

# Some Topics on 2nd Order Fluctuations

Masakiyo Kitazawa (YITP, Kyoto)

## 1 Why Fluctuations?

## 2 D-measure

MK, in progress for progress

## 3 Baryon/Charge cumulant ratio at second order

MK, Esumi, Nonaka, 2205.10030

# A Coin Game

- ① Bet 25 Euro
- ② You get head coins of

A. 50 x 1 Euro



B. 25 x 2 Euro



Same expectation value.

# A Coin Game

- ① Bet 25 Euro
- ② You get head coins of

A. 50 x 1 Euro



B. 25 x 2 Euro



C. 1 x 50 Euro



Same expectation value.  
But, different fluctuation.

# Fluctuations in HIC @ 2nd Order

## Search for QCD CP



Fluctuation  
**increases**

Stephanov, Rajagopal, Shuryak, 1998; 1999

## Onset of QGP



Fluctuation  
**decreases**

Asakawa, Heinz, Muller, 2000;  
Jeon, Koch, 2000

# Higher Order Cumulants

A. 50 x 1 Euro



B. 25 x 2 Euro



$$2 \langle \delta \epsilon^2 \rangle_{\text{1 Euro}} = \langle \delta \epsilon^2 \rangle_{\text{2 Euro}}$$

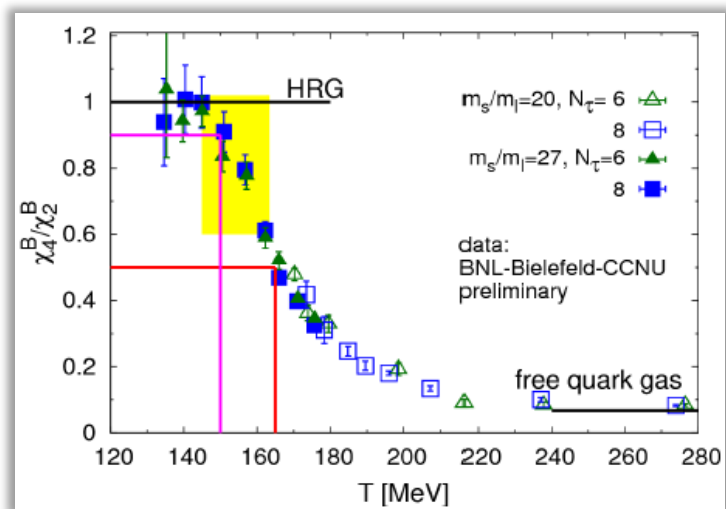
$$4 \langle \delta \epsilon^3 \rangle_{\text{1 Euro}} = \langle \delta \epsilon^3 \rangle_{\text{2 Euro}}$$

$$8 \langle \epsilon^4 \rangle_{\text{c, 1 Euro}} = \langle \epsilon^4 \rangle_{\text{c, 2 Euro}}$$

Asakawa, MK,  
PPNP 90, 299  
(2016)

# Non-Gaussian Fluctuations

## Onset of QGP



Fluctuation  
**decreases**

Ejiri, Karsch, Redlich, 2006

## Search for QCD CP



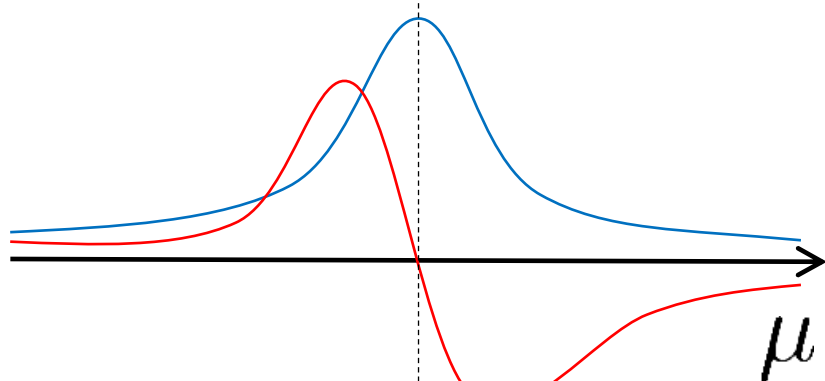
Fluctuation  
**increases**

Stephanov, 2009

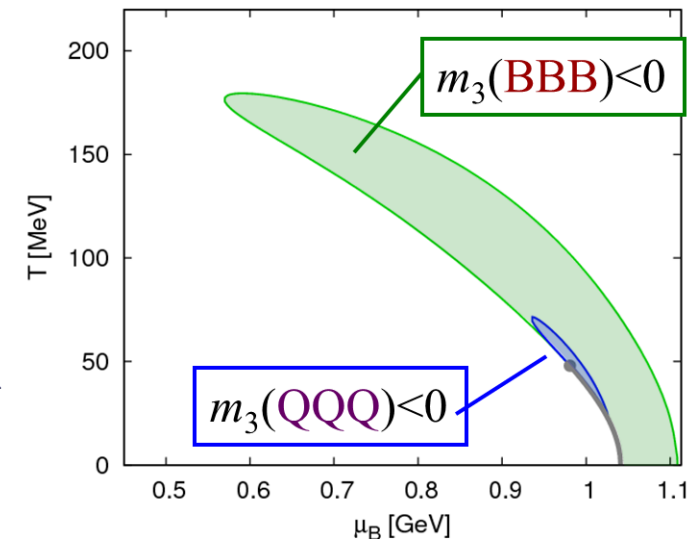
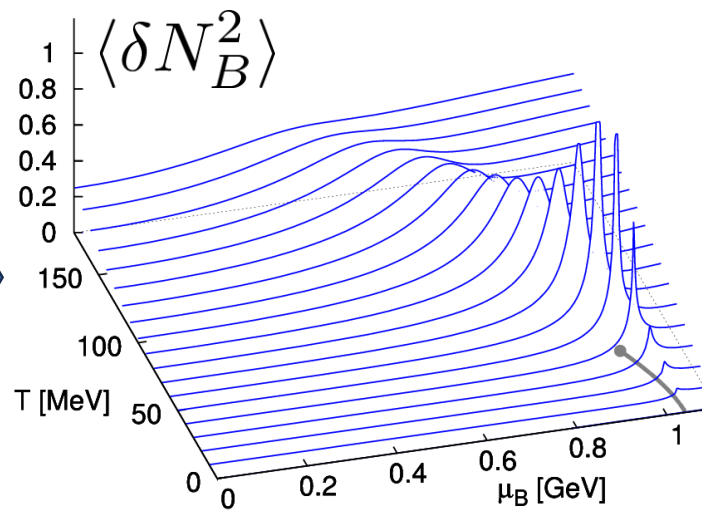
# Sign of Higher Order Cumulants

## Geometric Interpretation

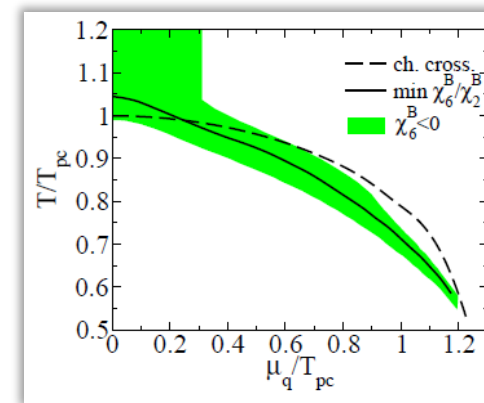
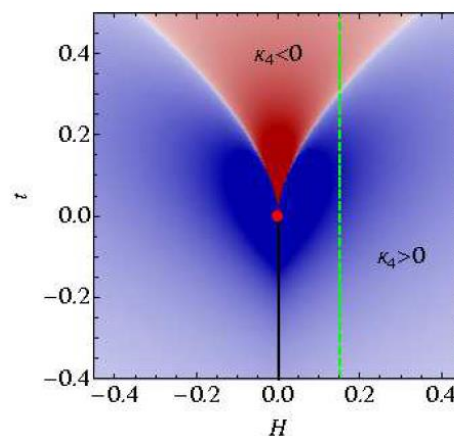
$$\langle \delta N^3 \rangle = T \frac{\partial \langle \delta N^2 \rangle}{\partial \mu}$$



Asakawa, Ejiri, MK, 2009



Asakawa, Ejiri, MK, 2009



Stephanov, 2011;

Friman, Karsch, Redlich, Skokov, 2011; ...



# Fragile Higher Order Cumulants

Ex.: Relation b/w baryon & proton # cumulants  
(with approximations)

MK, Asakawa, 2012

$$\left\{ \begin{array}{l}
 2\langle(\delta N_p^{(\text{net})})^2\rangle = \frac{1}{2}\langle(\delta N_B^{(\text{net})})^2\rangle + \frac{1}{2}\langle(\delta N_B^{(\text{net})})^2\rangle_{\text{free}} \\
 2\langle(\delta N_p^{(\text{net})})^3\rangle = \frac{1}{4}\langle(\delta N_B^{(\text{net})})^3\rangle + \frac{3}{4}\langle(\delta N_B^{(\text{net})})^3\rangle_{\text{free}} \\
 2\langle(\delta N_p^{(\text{net})})^4\rangle_c = \frac{1}{8}\langle(\delta N_B^{(\text{net})})^4\rangle_c + \dots
 \end{array} \right.$$

genuine info.
Poisson noise

Higher orders are more seriously affected by efficiency loss.  
Volume fluctuations are another source of the modification.

1 Why Fluctuations?

2 D-measure

MK, in progress for progress

3 Baryon/Charge cumulant ratio at second order

MK, Esumi, Nonaka, 2205.10030

# D-Measure = Net-charge Fluctuations

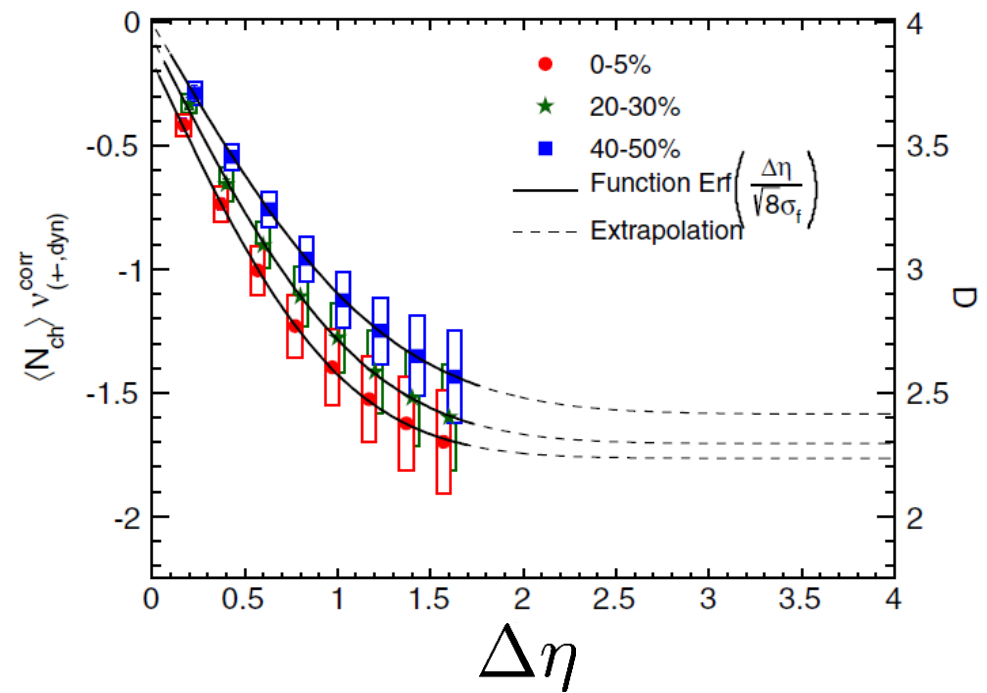
## D-measure

$$D = 4 \frac{\langle N_Q^2 \rangle_c}{\langle N_Q^{\text{tot}} \rangle}$$

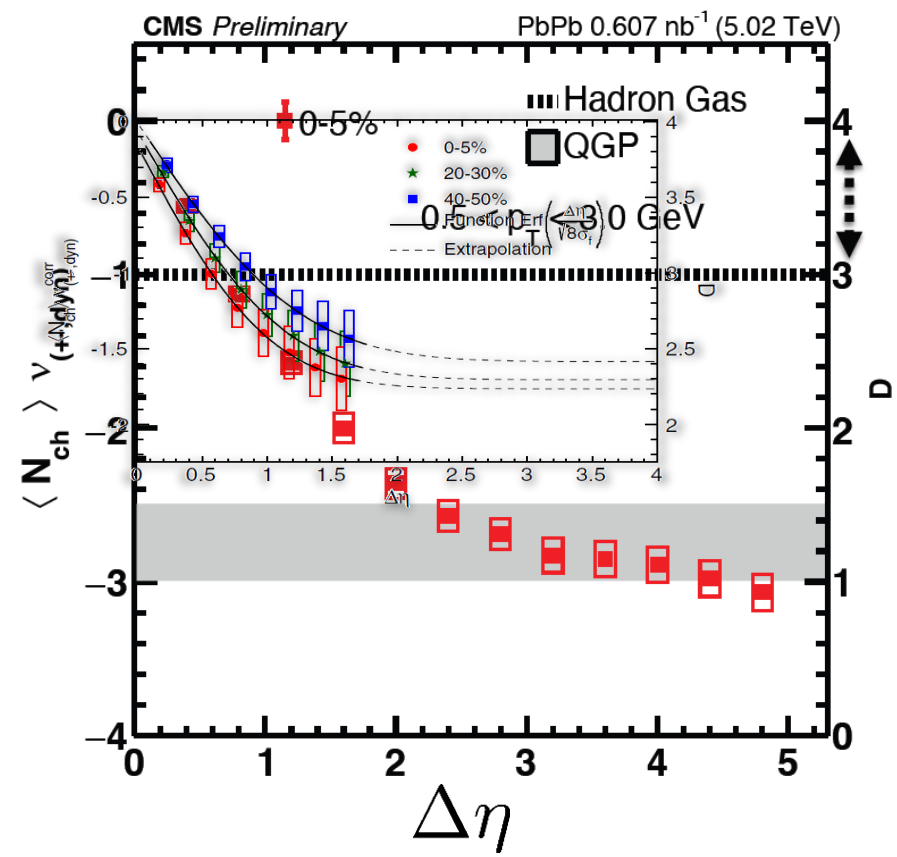
- Hadron gas  
 $D \simeq 3$
- QGP  
 $D \simeq 1 - 1.5$

Jeon, Koch, 2000

ALICE, PRL2013

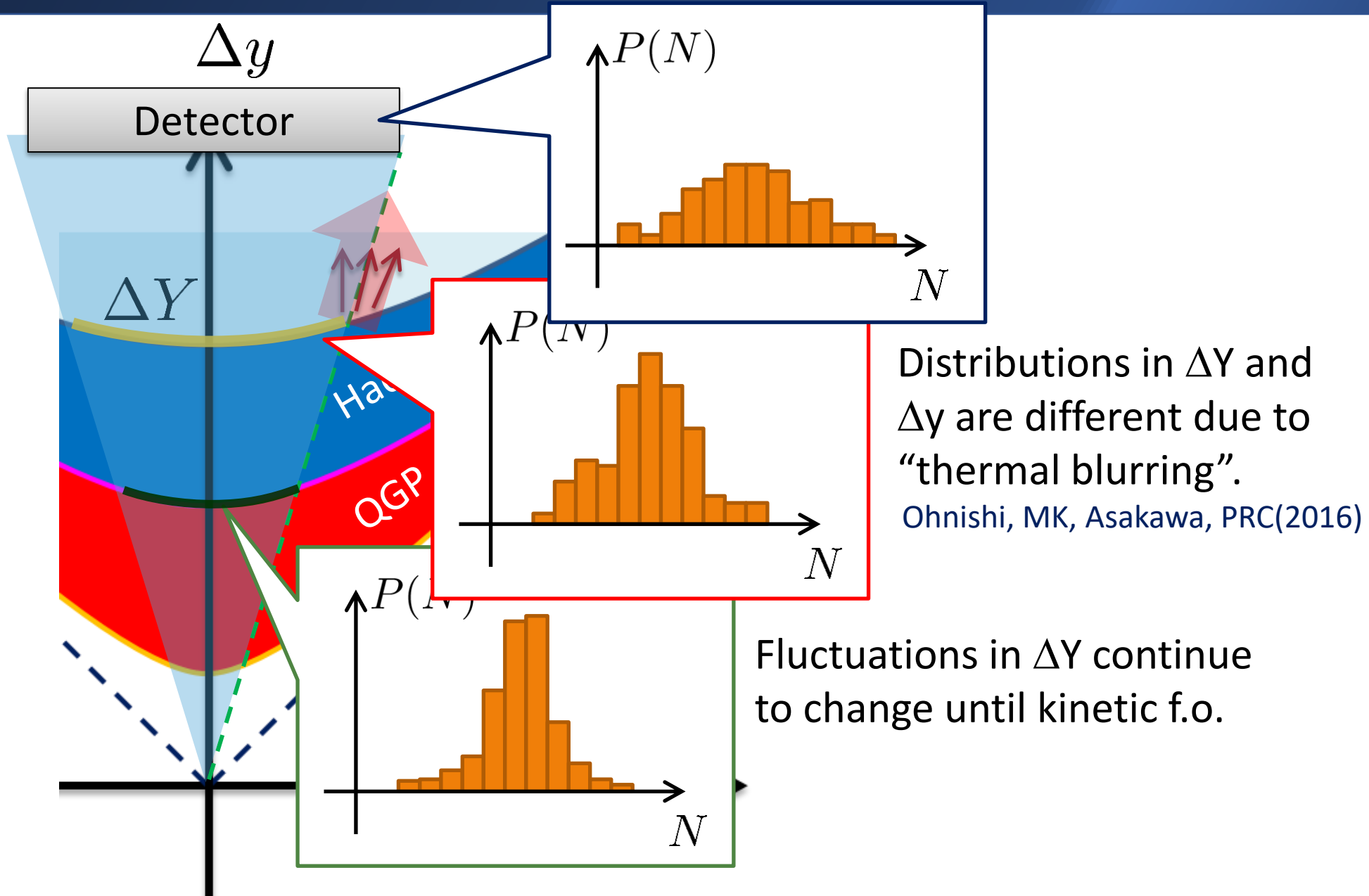


CMS (Tuo, QM2023)

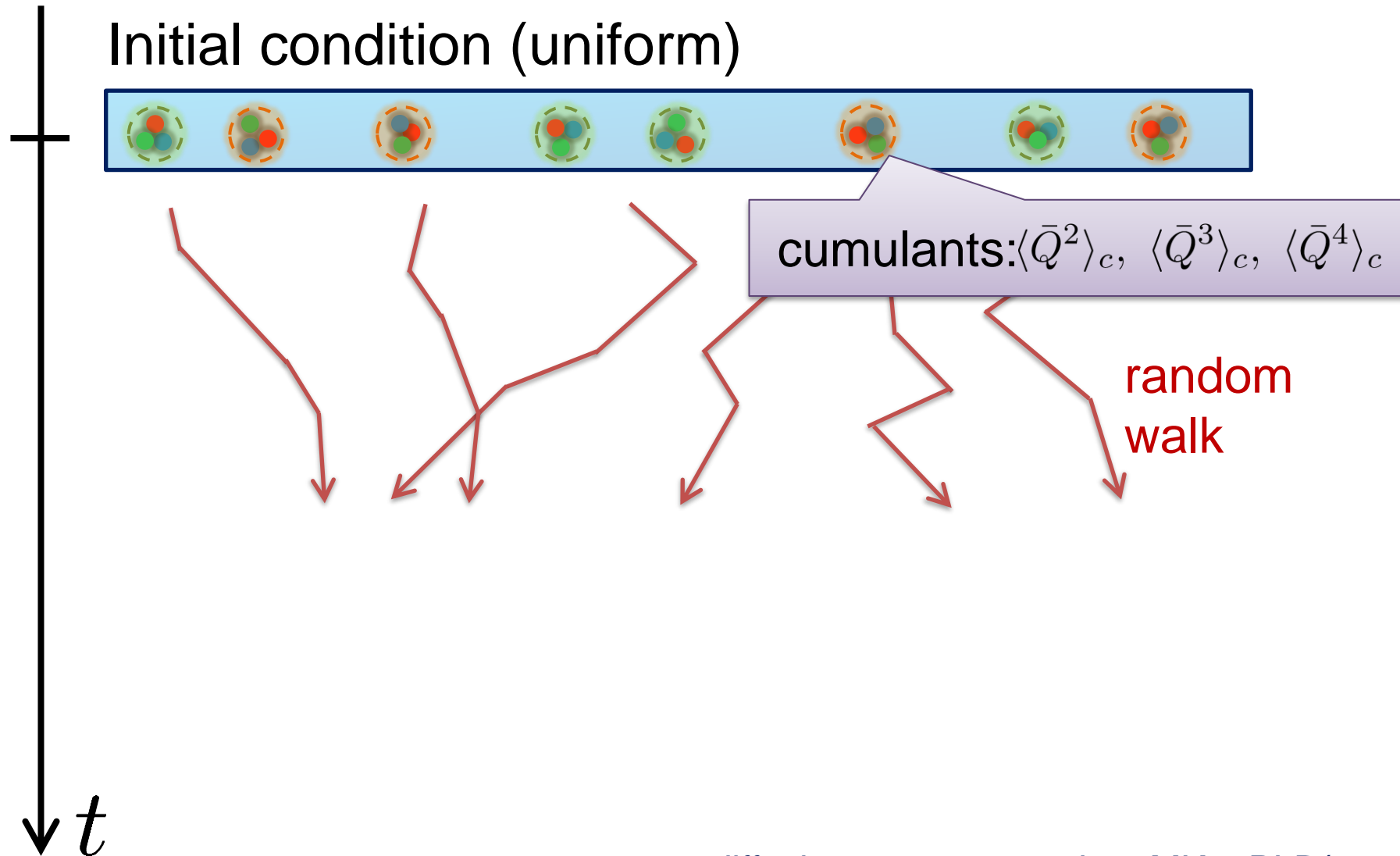


$0.5 < p_T < 3.0 \text{ GeV}$

# Diffusion of Fluctuations

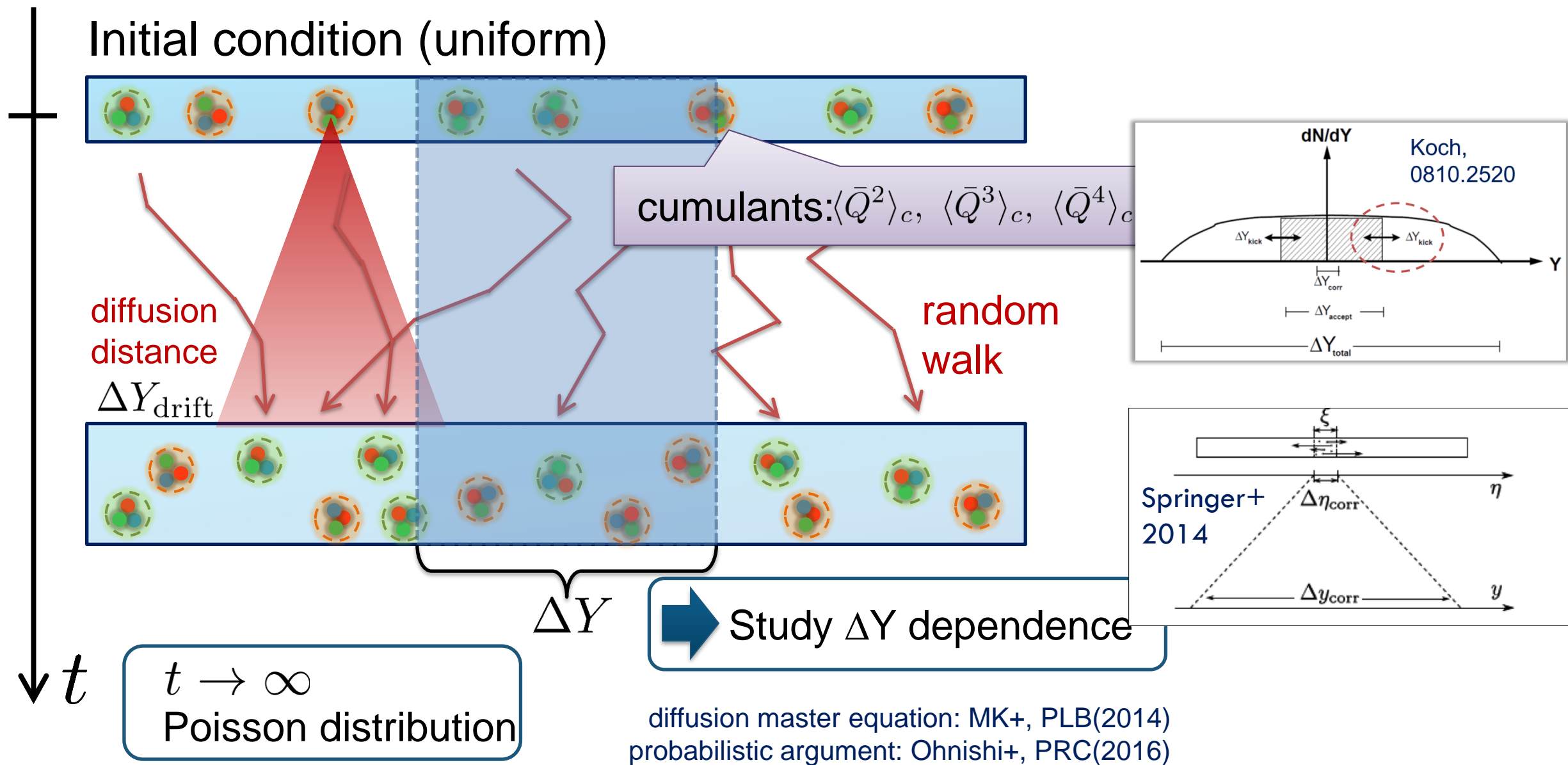


# (non-interacting) Brownian Particle Model



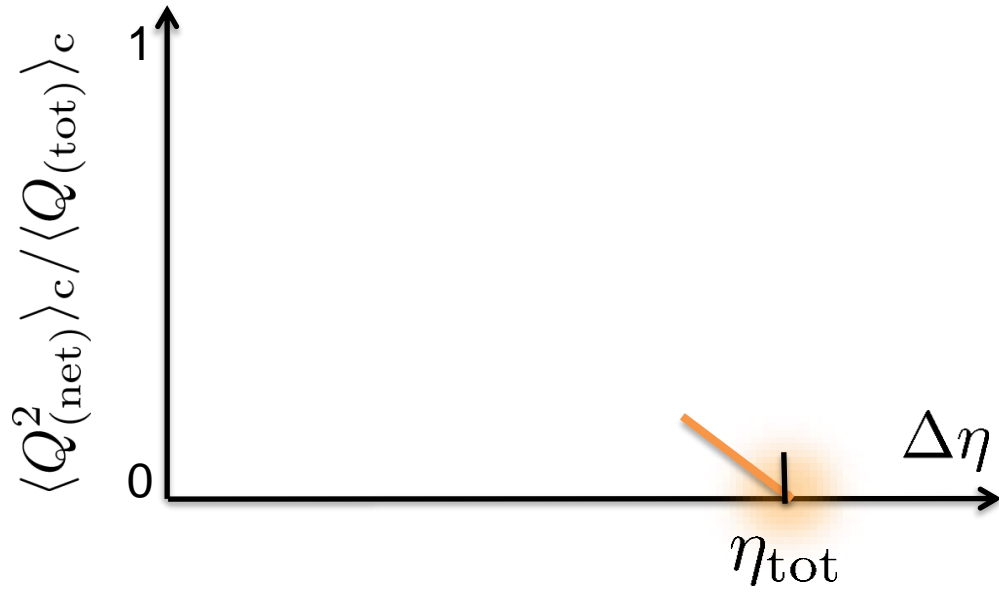
diffusion master equation: MK+, PLB(2014)  
 probabilistic argument: Ohnishi+, PRC(2016)

# (non-interacting) Brownian Particle Model



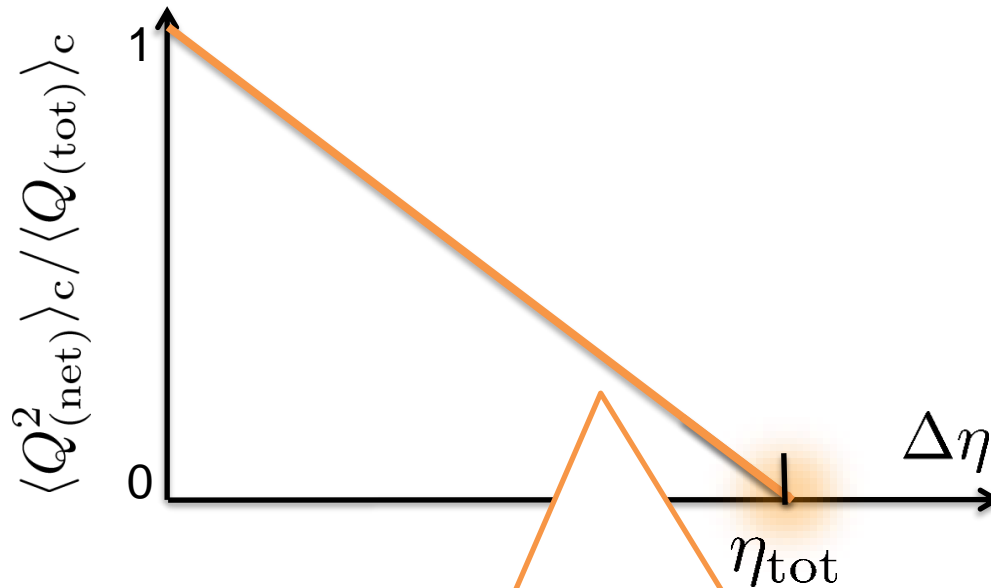
# Global Charge Conservation

Conserved charges in the total system do not fluctuate!



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Conserved charges in the total system do not fluctuate!



GCC Effect without local correlations

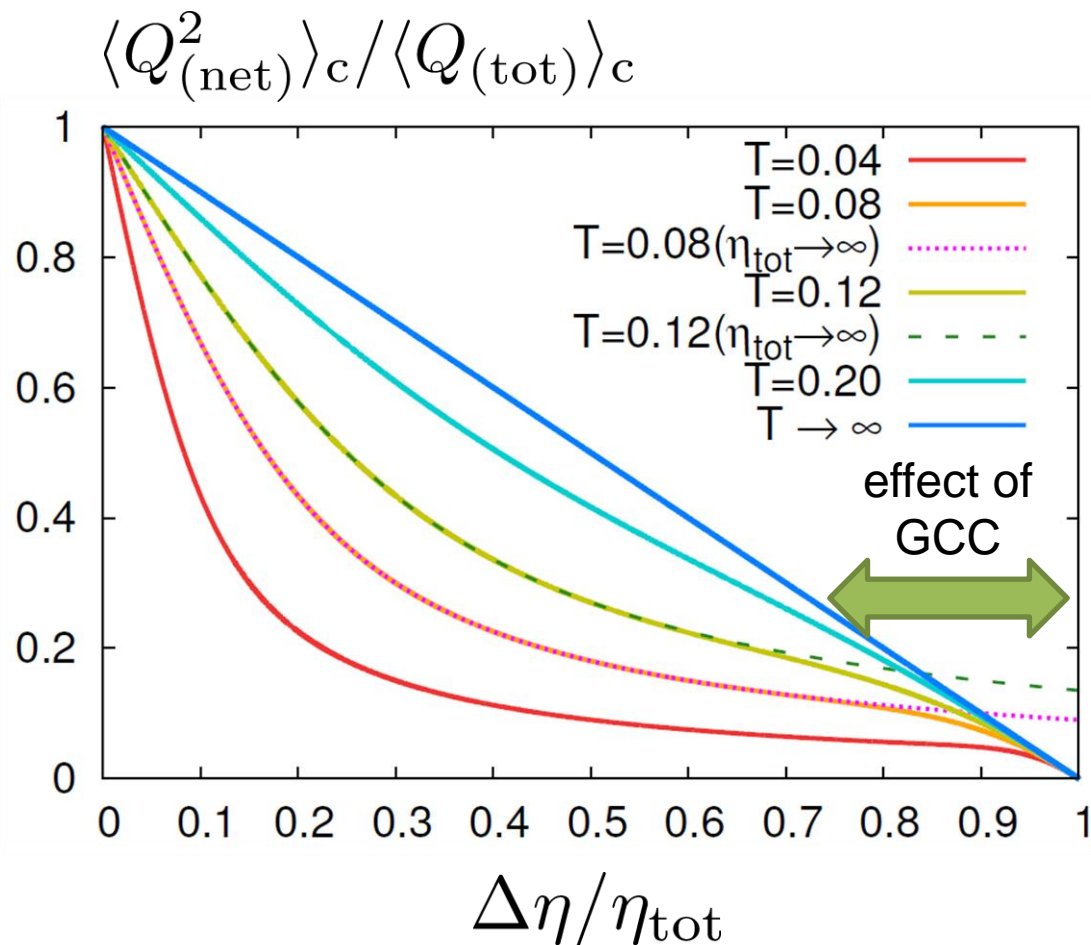
$$\langle \delta N^2 \rangle_{\text{GCC}} = \langle \delta N^2 \rangle_{\text{inf}} \times \left( 1 - \frac{\Delta\eta}{\eta_{\text{tot}}} \right)$$



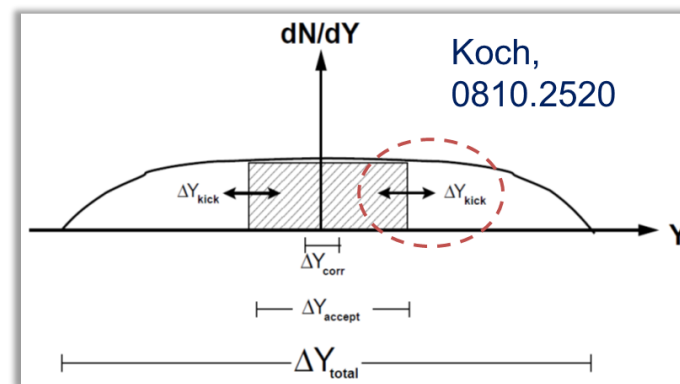
# Diffusion in Finite Volume

Sakaida, Asakawa, MK, PRC, 2014

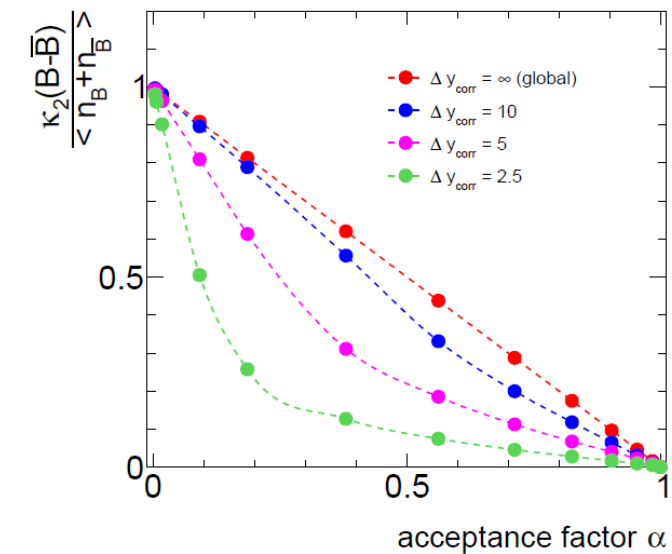
## Result of the diffusion model in finite volume



- $d(\tau)$ : diffusion distance
- $\eta_{\text{tot}}$ : total rapidity



$$T = \frac{d(\tau)}{\eta_{\text{tot}}}$$

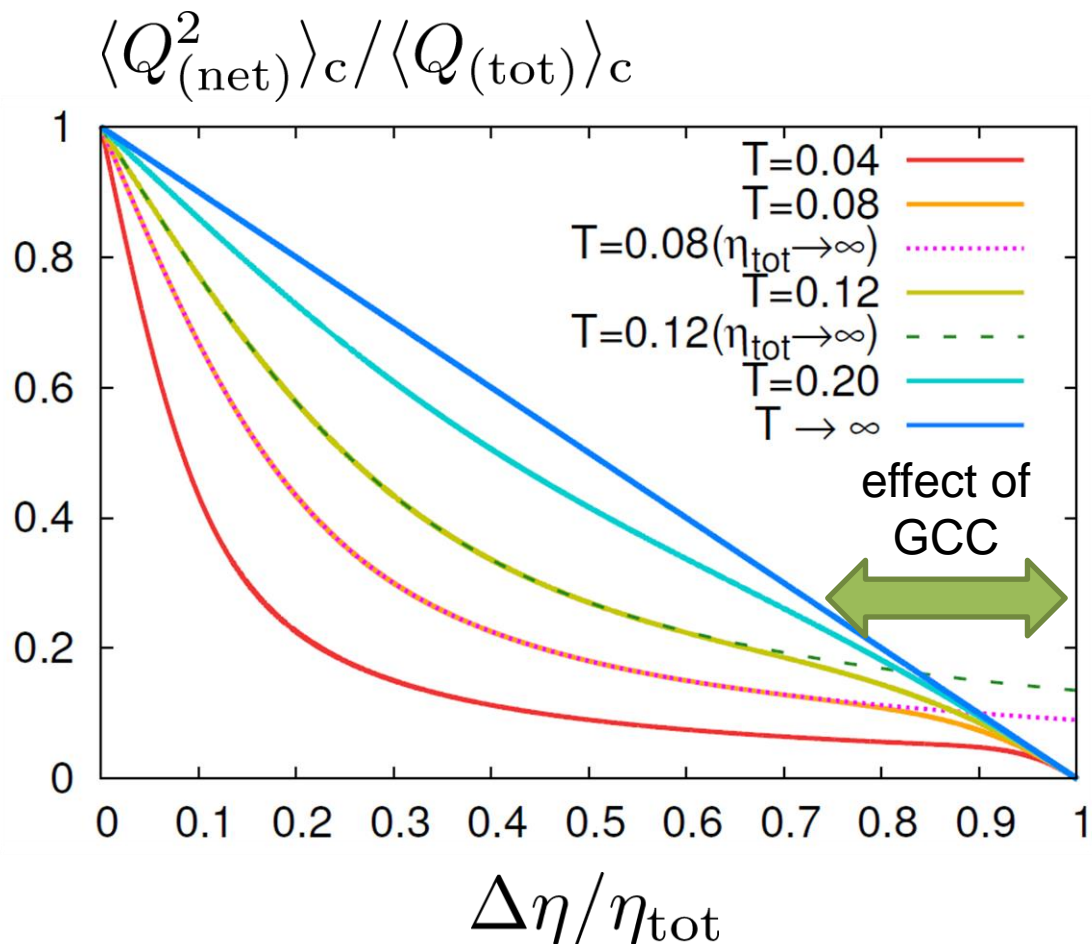


PBM, Rustomov, Stachel  
1907.03032

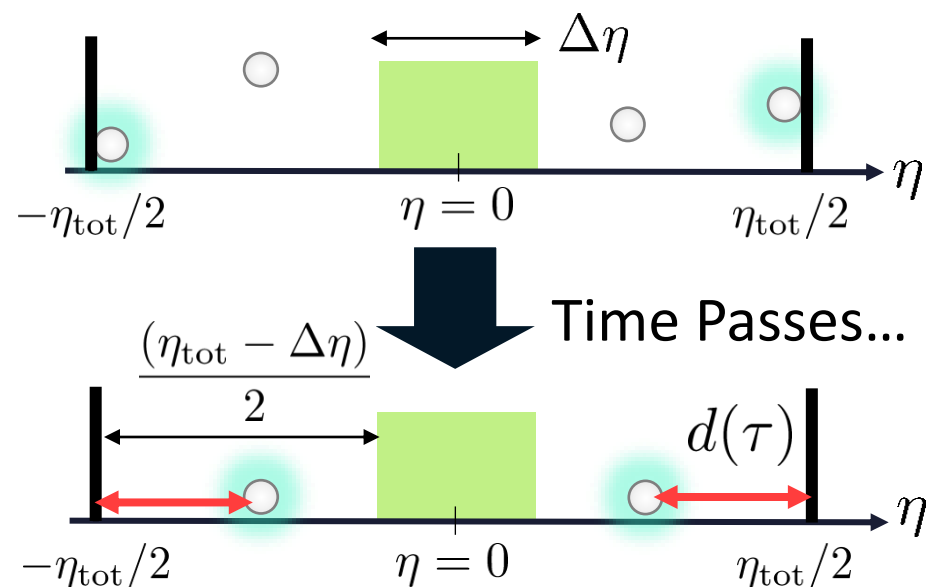
# Diffusion in Finite Volume

## Result of the diffusion model in finite volume

Sakaida, Asakawa, MK, PRC, 2014



## Physical Interpretation

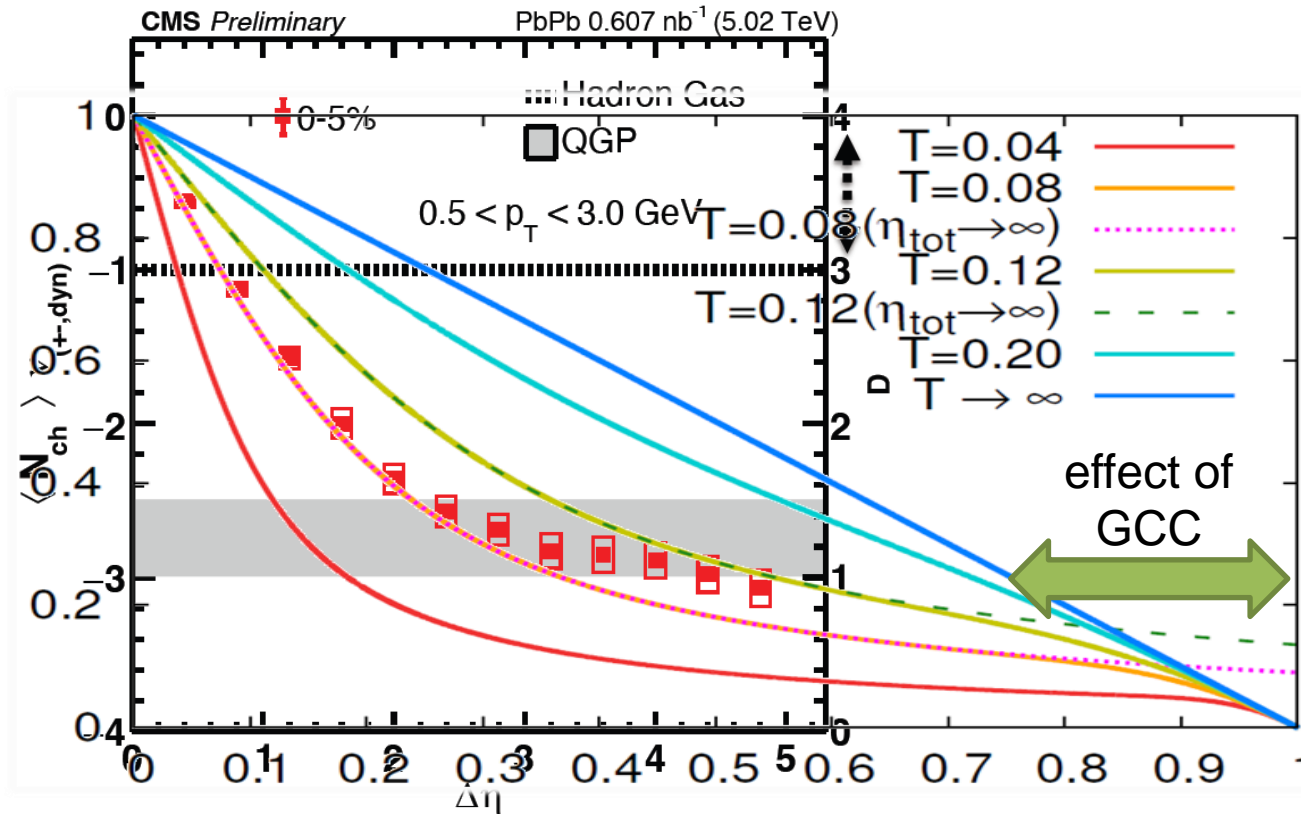


$d(\tau)$  : Averaged Diffusion Distance

$\eta_{\text{tot}}$  : Total Length of Matter

# Comparison with CMS Result

MK, in progress for preparation

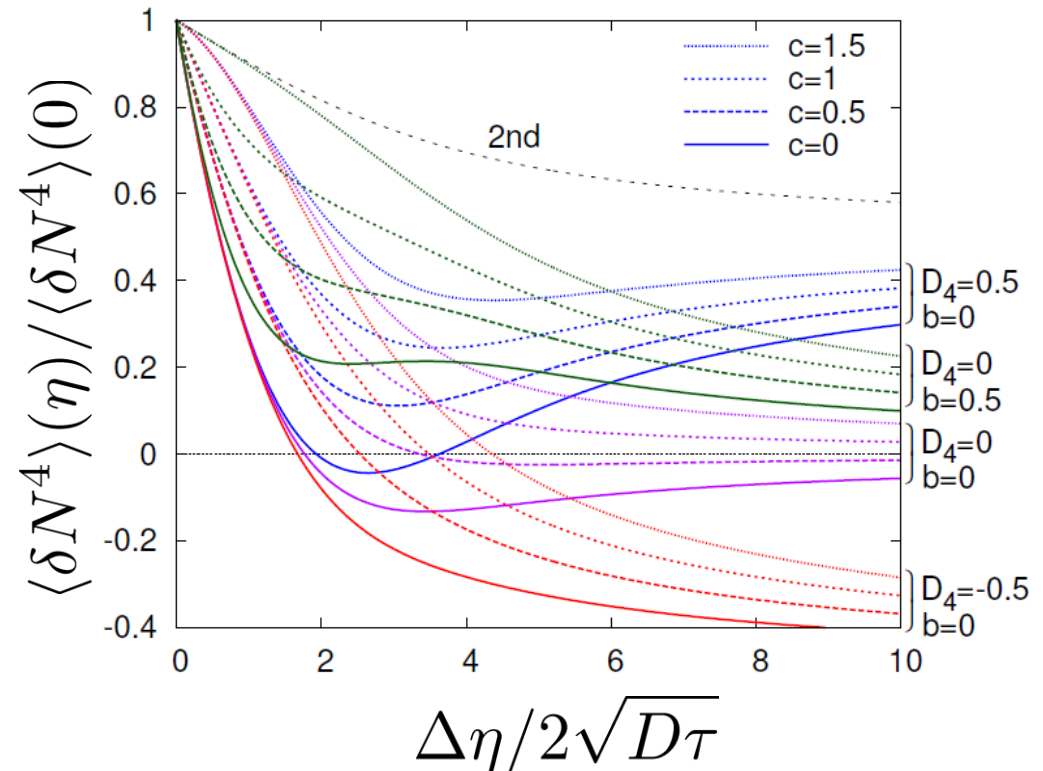
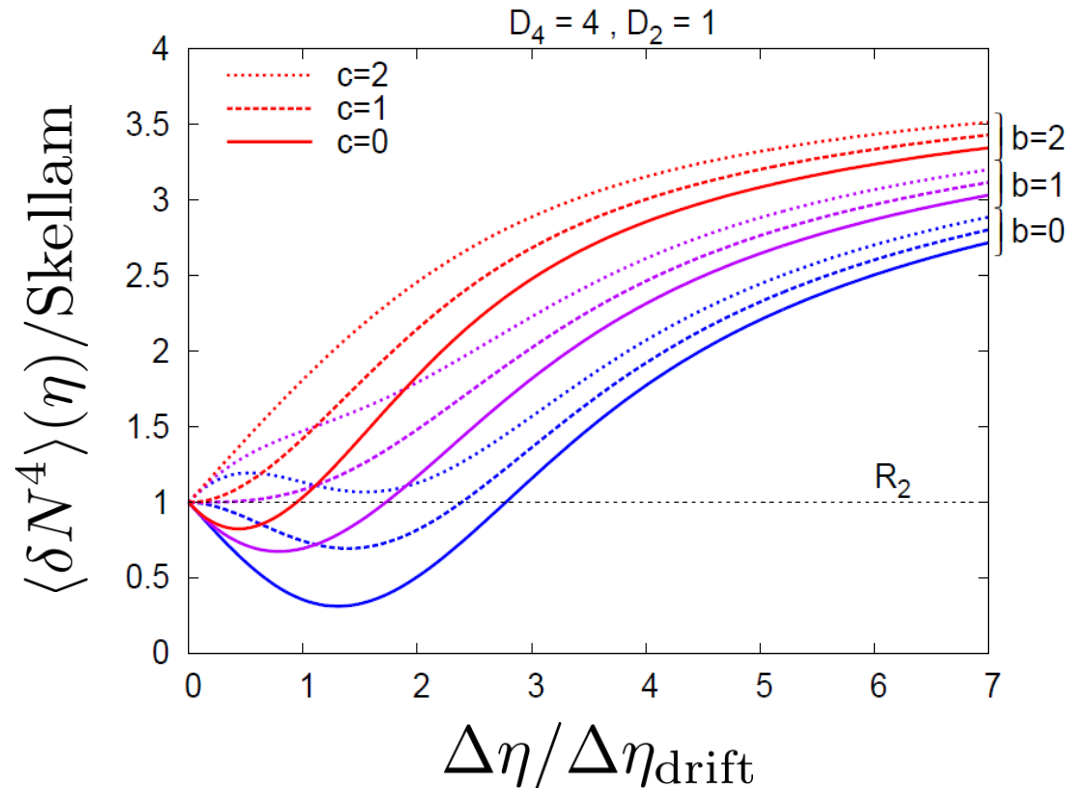


- CMS result is well described by a simple diffusion model in finite volume.
- Effects of the global charge conservation do not affect the results for  $\Delta\eta < 5$ .

# Higher Order Cumulants in the Diffusion Model

## Predictions for higher order cumulants

MK+ (2014); MK (2015)



- Higher order cumulants can have various non-monotonic  $\Delta\eta$  dependence reflecting the initial condition.
- Their experimental measurement gives us insights into it!

# Revisiting Estimates of D-measure

## D-measure

$$D = 4 \frac{\langle N_Q^2 \rangle_c}{\langle N_Q^{\text{tot}} \rangle}$$

□ Hadron gas

$$D \simeq 3$$

□ QGP

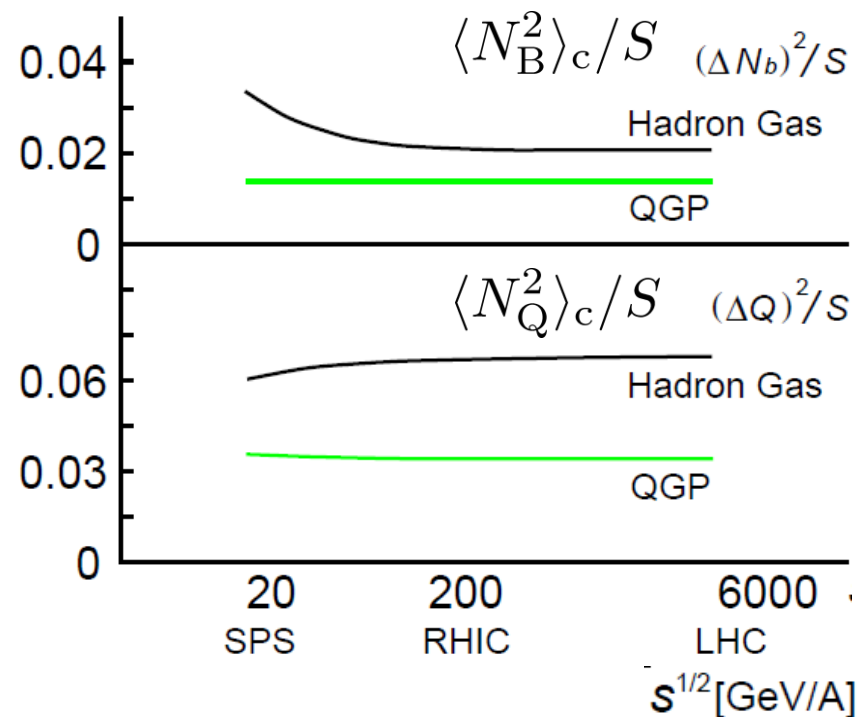
$$D \simeq 1 - 1.5$$

Jeon, Koch, 2000

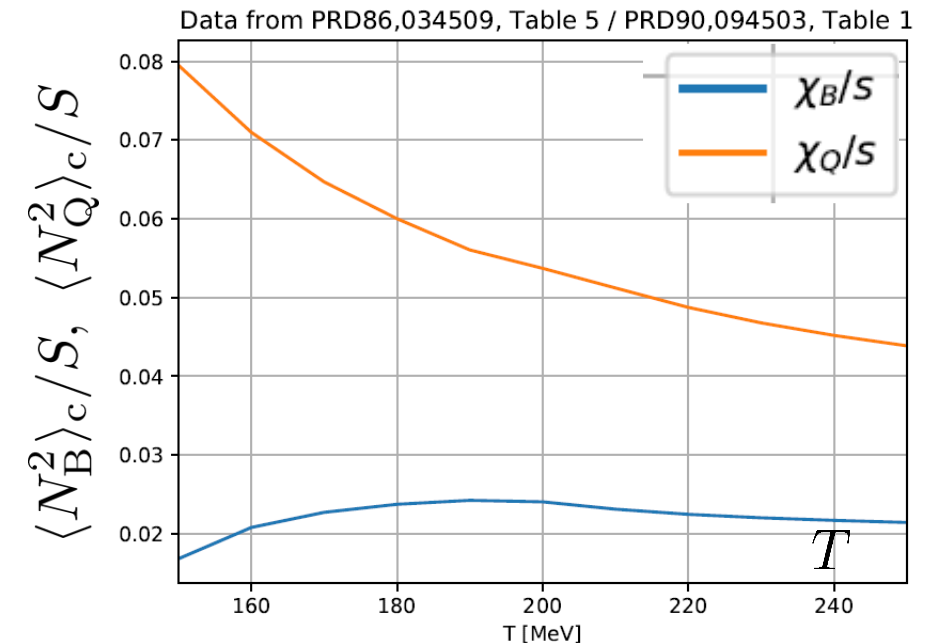
$$D \sim \frac{\langle N_Q^2 \rangle_c}{S}$$

## Prediction in 2000

Asakawa, Heinz, Mueller ('00)



## From Recent Lattice Data

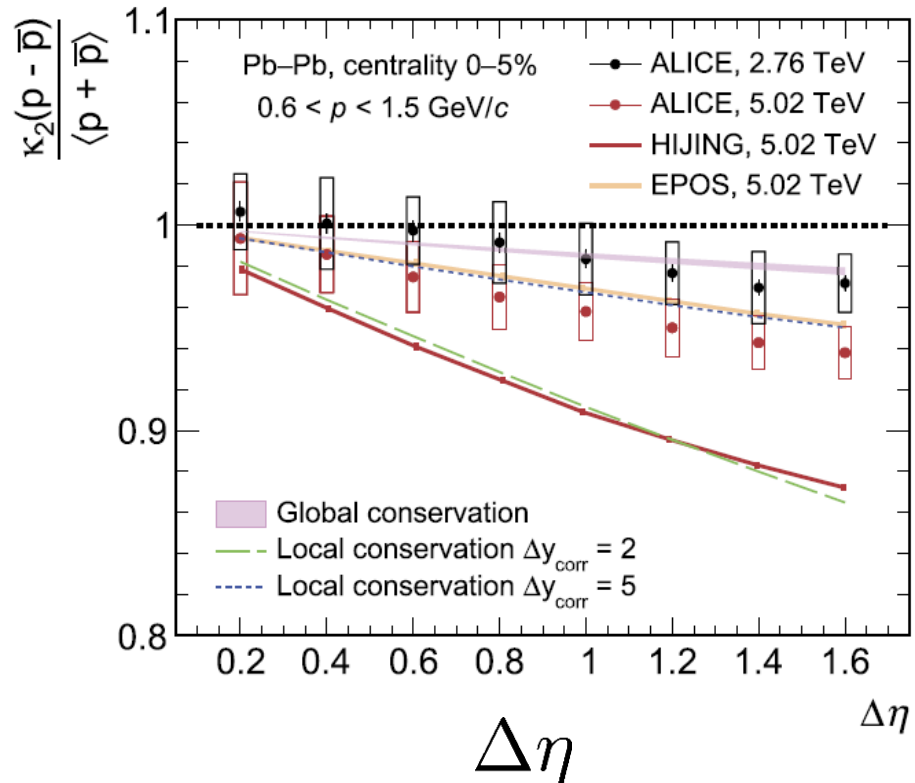


Bazavov+ ('12); Bazavov+ ('14)

- Estimate on  $\langle N_B^2 \rangle_c, \langle N_Q^2 \rangle_c$  should be made using latest lattice results.
- The value of  $\langle N_B^2 \rangle_c / S$  are insensitive to  $T$ .

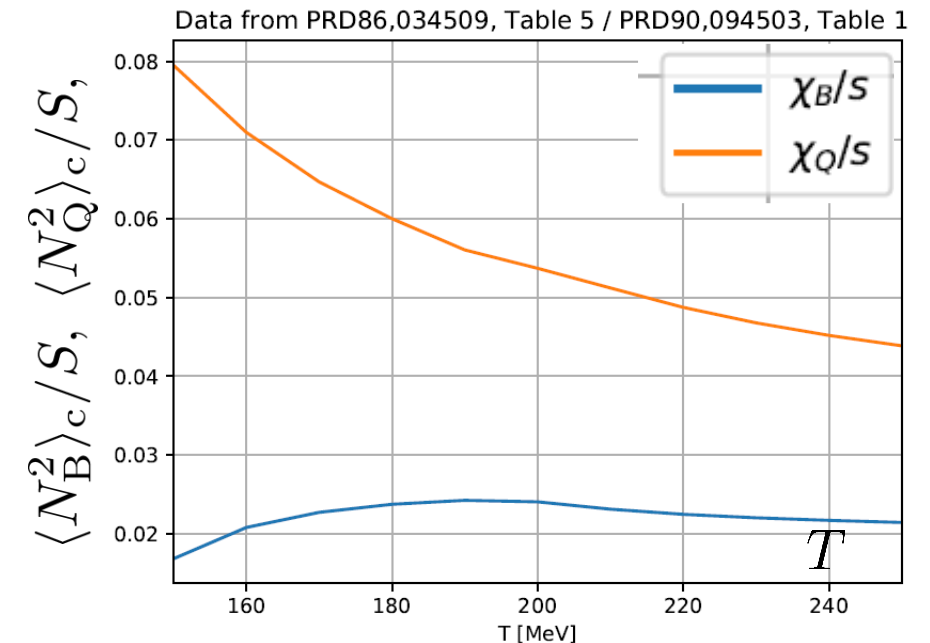
# Net-Proton Fluctuations @ ALICE

## Net proton fluctuation



ALICE, PLB (2023)

## From Recent Lattice Data



Bazavov+ ('12); Bazavov+ ('14)

- Weak  $T$  dependence of  $\langle N_B^2 \rangle / S$  may explain the ALICE data on the proton fluctuation.

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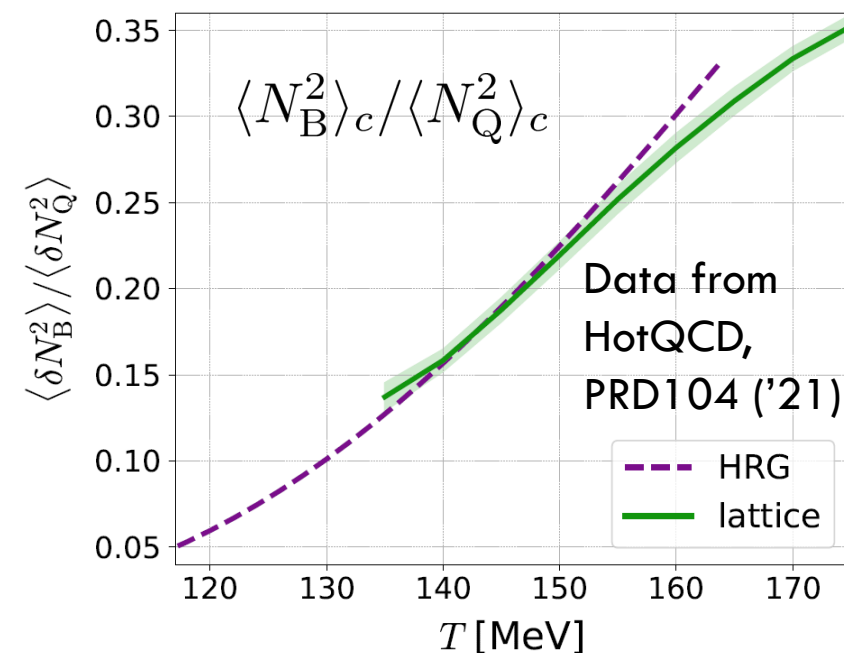
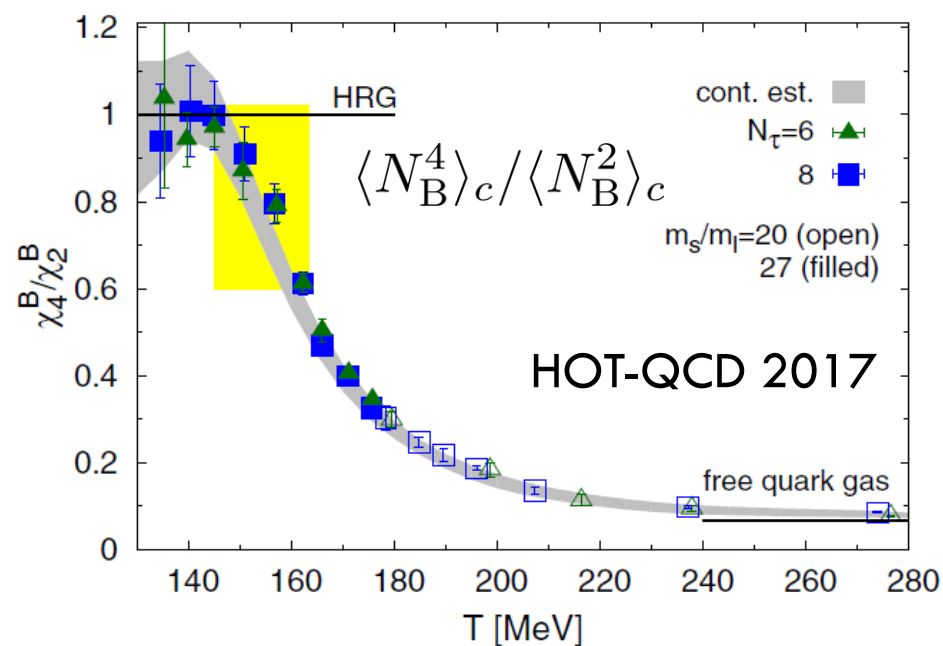
MK, Esumi, Nonaka, 2205.10030

$$\langle N_B^2 \rangle_c / \langle N_Q^2 \rangle_c$$

MK, Esumi, Nonaka, 2205.10030

$$\langle N_B^2 \rangle_c / \langle N_Q^2 \rangle_c$$

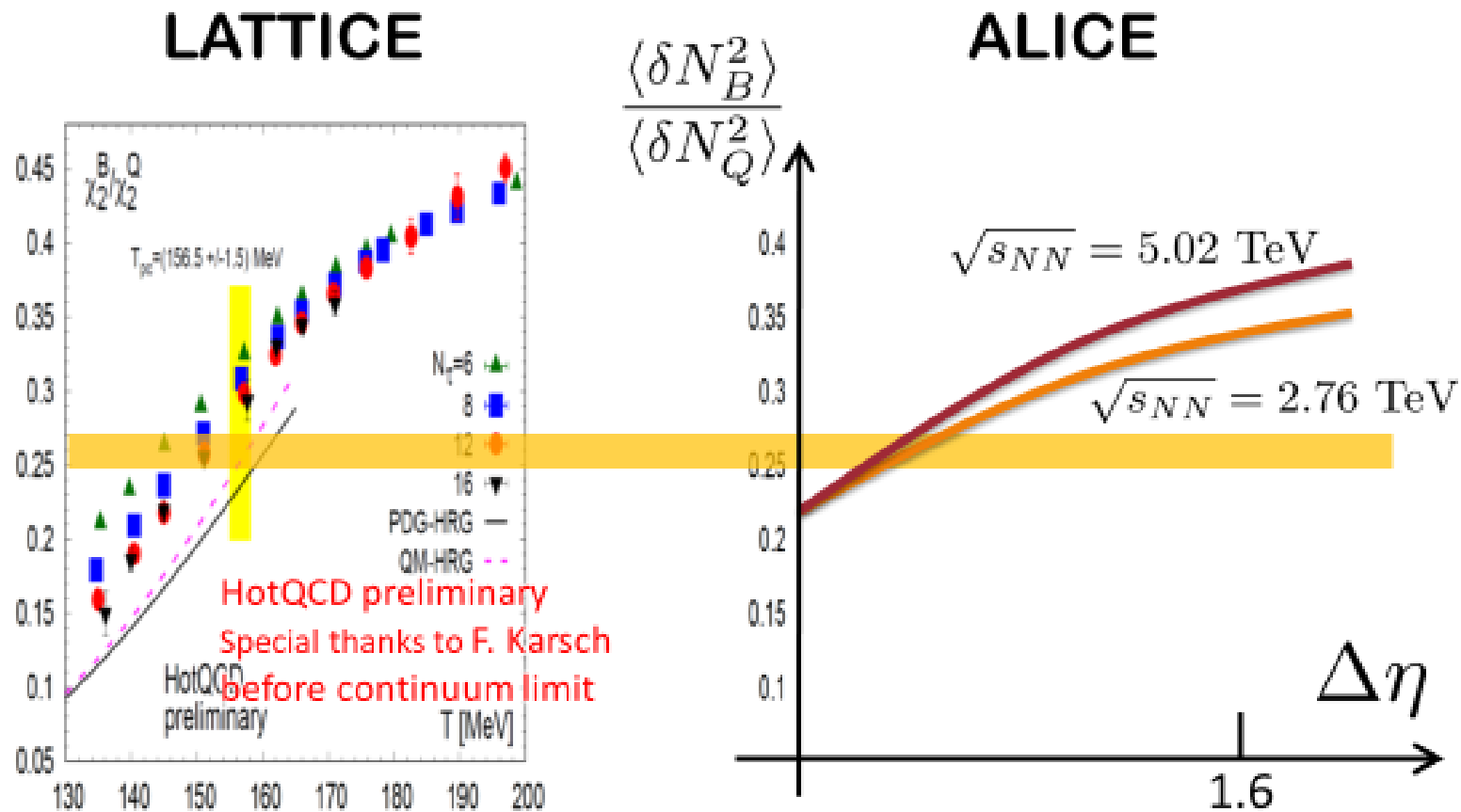
- Ratio of 2nd order: Suppress uncertainties from various experimental effects compared with higher orders.
- Almost linear  $T$  dependence around  $T_c^*$ .





## From my Slide for RRTF2019

## Prediction



**$\Delta \eta$  dependence for tracing back the history!**

# Experimental Data

$$\langle N_p^2 \rangle_c$$

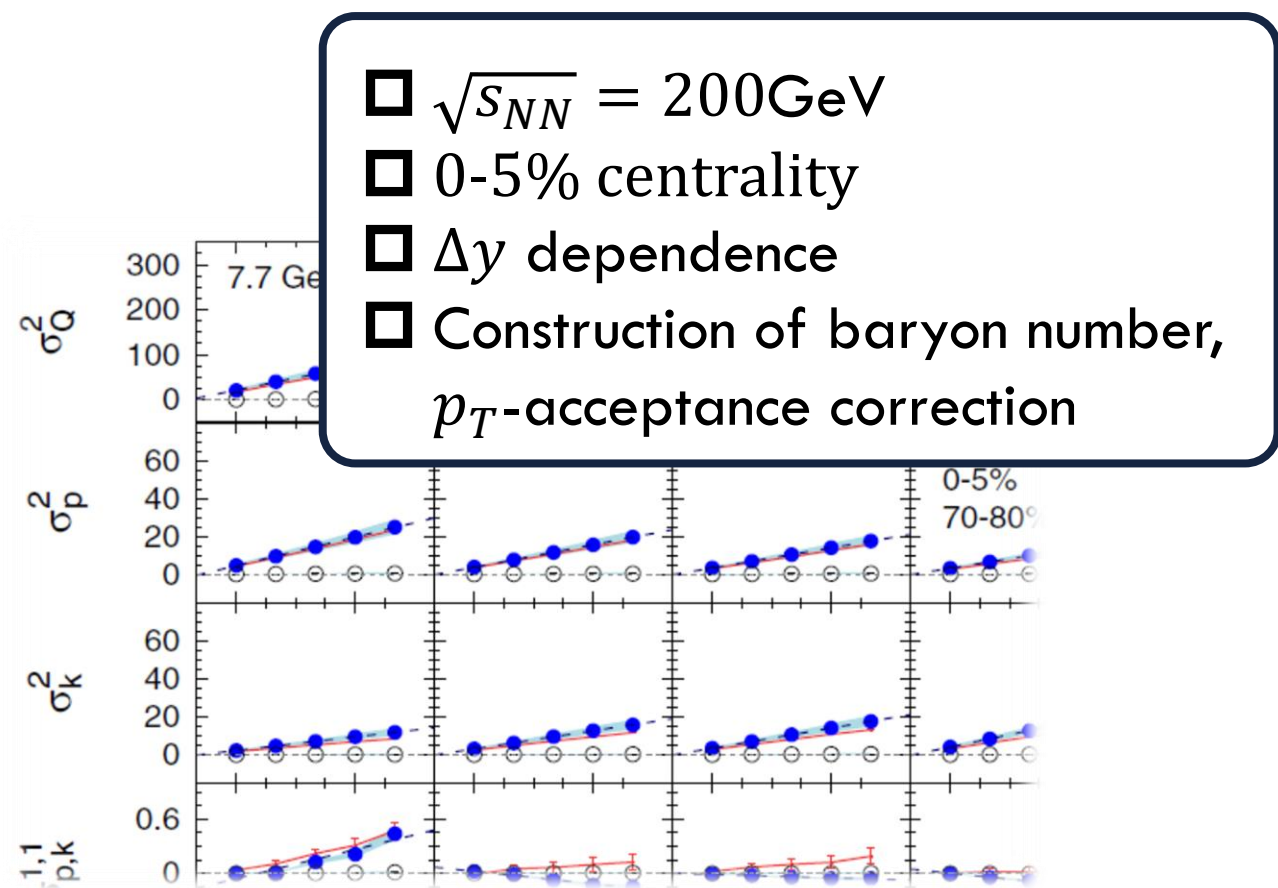
**STAR, PRC104,024902 (2021)**

- proton cumulants up to 4th order
- **rapidity window  $\Delta y$**
- $0.4 < p_T < 2.0 \text{ GeV}/c$

$$\langle N_Q^2 \rangle_c$$

**STAR, PRC100,014902 (2019)**

- 2nd mixed cumulants of p,  $\pi$ , K, Q
- **pseudo-rapidity window  $\Delta \eta$**
- $0.4 < p_T < 1.6 \text{ GeV}/c$
- Total charge: private comm. A. Chatterjee



- $\sqrt{s_{NN}} = 200 \text{ GeV}$
- 0-5% centrality
- $\Delta y$  dependence
- Construction of baryon number,  $p_T$ -acceptance correction

- proton  $\rightarrow$  baryon cumulants *MK, Asakawa, '12; '12*
- Rapidity is better than pseudo-rapidity  
*Ohnishi, MK, Asakawa, '16*
- Wider acceptance is more desirable.

# $p_T$ -Acceptance Correction

## $p_T$ Acceptance

$$0.4 < p_T < 1.6 \text{ [GeV/c]}$$

PRC100,014902('19)

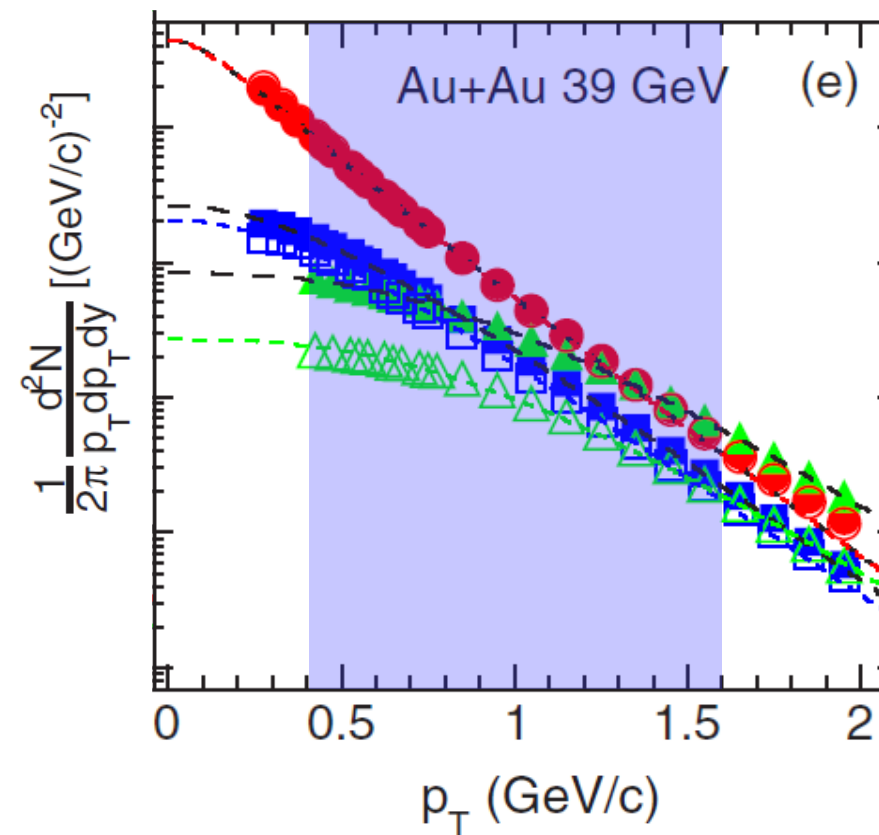
$$0.4 < p_T < 2.0 \text{ [GeV/c]}$$

PRC104,024902('21)

## Particles in $p_T$ space

- Electric charge: **49%**
- Protons: **82%**

blast wave model @  $\sqrt{s_{NN}} = 200 \text{ GeV}$



Modification by  $p_T$ -cut should be corrected.  
This study: Binomial distribution model.

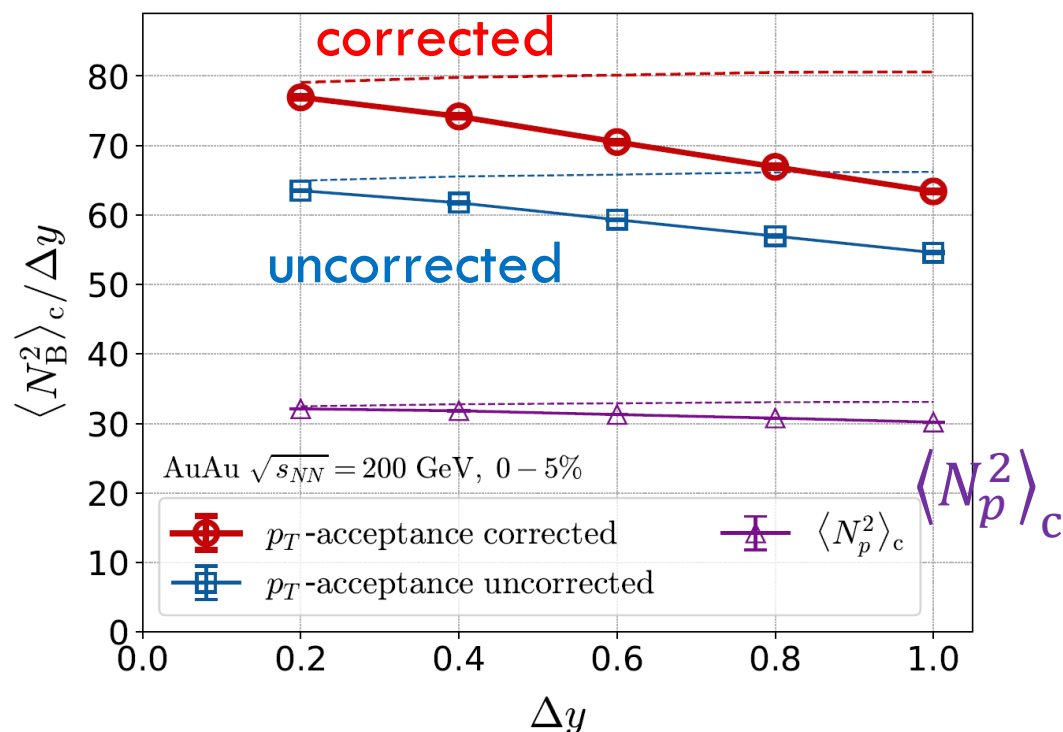
$$\langle N_{\text{net}}^2 \rangle_c^{\text{corrected}} = \frac{1}{p^2} \left( \langle n_{\text{net}}^2 \rangle_c - (1-p) \langle n_{\text{tot}} \rangle_c \right)$$

MK, Asakawa, '12, '12

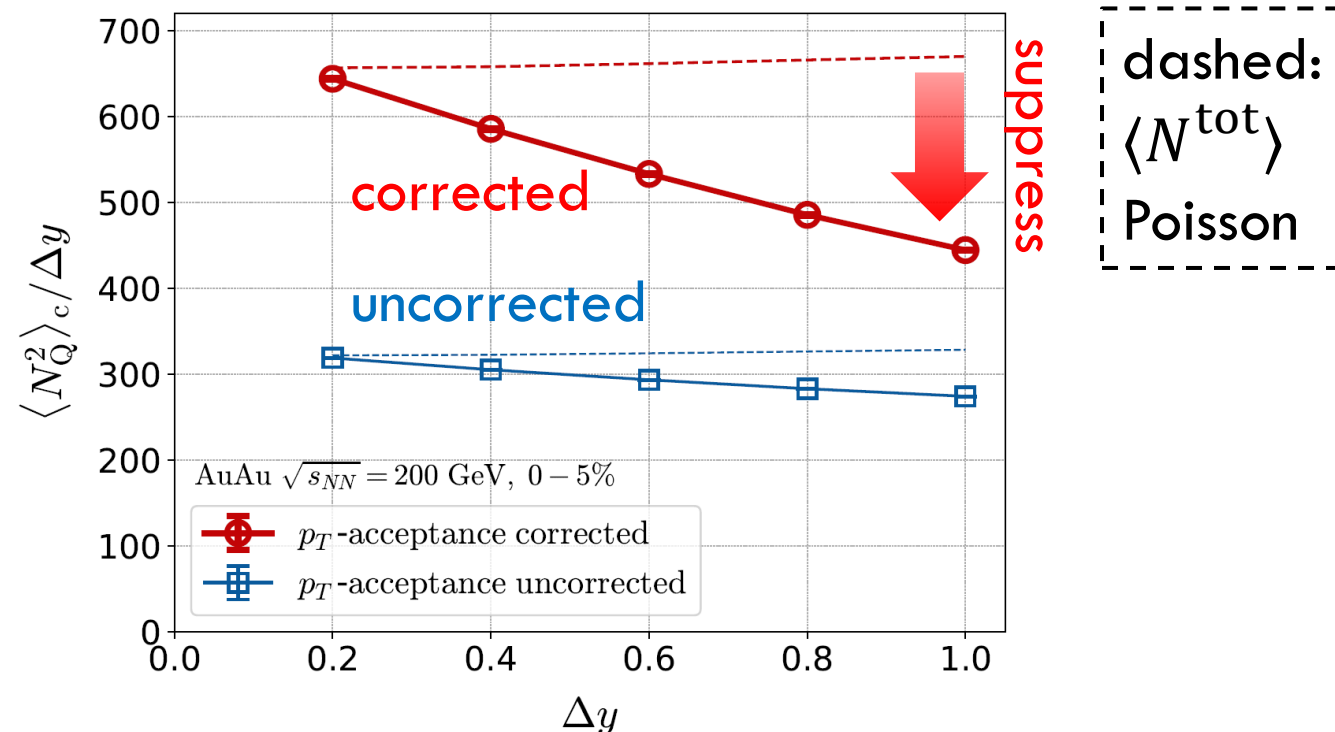
# Cumulants: Proton $\rightarrow$ Baryon & Acceptance Correction

Data from STAR, '19, '21

$$\langle N_B^2 \rangle_c / \Delta y$$



$$\langle N_Q^2 \rangle_c / \Delta y$$

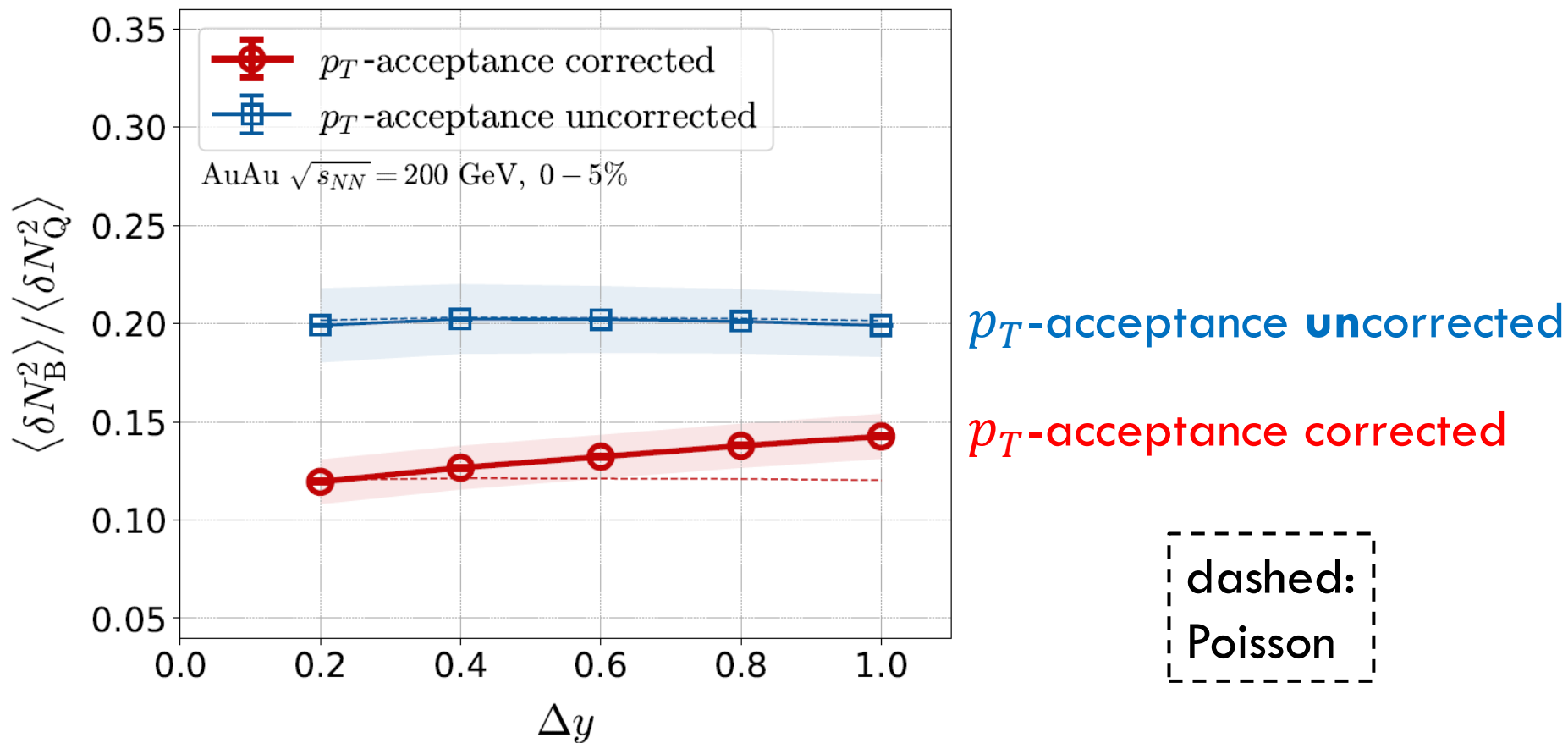


□ Deviation from Poissonian is clarified by the acceptance correction.

$$\langle N_{\text{net}}^2 \rangle_c^{\text{corrected}} = \frac{1}{p^2} \left( \langle n_{\text{net}}^2 \rangle_c - (1-p) \langle n_{\text{tot}} \rangle_c \right)$$

MK, Asakawa, '12, '12

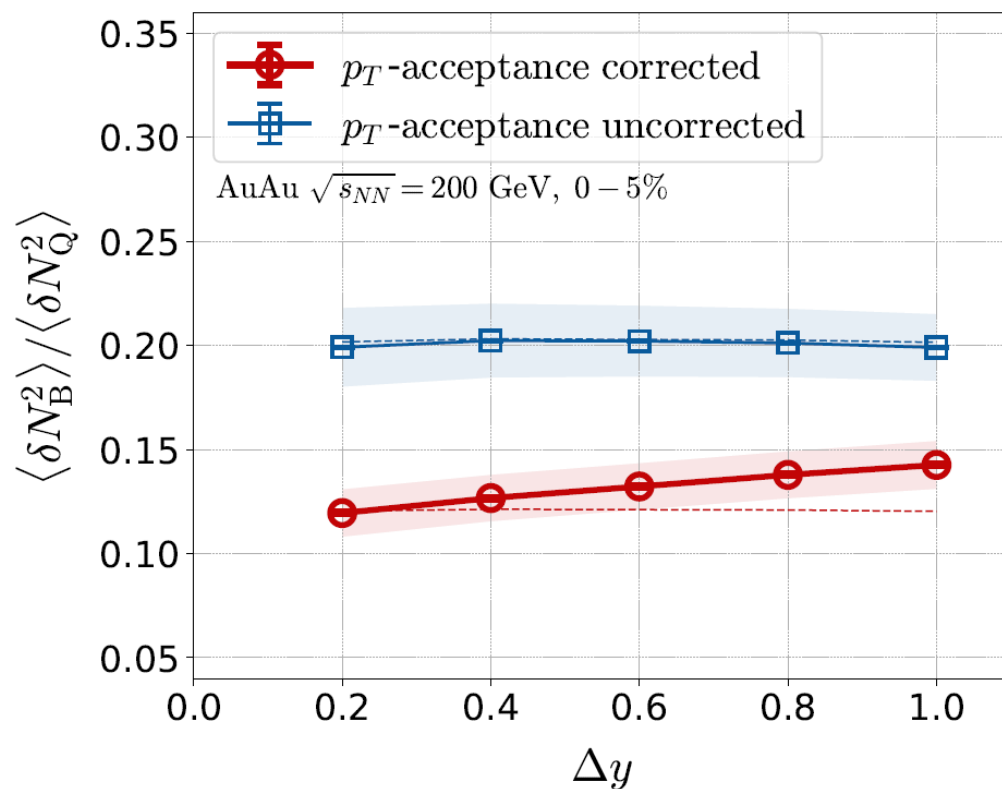
$$\langle N_B^2 \rangle_c / \langle N_Q^2 \rangle_c$$



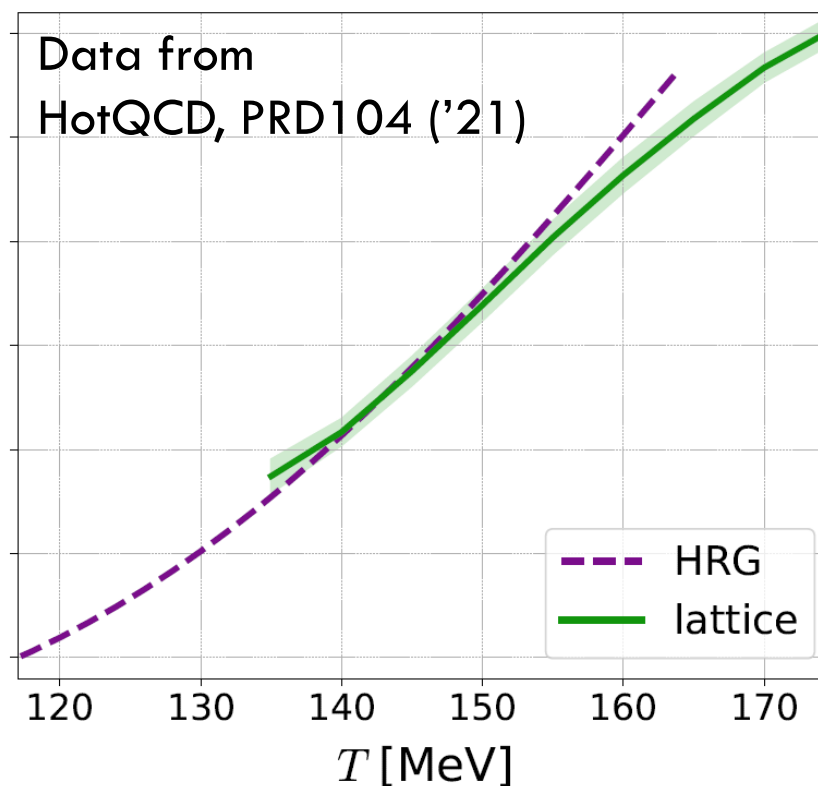
- $\langle N_B^2 \rangle_c / \langle N_Q^2 \rangle_c$  becomes smaller due to the  $p_T$ -acceptance correction.
- Clear  $\Delta y$  dependence → non-thermal effects behind fluctuations

# HIC vs HRG&LAT

## From data @ STAR



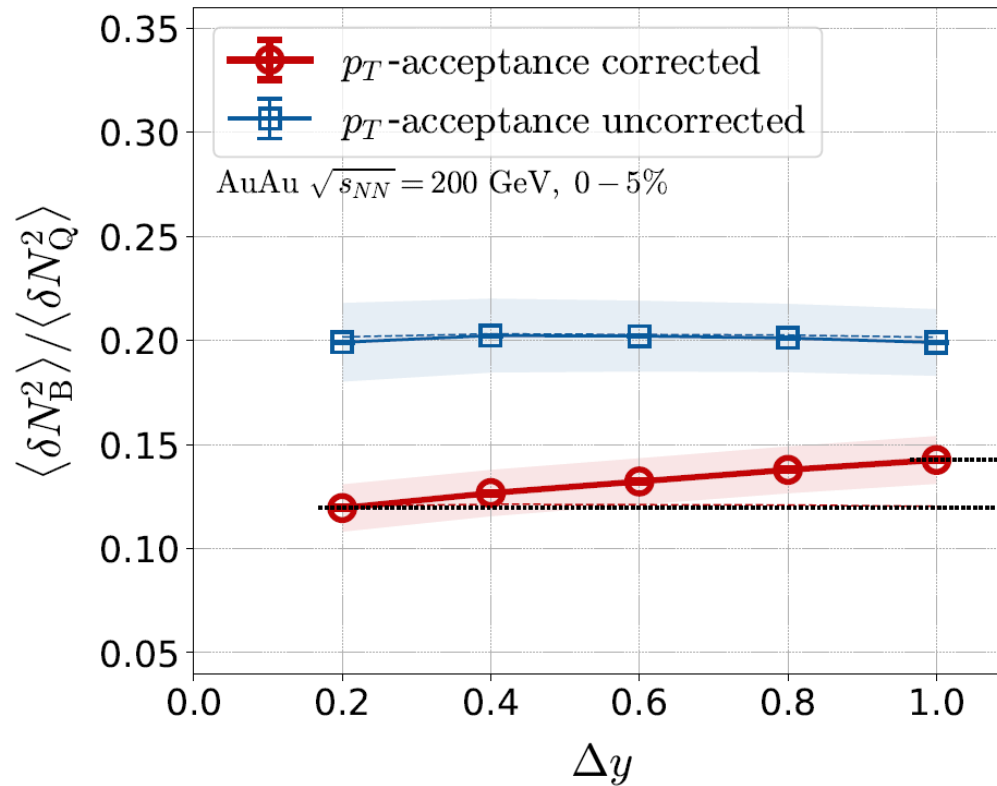
## HRG+Lattice



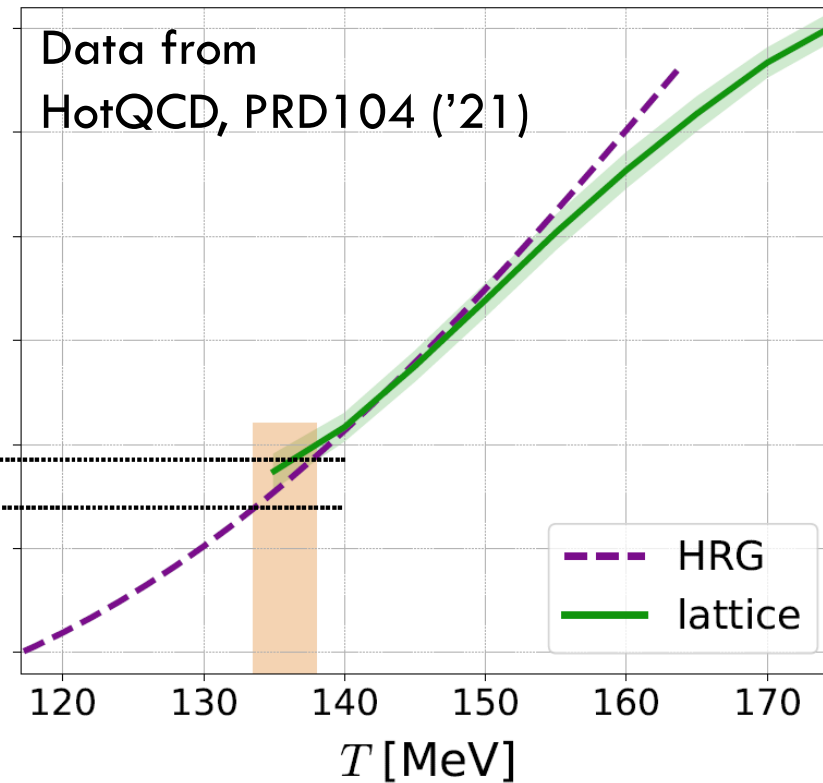
- $T = 134 \sim 138$  MeV
- Significantly lower than  $T_{\text{chem}}$

HRG: QMHRG2020  
 Bollweg+, PRD104, 7 ('21)  
 Volume dep. corrected  
 plot by MK

## From data @ STAR



## HRG+Lattice



$\square$   $T = 134 \sim 138 \text{ MeV}$   
 $\square$  Significantly lower than  $T_{chem}$

HRG: QMHRG2020  
 Bollweg+, PRD104, 7 ('21)  
 Volume dep. corrected  
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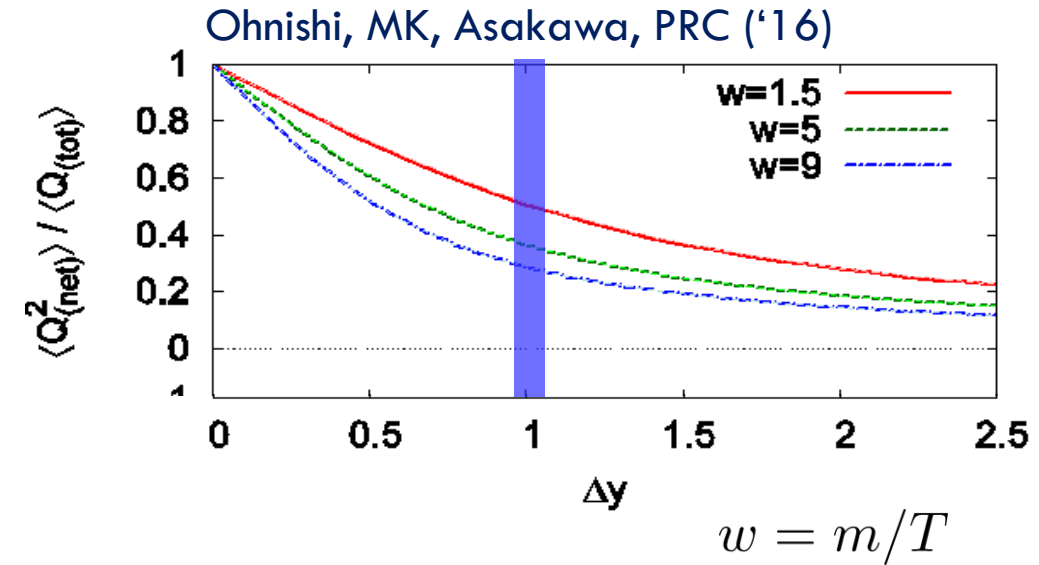
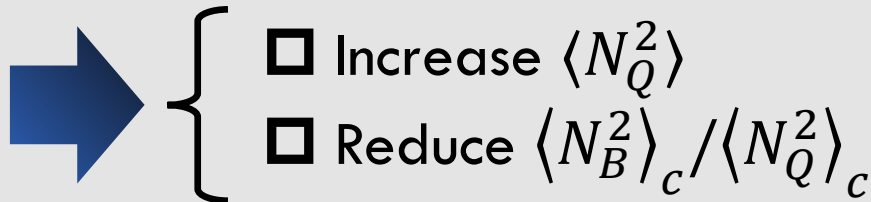
# Effect of Diffusion and Rapidity Conversion

## □ Blurring due to diffusion & rapidity conversion ( $Y \rightarrow y$ )

- Stronger modification in Q than B

## □ Resonance Decays

- About 30% charged particles come from RD
- Enhancement of charged particles

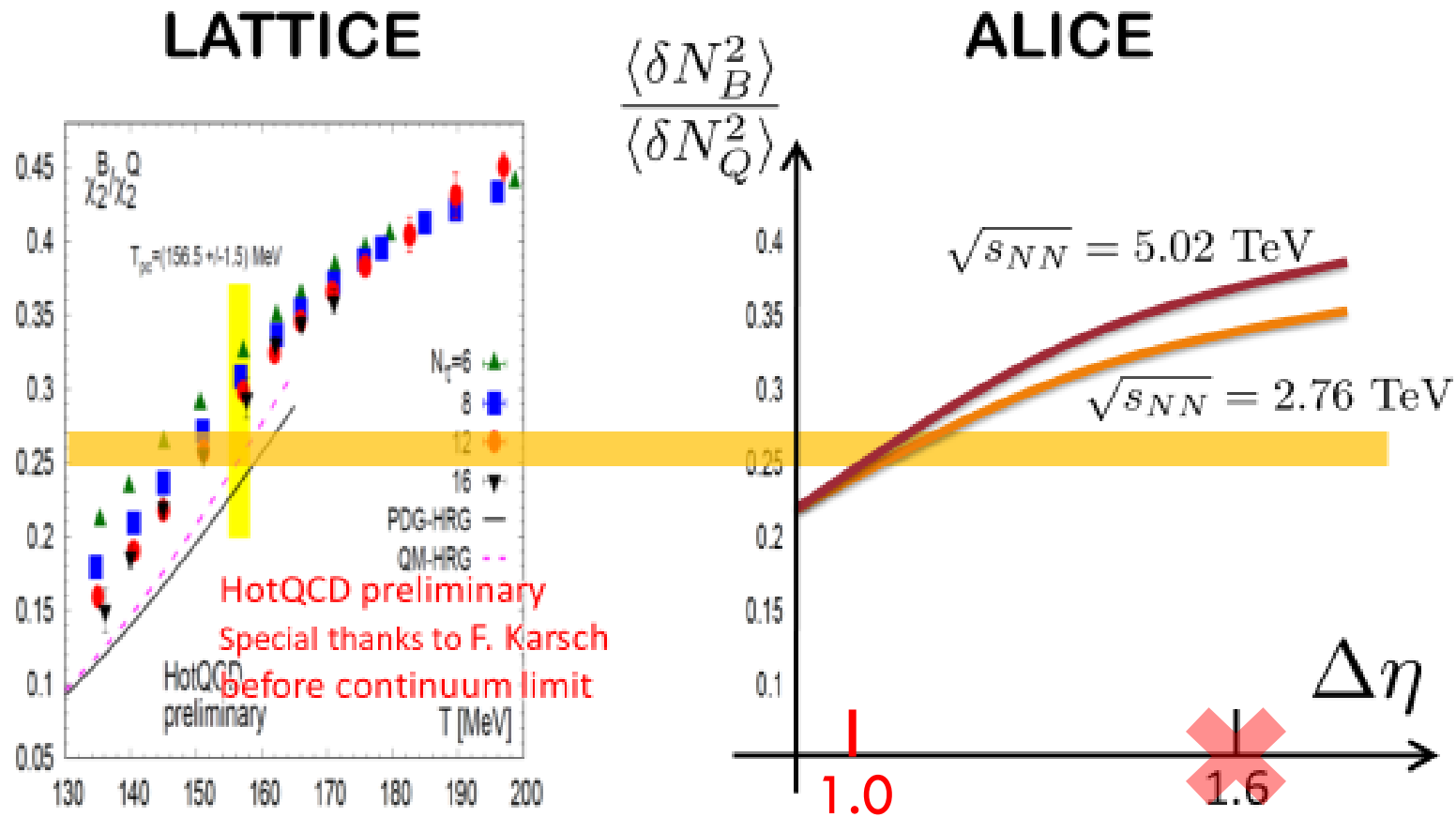


**These effects will be more important for higher order cumulants!**



## From my Slide for RRTF2019

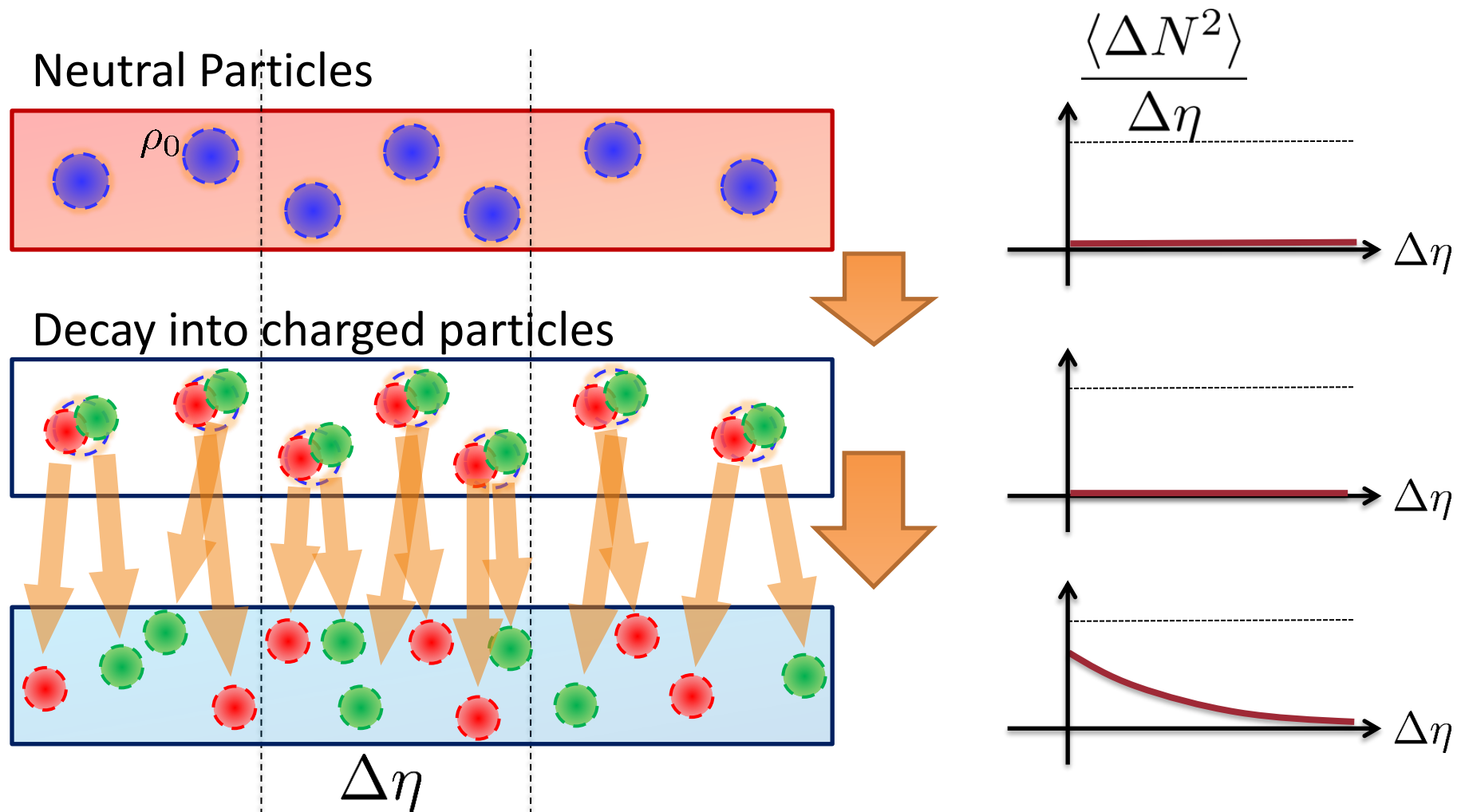
## Prediction



**$\Delta \eta$  dependence for tracing back the history!**

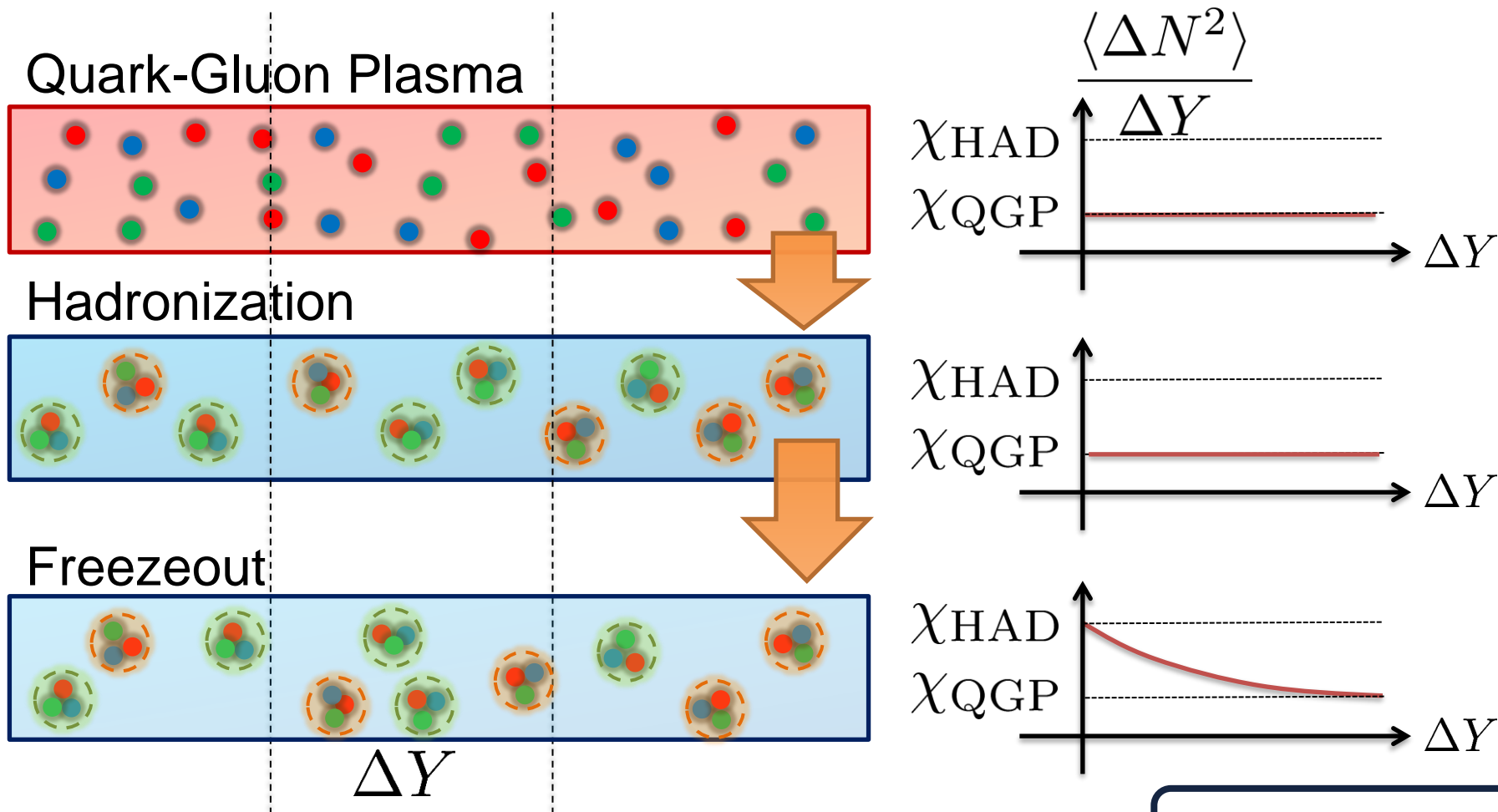
- $\Delta\eta$  dependence of fluctuations encodes the information of its evolution.
- New CMS result looks consistent with a simple diffusion picture.
- Temperature extracted from baryon/charge ratio is significantly lower than the one at chemical freezeout.
- $p_T$  acceptance correction is essential to extract correct cumulants.
- **Further experimental analysis on various cumulants with wider  $\Delta y$  and  $p_T$  are awaited!**

# Resonance Decays



The larger  $\Delta\eta$ , the slower diffusion.

# Evolution of Conserved-charge Fluctuations



Fluctuations of CC are modified by the diffusion.

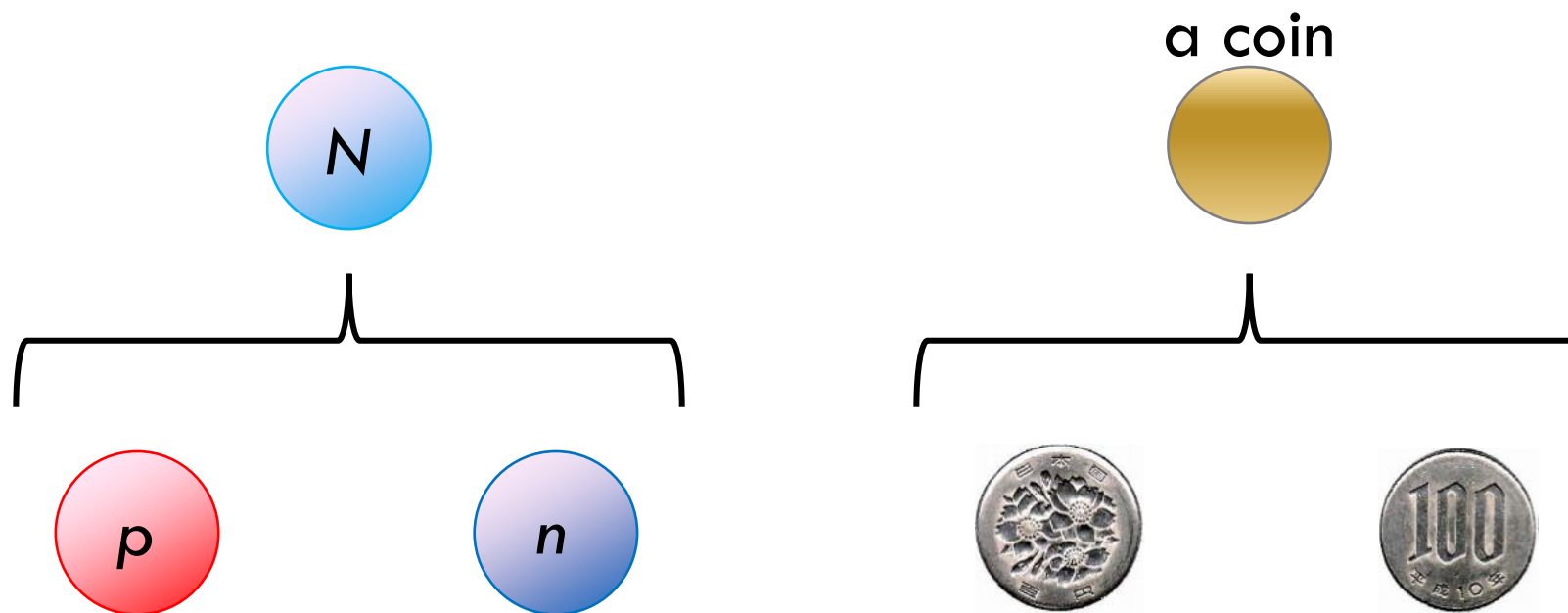


Relaxation time becomes longer as  $\Delta Y \rightarrow$  large.

## Experiments on $\langle N_Q^2 \rangle$

- No QGP signal @ RHIC ('02, '03)
- QGP signal? @ ALICE ('12)

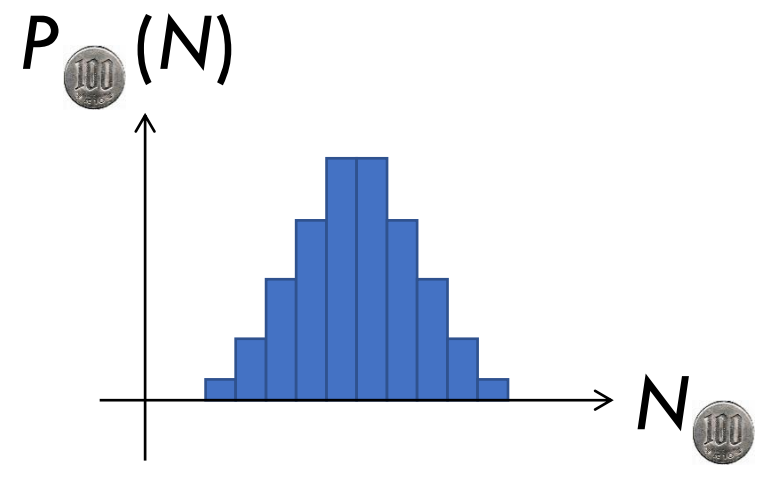
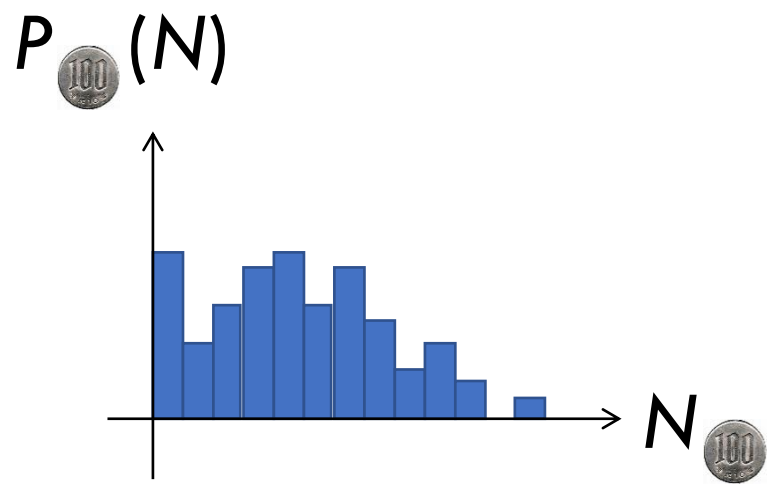
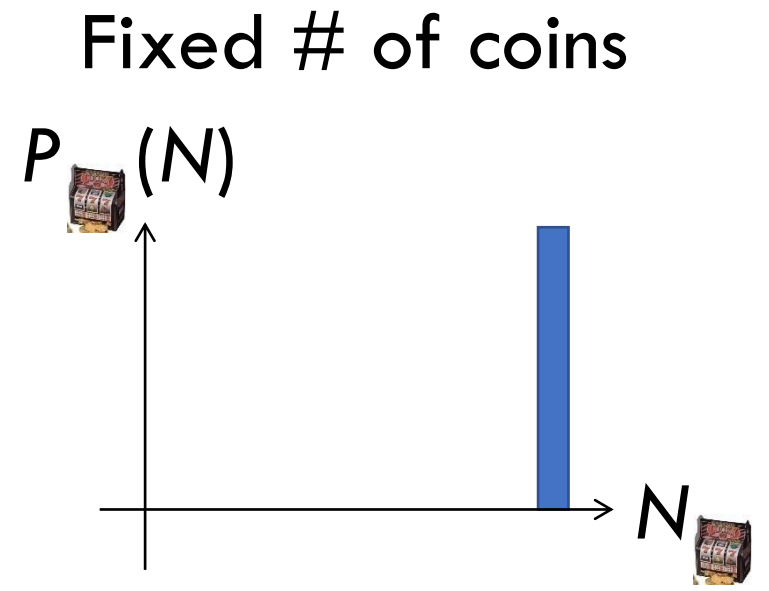
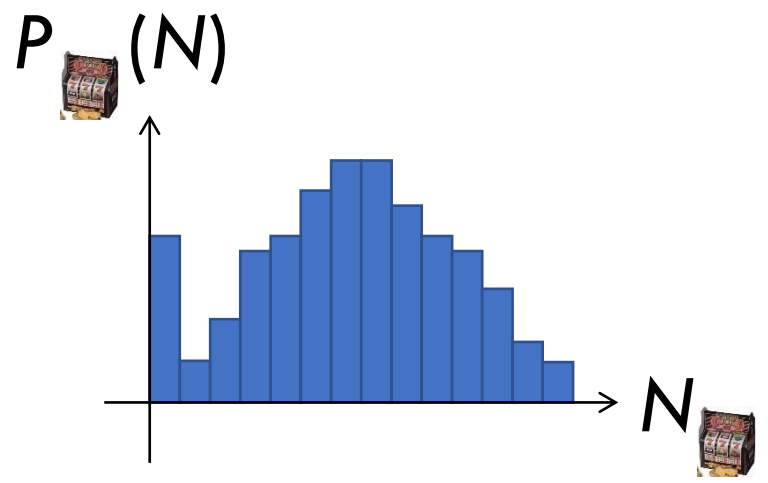
# Nucleon's Isospin as Two Sides of a Coin



Nucleons have  
two isospin states.

Coins have two sides.

# Slot Machine Analogy



# Reconstruction of Total Coin #

$$P_{\text{100}}(N_{\text{100}}) = \sum_{\text{gold}} P_{\text{slot}}(N_{\text{gold}}) B_{1/2}(N_{\text{100}}; N_{\text{gold}})$$



## Example

$$\left\{ \begin{array}{l} 2\langle(\delta N_p^{(\text{net})})^2\rangle = \frac{1}{2}\langle(\delta N_B^{(\text{net})})^2\rangle + \frac{1}{2}\langle(\delta N_B^{(\text{net})})^2\rangle_{\text{free}} \\ 2\langle(\delta N_p^{(\text{net})})^3\rangle = \frac{1}{4}\langle(\delta N_B^{(\text{net})})^3\rangle + \frac{3}{4}\langle(\delta N_B^{(\text{net})})^3\rangle_{\text{free}} \\ 2\langle(\delta N_p^{(\text{net})})^4\rangle_c = \frac{1}{8}\langle(\delta N_B^{(\text{net})})^4\rangle_c + \dots \end{array} \right.$$

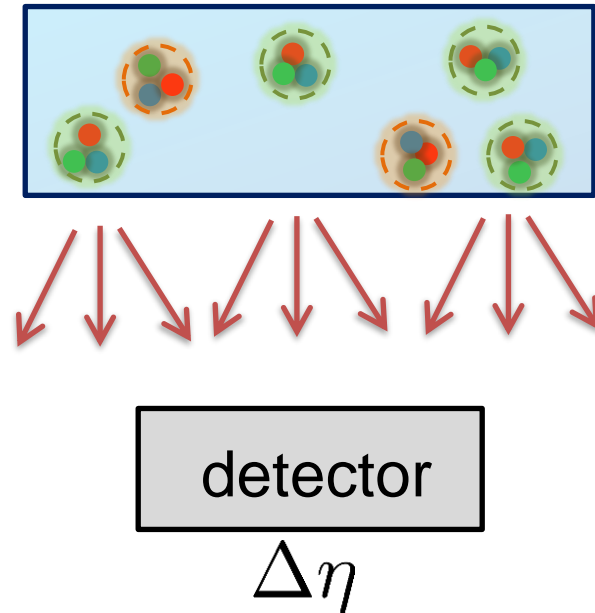
genuine info.

Poisson noise

Note: Higher order cumulants are more fragile.

# Difficulties of Low-Energy Collisions

- ❑ Large contribution from global charge conservation
- ❑ Violation of Bjorken picture



Careful treatment is required to interpret fluctuations at low beam energies!