

First-order Phase Transition and Critical Points on $SU(3)$ Yang-Mills theory on $T^2 \times R^2$

Masakiyo Kitazawa (YITP, Kyoto)

MK, Mogliacci, Kolbe, Horowitz, Phys. Rev. D **99** (2019) 094507

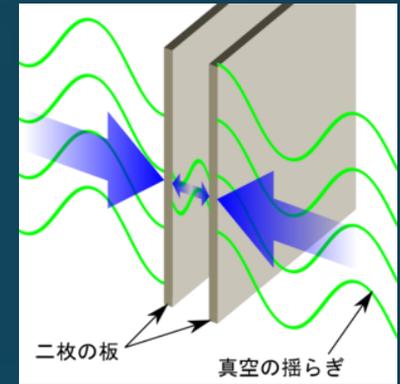
Suenaga, MK, Phys. Rev. D **107** (2023) 074502

Fujii, Iwanaka, Suenaga, MK, Phys. Rev. D **110** (2024) 094016

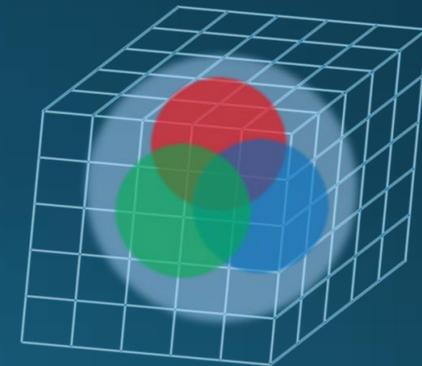
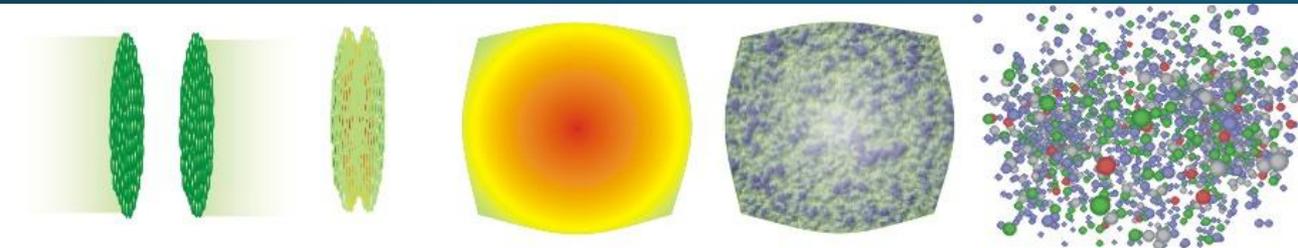
Boundary Conditions in QFT

Many motivations

- Casimir effect
- Relativistic heavy-ion collisions
- Numerical simulations (ex. lattice QCD)
- Matsubara formalism for thermal systems



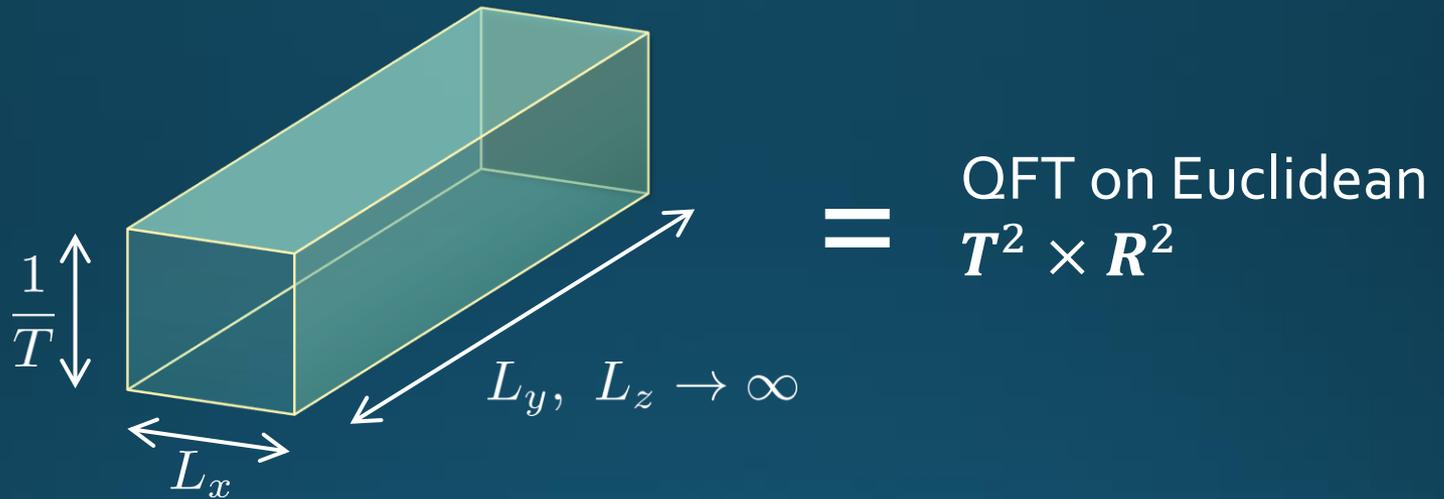
from wikipedia



$$L_\tau = \frac{1}{T}$$

Our Purpose

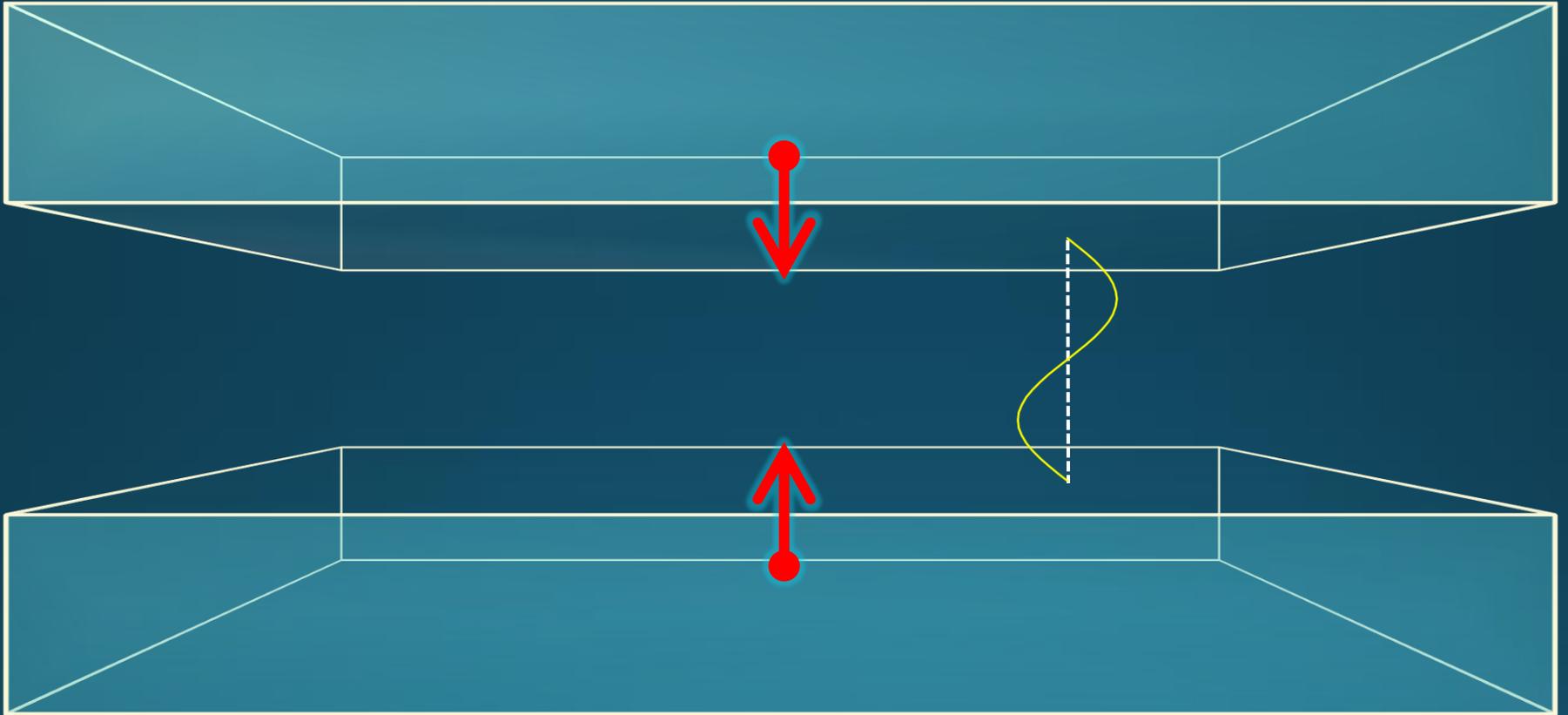
Thermal SU(3)YM with PBC along x direction



How does thermodynamics behave w.r.t. T and L_x ?

- ❑ Thermal Casimir effect in a non-perturbative system
- ❑ QCD phase diagram as a function of L_x
- ❑ Anisotropic pressure
- ❑ 2 Polyakov loops will play important roles

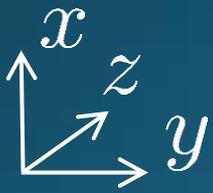
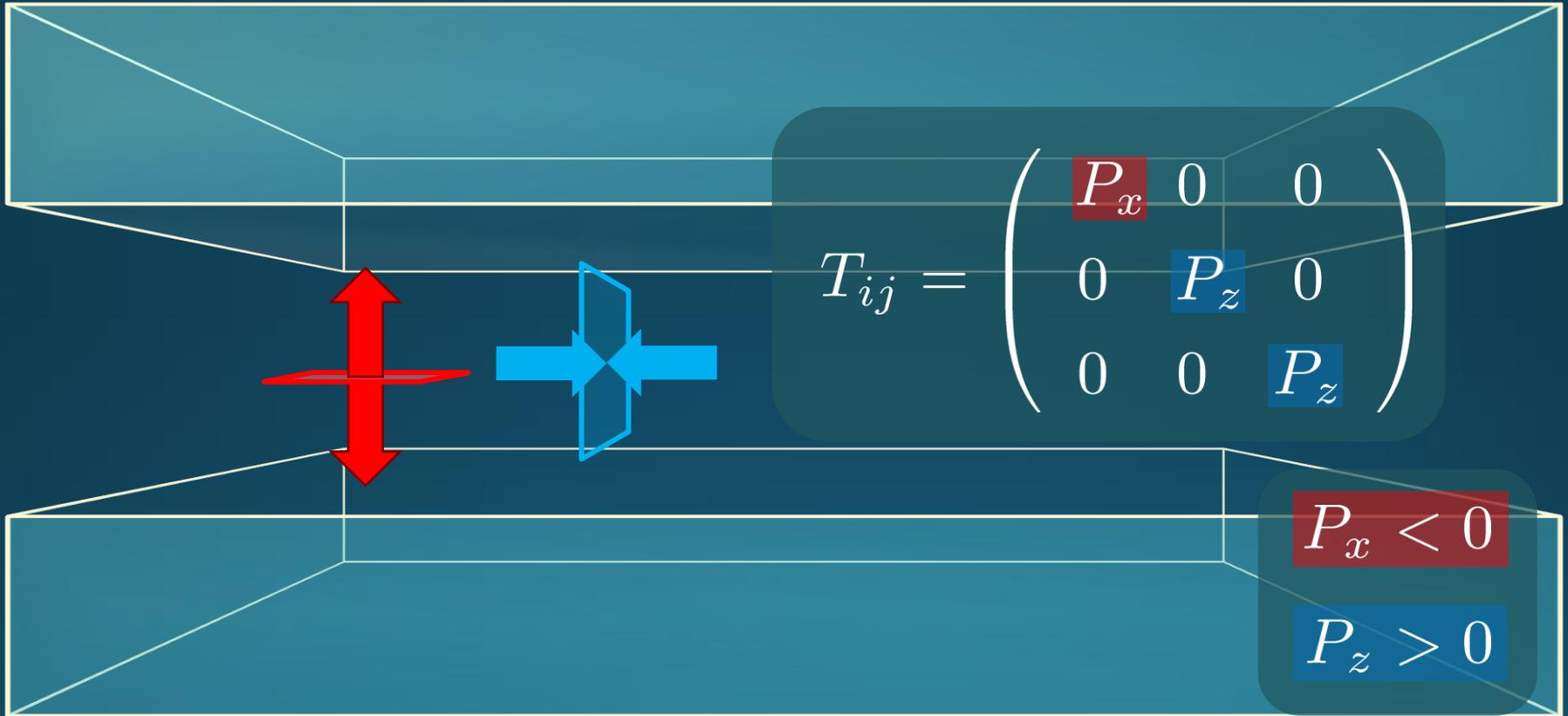
Casimir Effect



attractive force between two conductive plates

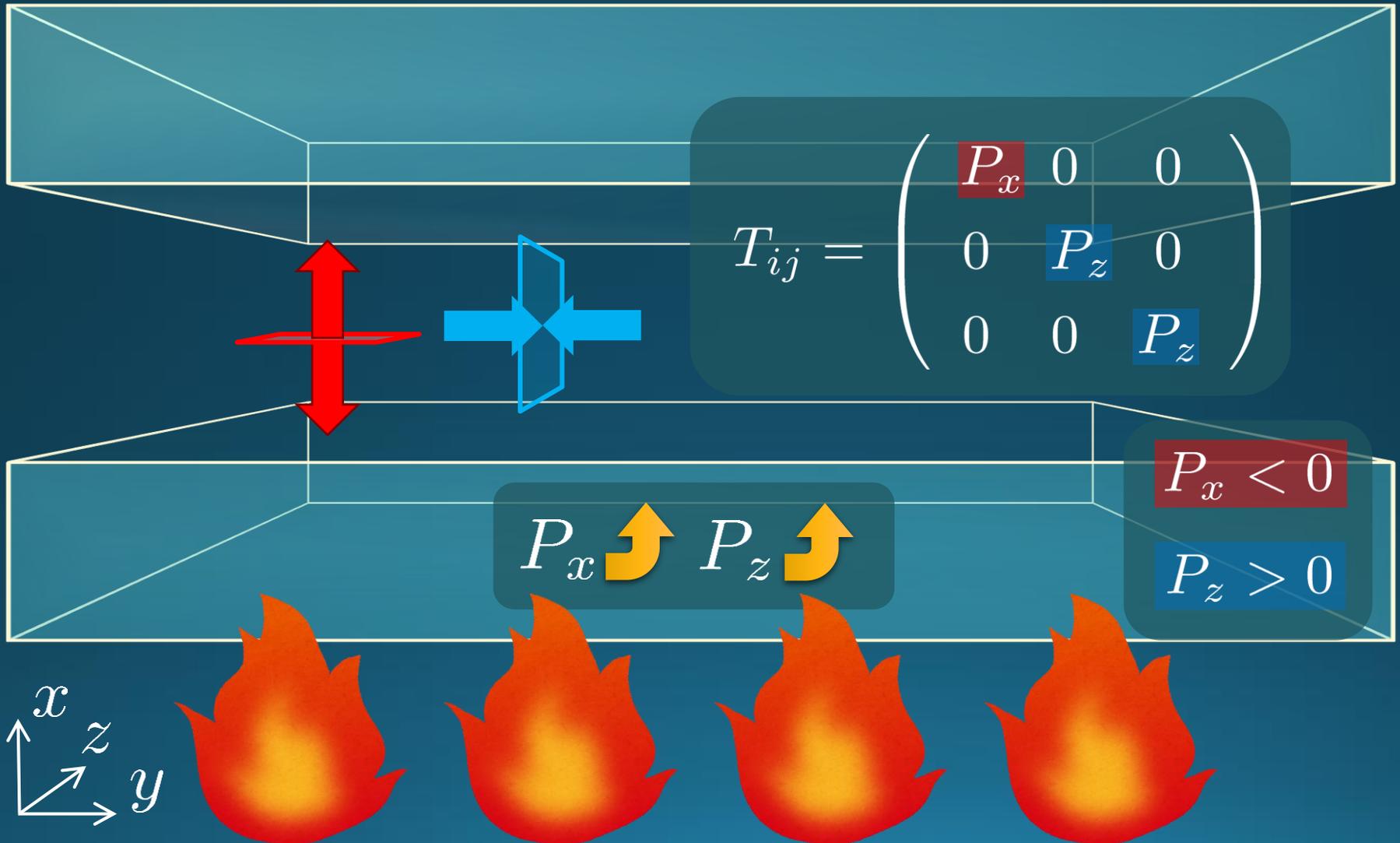
Casimir Effect

Brown, Maclay
1969



Casimir Effect

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Thermodynamics on the Lattice

Various Methods

- Integral, differential, moving frame, non-equilibrium, ...
- rely on thermodynamic relations valid in $V \rightarrow \infty$

$$P = \frac{T}{V} \ln Z$$

$$sT = \varepsilon + P$$



Not applicable to anisotropic systems

- We employ **Gradient Flow (SFtX) Method**

$$\varepsilon = \langle T_{00} \rangle \quad P = \langle T_{11} \rangle$$

Components of EMT are directly accessible!

Yang-Mills Gradient Flow

Luscher 2010

Narayanan, Neuberger, 2006

Luscher, Weiss, 2011

$$\frac{\partial}{\partial t} A_\mu(t, x) = - \frac{\partial S_{\text{YM}}}{\partial A_\mu}$$

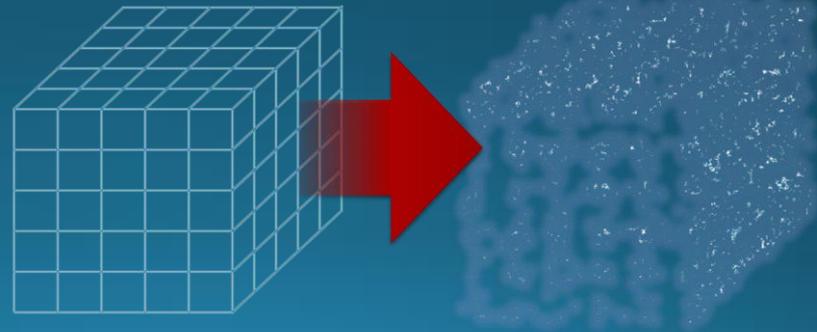
$$A_\mu(0, x) = A_\mu(x)$$

t: "flow time"
dim:[length²]

↓ leading

$$\partial_t A_\mu = D_\nu G_{\mu\nu} = \partial_\nu \partial_\nu A_\mu + \dots$$

- diffusion equation in 4-dim space
- diffusion distance $d \sim \sqrt{8t}$
- "continuous" cooling/smearing
- No UV divergence at $t > 0$



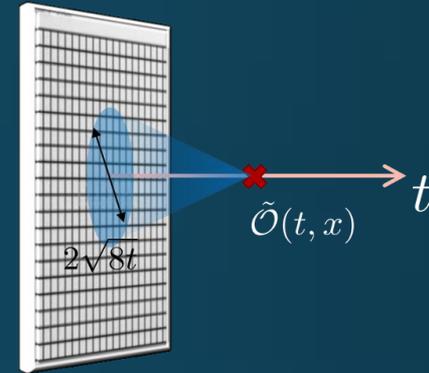
Constructing EMT

Suzuki, 2013

$$U_{\mu\nu}(t, x) = \alpha_U(t) \left[T_{\mu\nu}^R(x) - \frac{1}{4} \delta_{\mu\nu} T_{\rho\rho}^R(x) \right] + \mathcal{O}(t)$$

$$E(t, x) = \langle E(t, x) \rangle + \alpha_E(t) T_{\rho\rho}^R(x) + \mathcal{O}(t)$$

vacuum subtr.



Remormalized EMT

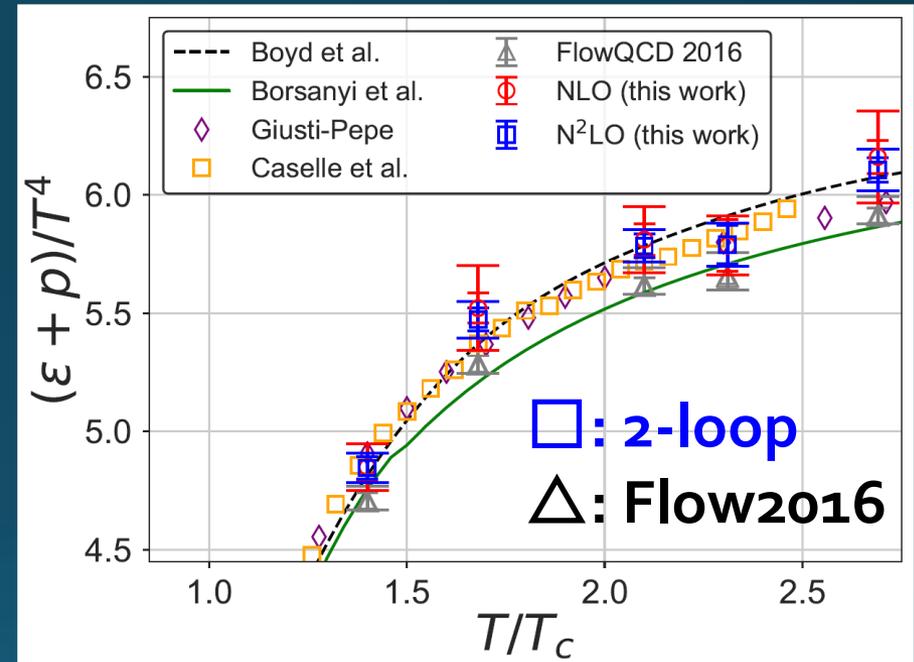
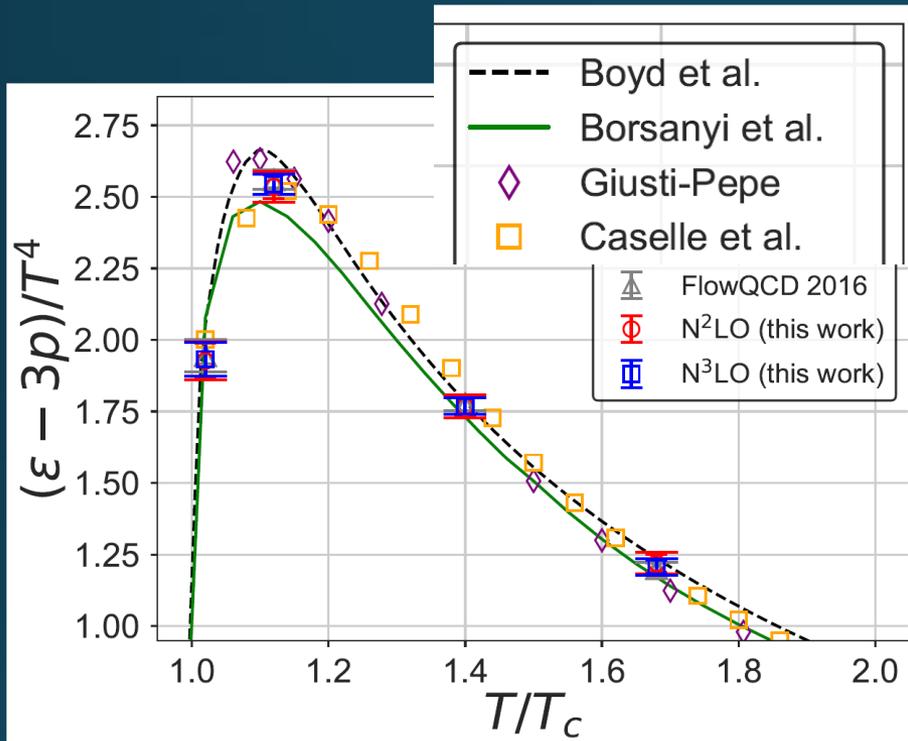
$$T_{\mu\nu}^R(x) = \lim_{t \rightarrow 0} [c_1(t) U_{\mu\nu}(t, x) + \delta_{\mu\nu} c_2(t) E(t, x)_{\text{subt.}}]$$

Perturbative coefficient:

Suzuki (2013); Makino, Suzuki (2014); Harlander+ (2018); Iritani, MK, Suzuki, Takaura (2019)

Thermodynamics

Iritani, MK, Suzuki, Takaura, 2019



Systematic error: μ_0 or μ_d , Λ , $t \rightarrow 0$ function, fit range

- Good agreement within **1% level**
- Our method can deal with the anisotropic pressure

Numerical Setup

- SU(3) YM theory
- Wilson gauge action
- $N_t = 16, 12$
- $N_z/N_t = 6$
- 2000~4000 confs.
- Even N_x
- No Continuum extrap.



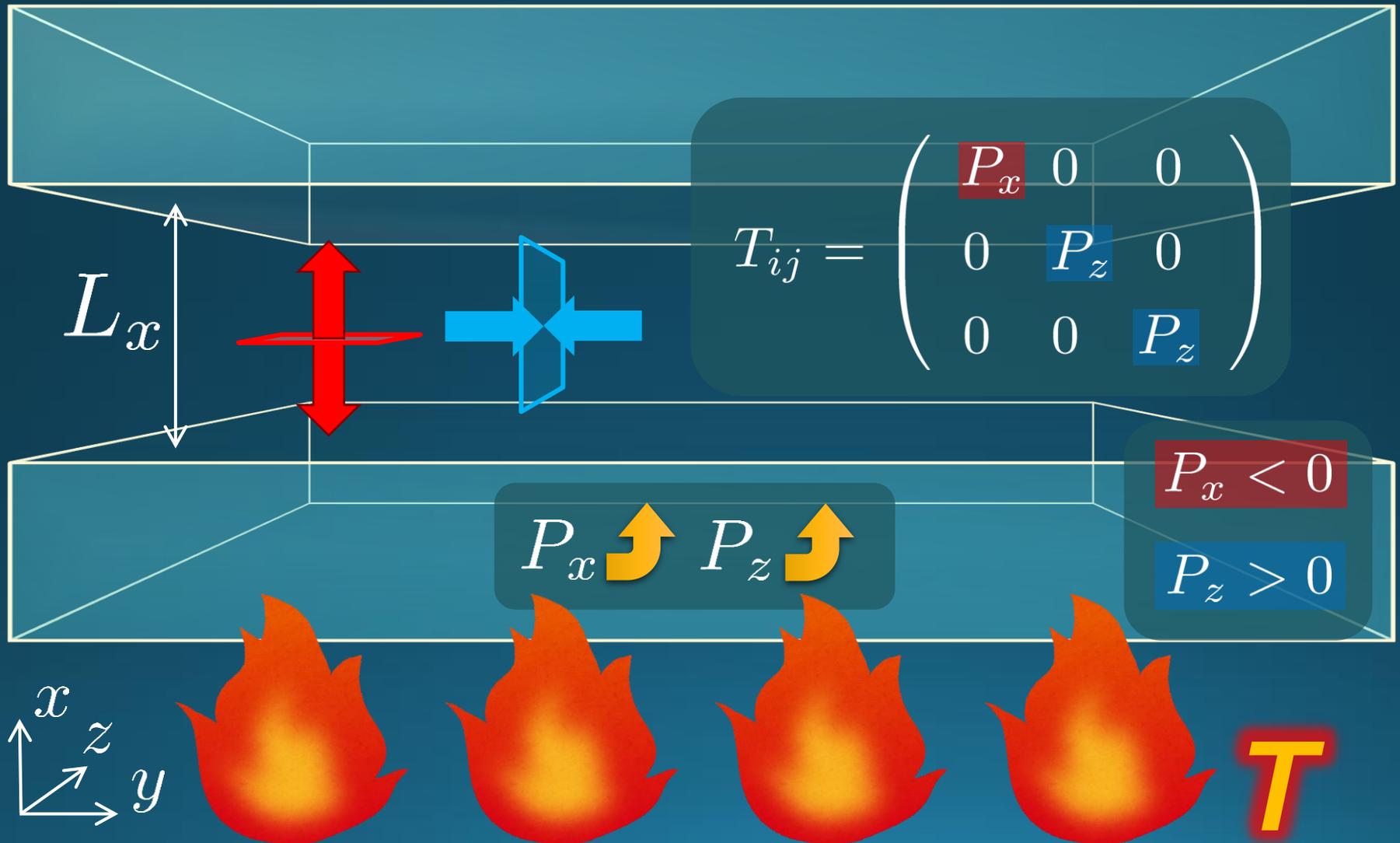
T/T_c	β	N_z	N_τ	N_x	N_{vac}
1.12	6.418	72	12	12, 14, 16, 18	64
	6.631	96	16	16, 18, 20, 22, 24	96
1.40	6.582	72	12	12, 14, 16, 18	64
	6.800	96	16	16, 18, 20, 22, 24	128
1.68	6.719	72	12	12, 14, 16, 18, 24	64
	6.719	96	12	14, 18	64
	6.941	96	16	16, 18, 20, 22, 24	96
2.10	6.891	72	12	12, 14, 16, 18, 24	72
	7.117	96	16	16, 18, 20, 22, 24	128
2.69	7.086	72	12	12, 14, 16, 18	-
$\simeq 8.1$	8.0	72	12	12, 14, 16, 18	-
$\simeq 25$	9.0	72	12	12, 14, 16, 18	-

- Same System volume
 - $12 \times 72^2 \times 12 \sim 16 \times 96^2 \times 16$
 - $18 \times 72^2 \times 12 \sim 24 \times 96^2 \times 16$

Simulations on
OCTOPUS/Reedbush

Casimir Effect

Brown, Maclay
1969



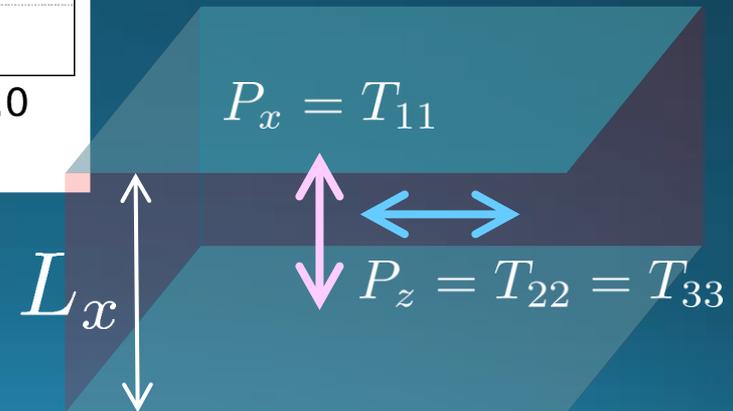
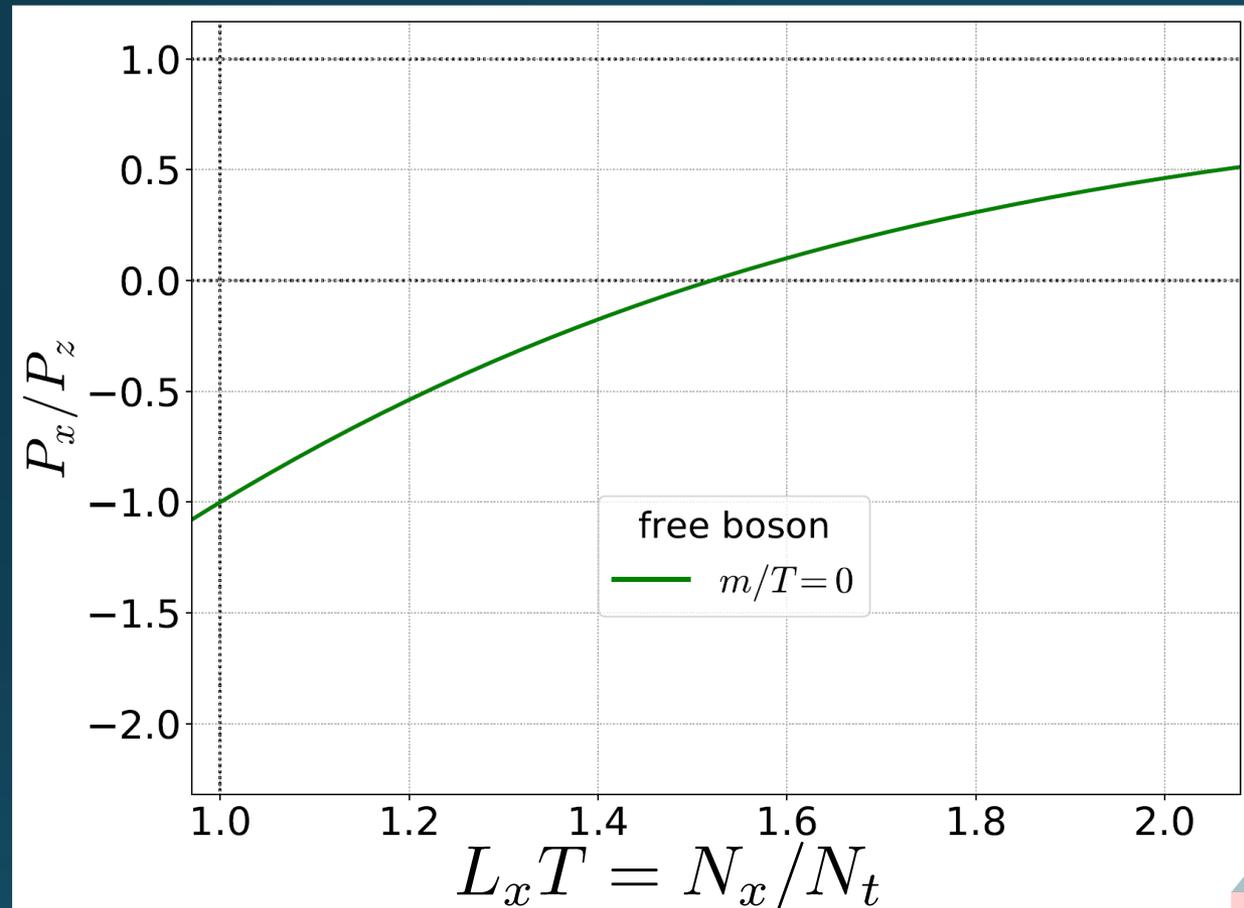
Pressure Anisotropy @ $T \neq 0$

MK, Mogliacci, Kolbe,
Horowitz ('21)

Free scalar field

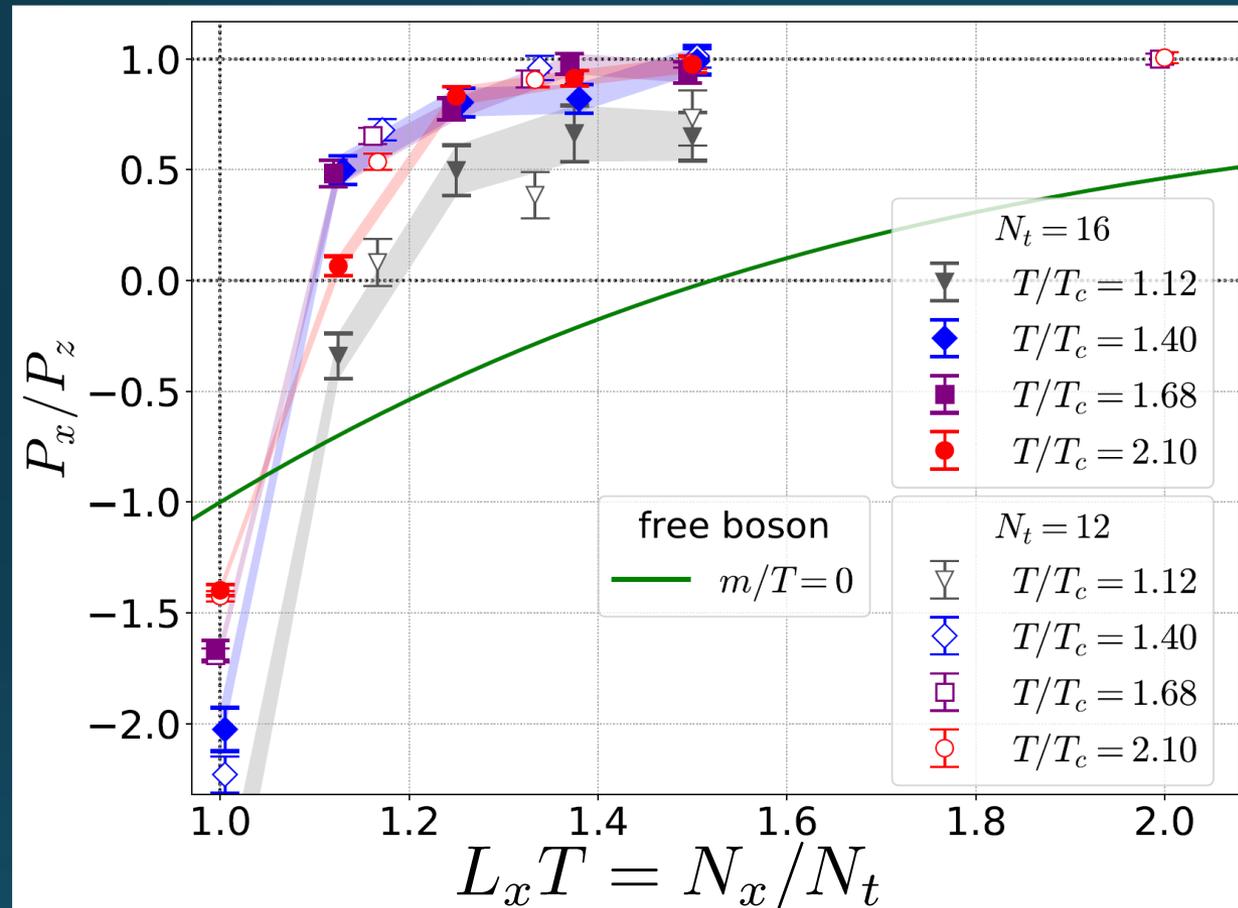
□ $L_2=L_3=\infty$

□ Periodic BC



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Free scalar field

□ $L_2=L_3=\infty$

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Lattice result

□ Periodic BC

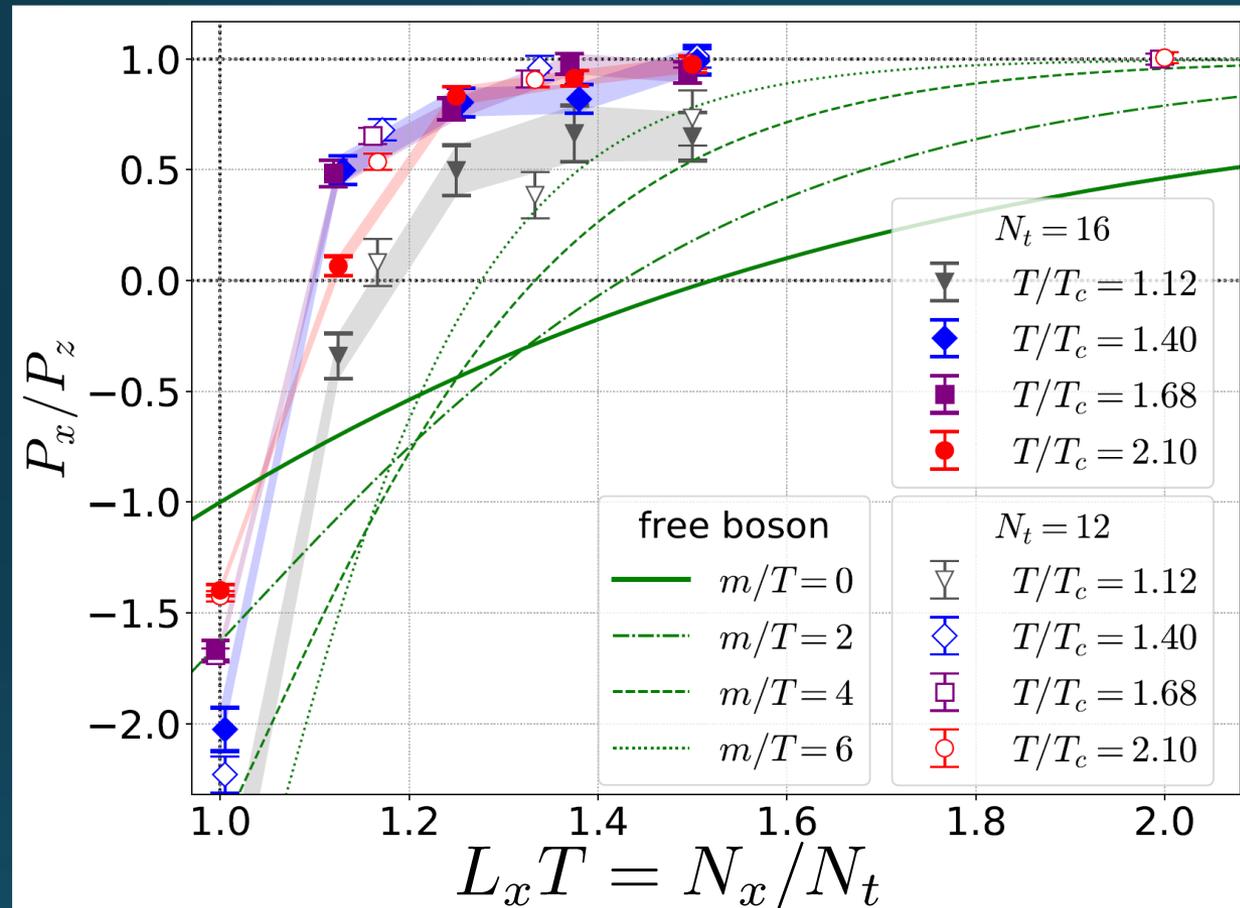
□ Only $t \rightarrow 0$ limit

□ Error: stat.+sys.

Medium near T_c is remarkably insensitive to finite size!

Pressure Anisotropy @ $T \neq 0$

MK, Mogliacci, Kolbe,
Horowitz ('21)



Free scalar field

□ $L_2=L_3=\infty$

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Medium near T_c is remarkably insensitive to finite size!

Higher T

High- T limit: massless free gluons

How does the anisotropy approach this limit?

Difficulties

- Vacuum subtraction requires large-volume simulations.
- Lattice spacing not available $\rightarrow c_1(t), c_2(t)$ are not determined.

Higher T

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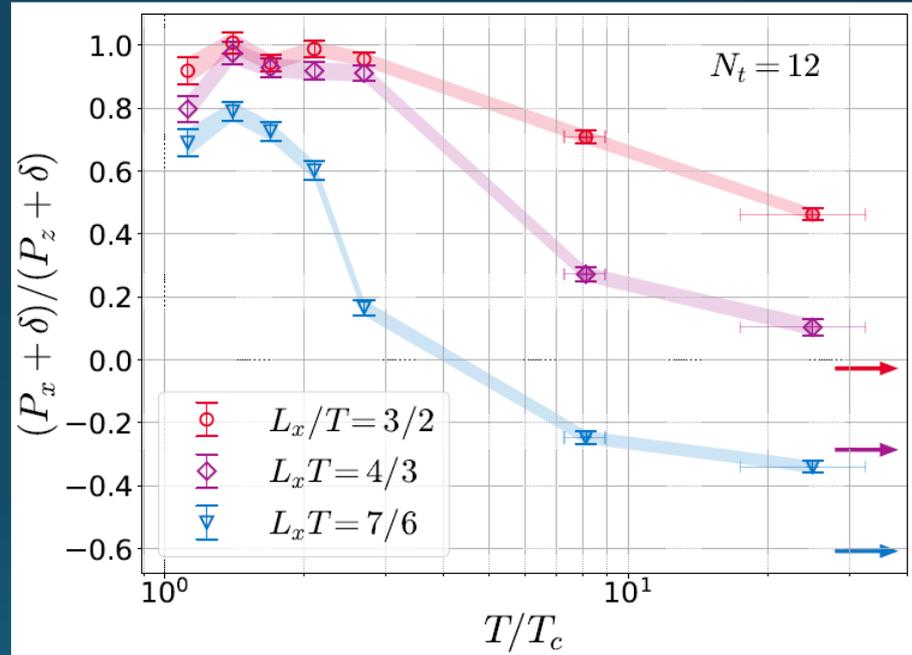
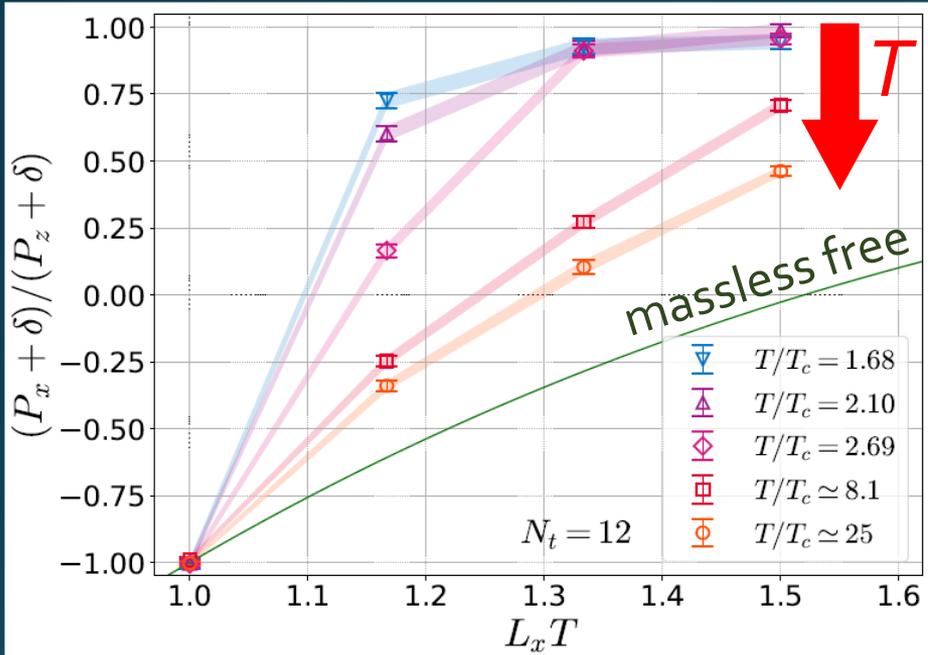


We study

$$R = \frac{P_x + \delta}{P_z + \delta} \quad \delta = -\frac{1}{4} \sum_{\mu} T_{\mu\mu}^E$$

No vacuum subtr.
nor Suzuki coeffs.
necessary!

$$\frac{P_x + \delta}{P_z + \delta}$$



$T/T_c \approx 8.1$ ($\beta = 8.0$), $T/T_c \approx 25$ ($\beta = 9.0$)

- Ratio approaches the asymptotic value for large T .
- But, large deviation exists even at $T/T_c \approx 25$.
- 1st-order phase transition??

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Polyakov-loop Effective Models

Meisinger+, PRD (2003)

General Idea

Constant Polyakov loop P as dynamical variable

$$P = \text{Tr} \left[\mathcal{P} \exp \left(i \int_0^{L_\tau} A_\tau d\tau \right) \right]$$

- $P = 0$: confinement
- $P \neq 0$: deconfinement

Free Energy

$$F(T; P) = F_{\text{pert.}}(T; P) + F_{\text{pot.}}(T; P)$$

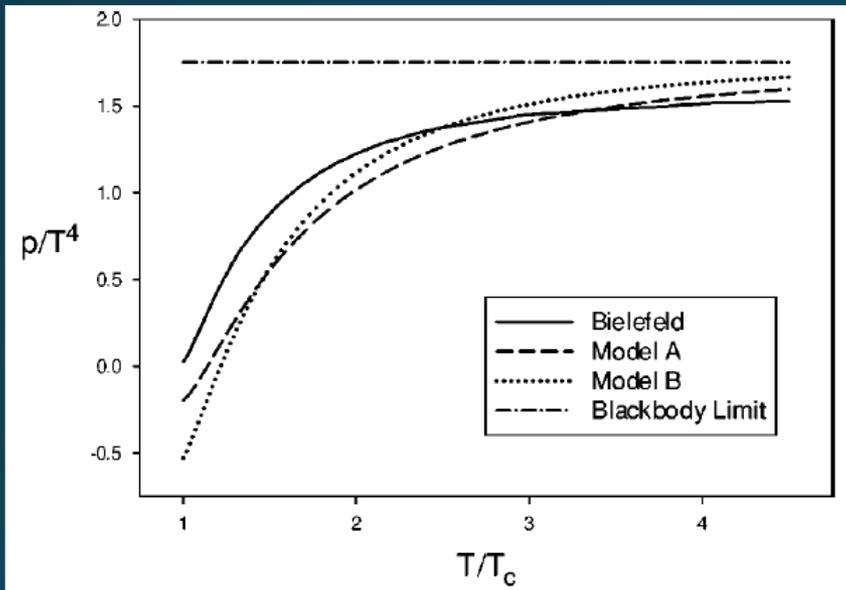
massless free gluons
with constant $A_0(x)$

Phenomenological
potential term

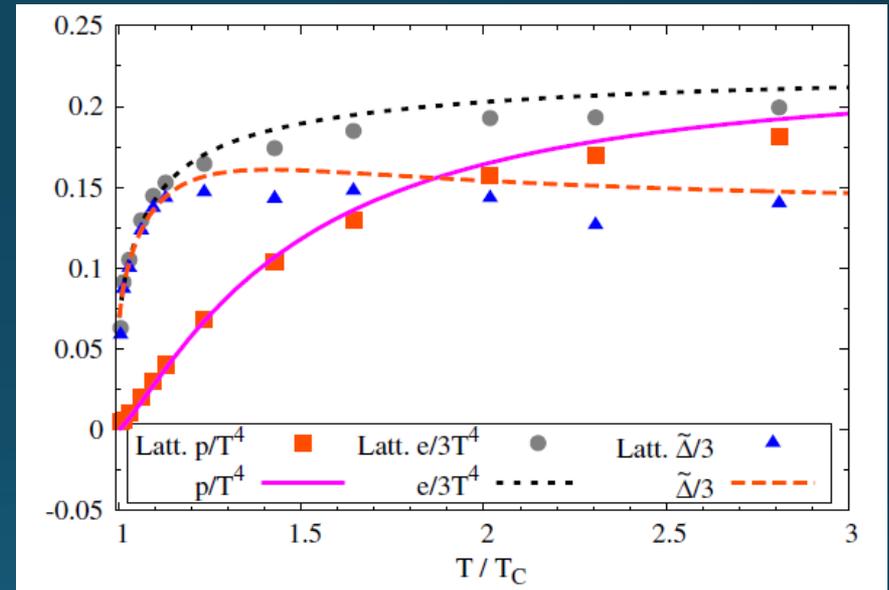
➔ $\langle P \rangle$ is determined to minimize $F(T; P)$.

Thermodynamics

Meisinger+, PRD ('03)



Dumitru+, PRD ('12)



Qualitative behavior of lattice thermodynamics near and above T_C is well reproduced.

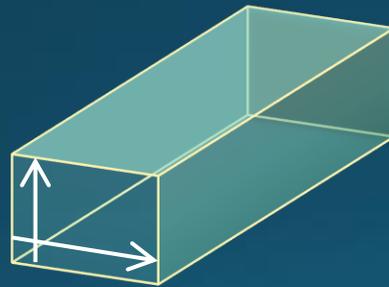
Extension to $T^2 \times R^2$

Suenaga, MK ('23); Fujii+ ('24)

2 Polyakov loops along τ and x directions

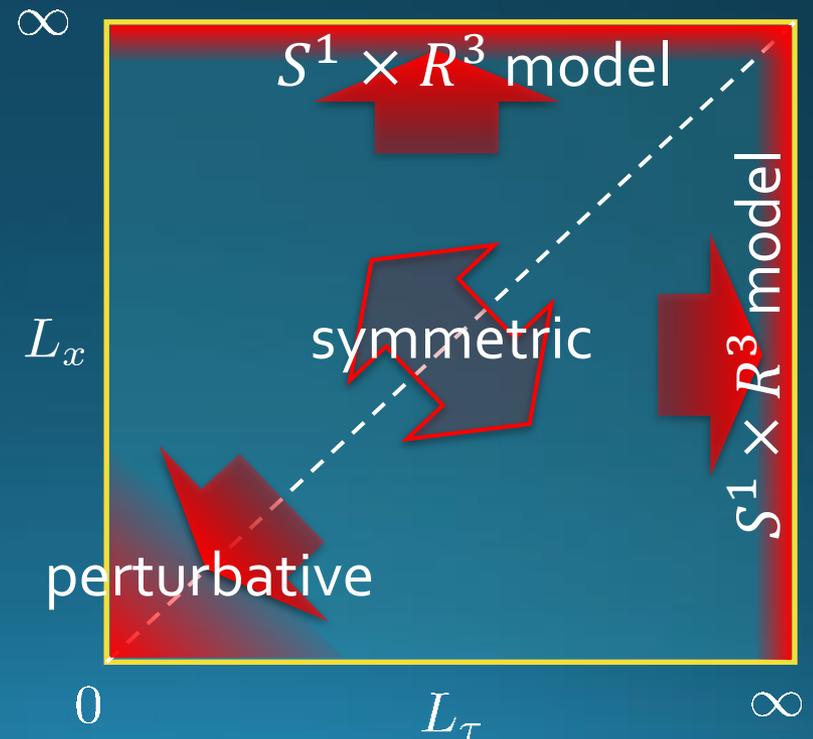
$$P_\tau = \text{Tr} \left[\mathcal{P} \exp \left(i \int_0^{L_\tau} A_\tau d\tau \right) \right]$$

$$P_x = \text{Tr} \left[\mathcal{P} \exp \left(i \int_0^{L_\tau} A_x d\tau \right) \right]$$



Free Energy

- Function of 2 Polyakov loops.
- Constructed under constraints in various limits and symmetries



Polyakov-loop Potential Term

Fujii+ (2024)

$$F_{\text{pot}} = F_{\text{sep}} + F_{\text{cross}}$$

$$F_{\text{sep}}(P_\tau, P_x; L_\tau, L_x) = F_{\text{pot}}^{S^1 \times R^3}(P_\tau, L_\tau) + F_{\text{pot}}^{S^1 \times R^3}(P_x, L_x)$$

Potential on $S^1 \times R^3$
from Dmitru+'12)

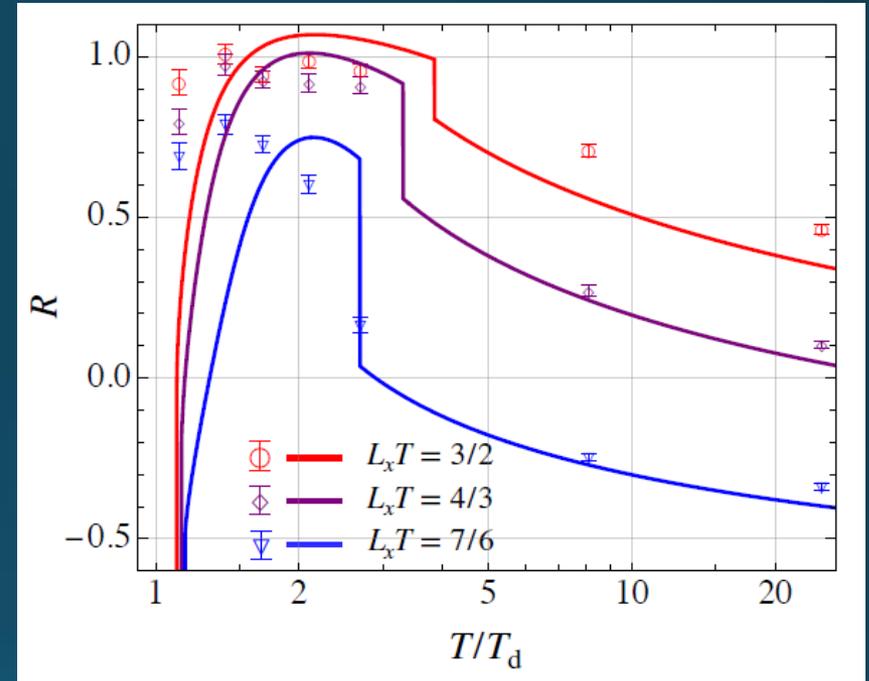
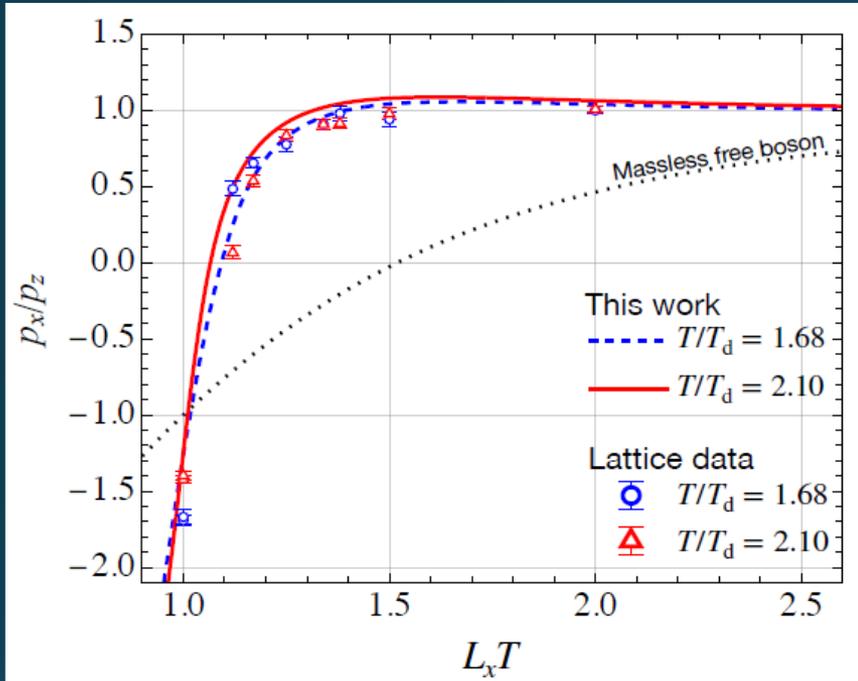
$$F_{\text{cross}} = g(L_\tau, L_x) \left[c_4 \text{Tr}[P_\tau^\dagger P_\tau] \text{Tr}[P_x^\dagger P_x] \right. \\ \left. + c_5 (\text{Tr}[P_\tau^\dagger P_\tau] \text{Tr}[P_x^3] + \text{Tr}[P_\tau^3] \text{Tr}[P_x^\dagger P_x]) \right. \\ \left. + c_6 \text{Tr}[P_\tau^3] \text{Tr}[P_x^3] \right]$$

$$g(L_\tau, L_x) = T_c^4 \left((T_c L_\tau)^2 + (T_c L_x)^2 \right)^{-n}$$

c_4, c_5, c_6, n : parameters in the model

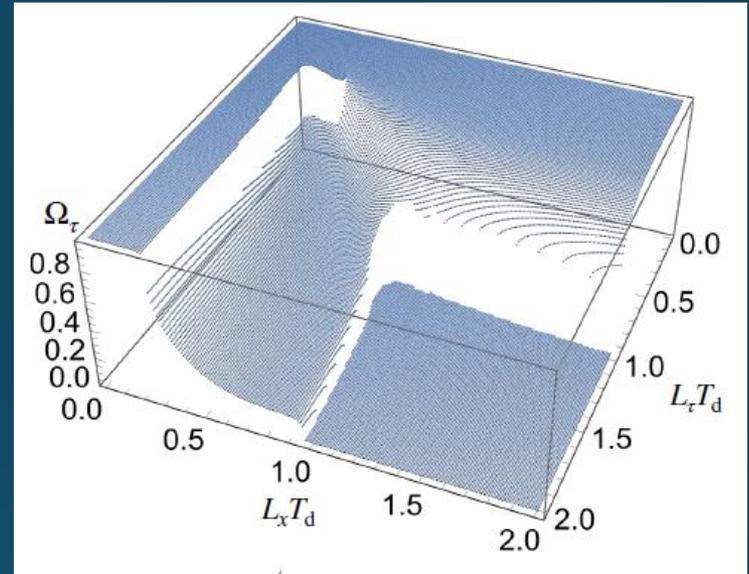
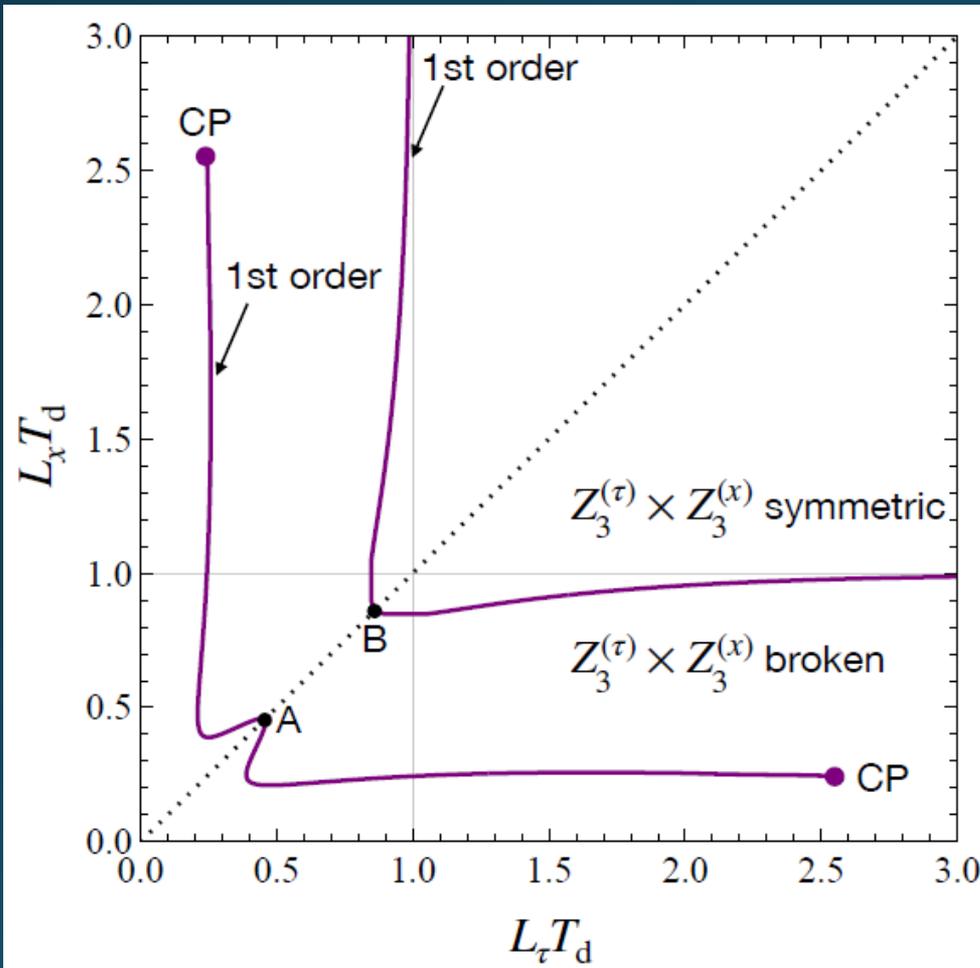
Result

$$R = \frac{P_x + \delta}{P_z + \delta}$$



- Lattice results for $T/T_c > 1.5$ are well reproduced.
- No parameters to fit the results for $T/T_c = 1.4, 1.12$.
- Appearance of discontinuity = 1st-order PT

Phase Diagram



2 first-order transitions!

B: connected to deconf. tr. on $S^1 \times R^3$

A: new phase transition

Novel 1st-tr & CP induced by interplay between 2 Polyakov loops

Summary

Lattice thermodynamics in SU(3)YM on $T^2 \times R^2$ has peculiar behaviors:

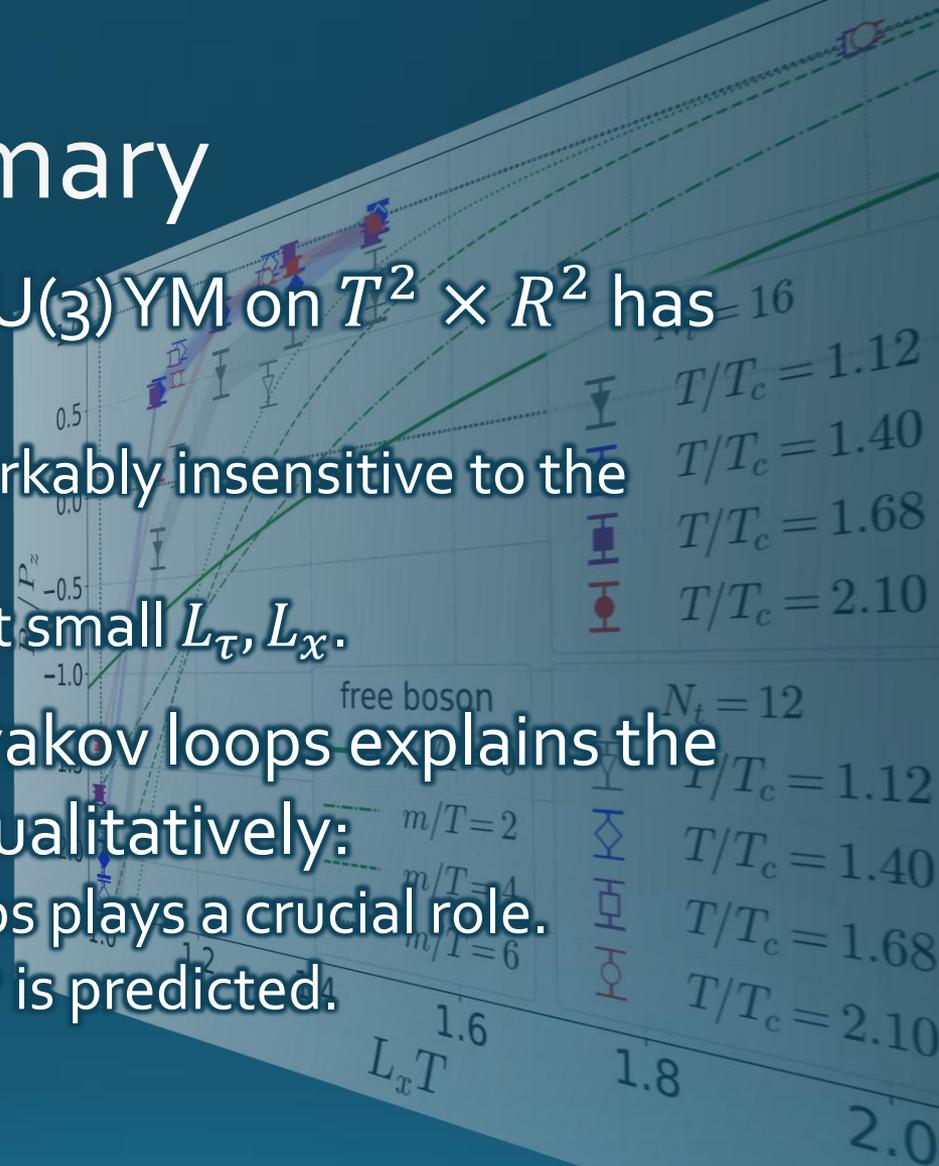
- Medium at $1.4 < T/T_c < 2.1$ is remarkably insensitive to the boundary.
- Slow approach to the SB limit at small L_T, L_x .

Model analysis with two Polyakov loops explains the lattice results for $T \geq 1.5T_c$ qualitatively:

- Interplay b/w two Polyakov loops plays a crucial role.
- Appearance of new 1st-PT & CP is predicted.

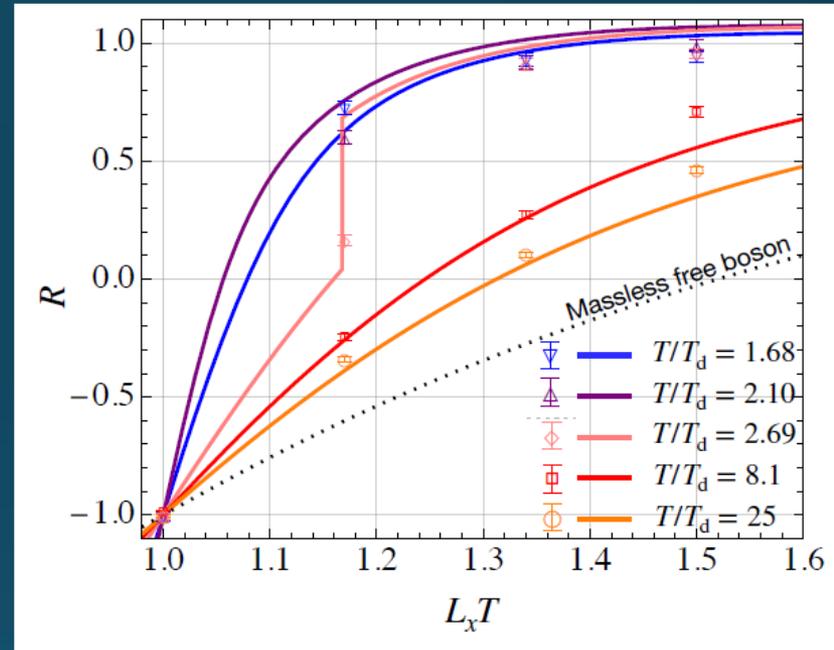
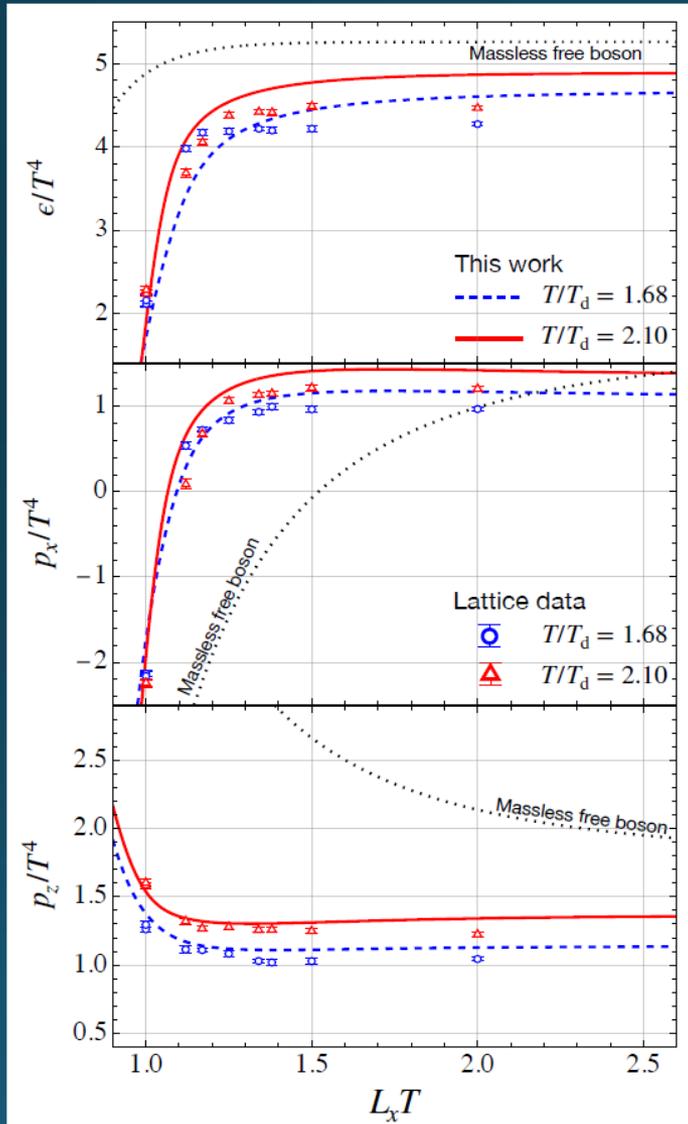
Future

- More lattice results to confirm the existence of the 1st PT
- Anti-periodic / Dirichlet BCs, BC for two directions, below T_c , ...



backup

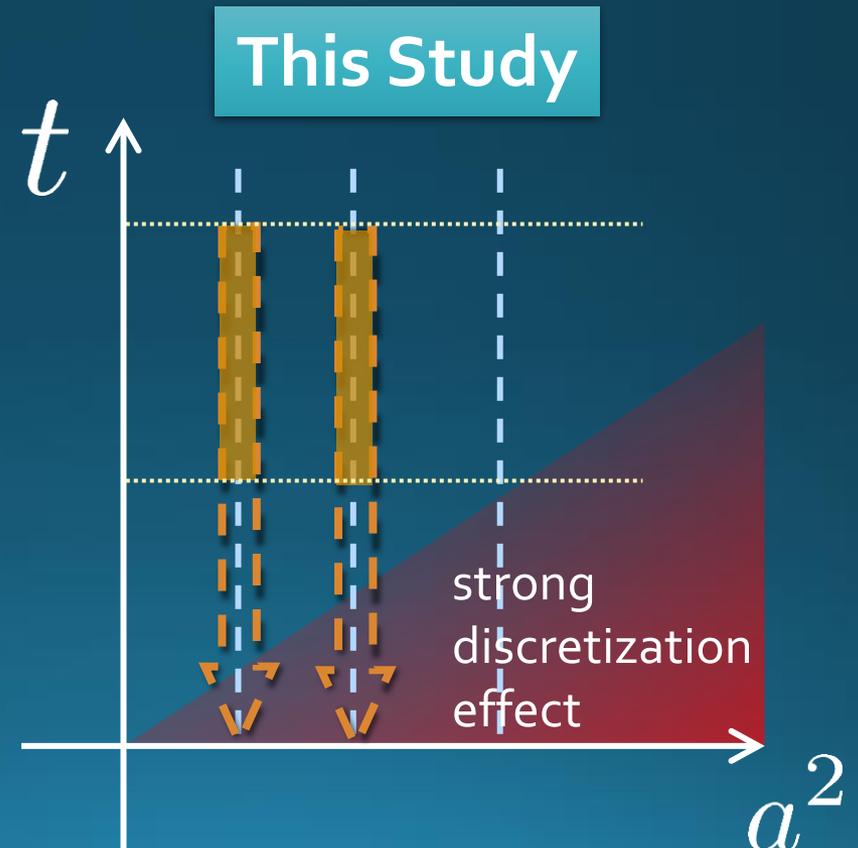
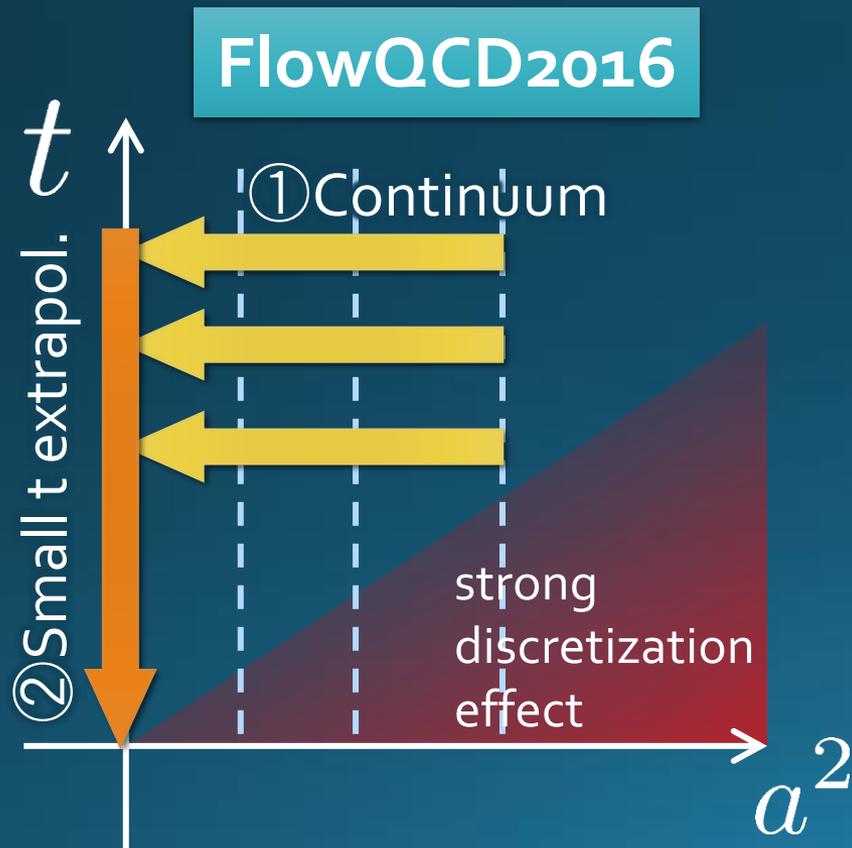
Numerical Results



Extrapolations $t \rightarrow 0, a \rightarrow 0$

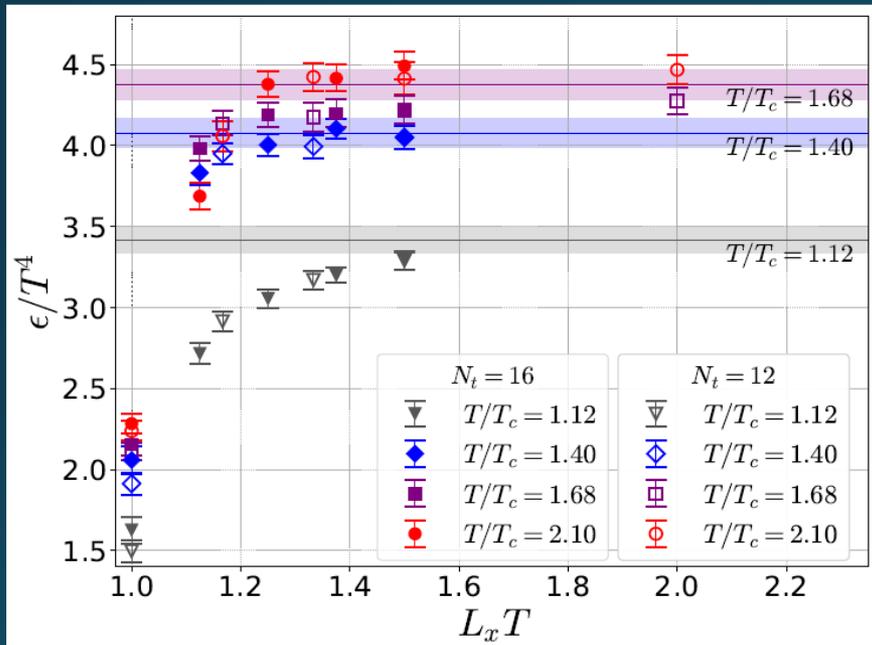
$$\langle T_{\mu\nu}(t) \rangle_{\text{latt}} = \langle T_{\mu\nu}(t) \rangle_{\text{phys}} + C_{\mu\nu} t + D_{\mu\nu}(t) \frac{a^2}{t}$$

$O(t)$ terms in SFTE lattice discretization

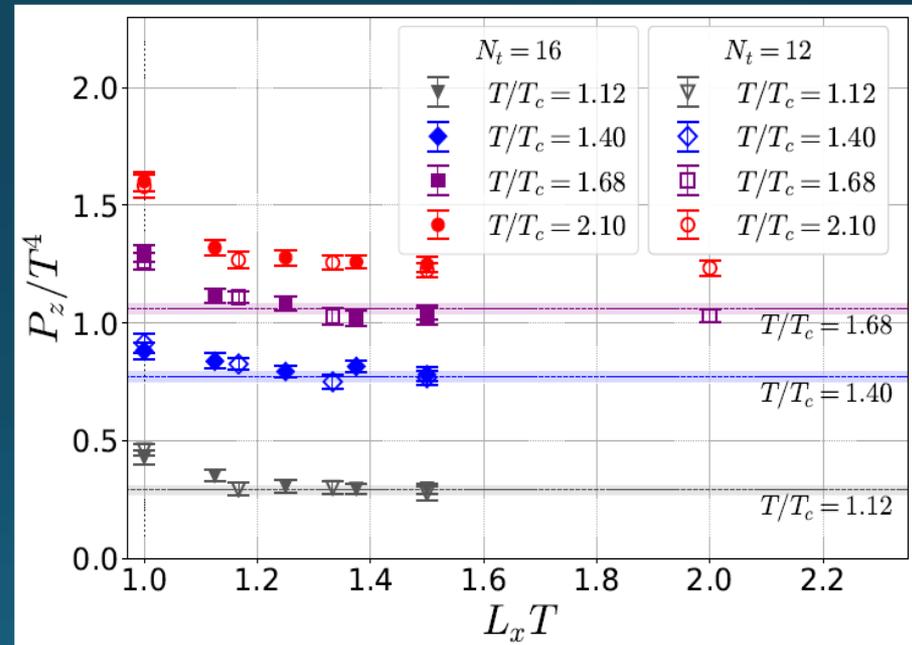


energy density / transverse P

Energy Density

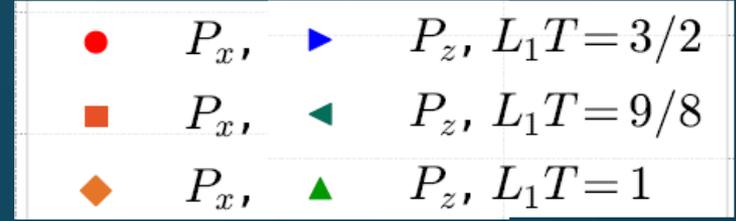
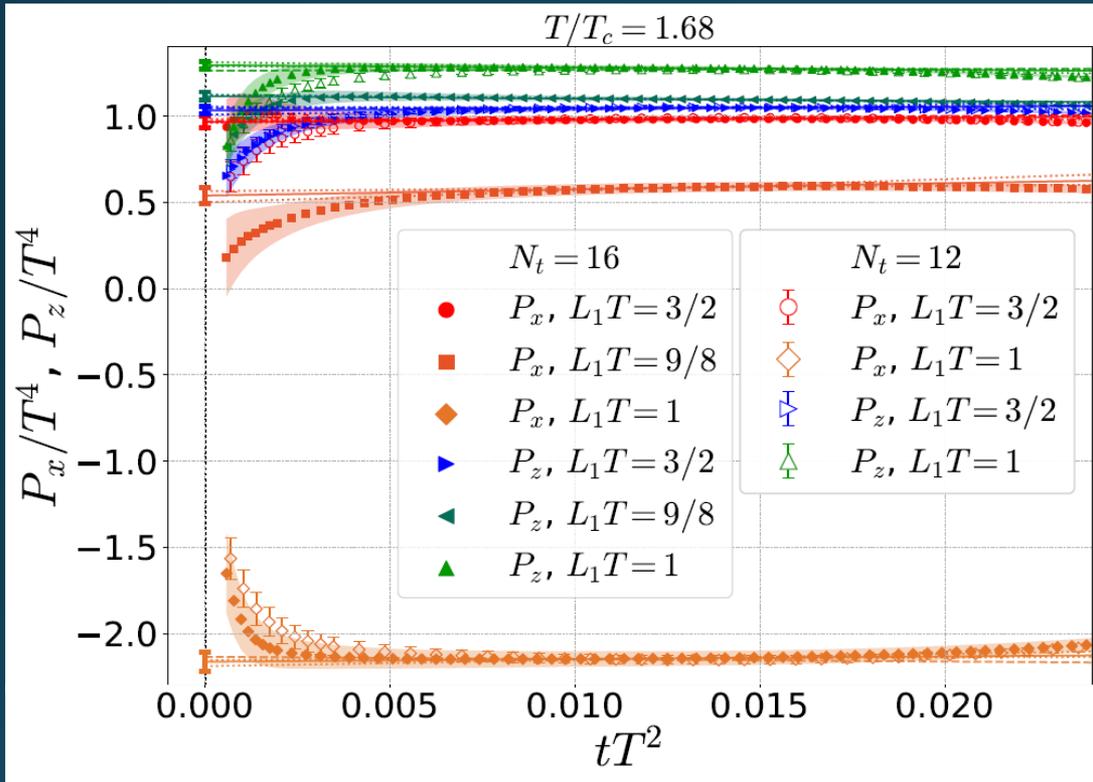


Transverse Pressure P_z



Small-t Extrapolation

$$T/T_c = 1.68$$



Filled: $N_t=16$ / Open: $N_t=12$

Small-t extrapolation

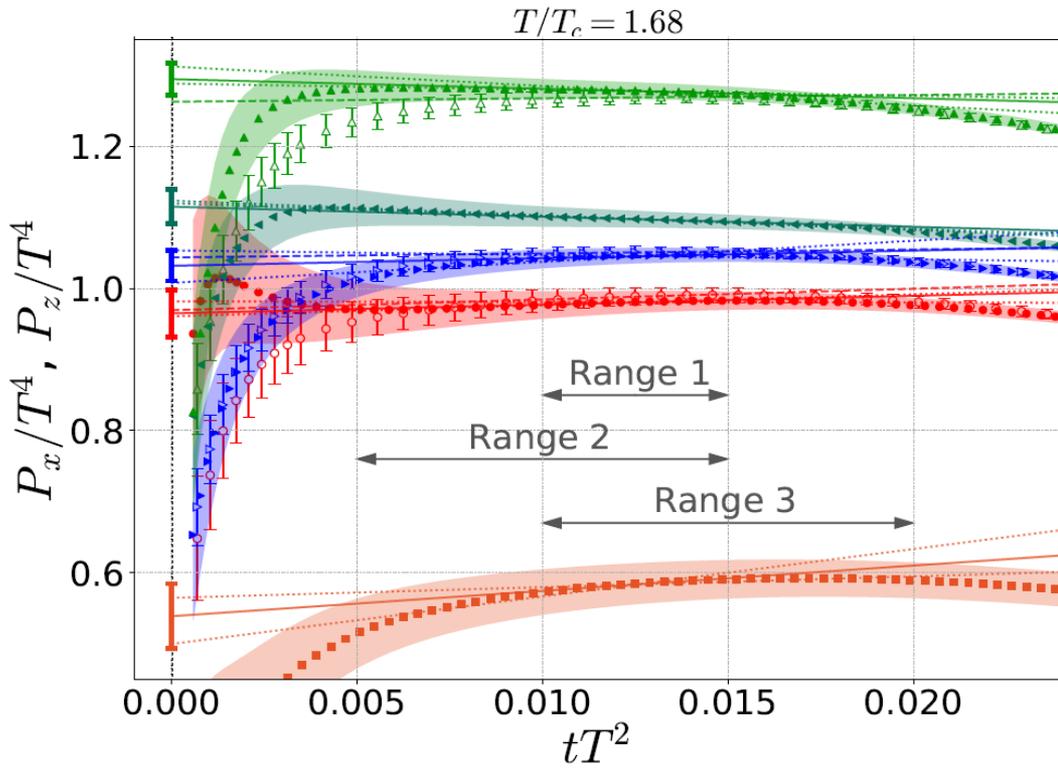
- Solid: $N_t=16$, Range-1
- Dotted: $N_t=16$, Range-2,3
- Dashed: $N_t=12$, Range-1

□ Stable small-t extrapolation

□ No N_t dependence within statistics for $L_x T = 1, 1.5$

Small-t Extrapolation

$$T/T_c = 1.68$$



●	P_x ,	▶	$P_z, L_1 T = 3/2$
■	P_x ,	◀	$P_z, L_1 T = 9/8$
◆	P_x ,	▲	$P_z, L_1 T = 1$

Filled: $N_t = 16$ / Open: $N_t = 12$

Small-t extrapolation

- Solid: $N_t = 16$, Range-1
- Dotted: $N_t = 16$, Range-2,3
- Dashed: $N_t = 12$, Range-1

□ Stable small-t extrapolation

□ No N_t dependence within statistics for $L_x T = 1, 1.5$