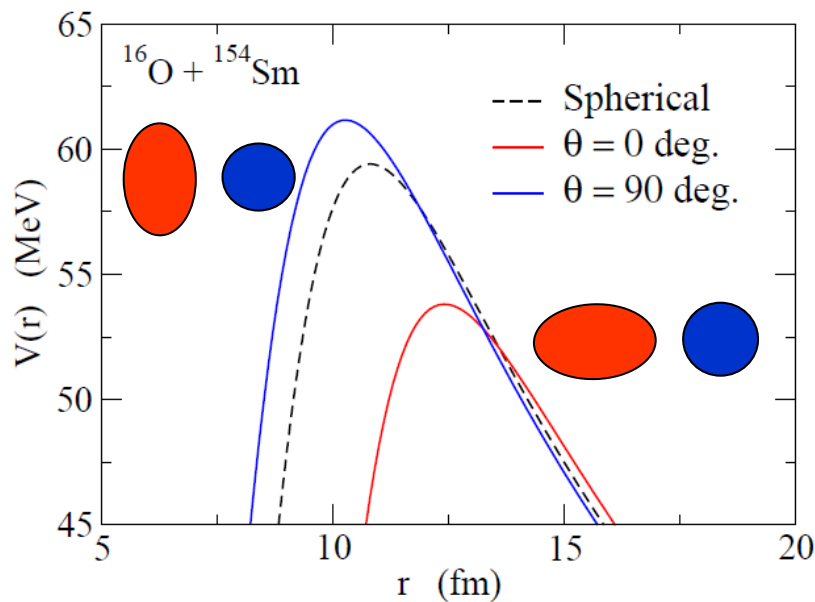


cf. seminal work:

R.G. Stokstad et al., PRL41('78) 465

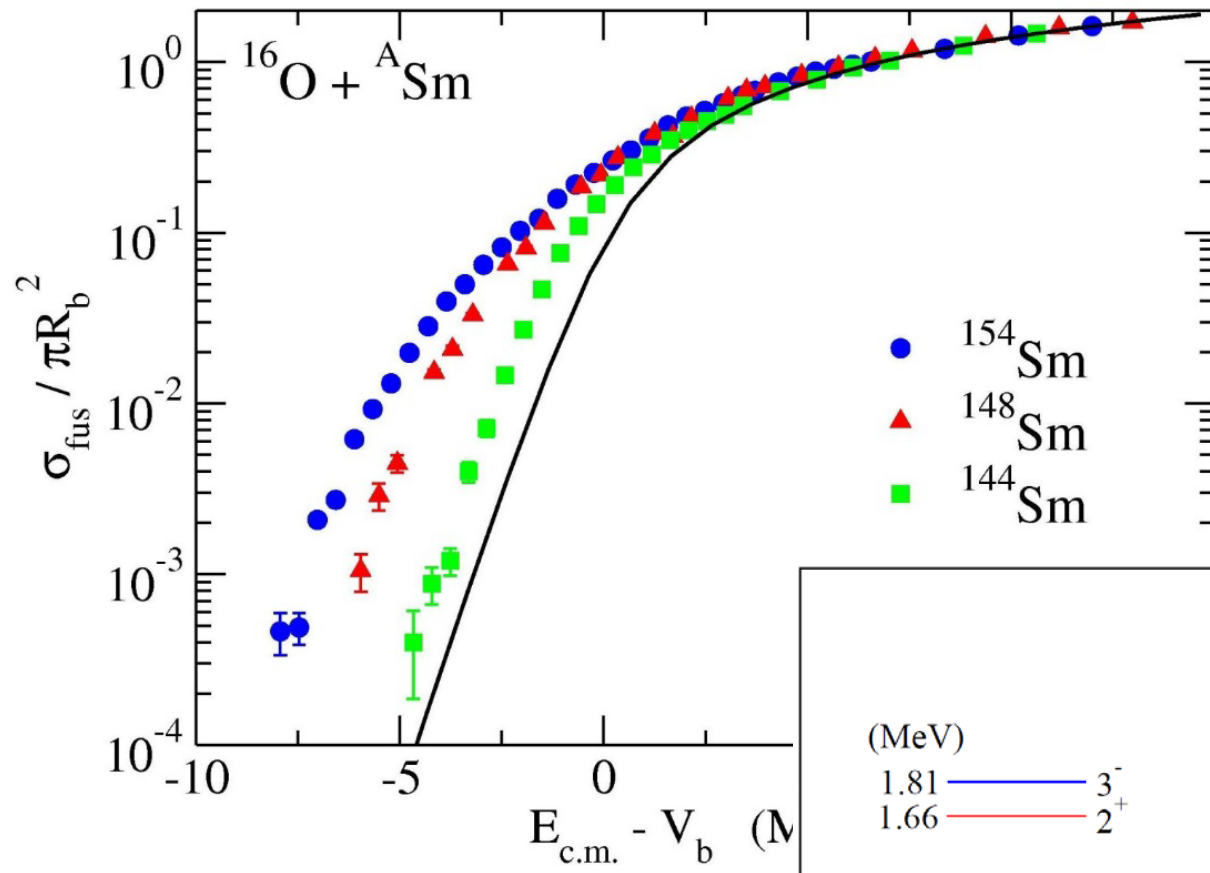
# Effect of nuclear deformation

$^{154}\text{Sm}$  : a deformed nucleus with  $\beta_2 \sim 0.3$

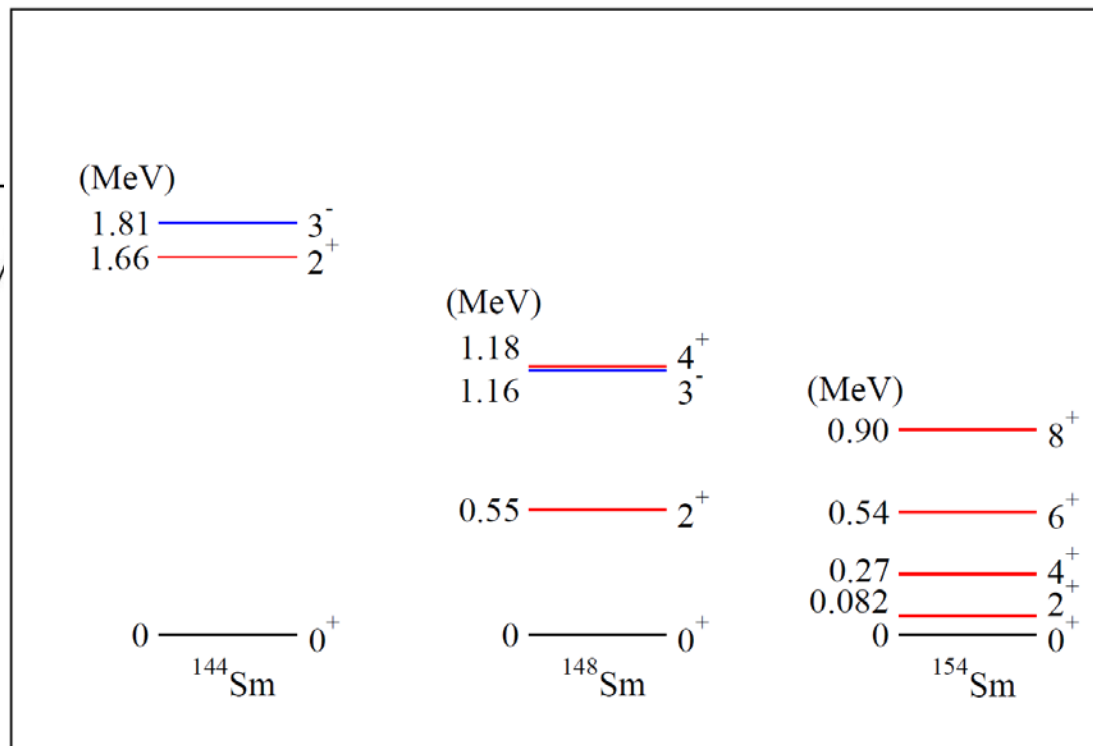


$$\sigma_{\text{fus}}(E) = \int_0^1 d(\cos \theta) \sigma_{\text{fus}}(E; \theta)$$

**Fusion: strong interplay between nuclear structure and nuclear reaction**

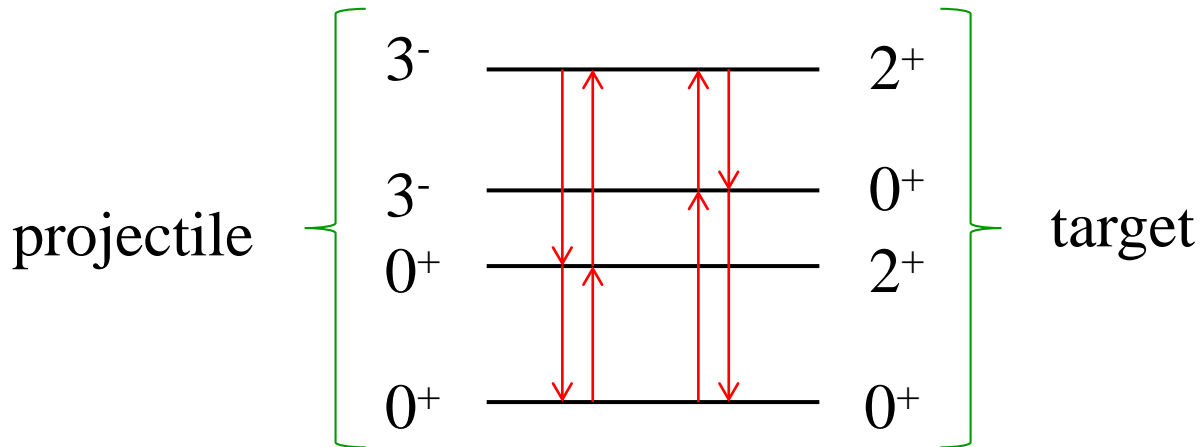
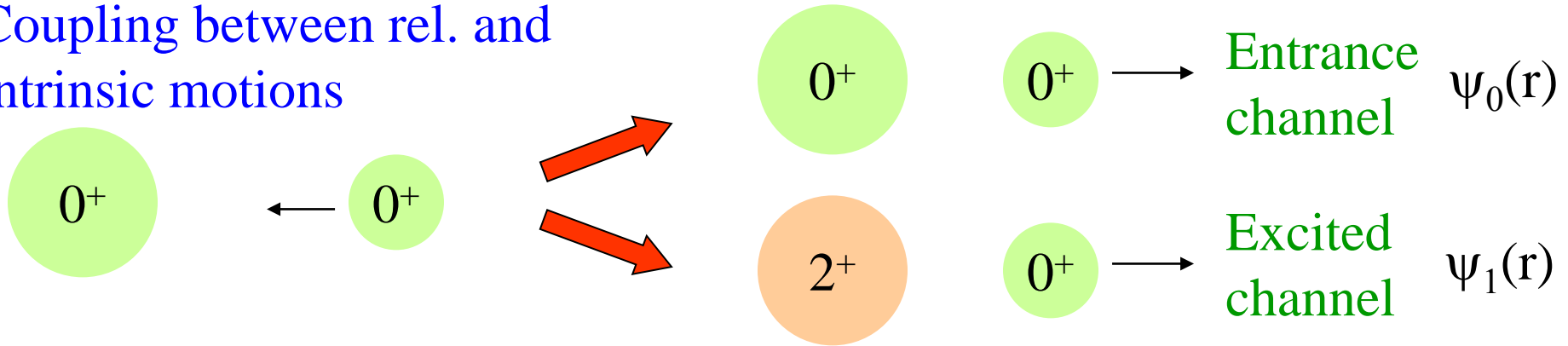


Strong target dependence  
at  $E < V_b$



# Coupled-Channels method

Coupling between rel. and intrinsic motions



$$\Psi(\mathbf{r}, \xi) = \sum_k \psi_k(\mathbf{r}) \phi_k(\xi)$$



coupled Schroedinger equations for  $\psi_k(\mathbf{r})$

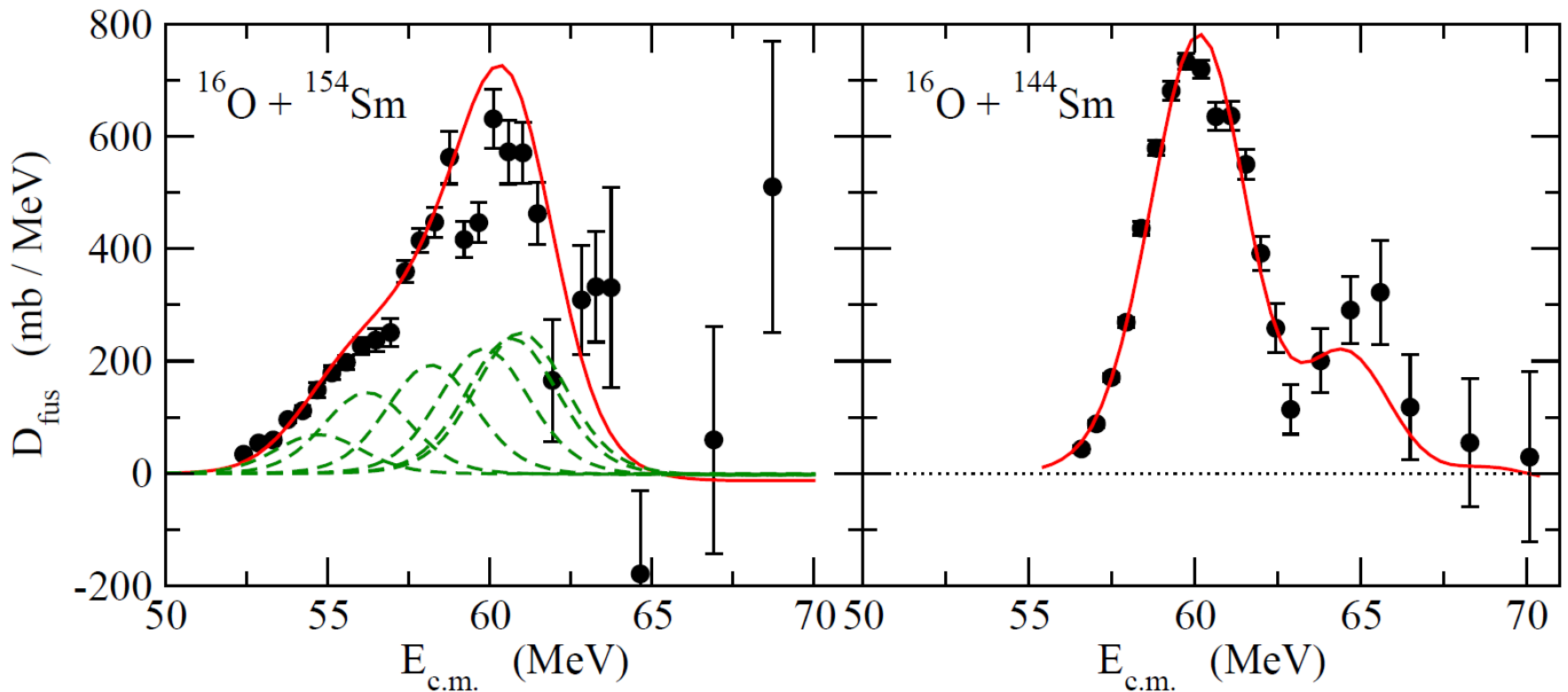
## C.C. approach: a standard tool for sub-barrier fusion reactions

cf. CCFULL (K.H., N. Rowley, A.T. Kruppa, CPC123 ('99) 143)

✓ Fusion barrier distribution (Rowley, Satchler, Stelson, PLB254('91))

$$D_{\text{fus}}(E) = \frac{d^2(E\sigma_{\text{fus}})}{dE^2}$$

— c.c. calculations

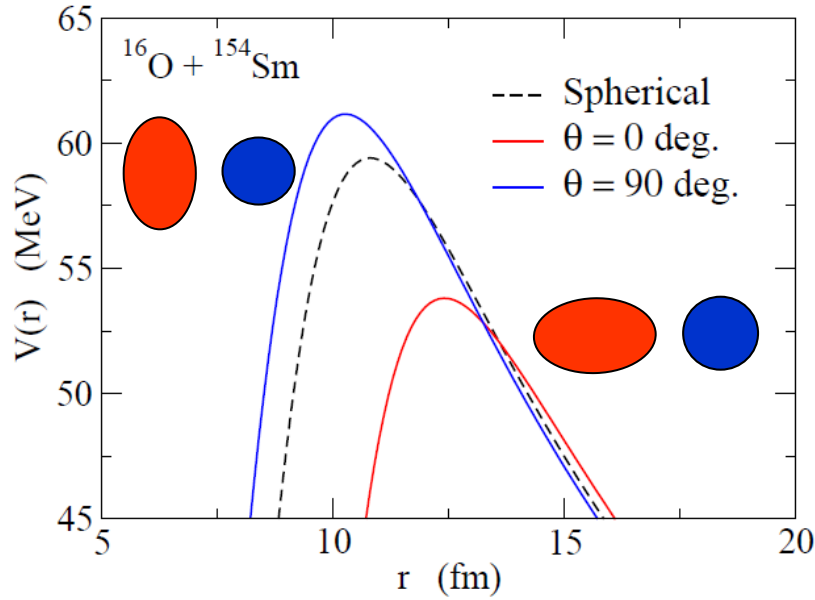


K.H., N. Takigawa, PTP128 ('12) 1061

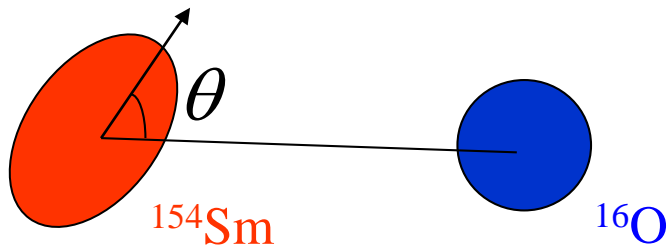


# Effect of nuclear deformation

$^{154}\text{Sm}$  : a deformed nucleus with  $\beta_2 \sim 0.3$



deformation:  
single barrier  $\rightarrow$  many barriers

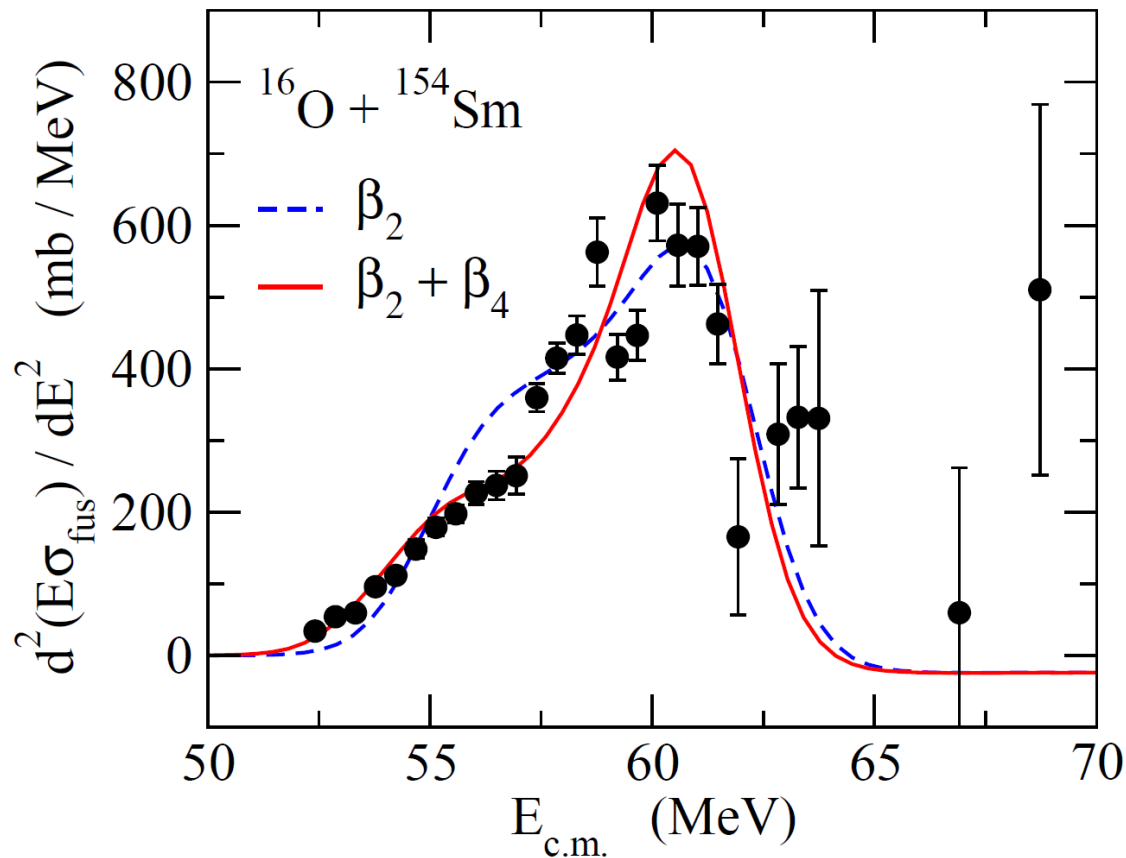


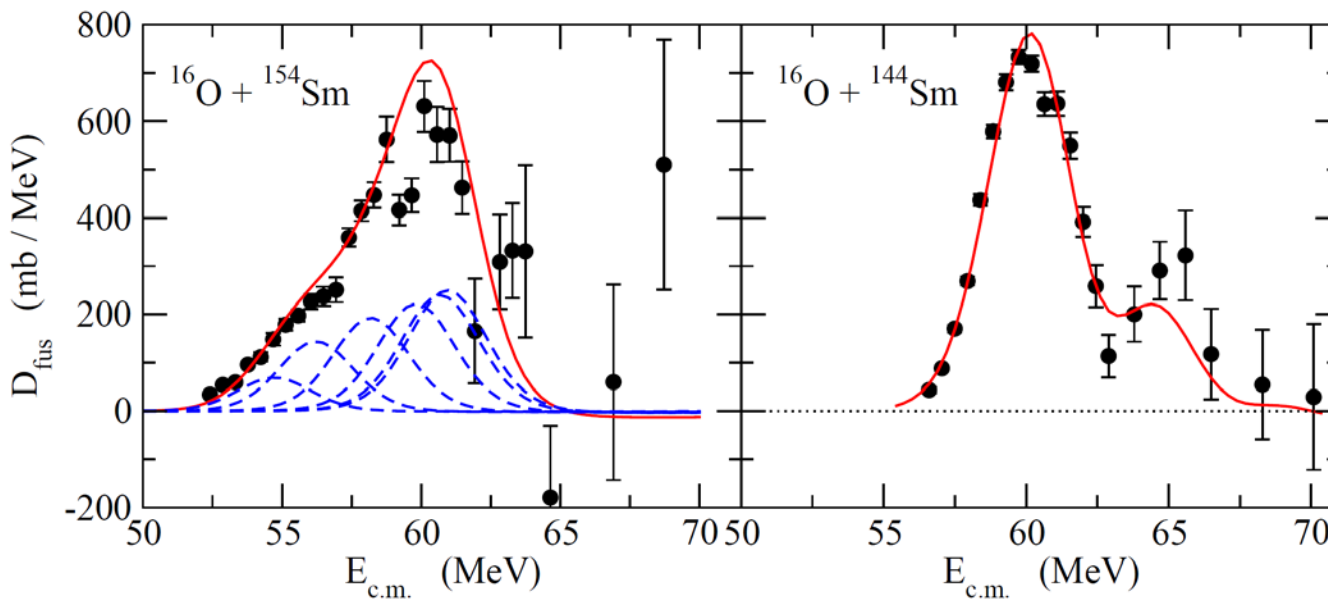
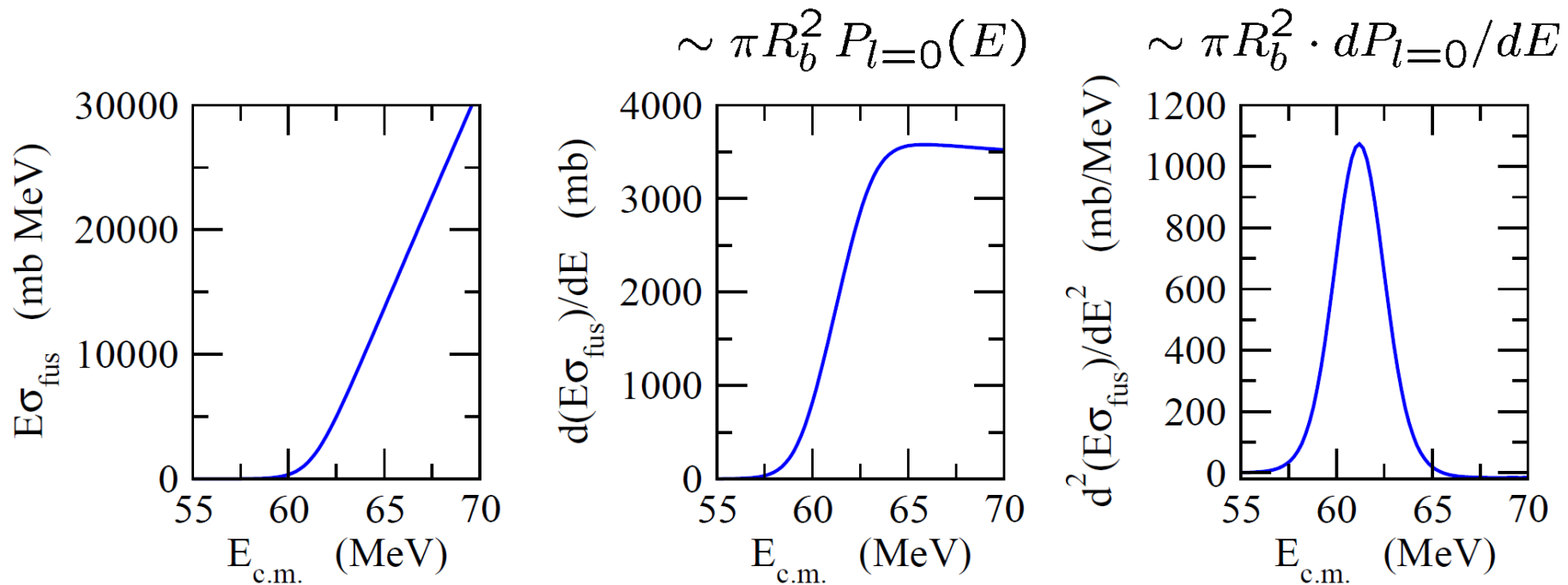
$$\sigma_{\text{fus}}(E) = \int_0^1 d(\cos \theta) \sigma_{\text{fus}}(E; \theta)$$

## Fusion barrier distribution

$$D_{\text{fus}}(E) = \frac{d^2(E\sigma_{\text{fus}})}{dE^2}$$

- ◆ N. Rowley, G.R. Satchler, and P.H. Stelson, PLB254 ('91) 25
- ◆ J.X. Wei, J.R. Leigh et al., PRL67 ('91) 3368
- ◆ M. Dasgupta et al., Annu. Rev. Nucl. Part. Sci. 48 ('98) 401





# A Bayesian approach to fusion barrier distributions

K.H., PRC93 ('16) 061601(R)

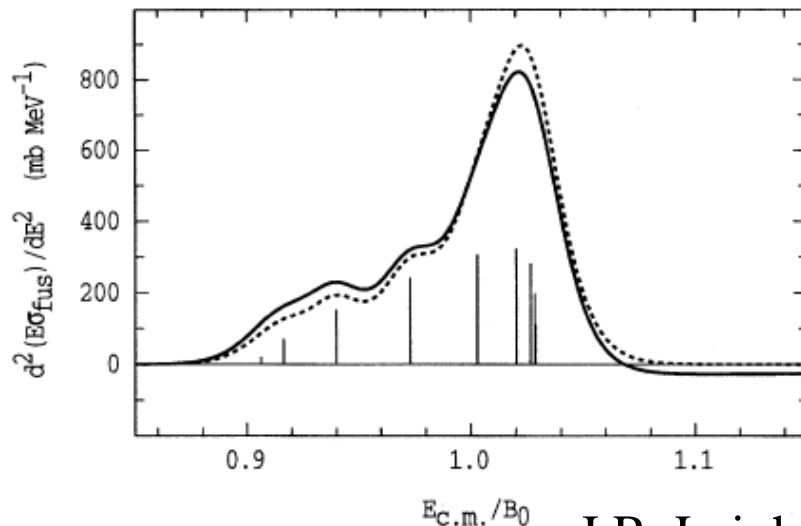
## Fusion barrier distributions

### ➤ Coupled-channels analyses

- ✓ a standard approach
- ✓ need to know the nature of collective excitations

### ➤ Direct fit to experimental data

$$D_{\text{fus}}(E) = \sum_k w_k D_0(E; B_k, R_k, \hbar\Omega_k)$$



- ✓ phenomenological
- ✓ no need to know the nature of coll. excitations
- ✓ quick and convenient way
- ✓ the number of barriers? ← (over-fitting problem)

J.R. Leigh et al., PRC52 ('95) 3151

# Bayesian spectrum deconvolution

K. Nagata, S. Sugita, and M. Okada,  
Neural Networks 28 ('12) 82

✓ data set:  $D_{\text{exp}} = \{E_i, d_i, \delta d_i\}$  ( $i = 1 \sim M$ )

✓ fitting function:  $D_{\text{fit}}(E; \tilde{\theta}, K) = \sum_{k=1}^K w_k \phi_k(E; \theta_k)$

## Bayes theorem

$$P(K|D_{\text{exp}}) = \frac{P(D_{\text{exp}}|K)P(K)}{P(D_{\text{exp}})}$$
$$\propto P(D_{\text{exp}}|K) = \int d\tilde{\theta} e^{-\chi^2(\tilde{\theta}, K)/2} P(\tilde{\theta})$$

$$\chi^2(\tilde{\theta}, K) = \sum_{i=1}^M \left( \frac{d_i - D_{\text{fit}}(E_i; \tilde{\theta}, K)}{\delta d_i} \right)^2$$

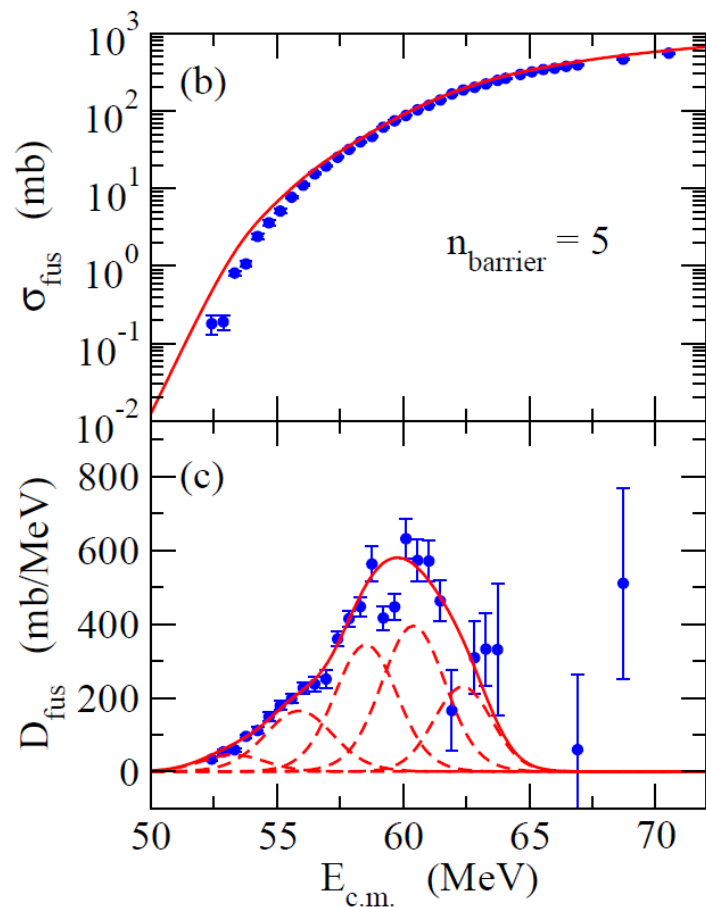
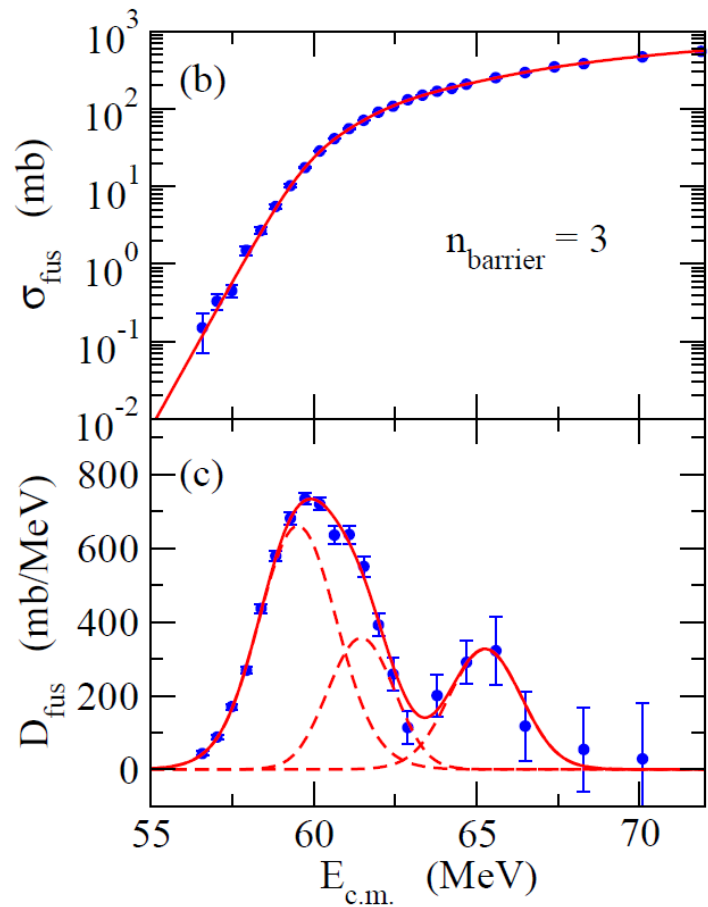
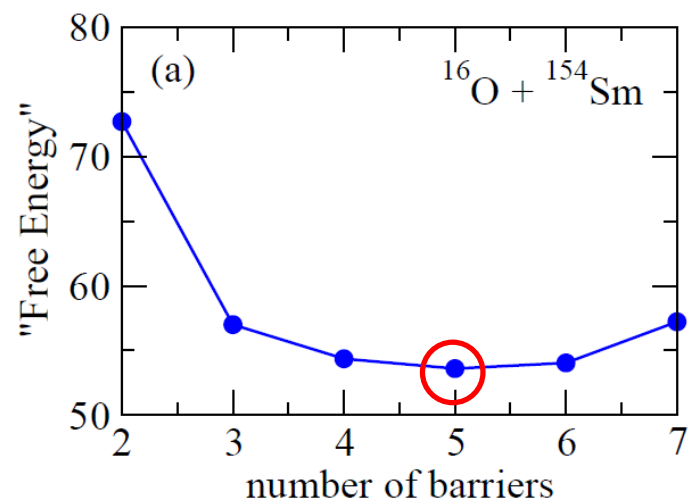
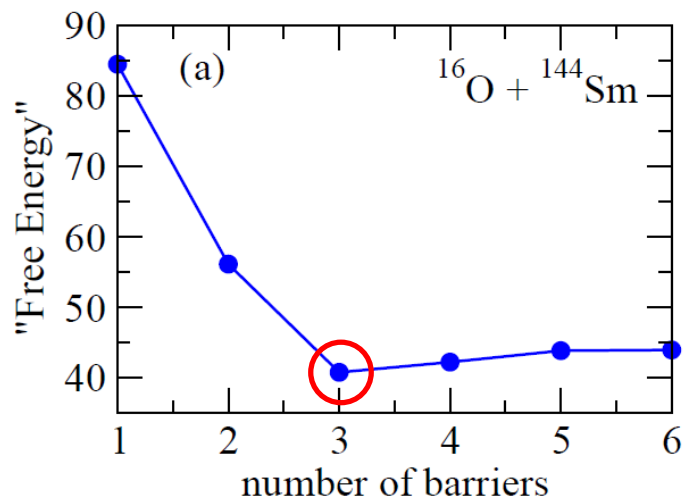
most probable value of K: maximize

$$Z(K) = \int d\tilde{\theta} e^{-\chi^2(\tilde{\theta}, K)/2} P(\tilde{\theta})$$

(high dim. integral  $\rightarrow$  MC method)

or equivalently, minimize the “Free Energy”  $F(K) = -\ln Z(K)$

$\longrightarrow$  optimize the other parameters for a given value of  $K$

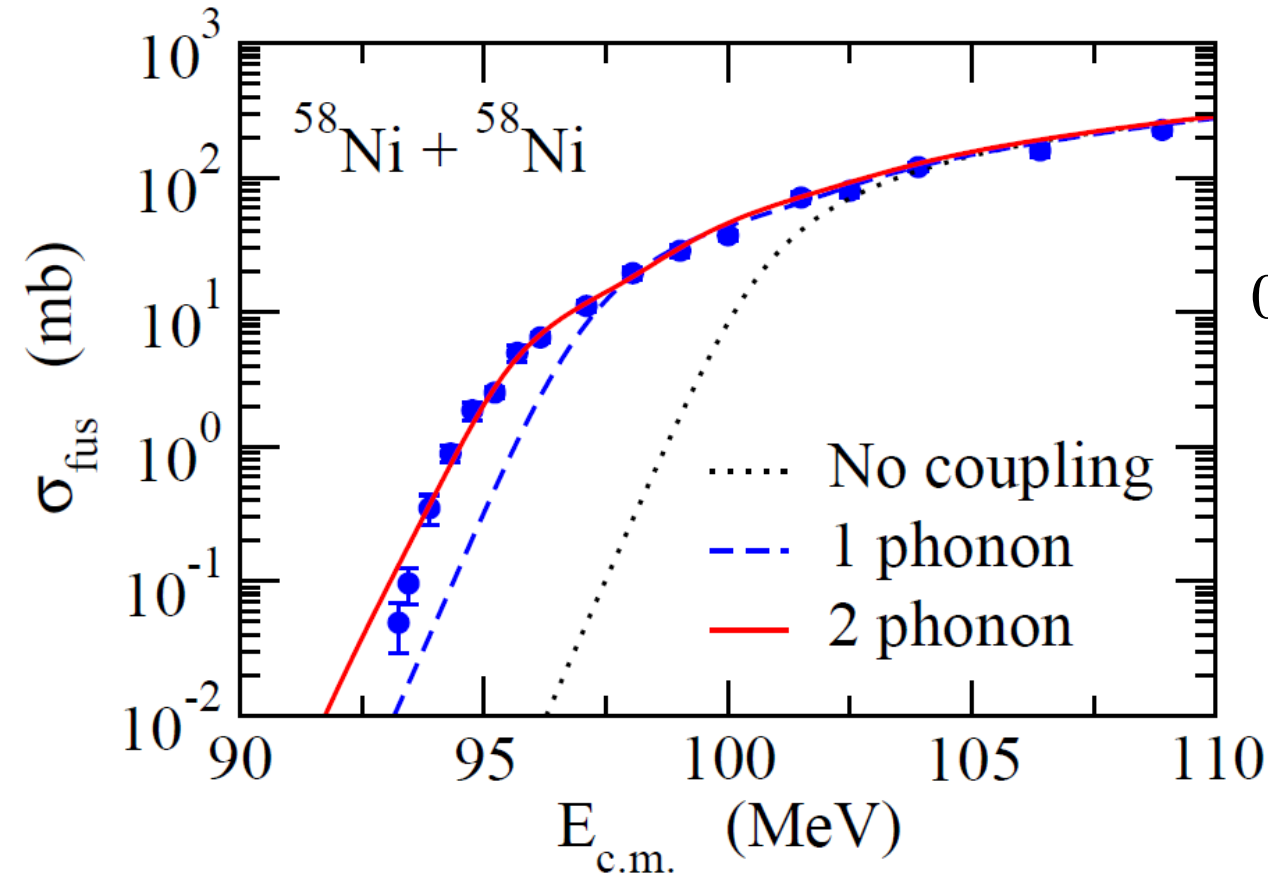


K.H., PRC93  
(‘16) 061601(R)

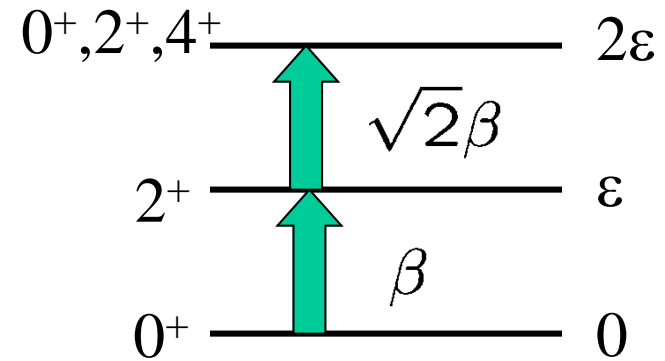
# Semi-microscopic modeling of sub-barrier fusion

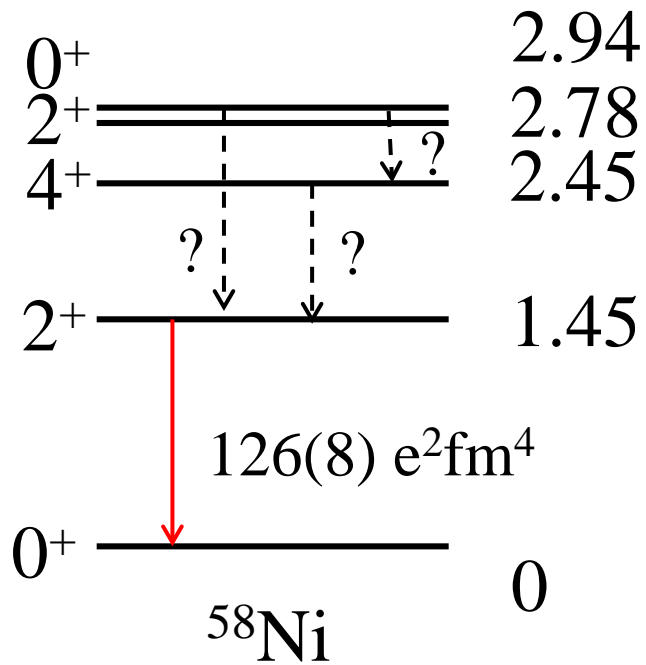
K.H. and J.M. Yao, PRC91('15) 064606

multi-phonon excitations



simple harmonic oscillator





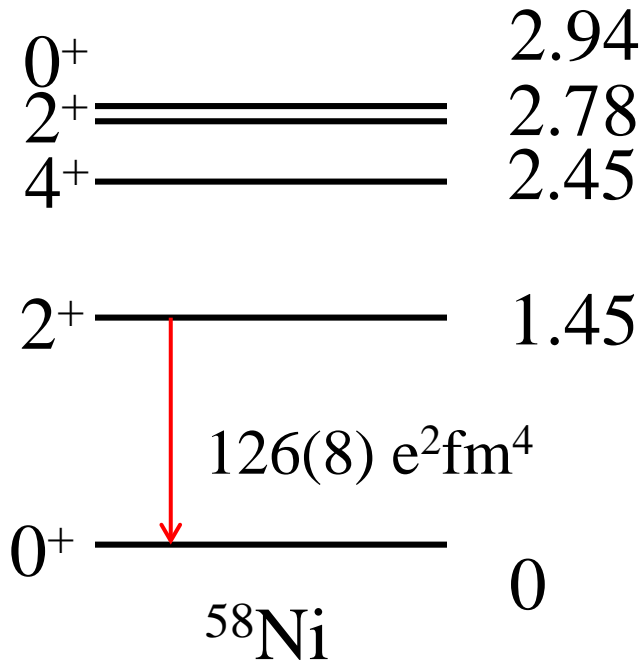
Simple harmonic oscillator  
: justifiable?

$$Q(2_1^+) = -10 \pm 6 e\text{fm}^2$$



## Anharmonic vibrations

- Boson expansion
- Quasi-particle phonon model
- **Shell model**
- Interacting boson model
- **Beyond-mean-field method**



$$Q(2_1^+) = -10 \pm 6 e\text{fm}^2$$

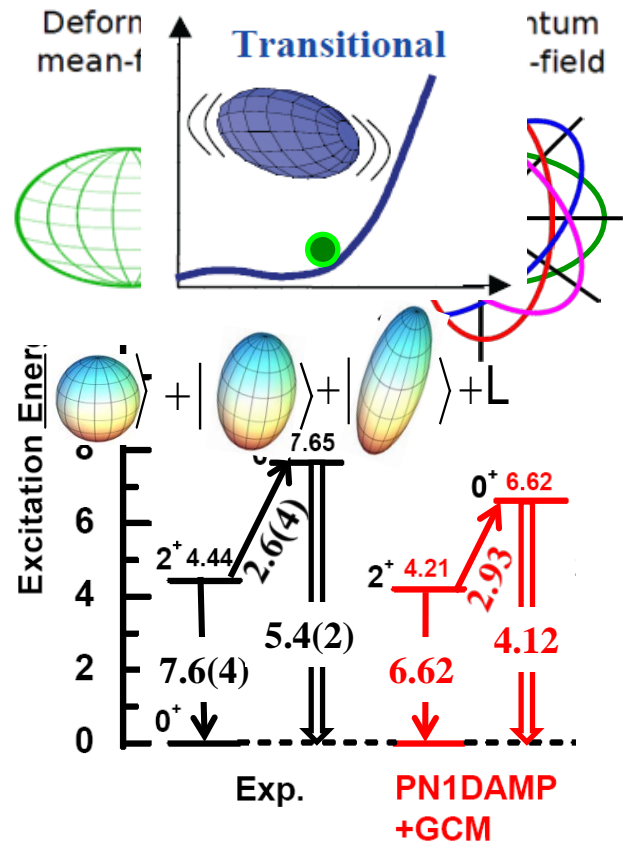
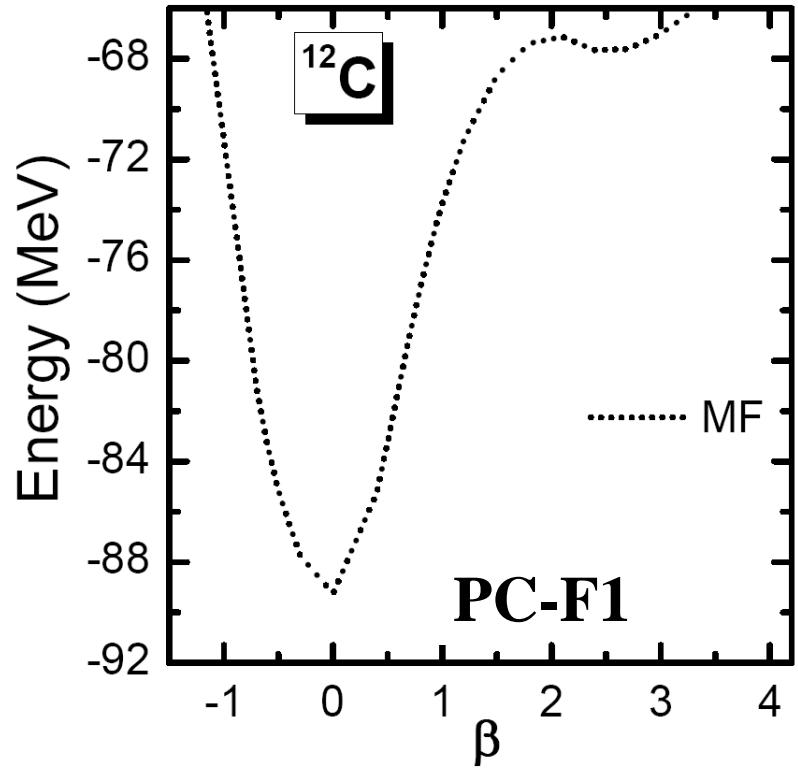
$$|JM\rangle = \int d\beta f_J(\beta) \hat{P}_{M0}^J |\Phi(\beta)\rangle$$

- ✓ **MF + ang. mom. projection**  
+ particle number projection  
+ **generator coordinate method (GCM)**

M. Bender, P.H. Heenen, P.-G. Reinhard,  
Rev. Mod. Phys. 75 ('03) 121  
J.M. Yao et al., PRC89 ('14) 054306

□ Beyond MF: Illustration with  $^{12}\text{C}$  : (GCM+PNP+AMP)

$$|\Phi_{IM_I}\rangle = \sum_{\beta} F^I(\beta) \hat{P}_{M_I K}^I \hat{P}^N \hat{P}^Z |\varphi(\beta)\rangle$$

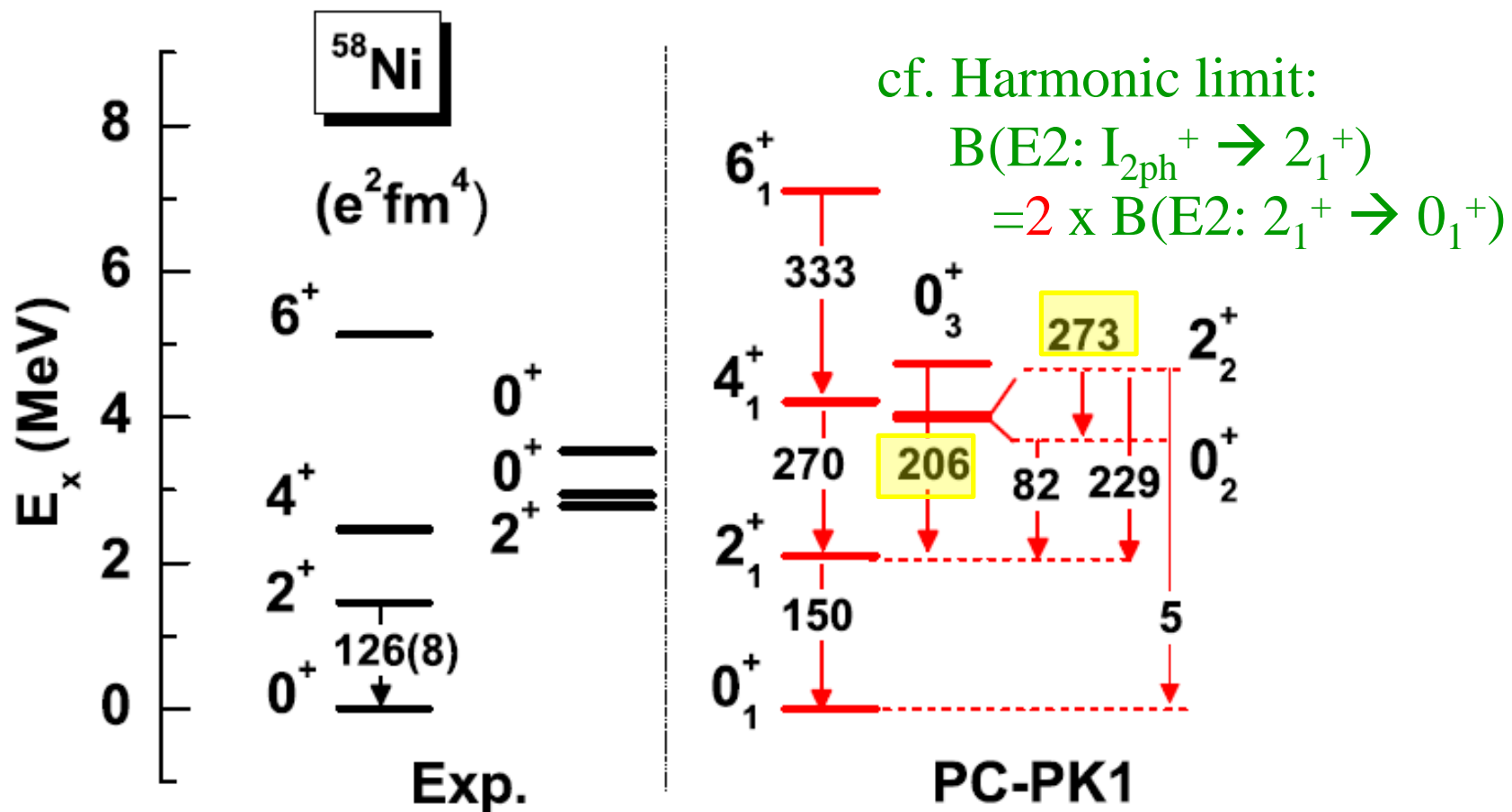


➤ Low-lying spectrum is reproduced rather well.

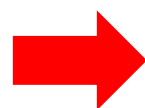
# Recent beyond-MF (MR-DFT) calculations for $^{58}\text{Ni}$

K.H. and J.M. Yao, PRC91 ('15) 064606

J.M. Yao, M. Bender, and P.-H. Heenen, PRC91 ('15) 024301



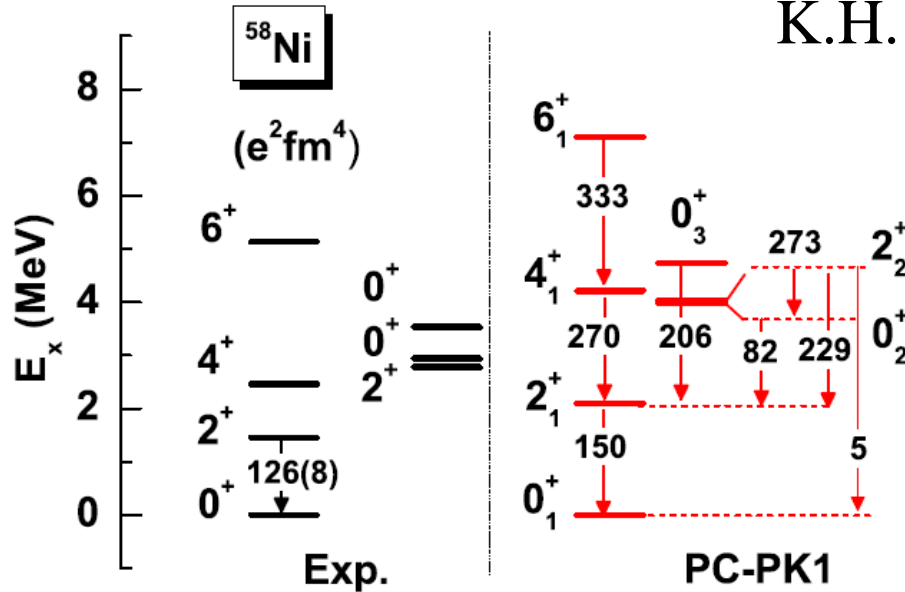
- ✓ A large fragmentation of  $(2^+ \times 2^+)_{J=0}$
- ✓ A strong transition from  $2_2^+$  to  $0_2^+$



effects on sub-barrier fusion?

# Semi-microscopic coupled-channels model for sub-barrier fusion

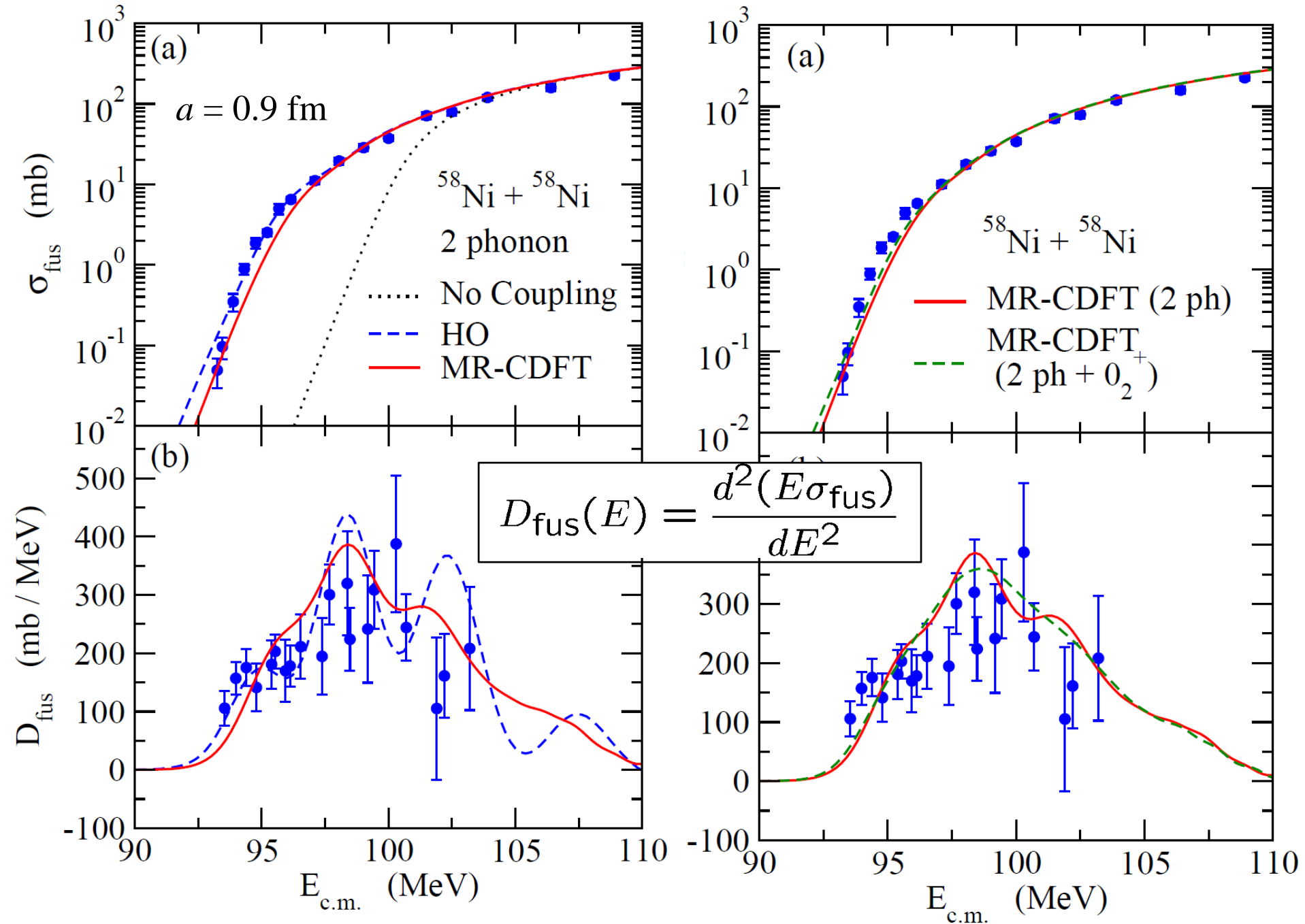
K.H. and J.M. Yao, PRC91 ('15) 064606

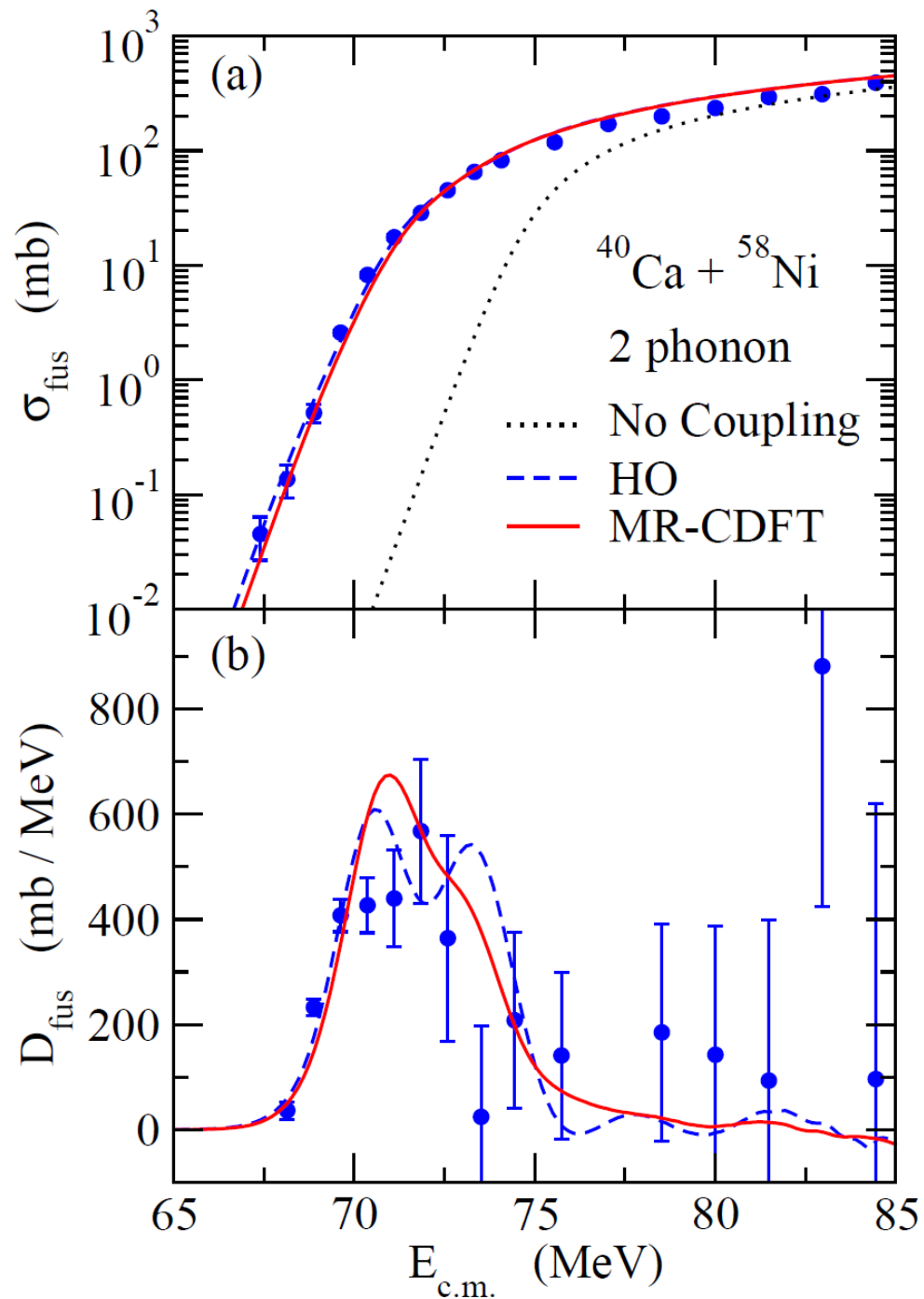


microscopic  
multi-pole operator

$$\checkmark \quad V_{\text{coup}} \sim -R_T \frac{dV_N}{dr} \alpha_\lambda \cdot Y_\lambda(\hat{r}) \rightarrow -R_T \frac{dV_N}{dr} Q_\lambda \cdot Y_\lambda(\hat{r})$$

- ✓  $M(E2)$  from MR-DFT calculation ← among higher members of phonon states
- ✓ scale to the empirical  $B(E2; 2_1^+ \rightarrow 0_1^+)$
- ✓ still use a phenomenological potential
- ✓ use the experimental values for  $E_x$
- ✓  $\beta_N$  and  $\beta_C$  from  $M_n/M_p$  for each transition
- ✓ axial symmetry (no  $3^+$  state)

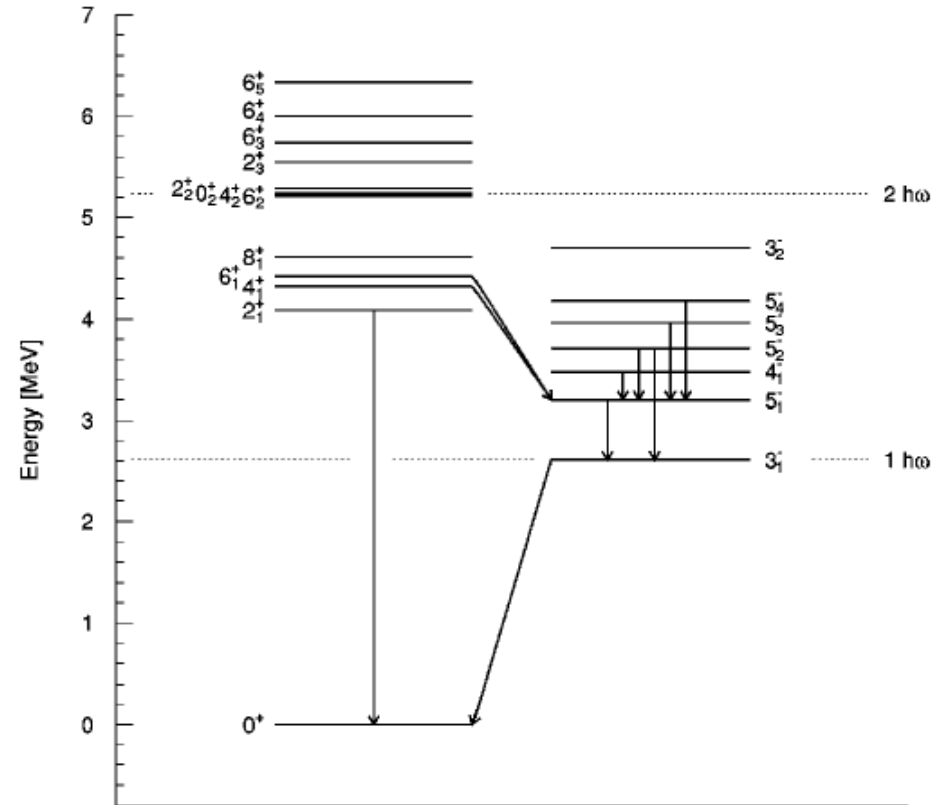
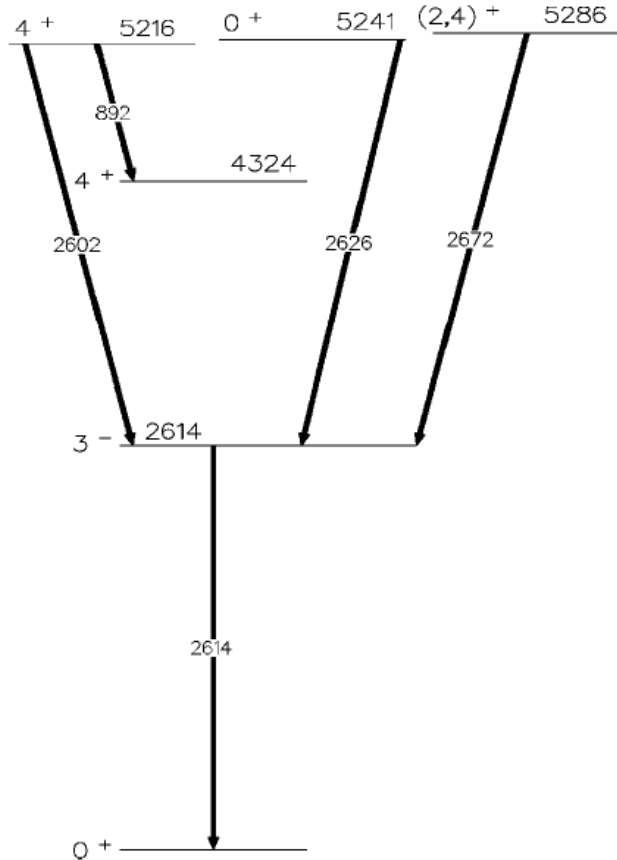




Experimental data:  
D. Bourgin, S. Courtin et al.,  
PRC90('14)044601.

# Application to $^{16}\text{O} + ^{208}\text{Pb}$ fusion reaction

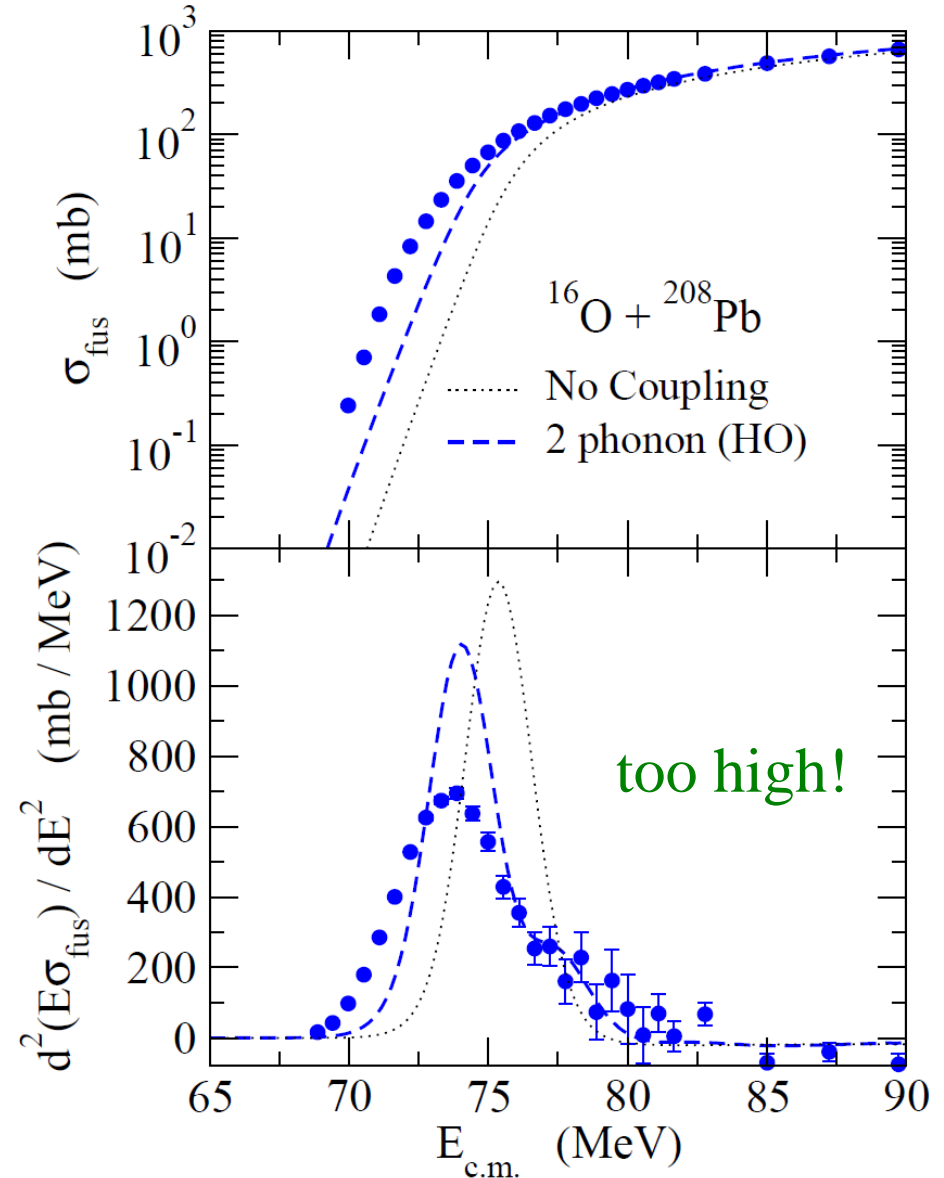
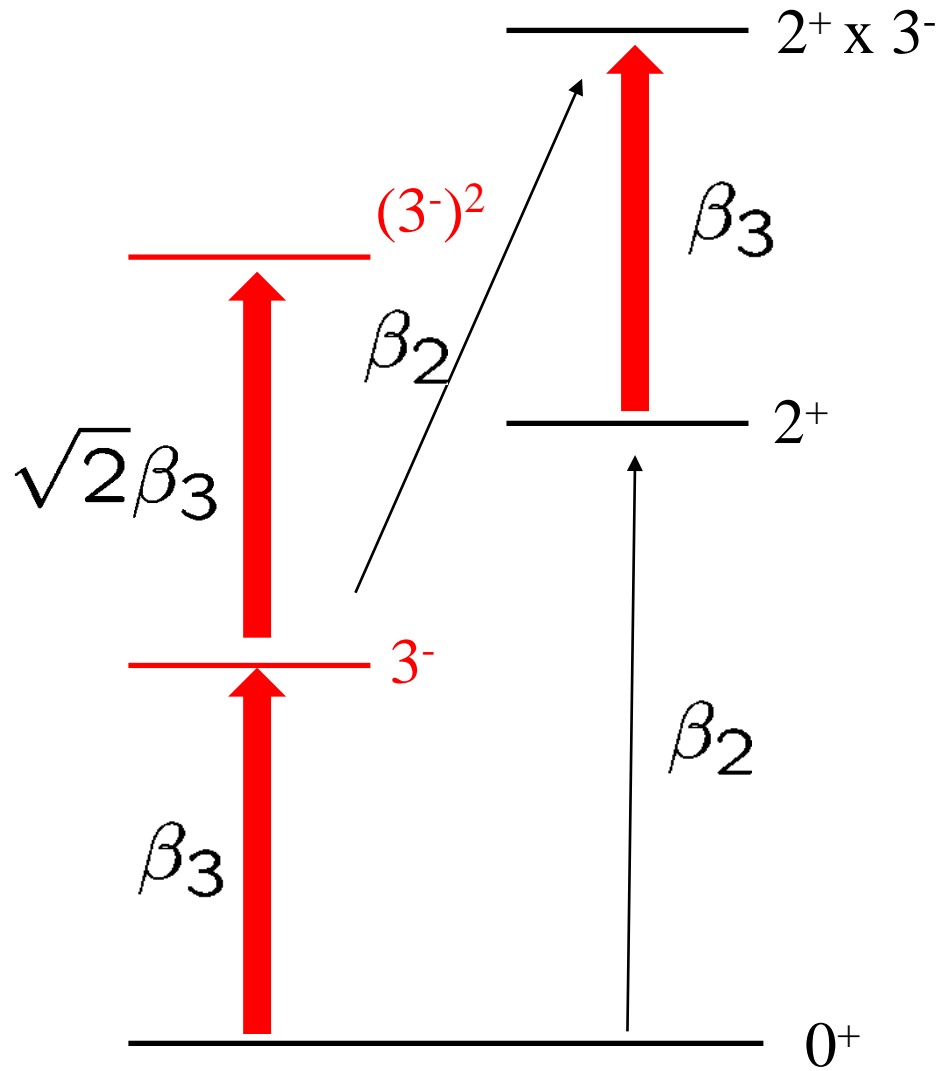
## double-octupole phonon states in $^{208}\text{Pb}$



M. Yeh, M. Kadi, P.E. Garrett et al.,  
PRC57 ('98) R2085

K. Vetter, A.O. Macchiavelli et al.,  
PRC58 ('98) R2631

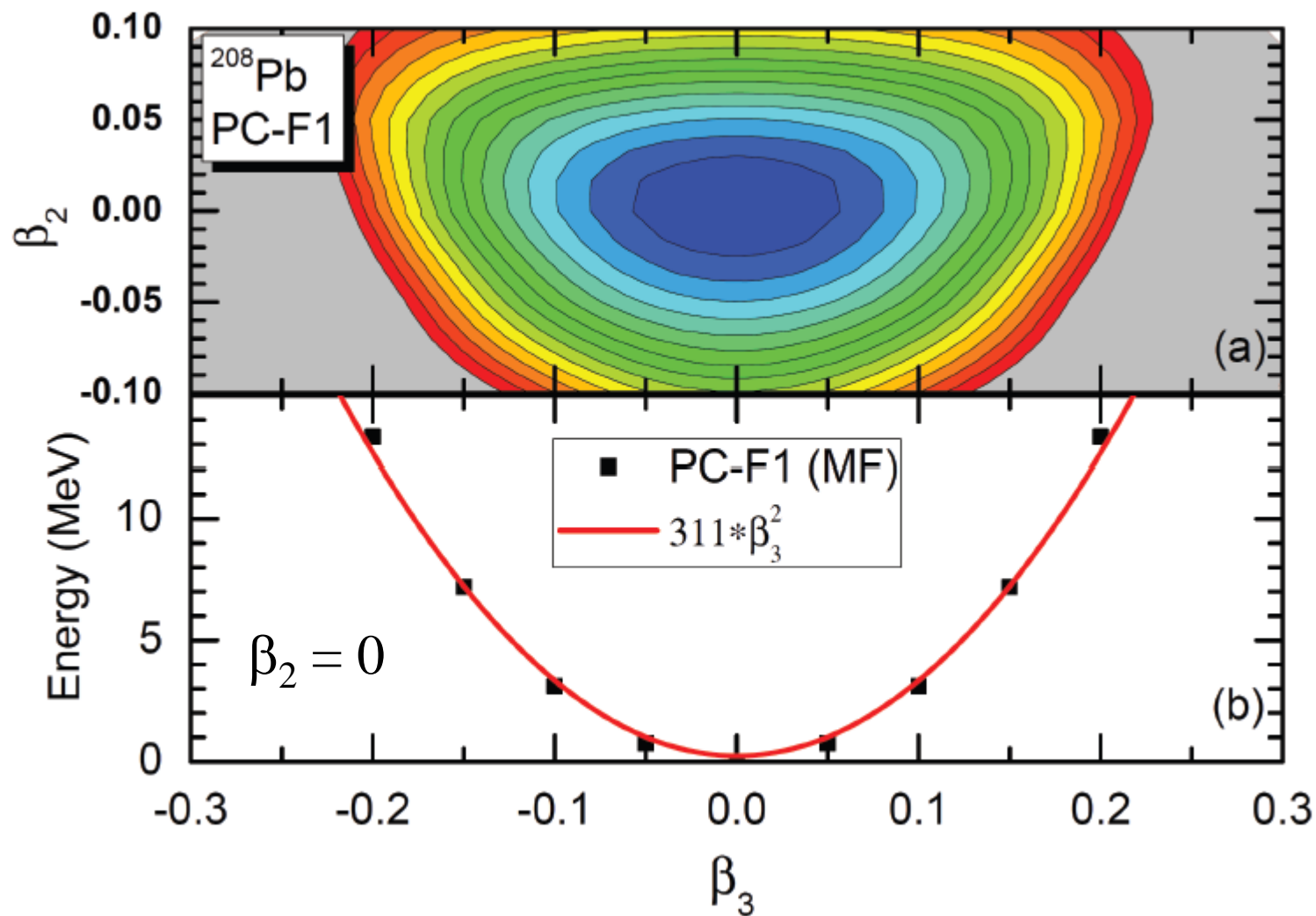
# Application to $^{16}\text{O} + ^{208}\text{Pb}$ fusion reaction



cf. C.R. Morton et al., PRC60('99) 044608

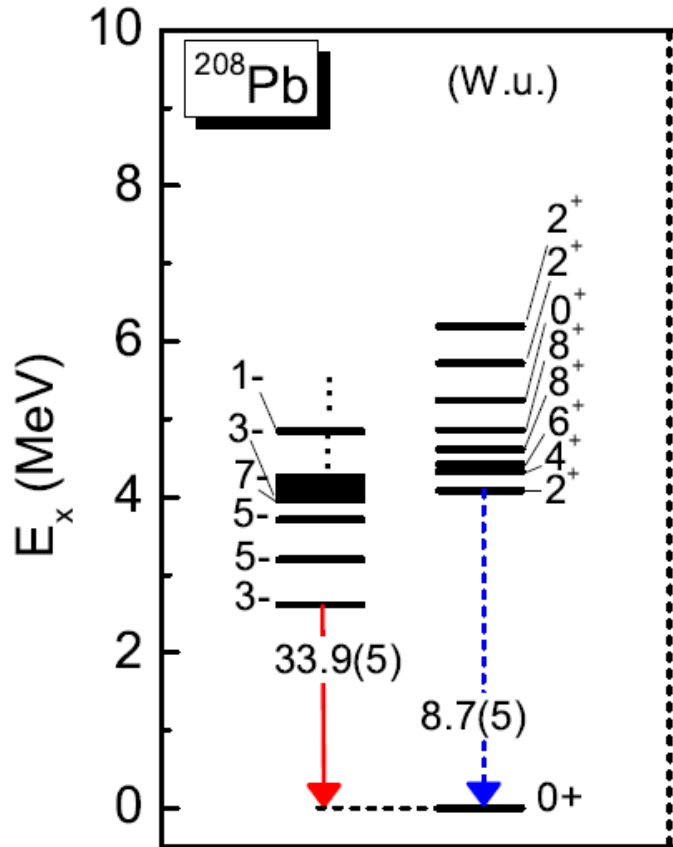


potential energy surface of  $^{208}\text{Pb}$  (RMF with PC-F1)



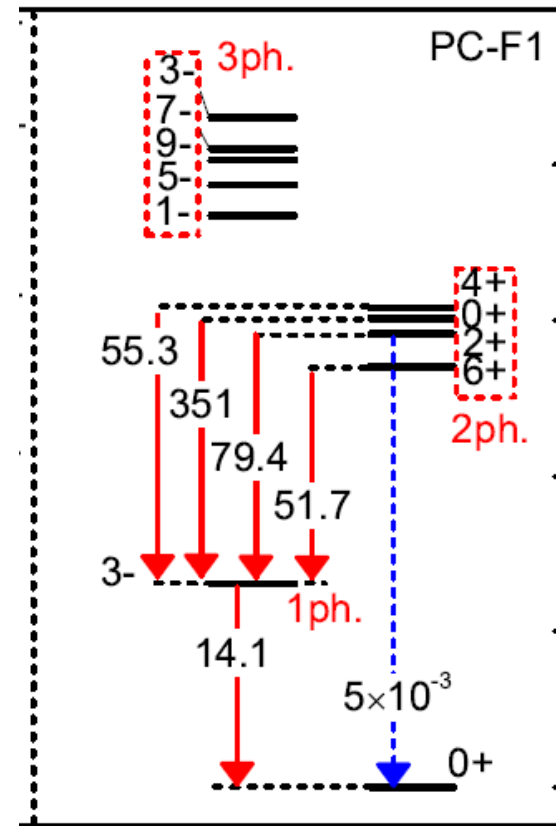
# Expt. data

(a) Exp.



# $\beta_2=0$ , fluctuation in $\beta_3$

(c) GCM ( $\beta_3$ )



- $E_{2\text{ph}} \sim E_{1\text{ph}}$
- large anharmonicity in  $B(E3)$ ;  
cf. H.O.:  $B(E3: I_{2\text{ph}} \rightarrow 3_1^-) = 2 B(E3: 3_1^- \rightarrow \text{g.s.})$
- underestimate  $B(E3)$  (and  $B(E2)$ )

expt. data

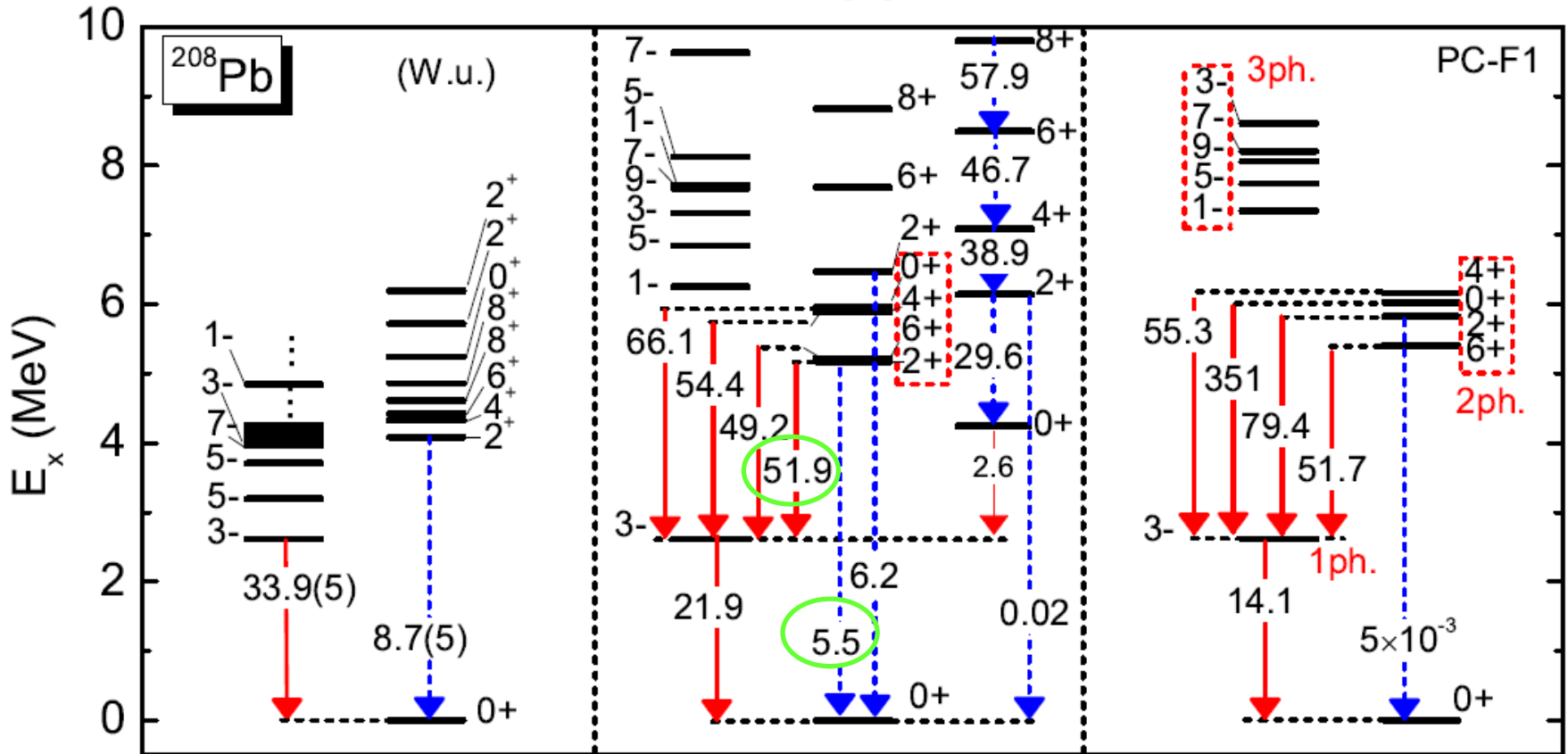
fluctuation both  
in  $\beta_3$  and  $\beta_2$

fluctuation in  $\beta_3$   
frozen at  $\beta_2=0$

(a) Exp.

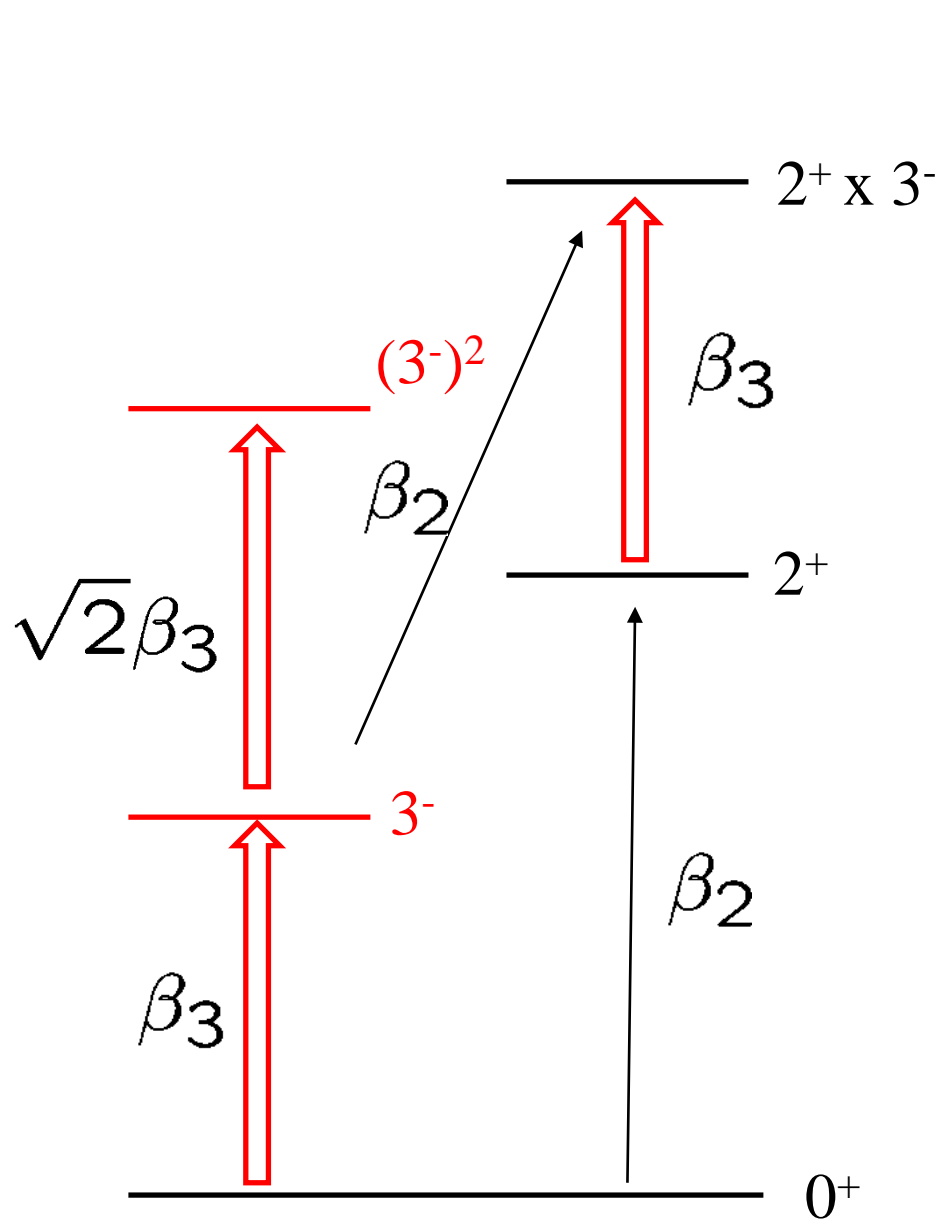
(b) GCM ( $\beta_2$ - $\beta_3$ )

(c) GCM ( $\beta_3$ )

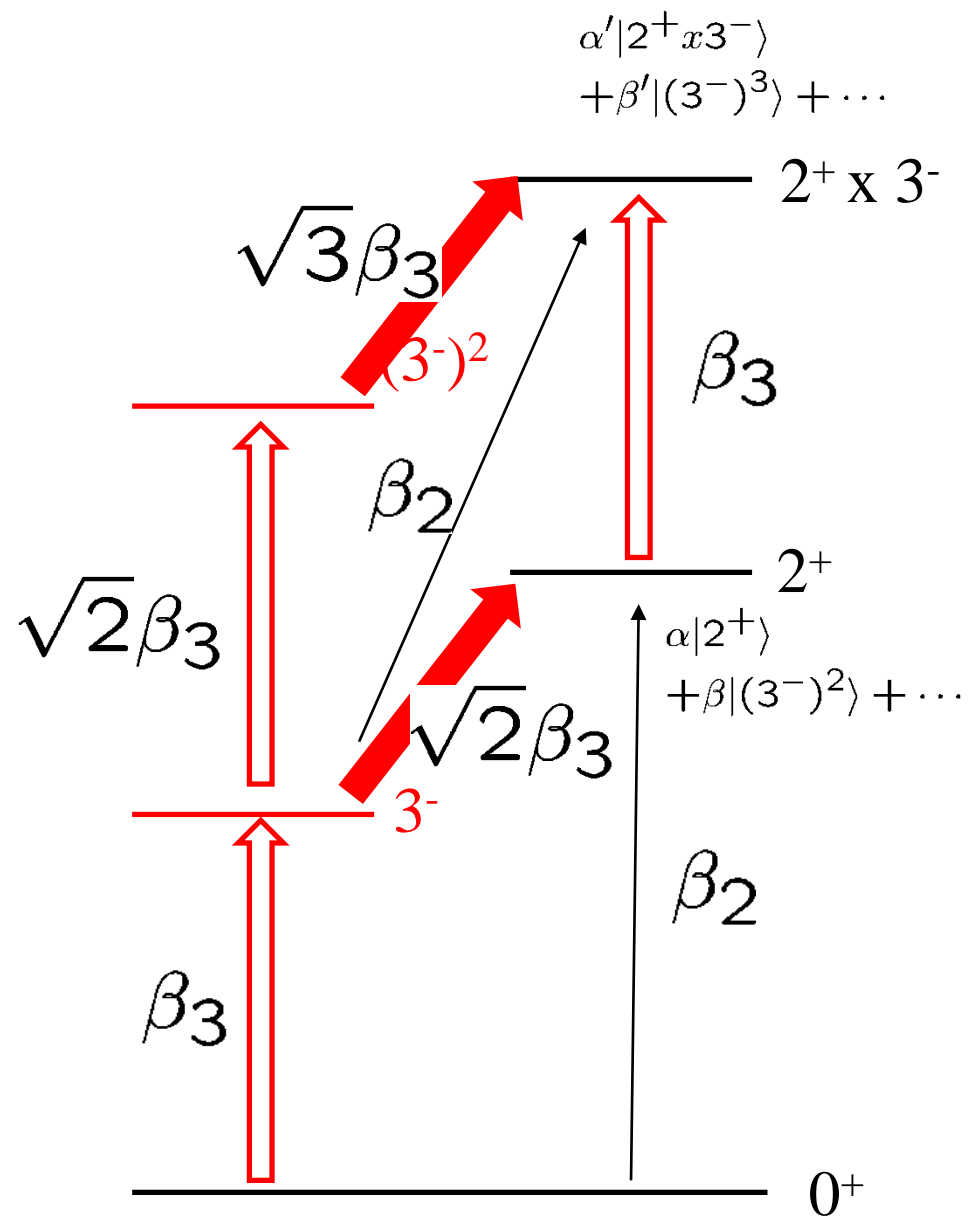


$2_1^+$  state: strong coupling both to g.s. and  $3_1^-$

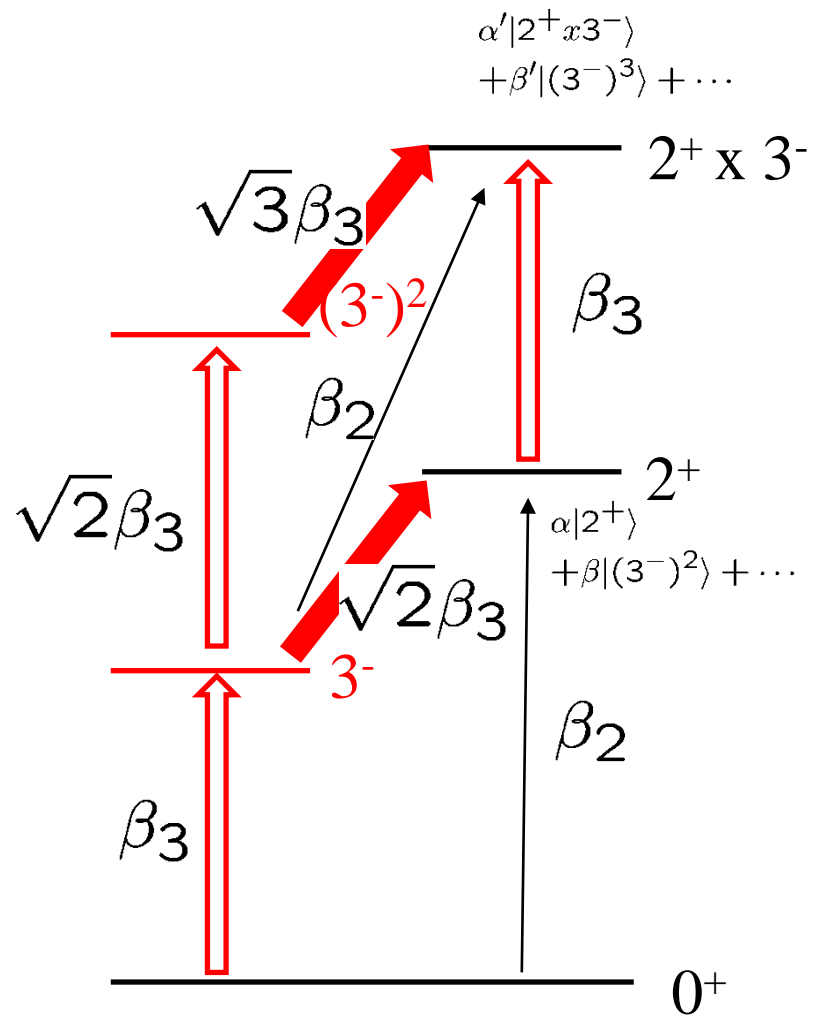
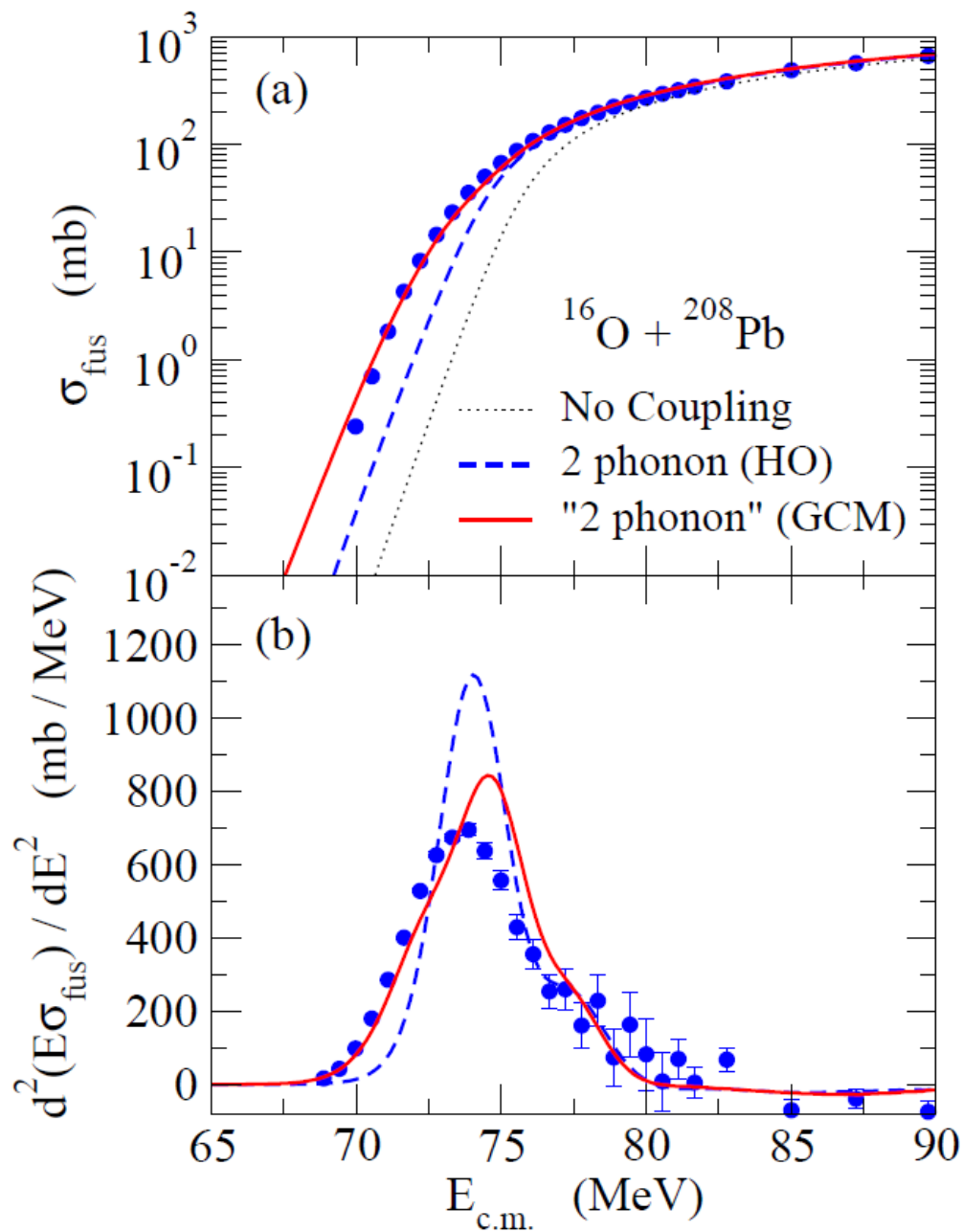
$$\longrightarrow |2_1^+\rangle = \alpha|2^+\rangle_{\text{HO}} + \beta|[3^- \otimes 3^-]^{(I=2)}\rangle_{\text{HO}} + \dots$$



Harmonic Oscillator



Anharmonicity



J.M. Yao and K.H.,  
PRC94 ('16) 11303(R)

# Summary

## Heavy-ion subbarrier fusion reactions

- ✓ strong interplay between reaction and structure  
cf. fusion barrier distributions

### ➤ A Bayesian approach to fusion barrier distributions

- ✓ a quick and convenient way to analyze data
- ✓ determination of the number of barriers

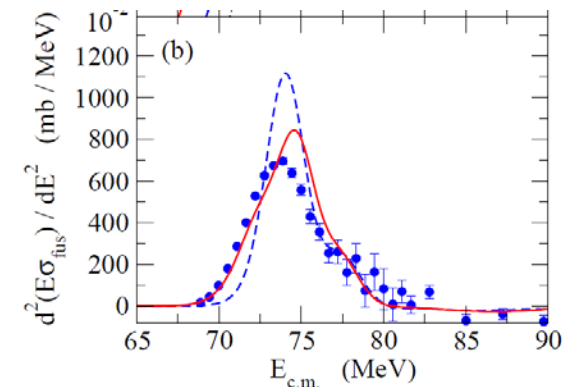
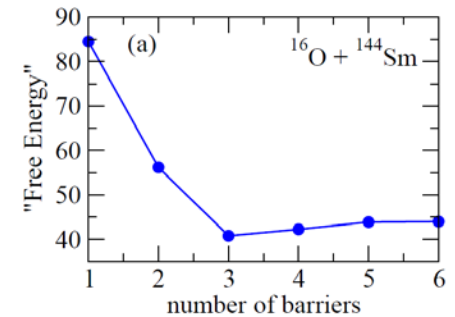
### ➤ C.C. calculations with MR-DFT method

- ✓ anharmonicity
- ✓ truncation of phonon states
- ✓ octupole vibrations:  $^{16}\text{O} + ^{208}\text{Pb}$

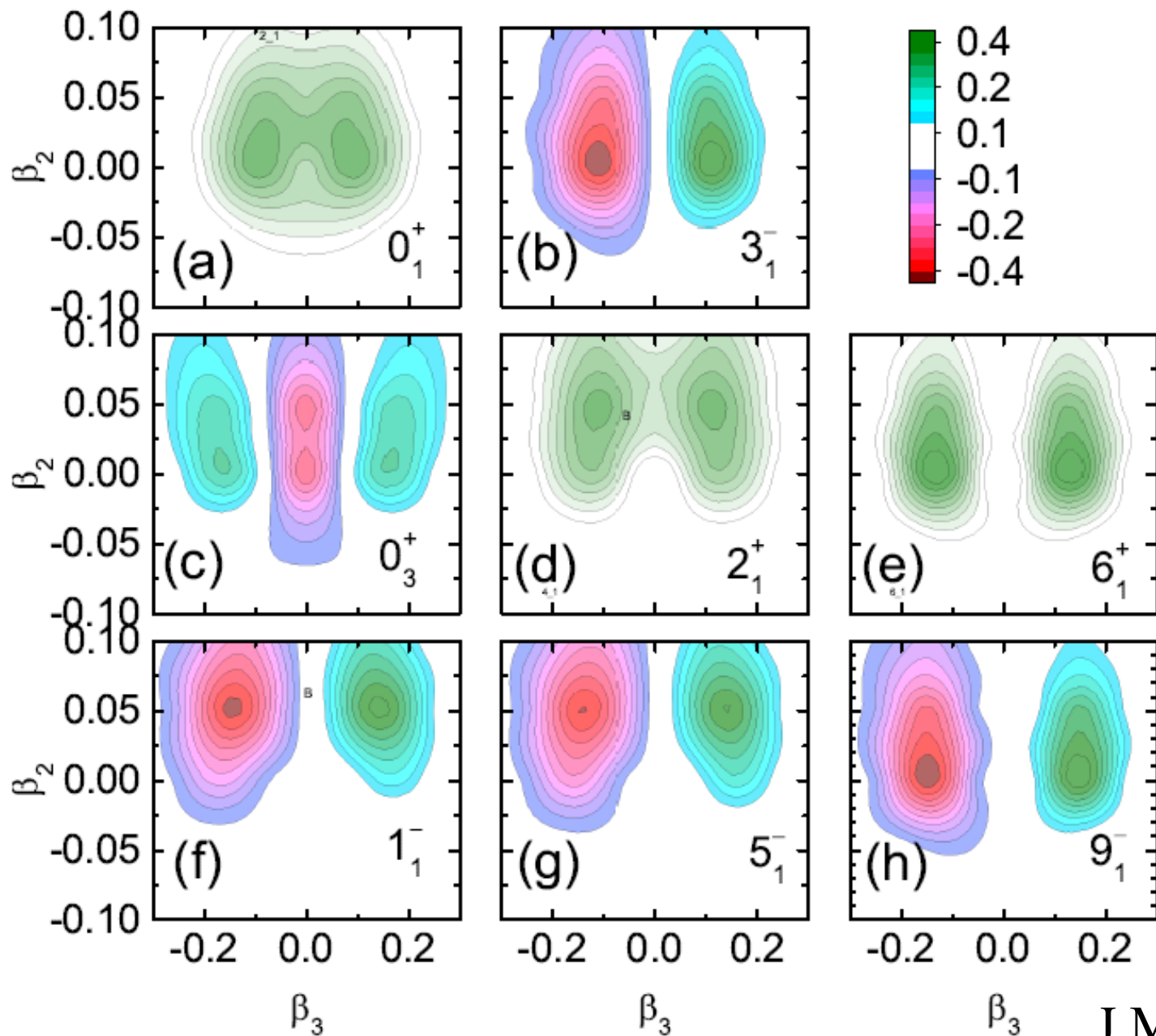
more flexibility:

- application to transitional nuclei
- a good guidance to a Q-moment of excited states

C.C. with shell model?

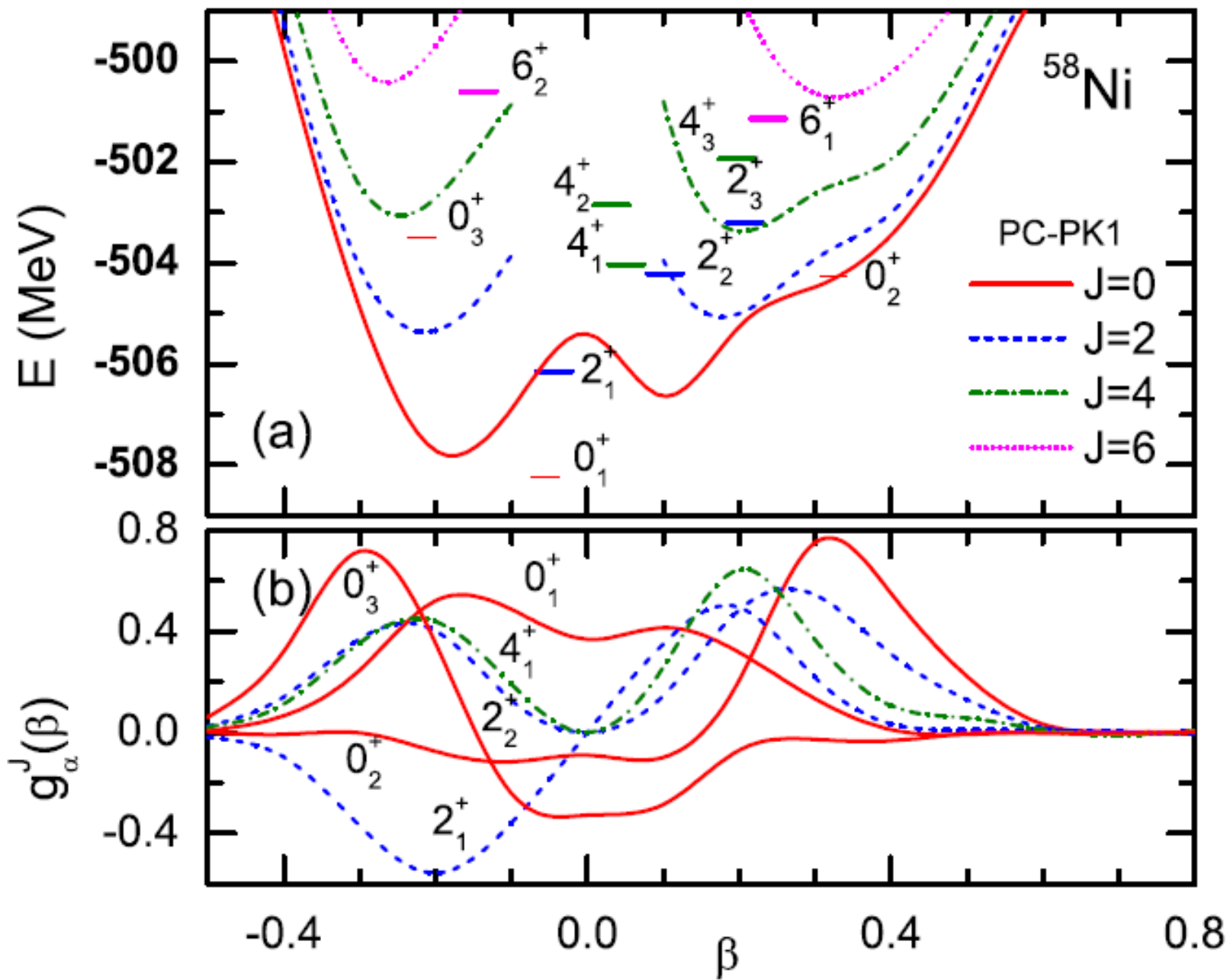


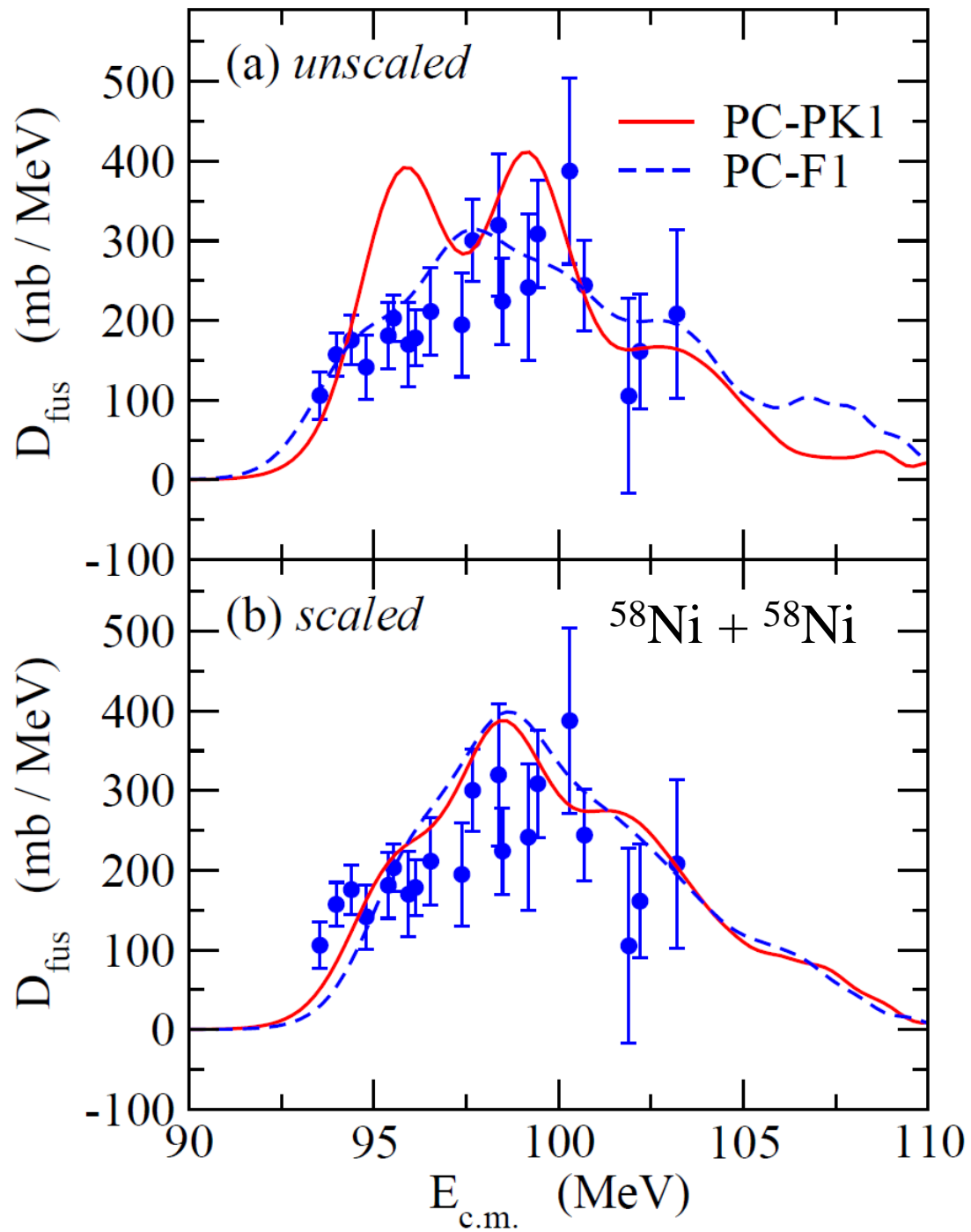


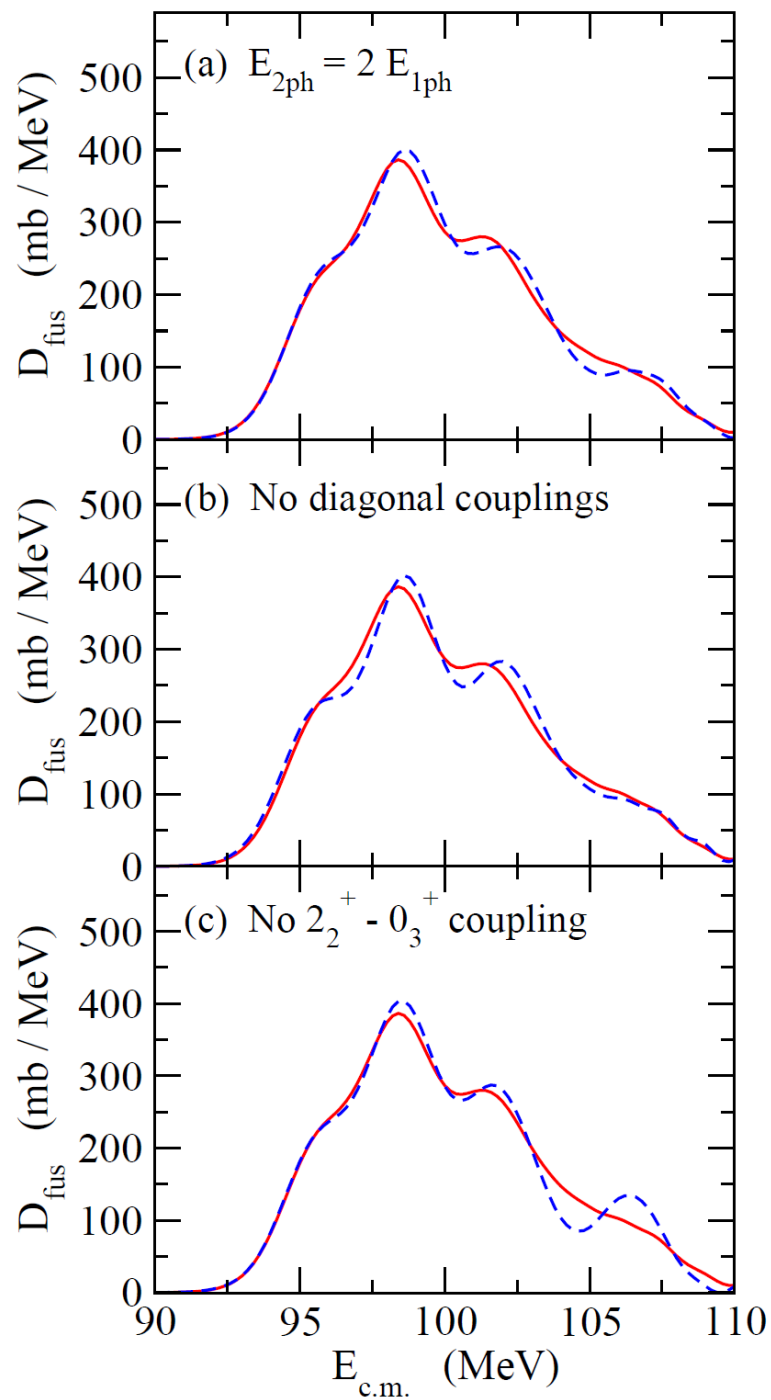
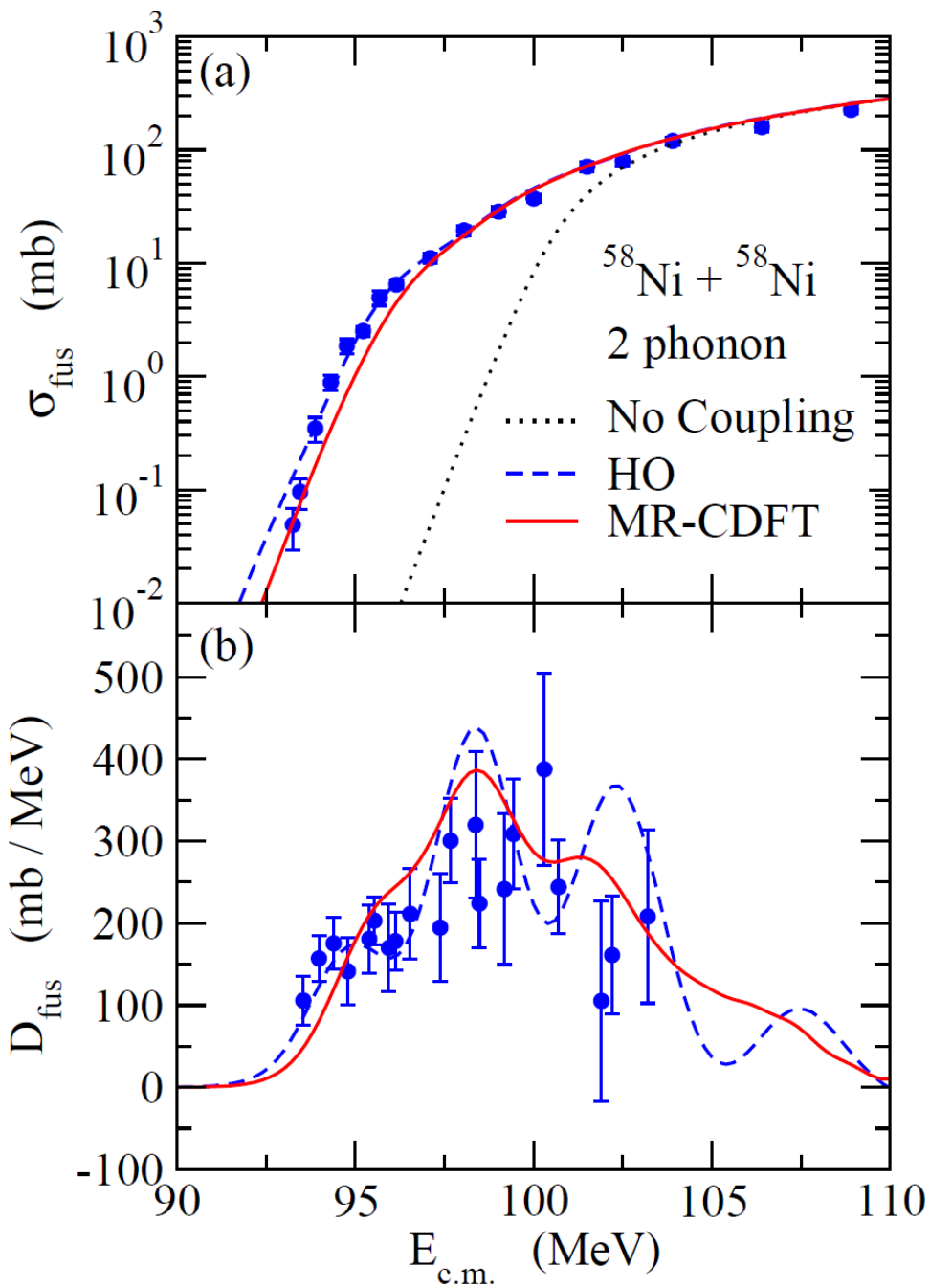


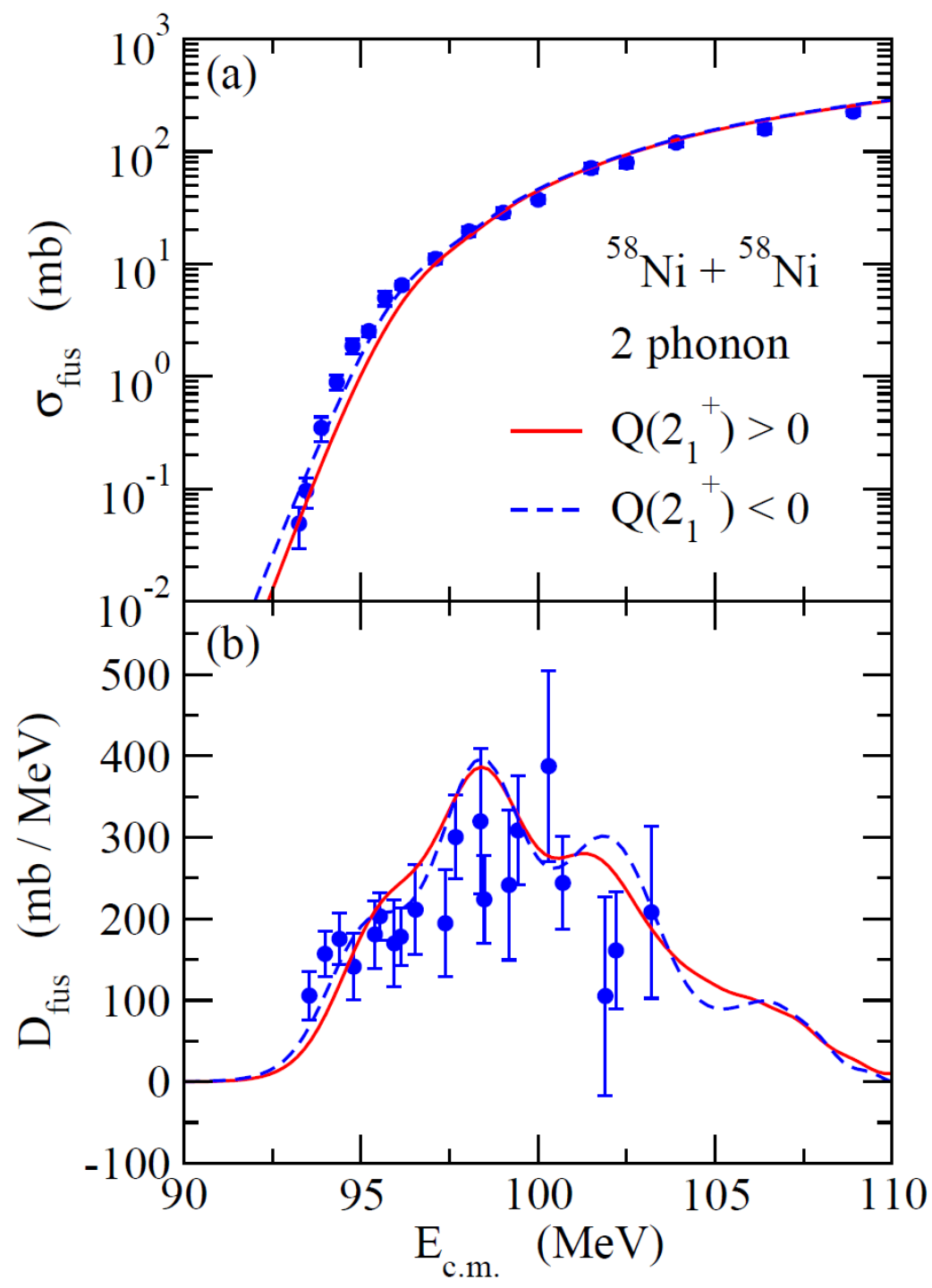
J.M. Yao and K.H.,  
submitted (2016)

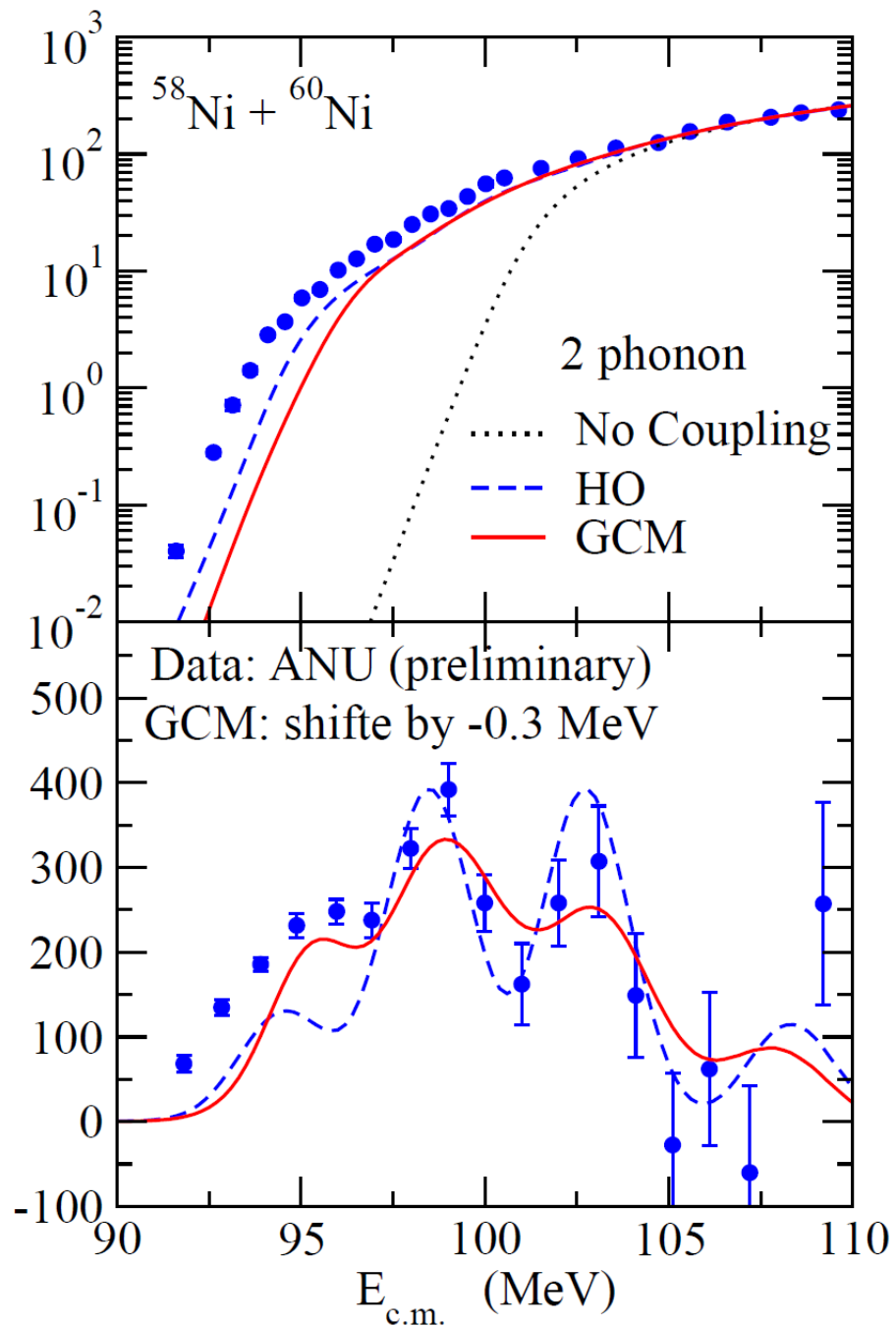
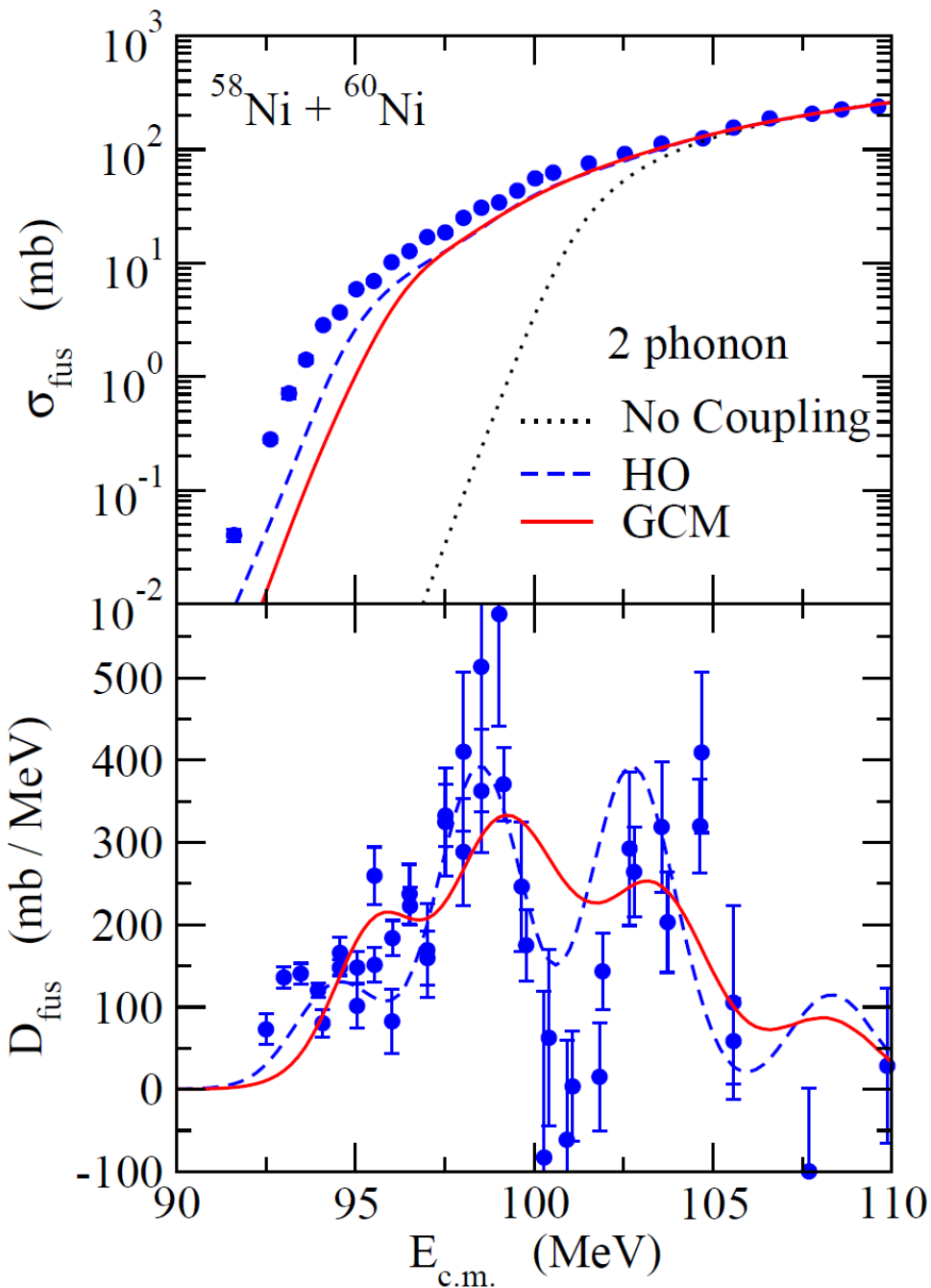


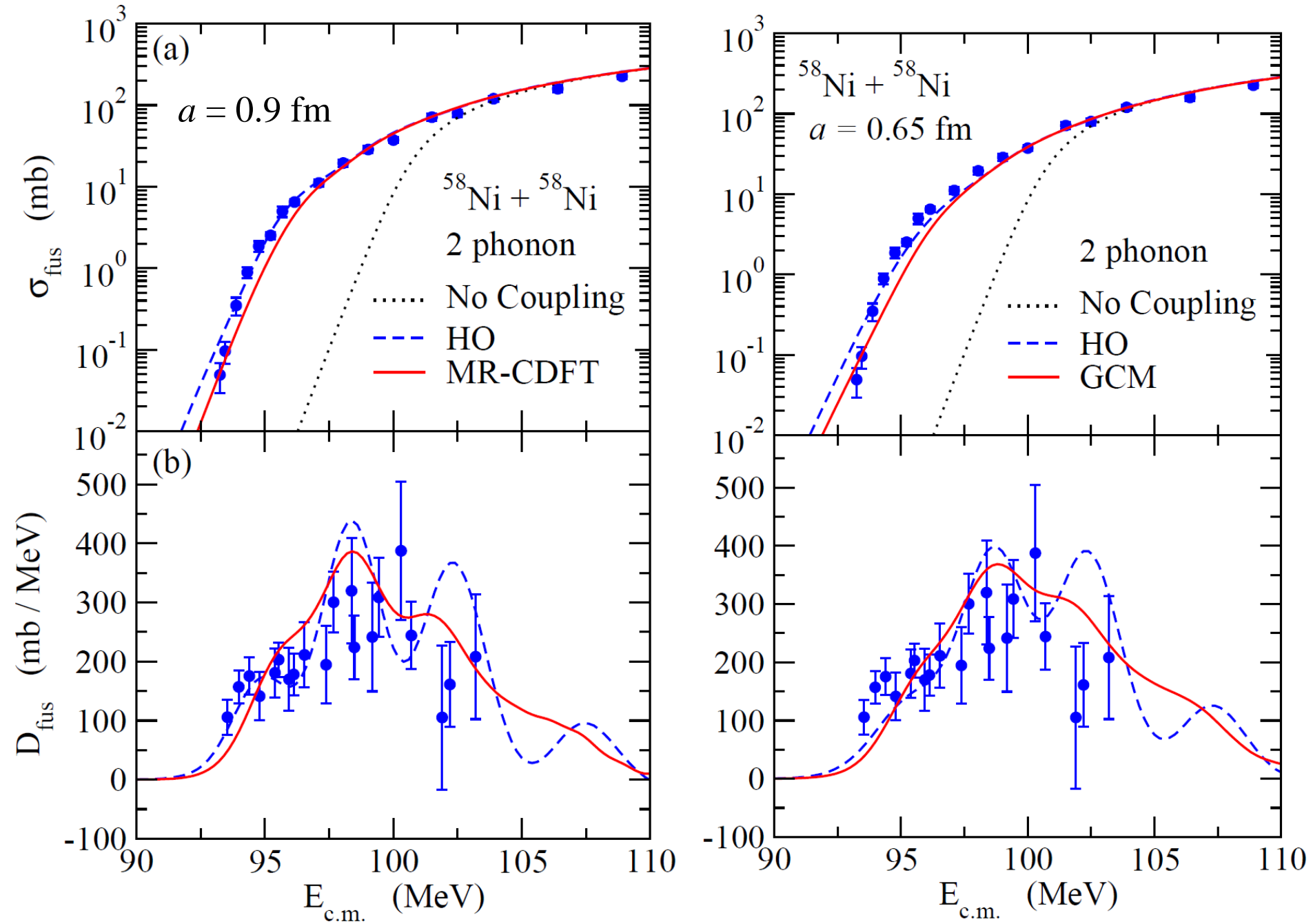












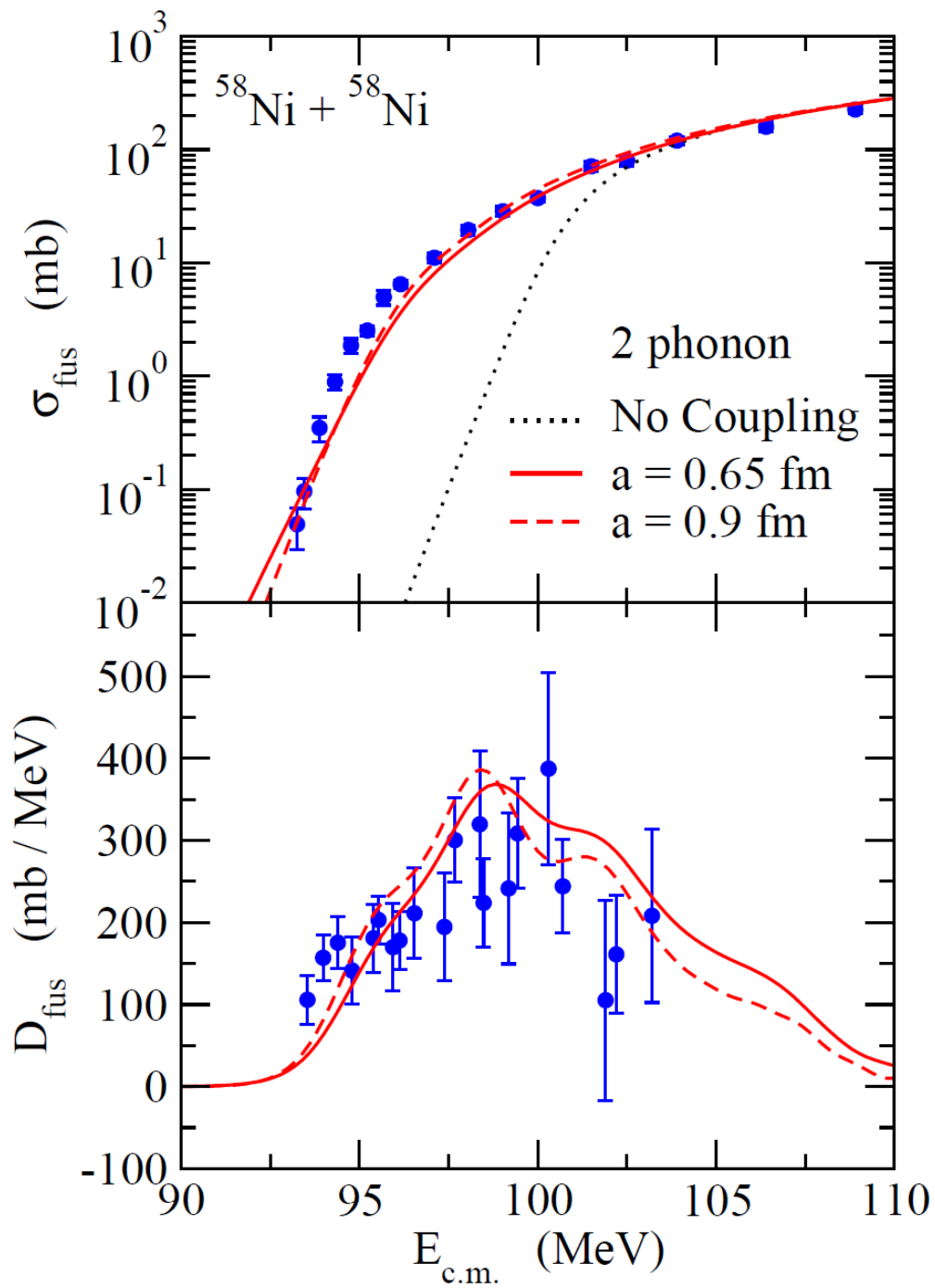
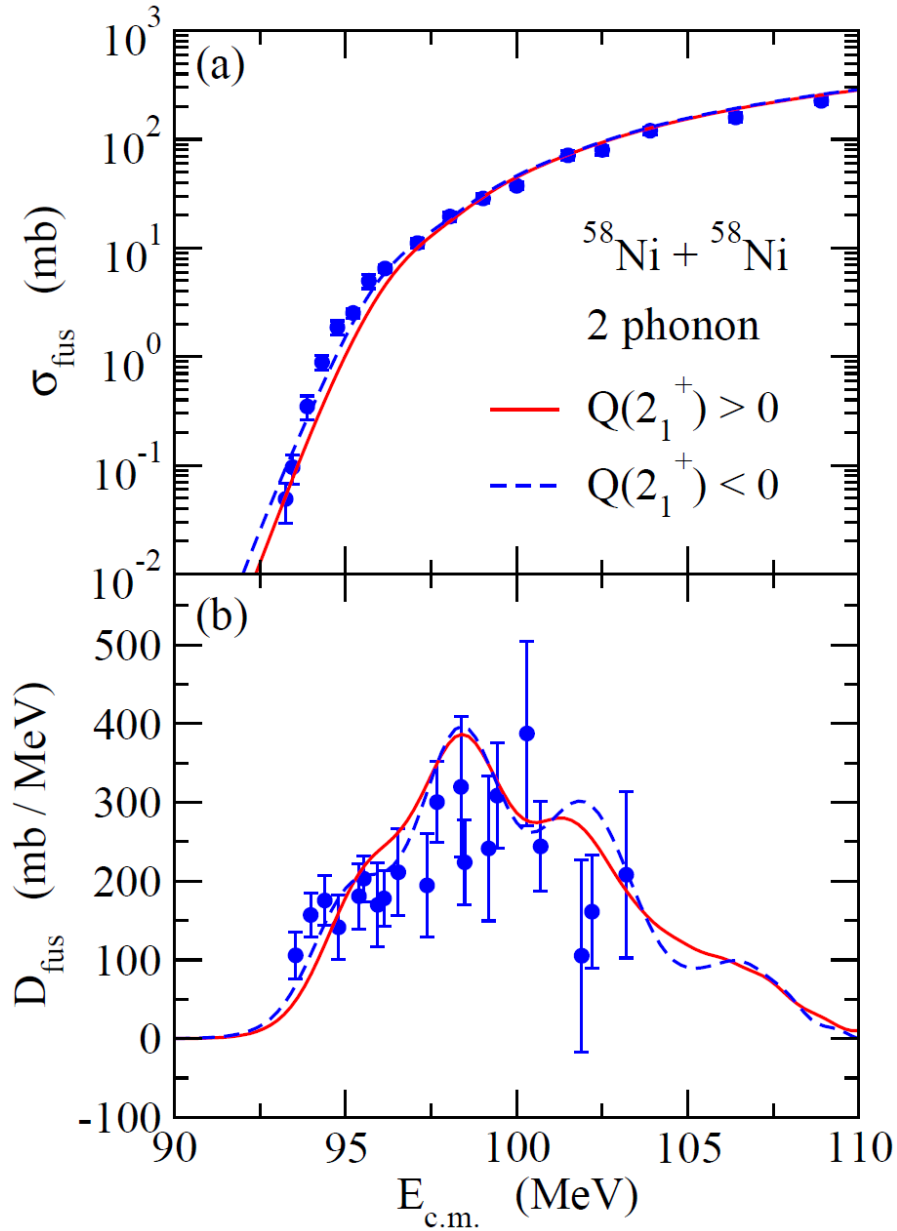


TABLE I. One-phonon excitation energies  $\hbar\omega_\lambda$ ,  $\beta_\lambda$  values, and the associated standard deviation of surface amplitudes  $\sqrt{2}\sigma_\lambda$  used in the coupled channels calculations for reactions between different nickel isotopes. Average values have been used for the reaction  $^{58}\text{Ni} + ^{64}\text{Ni}$ .

Reaction	$\lambda$	$\hbar\omega_\lambda$ (MeV)	$\beta_\lambda$	$\sqrt{2}\sigma_\lambda$ (fm)	$\Delta R$ (fm) <sup>a</sup>
$^{58}\text{Ni} + ^{58}\text{Ni}$	2 <sup>+</sup>	1.45	0.187	0.337	0.00 <sup>b</sup>
	3 <sup>-</sup>	4.47	0.20	0.368	
$^{64}\text{Ni} + ^{64}\text{Ni}$	2 <sup>+</sup>	1.34	0.19	0.355	0.20
	3 <sup>-</sup>	3.56	0.18	0.336	
$^{58}\text{Ni} + ^{64}\text{Ni}$	2 <sup>+</sup>	1.4		0.346	0.14
	3 <sup>-</sup>	4.0		0.352	

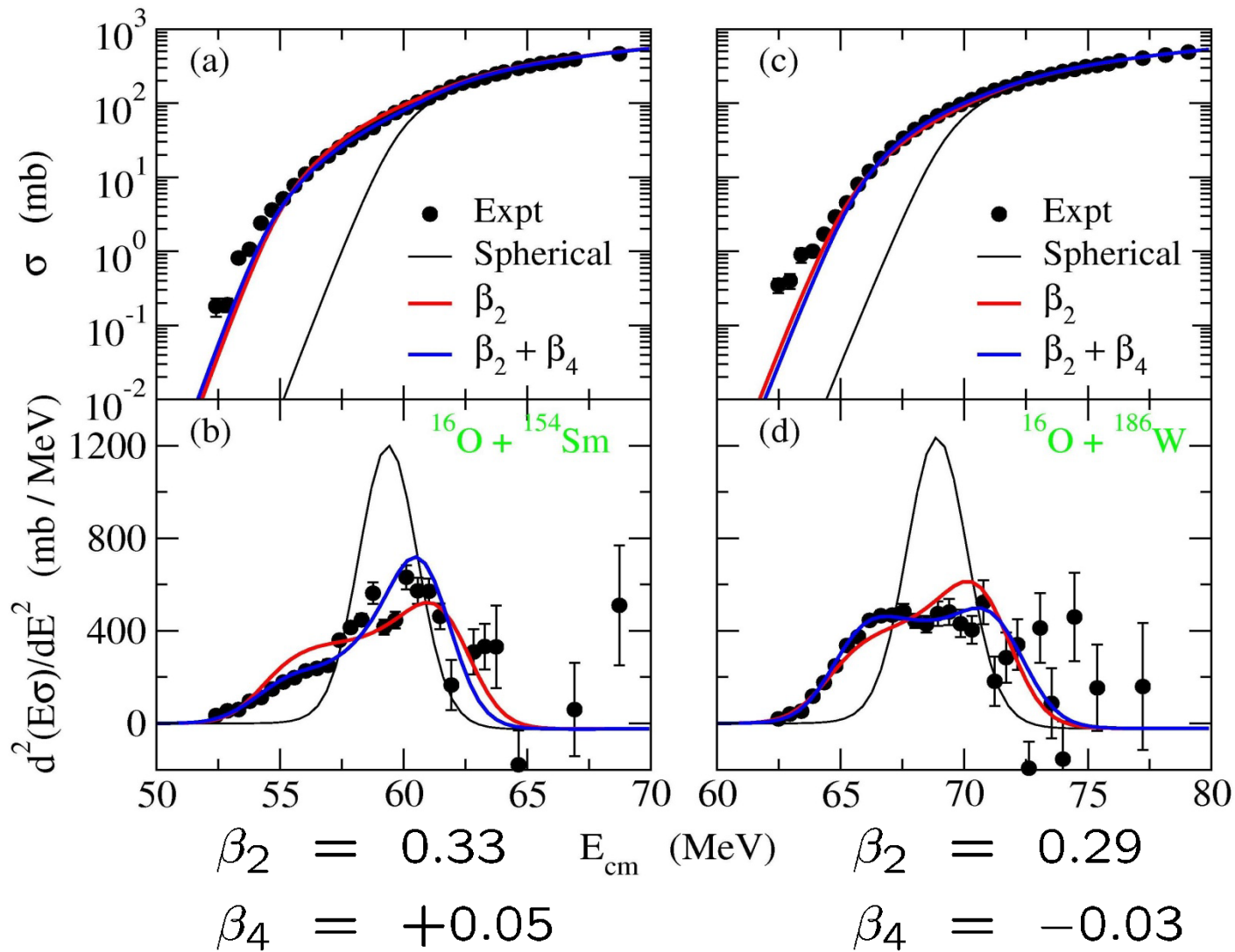


# Role of Q-moment of the first $2^+$ state

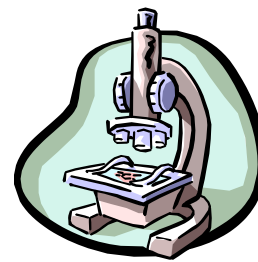


cf.  $Q_{\text{exp}}(2_1^+) = -10 \pm 6 \text{ efm}^2$

P.M.S. Lesser et al.,  
NPA223 ('74) 563.



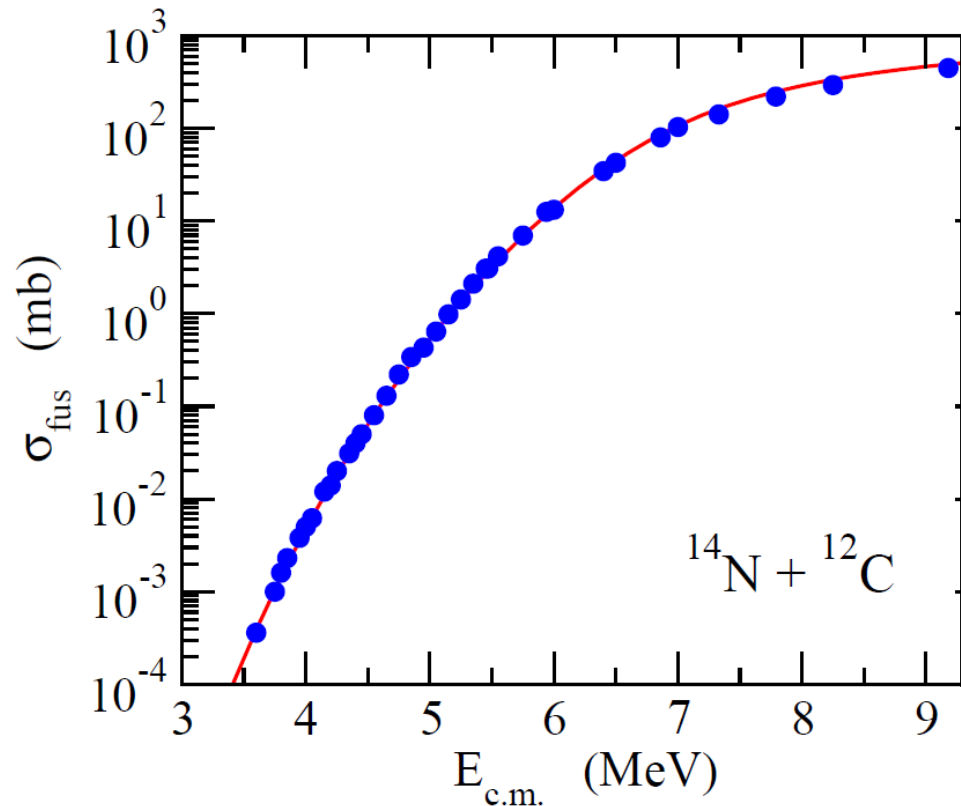
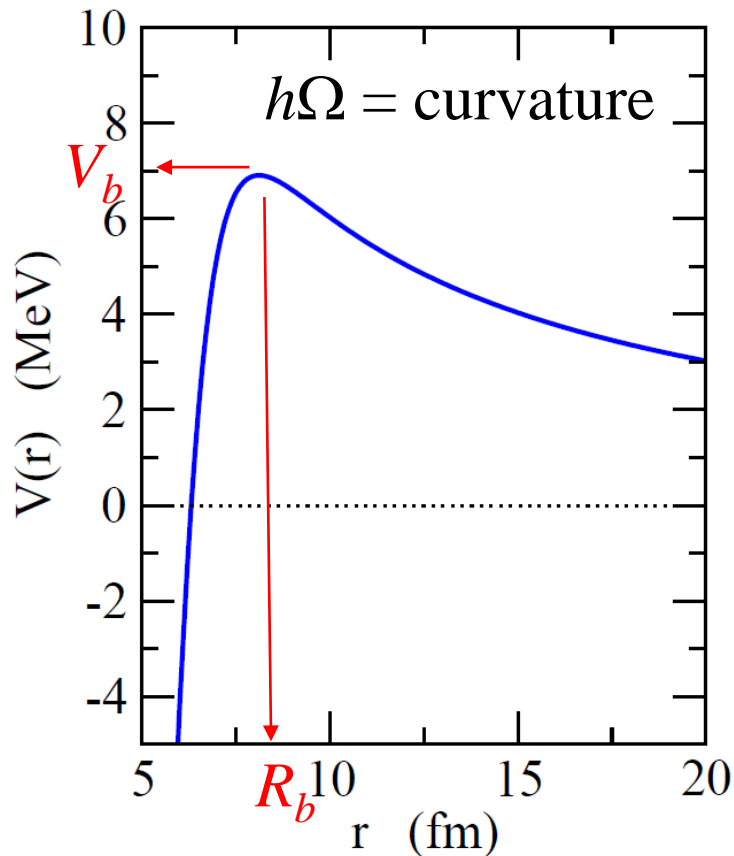
Fusion barrier distribution:  
sensitive to small effects such as  $\beta_4$



M. Dasgupta et al.,  
Annu. Rev. Nucl. Part.  
Sci. 48('98)401

the simplest approach: potential model with  $V(r) +$  absorption

$$\sigma_{\text{fus}}(E) = \frac{\pi}{k^2} \sum_l (2l + 1) P_l(E)$$



➤ [Wong formula](#) [C.Y. Wong, PRL31 ('73)766]

$$\sigma_{\text{fus}}(E) \sim \frac{\hbar\Omega}{2E} R_b^2 \ln \left[ 1 + \exp \left( \frac{2\pi}{\hbar\Omega} (E - V_b) \right) \right]$$

TABLE I. Optimum values for the fitting parameters obtained with the Bayesian spectral deconvolution.  $K$  is the number of barriers and  $w_k$  is the weight factor for each barrier.  $B_k$ ,  $R_k$ , and  $\hbar\Omega_k$  are the height, position, and curvature of each barrier, respectively.

System	$K$	$B_k$ (MeV)	$w_k R_k^2$ (fm <sup>2</sup> )	$\hbar\Omega_k$ (MeV)
$^{16}\text{O} + ^{144}\text{Sm}$	3	$59.5 \pm 0.0789$	$64.3 \pm 6.06$	$3.58 \pm 0.149$
		$61.5 \pm 0.153$	$28.6 \pm 6.53$	$2.34 \pm 0.506$
		$65.3 \pm 0.251$	$29.0 \pm 4.63$	$3.00 \pm 0.338$
$^{16}\text{O} + ^{154}\text{Sm}$	5	$53.3 \pm 1.10$	$4.76 \pm 2.87$	$3.96 \pm 0.589$
		$55.9 \pm 0.467$	$18.3 \pm 3.16$	$4.40 \pm 0.438$
		$58.5 \pm 0.758$	$34.7 \pm 19.4$	$3.77 \pm 0.786$
		$60.4 \pm 0.833$	$40.7 \pm 21.4$	$3.90 \pm 0.939$
		$62.4 \pm 0.751$	$21.4 \pm 10.7$	$3.25 \pm 0.866$

# Bayesian spectrum deconvolution

K. Nagata, S. Sugita, and M. Okada,  
Neural Networks 28 ('12) 82

✓ data set:  $D_{\text{exp}} = \{E_i, d_i, \delta d_i\}$  ( $i = 1 \sim M$ )

✓ fitting function:  $D_{\text{fit}}(E; \tilde{\theta}, K) = \sum_{k=1}^K w_k \phi_k(E; \theta_k)$

assumption: the data  $d_i = D_{\text{fit}} + \delta d_i$

$$\longrightarrow P(d_i | E_i, \tilde{\theta}, K) = \frac{1}{\sqrt{2\pi(\delta d_i)^2}} \exp\left(-\frac{(d_i - D_{\text{fit}}(E_i; \tilde{\theta}, K))^2}{2(\delta d_i)^2}\right)$$

$$\longrightarrow P(D_{\text{exp}} | \tilde{\theta}, K) = \prod_{i=1}^M P(d_i | E_i, \tilde{\theta}, K) \propto e^{-\chi^2(\tilde{\theta}, K)/2}$$

$$\longrightarrow P(D_{\text{exp}} | K) = \int d\tilde{\theta} P(D_{\text{exp}} | \tilde{\theta}, K) P(\tilde{\theta})$$

Bayes theorem

$$P(K | D_{\text{exp}}) = \frac{P(D_{\text{exp}} | K) P(K)}{P(D_{\text{exp}})} \propto P(D_{\text{exp}} | K)$$

most probable value of K: maximize

$$Z(K) = \int d\tilde{\theta} e^{-\chi^2(\tilde{\theta}, K)/2} P(\tilde{\theta})$$

# Bayesian spectrum deconvolution

K. Nagata, S. Sugita, and M. Okada,  
Neural Networks 28 ('12) 82

✓ data set:  $D_{\text{exp}} = \{E_i, d_i, \delta d_i\}$  ( $i = 1 \sim M$ )

✓ fitting function:  $D_{\text{fit}}(E; \tilde{\theta}, K) = \sum_{k=1}^K w_k \phi_k(E; \theta_k)$

assumption: the data  $d_i = D_{\text{fit}} + \delta d_i$

$$\longrightarrow P(D_{\text{exp}}|K) \propto \int d\tilde{\theta} e^{-\chi^2(\tilde{\theta}, K)} P(\tilde{\theta})$$

**Bayes theorem**

$$P(K|D_{\text{exp}}) = \frac{P(D_{\text{exp}}|K)P(K)}{P(D_{\text{exp}})} \propto P(D_{\text{exp}}|K)$$

most probable value of K: maximize

$$Z(K) = \int d\tilde{\theta} e^{-\chi^2(\tilde{\theta}, K)/2} P(\tilde{\theta})$$

(high dim. integral  $\rightarrow$  MC method)

or equivalently, minimize the “Free Energy”  $F(K) = -\ln Z(K)$

$\longrightarrow$  optimize the other parameters for a given value of  $K$