

K. Shizuya

Particle physics \cap Condensed-matter physics

"Graphene"

- "Graphene" : monolayer of C atoms
"relativistic" condensed-matter system

Novoselov et al, Nature ('05)

Zhang, et al, Nature ('05)

Electrons and holes \sim massless Dirac fermions

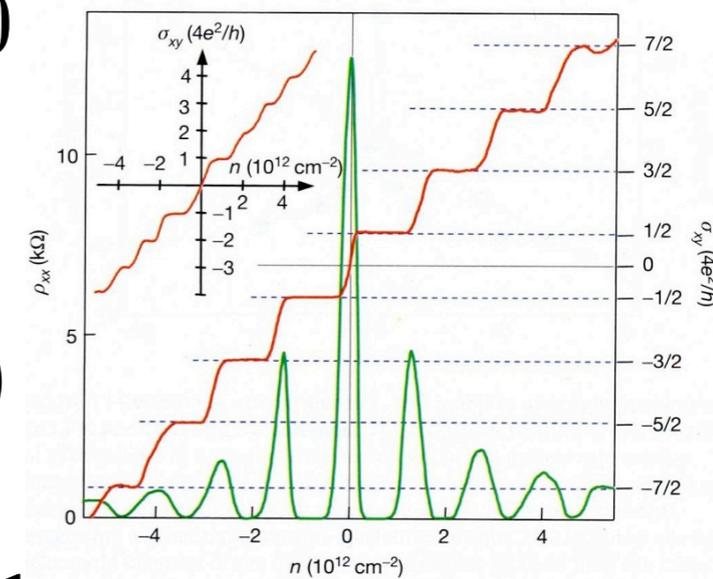
$$\epsilon \approx v_F |\mathbf{k}| \quad v_F \sim 10^6 \text{ m/s} \sim c/300$$

Exotic phenomena σ_{min}

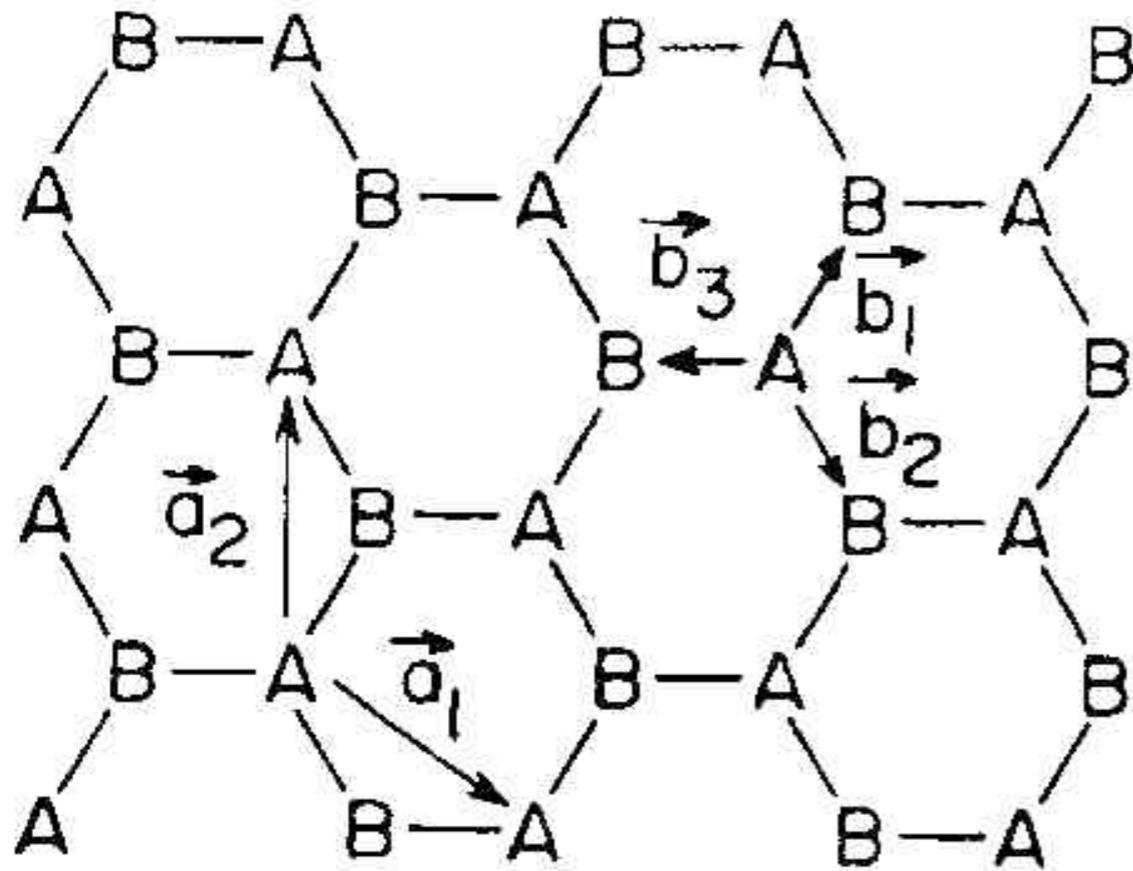
half-integer QHE $\sigma_H = (e^2/h) 4(n + \frac{1}{2})$

zero-energy Landau levels

fermion # fractionalization, chiral anomaly



- Test QED₂₊₁
- How Dirac fermions appear in a cond-mat. system



Honeycomb Lattice

The Bravais lattice is triangular and the unit cell contains two sites

Basis vectors are

$$\mathbf{a}_1 = \left(\frac{\sqrt{3}}{2}, \frac{1}{2}\right)a$$

$$\mathbf{a}_2 = (0, 1)a$$

The sublattices are connected by

$$\mathbf{b}_1 = \left(\frac{1}{2\sqrt{3}}, \frac{1}{2}\right)a$$

$$\mathbf{b}_2 = \left(\frac{1}{2\sqrt{3}}, -\frac{1}{2}\right)a$$

$$\mathbf{b}_3 = \left(-\frac{1}{\sqrt{3}}, 0\right)a$$

Tight-binding Hamiltonian

U : e on site A

V : e on site B

$$H = \alpha \sum_{\mathbf{A}} \sum_i \{U^\dagger(\mathbf{A}) V(\mathbf{A} + \mathbf{b}_i) + V^\dagger(\mathbf{A} + \mathbf{b}_i) U(\mathbf{A})\}$$

hopping $B \leftrightarrow A$

$$\mathbf{A} = n_1 \mathbf{a}_1 + n_2 \mathbf{a}_2$$

$$U(\mathbf{A}) = \int_{\Omega_B} \frac{d^2k}{(2\pi)^2} e^{i\mathbf{k}\cdot\mathbf{A}} U_{\mathbf{k}}, \quad V(\mathbf{B}) = \int_{\Omega_B} \frac{d^2k}{(2\pi)^2} e^{i\mathbf{k}\cdot\mathbf{B}} V_{\mathbf{k}},$$

$$H = \sum_{\mathbf{k}} (U_{\mathbf{k}}^\dagger, V_{\mathbf{k}}^\dagger) \begin{pmatrix} & \alpha \phi(\mathbf{k}) \\ \alpha \phi^*(\mathbf{k}) & \end{pmatrix} \begin{pmatrix} U_{\mathbf{k}} \\ V_{\mathbf{k}} \end{pmatrix}$$

$$\phi(\mathbf{k}) = e^{i\mathbf{k}\cdot\mathbf{b}_1} + e^{i\mathbf{k}\cdot\mathbf{b}_2} + e^{i\mathbf{k}\cdot\mathbf{b}_3}$$

$$\text{energy : } E(\mathbf{k}) = \pm\alpha |\phi(\mathbf{k})|$$

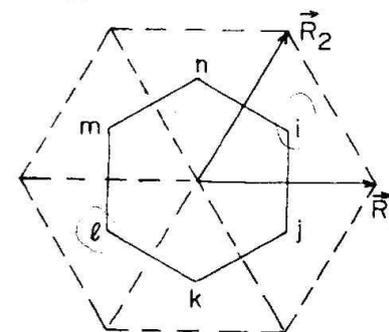
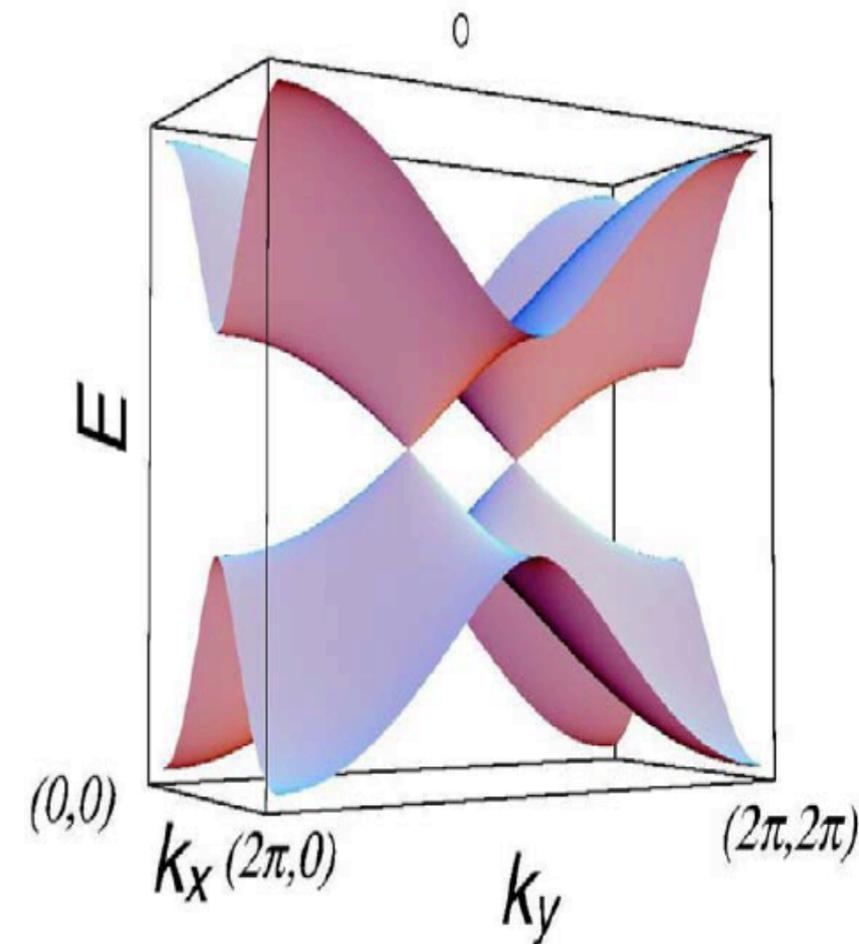
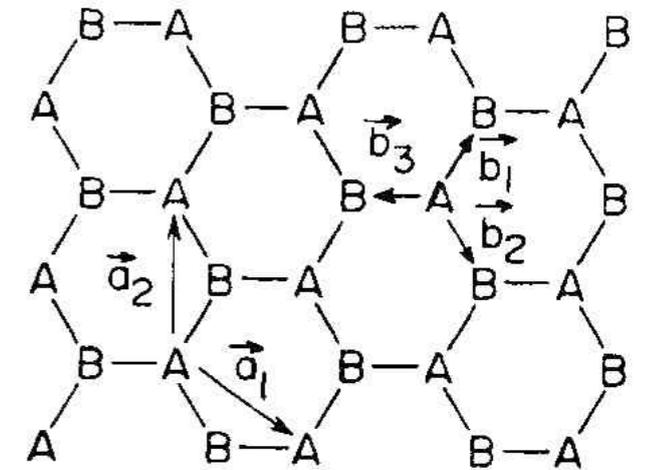
The separation of the conduction and valence bands minimized at zeros of

$$\phi(\mathbf{k}) = e^{i\mathbf{k}\cdot\mathbf{b}_1} + e^{i\mathbf{k}\cdot\mathbf{b}_2} + e^{i\mathbf{k}\cdot\mathbf{b}_3}$$

These occur at

$$\mathbf{q}_1 = \frac{4\pi}{3a} \left(\frac{\sqrt{3}}{2}, \frac{1}{2} \right) \text{ and } \mathbf{q}_2 = -\mathbf{q}_1$$

(and all other equivalent points on the corners of the Brillouin zone).



In the continuum (low energy) limit ($a \rightarrow 0$), only electron state near \mathbf{q}_1 and \mathbf{q}_2 participate in the dynamics.

(1) Near $\mathbf{k} = -\mathbf{q}_1$,

$$\begin{aligned}
 H &= v_F \sum_{\mathbf{k}} (U^\dagger, V^\dagger) \begin{pmatrix} & ie^{-i\pi/3}(k_x - ik_y) \\ -ie^{i\pi/3}(k_x + ik_y) & \end{pmatrix} \begin{pmatrix} U(\mathbf{k} - \mathbf{q}_1) \\ V(\mathbf{k} - \mathbf{q}_1) \end{pmatrix} \\
 &= v_F \sum_{\mathbf{k}} (U^\dagger e^{-i\pi/6}, V^\dagger e^{i\pi/6}) \begin{pmatrix} & i(k_x - ik_y) \\ -i(k_x + ik_y) & \end{pmatrix} \begin{pmatrix} e^{i\pi/6}U(\mathbf{k} - \mathbf{q}_1) \\ e^{-i\pi/6}V(\mathbf{k} - \mathbf{q}_1) \end{pmatrix}
 \end{aligned}$$

$$v_F = \sqrt{3}\alpha/2$$

Dirac Hamiltonian

$$\begin{pmatrix} & i(k_x - ik_y) \\ -i(k_x + ik_y) & \end{pmatrix} = -k_x \sigma_2 + k_y \sigma_1 = \gamma^0 (-k_1 \gamma^1 - k_2 \gamma^2)$$

with $\gamma^\mu = (\sigma_3, i\sigma_1, i\sigma_2)$, and $k^i = (k_x, k_y)$.

$$\rightarrow H_1 = -\gamma^0 \not{k} \text{ for } \psi_1 \sim e^{i\frac{\pi}{6}} \sigma_3 (U(\mathbf{k} - \mathbf{q}_1), V(\mathbf{k} - \mathbf{q}_1))^t.$$

Similarly, (2) Near $\mathbf{k} = \mathbf{q}_1$,

$$H = v_F \sum_{\mathbf{k}} (U^\dagger, V^\dagger) \begin{pmatrix} i e^{i\pi/3} (k_x + i k_y) \\ -i e^{-i\pi/3} (k_x - i k_y) \end{pmatrix} \begin{pmatrix} U(\mathbf{k} + \mathbf{q}_1) \\ V(\mathbf{k} + \mathbf{q}_1) \end{pmatrix}$$

One has

$$\begin{pmatrix} i(k_x + i k_y) \\ -i(k_x - i k_y) \end{pmatrix} = -k_x \sigma_2 - k_y \sigma_1 = \sigma_2 (-k_x \sigma_2 + k_y \sigma_1) \sigma_2 \\ = \sigma_2 \sigma_3 (-k_1 \gamma^1 - k_2 \gamma^2) \sigma_2$$

Let $\psi_2 = \sigma_2 e^{-i\frac{\pi}{6}} \sigma_3 (U(\mathbf{k} + \mathbf{q}_1), V(\mathbf{k} + \mathbf{q}_1))^t ..$

$$\rightarrow H_2 = -\gamma^0 \not{k}$$

$$H = \int d^2 \mathbf{x} \left[\psi^\dagger (v_F \sigma_k \Pi_k + m \sigma_3 - e A_0) \psi + \chi^\dagger (v_F \sigma_k \Pi_k - m \sigma_3 - e A_0) \chi \right]$$

$$\Pi_i = -i \partial_i + e A_i \quad \text{band gap} \quad m \rightarrow 0$$

$$\psi_\alpha = (\psi_1, \psi_2)^t \propto (U_{\mathbf{k}-\mathbf{q}}, V_{\mathbf{k}-\mathbf{q}})^t \quad \text{field near } K$$

$$\chi_\alpha = (\chi_1, \chi_2)^t \propto (-V_{\mathbf{k}+\mathbf{q}}, U_{\mathbf{k}+\mathbf{q}})^t \quad \text{field near } K'$$

$$v_F \sim 10^6 \text{ m/s} \sim c/300$$

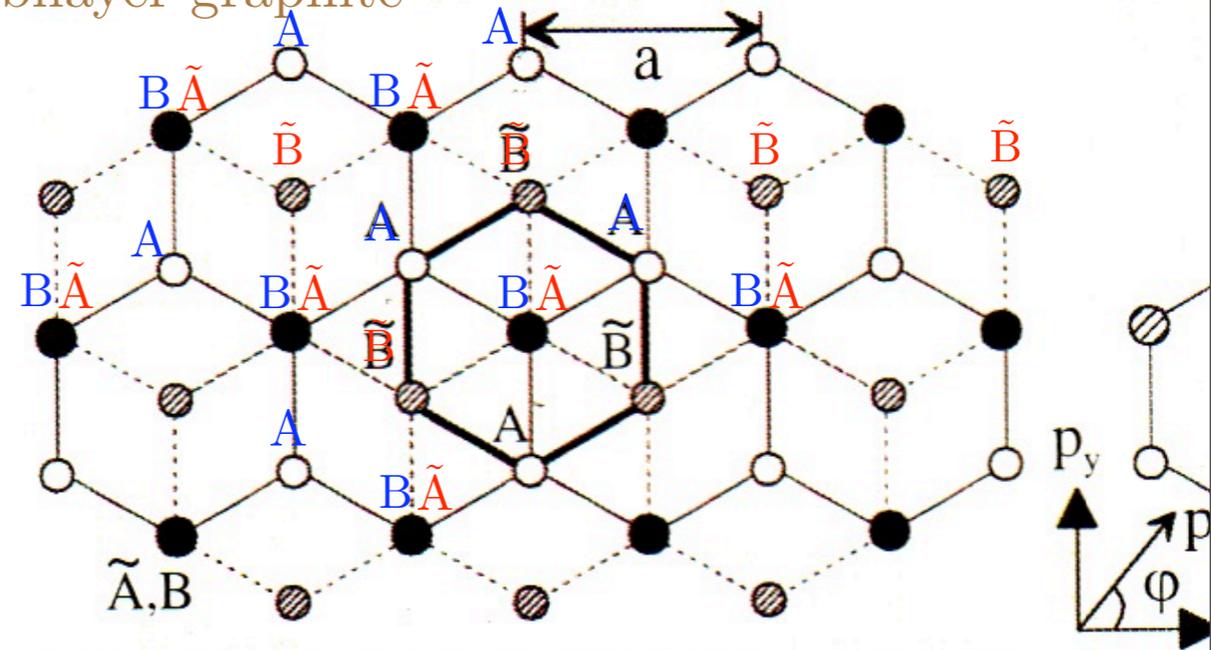
Spinor structure \leftarrow sublattices

Bilayer graphene

$$H^{\text{bi}} = \begin{pmatrix} & v p^\dagger & & \\ v p & & \gamma_1 & \\ & \gamma_1 & & v p^\dagger \\ & & v p & \end{pmatrix} \begin{pmatrix} \psi_A \\ \psi_B \\ \psi_{\tilde{A}} \\ \psi_{\tilde{B}} \end{pmatrix}$$

$$\hat{H}^{\text{bi}} = \begin{pmatrix} & & v p^\dagger & \\ & v p & & \\ v p^\dagger & & & \gamma_1 \\ v p & & \gamma_1 & \end{pmatrix} \begin{pmatrix} \psi_A \\ \psi_{\tilde{B}} \\ \psi_{\tilde{A}} \\ \psi_B \end{pmatrix} = \begin{pmatrix} H_{11} & H_{12} \\ H_{21} & H_{22} \end{pmatrix}$$

bilayer graphite



$$H_{\text{eff}} = H_{11} + H_{12} \frac{1}{H_{22} - i\partial_t} H_{21} \approx \frac{1}{2m^*} \begin{pmatrix} & (p^\dagger)^2 \\ p^2 & \end{pmatrix} \quad m^* = \frac{\gamma_1}{v^2}$$

chiral Schroedinger eq.

positive- and negative-energy states w. quadratic dispersion

gapless