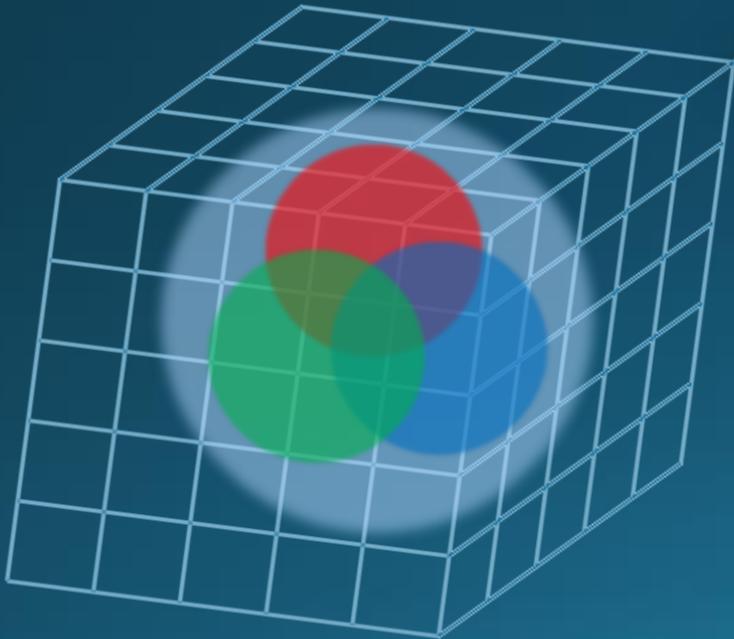


Lattice QCD and Physics at $T \neq 0$



Masakiyo Kitazawa
(Osaka U.)

Contents

1. Why is Lattice so Difficult?

1. Lattice field theory
2. Observables
3. Monte-Carlo simulations
4. Nonzero temperature
5. Dynamics

2. QCD at $T \neq 0$

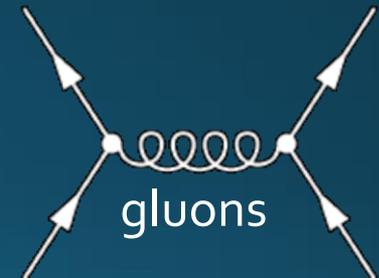
1. Equation of state
2. QCD critical points & Columbia plot
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QCD

Fundamental Theory of Strong Interaction

$$\mathcal{L} = \bar{\psi}(i\not{D} - m)\psi - \frac{1}{4}F_{\mu\nu,a}F_a^{\mu\nu}$$

- Degrees of freedom
- ψ : quark field
 - A_μ^a : gluon field

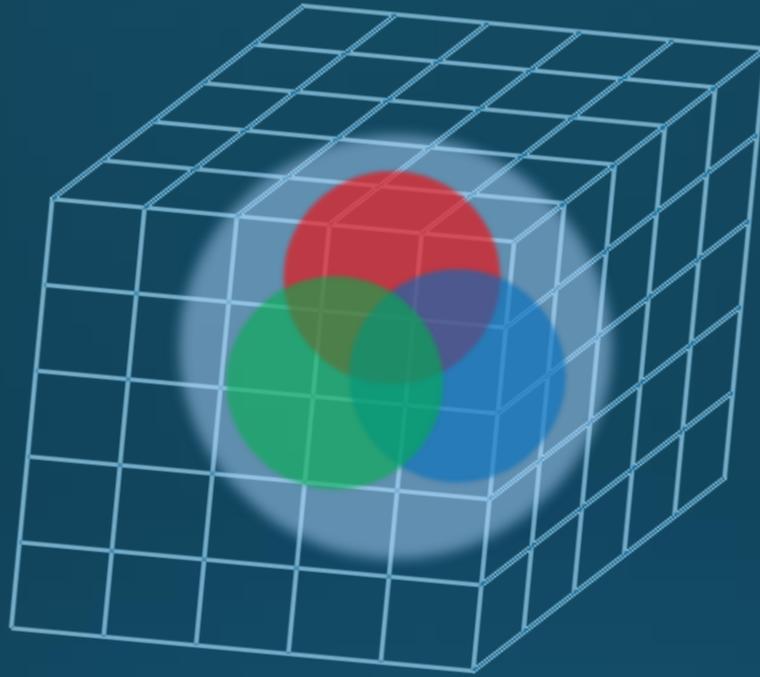


Properties

□ Asymptotic freedom

- Energy-scale dependent coupling constant
- Violation of perturbation at low E scale

- Quark confinement
- Chiral symmetry breaking

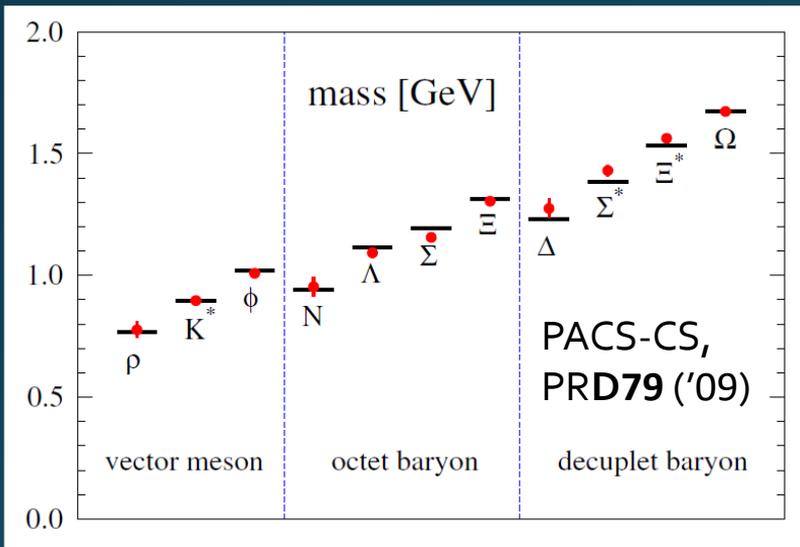


Lattice QCD numerical simulations are powerful tools to explore non-perturbative phenomena of QCD.

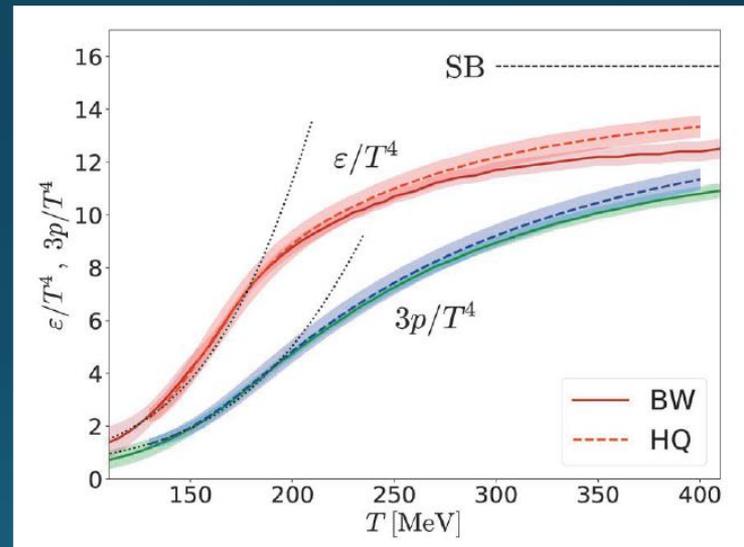
Yes, but it is not so useful...

Establishments

Hadron Spectroscopy

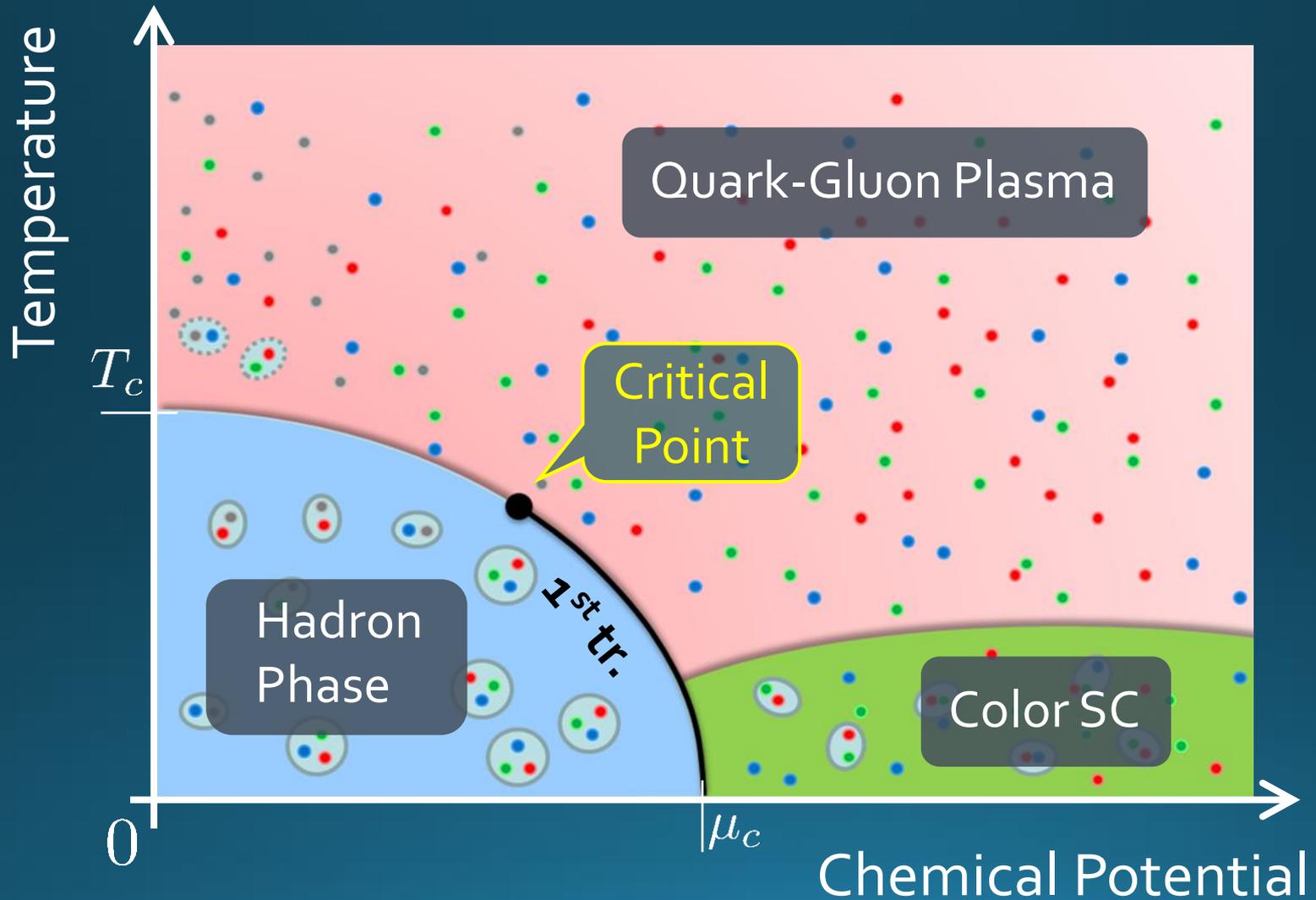


Thermodynamics

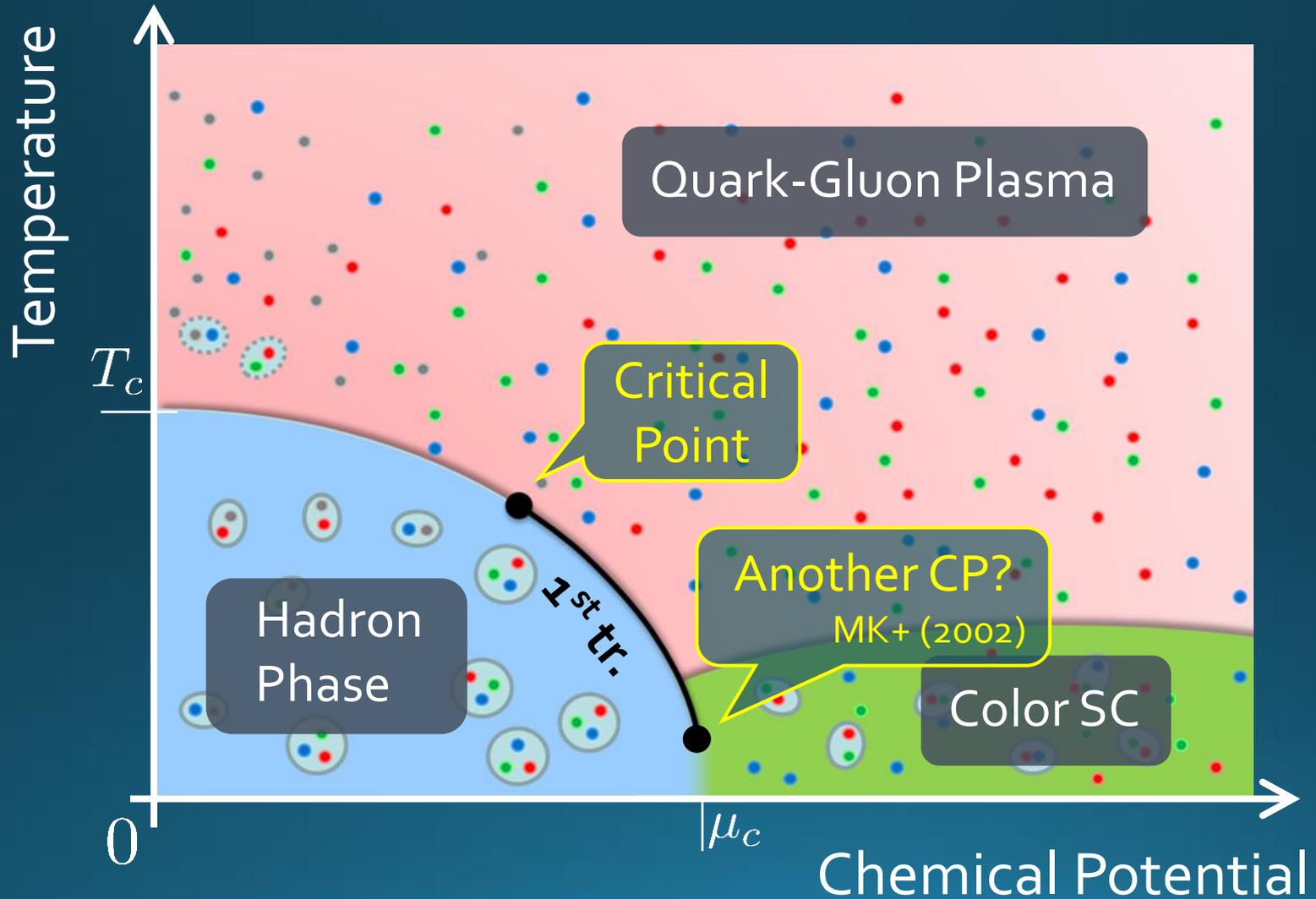


Budapest-Wuppertal; HotQCD, 2014

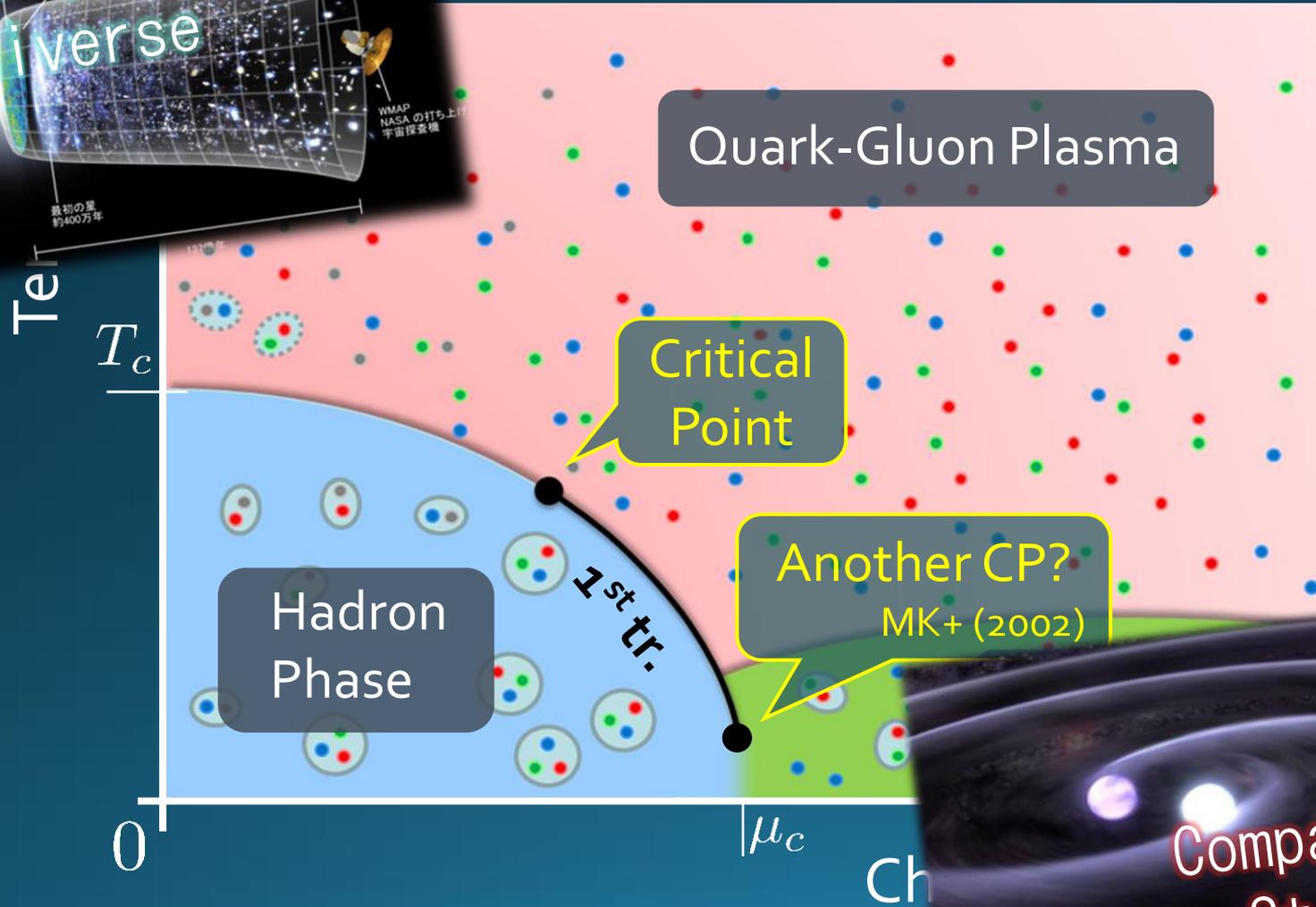
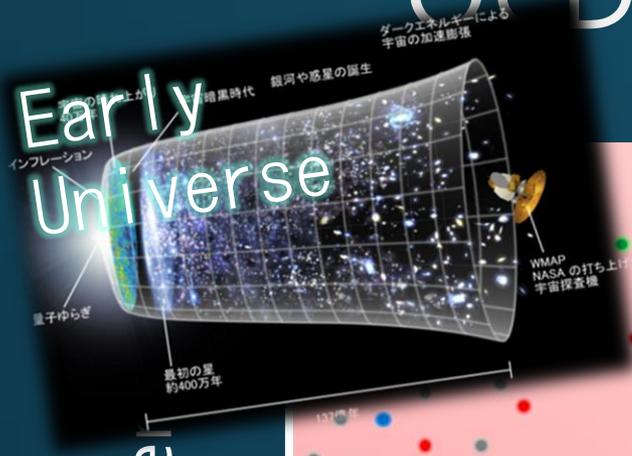
QCD Phase Diagram



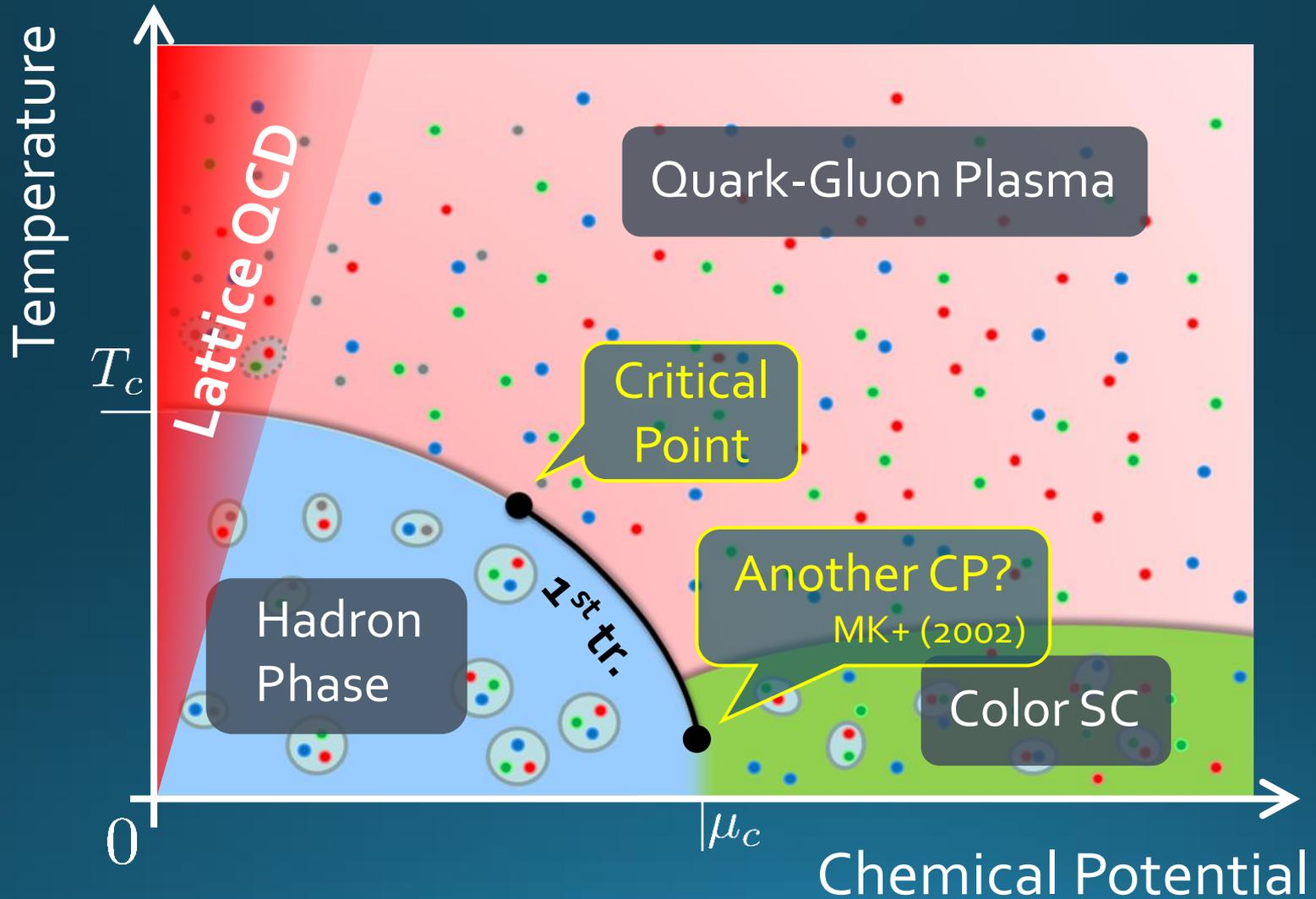
QCD Phase Diagram



QCD Phase Diagram

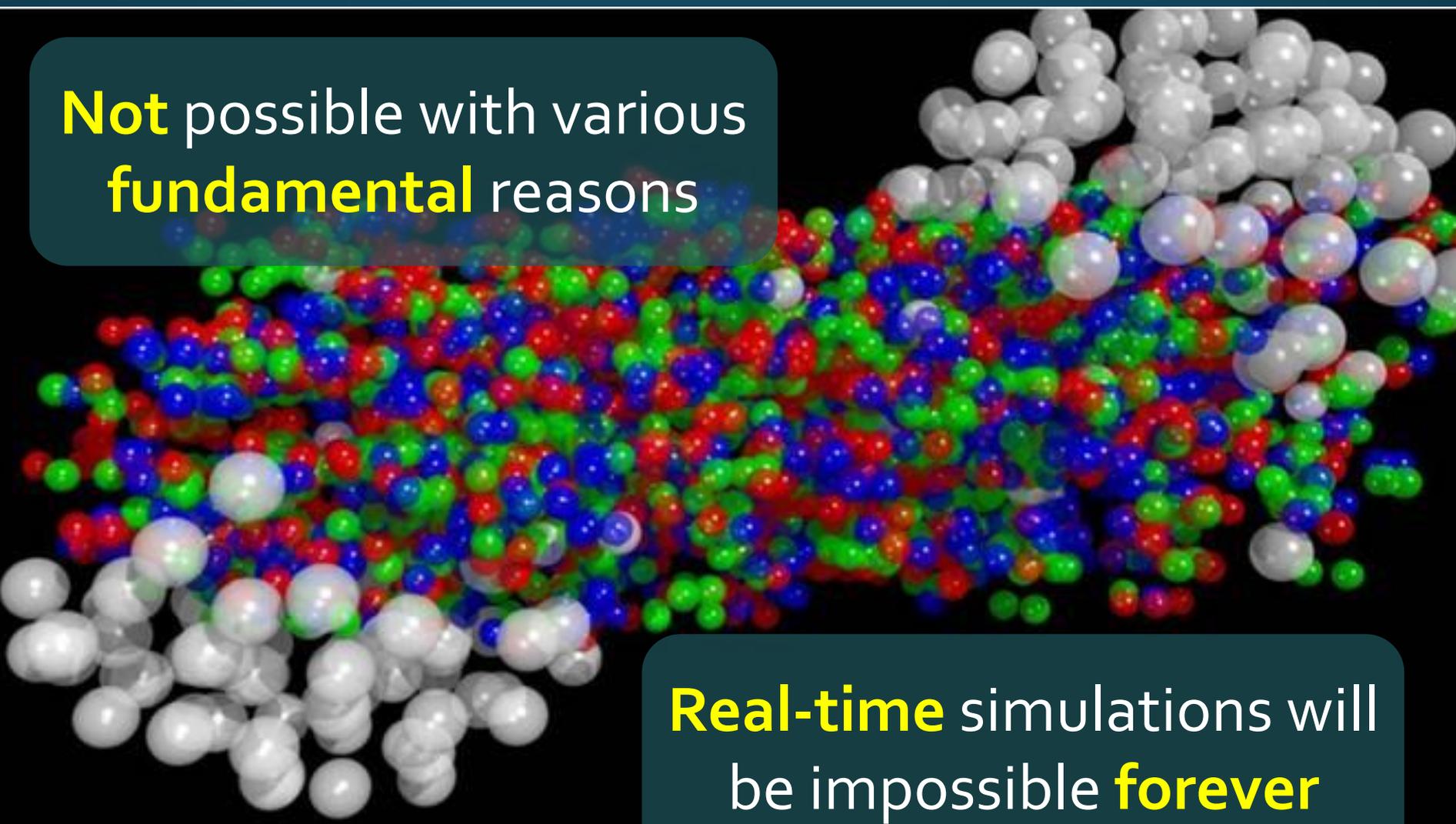


QCD Phase Diagram



Reproducing HIC on the lattice?

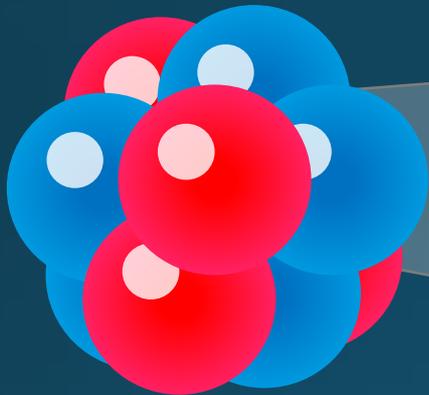
Not possible with various
fundamental reasons



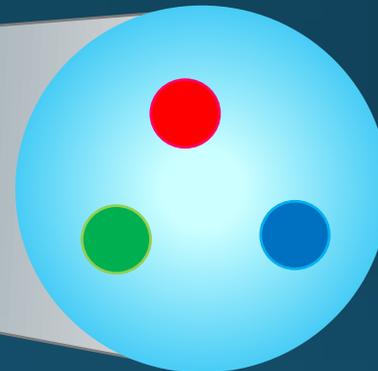
Real-time simulations will
be impossible **forever**

Nuclear Structure on the Lattice?

Nucleus



Nucleon (Hadrons)



- ❑ Difficult to treat.
- ❑ Even a reliable measurement of deuteron mass has not been achieved.

- ❑ Masses have been measured.
- ❑ Other properties, such as charge distribution, are still difficult to measure.

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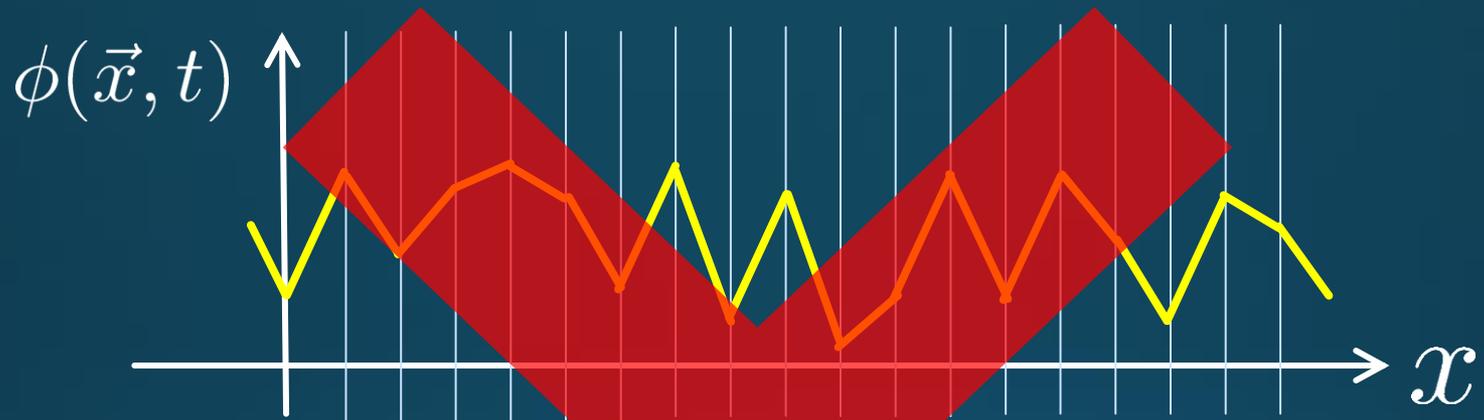
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Lattice Simulations



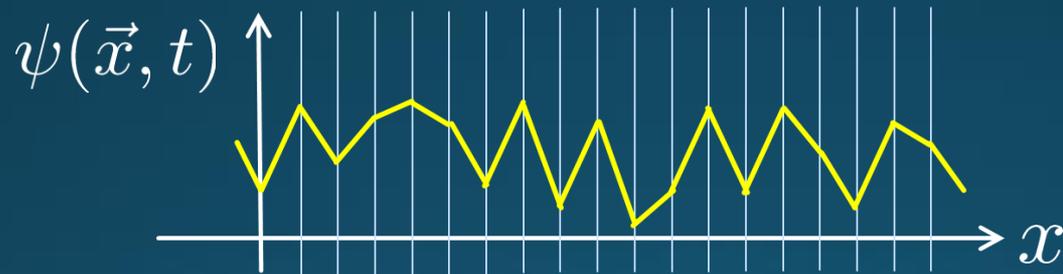
Diffusion eq.: $\frac{\partial}{\partial t} \phi(\vec{x}, t) = D \nabla^2 \phi(\vec{x}, t)$

$$\frac{d^2 \phi(x)}{dx^2} = \frac{1}{a^2} \{ \phi(x-a) - 2\phi(x) + \phi(x+a) \}$$

$$\phi(x + \Delta t) = \phi(x) + \Delta t D \frac{d^2 \phi(x)}{dx^2}$$

QCD is a Quantum Theory

$$i\frac{\partial}{\partial t}\psi(\vec{x}, t) = -\frac{\hbar^2\nabla^2}{2m}\psi(\vec{x}, t) + V(x)\psi(\vec{x}, t)$$



Time evolution can be simulated,
but the eigenvalue problem would be better.

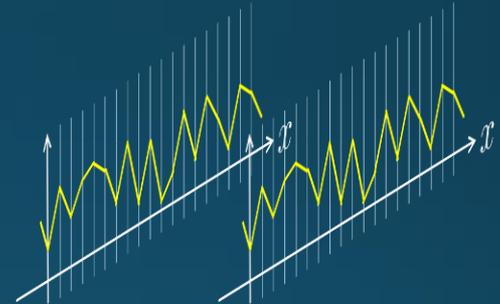
QCD is a Quantum Field Theory

Quantum Field Theory

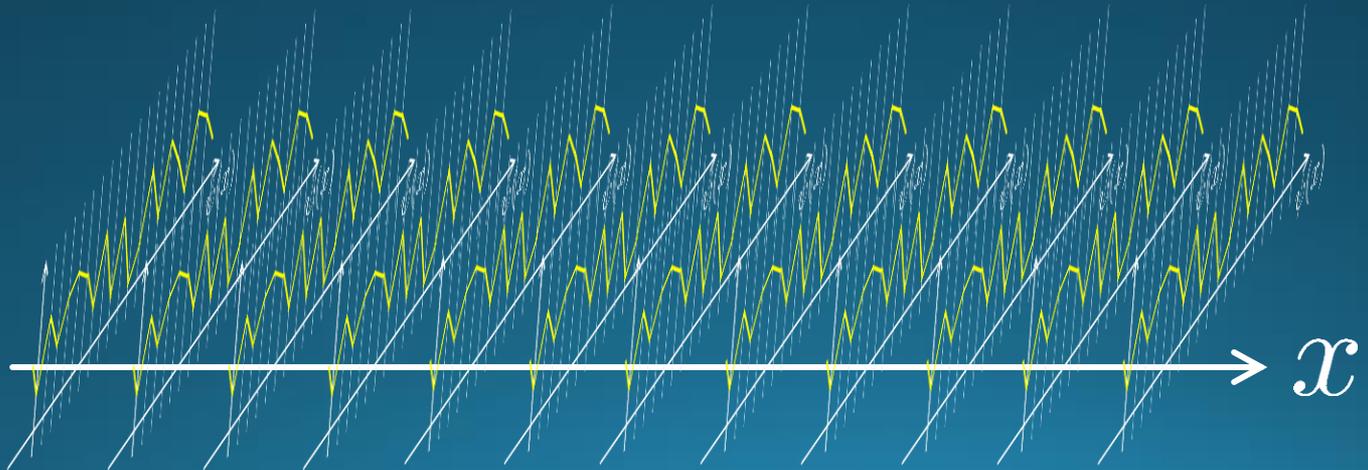
$\phi(x)$ at every space-time points are arguments of wave func.

Spin $\frac{1}{2}$ system:

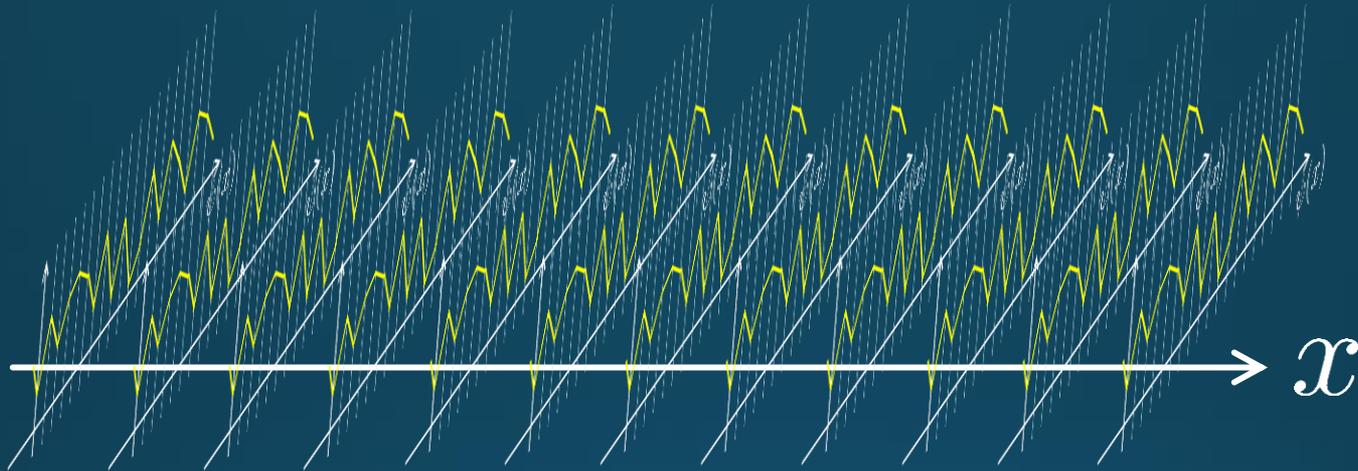
$$\Psi(x) = (\psi_{\uparrow}(x), \psi_{\downarrow}(x))$$



QFT:



Physical States



$$\Psi[\psi(x)]$$

Functional of ψ
So many d.o.f



Numerical simulation of time evolution
is too difficult to handle!

Initial Conditions

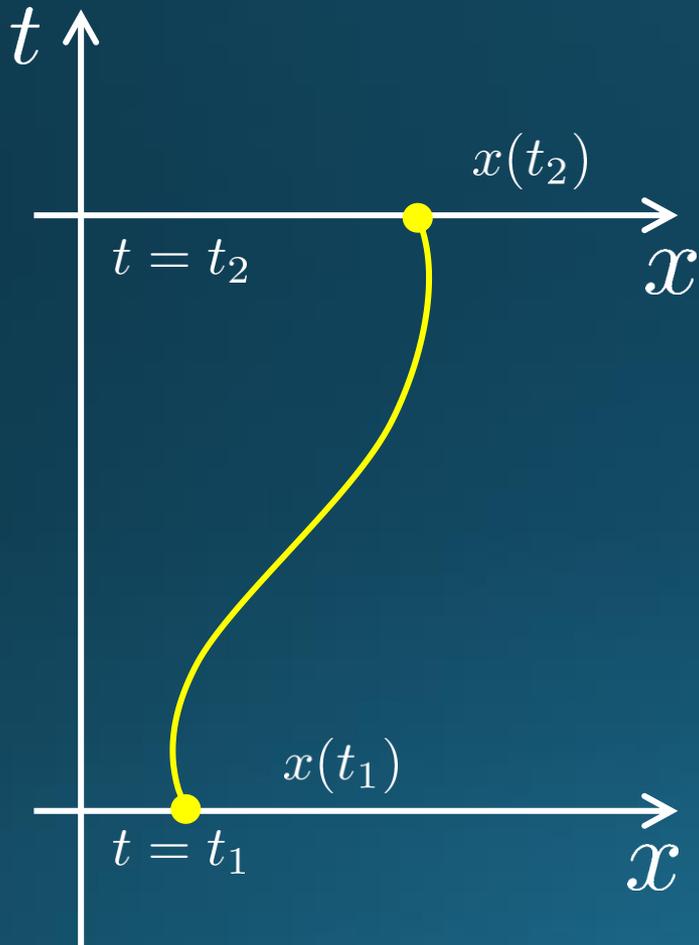
Initial conditions having physical meaning?

- Vacuum $|0\rangle$
- 1-particle state $a_p^\dagger |0\rangle$
- 2-particle state $a_{p_1}^\dagger c_{p_2}^\dagger |0\rangle$

$|0\rangle$ Vacuum state: **unknown**

a_p^\dagger Creation operators: **unknown**

Path Integral

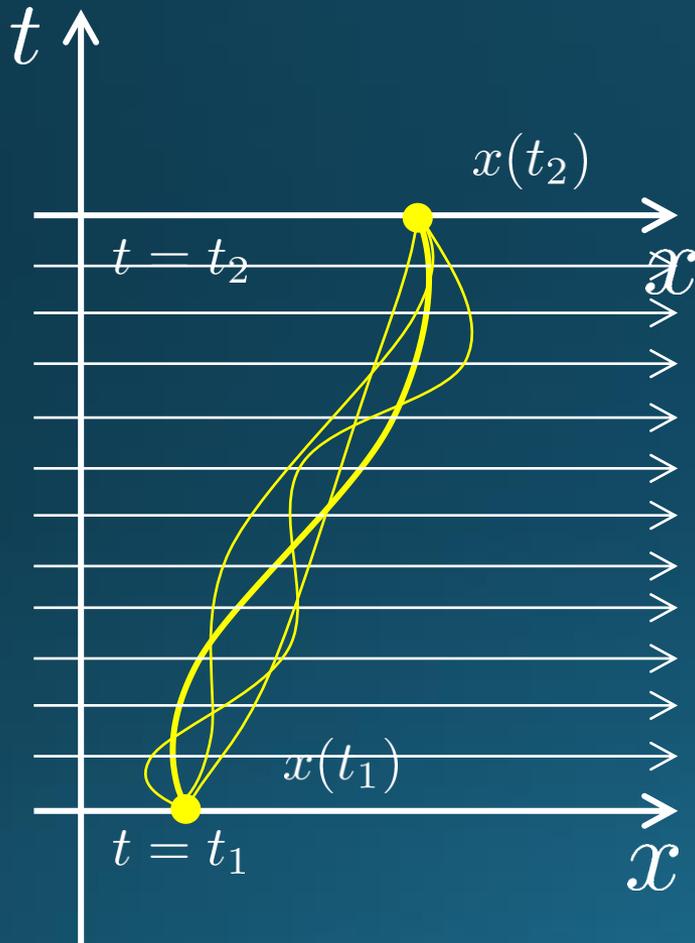


Classical mechanics: Principle of least action

Trajectory that minimize the action S is realized as a classical path between x_1 and x_2 .

$$S[x(t)] = \int_{t_1}^{t_2} dt \mathcal{L}(x(t), \dot{x}(t))$$

Path Integral



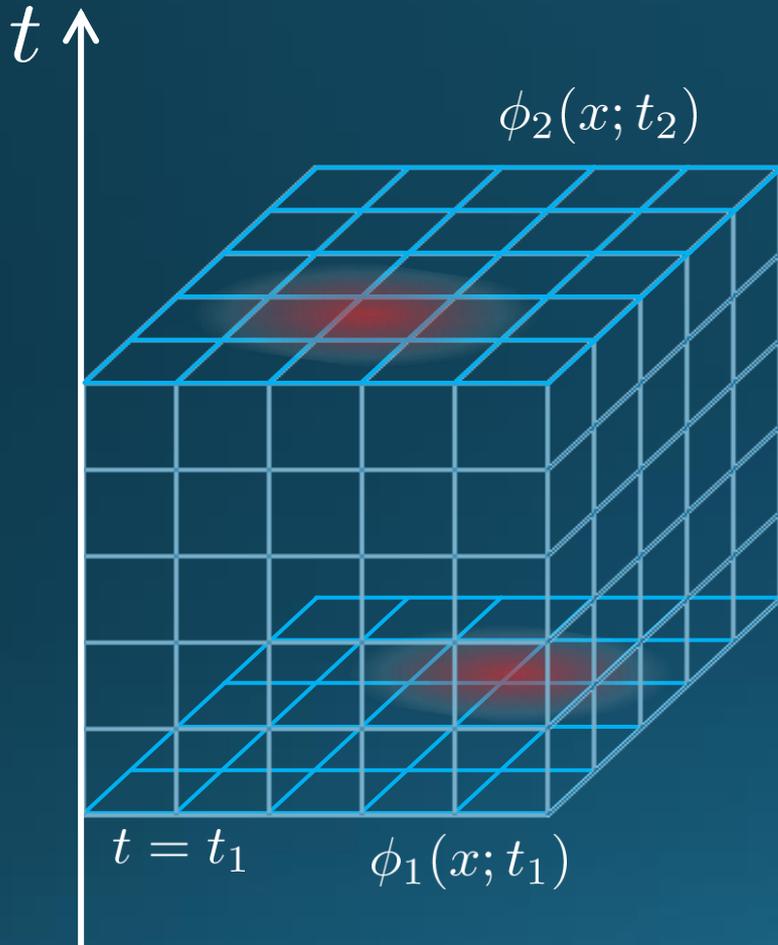
Quantum mechanics: Path integral

Transition amplitude $\langle x_1, t_1 | x_2, t_2 \rangle$ is given by the sum of all trajectories with the weight e^{iS} .

$$\begin{aligned} \langle x_2, t_2 | x_1, t_1 \rangle &= \lim_{\Delta t \rightarrow 0} \left[\prod_n \int dx(t_n) \right] e^{iS[x(t)]/\hbar} \\ &= \int \mathcal{D}x e^{iS/\hbar} \end{aligned}$$

Note: QM states are labeled only by the coordinate x .

Path Integral in QFT



Transition amplitude between two states can be calculated as

$$\begin{aligned} & \langle \phi_2(x), t_2 | \phi_1(x), t_1 \rangle \\ &= \lim_{a \rightarrow 0} \left[\prod_x \int d\phi(x) \right] e^{iS[\phi(x)]/\hbar} \\ &= \int \mathcal{D}\phi e^{iS(\phi)/\hbar} \end{aligned}$$



Lattice field theory is constructed by the space-time discretization

- Problems:
- ① What are physical states?
 - ② How to carry out path integral numerically?

Problems

① Quantum states

□ QM: $\langle x_2, t_2 | x_1, t_1 \rangle$: Not very useful...

□ QFT: We don't know meaningful quantum states

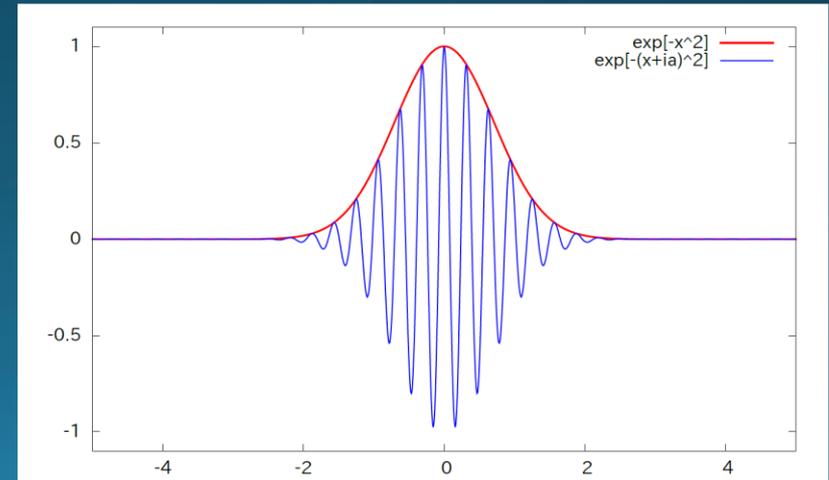
$|\phi(x)\rangle$?

② Numerical Integration

$$\lim_{\Delta t \rightarrow 0} \left[\prod_n \int dx(t_n) \right] e^{iS[x(t)]/\hbar}$$

The phase oscillates rapidly.

→ Difficult to handle in numerical integration



Solution: Wick Rotation ($t \rightarrow \tau = -it$)

□ Minkowski \rightarrow Euclid spacetime

$$\square S[x(t)] = \int_{t_1}^{t_2} dt \mathcal{L}(x(t), \dot{x}(t))$$

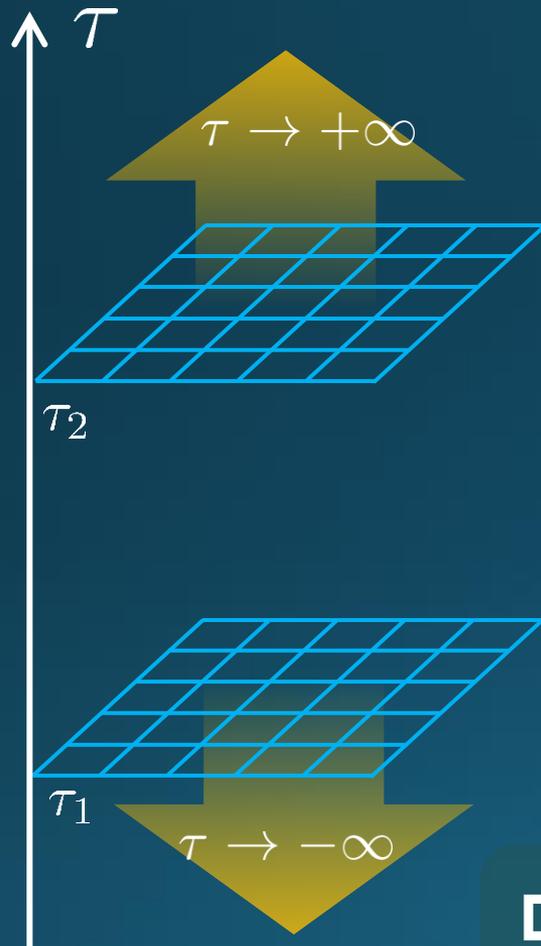
$$\longrightarrow S_E[x(\tau)] = \int_{\tau_1}^{\tau_2} d\tau \mathcal{L}_E(x, \dot{x})$$

$$\square \int \mathcal{D}x e^{iS[x(t)]/\hbar} \longrightarrow \int \mathcal{D}x e^{-S_E[x(\tau)]/\hbar}$$



Integrand becomes real \rightarrow Numerically feasible

Solution: Wick Rotation ($t \rightarrow \tau = -it$)



□ Vacuum expectation value

Take the limit: $\tau_1 \rightarrow -\infty, \tau_2 \rightarrow \infty$

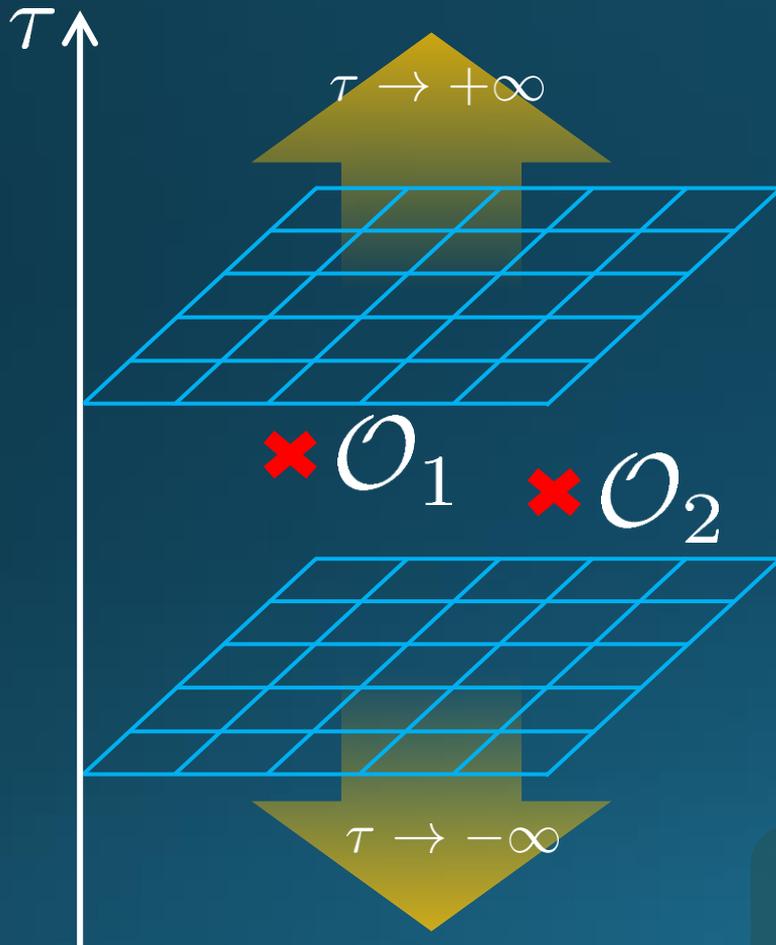
$$\int \mathcal{D}x e^{-\int_{-\tau_1}^0 d\tau L[x(\tau)]} \sim e^{-H\tau_1} |x\rangle \xrightarrow{\tau_1 \rightarrow \infty} |0\rangle$$

vacuum state

$$\langle 0 | f(\hat{x}) | 0 \rangle \sim \int_{-\infty}^{\infty} \mathcal{D}x f(x)_{\tau=0} e^{-S/\hbar}$$

- Expectation values w.r.t. $|0\rangle$ can be evaluated!
- Note: periodic BC is also possible.

Calculating Operators



Lattice Simulations can calculate vacuum expectation values and correlation funcs.

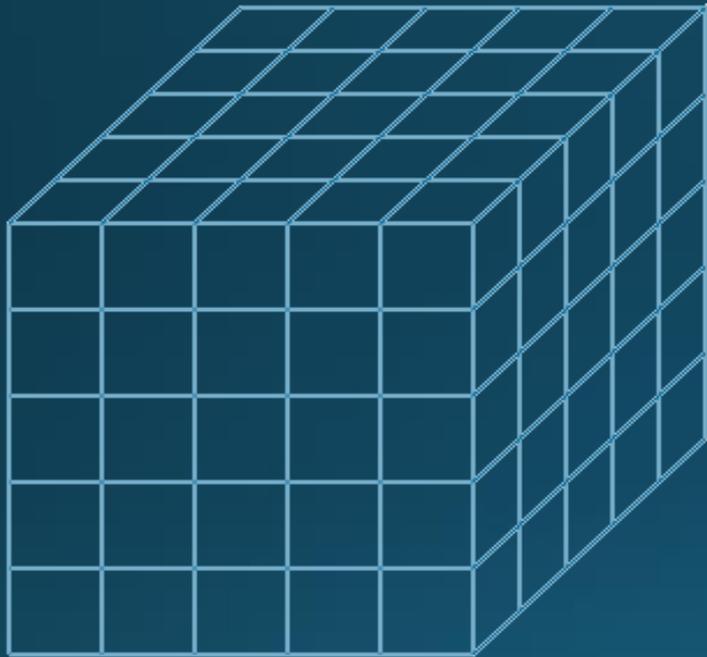
$$\langle 0 | \mathcal{O}(x) | 0 \rangle$$

$$\langle 0 | \mathcal{O}_1(x) \mathcal{O}_2(y) | 0 \rangle$$

...

These are **almost everything** that lattice simulations can do.

Plane-Wave Solution of QCD?



$$\begin{aligned} &\langle 0 | \mathcal{O}(x) | 0 \rangle \\ &= \frac{1}{Z} \int \mathcal{D}\phi \mathcal{O}(x) e^{-S_E} \end{aligned}$$

Q.

Are states having translational symmetry (such as plane waves) of QCD analyzed in **lower dimensional simulations**?

Then, such a simulation will reduce numerical costs drastically.



A.

No. Gauge configurations are not translationally symmetric.

General Comments

- Another advantage of lattice FT: removal of ultraviolet divergence thanks to finite d.o.f. on the lattice.
- Lattice provides us with a **non-perturbative construction of the QFT**.
- Continuum extrapolation ($a \rightarrow 0$ limit) must be taken at the end.
- Numerical simulations were not the original purpose of introducing lattice gauge theory by K. Wilson.

Summary so far

- A real-time simulation of QFT is quite difficult.
- Ignorance of physical states is one of the reasons.
- Lattice FT in Euclidean spacetime enables
 - Stable numerical integral.
 - ← real integrand of path integral.
 - Calculation of vacuum expectation values.
- Lattice calculates vacuum expectation values (correlation functions / Green functions).
 $\langle 0 | \phi(x_1) \phi(x_2) | 0 \rangle, \dots$
- Physical information are extracted from them.

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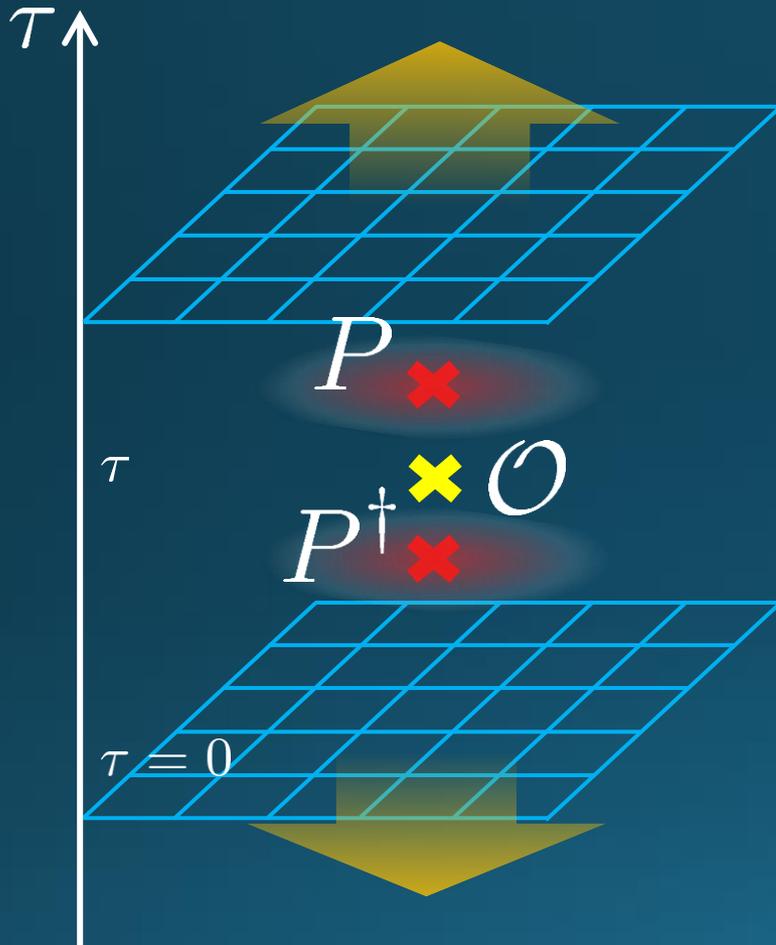
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Expectation Value of Physical States



Pion creation operator: $P^\dagger(p=0, \tau)$

➔ 1π state: $|\pi\rangle = P^\dagger|0\rangle$

□ Mass

$$\begin{aligned} &\langle 0|P(\tau)P^\dagger(0)|0\rangle \\ &= \langle \pi(\tau)|\pi(0)\rangle \sim e^{-m_\pi\tau} \end{aligned}$$

□ Charge density

$$\lim_{\tau \rightarrow 0} \langle \pi(\vec{0}, \tau)|\hat{\rho}(\vec{x})|\pi(\vec{0}, 0)\rangle$$

□ Energy density

$$\langle \pi(\tau)|T_{00}(x)|\pi(0)\rangle \quad \text{➔} \quad \int d^3x \langle T_{00}(x)\rangle = m_\pi$$

No Operators of Hadrons!!

□ We cannot represent hadrons in terms of quark and gluon fields.

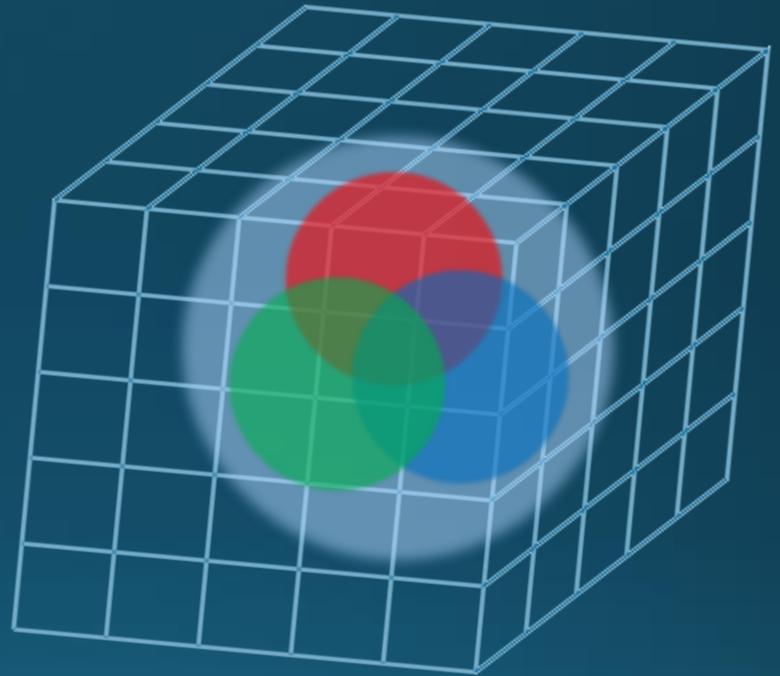
→ We don't know their operators in QCD.

□ Constructing operators of observables is also nontrivial.

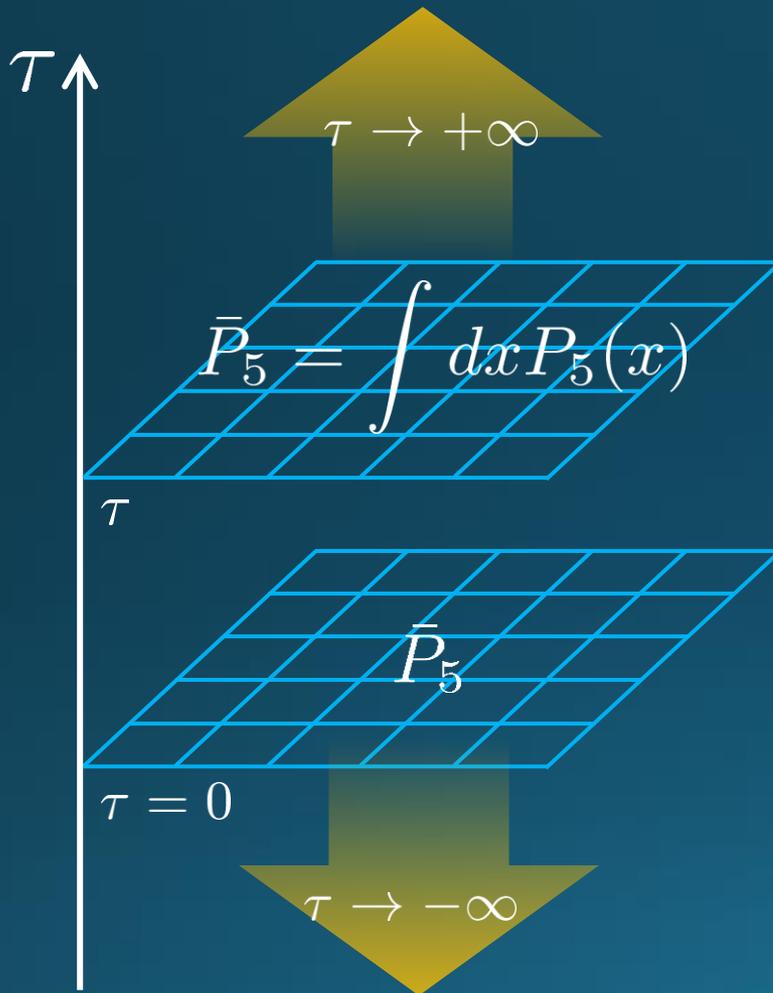
Ex. energy-momentum tensor

→ cannot be defined as Noether current

(Recent progress: gradient flow method)



How to Create Hadrons on the Lattice?



Use an operator having the same quantum number as pions; ex.:

$$P_5(x) = \bar{\psi}(x)\gamma_5\psi(x)$$

$$P_5(-\tau)|0\rangle = c_0 e^{-\tau m_\pi} |\pi\rangle + c_1 e^{-\tau m'_\pi} |\pi'\rangle + \dots$$



$$\tau \rightarrow \infty \text{ limit: } |\bar{P}_5\rangle \sim |\pi\rangle$$

$$\langle \bar{P}_5(\tau) | \bar{P}_5(0) \rangle \rightarrow e^{-m_\pi \tau}$$

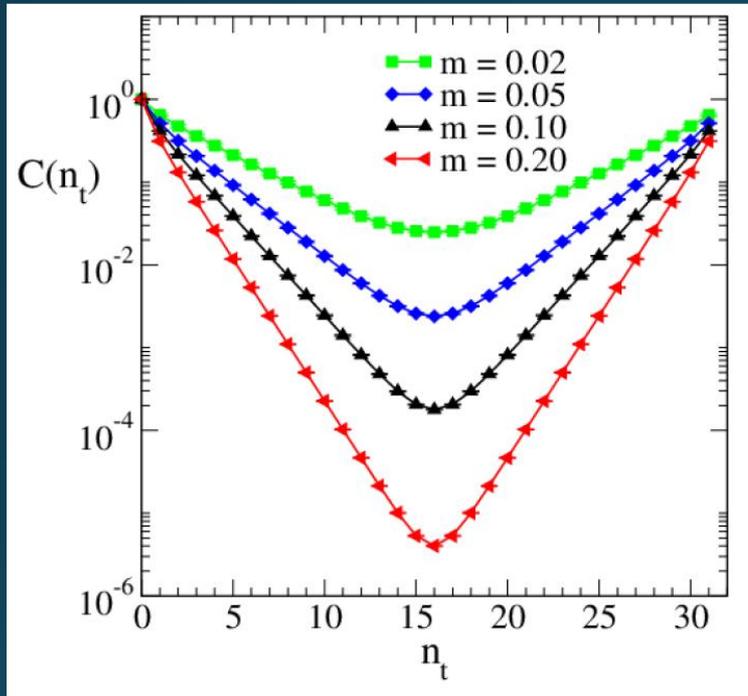
Evaluation of the lowest energy eigenvalue

Correlation Functions: Example

$$C(\tau) = \langle \bar{P}(\tau) | \bar{P}(0) \rangle \rightarrow e^{-m\tau}$$

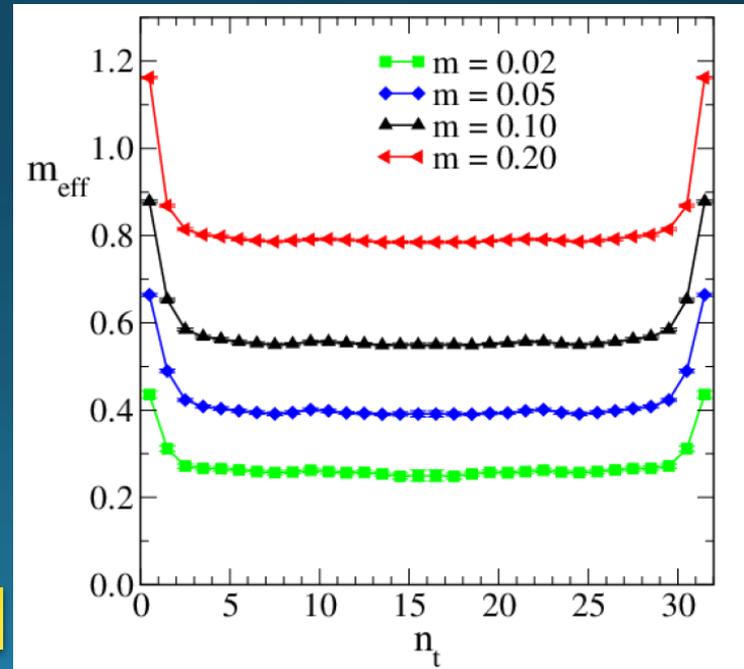
Figs from C.B. Lang

http://physik.uni-graz.at/~cbl/teaching/lgtped_c.pdf



Effective-mass Plot

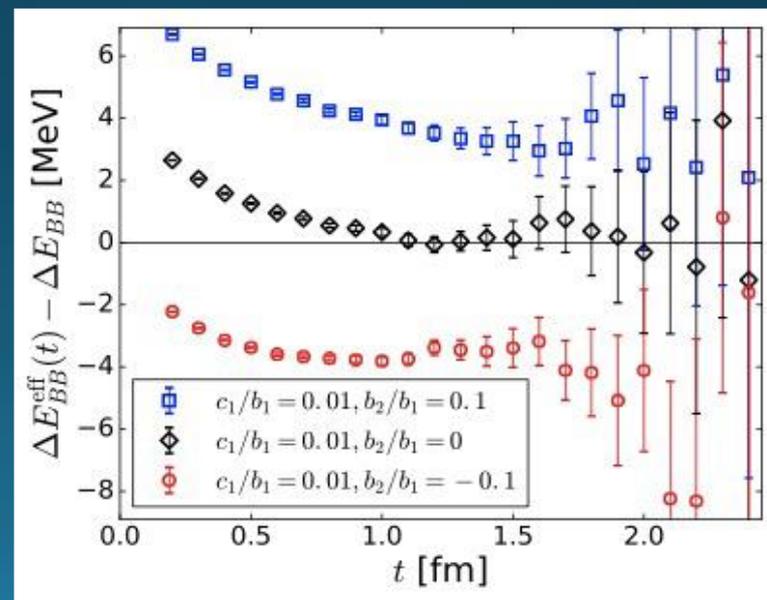
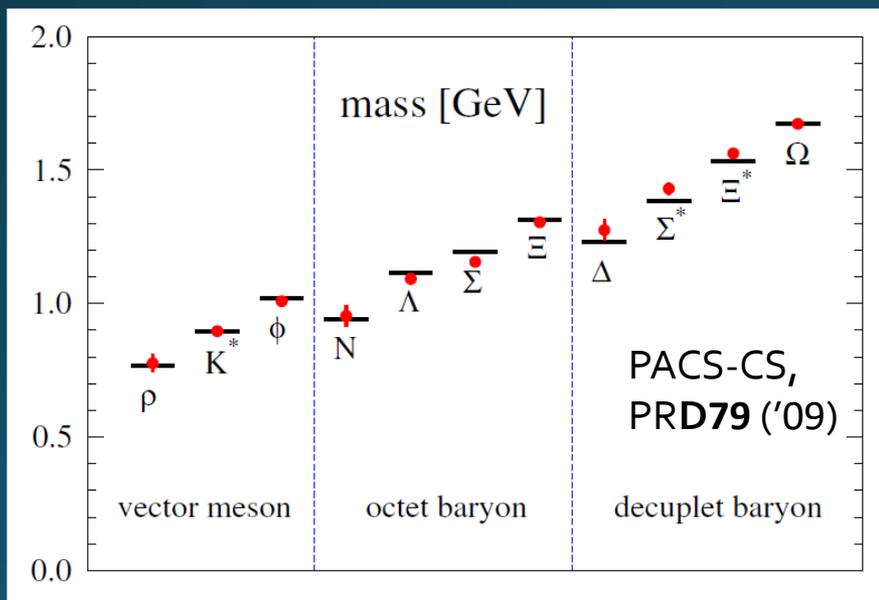
$$m_{\text{eff}} = \ln \frac{C(n)}{C(n+1)}$$



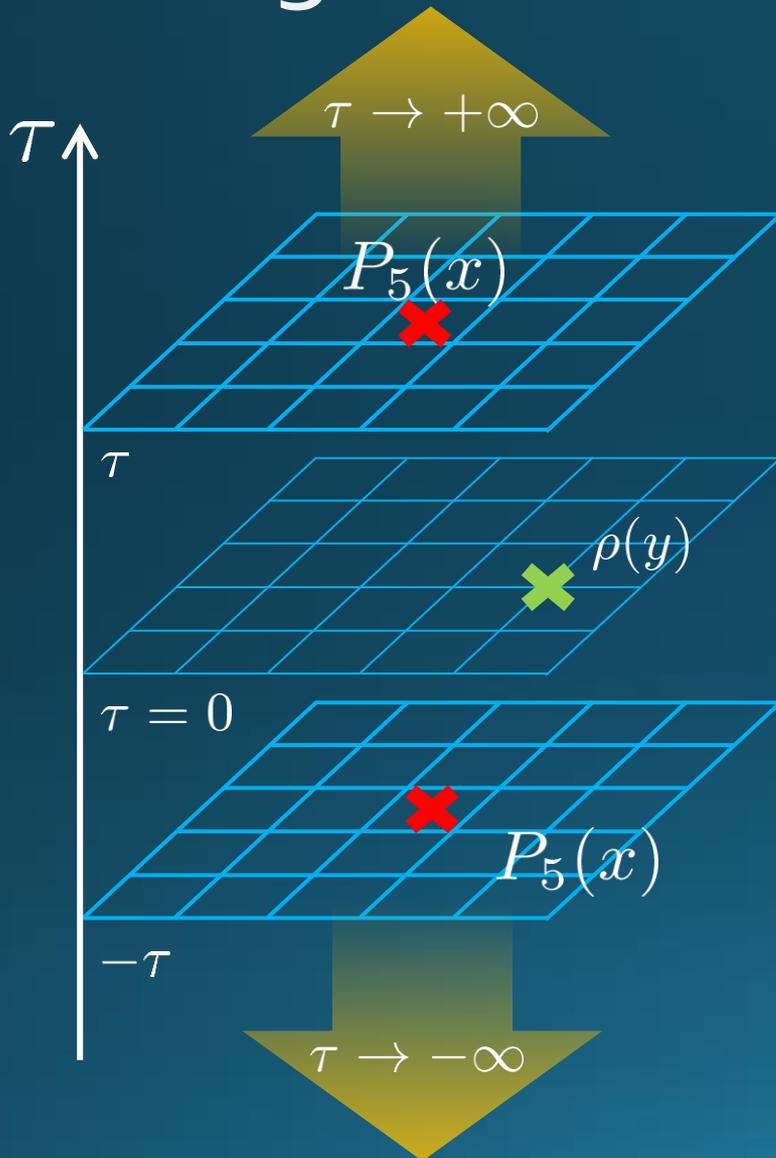
Mass of hadrons are obtained from the plateau of effective mass

Caveats

- ❑ Successful analysis only for the lowest-energy state.
- ❑ More sophisticated treatment is required for
 - ❑ Excited states.
 - ❑ Systems with small energy gaps: ex. multi-hadron states, etc.
- ❑ The “plateau” region should be determined carefully.



Charge Distribution inside Hadrons?



$$\langle 0 | P_5(\vec{x}, \tau) \rho(\vec{y}, 0) P_5(\vec{x}, -\tau) | 0 \rangle$$

Charge distribution & radius?

✗ The hadron state is not the eigenstate of coordinate x .

A hadron at position x cannot be created on the lattice.

○ form factor: $\langle \pi(\vec{p}_1) | V_\mu(\vec{q}) | \pi(\vec{p}_2) \rangle$

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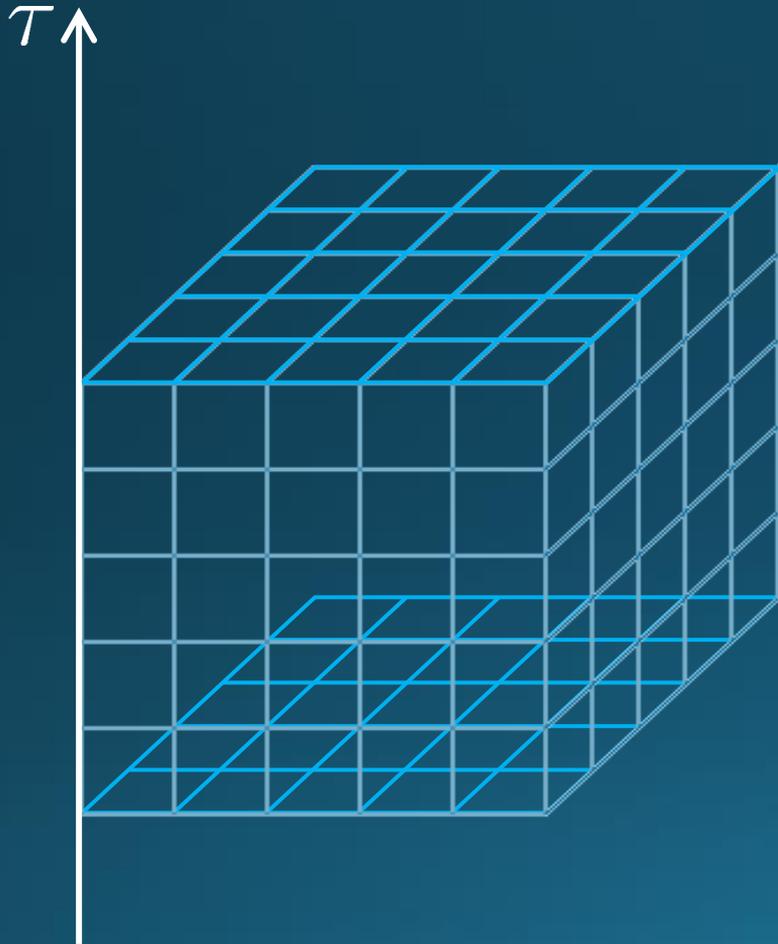
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DoF of Path Integral



$$\int \mathcal{D}\phi \mathcal{O} e^{-S[\phi(x)]}$$
$$= \left[\prod_x \int d\phi(x) \right] \mathcal{O} e^{-S[\phi(x)]}$$

(integration variable) =
(spacetime points) \times (dof of fields)



Multiple Integral
in **Ultra-high** Dimensions!!

Monte-Carlo Integral



integral space

□ Monte-Carlo Integral

Evaluate integrand randomly
in the integral space

→ Take the average

$$\int dx^m F(\vec{x}) \simeq \frac{1}{N} \sum_i F(\vec{x}_i)$$

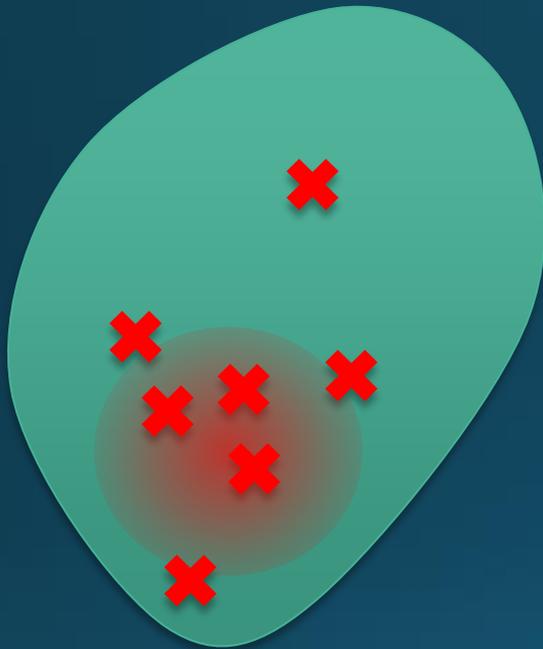
Importance Sampling

□ Metropolis Method

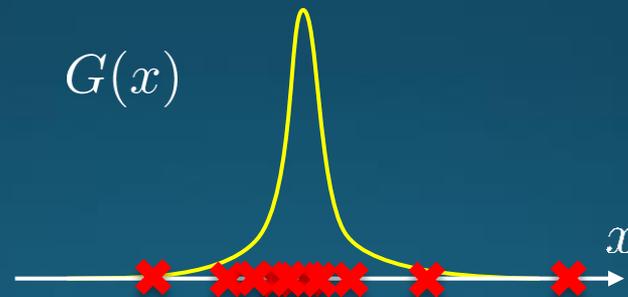
If only a part of integral space contribute strongly to the integral:

$$\int dx^m F(\vec{x})G(\vec{x})$$

$G(\vec{x})$: weight func.



integral space



➔ Generate the sampling points with the probability $G(x)$

Importance Sampling

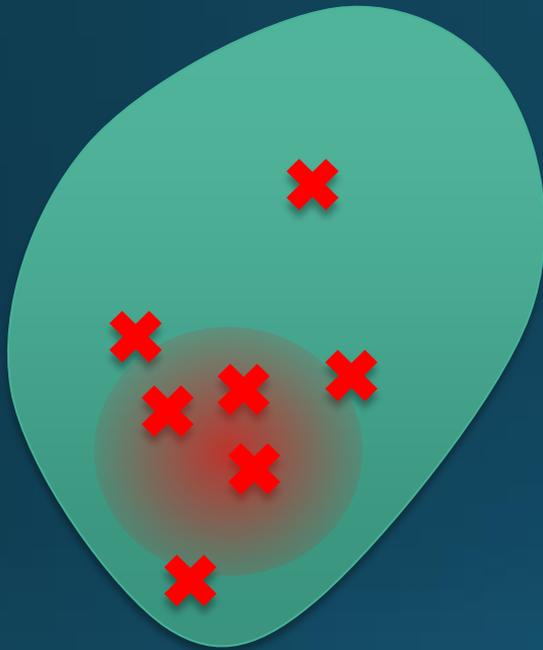
□ Metropolis Method

If only a part of integral space contribute strongly to the integral:

$$\int dx^m F(\vec{x})G(\vec{x}) \quad G(\vec{x}) : \text{weight func.}$$

Acceptance/rejection of integrand

$$\begin{cases} G(\vec{x}_{i+1}) \leq G(\vec{x}_i) & \text{accept!} \\ G(\vec{x}_{i+1}) > G(\vec{x}_i) & \text{accept with the} \\ & \text{probability } G_i/G_{i+1} \end{cases}$$



integral space

$$\int dx^m F(\vec{x})G(\vec{x}) = \frac{1}{N} \sum_{\vec{x}_i} F(\vec{x}_i)$$

Path Integral in QFT

$$\int \mathcal{D}\phi \mathcal{O} e^{-S[\phi(x)]}$$

“Hot spot”: Extremely narrow



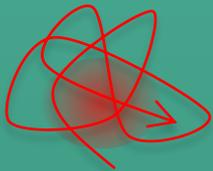
Acceptance hardly occurs
with the random sampling



An algorithm that “moves” only around
the hot spot is necessary



Hybrid Monte-Carlo method
(heat-bath method for pure YM)



integral space

Problem in Lattice QCD 1

Each step of the HMC need
a matrix inversion of

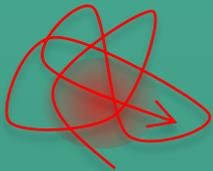
$$(i\gamma_\mu D_\mu - m)^{-1}$$



Larger numerical cost when the difference
of the min/max eigenvalues are larger.



Larger numerical cost for smaller quark masses.



integral space

Problem in Lattice QCD 2

$$\int \mathcal{D}\phi \mathcal{O} e^{-S[\phi(x)]}$$

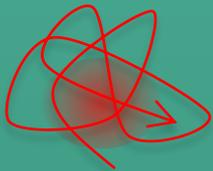
Importance sampling is applicable only when the action S is real and positive.



Complex action cannot be handled.

“Sign Problem”
(complex-phase problem)

- Real-time simulation
- Nonzero density ($\mu \neq 0$)



integral space

Sign Problem at $\mu \neq 0$

$$\mathcal{L} = \bar{\psi}(\gamma_\mu D_\mu + m + \mu\gamma_0)\psi = \bar{\psi}\Delta\psi$$

$$\Delta^\dagger(\mu) = -\gamma_\mu D_\mu + m - \mu^* \gamma_0 = \gamma_5 \Delta(-\mu^*) \gamma_5$$

$$[\det \Delta(\mu)]^* = \det \Delta(-\mu^*)$$

Quark action becomes complex when $\mu \neq 0$.

□ Exceptions

- pure imaginary μ
- $\mu_u = -\mu_d$
- $SU(2)_c$

□ Solutions

- Reweighting, Taylor expansion
- Complex Langevin method
- Lifshitz thimble method
- ...

Reweighting

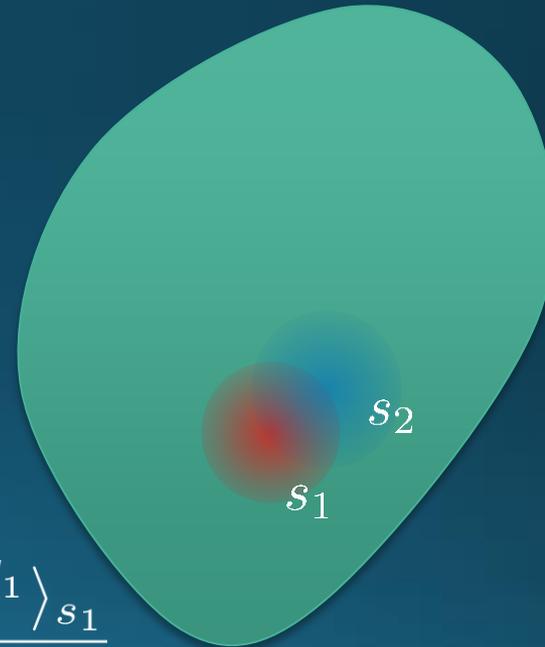
$$\frac{1}{Z} \int \mathcal{D}\phi \mathcal{O} e^{-S[\phi(x);s]} \quad : \text{Action depends on a parameter } s$$

□ Monte-Carlo simulation at $s = s_1$

$$\langle \mathcal{O} \rangle_{s_1} = \frac{1}{Z_1} \int \mathcal{D}\phi \mathcal{O} e^{-S[\phi(x);s_1]}$$

□ Measurement at $s = s_2$

$$\begin{aligned} \langle \mathcal{O} \rangle_{s_2} &= \frac{1}{Z_2} \int \mathcal{D}\phi \mathcal{O} e^{-S[\phi(x);s_2]} \\ &= \frac{\int \mathcal{D}\phi \mathcal{O} e^{-S_2+S_1} e^{-S_1}}{\int \mathcal{D}\phi e^{-S_2+S_1} e^{-S_1}} = \frac{\langle \mathcal{O} e^{-S_2+S_1} \rangle_{s_1}}{\langle e^{-S_2+S_1} \rangle_{s_1}} \end{aligned}$$



➔ Measurement at $s = s_2$ from the Monte Carlo simulation at $s = s_1$.

➔ Effective when "hot spots" overlaps well

Lattice Spacing a

QCD with zero quark masses

$$\mathcal{L} = \bar{\psi} i \gamma_{\mu} (\partial_{\mu} + ig A_{\mu}) \psi + \frac{1}{2} \text{tr} F_{\mu\nu}^2$$

$$F_{\mu\nu} = \partial_{\mu} A_{\nu} - \partial_{\nu} A_{\mu} + ig [A_{\mu}, A_{\nu}]$$

g is the only parameter. No dimensionful parameters.

Physical scale arises from quantum effects.



Relation b/w g and the lattice spacing a must be determined through the measurement of physical observables.

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Quantum Statistical Mechanics

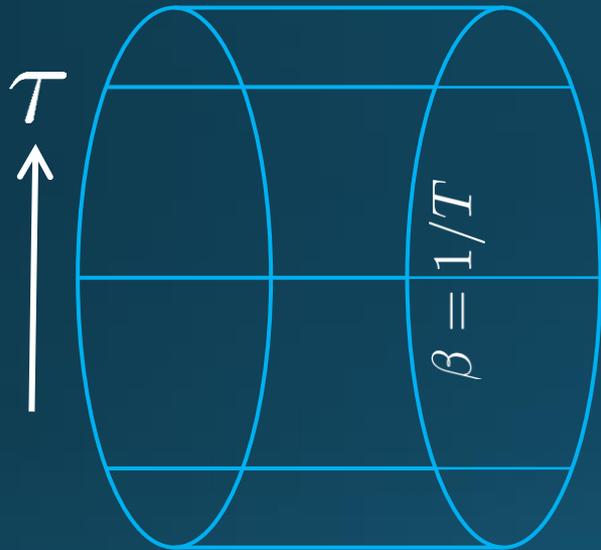
The most important formulae in QSM

$$\rho = \frac{1}{Z} e^{-\beta(H - \mu N)} \quad : \text{density matrix}$$

$$Z = \text{Tr} e^{-\beta(H - \mu N)} \quad : \text{partition function}$$

$$\langle O \rangle = \text{Tr}[O\rho]$$

QFT @ Nonzero T



$$\begin{aligned} Z &= \text{Tr} e^{-\beta H} = \sum_n \langle n | e^{-\beta H} | n \rangle \\ &= \int \mathcal{D}\phi e^{-S_T} \end{aligned}$$

(Anti-)periodic BC along τ direction
= Nonzero T system

$$\langle \mathcal{O} \rangle_T = \frac{1}{Z} \int \mathcal{D}\phi \mathcal{O} e^{-S_T}$$



Thermodynamics

$$\left\{ \begin{array}{l} \text{Energy density: } \langle T_{00} \rangle_T \\ \text{Pressure: } \langle T_{11} \rangle_T \end{array} \right.$$

Suzuki, 2013; FlowQCD, 2014

Thermodynamics

Thermodynamic Relations

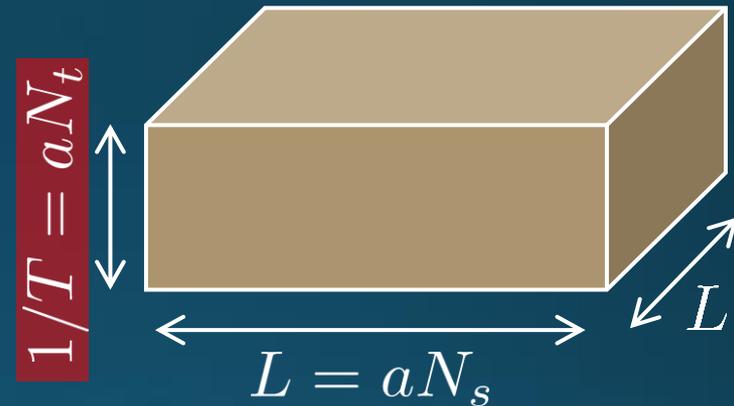
$$\varepsilon = \frac{T^2}{V} \frac{\partial \ln Z}{\partial T} \quad p = T \frac{\partial \ln Z}{\partial V}$$

ε and p are obtained from T, V derivatives of $\ln Z$.



Derivative w.r.t. lattice spacing a with fixed $N_s^3 \times N_t$
→ Simultaneous variations of V and $1/T$.

$$a \frac{\partial \ln Z}{\partial a} \sim \frac{V}{T} (\varepsilon - 3p)$$



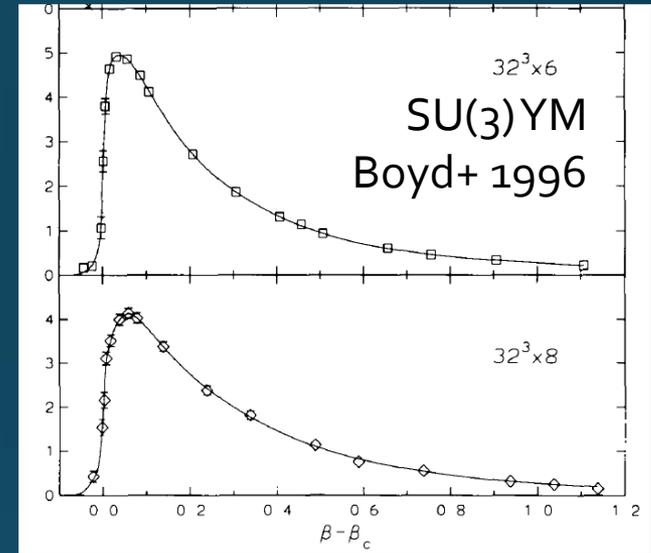
Integral Method

$$\frac{\partial \ln Z}{\partial a} = \frac{\partial \beta}{\partial a} \frac{\partial \ln Z}{\partial \beta} \sim \frac{\partial \beta}{\partial a} \langle S \rangle$$

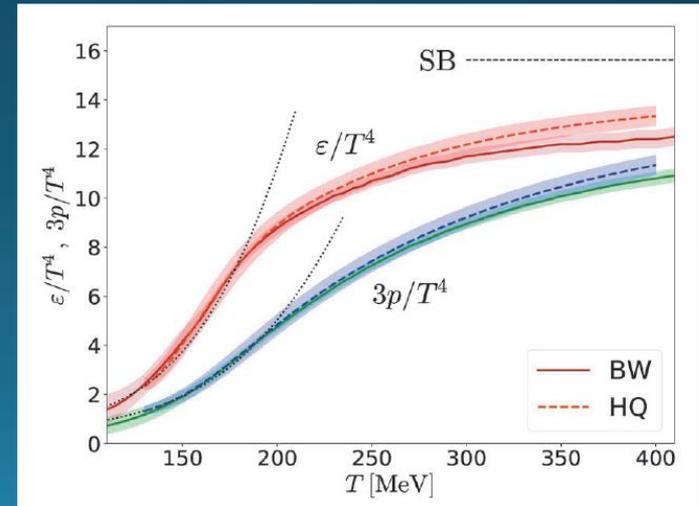
$$T \frac{\partial(p/T^4)}{\partial T} = \frac{\varepsilon - 3p}{T^4}$$



$$\frac{p}{T^4} = \int_{T_0}^T dT \frac{\varepsilon - 3p}{T^5}$$



QCD Thermodynamics



Thermodynamics of SU(3) YM

□ Integral method

- Most conventional / established
- Use thermodynamic relations
Boyd+ 1995; Borsanyi, 2012

$$p = \frac{T}{V} \ln Z$$

$$T \frac{\partial(p/T^4)}{\partial T} = \frac{\varepsilon - 3p}{T^4}$$

□ Gradient-flow method

- Take expectation values of EMT
FlowQCD, 2014, 2016

$$\begin{cases} \varepsilon = \langle T_{00} \rangle \\ p = \langle T_{11} \rangle \end{cases}$$

□ Moving-frame method

Giusti, Pepe, 2014~

□ Non-equilibrium method

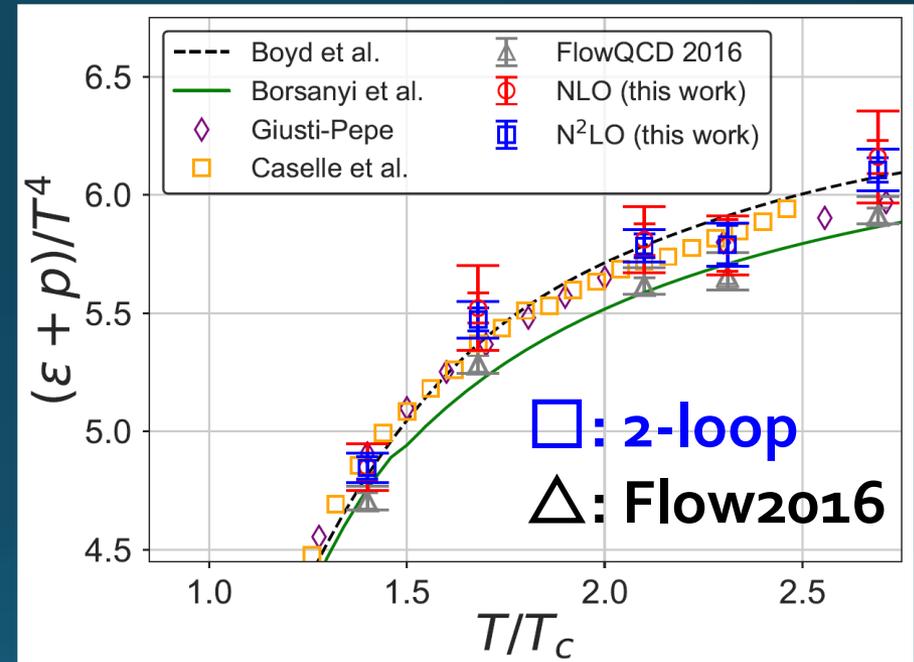
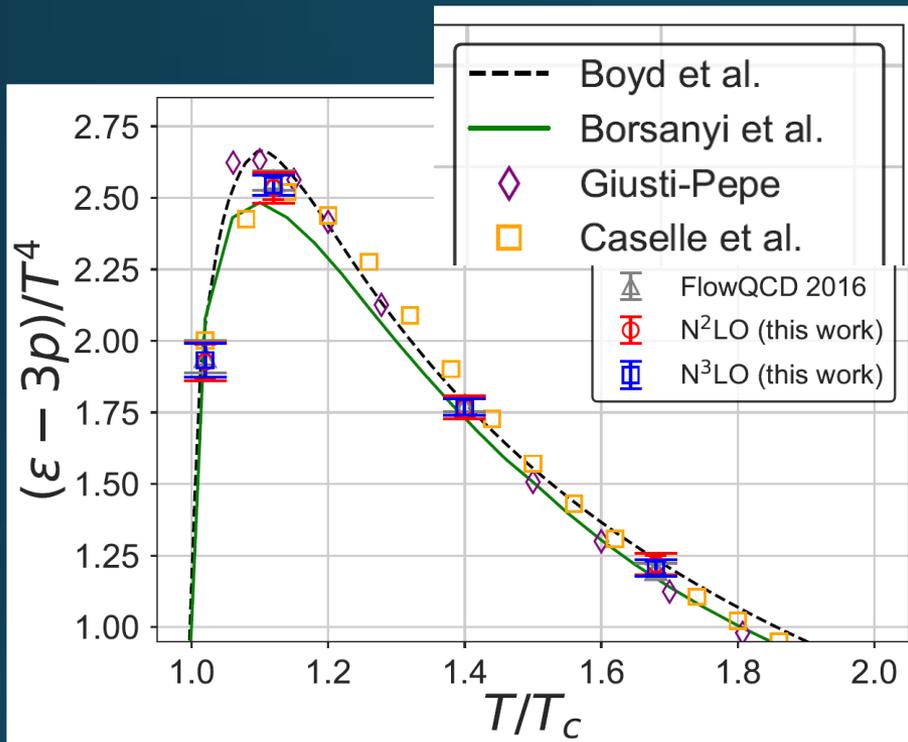
- Use Jarzynski's equality Caselle+, 2016;2018

□ Differential method

Shirogane+(WHOT-QCD), 2016~

SU(3) Thermodynamics: Comparison

Iritani, MK, Suzuki, Takaura, 2019



Boyd+:1996 / Borsanyi+: 2012

- All results agree well.
- But, the results of integral method has a discrepancy. (Older result looks better...)

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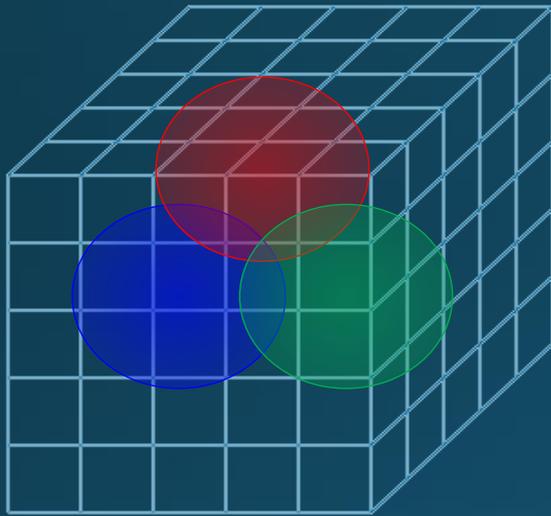
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Analytic Continuation

□ **Lattice:** imaginary time

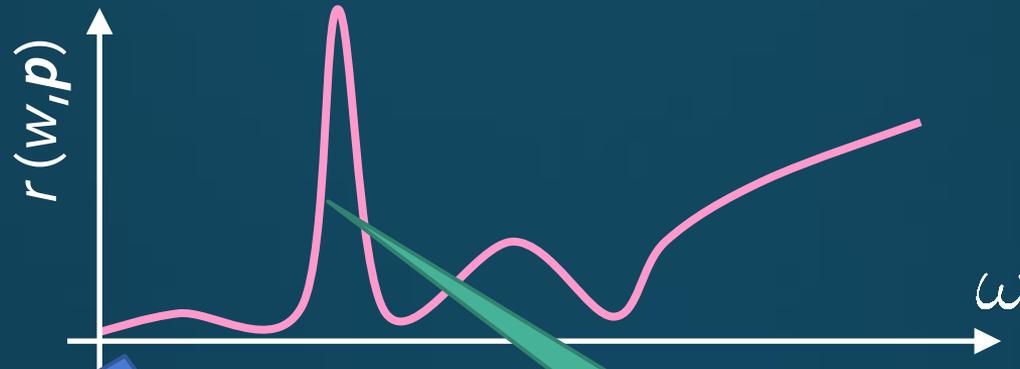


□ **Dynamics:** real time



Real-time info. have to be extracted from the correlation funcs. in **imaginary time**.

Spectral Function



slope at the origin

→ transport coefficients

Kubo formulae $\eta \sim \lim_{\omega \rightarrow 0} \frac{1}{\omega} \rho(\omega)$

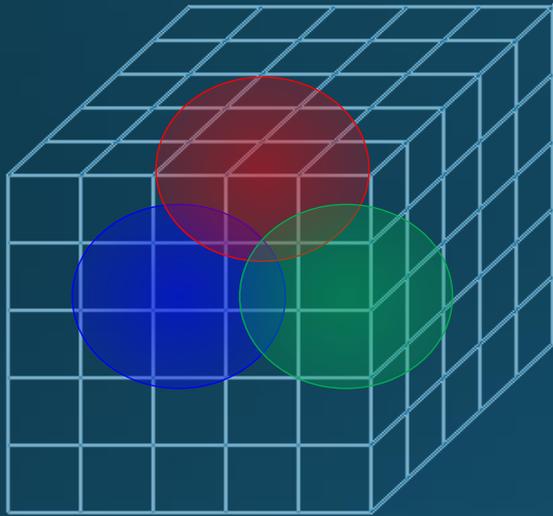
- shear viscosity : T_{12}
- bulk viscosity : T_{mm}
- electric conductivity : J_{ii}

peaks

quasi-particle excitation
width ~ decay rate

Analytic Continuation

□ **Lattice:** imaginary time



$$\tilde{G}(\tau, \mathbf{k})$$

discrete and noisy

□ **Dynamics:** real time



$$\rho(\omega, \mathbf{k})$$

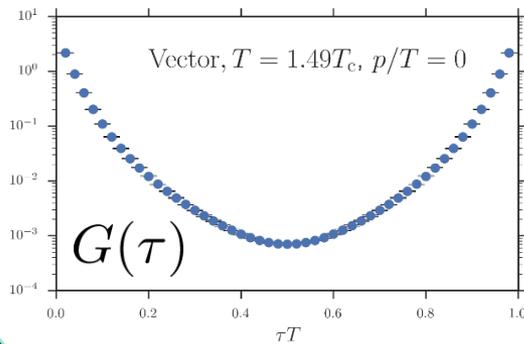
continuous

$$\tilde{G}(\tau) = \int d\omega \frac{e^{(\beta/2 - \tau)\omega}}{e^{\beta\omega/2} + e^{-\beta\omega/2}} \rho(\omega)$$

Maximum Entropy Method

Asakawa, Nakahara
Hatsuda, 2001

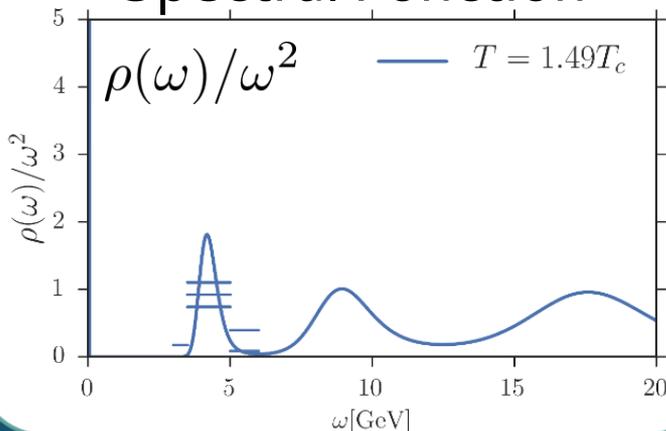
Lattice data



$$G(\tau) = \int_0^\infty d\omega \frac{\cosh(1/2T - \tau)\omega}{\sinh(\omega/2T)} \rho(\omega)$$

“ill-posed problem”

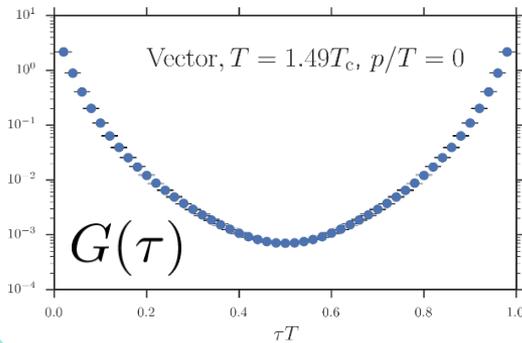
Spectral Function



Maximum Entropy Method

Asakawa, Nakahara
Hatsuda, 2001

Lattice data

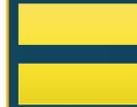


Bayes
theorem



Prior probability

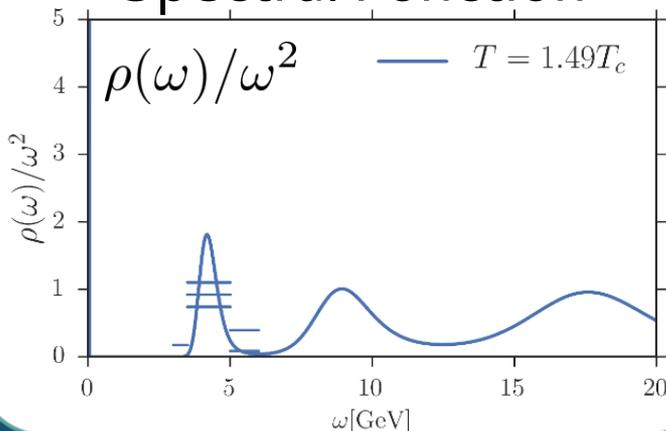
- Shannon-Jaynes entropy
- default model $m(\omega)$



Probability
of $\rho(\omega)$

$$P[\rho(\omega), \alpha]$$

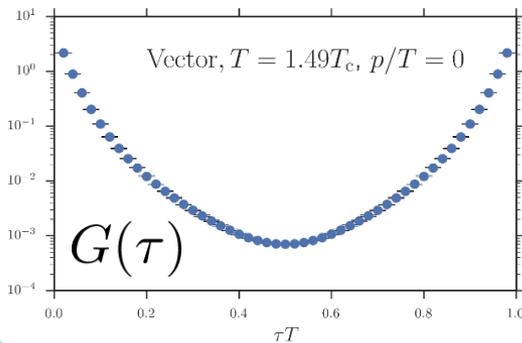
Spectral Function



Maximum Entropy Method

Asakawa, Nakahara
Hatsuda, 2001

Lattice data



Bayes
theorem



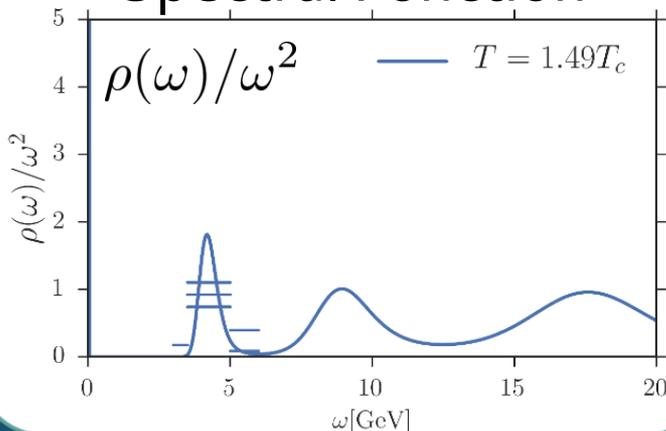
Prior probability

- Shannon-Jaynes entropy
- default model $m(\omega)$

Probability of $\rho(\omega)$

$$P[\rho(\omega), \alpha]$$

Spectral Function



expectation value

$$\langle \rho(\omega) \rangle_P$$

$$\langle \mathcal{O} \rangle_P = \int d\alpha \int [d\rho] P[\rho, \alpha] \mathcal{O}$$

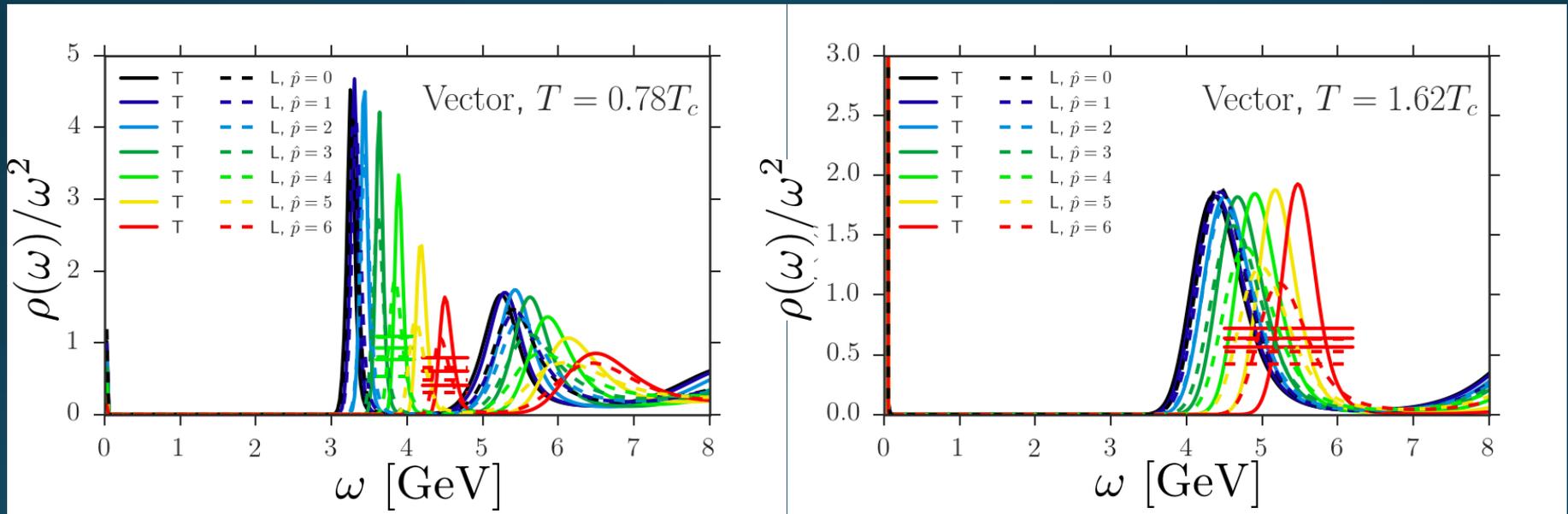
- Output of MEM is just an expectation value.
- Error analysis is necessary!!!

Charmonium SPC

Ikeda, Asakawa, MK

PRD 2017

Spectral function of J/ψ

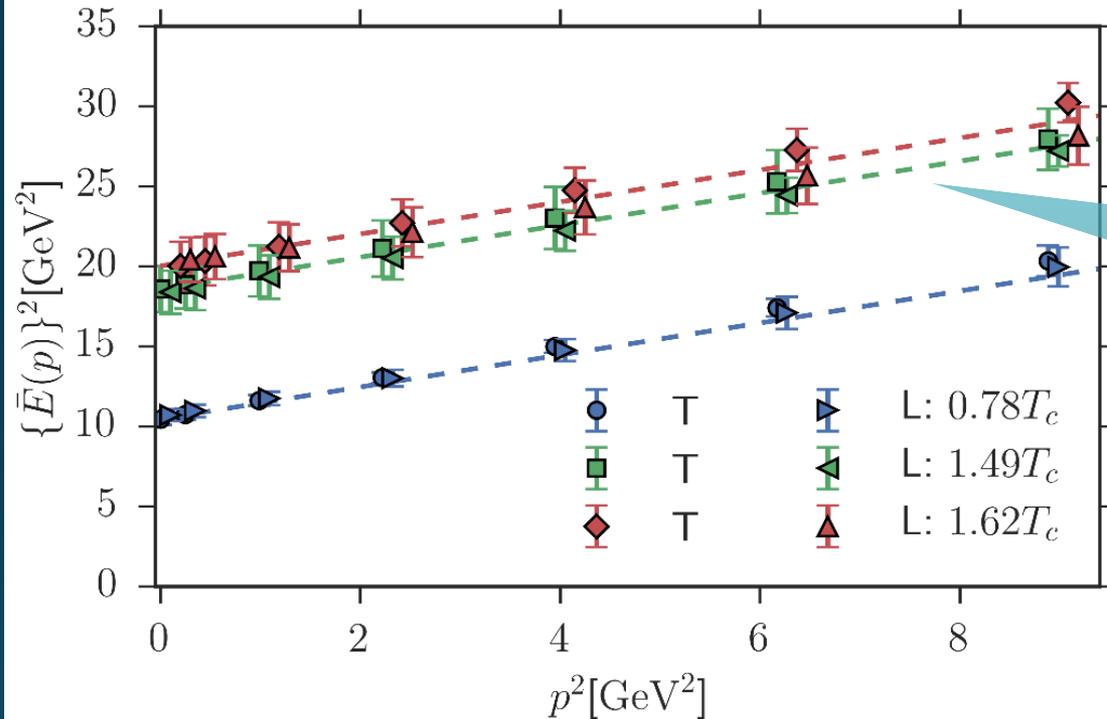


□ Transverse/longitudinal decomposed

□ Mass enhancement in medium?

Dispersion Relation of Charmonia

Ikeda, Asakawa, MK
PRD 2017



Disp. Rel. in vacuum

$$E = \sqrt{p^2 + m^2}$$

- Large mass enhancement at nonzero T.
- Disp. Rel. of J/ψ is unchanged from the vacuum one.

Contents

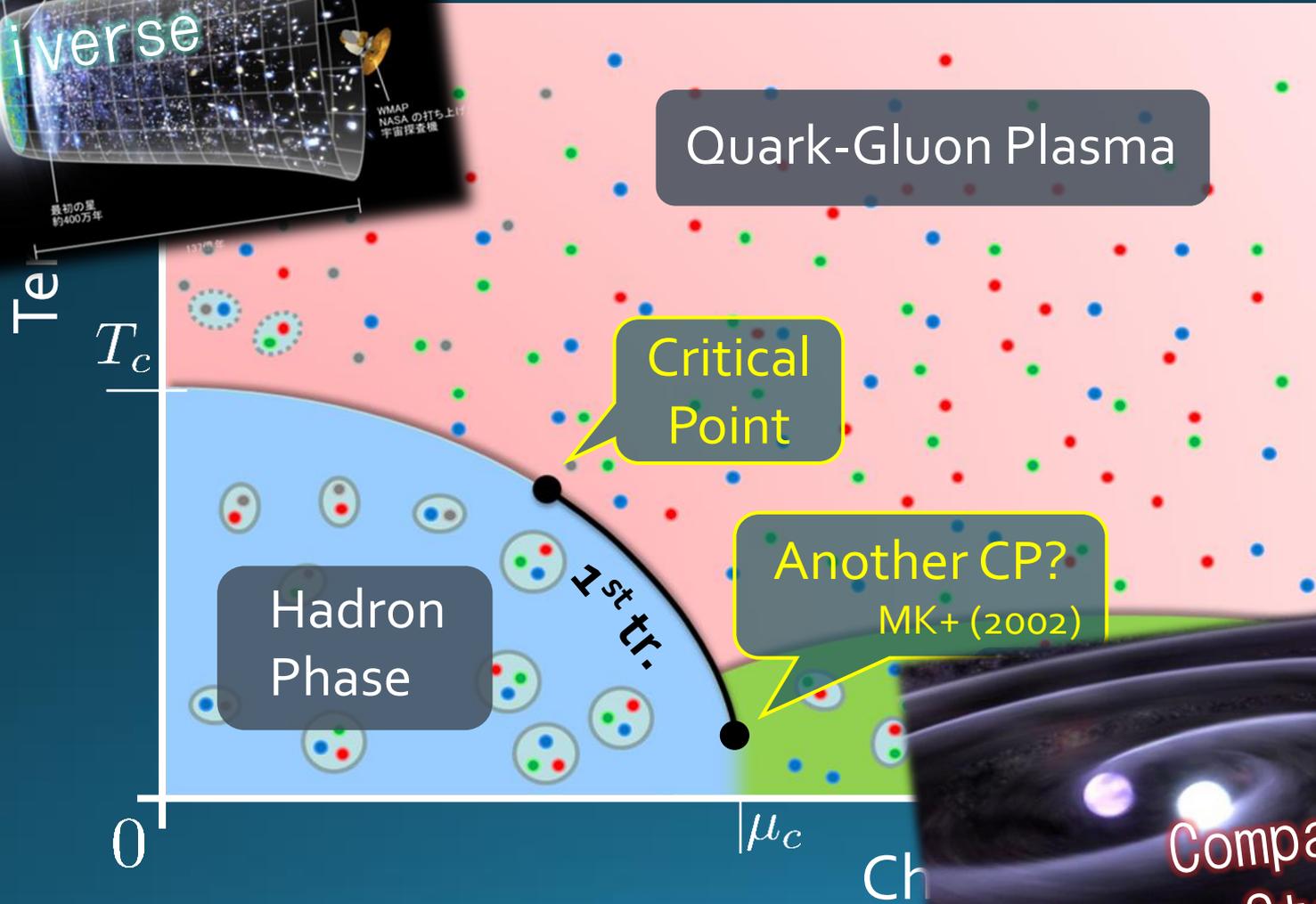
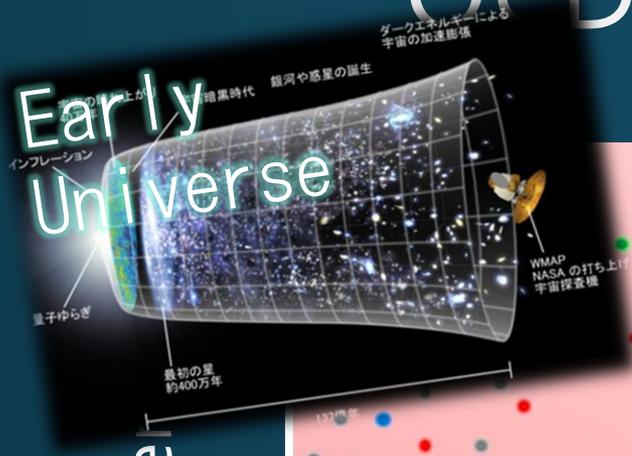
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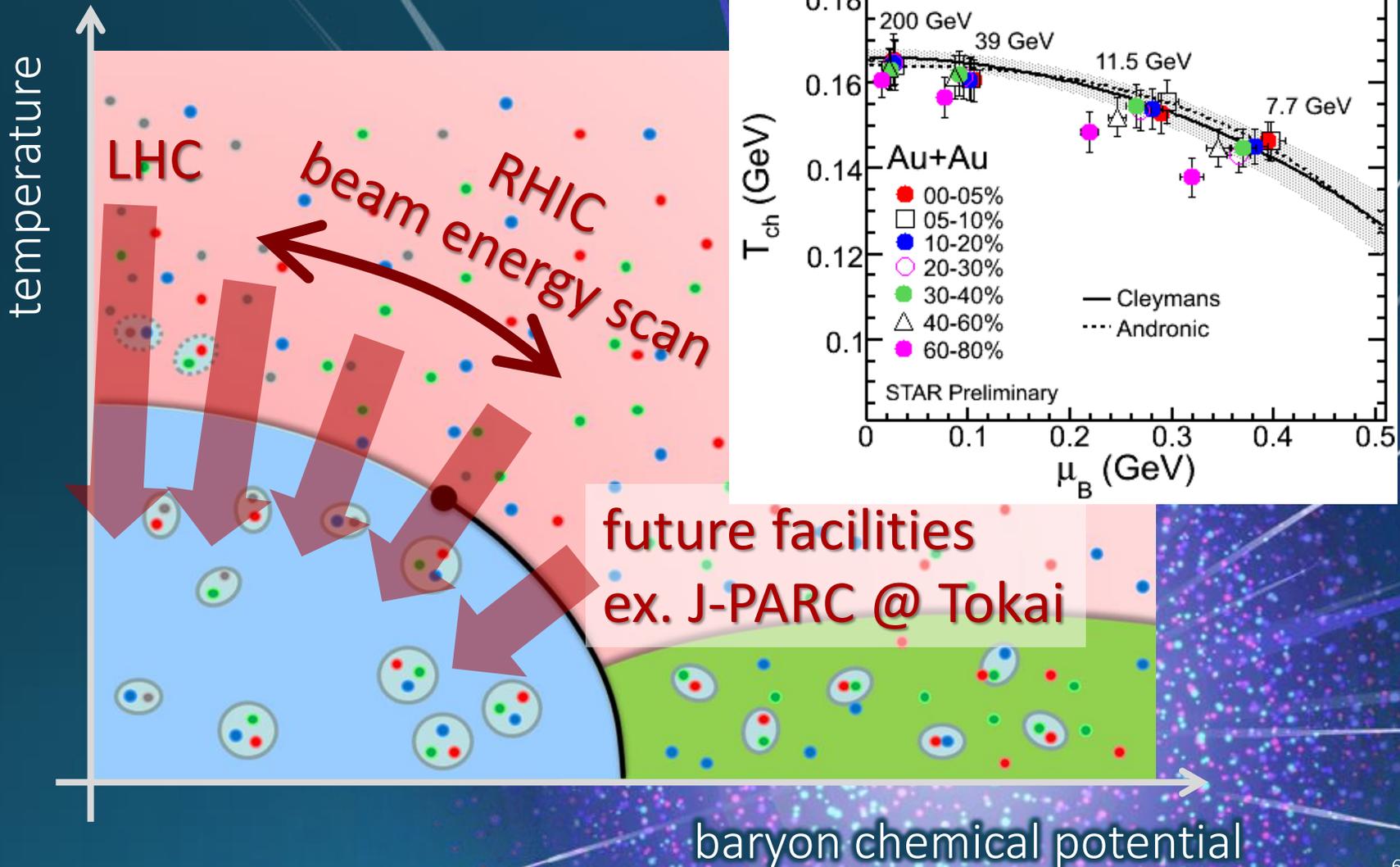
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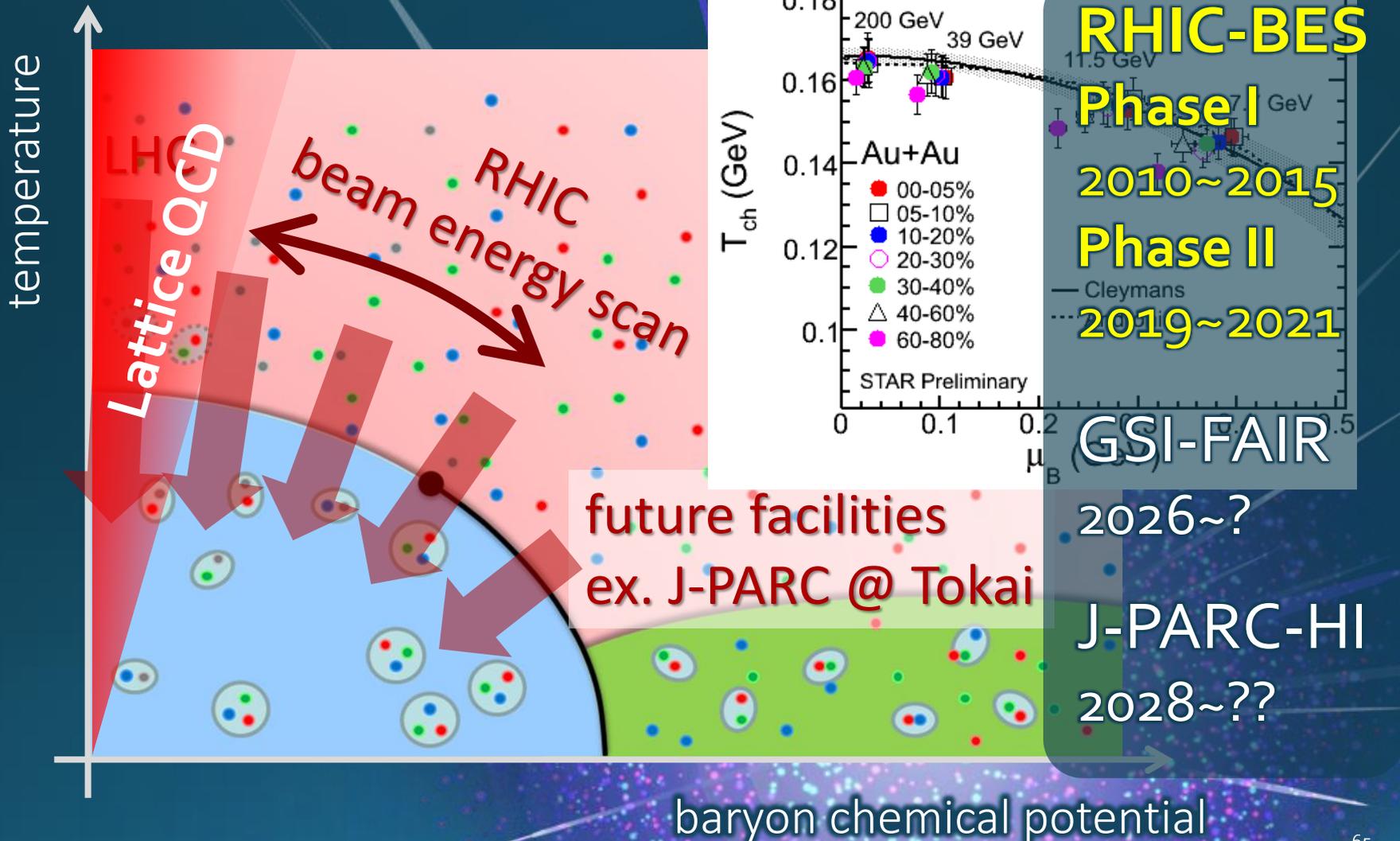
QCD Phase Diagram



Beam-Energy Scan



Beam-Energy Scan



Contents

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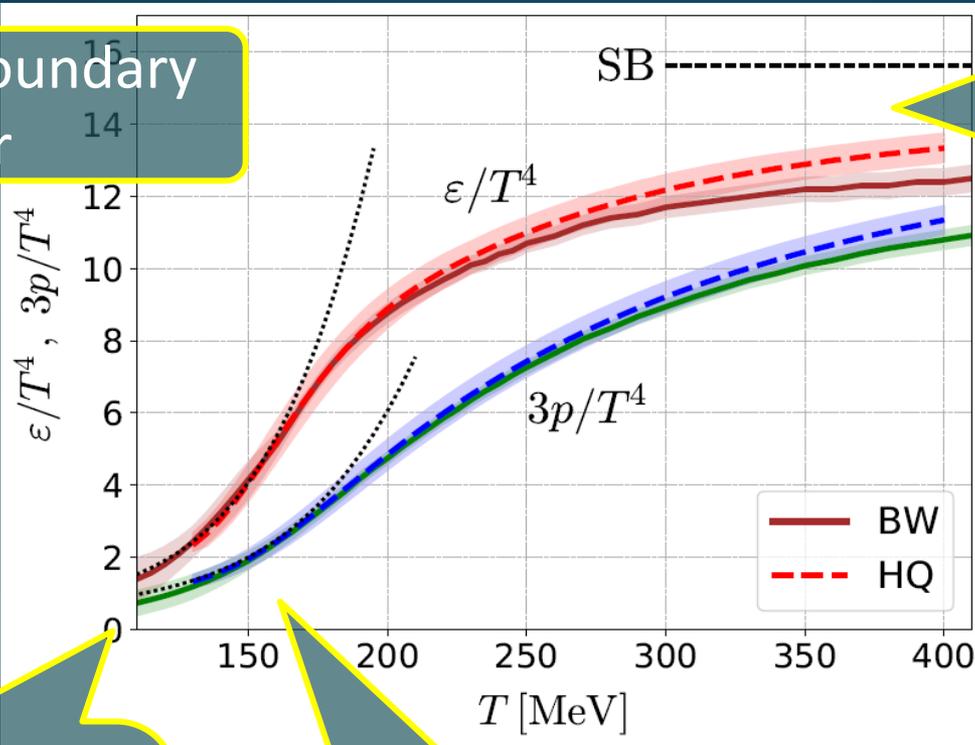
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QCD Thermodynamics

No phase boundary
→ Crossover



High T :
approach to
Stefan-
Boltzmann limit

Budapest-Wuppertal '14,
HotQCD '14

Low T :
consistent w/
hadron resonance
gas model

Sudden increase
around $T \approx 160\text{MeV}$

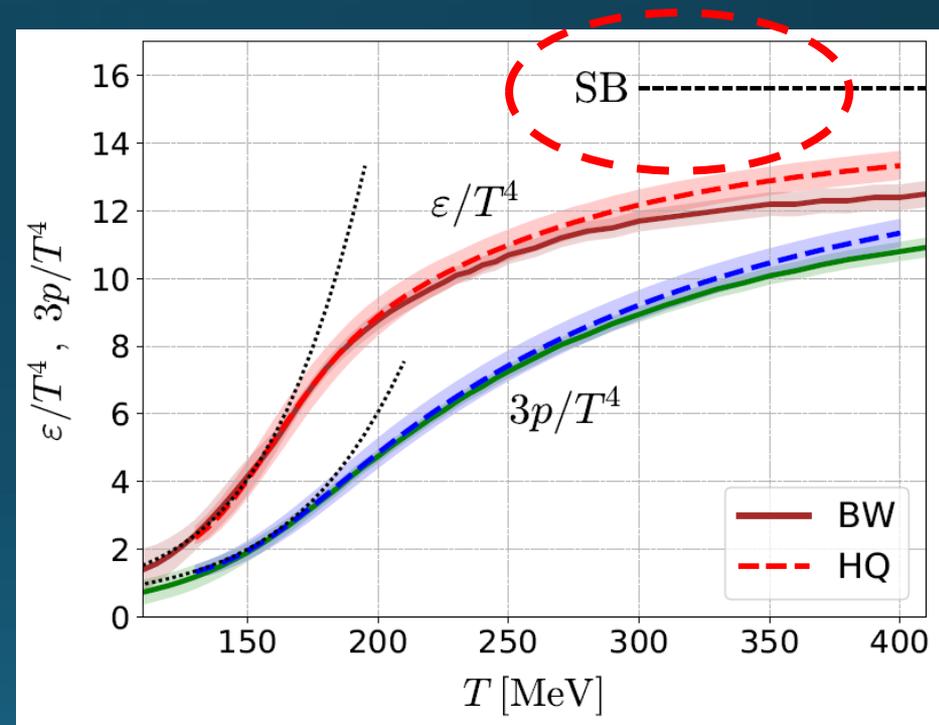
$1\text{eV} \approx 10^4\text{K}$
 $1\text{MeV} \approx 10^{10}\text{K}$
 $100\text{MeV} \approx 1\text{兆K}$

Stefan-Boltzmann Limit

SB limit = Free gas of massless quarks & gluons

$$\epsilon = \left(16 + \frac{21}{2}N_f\right) \frac{\pi^2}{30} T^4$$

$$\epsilon = 3p$$



$$\epsilon_{\text{free}} = g \int \frac{d^3 p}{(2\pi)^3} \frac{p}{e^{p/T} \pm 1} = \frac{\pi^2}{30} T^4$$

Hadron Resonance Gas (HRG) Model

= Free gas composed of all known hadrons

$$\epsilon = \sum_{i=\text{hadrons}} \epsilon_i$$

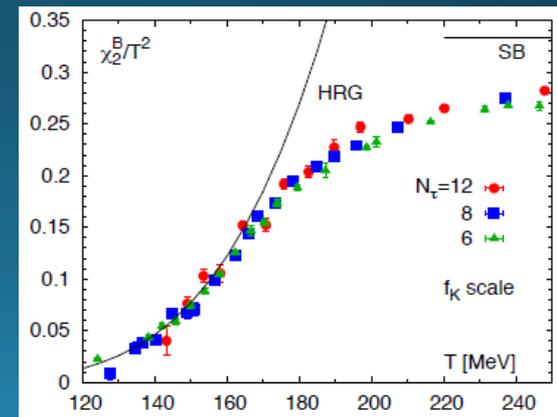
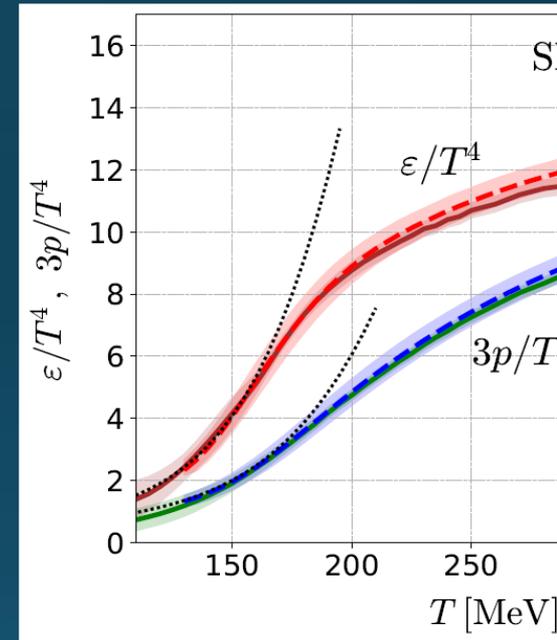
$$\epsilon_i = \int \frac{d^3p}{(2\pi)^3} \frac{E_p^{(i)}}{e^{E_p^{(i)}/T} \pm 1}$$

$$E_p = \sqrt{m^2 + p^2}$$

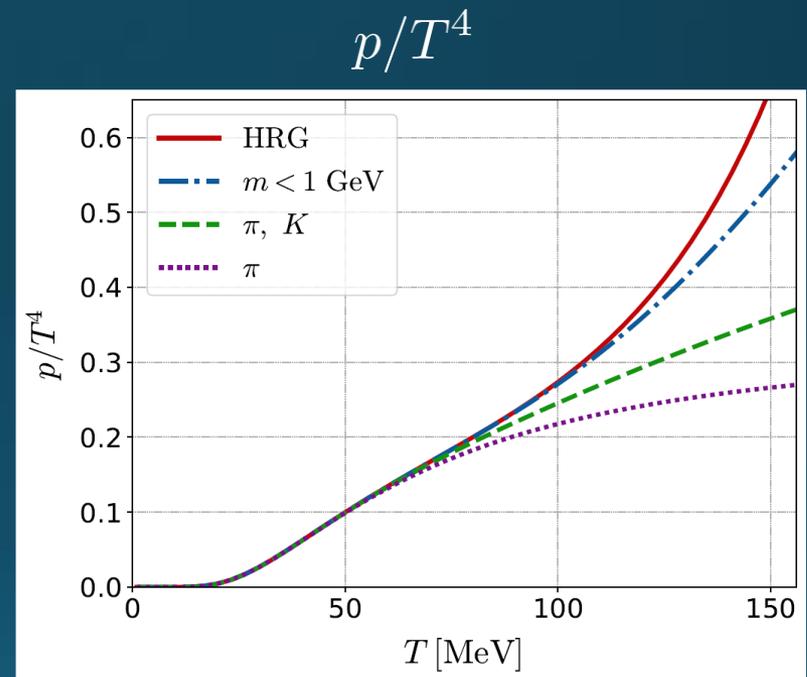
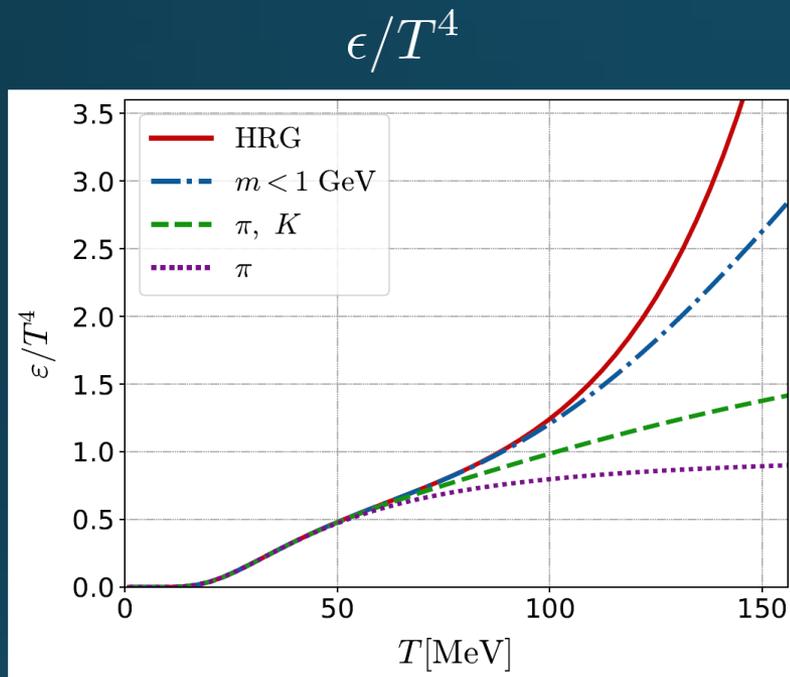
HRG reproduces QCD thermodynamics for $T < 160\text{MeV}$ quite well

Particle data group

• π^\pm	$1^-(0^-)$
• π^0	$1^-(0^-+)$
• η	$0^+(0^-+)$
• $f_0(500)$	$0^+(0^{++})$
• $\rho(770)$	$1^+(1^{--})$
• $\omega(782)$	$0^-(1^{--})$
• $\eta'(958)$	$0^+(0^-+)$
• $f_0(980)$	$0^+(0^{++})$
• $a_0(980)$	$1^-(0^{++})$
• $\phi(1020)$	$0^-(1^{--})$
• $h_1(1170)$	$0^-(1^{+-})$
• $b_1(1235)$	$1^+(1^{+-})$
• $a_1(1260)$	$1^-(1^{++})$
• $f_2(1270)$	$0^+(2^{++})$
• $f_1(1285)$	$0^+(1^{++})$
• $\eta(1295)$	$0^+(0^-+)$
• $\pi(1300)$	$1^-(0^-+)$
• $a_2(1320)$	$1^-(2^{++})$
• $f_0(1370)$	$0^+(0^{++})$
• $h_1(1380)$	$?^-(1^{+-})$
• $\pi_1(1400)$	$1^-(1^-+)$



HRG Model 2: Exercise in Phthon3



sample codes: <https://www.dropbox.com/sh/tojgef5khp5cb7h/AABiBSFtP8j>

code: <https://github.com/MasakiyoK/Saizensen/Chap3/>

List of hadrons: Bollweg+, PRD104, 7 ('21) <https://arxiv.org/abs/2107.10011>

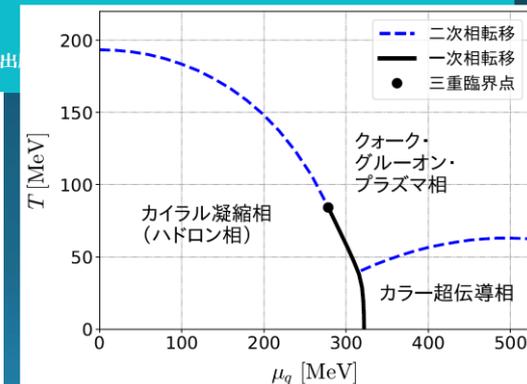
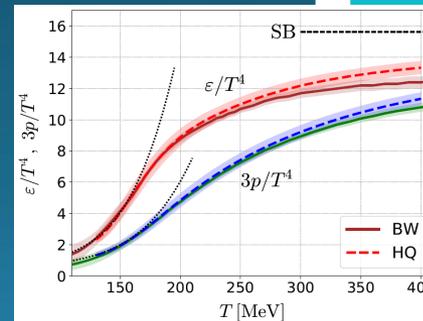
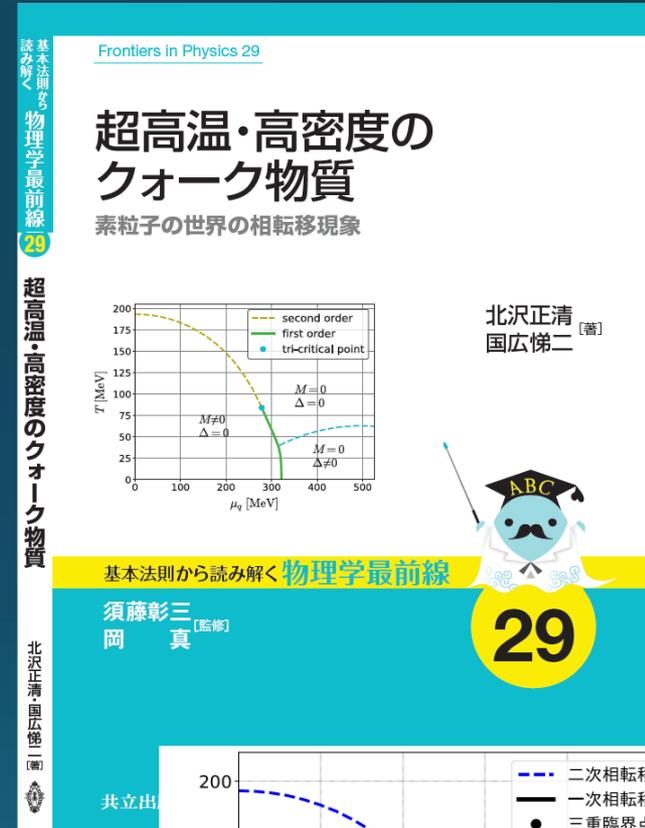
Advertising

A book "Quark matter at extreme conditions: Phase transitions in the world of elementary particles" will come soon (end of August)!

- Intro. to hot and dense QCD
- Relativistic heavy-ion collisions
- BCS theory
- Phase diagram in NJL model
- Linear response, collective modes
- Color superconductivity

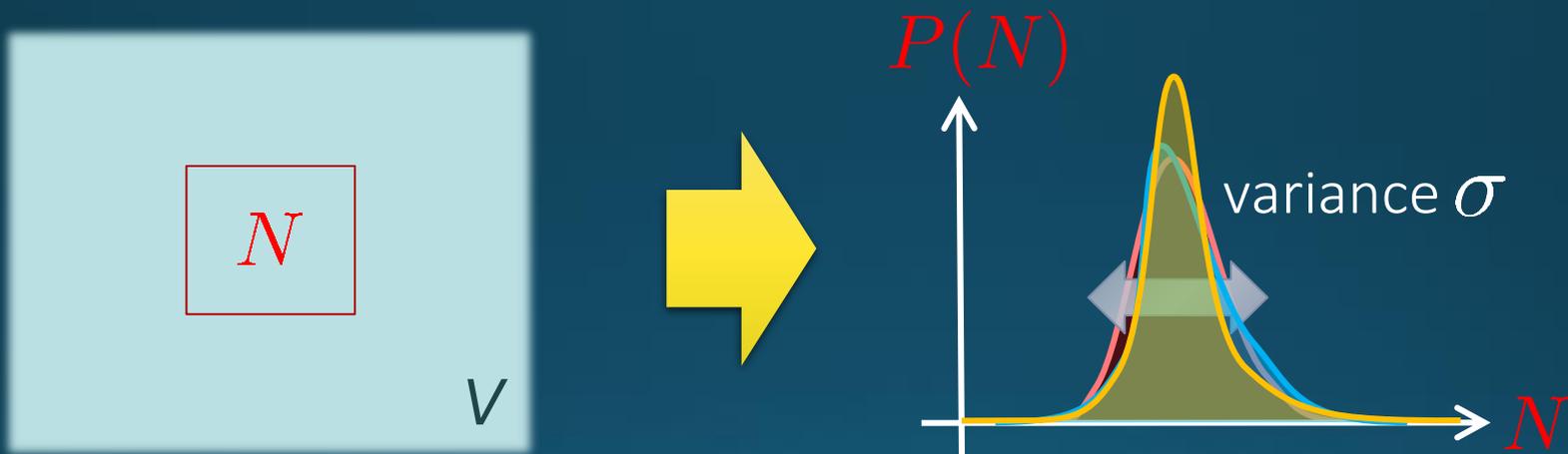
□ Numerical codes in Python

Codes at:
<https://github.com/MasakiyoK/Saizensen>



Thermal Fluctuations

Observables in equilibrium are fluctuating!



Enhancement & sign change of higher order cumulants will be used for the signal of the QCD critical point.

Stephanov, '09; Asakawa, Ejiri, MK, '09

Cumulants

Cumulants

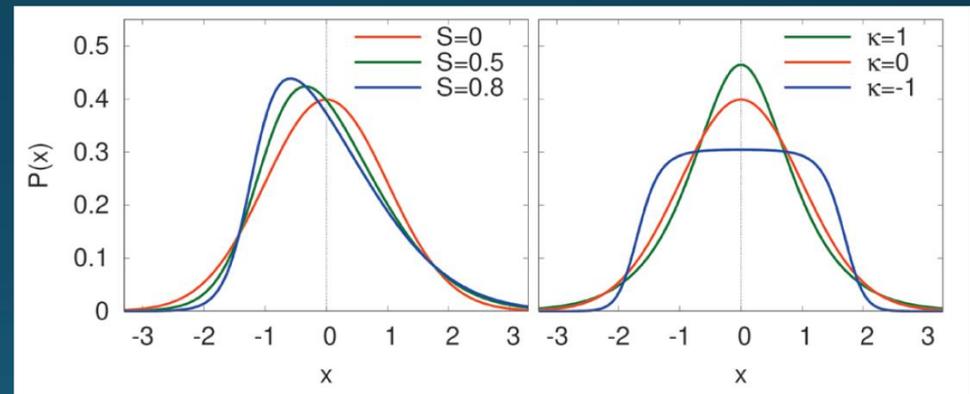
$$\left\{ \begin{array}{ll} \langle N \rangle_c = \langle N \rangle & \text{average} \\ \langle N^2 \rangle_c = \langle \delta N^2 \rangle & \text{variance} \\ \langle N^3 \rangle_c = \langle \delta N^3 \rangle & \\ \langle N^4 \rangle_c = \langle \delta N^4 \rangle - 3\langle \delta N^2 \rangle^2 & \end{array} \right.$$

□ skewness

$$S = \frac{\langle N^3 \rangle_c}{\langle N^2 \rangle_c^{3/2}}$$

□ kurtosis

$$\kappa = \frac{\langle N^4 \rangle_c}{\langle N^2 \rangle_c^2}$$



□ NOTE

- Gauss distribution: $\langle N^3 \rangle_c = \langle N^4 \rangle_c = \dots = 0$
- Poisson distribution: $\langle N^2 \rangle_c = \langle N^3 \rangle_c = \langle N^4 \rangle_c = \dots = \langle N \rangle$

Cumulants of Conserved Charges =Observable on the Lattice

Fluctuation-Response Relations

$$\langle N_B^m \rangle_c = V \chi_m^B$$

Thermal
Fluctuation

Susceptibility

$$\chi_m^B \sim \frac{\partial^m p}{\partial \mu_B^m}$$

$$p(T, \mu) = p(T, 0) + \frac{\chi_2}{2} \left(\frac{\mu}{T} \right)^2 + \dots$$

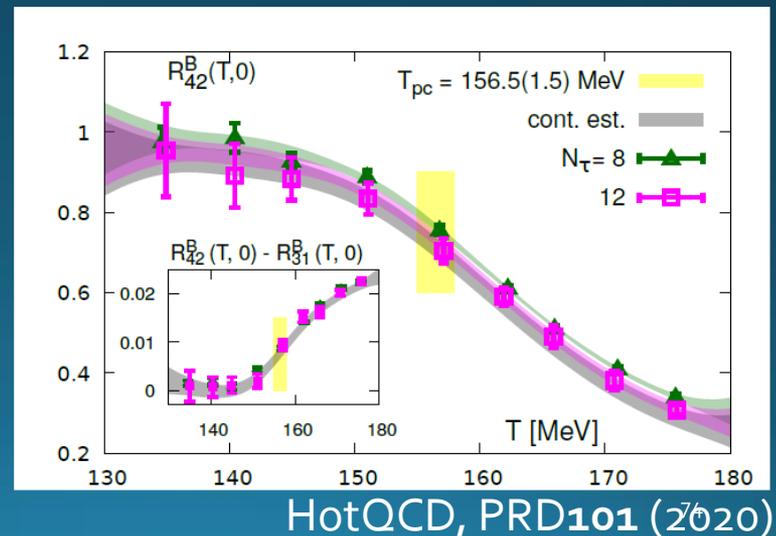
Volume dependence canceled out in ratios

Ejiri, Karsch, Redlich, '05

→ useful for comparison
w/ HIC

Review: Asakawa, MK,
PPNP 90 (2016)

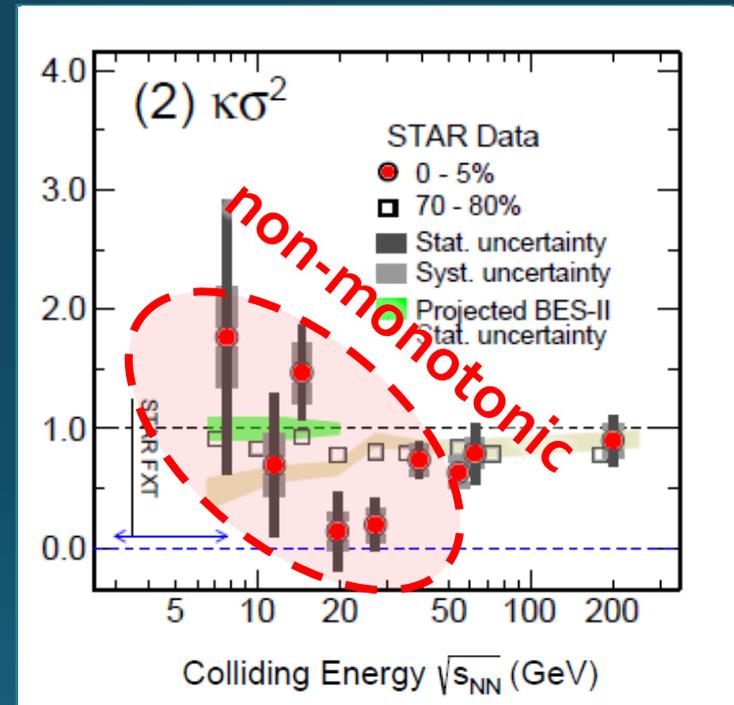
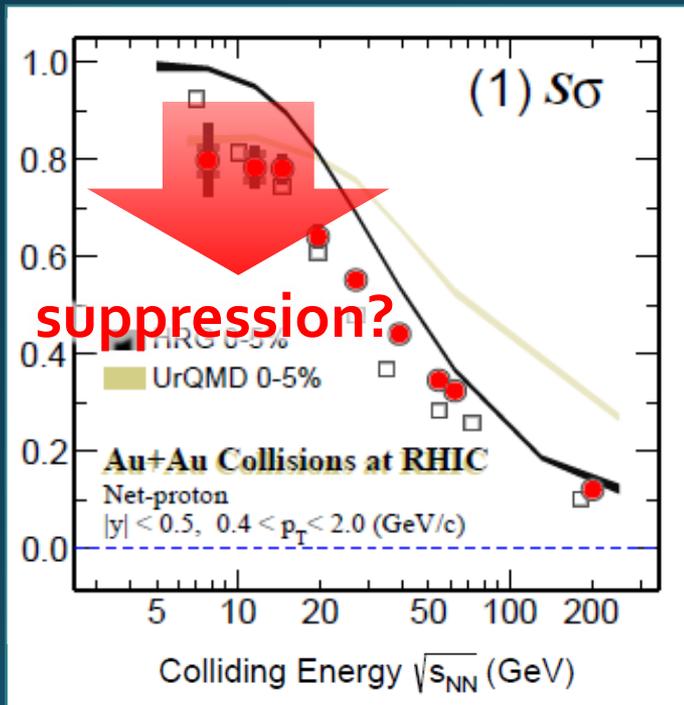
$$\langle N_B^4 \rangle_c / \langle N_B^2 \rangle_c$$



Proton Number Cumulants in HIC

$$\langle N_p^3 \rangle_c / \langle N_p^2 \rangle_c$$

$$\langle N_p^4 \rangle_c / \langle N_p^2 \rangle_c$$



STAR, PRC 2020 [2001.06419]

□ Nonzero and non-Poissonian cumulants are experimentally established.

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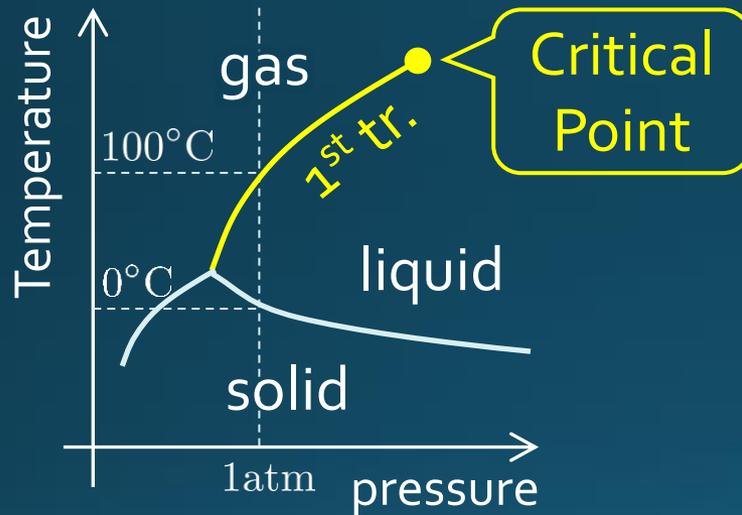
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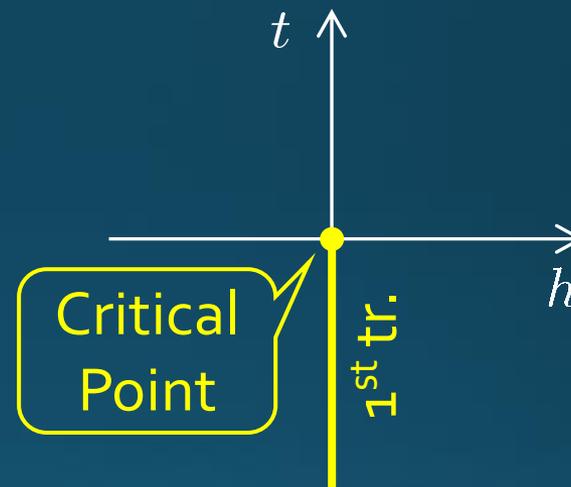
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Critical Points

Water



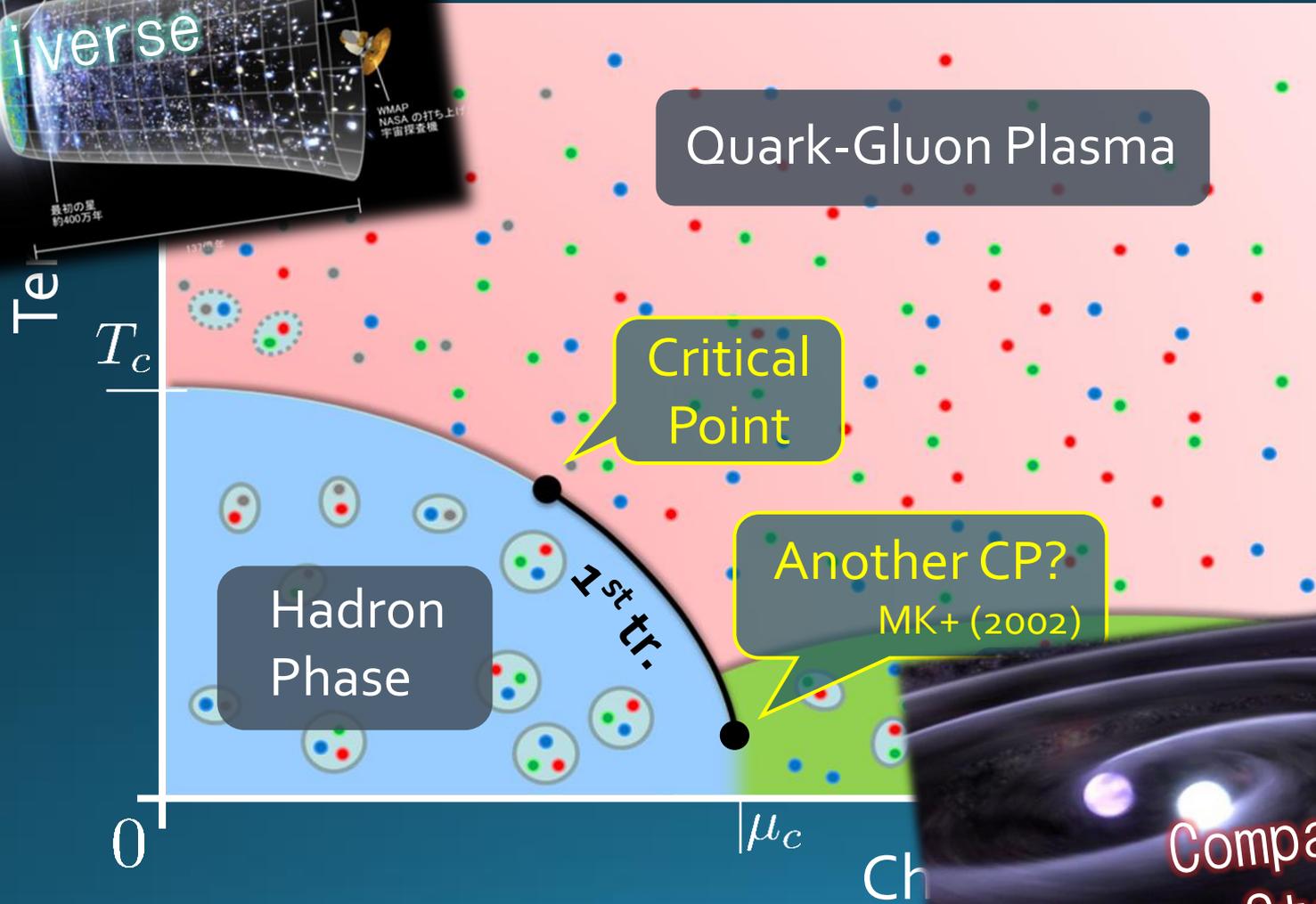
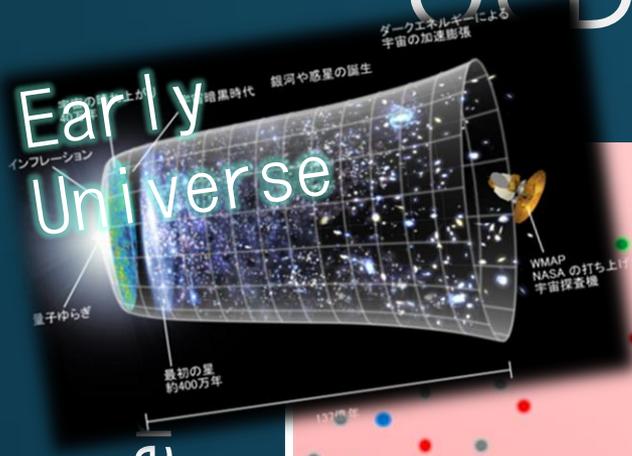
Ising Model



These CPs belong to the same universality class (Z_2).

➔ Common critical exponents.
ex. $C \sim (T - T_c)^{-\alpha}$

QCD Phase Diagram

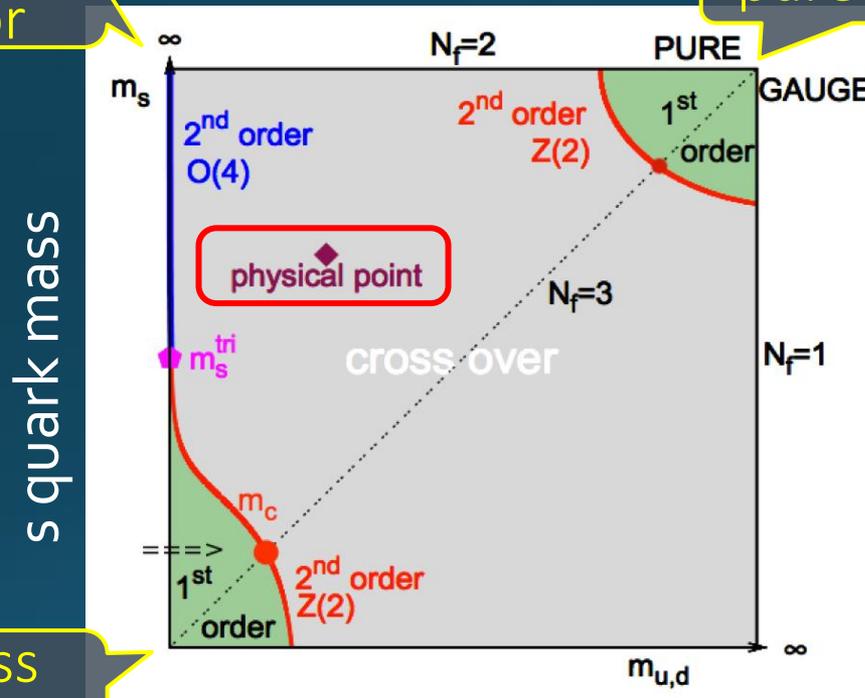


Columbia Plot

= order of phase tr. at $\mu = 0$

massless
2-flavor

pure gauge



s quark mass

massless
3-flavor

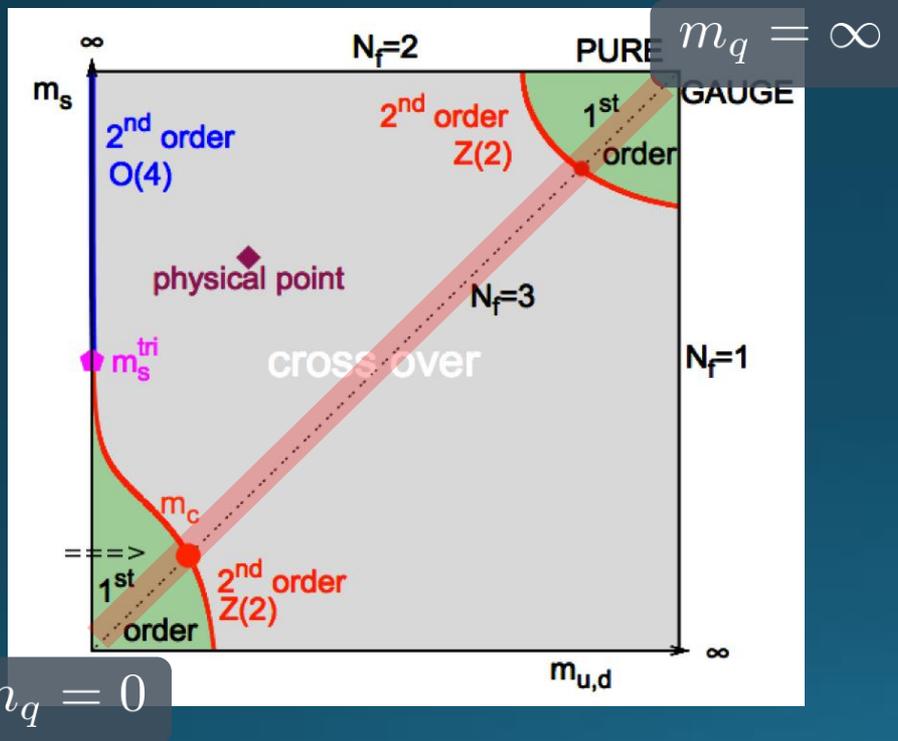
u,d (degenerate) quark mass

Various orders of phase transition with variation of m_q .

Varying Quark Masses

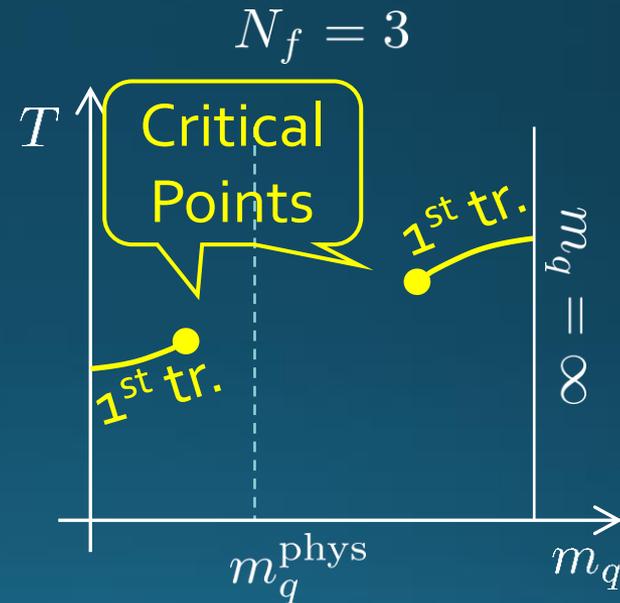
□ Columbia plot

= order of phase tr. at $\mu = 0$

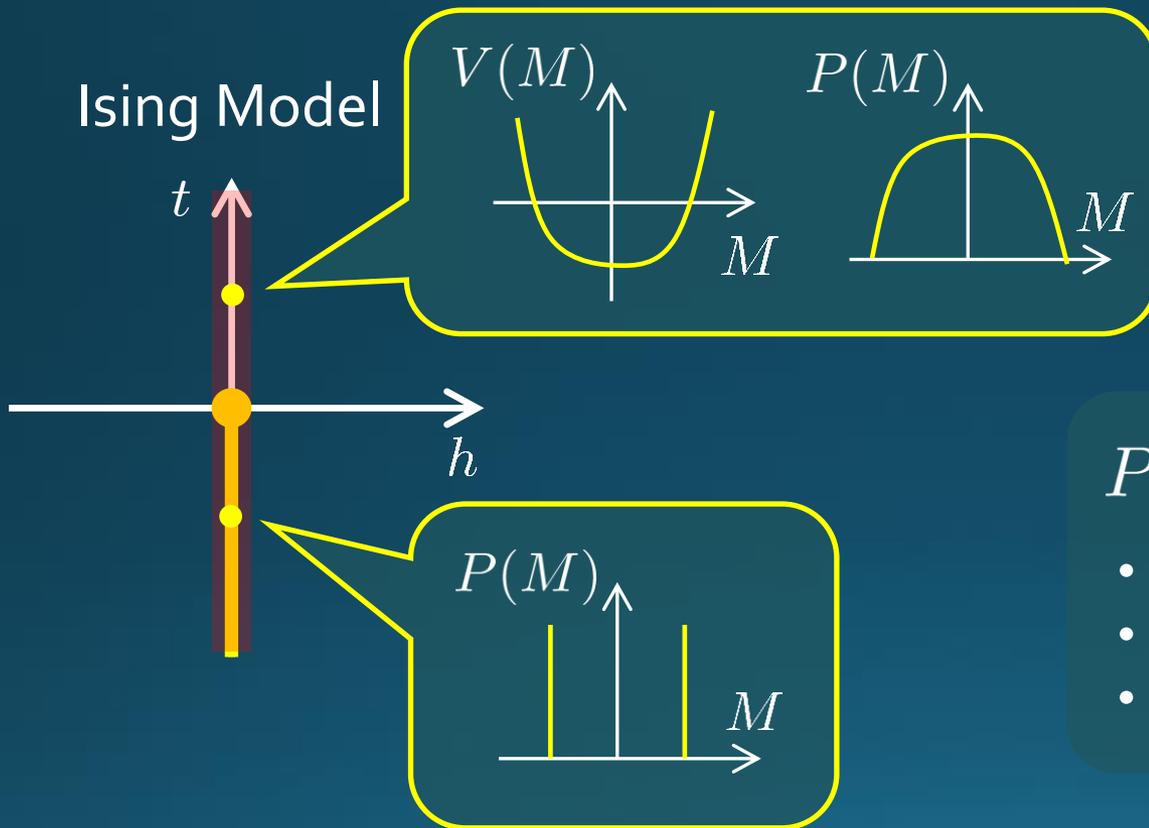


□ Phase Diagram

on the $T - m_q$ plane



Cumulants around Critical Point



$$P(M) \sim e^{-V(M)}$$

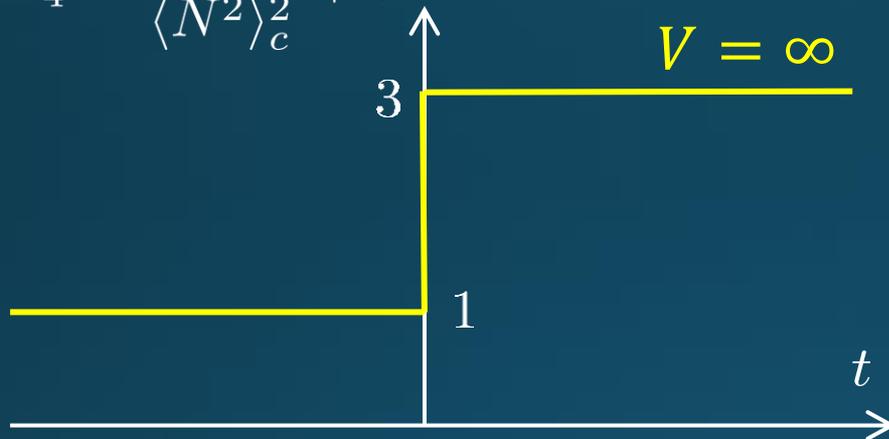
- $P(M)$: probability distr.
- $V(M)$: effective potential
- M : order parameter

- $\langle N^4 \rangle_c$ changes discontinuously at the CP.

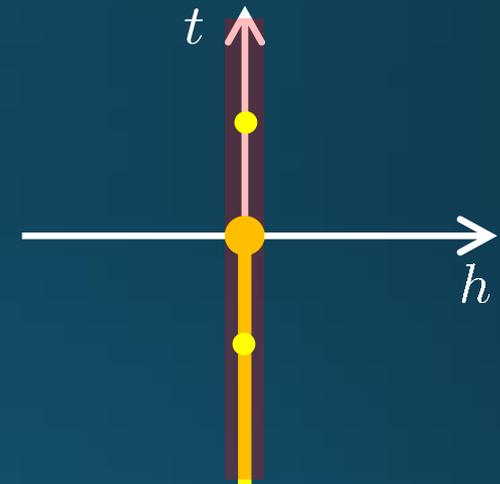
Finite-Volume Effects

Binder Cumulant

$$B_4 = \frac{\langle N^4 \rangle_c}{\langle N^2 \rangle_c^2} + 3$$



Ising Model

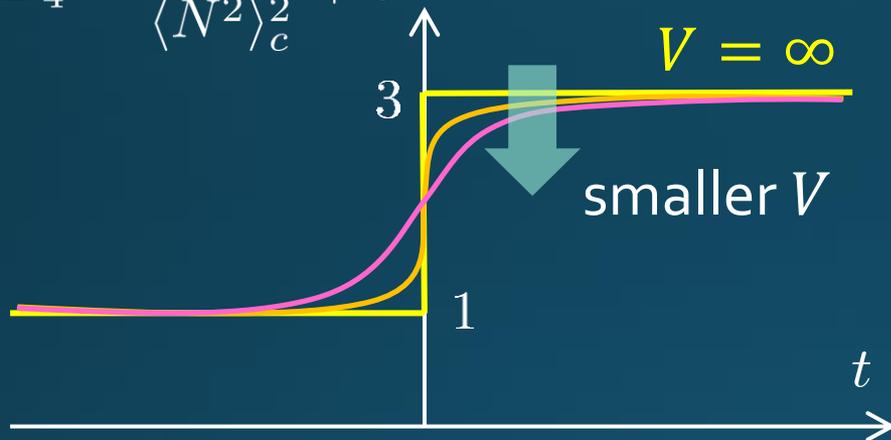


- ❑ Sudden change of B_4 at the CP is smeared by finite V effect.
- ❑ B_4 obtained for various V has crossing at $t = 0$.
- ❑ At the crossing point, $B_4 = 1.604$ in Z_2 universality class.

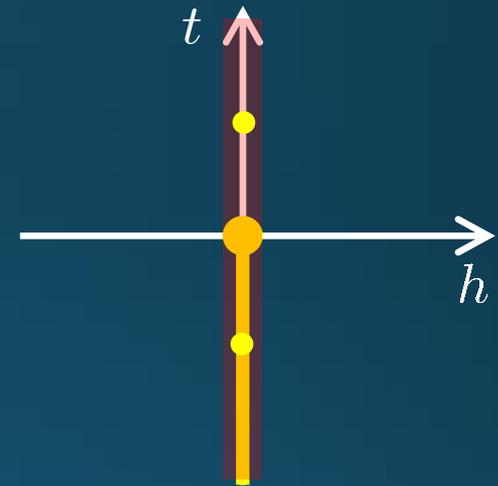
Finite-Volume Effects

Binder Cumulant

$$B_4 = \frac{\langle N^4 \rangle_c}{\langle N^2 \rangle_c^2} + 3$$



Ising Model

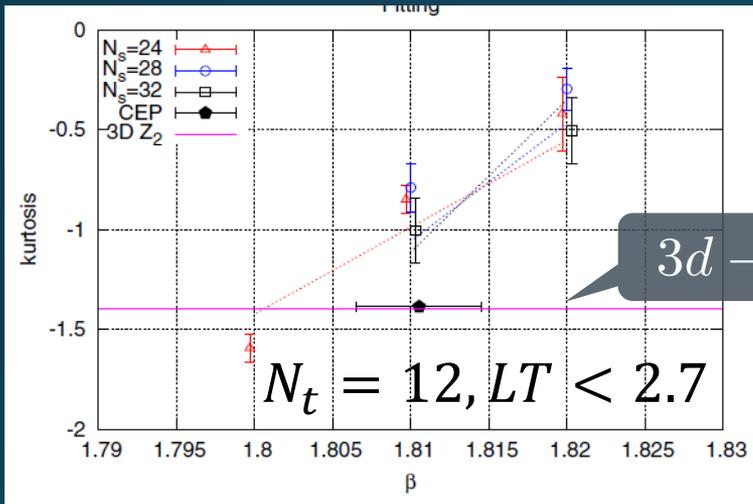


- ❑ Sudden change of B_4 at the CP is smeared by finite V effect.
- ❑ B_4 obtained for various V has crossing at $t = 0$.
- ❑ At the crossing point, $B_4 = 1.604$ in Z_2 universality class.

Binder-Cumulant Analysis

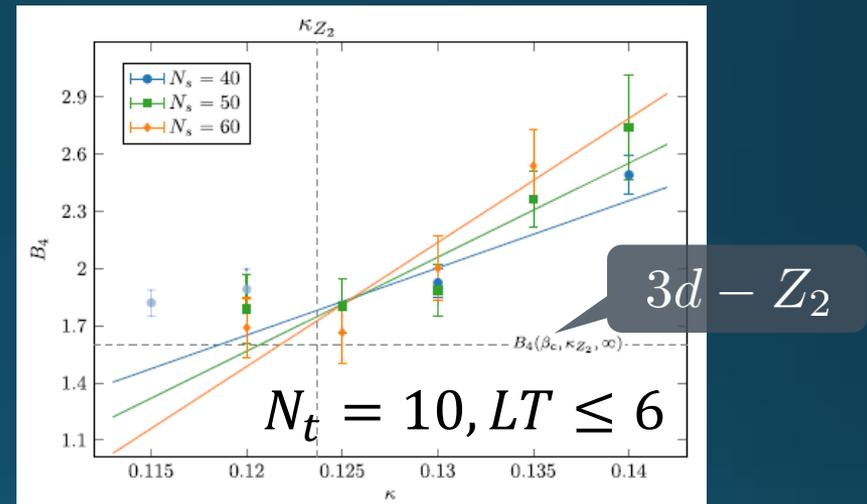
Light-quark region

Kuramashi, Nakamura, Ohno, Takeda, '20



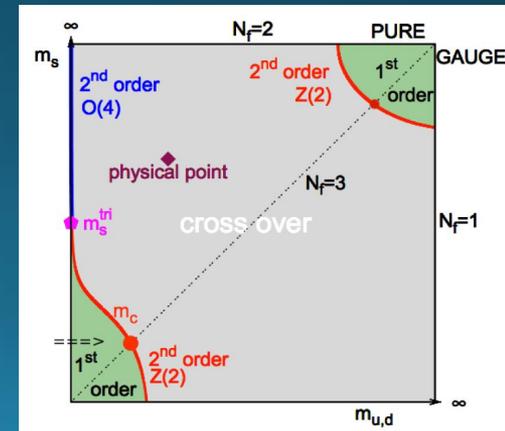
Heavy-quark region

Cuteri, Philippsen, Schön, Sciarra, '21



Statistically-significant deviation of the crossing point from the 3d-Ising value.

➔ Too large finite-V effects?



Numerical Simulation

□ Coarse lattice: $N_t = 4$

□ But large spatial volume:

$$LT = N_s / N_t \leq 12$$

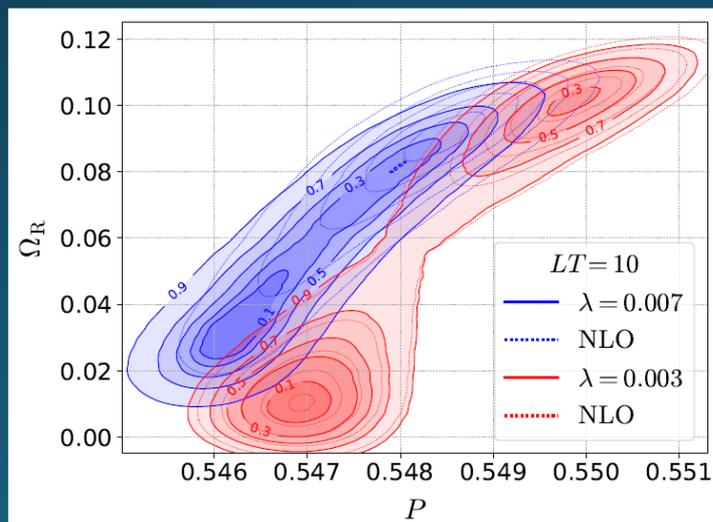
□ Hopping-param. ($\sim 1/m_q$) expansion

□ Monte-Carlo with LO action

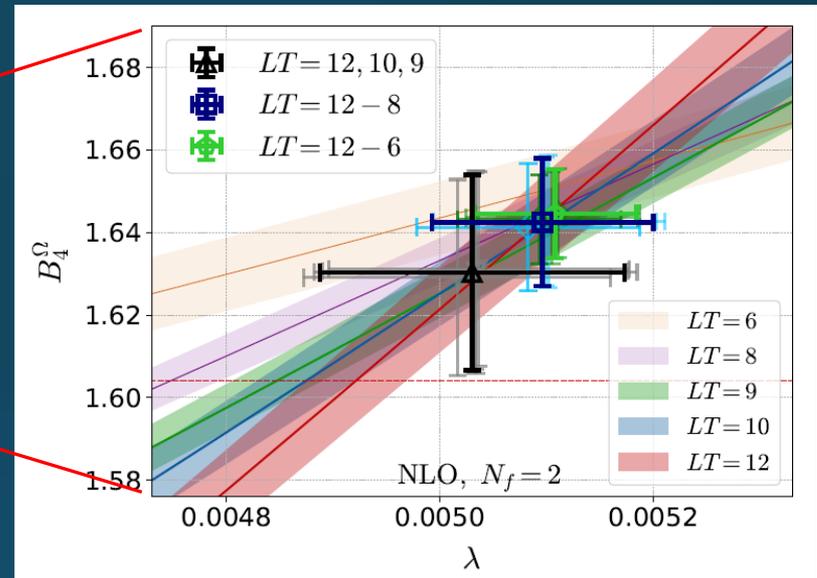
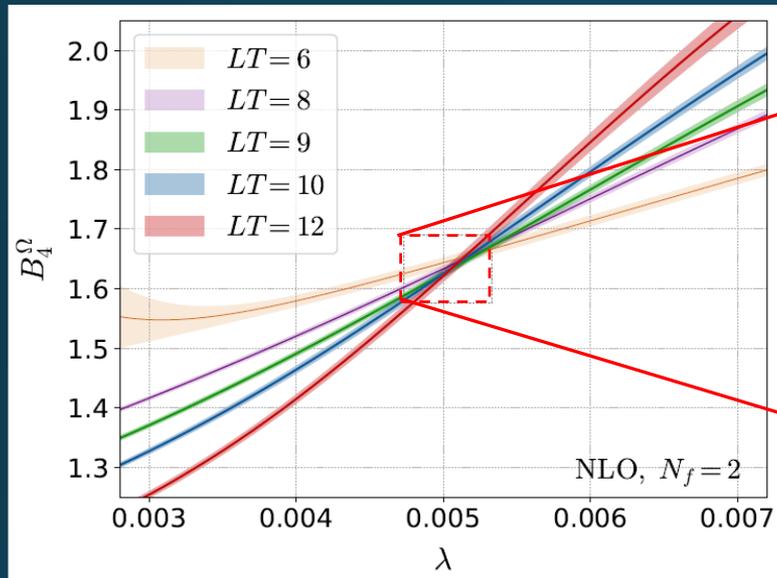
□ High statistical analysis

Simulation params.

lattice size	β^*	λ	$\kappa^{N_f=2}$
$48^3 \times 4$	5.6869	0.004	0.0568
	5.6861	0.005	0.0601
	5.6849	0.006	0.0629
$40^3 \times 4, 36^3 \times 4$	5.6885	0.003	0.0529
	5.6869	0.004	0.0568
	5.6861	0.005	0.0601
	5.6849	0.006	0.0629
	5.6837	0.007	0.0653
$32^3 \times 4$	5.6885	0.003	0.0529
	5.6865	0.004	0.0568
	5.6861	0.005	0.0601
	5.6845	0.006	0.0629
	5.6837	0.007	0.0653
$24^3 \times 4$	5.6870	0.0038	0.0561
	5.6820	0.0077	0.0669
	5.6780	0.0115	0.0740



Binder-Cumulant Analysis



$$Z_2 \quad B_4 = 1.604 \quad \nu = 0.630$$

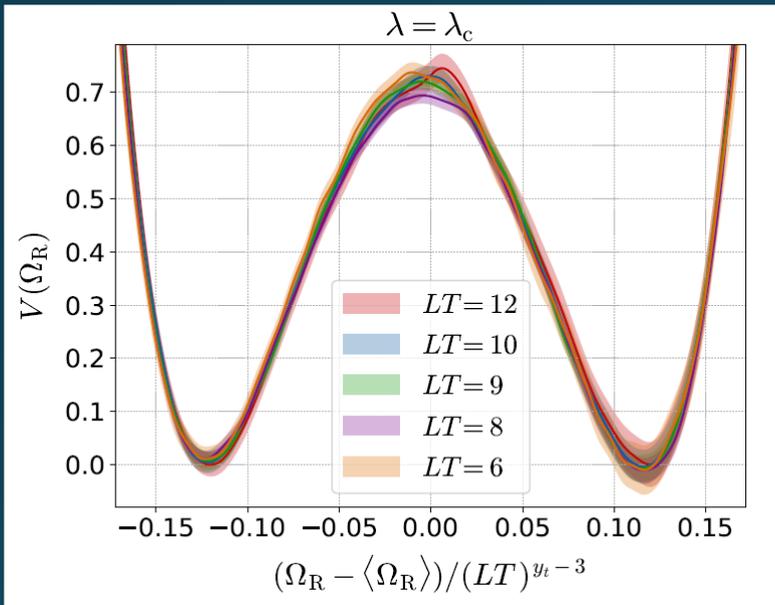
$$LT \geq 9 \quad B_4 = 1.630(24)(2), \quad \nu = 0.614(48)(3)$$

$$LT \geq 8 \quad B_4 = 1.643(15)(2), \quad \nu = 0.614(29)(3)$$

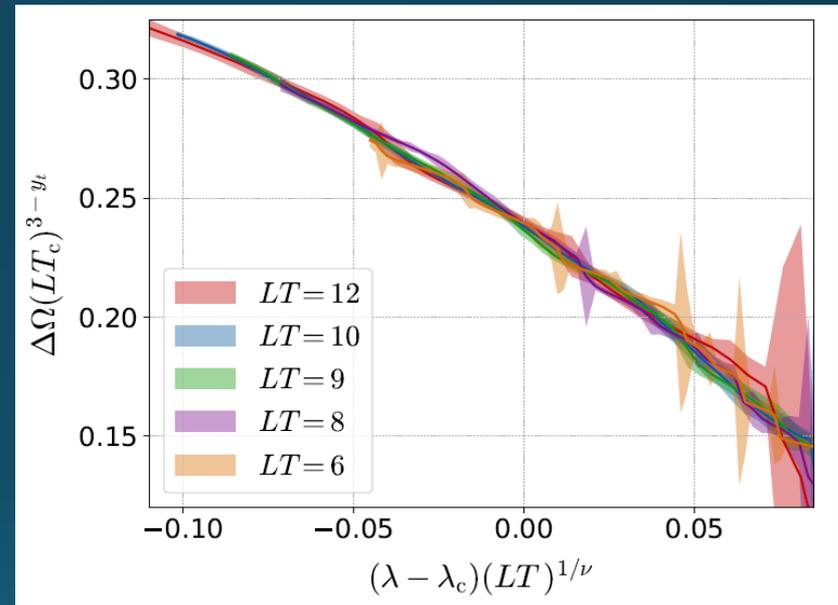
- B_4 and ν are consistent with Z_2 universality class only when $LT \geq 9$ data are used for the analysis.

Further Check of Finite- V Scaling

□ Effective potential at the CP



□ Scaling of order parameter



Z_2 scaling is well established

Contents

1. Why is Lattice so Difficult?

1. Lattice field theory
2. Observables
3. Monte-Carlo simulations
4. Nonzero temperature
5. Dynamics

2. QCD at $T \neq 0$

1. Equation of state
2. QCD critical points & Columbia plot
3. Gradient flow & energy-momentum tensor

Energy-Momentum Tensor

$$T_{\mu\nu} = \begin{bmatrix} \text{energy} & \text{momentum} & & \\ T_{00} & T_{01} & T_{02} & T_{03} \\ T_{10} & T_{11} & T_{12} & T_{13} \\ T_{20} & T_{21} & T_{22} & T_{23} \\ T_{30} & T_{31} & T_{32} & T_{33} \\ & & \text{stress} & \end{bmatrix}$$

The diagram illustrates the components of the Energy-Momentum Tensor $T_{\mu\nu}$. The tensor is represented as a 4x4 matrix. The components are categorized as follows:

- T_{00} is labeled "energy".
- T_{0i} (for $i=1,2,3$) are labeled "momentum".
- T_{ij} (for $i,j=1,2,3$) are labeled "stress".
- The diagonal elements T_{11}, T_{22}, T_{33} are specifically labeled "pressure".

All components are important physical observables!

EMT with Gradient Flow

“SFtE Method”

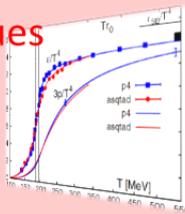
New measurement of the renormalized EMT on the lattice.
Suzuki 2013; FlowQCD 2014~; WHOT-QCD 2017~



Thermodynamics

direct measurement of
expectation values

$$\langle T_{00} \rangle, \langle T_{ii} \rangle$$



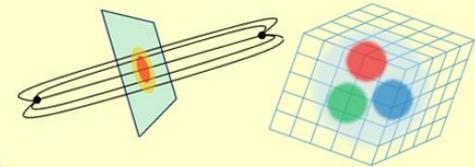
Fluctuations and Correlations

viscosity, specific heat, ...

$$\eta = \int_0^\infty dt \langle T_{12}; T_{12} \rangle$$
$$c_V \sim \langle \delta T_{00}^2 \rangle$$

Hadron Structure

- flux tube / hadrons
- stress distribution



Yang-Mills Gradient Flow

Luscher 2010

Narayanan, Neuberger, 2006

Luscher, Weiss, 2011

$$\frac{\partial}{\partial t} A_\mu(t, x) = - \frac{\partial S_{\text{YM}}}{\partial A_\mu}$$

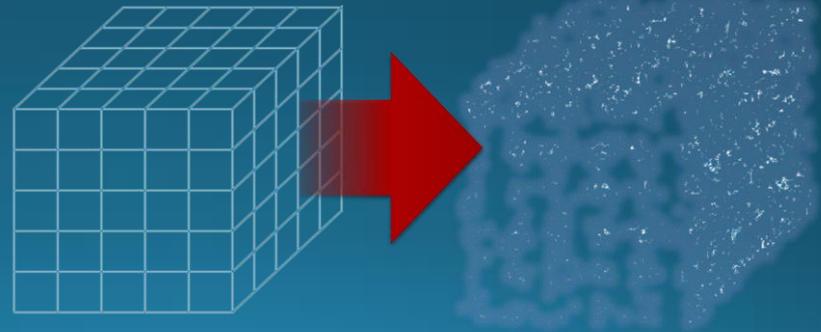
$$A_\mu(0, x) = A_\mu(x)$$

t: "flow time"
dim:[length²]

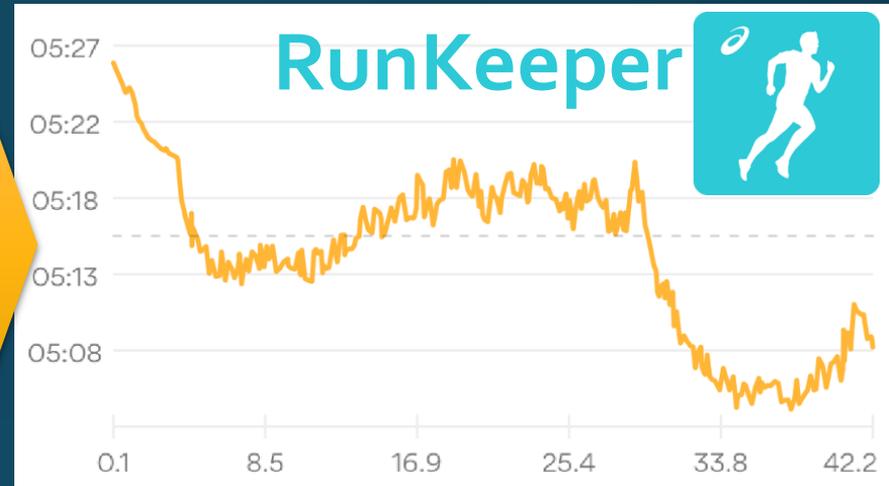
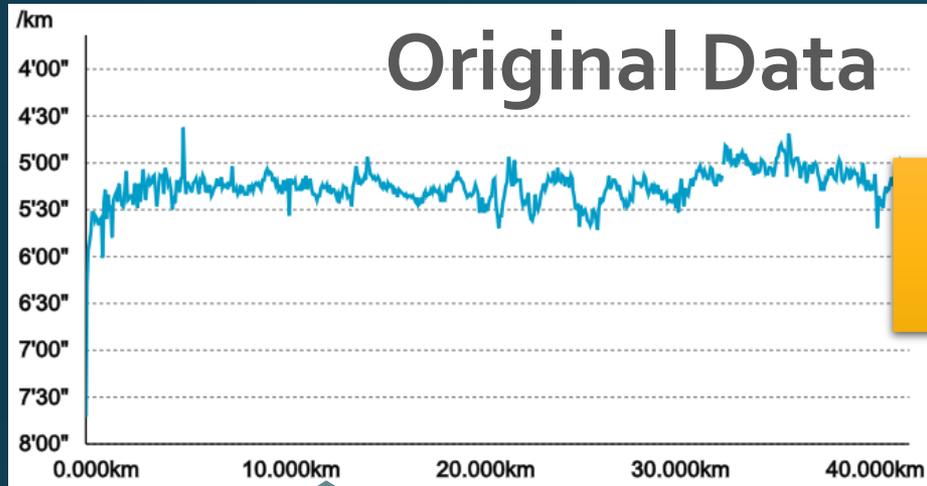
leading

$$\partial_t A_\mu = D_\nu G_{\mu\nu} = \partial_\nu \partial_\nu A_\mu + \dots$$

- diffusion equation in 4-dim space
- diffusion distance $d \sim \sqrt{8t}$
- "continuous" cooling/smearing
- No UV divergence at $t > 0$



Gradient Flow = Smearing

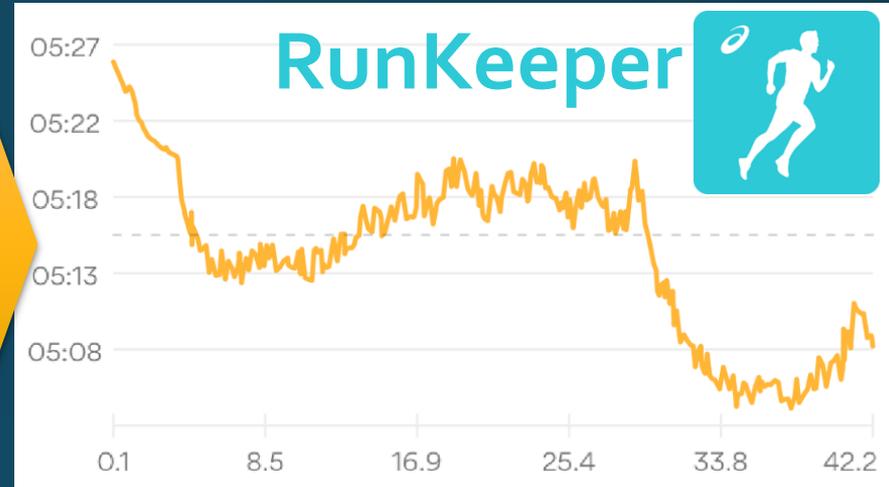
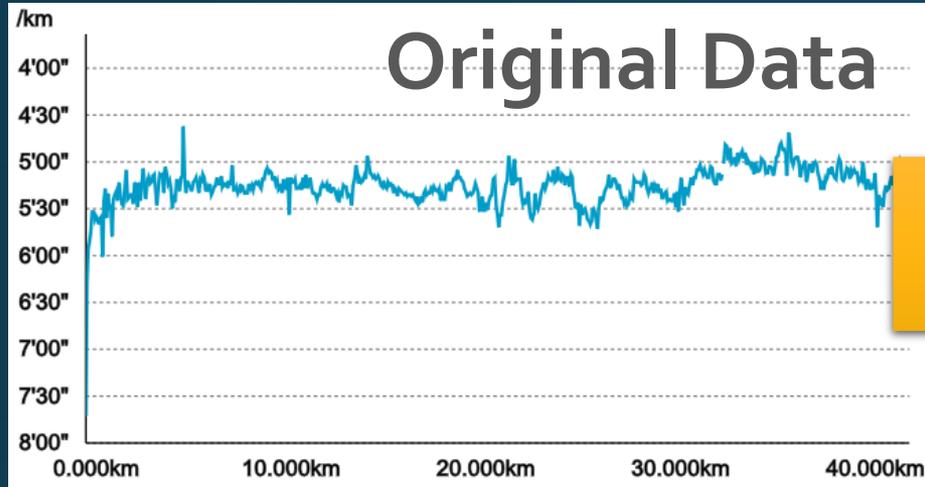


Sasayama Marathon

2019/3/3 (Sun.)
record: 3:42.45

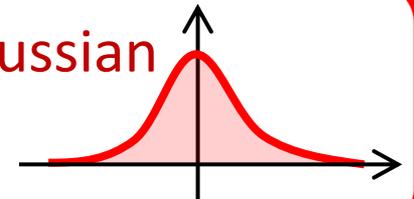


Gradient Flow = Smearing



① $x(t) \rightarrow x'(t) \sim \int dt' \exp \left[-\frac{(t-t')^2}{2\sigma^2} \right] x(t')$

Gaussian



$$\sigma = \sqrt{2s}$$

② $\frac{d}{ds} x(t; s) = \frac{d^2}{dt^2} x(t, s) \quad x(t; 0) = x(t)$

Gradient Flow

$$\partial_t A_\mu = \partial_\nu \partial_\nu A_\mu + \dots$$

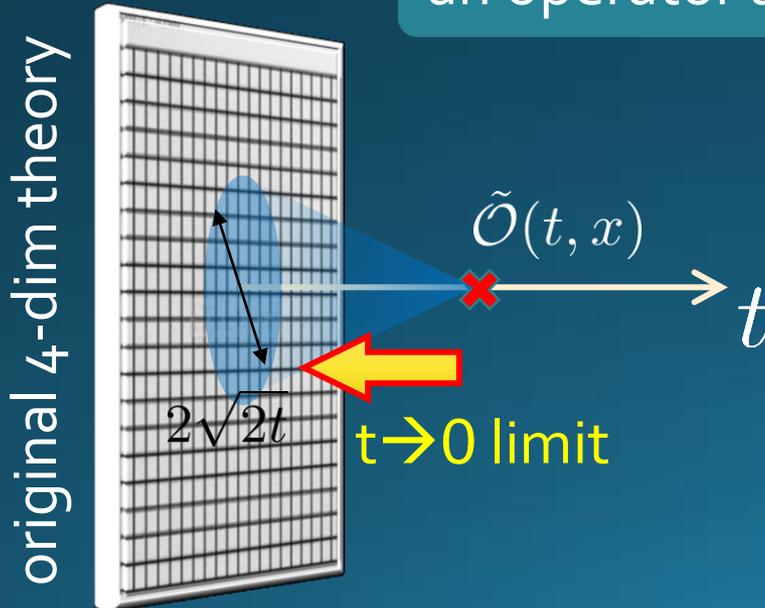
Small Flow-Time Expansion

Luescher, Weisz, 2011
Suzuki, 2013

$$\tilde{\mathcal{O}}(t, x) \xrightarrow[t \rightarrow 0]{} \sum_i c_i(t) \mathcal{O}_i^R(x)$$

an operator at $t > 0$

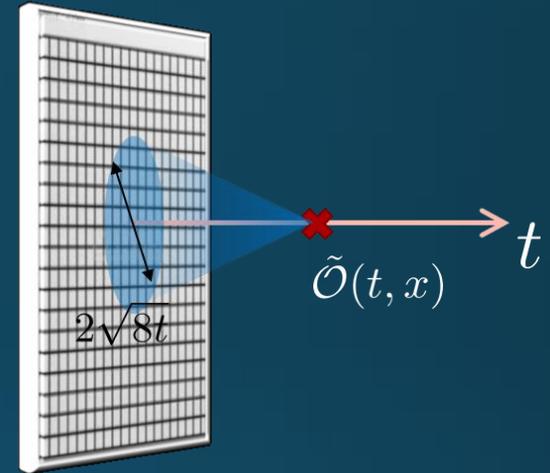
remormalized operators
of original theory



Constructing EMT 1

Suzuki, 2013

$$\tilde{\mathcal{O}}(t, x) \xrightarrow{t \rightarrow 0} \sum_i c_i(t) \mathcal{O}_i^R(x)$$



□ Gauge-invariant dimension 4 operators

$$\left\{ \begin{array}{l} U_{\mu\nu}(t, x) = G_{\mu\rho}(t, x)G_{\nu\rho}(t, x) - \frac{1}{4}\delta_{\mu\nu}G_{\mu\nu}(t, x)G_{\mu\nu}(t, x) \\ E(t, x) = \frac{1}{4}\delta_{\mu\nu}G_{\mu\nu}(t, x)G_{\mu\nu}(t, x) \end{array} \right.$$

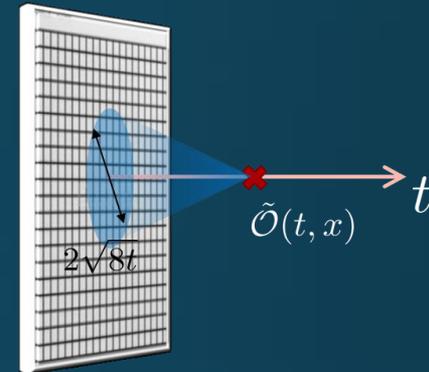
Constructing EMT

Suzuki, 2013

$$U_{\mu\nu}(t, x) = \alpha_U(t) \left[T_{\mu\nu}^R(x) - \frac{1}{4} \delta_{\mu\nu} T_{\rho\rho}^R(x) \right] + \mathcal{O}(t)$$

$$E(t, x) = \langle E(t, x) \rangle + \alpha_E(t) T_{\rho\rho}^R(x) + \mathcal{O}(t)$$

vacuum subtr.



Remormalized EMT

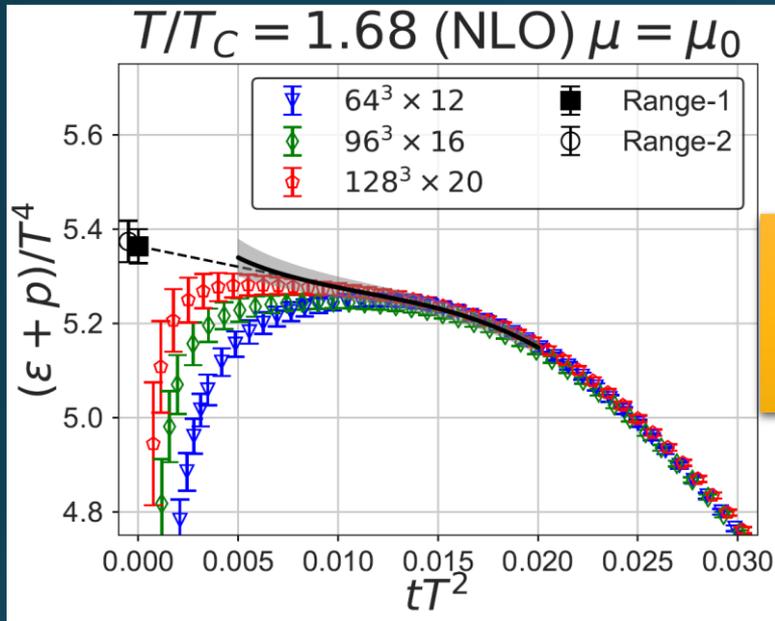
$$T_{\mu\nu}^R(x) = \lim_{t \rightarrow 0} [c_1(t) U_{\mu\nu}(t, x) + \delta_{\mu\nu} c_2(t) E(t, x)_{\text{subt.}}]$$

Perturbative coefficient:

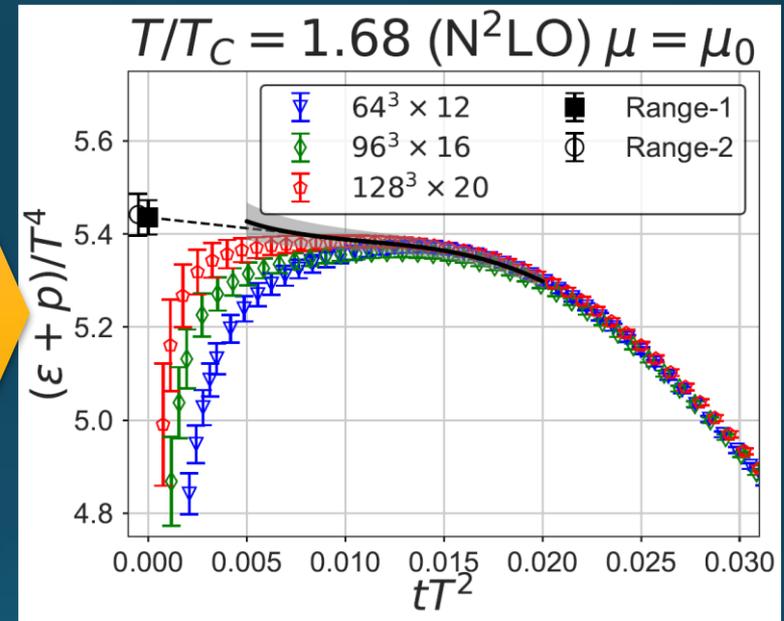
Suzuki (2013); Makino, Suzuki (2014); Harlander+ (2018); Iritani, MK, Suzuki, Takaura (2019)

Higher Order Coefficient: $\varepsilon+p$

NLO (1-loop)



N²LO (2-loop)



Iritani, MK, Suzuki, Takaura, PTEP 2019

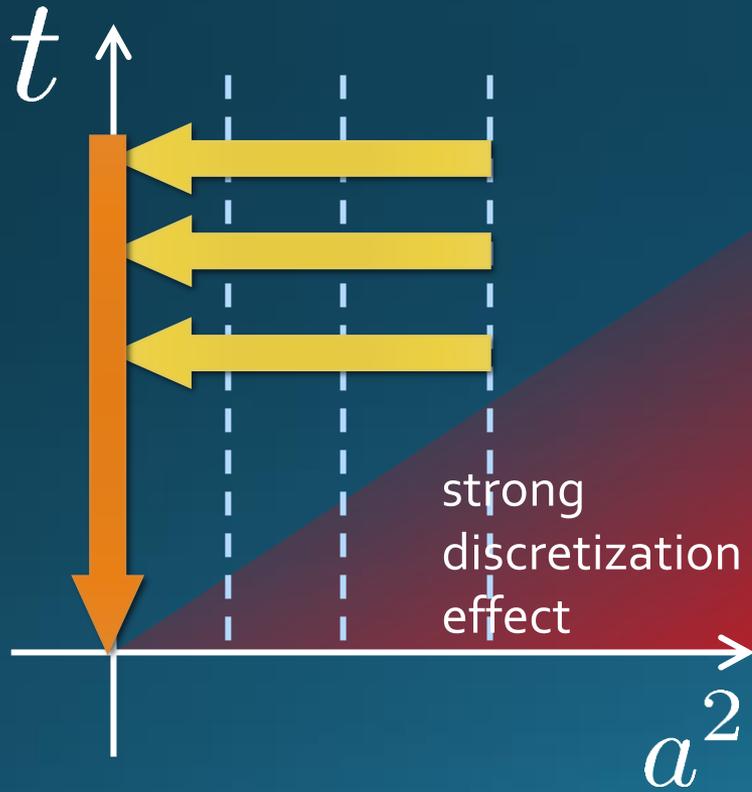
- t dependence becomes milder with higher order coeff.
- Better $t \rightarrow 0$ extrapolation
- Systematic error: μ_0 or μ_d , uncertainty of Λ ($\pm 3\%$), fit range
- Extrapolation func: linear, higher order term in c_1 ($\sim g^6$)

Double Extrapolation

$$t \rightarrow 0, a \rightarrow 0$$

$$\langle T_{\mu\nu}(t) \rangle_{\text{latt}} = \langle T_{\mu\nu}(t) \rangle_{\text{phys}} + C_{\mu\nu} t + D_{\mu\nu}(t) \frac{a^2}{t}$$

$O(t)$ terms in SFTE lattice discretization



Continuum extrapolation

$$\langle T_{\mu\nu}(t) \rangle_{\text{cont}} = \langle T_{\mu\nu}(t) \rangle_{\text{lat}} + C(t)a^2$$

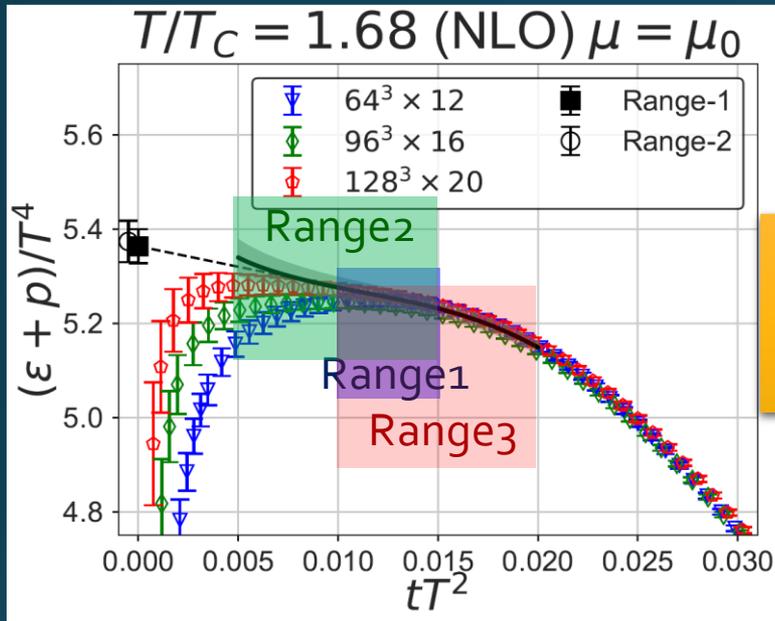


Small t extrapolation

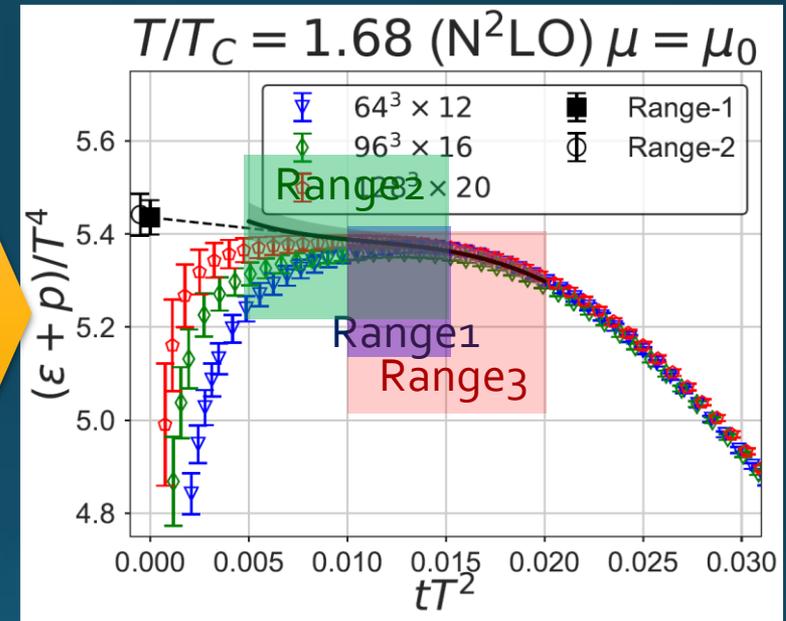
$$\langle T_{\mu\nu} \rangle = \langle T_{\mu\nu}(t) \rangle + C't$$

Higher Order Coefficient: $\varepsilon+p$

NLO (1-loop)



N²LO (2-loop)

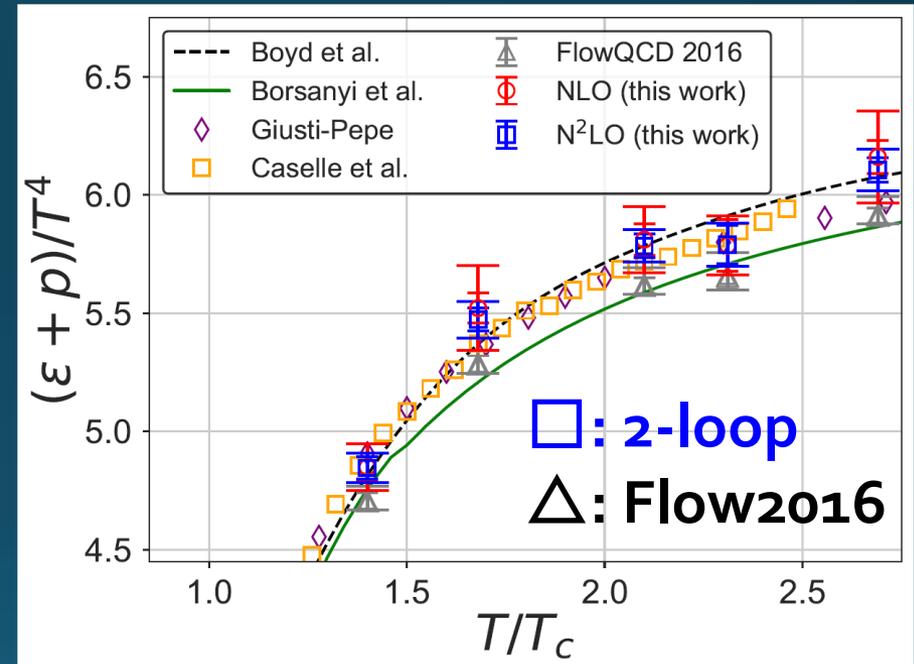
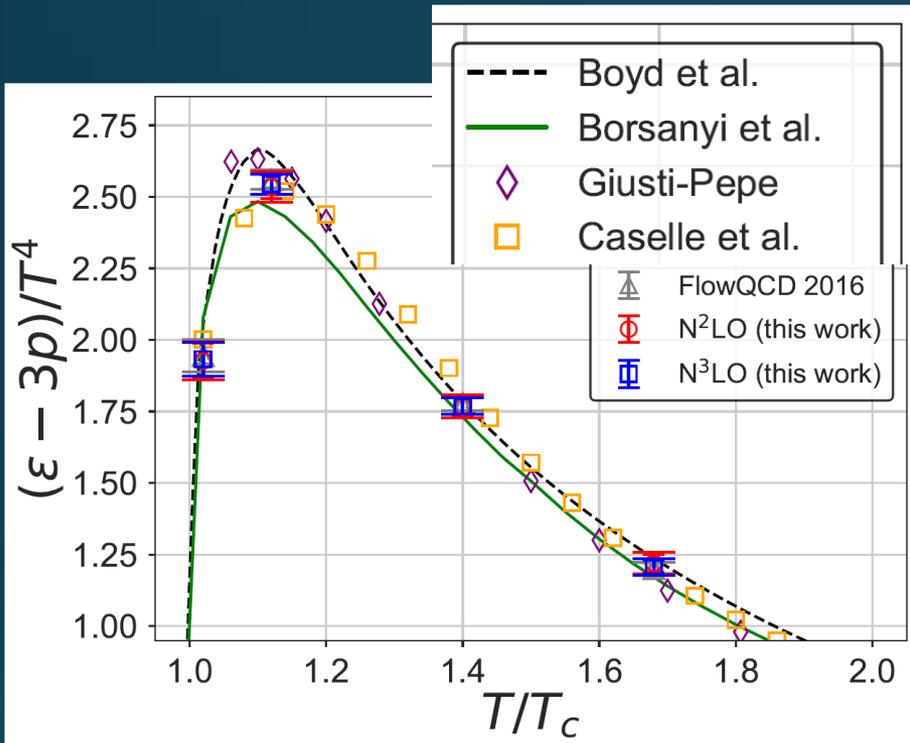


Iritani, MK, Suzuki, Takaura, PTEP 2019

- t dependence becomes milder with higher order coeff.
- Better $t \rightarrow 0$ extrapolation
- Systematic error: μ_0 or μ_d , uncertainty of Λ ($\pm 3\%$), fit range
- Extrapolation func: linear, higher order term in c_1 ($\sim g^6$)

Effect of Higher-Order Coeffs.

Iritani, MK, Suzuki, Takaura, 2019



Systematic error: μ_0 or μ_d , Λ , $t \rightarrow 0$ function, fit range

More stable extrapolation with higher order c_1 & c_2
(pure gauge)

Energy-Momentum Tensor

$$T_{\mu\nu} = \begin{bmatrix} \text{energy} & \text{momentum} & & \\ T_{00} & T_{01} & T_{02} & T_{03} \\ T_{10} & T_{11} & T_{12} & T_{13} \\ T_{20} & T_{21} & T_{22} & T_{23} \\ T_{30} & T_{31} & T_{32} & T_{33} \end{bmatrix}$$

The diagram illustrates the components of the Energy-Momentum Tensor $T_{\mu\nu}$. The tensor is represented as a 4x4 matrix. The components are categorized as follows:

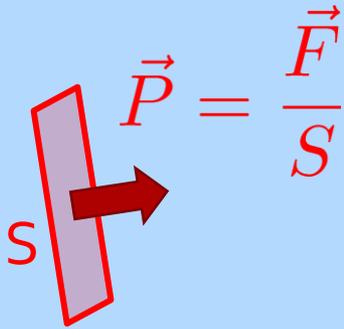
- T_{00} is labeled as **energy**.
- The components T_{01}, T_{02}, T_{03} are labeled as **momentum**.
- The components T_{11}, T_{22}, T_{33} are labeled as **pressure**.
- The components $T_{12}, T_{21}, T_{23}, T_{32}$ are labeled as **stress**.

Spatial components of EMT: Stress Tensor

Stress = Force per Unit Area

Stress = Force per Unit Area

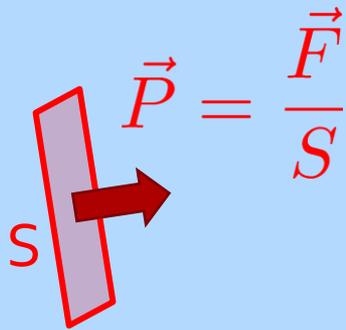
Pressure



$$\vec{P} = P\vec{n}$$

Stress = Force per Unit Area

Pressure

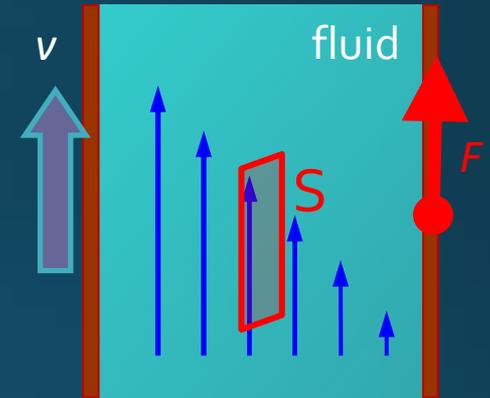
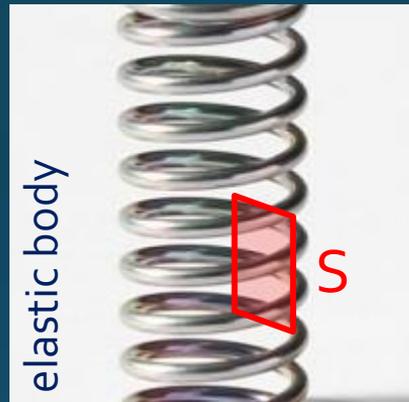


$$\vec{P} = P\vec{n}$$

In thermal medium

$$T_{ij} = P\delta_{ij}$$

Generally, F and n are not parallel



$$\frac{F_i}{S} = \sigma_{ij}n_j$$

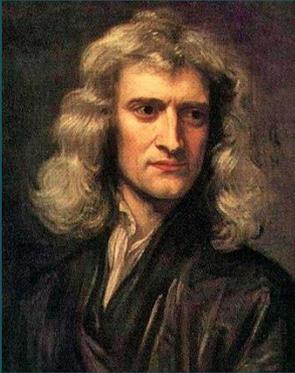
Stress Tensor

$$\sigma_{ij} = -T_{ij}$$

Landau
Lifshitz

Force

Action-at-a-distance



Newton
1687

m_1, q_1



m_2, q_2



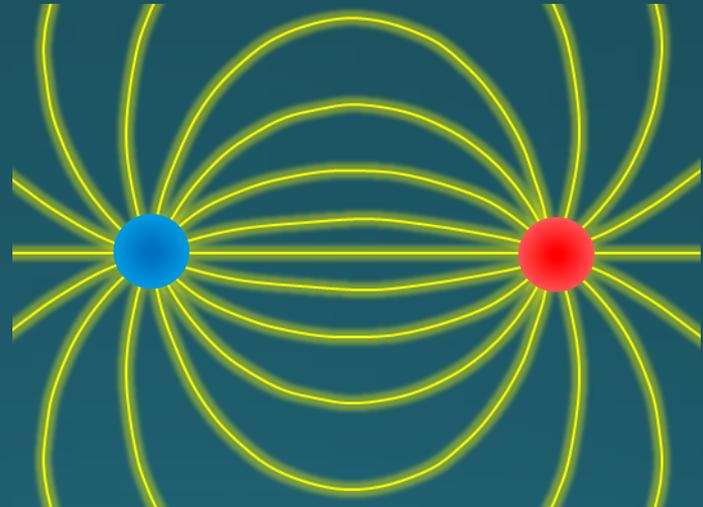
$$F = -G \frac{m_1 m_2}{r^2}$$

$$F = -\frac{1}{4\pi\epsilon_0} \frac{q_1 q_2}{r^2}$$

Local interaction

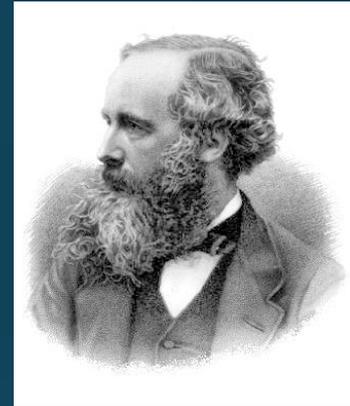


Faraday
1839



Maxwell Stress

(in Maxwell Theory)



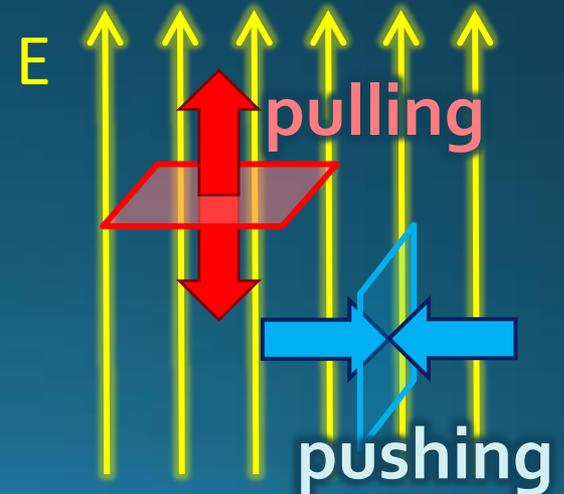
Maxwell

$$\sigma_{ij} = \varepsilon_0 E_i E_j + \frac{1}{\mu_0} B_i B_j - \frac{1}{2} \delta_{ij} \left(\varepsilon_0 E^2 + \frac{1}{\mu_0} B^2 \right)$$

$$\vec{E} = (E, 0, 0)$$

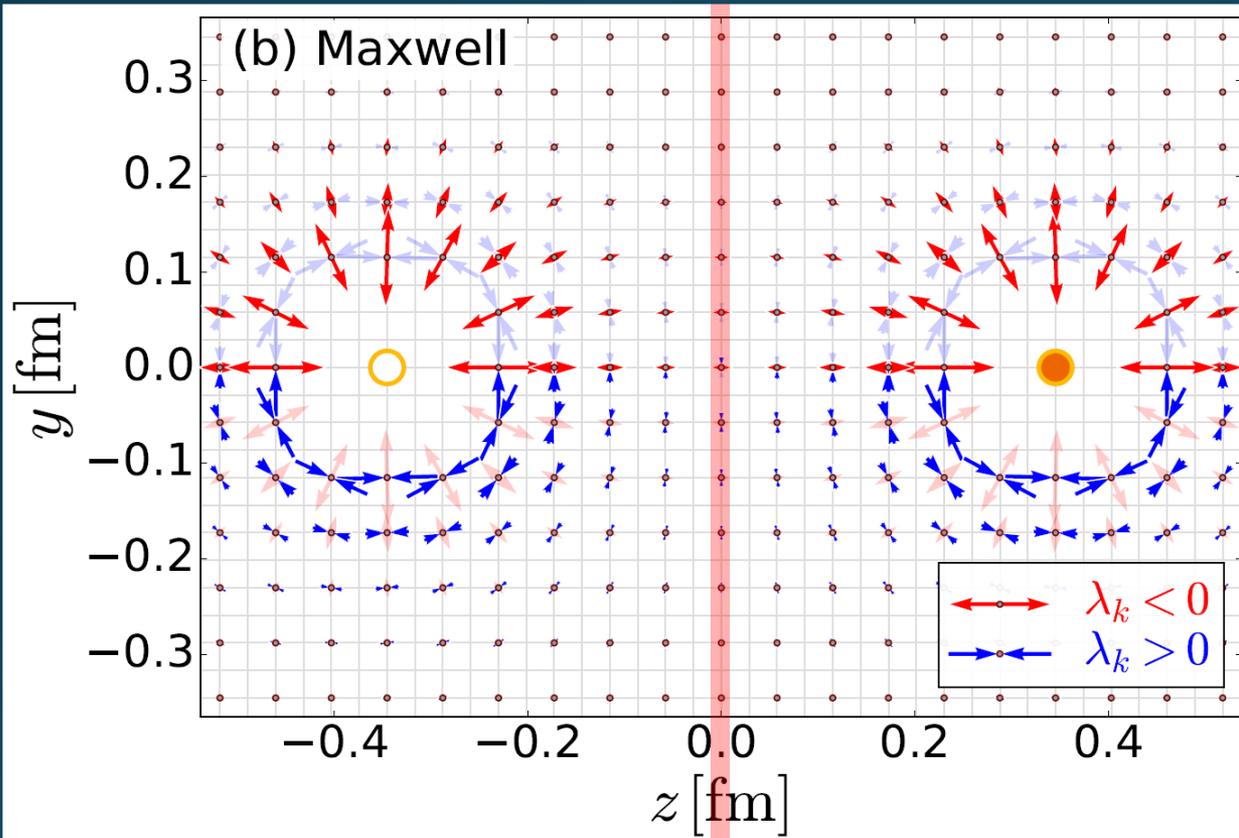
$$T_{ij} = \begin{pmatrix} -E^2 & 0 & 0 \\ 0 & E^2 & 0 \\ 0 & 0 & E^2 \end{pmatrix}$$

- Parallel to field: **Pulling**
- Vertical to field: **Pushing**



Maxwell Stress

(in Maxwell Theory)



$$T_{ij} v_j^{(k)} = \lambda_k v_i^{(k)}$$

$(k = 1, 2, 3)$

length: $\sqrt{|\lambda_k|}$

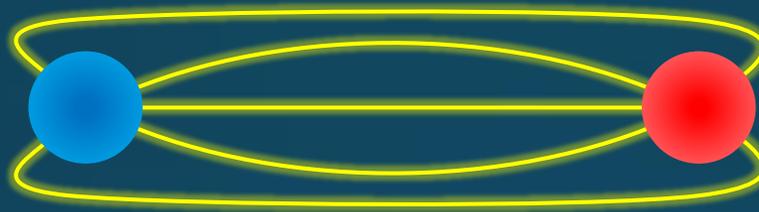


Definite physical meaning

- Distortion of field, line of the field
- Propagation of the force as local interaction

Quark-Anti-quark system

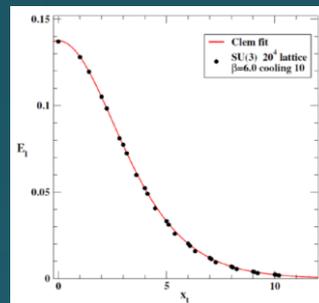
Formation of the flux tube \rightarrow confinement



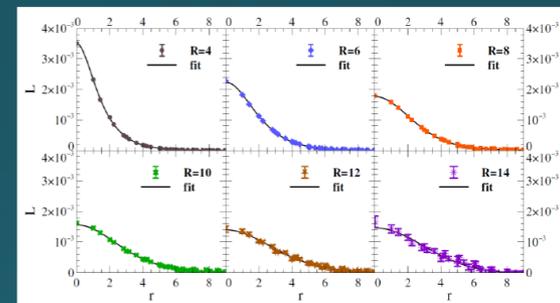
Previous Studies on Flux Tube

- Potential
- Action density
- Color-electric field

so many studies...



Cea+ (2012)



Cardoso+ (2013)

Stress Tensor in $Q\bar{Q}$ System

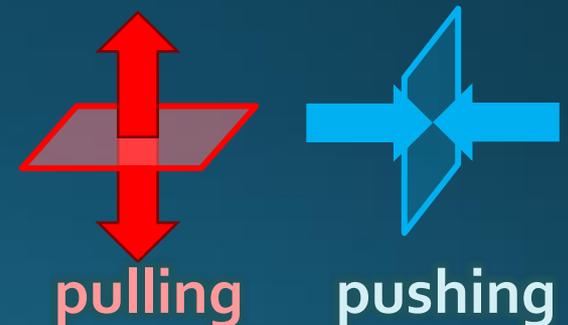
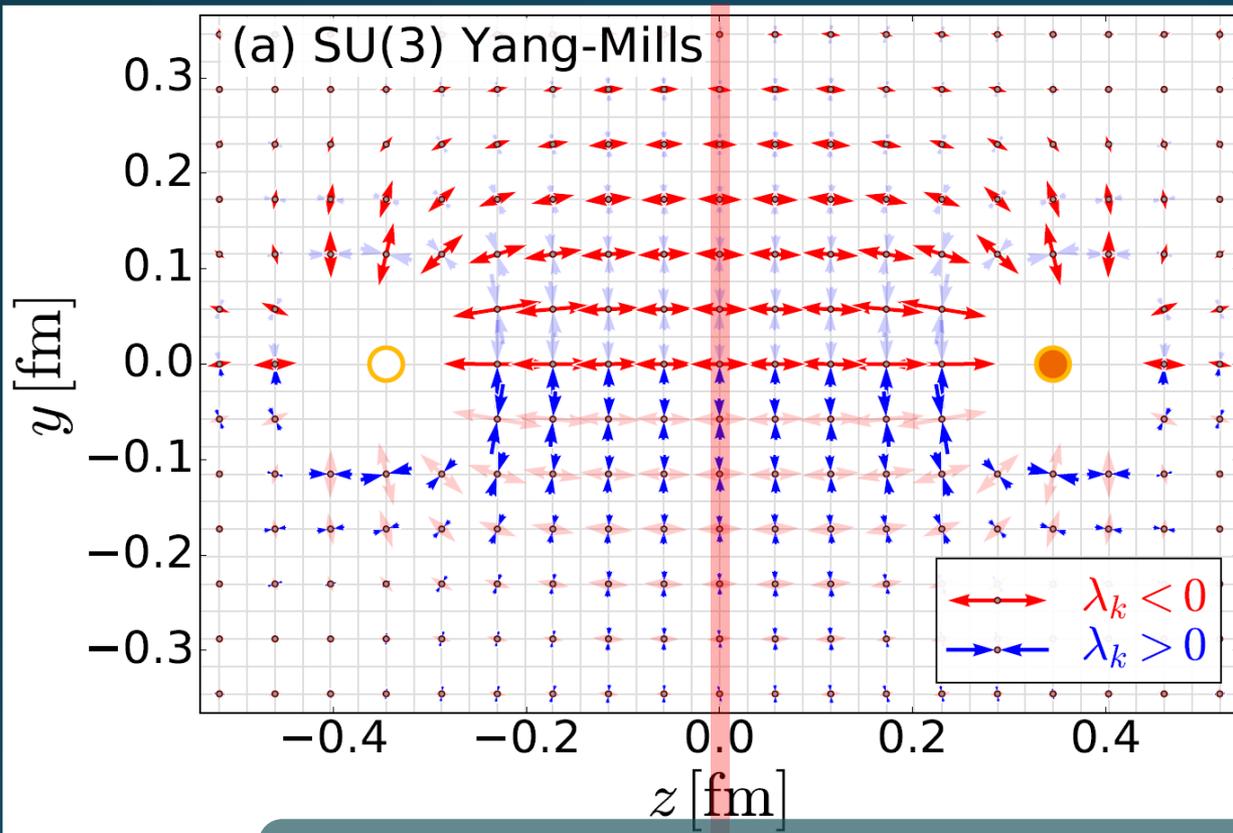
Yanagihara+, 1803.05656
PLB, in press

Lattice simulation
SU(3) Yang-Mills

$a=0.029$ fm

$R=0.69$ fm

$t/a^2=2.0$



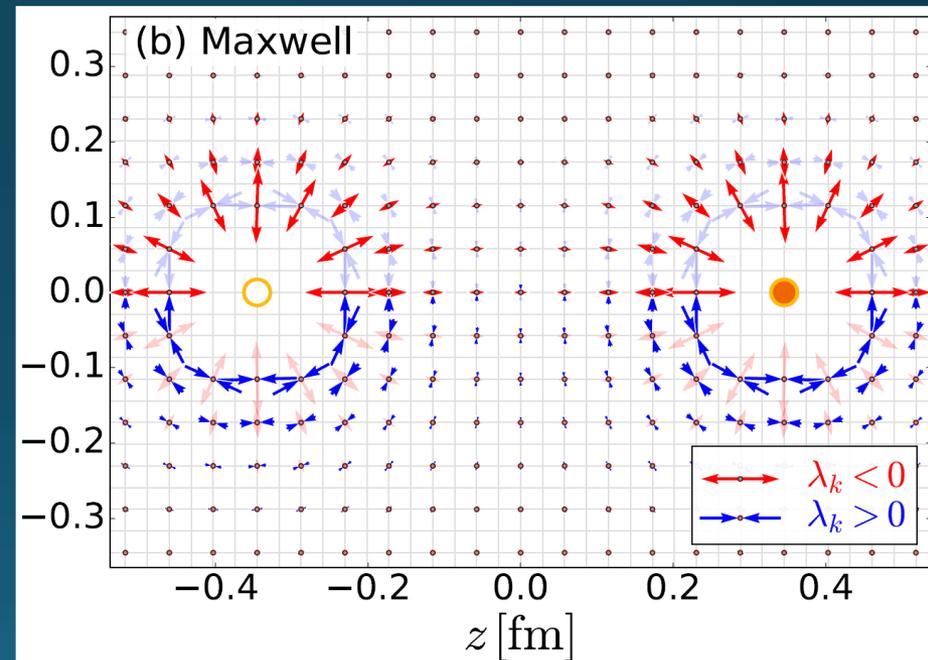
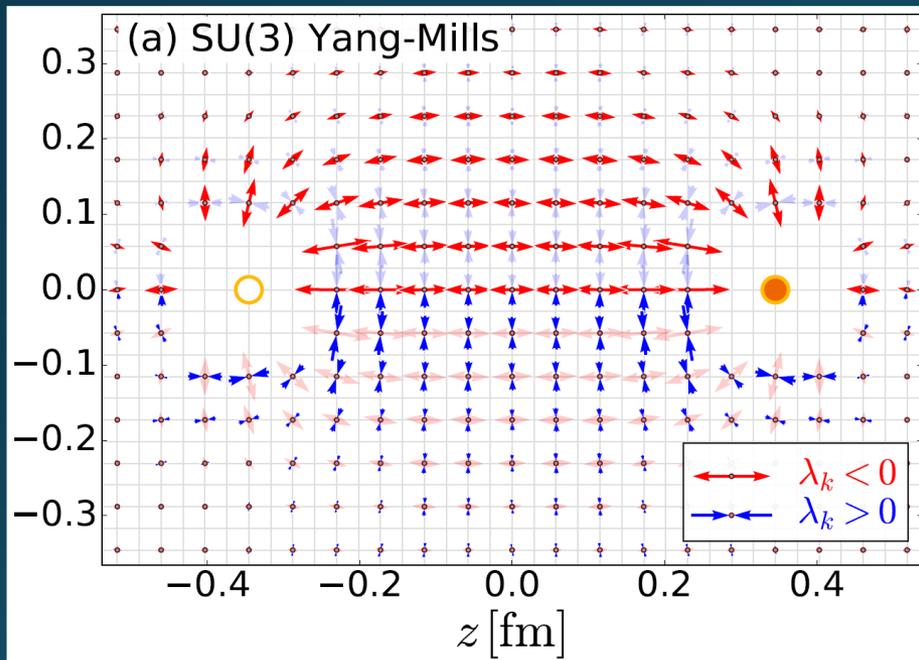
Definite physical meaning

- Distortion of field, line of the field
- Propagation of the force as local interaction
- Manifestly gauge invariant

SU(3) YM vs Maxwell

SU(3) Yang-Mills
(quantum)

Maxwell
(classical)



Propagation of the force is clearly different
in YM and Maxwell theories!

Stress Distribution on Mid-Plane

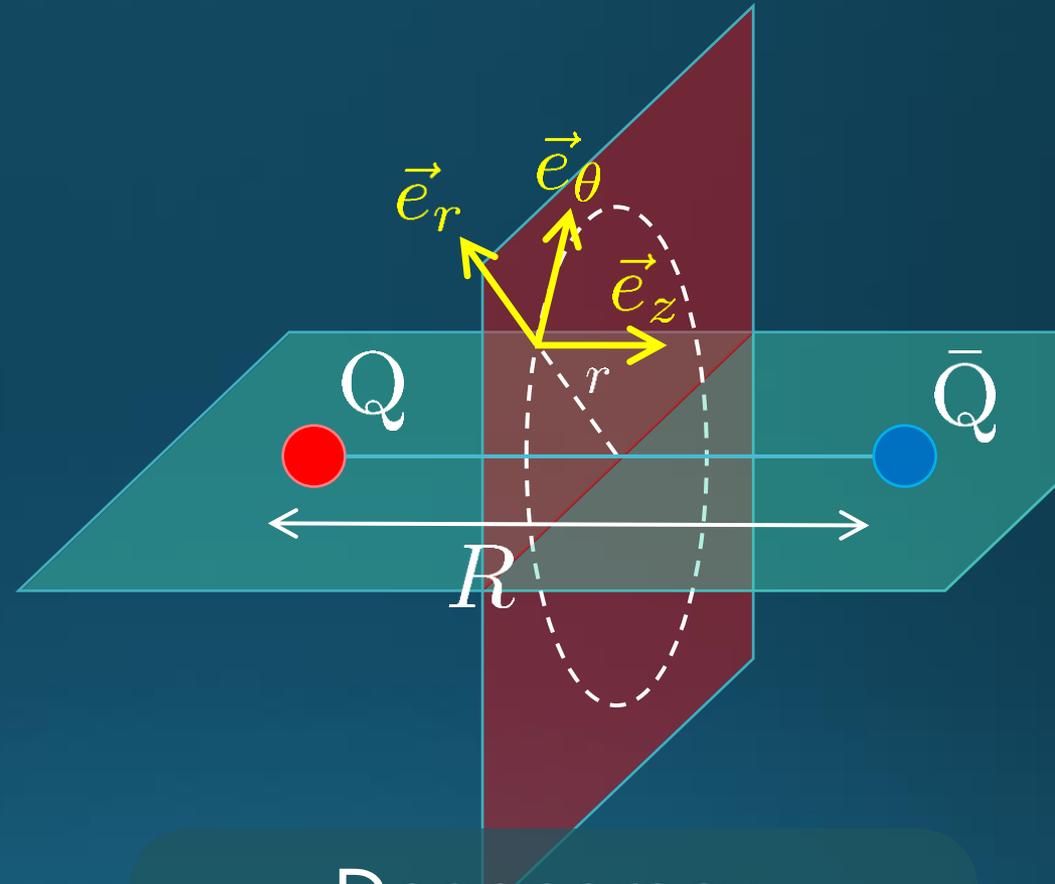
From rotational symm. & parity

EMT is diagonalized
in Cylindrical Coordinates

$$T_{cc'}(r) = \begin{pmatrix} T_{rr} & & & \\ & T_{\theta\theta} & & \\ & & T_{zz} & \\ & & & T_{44} \end{pmatrix}$$

$$T_{rr} = \vec{e}_r^T T \vec{e}_r$$

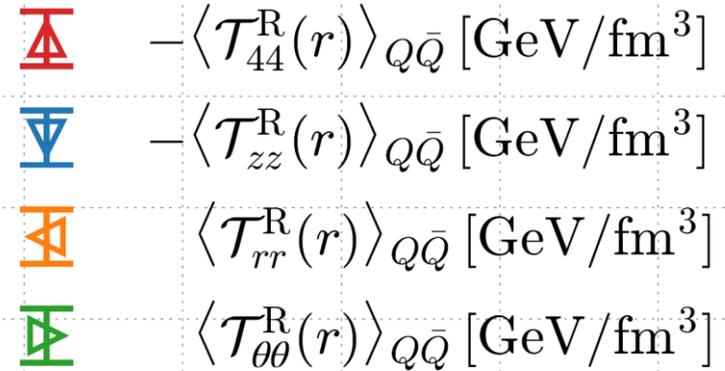
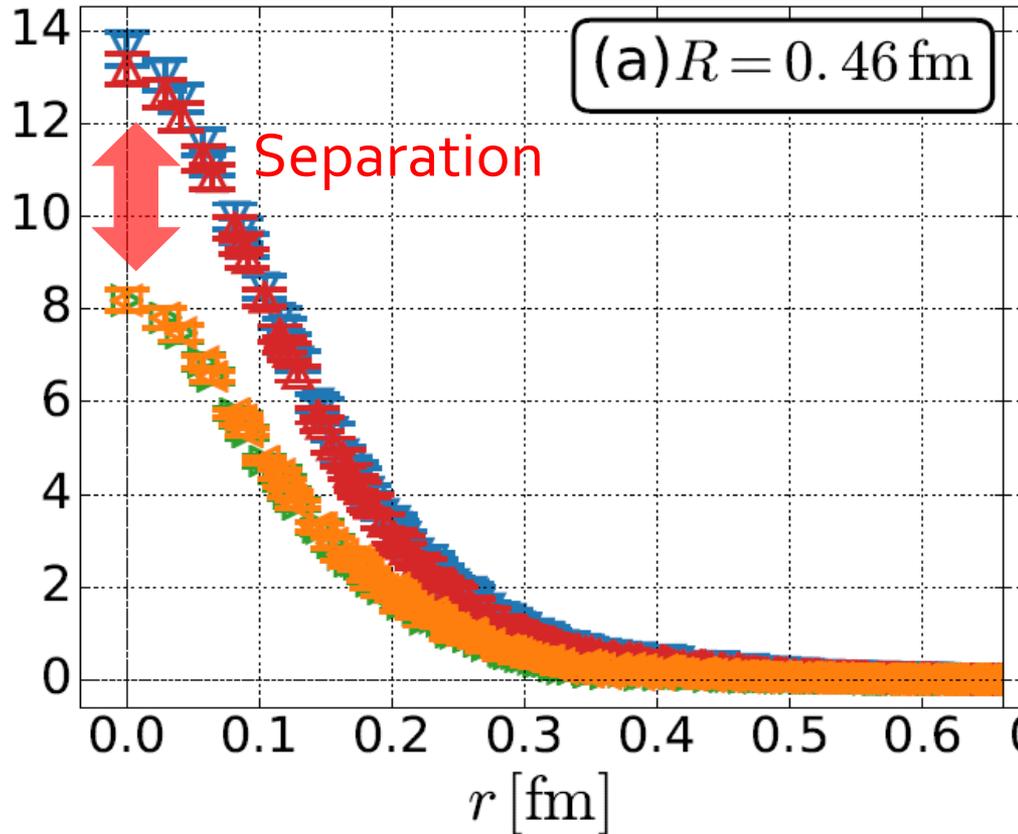
$$T_{\theta\theta} = \vec{e}_\theta^T T \vec{e}_\theta$$



Degeneracy
in Maxwell theory

$$T_{rr} = T_{\theta\theta} = -T_{zz} = -T_{44}$$

Mid-Plane



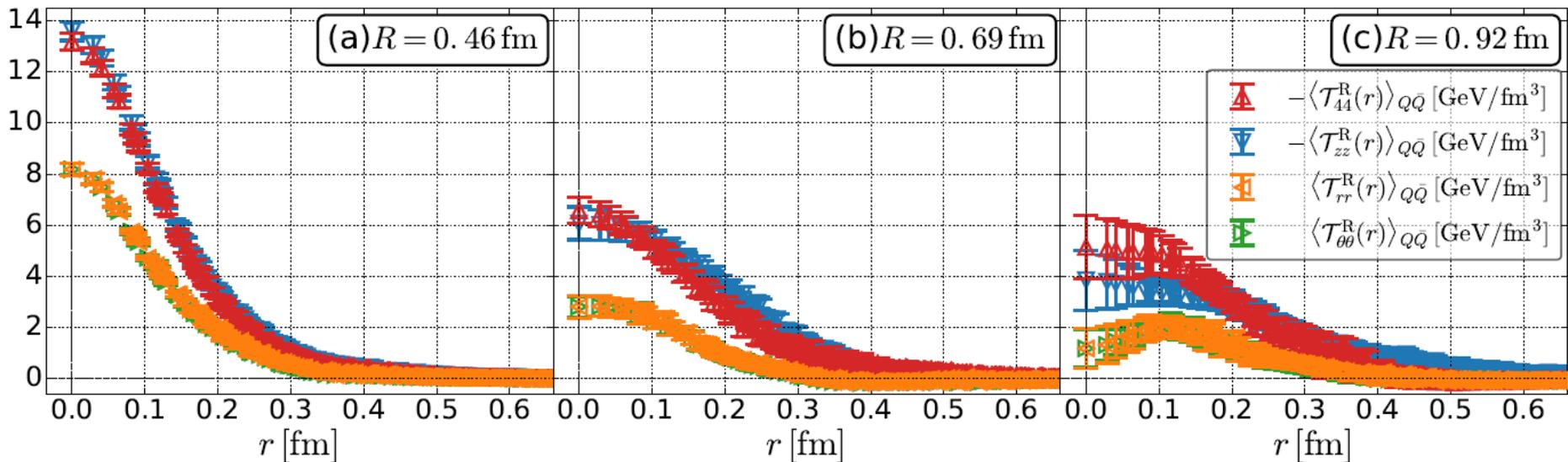
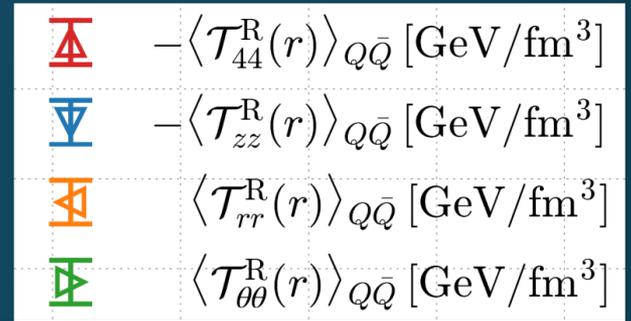
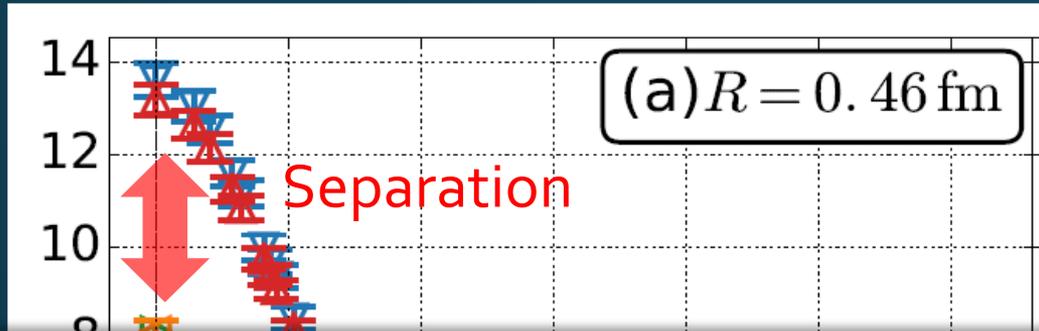
**Continuum
Extrapolated!**

In Maxwell theory

$$T_{rr} = T_{\theta\theta} = -T_{zz} = -T_{44}$$

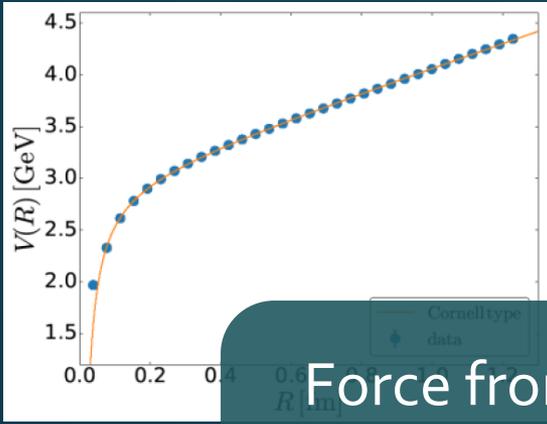
- Degeneracy: $T_{44} \simeq T_{zz}, \quad T_{rr} \simeq T_{\theta\theta}$
- Separation: $T_{zz} \neq T_{rr}$
- Nonzero trace anomaly $\sum T_{cc} \neq 0$

Mid-Plane



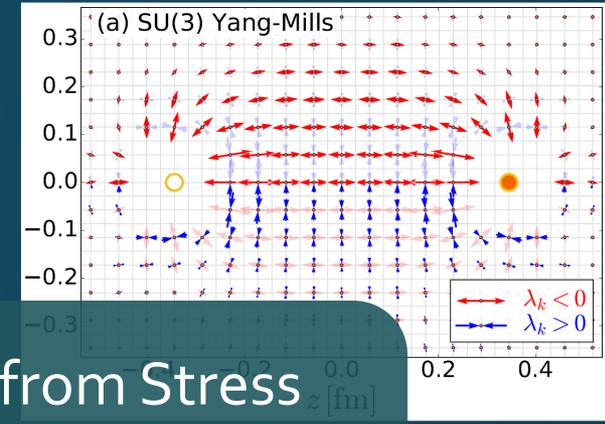
- Degeneracy: $T_{44} \simeq T_{zz}, \quad T_{rr} \simeq T_{\theta\theta}$
- Separation: $T_{zz} \neq T_{rr}$
- Nonzero trace anomaly $\sum T_{cc} \neq 0$

Force



Force from Potential

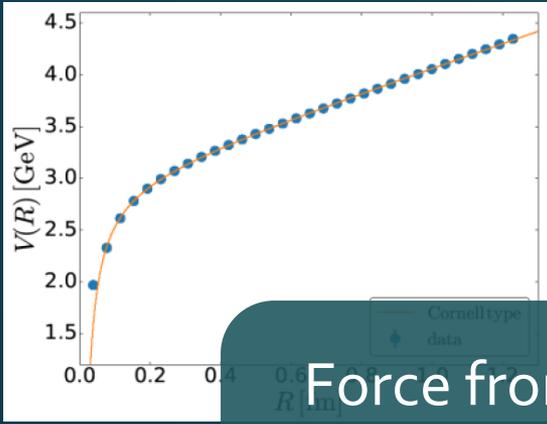
$$F_{\text{pot}} = -\frac{dV}{dR}$$



Force from Stress

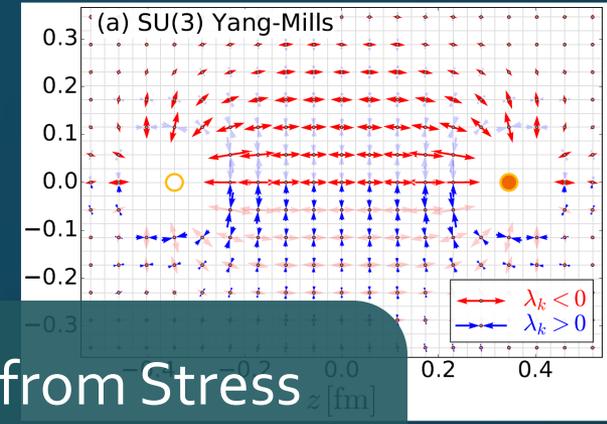
$$F_{\text{stress}} = \int_{\text{mid.}} d^2x T_{zz}(x)$$

Force



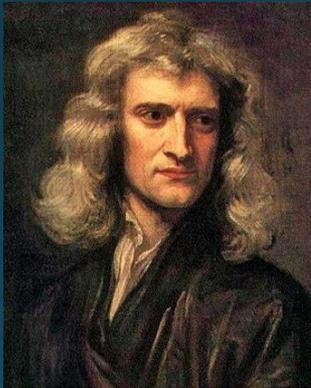
Force from Potential

$$F_{\text{pot}} = -\frac{dV}{dR}$$



Force from Stress

$$F_{\text{stress}} = \int_{\text{mid.}} d^2x T_{zz}(x)$$

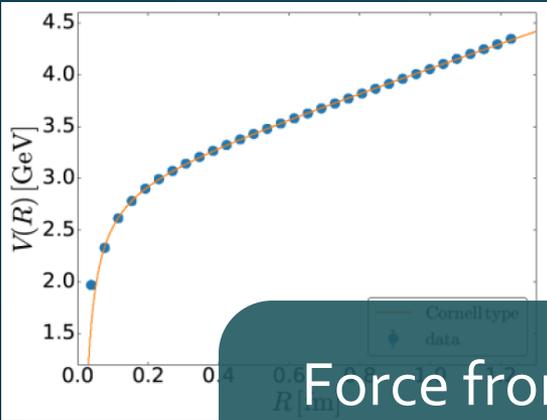


Newton
1687



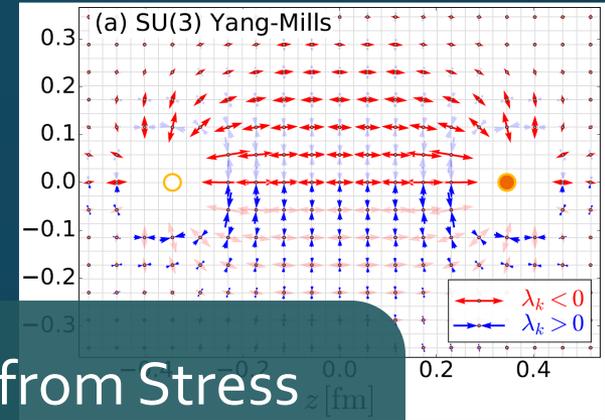
Faraday
1839

Force



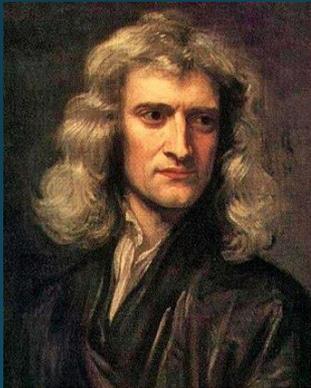
Force from Potential

$$F_{\text{pot}} = -\frac{dV}{dR}$$

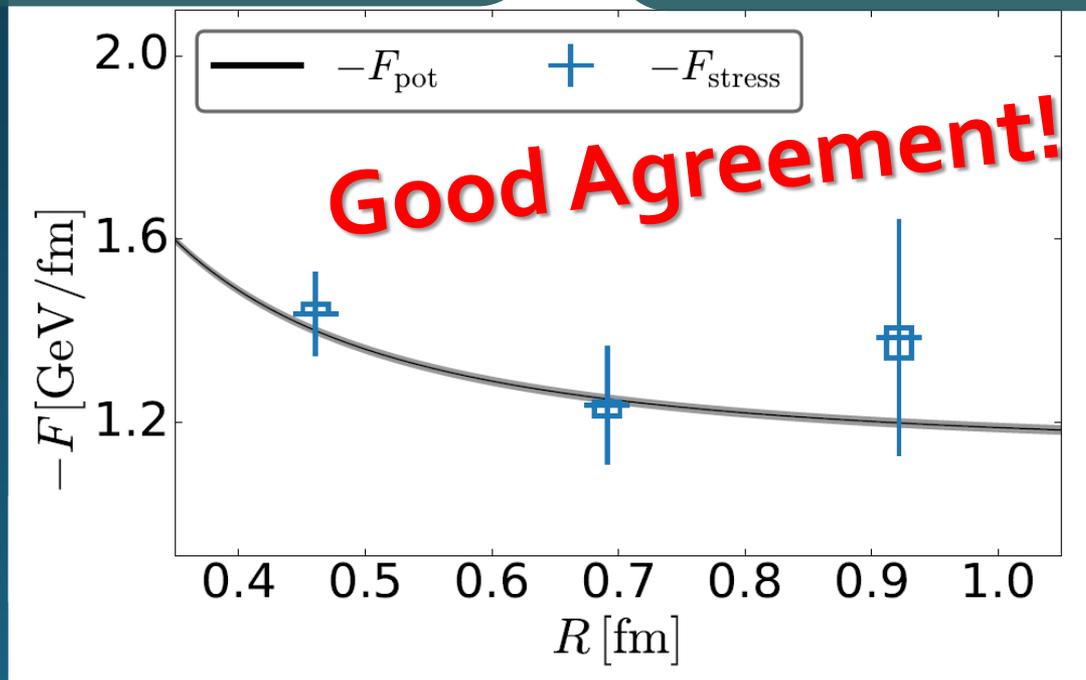


Force from Stress

$$F_{\text{stress}} = \int_{\text{mid.}} d^2x T_{zz}(x)$$



Newton
1687



Faraday
1839

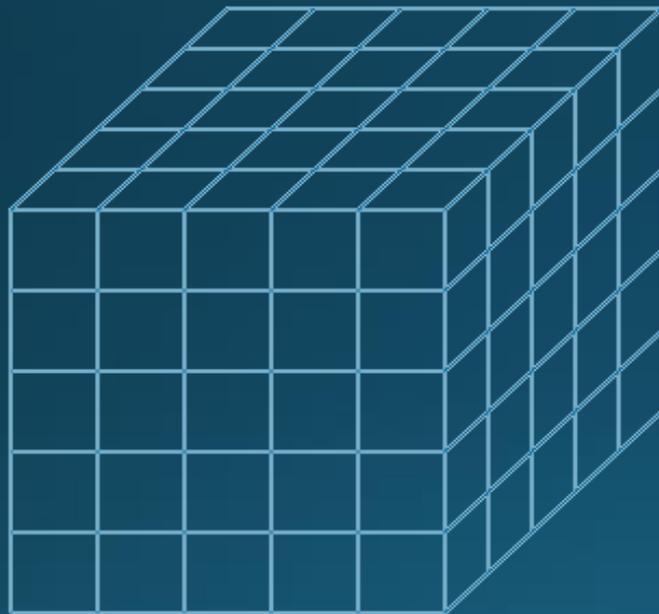
Summary

- ❑ Lattice QCD numerical simulations are unique tools to investigate non-perturbative aspects of QCD.
- ❑ Observables that can be measured on the lattice are strictly limited due to our ignorance of physical states and Euclidean formulation.
- ❑ There still are many things that can be obtained from there.
- ❑ **More studies based on novel ideas are awaited!**

Data & Physics

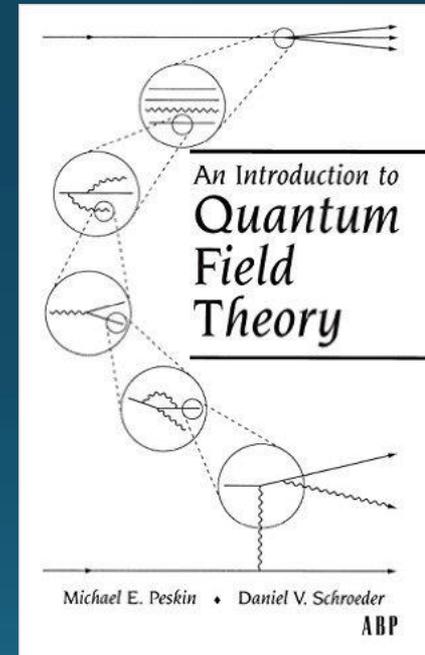
Gauge Configuration

128^4



$$128^4 \times 4 \times 9 \times 2 \times 8 \text{ Bytes} \\ = 144 \text{ GB}$$

Textbook
Peskin-Schroeder



$\sim 10\text{MB}$