

# Correlation Functions in Heavy-ion Collisions, and their Correction

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(YITP, Kyoto)

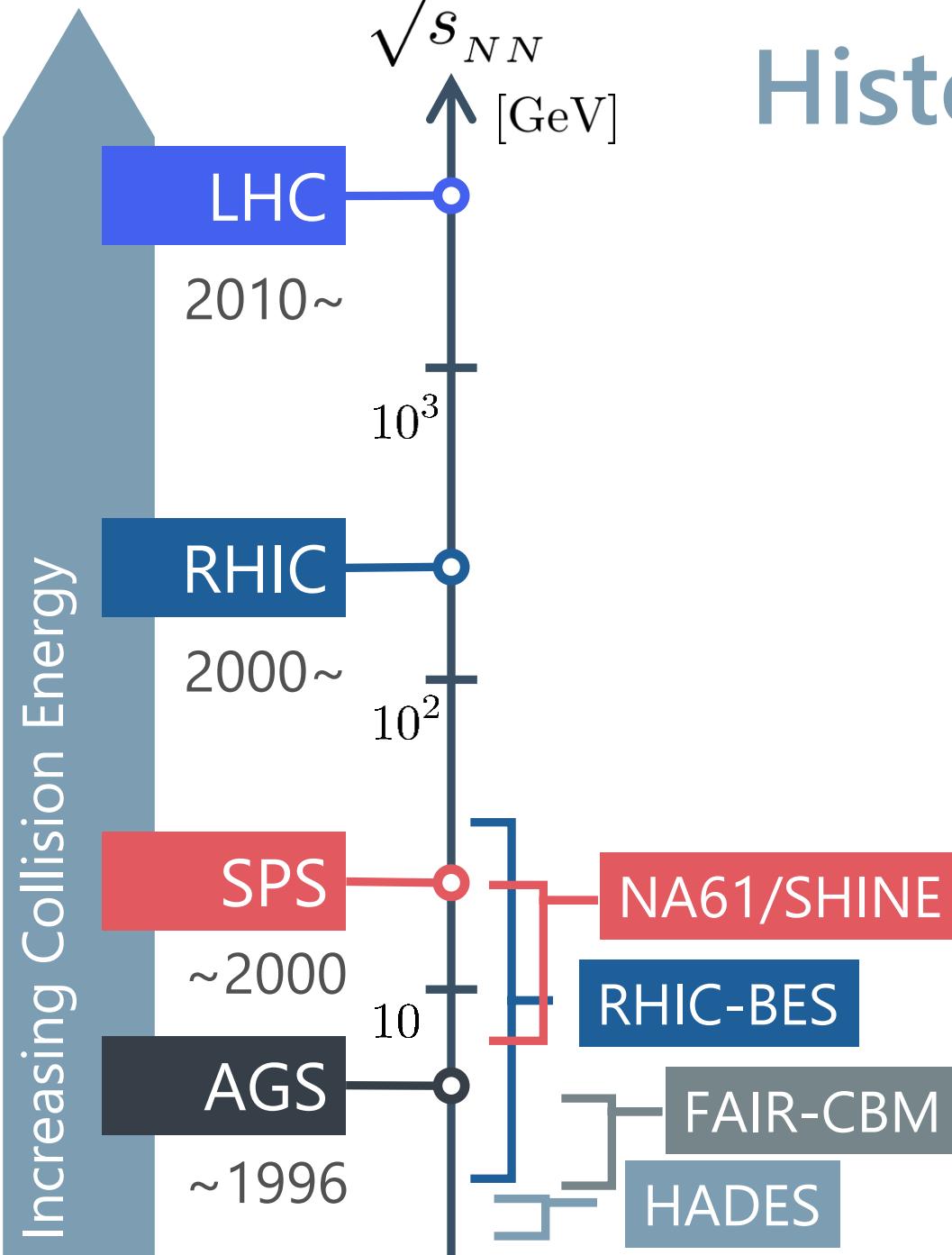
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1. Overview of Relativistic Heavy-ion  
Collisions & Beam-energy Scan

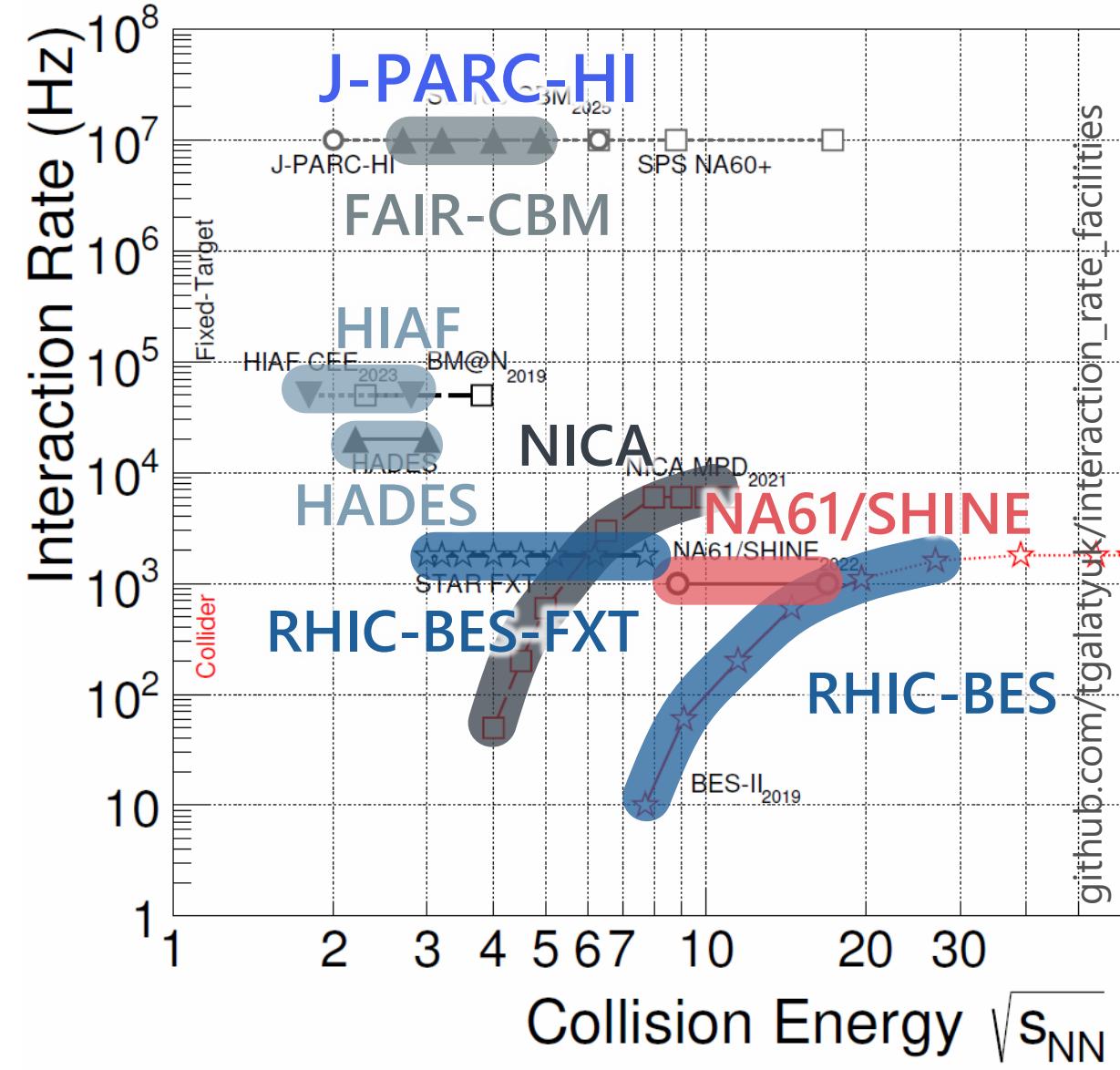
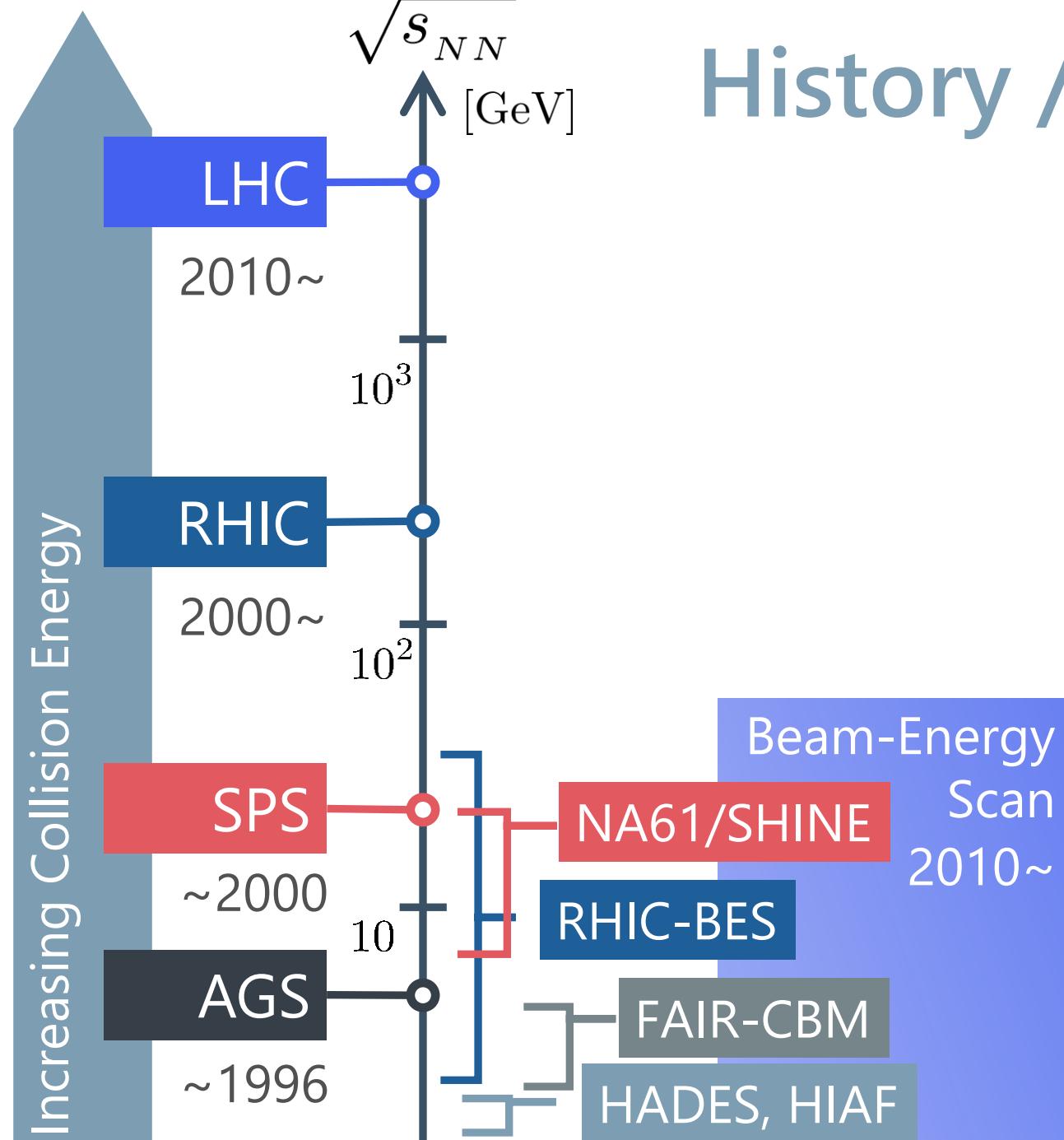
2. Efficiency Correction of Flow  
Correlations

MK, Esumi, Niida, Nonaka, in prep.

# History / Current Status of HIC

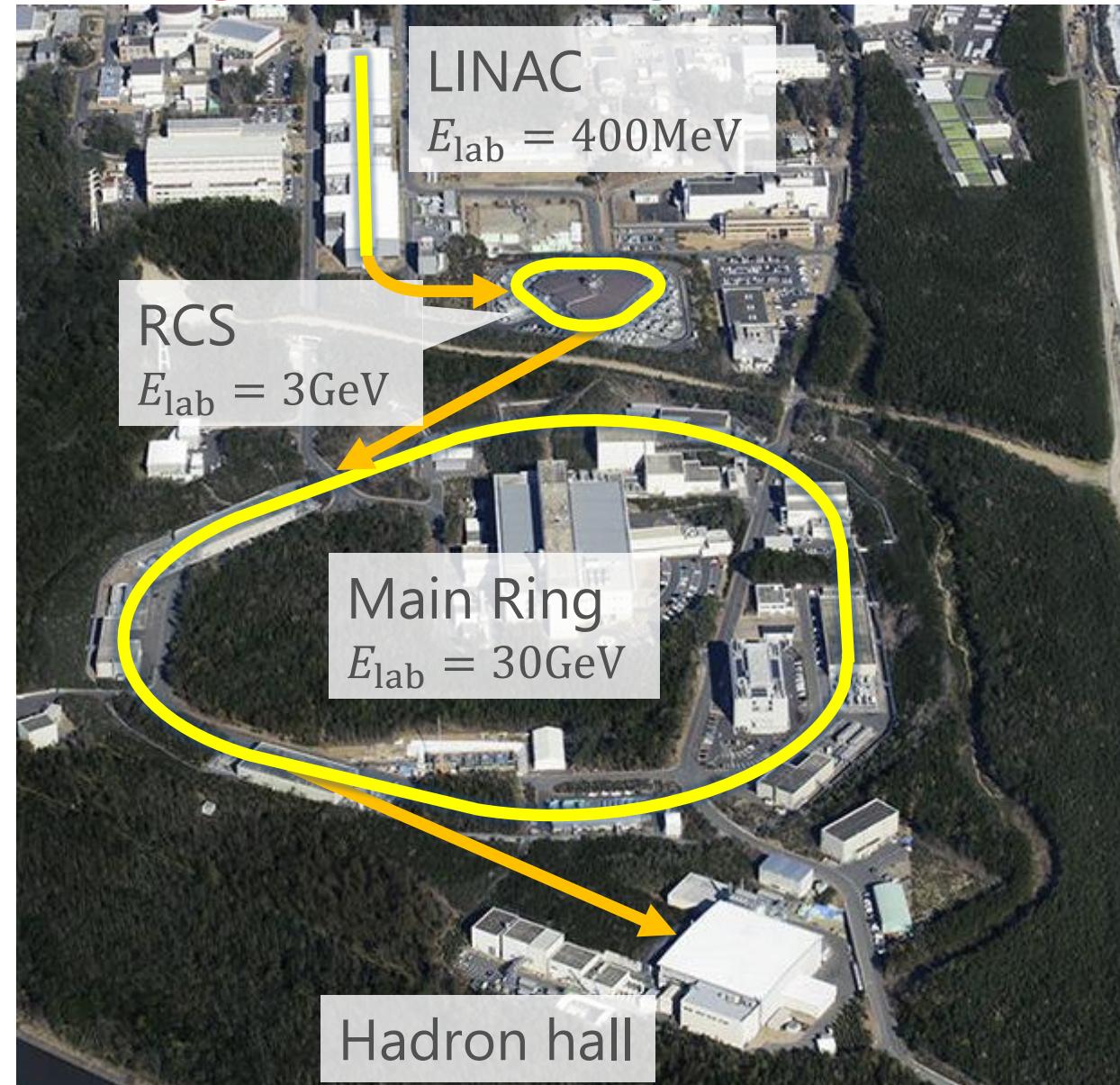


# History / Current Status of HIC



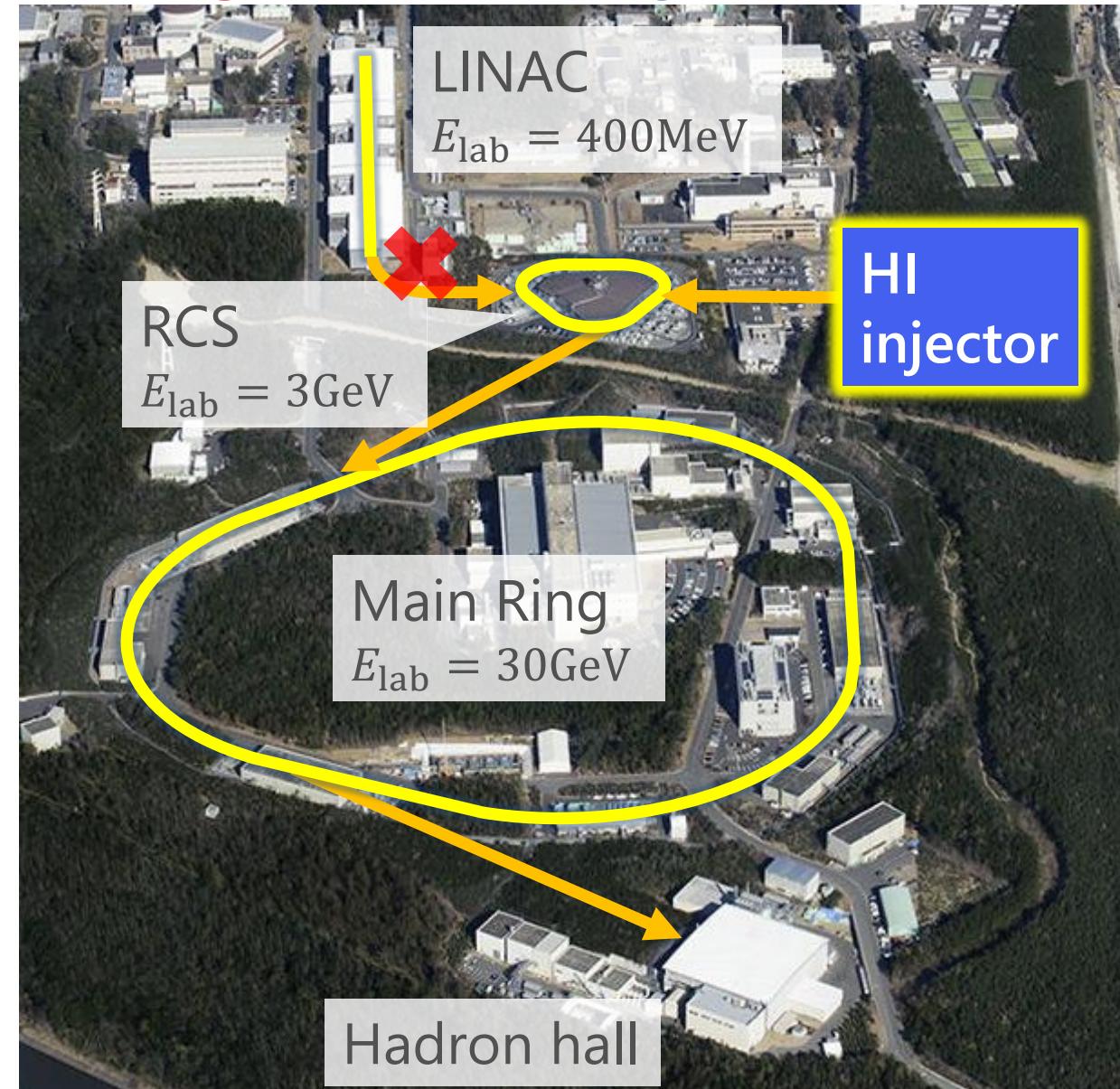
# J-PARC-HI = J-PARC Heavy-Ion Project

- New HI injector + existing accelerators (**RCS, MR**)
- Heavy-ion beams with **world highest luminosity**
- Realize various new experiments at J-PARC
- $\sqrt{s_{NN}} < 4.9\text{GeV}$

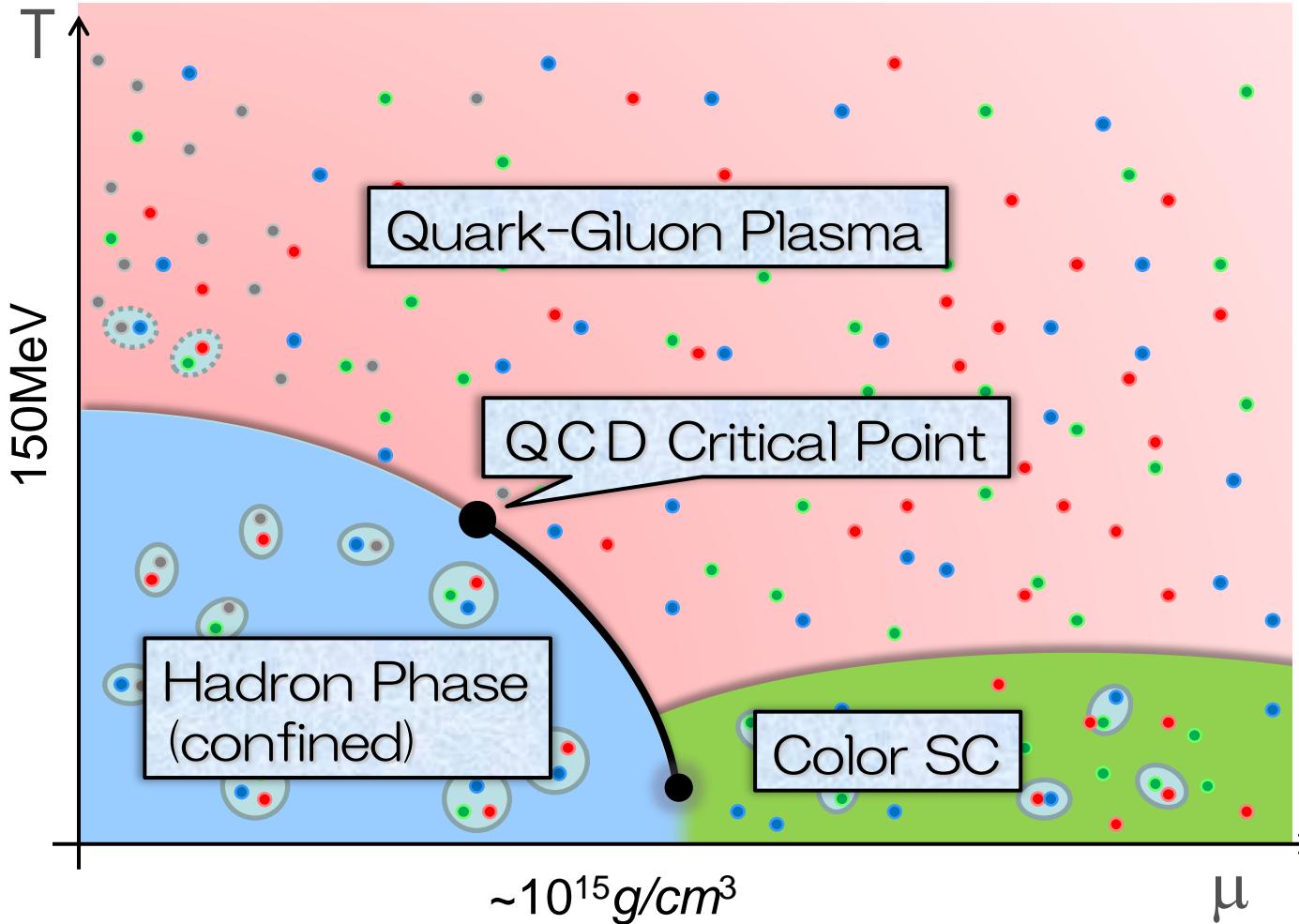


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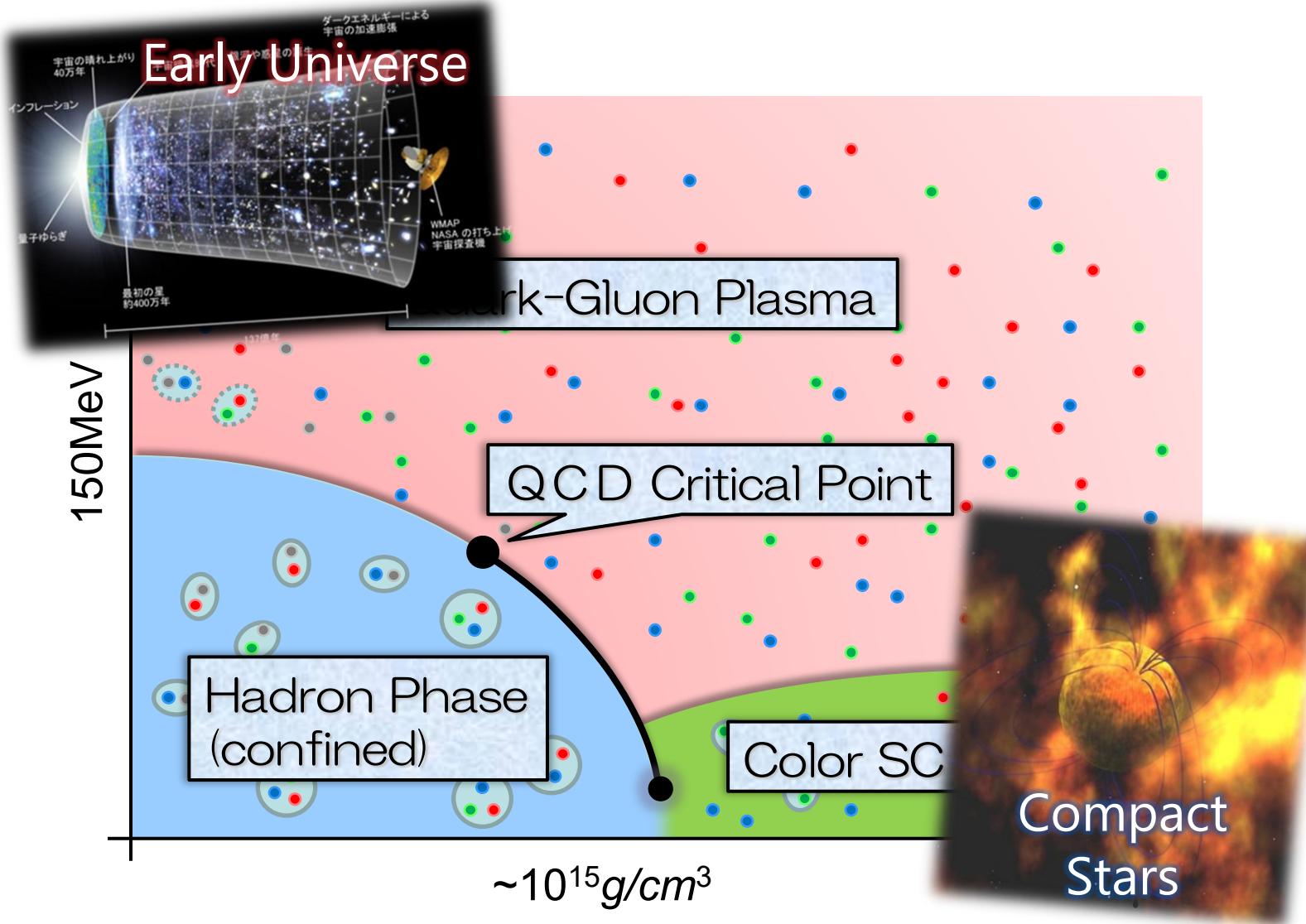


# QCD Phase Diagram



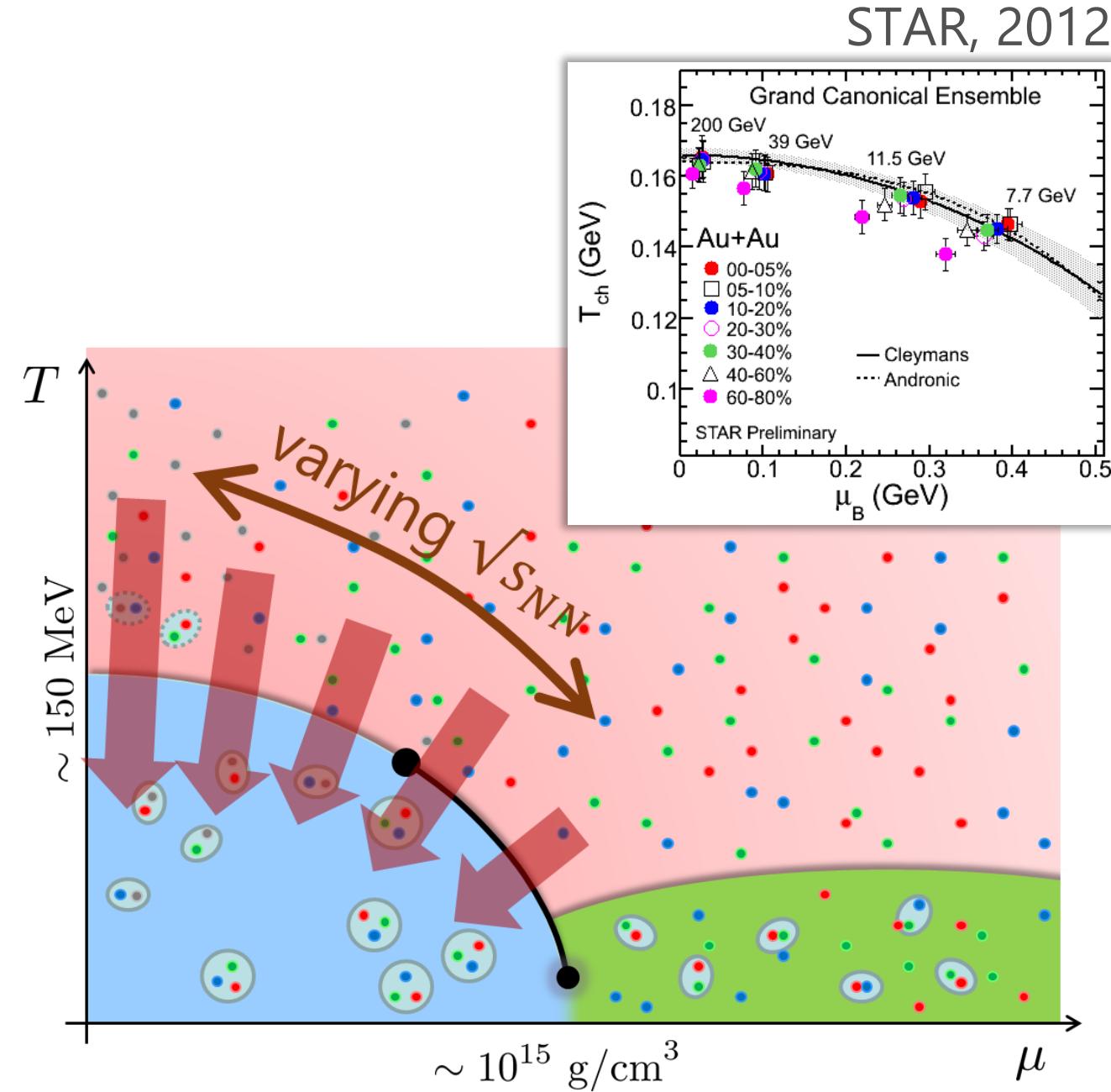
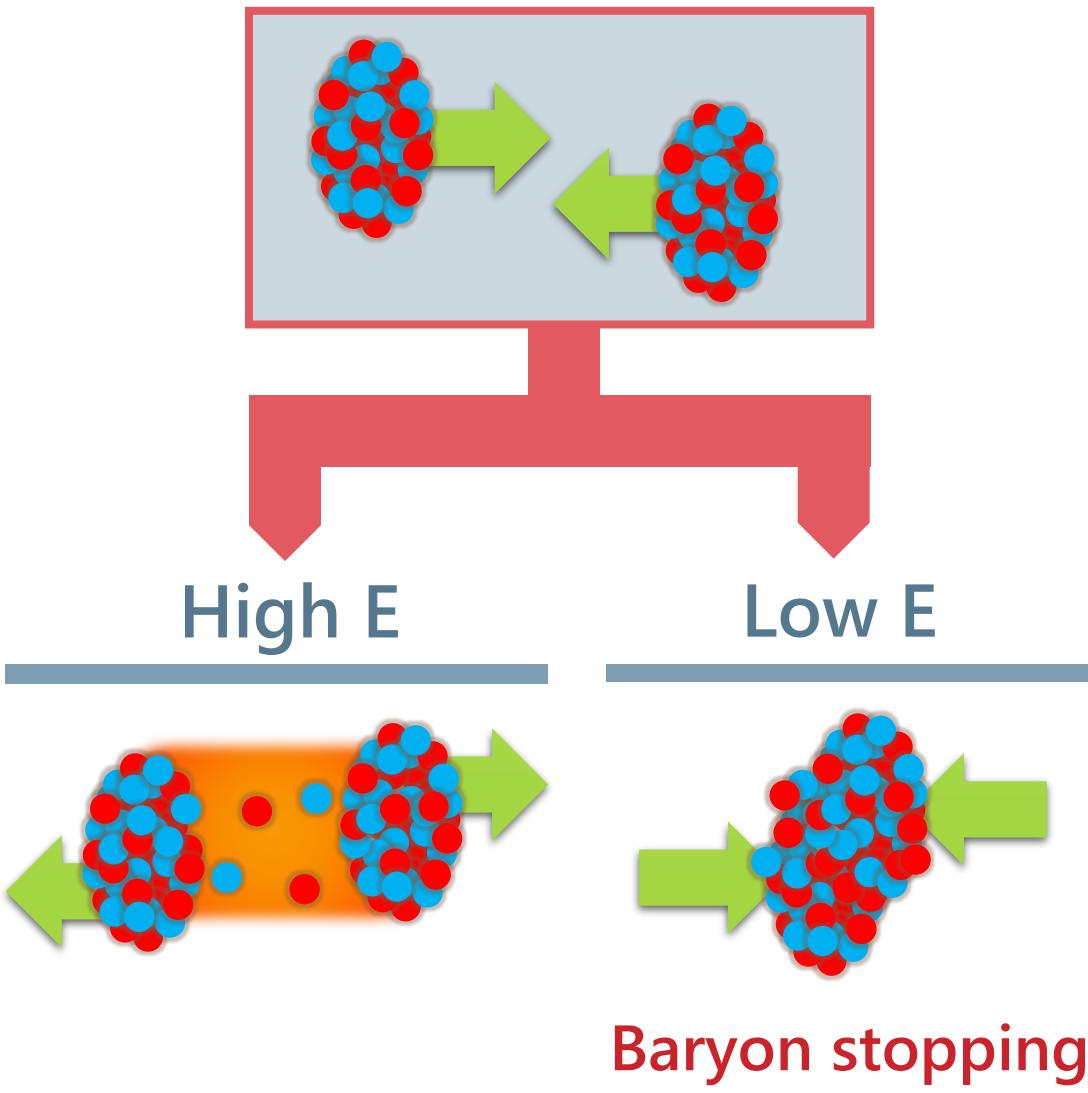
- Possible first-order transition and QCD critical point in dense region
- Multiple QCD-CP? MK+ ('02)
- Color superconducting phases in dense and cold quark matter

# QCD Phase Diagram

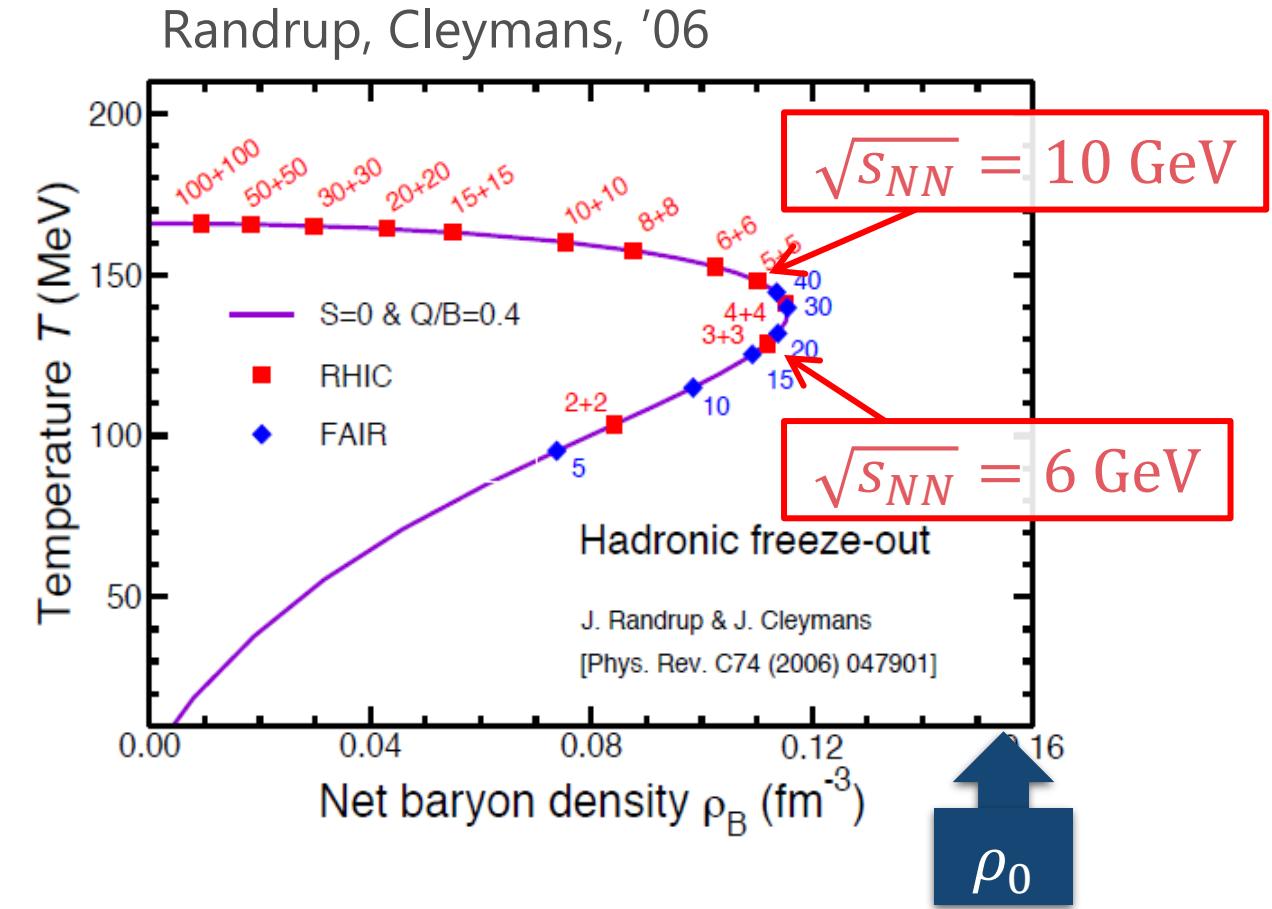
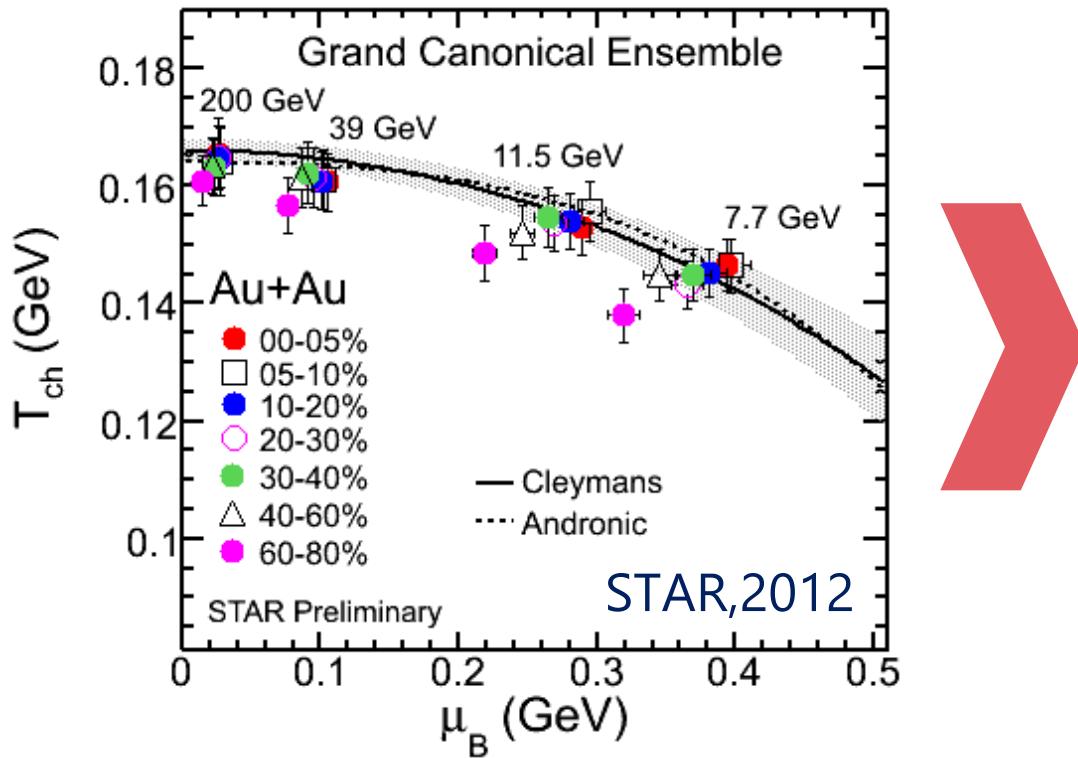


- Possible first-order transition and QCD critical point in dense region
- Multiple QCD-CP? MK+ ('02)
- Color superconducting phases in dense and cold quark matter

# Beam-Energy Scan



# Chemical Freezeout



- Highest baryon density **at chemical freezeout** at  $\sqrt{s_{NN}} \simeq 6 - 10 \text{ GeV}$ ?
- Not the highest density in the early stage.
- Density in earlier stage? ➡ Analysis in dynamical models

# Volume of Dense Region

Taya, Jinno, MK, Nara, 2409.07685

Volume where the local baryon density is larger than a threshold value  $\rho_{\text{th}}$

$$V_3(\rho_{\text{th}}, t) = \int_{\rho(x) > \rho_{\text{th}}} d^3x \gamma$$

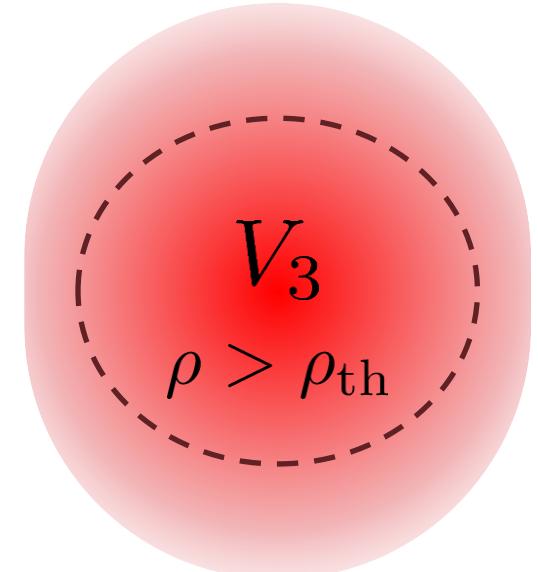
Baryon current  $J^\mu(x)$

Baryon density  $\rho(x) = \sqrt{J^\mu(x) J_\mu(x)}$

Lorentz factor  $\gamma = (1 - (\mathbf{J}/J_0)^2)^{-1/2}$

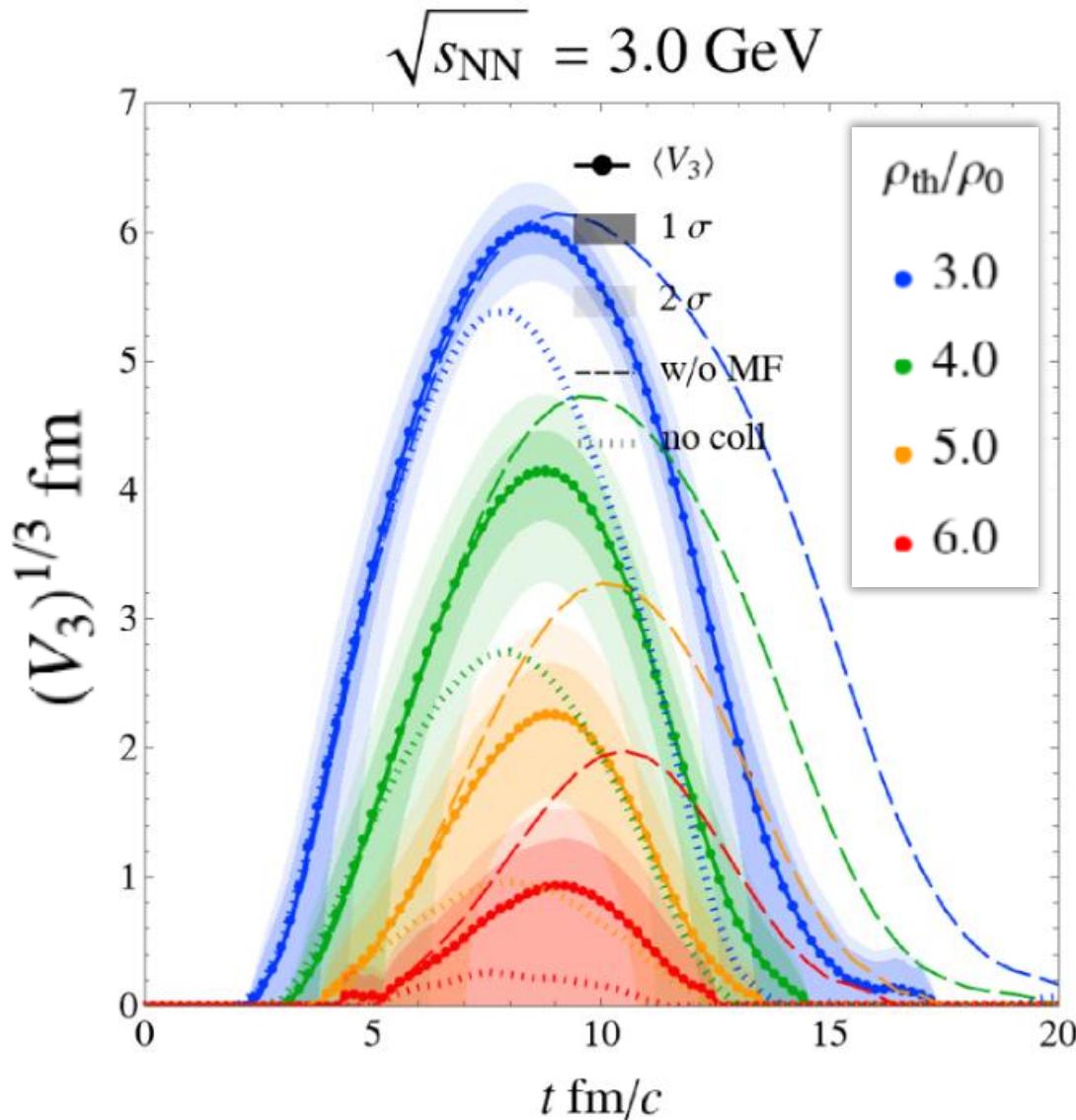
## Note:

- Event-by-event basis / no event average
- Directly calculable in a dynamical model
- We do not care about local thermalization.
  - $V_3$  is the upper limit of thermalized volume.
  - Even non-thermal, dense region is interesting!



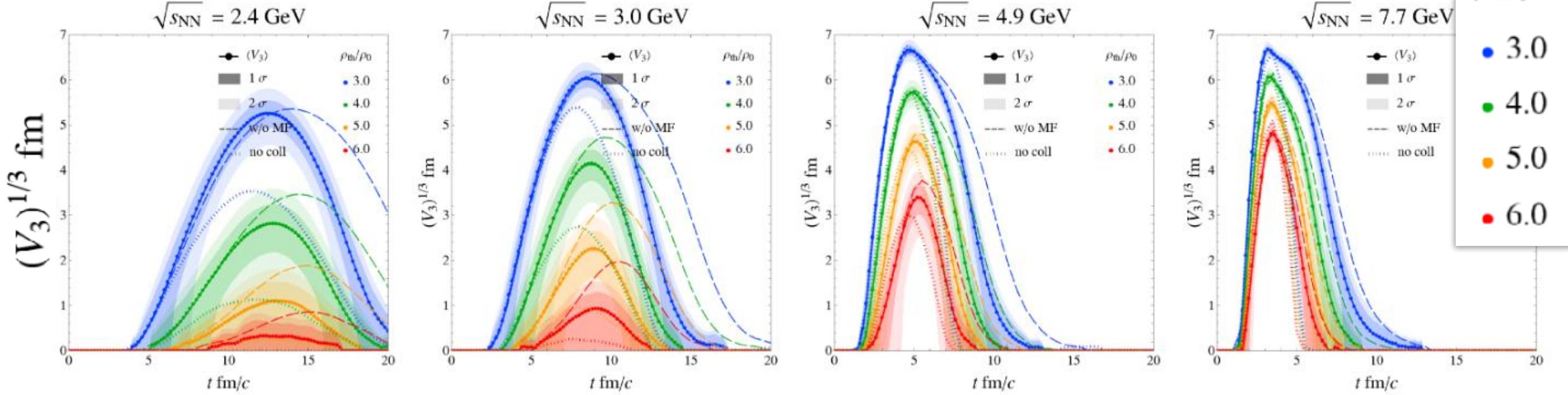
# $V_3$ in JAM

Taya, Jinno, MK, Nara, 2409.07685



- Formation of dense region:
  - $V_3(3\rho_0, t) = (6 \text{ fm})^3$
  - $V_3(4\rho_0, t) = (4 \text{ fm})^3$
- Large e-v-e fluctuations  
→ separable by event selection?
- Repulsive MF → weaker compression
- Compression owing to interaction

# $V_3$ for various $\sqrt{s_{NN}}$



As  $\sqrt{s_{NN}}$  becomes larger,

- $\max V_3(\rho_{\text{th}}, t)$  becomes larger.
- The lifetime of dense region becomes shorter.
- E-v-e fluctuations are more suppressed.

$\rho_{\text{th}}/\rho_0$

- 3.0
- 4.0
- 5.0
- 6.0

# Four-Volume / Lifetime

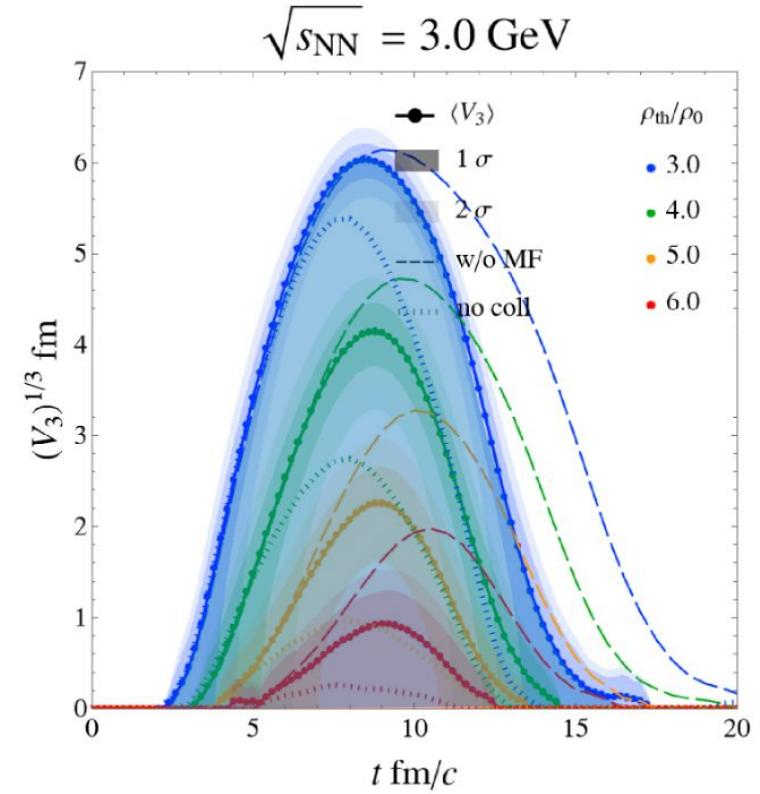
Taya, Jinno, MK, Nara, 2409.07685

## Four Volume

$$V_4(\rho_{\text{th}}) = \int_{-\infty}^{\infty} dt \int_{\rho(x) > \rho_{\text{th}}} d^3x$$

## Lifetime

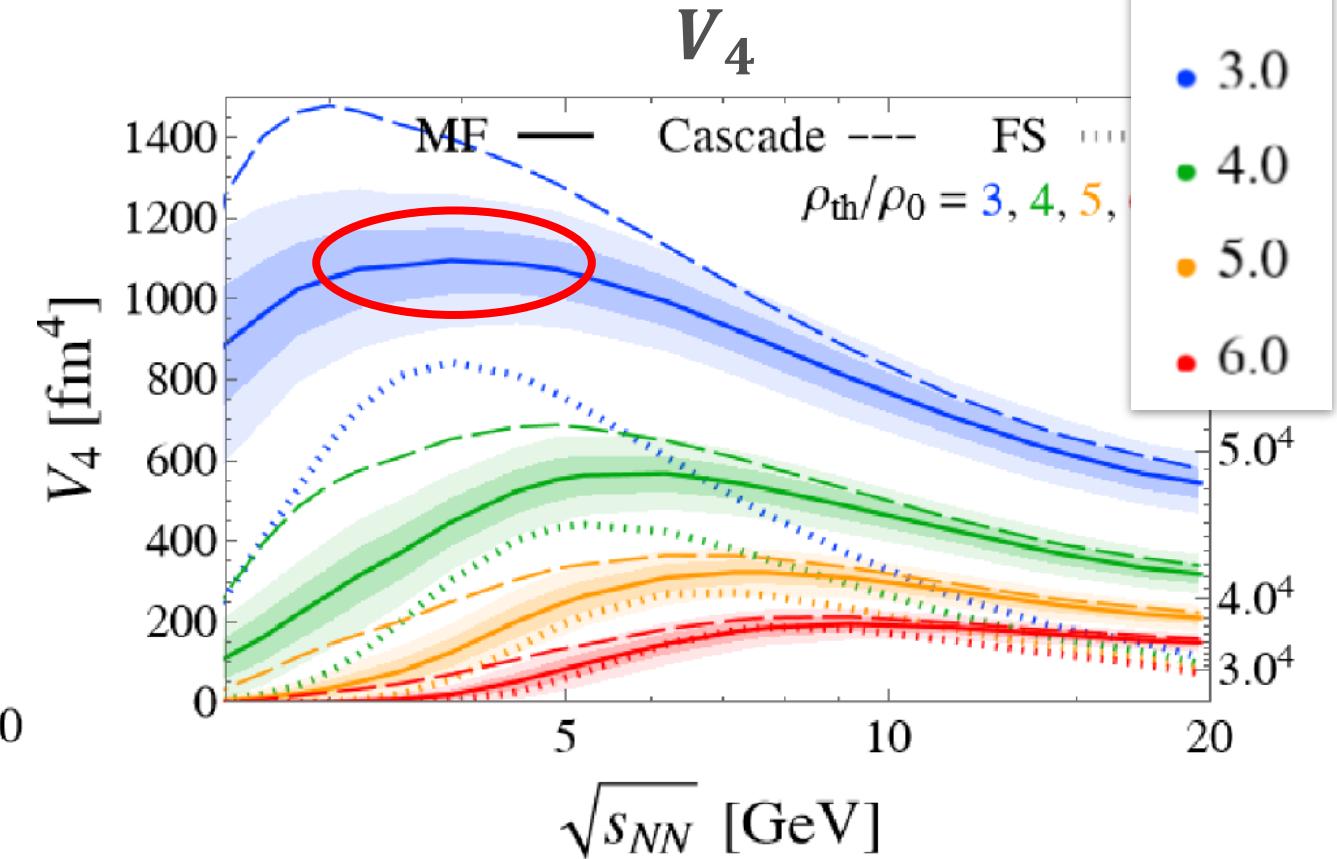
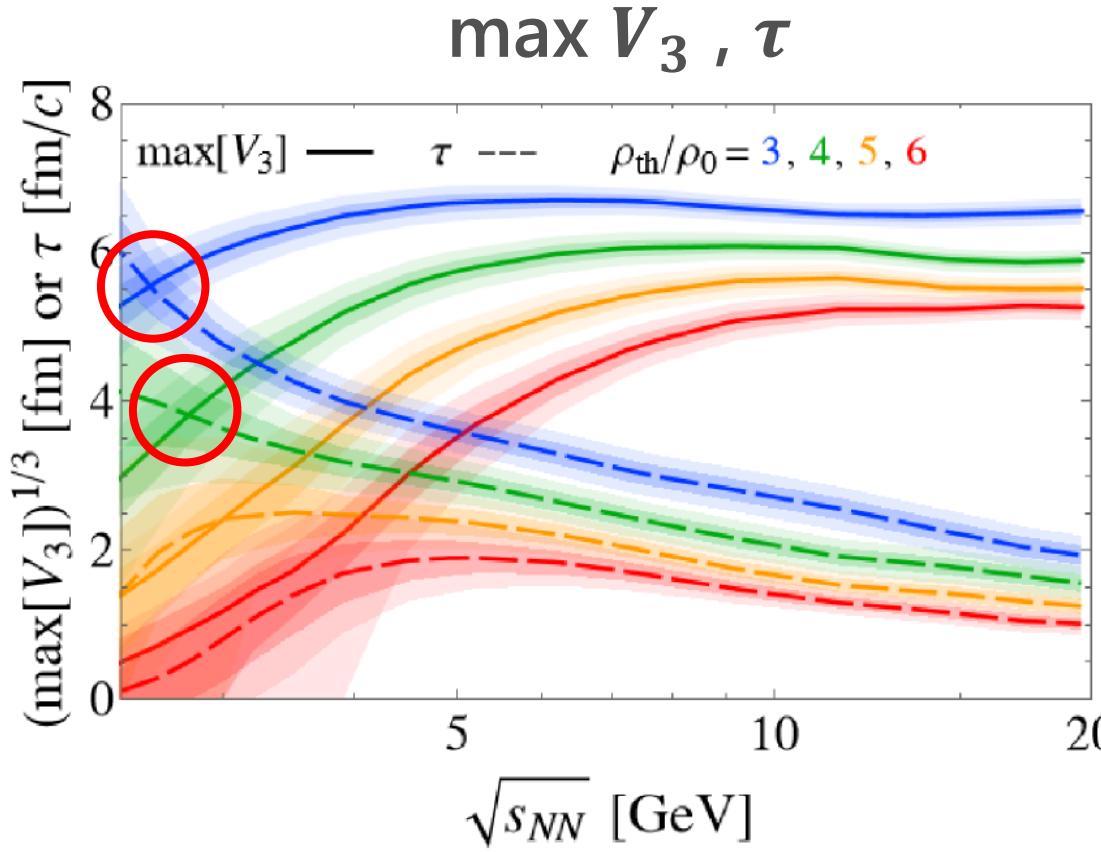
$$\tau(\rho_{\text{th}}) = \frac{V_4(\rho_{\text{th}})}{\max V_3(\rho_{\text{th}}, t)}$$



## Note

$V_4$  may be relevant for the dilepton production rate.

# $\sqrt{s_{NN}}$ Dependence

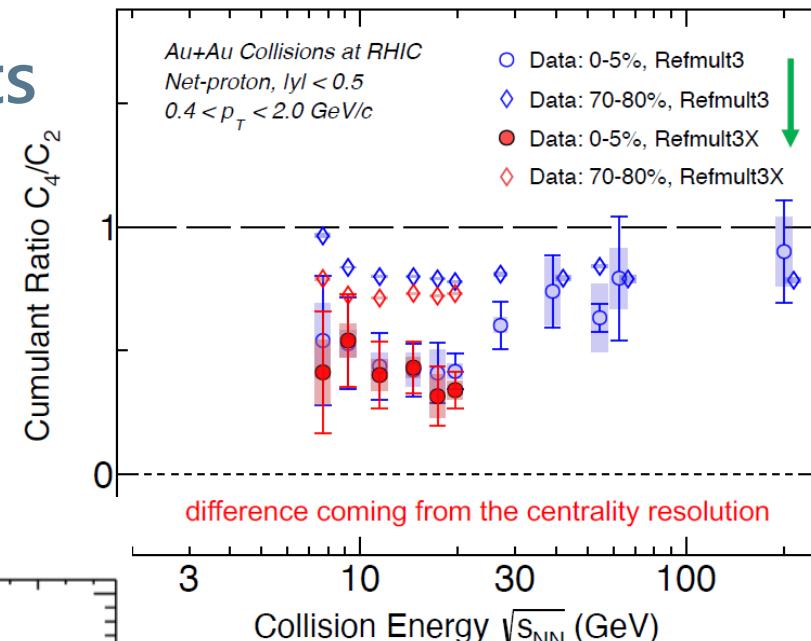
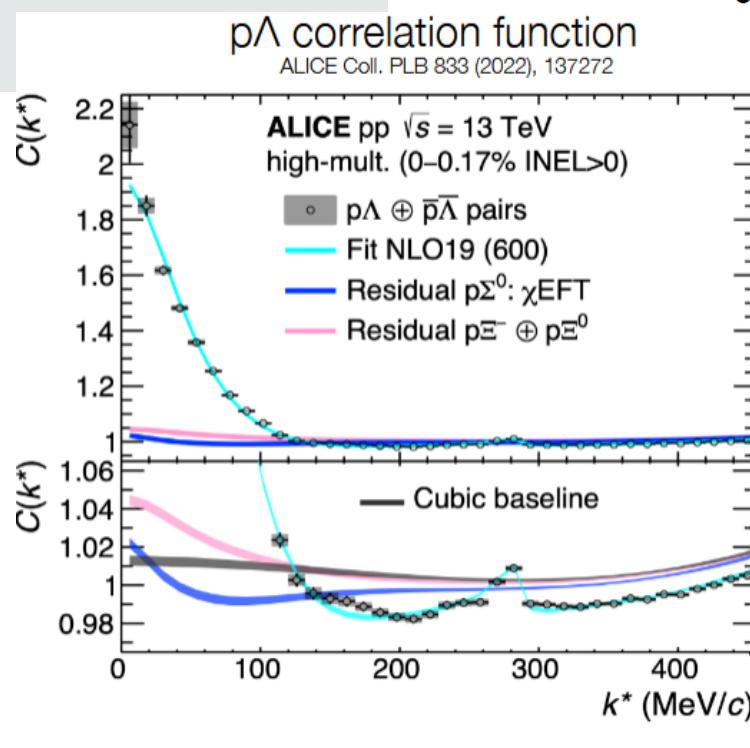
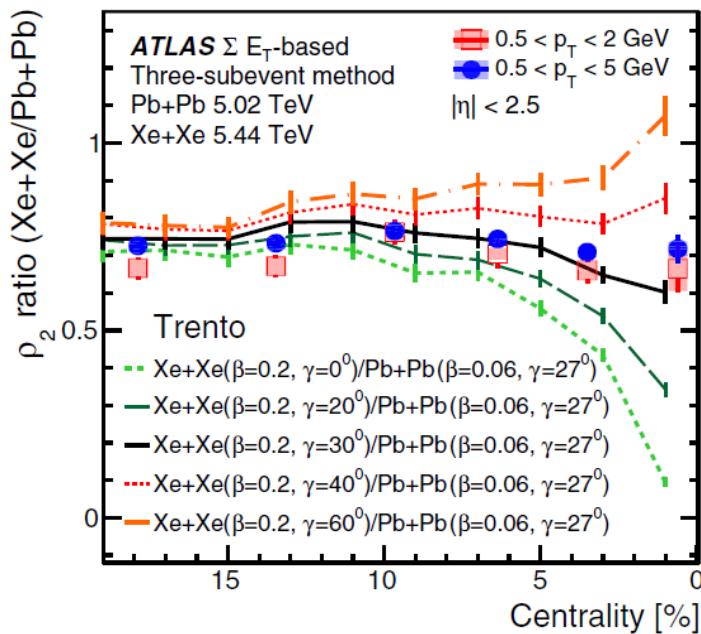


- ◻  $\sqrt{s_{NN}} \approx 3$  GeV would be the best energy to create  $\rho = 3 \sim 4 \rho_0$  with large  $V_3$  and  $\tau$ .
- ◻ Lower  $\sqrt{s_{NN}}$  is suitable to create colder matter.

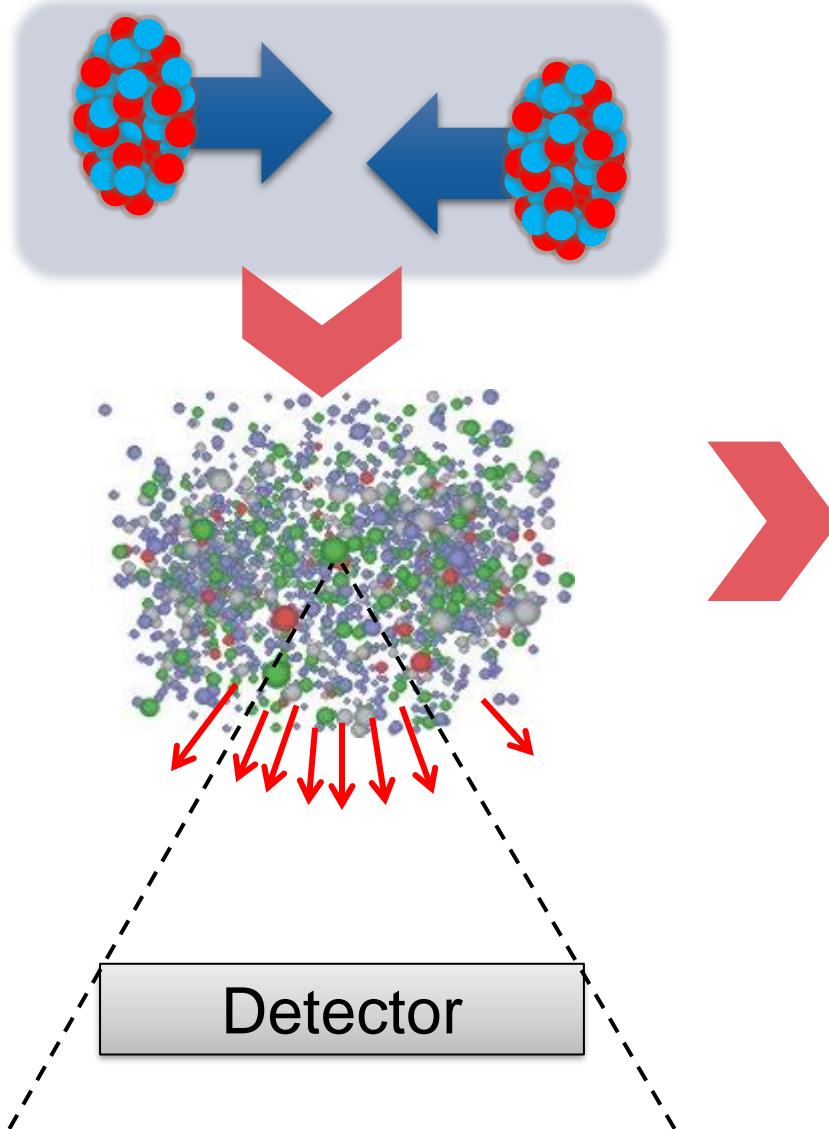
# Higher-order Correlations

= central observables in the future experiments  
that fully make use of their high statistics.

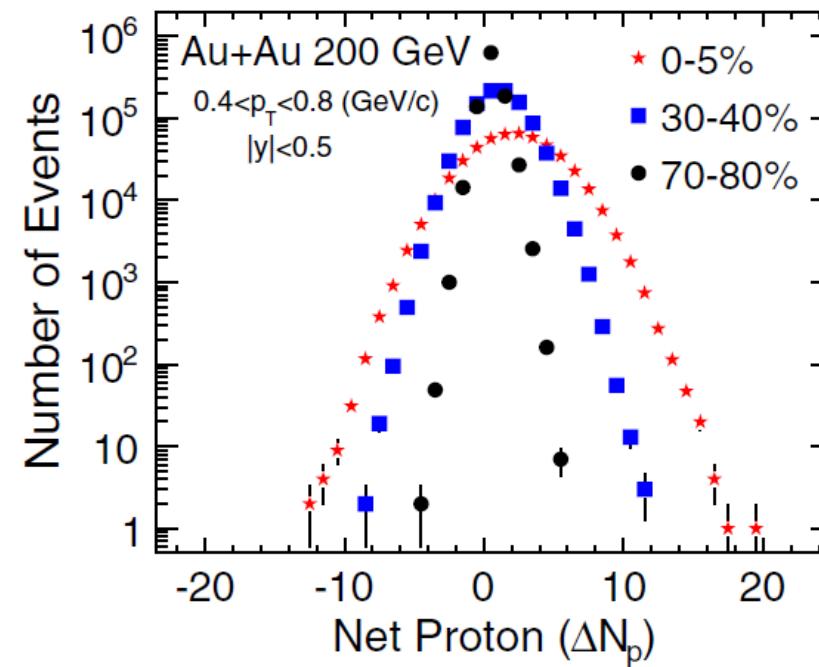
- Search for the QCD critical point
- Femtoscopy → Hadron interaction
- Nuclear structure



# Event-by-event Fluctuations



Particle-number Distribution



STAR, PRL105 (2010)

Cumulants

$$\begin{aligned}\langle N^2 \rangle_c &= \langle (\delta N^2) \rangle, \\ \langle N^3 \rangle_c &= \langle (\delta N^3) \rangle, \\ \langle N^4 \rangle_c &= \dots\end{aligned}$$

# A Coin Game

- ① Bet 25 Euro
- ② You get head coins of

A.  $50 \times 1$  Euro



B.  $25 \times 2$  Euro



C.  $1 \times 50$  Euro



Same expectation value.  
But, different fluctuation.

# Fluctuations in HIC @ 2nd Order

Search for QCD CP



Fluctuation  
**increases**

Stephanov, Rajagopal, Shuryak, 1998; 1999

Onset of QGP



Fluctuation  
**decreases**

Asakawa, Heinz, Muller, 2000;  
Jeon, Koch, 2000

# Higher-Order Cumulants

A.  $50 \times 1$  Euro



B.  $25 \times 2$  Euro



$$2\langle \delta\epsilon^2 \rangle = \langle \delta\epsilon^2 \rangle$$



$$4\langle \delta\epsilon^3 \rangle = \langle \delta\epsilon^3 \rangle$$



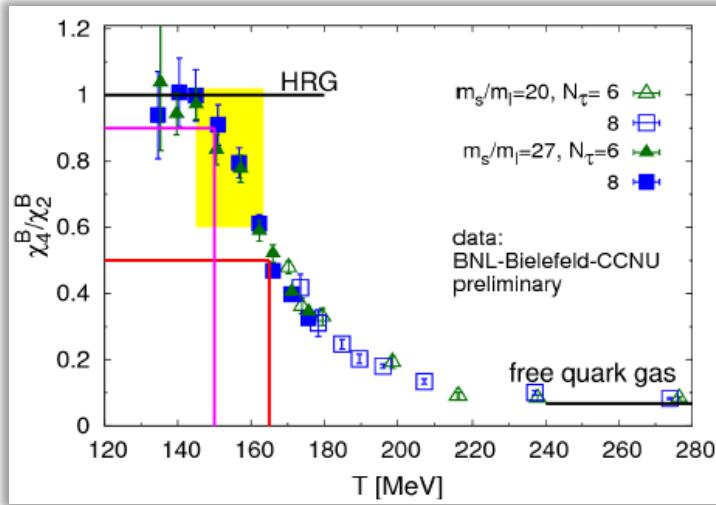
$$8\langle \epsilon^4 \rangle_c = \langle \epsilon^4 \rangle_c$$



Asakawa, MK,  
PPNP 90, 299 (2016)

# Non-Gaussian Fluctuations

## Onset of QGP



Fluctuation  
decreases

Ejiri, Karsch, Redlich, 2006

## Search for QCD CP



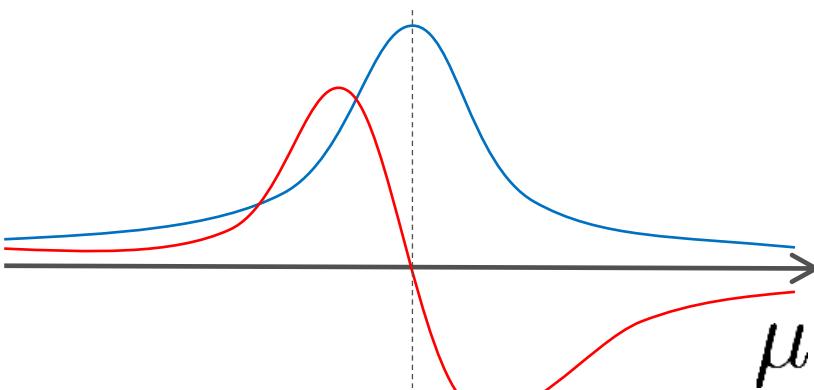
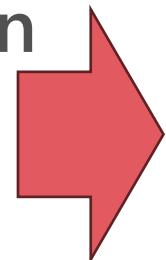
Fluctuation  
increases

Stephanov, 2009

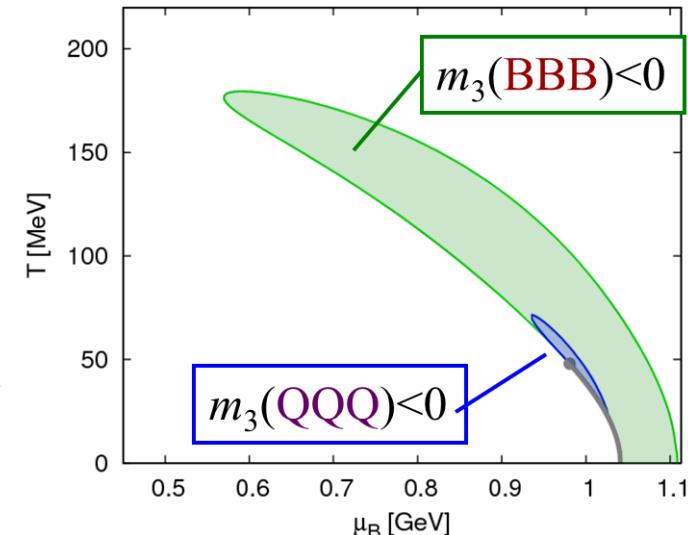
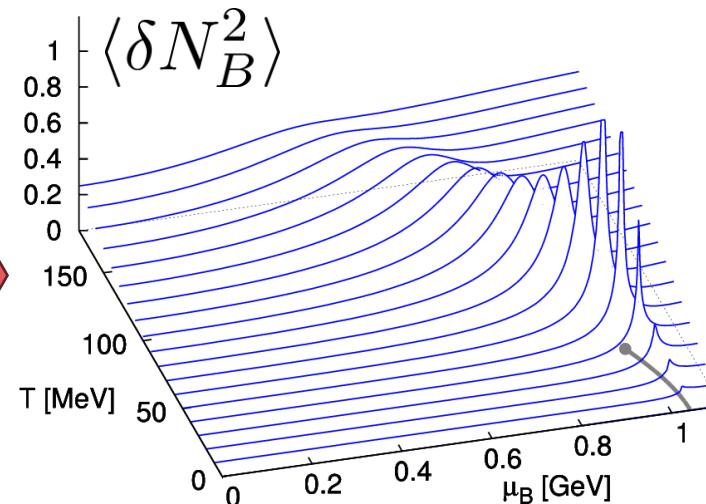
# Sign of Higher-Order Cumulants

## Geometric Interpretation

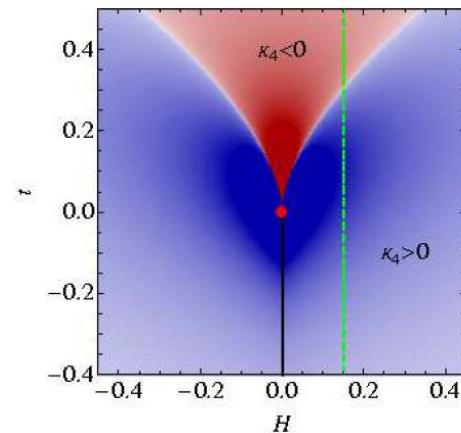
$$\langle \delta N^3 \rangle = T \frac{\partial \langle \delta N^2 \rangle}{\partial \mu}$$



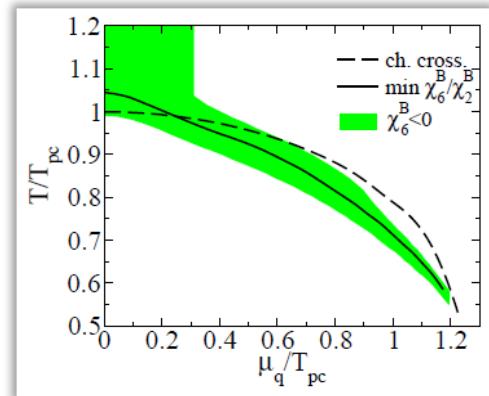
Asakawa, Ejiri, MK, 2009



Asakawa, Ejiri, MK, 2009

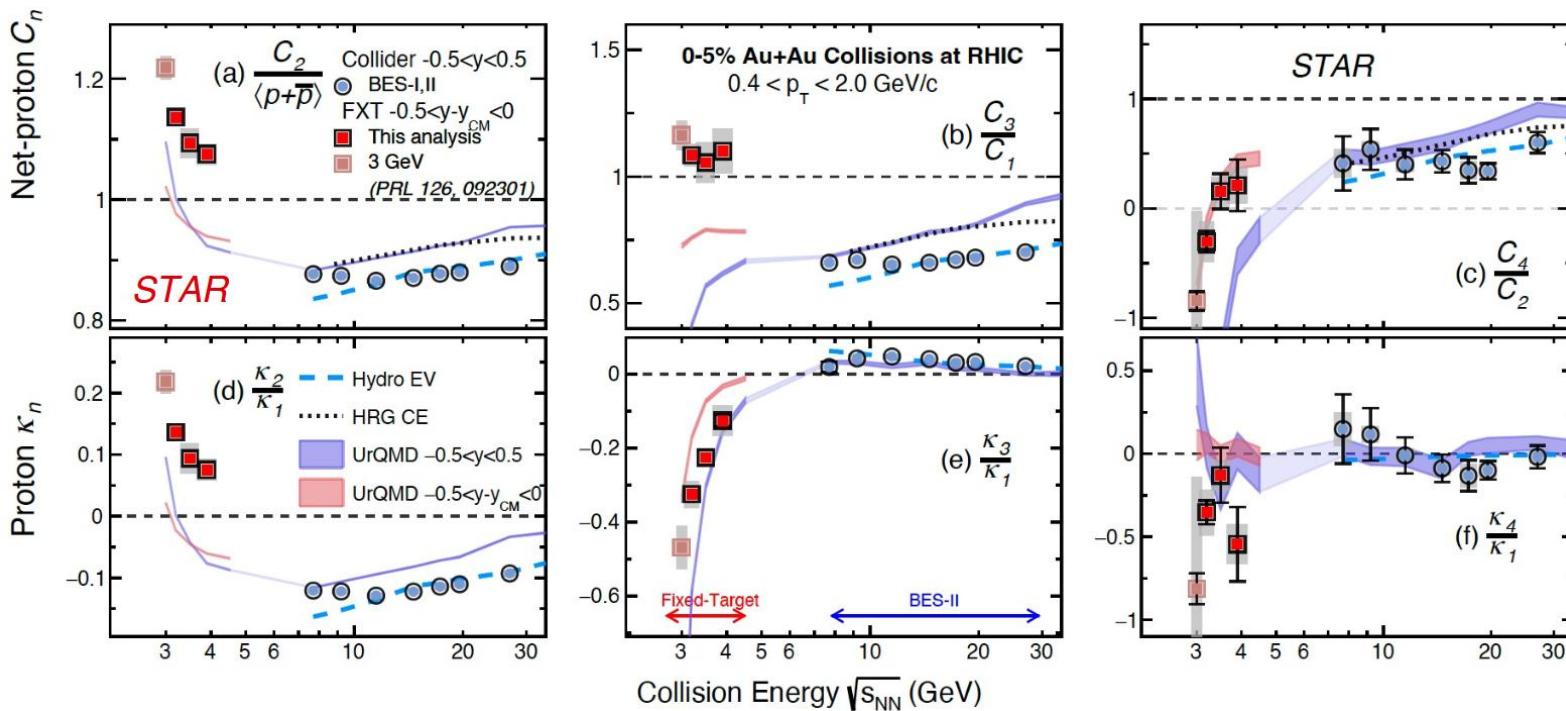


Stephanov, 2011;  
Friman, Karsch, Redlich, Skokov, 2011; ...



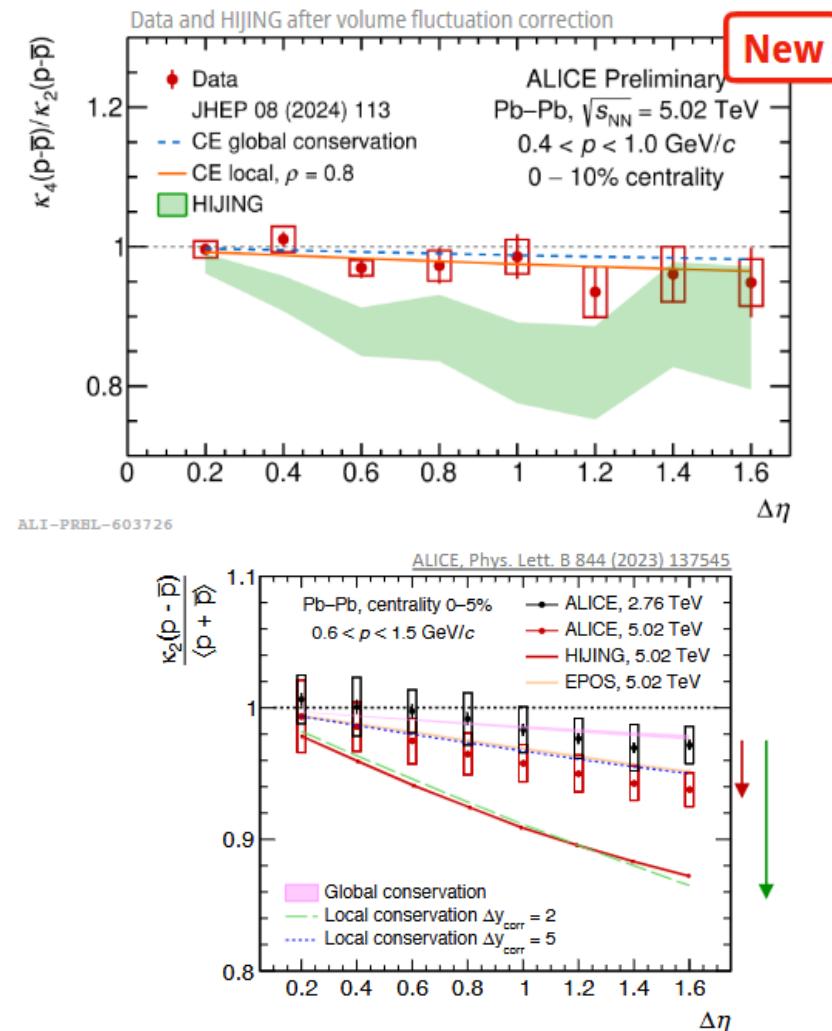
# Latest Experimental Results @ QM2025

## STAR (Esumi)



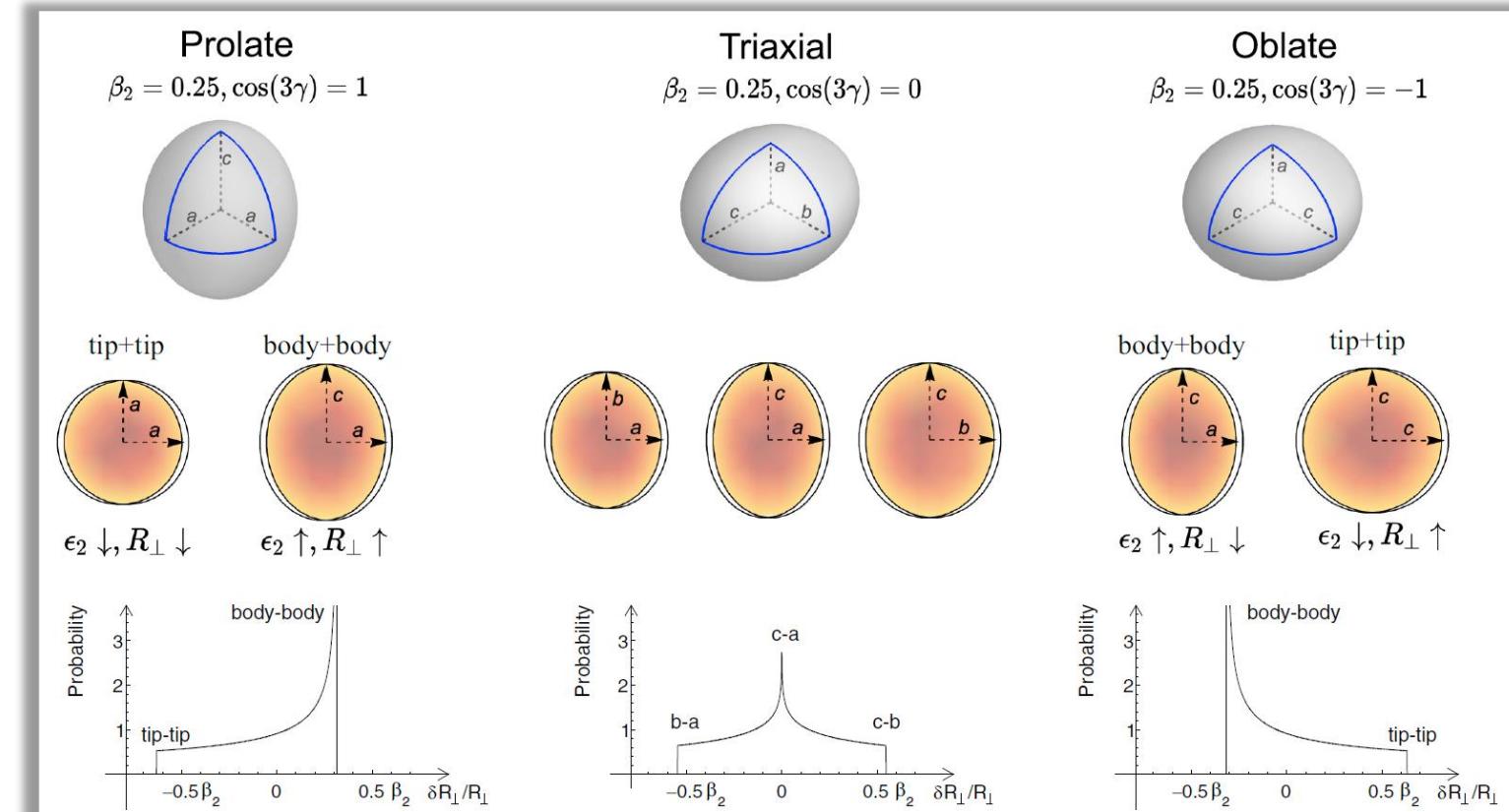
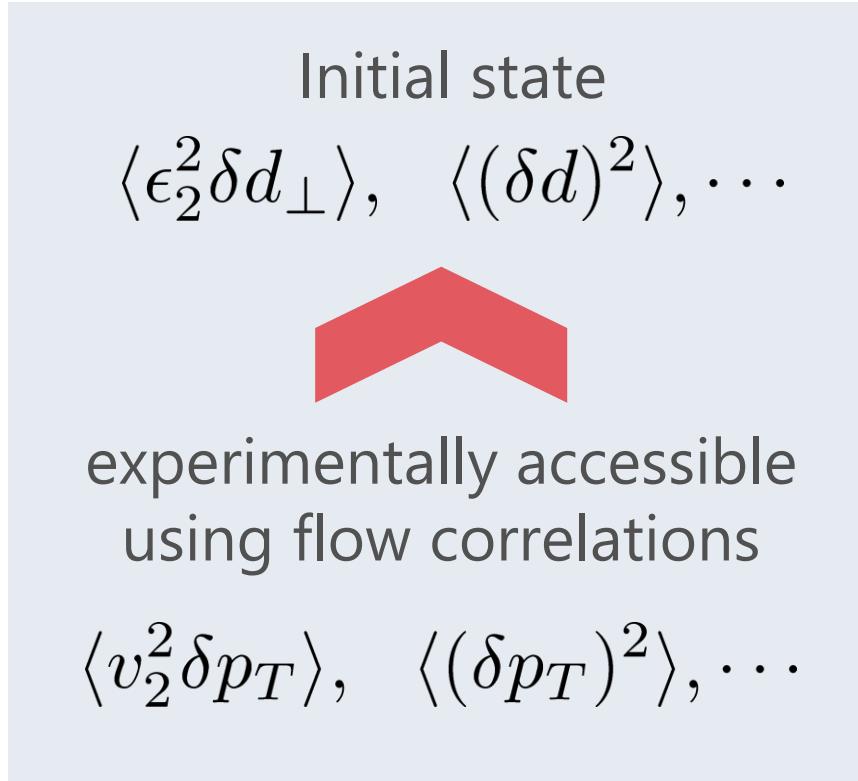
- Dip and/or enhancement in  $\sqrt{s_{NN}}$  dep. of higher-order cumulants?
- No non-Poisson signal in the ALICE result.

## ALICE (Arslandok)



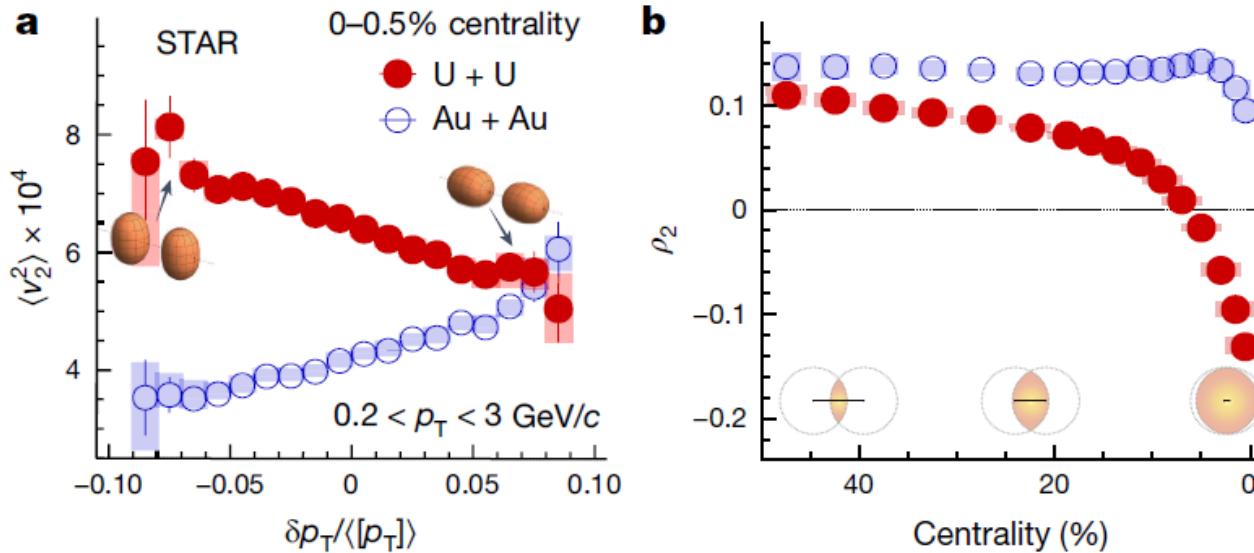
# Nuclear Shape from Relativistic HIC

- High-E HIC takes a snapshots of the collision.
- Distribution of the initial shape is sensitive to the shape of colliding nuclei.



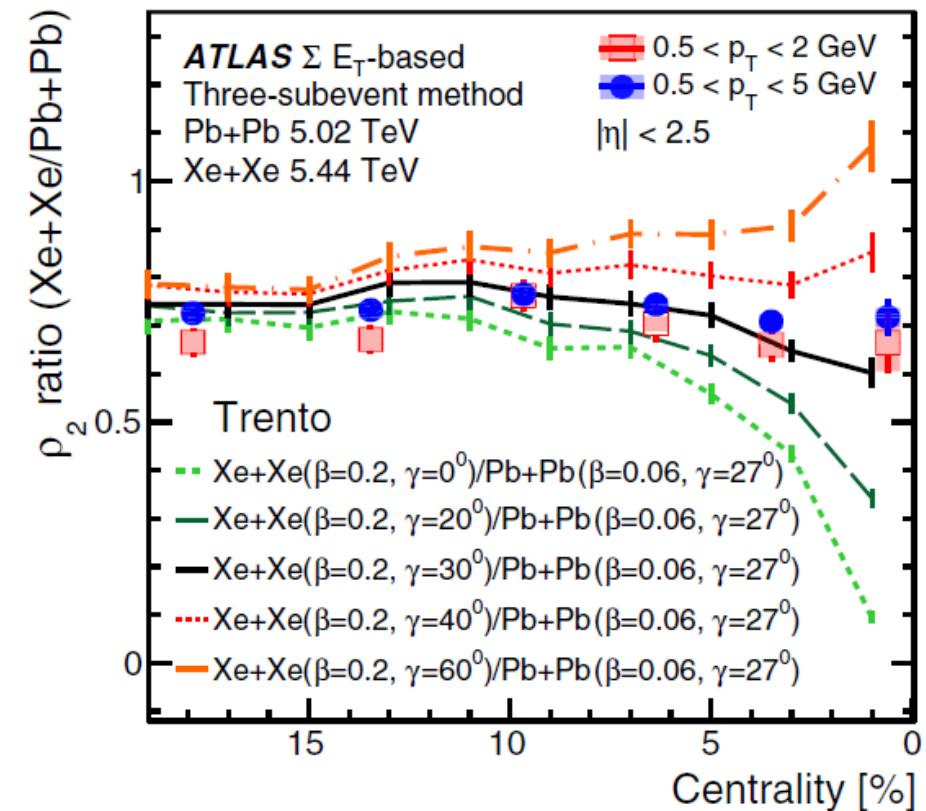
# Experimental Results

STAR, Nature 635, 67 (2024)



Information of the nuclear shape is reflected in flow observables.

ATLAS, PRC 107, 054910 (2023)



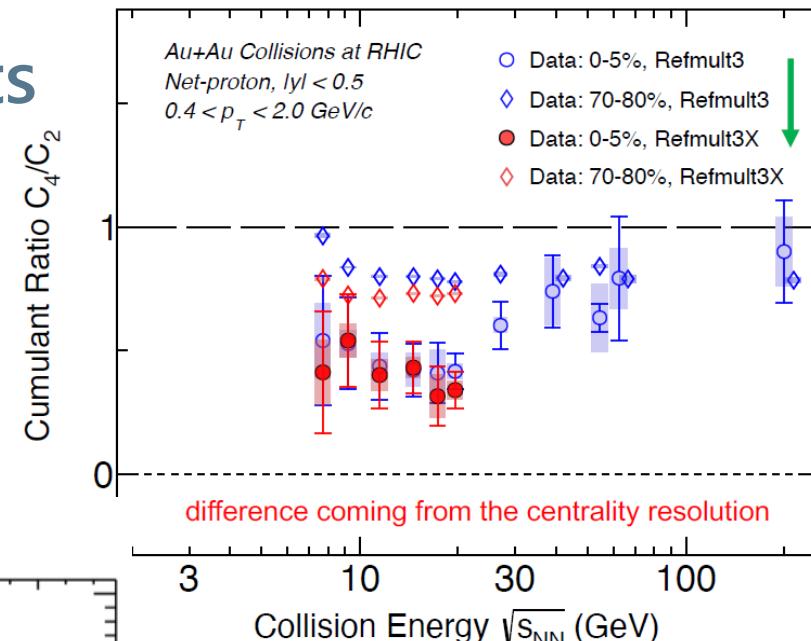
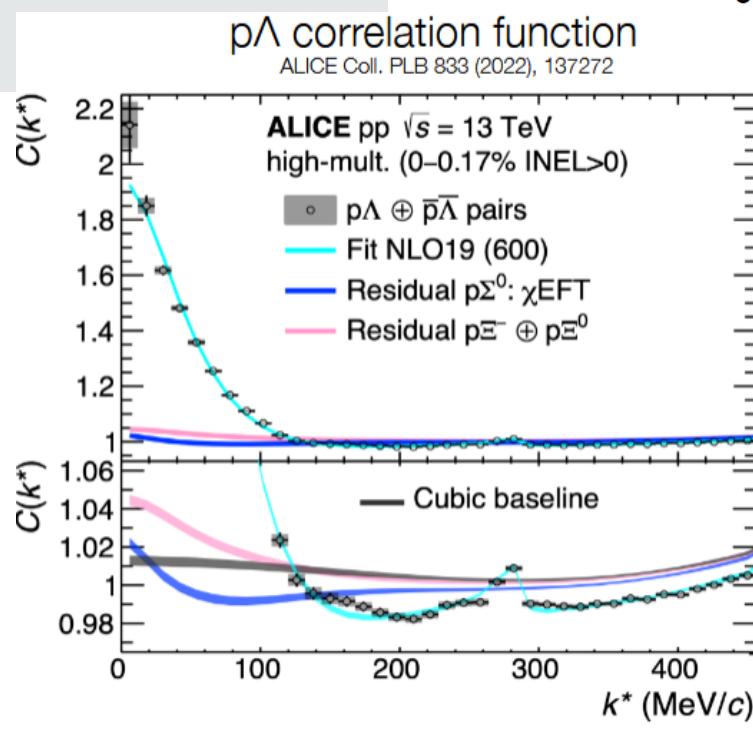
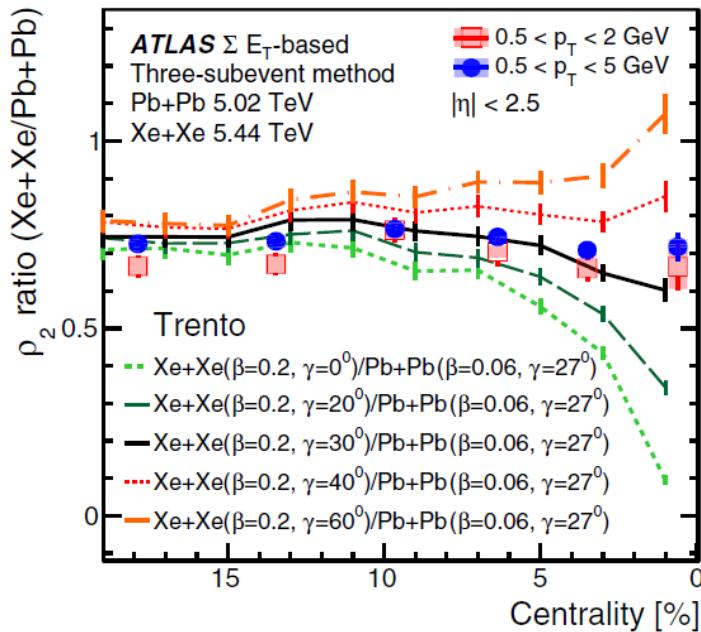
$v_n - p_T$  correlation:

$$\rho(v_n\{2\}^2, [p_\perp]) = \frac{\text{cov}(v_n\{2\}^2, [p_\perp])}{\sqrt{\text{Var}(v_n^2)}_{\text{dyn}} C_{p_\perp}}$$

# Higher-order Correlations

= central observables in the future experiments  
that fully make use of their high statistics.

- Search for the QCD critical point
- Femtoscopy → Hadron interaction
- Nuclear structure



# Contents

1. Overview of Relativistic Heavy-ion  
Collisions & Beam-energy Scan

2. Efficiency Correction of Flow  
Correlations

MK, Esumi, Niida, Nonaka, in prep.

# Imperfect Particle Measurements

Real detectors cannot measure all particles, but lose some of them.

- ▶ Experimentally-observed results are modified from the true ones.  
We must correct the effects to obtain the true result.

## Conventional Correction Formula

$$\text{cov}_n = \left\langle \frac{\sum_{i,j,k,i \neq j \neq k} w_i w_j w_k e^{in(\phi_i - \phi_j)} (p_{T,k} - \langle [p_T] \rangle)}{\sum_{i,j,k,i \neq j \neq k} w_i w_j w_k} \right\rangle$$
$$w = (\text{efficiency})^{-1}$$

e.g.

ATLAS, PRC107, 054910 ('23)  
STAR, Nature 635, 67 ('24)

**Question:** How to derive (or justify) the formula?

# A Counterexample

All events emit 20 particles

- 10 particles with  $p_T = 1 \text{ GeV}$
- 10 particles with  $p_T = 2 \text{ GeV}$



Perfect measurement

$$\bar{p_T}^2 = \left\langle \frac{\sum_{i \neq j} (p_{T,i} - \langle p_T \rangle)(p_{T,j} - \langle p_T \rangle)}{N(N-1)} \right\rangle = 0$$

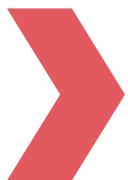
no fluctuations



Measurement w/ efficiency  $r$

+

Correction formula



$$\bar{p_T}^2 = \left\langle \frac{\sum_{i \neq j} w_i w_j (p_{T,i} - \langle p_T \rangle)(p_{T,j} - \langle p_T \rangle)}{\sum_{i \neq j} w_i w_j} \right\rangle \neq 0$$

fluctuating

The conventional formula doesn't perfectly reproduce the true value!

論語 衛靈公第十五

工欲善其事、必先利其器

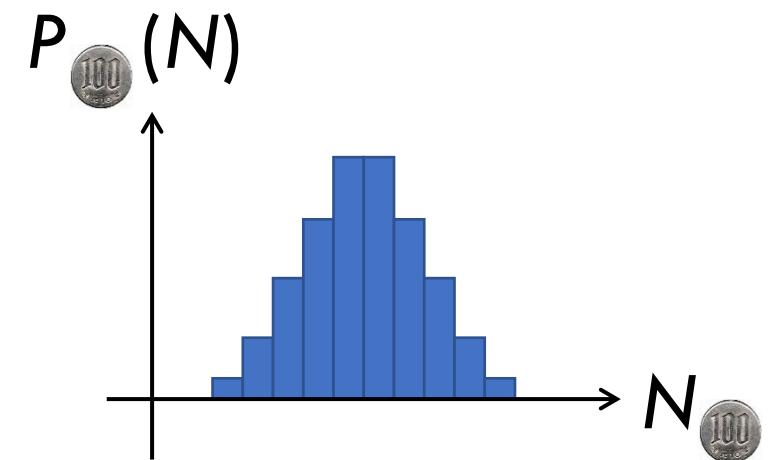
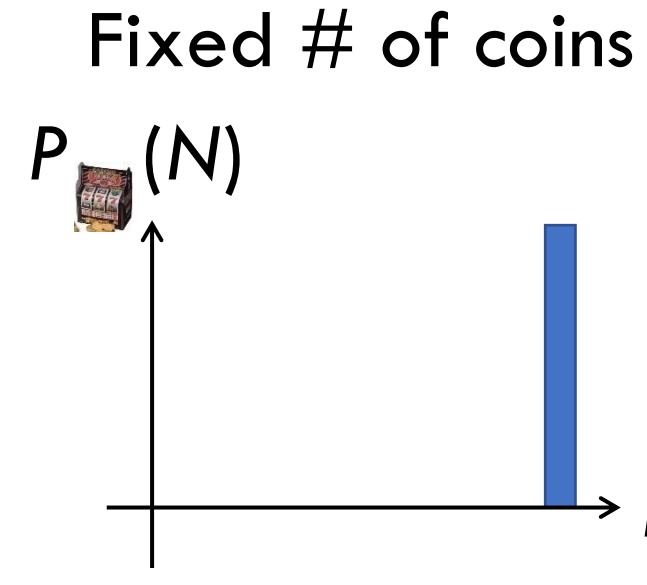
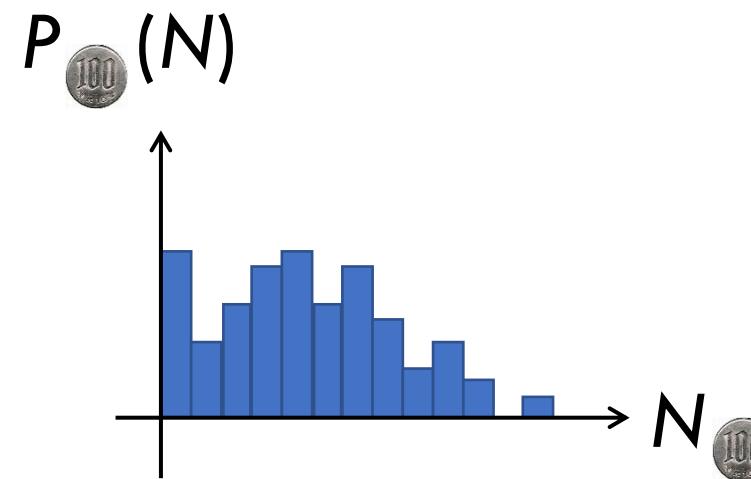
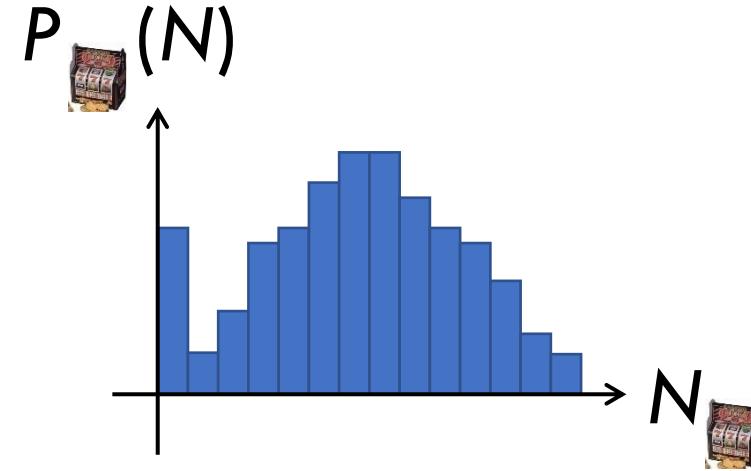
To make an establishment excellent, first polish up tools

# Simpler Example: Particle Number Fluc.



How can we obtain the cumulants of the true distribution  
only from observed information on  $\tilde{P}(n)$ ?

# Slot Machine Analogy



# Reconstructing Total Coin #

$$P_{\text{slot}}(N_{\text{slot}}) = \sum_{N_{\text{coins}}} P_{\text{coins}}(N_{\text{coins}}) B_{1/2}(N_{\text{coins}}; N_{\text{slot}})$$



## Example

$$\left\{ \begin{array}{l} 2\langle(\delta N_p^{(\text{net})})^2\rangle = \frac{1}{2}\langle(\delta N_B^{(\text{net})})^2\rangle + \frac{1}{2}\langle(\delta N_B^{(\text{net})})^2\rangle_{\text{free}} \\ 2\langle(\delta N_p^{(\text{net})})^3\rangle = \frac{1}{4}\langle(\delta N_B^{(\text{net})})^3\rangle + \frac{3}{4}\langle(\delta N_B^{(\text{net})})^3\rangle_{\text{free}} \\ 2\langle(\delta N_p^{(\text{net})})^4\rangle_c = \frac{1}{8}\langle(\delta N_B^{(\text{net})})^4\rangle_c + \dots \end{array} \right.$$

Note: Higher order cumulants are more fragile.

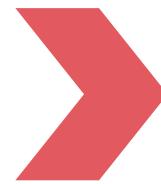
MK, Asakawa, 2012;2012

# Derivation

generating funcs.: Asakawa, MK, 2016  
factorial cumulants: MK, Luo, 2017

Use Generating Function

$$G(\theta) = \sum_N e^{\theta N} P(N)$$



$$\begin{aligned}\partial_\theta^m G &= \sum_N N^m e^{\theta N} P(N) \langle N^m \rangle \\ \langle N^m \rangle &= \partial_\theta^m G(0)\end{aligned}$$

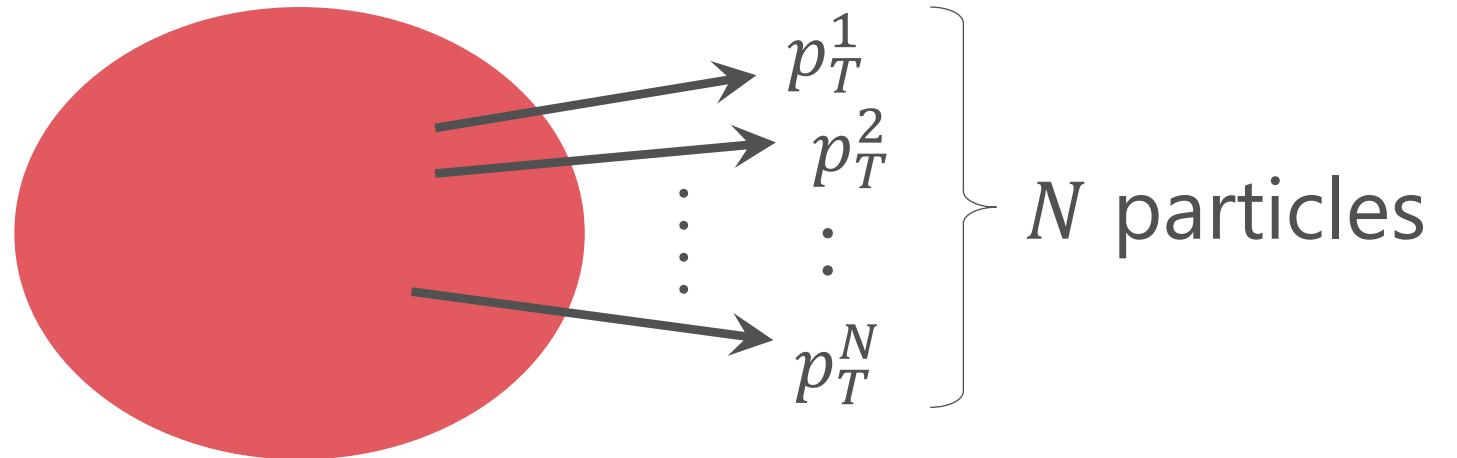
Efficiency correction for the binomial model

$$\tilde{P}(n) = \sum_n B_r(n; N) P(N)$$

$$\tilde{G}(\theta) = \sum_n e^{\theta n} \tilde{P}(n) = \sum_N (1 - r + r e^\theta)^N P(N) \quad \Rightarrow \quad \langle N \rangle_{\text{true}} = \frac{\partial_\theta}{r} = \frac{1}{r} \langle n \rangle_{\text{obs}}$$

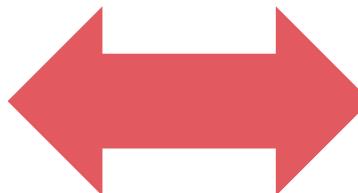
# Modelling Imperfect Measurement

Each collision event:



True distr. func.

$$P(N; \vec{p}_T)$$



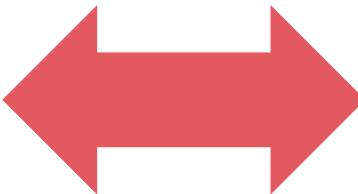
Observed distr. func.

$$\tilde{P}(n; \bar{p}_T)$$

# Modelling Imperfect Measurement

True distr. func.

$$P(N; \vec{p}_T)$$



Observed distr. func.

$$\tilde{P}(N; \vec{p}_T)$$

## Assumption

All particles are observed with the common (independent) efficiency  $r$

## Probability Distr. of Observed Quantities

$$\tilde{P}(n; \bar{p}) = \sum_{N=1}^{\infty} \int d\vec{p}_T \sum_{\{b_i\}} \left[ \prod_{i=1}^N (1-r)^{1-b_i} r^{b_i} \right] \delta_{n, \sum_i b_i} \delta(\bar{p} - \sum_i b_i p_T^{(i)}) P(N; \vec{p}_T)$$

$$b_i = 0, 1$$

# Reconstructing Mean $p_T$

Prob. distr. func:  $\tilde{P}(n; \bar{p}) = \sum_{N=1}^{\infty} \int d\vec{p} \sum_{\{b_i\}} \left[ \prod_{i=1}^N (1-r)^{1-b_i} r^{b_i} \right] \delta_{n, \sum_i b_i} \delta(\bar{p} - \sum_i b_i p_i) P(N; \vec{p})$

Generating func:  $\tilde{G}(s, t) = \sum_n \int d\bar{p} \tilde{P} s^n t^{\bar{p}} = \sum_N \int d\vec{p} P \prod_i (1 - r + rst^{p_i})$

## Result

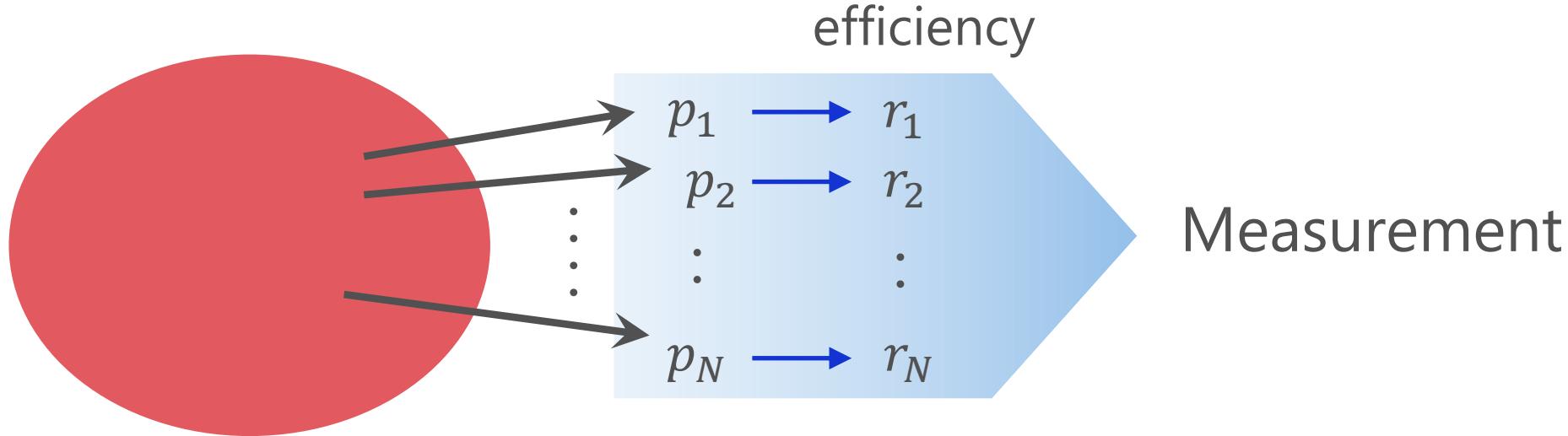
$$\left\langle \frac{\sum_i p_i}{N} \right\rangle_{\text{true}} = \int_{\alpha}^1 ds \frac{r}{s} [\partial_t \tilde{G}(s, t)]_{t=1} = \left\langle \frac{\sum_i p_i}{n} (1 - \alpha^n) \right\rangle_{\text{obs}} \quad \alpha = \frac{r-1}{r}$$

$$\left\langle \frac{\sum_{i \neq j} p_i p_j}{N(N-1)} \right\rangle_{\text{true}} = \left\langle \frac{\sum_{i \neq j} p_i p_j}{n(n-1)} (1 - \alpha^n - n\alpha(1 - \alpha^{n-1})) \right\rangle_{\text{obs}}$$

**Note:**  $\left\langle \frac{\sum_i p_i}{N} \right\rangle_{\text{true}} \neq \left\langle \frac{\sum_i p_i}{n} \right\rangle_{\text{obs}}$

$\alpha^n$  term compensates the  $n = 0$  contribution.

# Multiple Efficiencies



My Answer

$$\left\langle \frac{\sum_i p_i}{N} \right\rangle_{\text{true}} = \left\langle \sum_i p_i C_i \right\rangle_{\text{obs}}$$

$$C_i = \int_0^1 d\sigma \prod_{j \neq i} \left(1 - \frac{\sigma}{r_j}\right)$$

... and systematic derivation for higher-order correlations

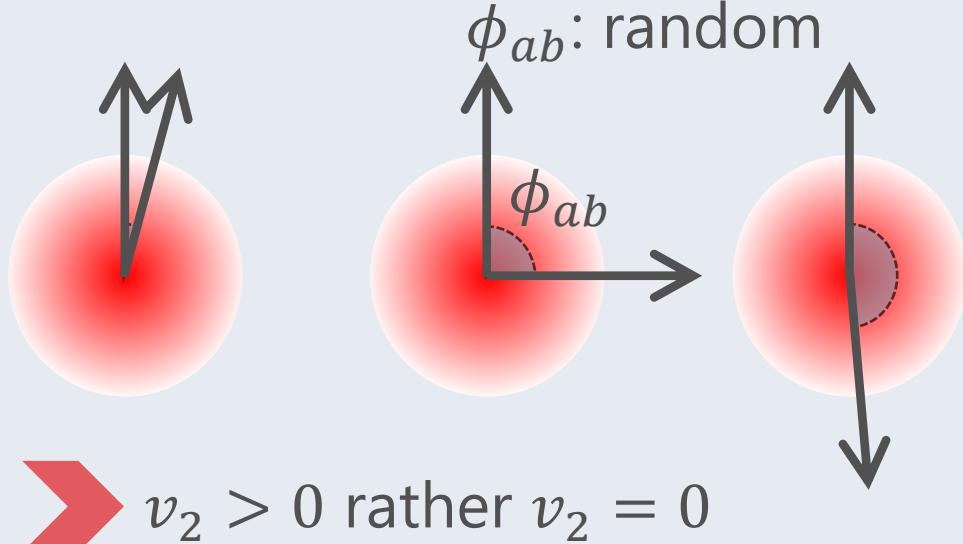
# Should Self-correlations be Eliminated?

Flow correlations:  $v_2^2 = \left\langle \frac{\sum_{i \neq j} e^{i(\phi_i - \phi_j)}}{N(N - 1)} \right\rangle$

The “self correlation” terms are usually neglected. **Why?**

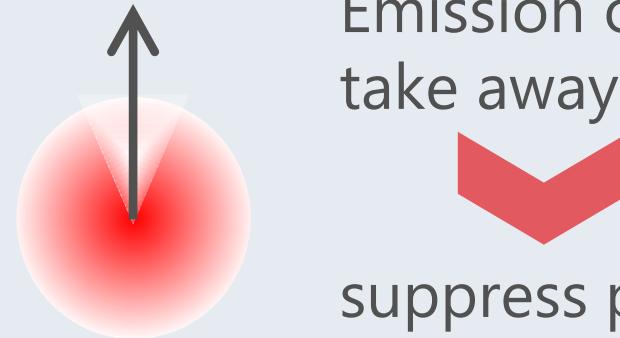
## Argument 1:

Emission of 2 independent particles



## Argument 2:

Emission of a particle take away density



suppress probability to emit particles to same direction

# Summary

- The beam-energy scan will reveal rich structures of the QCD phase diagram. Detailed measurements of higher-order correlations will be realized in the future experiments, such as HIAF, J-PARC-HI, etc.
- Quantitative analysis of the size and lifetime of the dense region:
  - $\sqrt{s_{NN}} \simeq 3$  GeV may be an optimal energy to study  $\rho = 3\sim 4\rho_0$ .
- The conventional formula for the efficiency correction of flow observables is reinvestigated. A new derivation is proposed.

# Simulation Setup in JAM

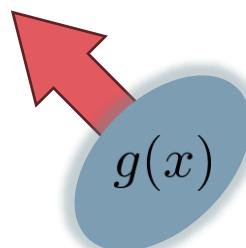
- Au+Au collision for  $2.4 \leq \sqrt{s_{NN}} \leq 20$  GeV
- Impact parameter  $b \leq 3$  fm : top 5% centrality
- Momentum-dependent mean field (MF2) Nara, Ohnishi, 2022
  - Setup reproducing  $\sqrt{s_{NN}}$  dep. of  $d\nu_1/d\eta$  and  $\nu_2$

## Smeared baryon current

discrete particle distribution → continuous current by smearing

$$J^\mu(x) = \sum_{i \in \text{baryons}} B_i g(x; X_i, P_i) \frac{P_i^\mu}{P_i^0}$$

$$g(x; X, P) := \frac{\gamma}{(\sqrt{2\pi}r)^3} e^{-\frac{|\mathbf{x}-\mathbf{X}|^2 + (\gamma \mathbf{V} \cdot (\mathbf{x}-\mathbf{X}))^2}{2r^2}} \quad r = 1 \text{ fm}$$



# Reconstruction of $\langle 1/N \rangle$

$$\tilde{P}(n) = \sum_n B_r(n; N) P(N)$$

$$\tilde{G}_f(s) = \sum_n s^n \tilde{P}(n) = \sum_N (1 - r + rs)^N P(N) \quad : \text{factorial-moment generating func.}$$

$$\int_{(r-1)/r}^1 ds \frac{r}{1 - r + rs} \tilde{G}_f(s) = \left\langle \frac{1}{N} \right\rangle_{\text{true}} = \left\langle \int_{(r-1)/r}^1 \frac{s^n}{1 - r + rs} \right\rangle_{\text{obs}}$$

Reconstruction of  $\langle 1/N \rangle$  is possible in this case!  
(RHS is divergent. One needs a regularization to obtain a finite result.)